

Effectiveness of a Pulmonary Rehabilitation Program on Persistent Asthma Stratified for Severity

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BACKGROUND: Asthma is defined by airway inflammation associated with various respiratory symptoms, and pharmacologic treatment is based on inhaled corticosteroids and bronchodilators. Physical activity, educational training, nutritional support, and psychological counseling are considered part of non-pharmacologic treatment; however, studies so far have investigated the effect of single non-pharmacologic treatment. There are few studies that demonstrate the effect of comprehensive pulmonary rehabilitation, but no clear data are available regarding factors that can predict who could benefit the most. Our study aimed to assess the effect of a comprehensive 3-week pulmonary rehabilitation program on exercise tolerance and to identify baseline subject characteristics that may predict a better response to treatment. **METHODS:** This was a retrospective study. A team planned a pulmonary rehabilitation program: educational support; endurance training; and optional components, such as respiratory exercises and airway clearance techniques. The following data were collected before and after pulmonary rehabilitation: subject characteristics, smoking history, asthma severity, respiratory function and 6-min walk test (6MWT). **RESULTS:** We collected data on 515 subjects (202 males 39.2%), age, mean \pm SD 63.9 ± 10.4 y, with 413 (80.2%) having moderate-to-severe disease; and 455 (88.4%) with stable respiratory symptoms 455 (88.35%). At baseline, the percentage of predicted 6MWT in all subjects categorized by the Global Initiative for Asthma (GINA) steps was in the normal range, except for the subjects at step 5, for which it was significantly lower ($P = .01$). All subjects showed a significant improvement in exercise tolerance and oxygen saturation, together with a decrease in baseline dyspnea, muscle fatigue, and heart rate after pulmonary rehabilitation. Improvement of 6MWT was statistically significant, irrespective of the GINA categorization. The variables related to the improvement in 6MWT were age ($P < .001$), smoking habit ($P = .034$), and baseline 6MWT ($P < .001$). **CONCLUSIONS:** Subjects with asthma at any GINA step seemed to benefit from a pulmonary rehabilitation program; analysis of our data highlighted that pulmonary rehabilitation was more beneficial in younger subjects with a smoking history and worse baseline exercise tolerance. *Key words:* pulmonary rehabilitation; exercise; asthma; 6MWT. [Respir Care 2019;64(12):1523–1530. © 2019 Daedalus Enterprises]

Introduction

The primary goals of asthma treatment include complete symptom control, optimal management of limitations

in activities of daily living, and reduction of future exacerbation risk.^{1–4} Physical activity in patients with asthma is limited by the fear of experiencing symptoms during ex-

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ercise,⁵⁻¹⁰ whereas their reduced capacity to manage daily activities increases psychological distress, which affects health status and quality of life.^{6,11} However, regular physical activity has been shown to reduce the risk of asthma exacerbations.¹²

Supervised physical activity, as well as education programs,¹³ nutritional intervention, and psychological counseling, are considered part of non-pharmacologic asthma treatment.^{1,12} Results of a systematic review and meta-analysis indicate that exercise training may reduce airway hyperactivity and improve lung function and exercise capacity in subjects with asthma.¹⁴ It is worth noticing that, even if most of the studies performed so far highlight that exercise training is well tolerated and improves exertional symptoms, anxiety, depression, cardiovascular fitness, and health-related quality of life without affecting lung function; none of them have evaluated the influence of asthma severity and age on outcomes.^{5-7,12,15}

Although pulmonary rehabilitation has been demonstrated to be effective, patients with asthma are not routinely referred for pulmonary rehabilitation,¹¹ whereas there are few studies that demonstrate the effect of a comprehensive pulmonary rehabilitation program,^{11,14,16,17} with no clear data available regarding baseline factors that can predict who could most benefit from pulmonary rehabilitation, especially when stratified by disease severity. When considering the need to identify patients who can benefit more from pulmonary rehabilitation, our study aimed to assess the effect of a comprehensive 3-week pulmonary rehabilitation program on exercise tolerance in subjects with asthma as the primary outcome and to identify baseline patient characteristics that may help to predict a better response to pulmonary rehabilitation treatment.

Methods

Study Design and Participants

This retrospective study was conducted at Istituti Clinici Scientifici Maugeri of Tradate (Varese), Italy. We analyzed all the medical records of consecutive patients with asthma who followed a pulmonary rehabilitation program from January 2007 to June 2017. Data were collected through the hospital informatics system and completed with medical records. The ethic committee of Istituti Clinici Scientifici Maugeri approved the study protocol (2219, July 19, 2018). Inclusion criteria were the following: patients ≥ 18 y, a diagnosis of asthma according to current Global Initiative for Asthma (GINA) guidelines,¹ pharmacologic treatment for asthma according to GINA guidelines over the previous 12 months, adherence of at least 80% to a standard rehabilitation program of 15 sessions,¹⁸ and the ability to perform and complete a 6-min walk test (6MWT). Exclusion criteria were the following: the pres-

QUICK LOOK

Current knowledge

Asthma is defined by airway inflammation associated with respiratory symptoms, including wheezing, shortness of breath, chest tightness, and cough. Supervised physical activity, as well as educational training, nutritional intervention, and psychological counseling, are considered part of non-pharmacologic asthma treatment. Exercise training is well tolerated by patients with asthma and improves exertional symptoms, cardiovascular fitness, and health-related quality of life without affecting lung function.

What this paper contributes to our knowledge

Subjects with asthma benefited from a pulmonary rehabilitation program regardless of disease severity in terms of respiratory symptoms, oxygenation at rest, and exercise performance. The subjects who benefitted more from pulmonary rehabilitation were younger and with a worse lung-function impairment, lower baseline 6-min walk distance, and a smoking history.

ence of concomitant diseases that could compromise the pulmonary rehabilitation trial and a diagnosis of COPD.

Rehabilitative Intervention

The multidisciplinary team involved in the pulmonary rehabilitation program included a pulmonologist (MV, AS, DV), a nurse, a respiratory therapist (EZ, MP, MR), a psychologist, and a dietitian. The 3-week rehabilitation trial included pre- and post-rehabilitation evaluations of lung function static and dynamic volumes according to the American Thoracic Society,¹⁹ performed by trained staff (EZ, MR, DV) in a lung function laboratory with body plethysmograph (Masterlab Body Jaeger, Würzburg, Germany), blood gas analysis (automatic analyzer ABL 820 Radiometer Medical, Brønshøj, Denmark), chest radiograph, 6MWT (according to guidelines),²⁰ and respiratory symptoms.²¹

The pulmonary rehabilitation program was tailored for each subject's needs and included endurance training; educational support; and optional components, such as breathing strategies (breathing retraining or pursed-lips breathing in the case of dynamic hyperinflation or dyspnea, which limits exercise capacity) and airway clearance techniques (in the case of retained secretions). Moreover, according to individual needs, psychological support, relaxation techniques (when the psychological assessment found an emotional impact of the illness, ie, anxiety state or depressive symptom)^{12,22,23} and nutritional counseling (subjects who

Table 1. Pulmonary Rehabilitation Details

Component	Detail	Session
Pulmonary rehabilitation components		
Endurance training (cycle ergometer)	30 min (5 min warm-up, 20 min training and 5 min cool-down) at constant load (starting from the 50–70% of theoretical watt maximum, calculated with Luxon equation,* and adjusted on subject's tolerance†	15 Sessions, one time a day, 5 d/wk
Educational program	Optimization of inhalation techniques	2 Individual sessions
	Peak expiratory flow monitoring	Individual daily session
	Characteristics of asthma and the Asthma Action Plan	2 Face-to-face sessions
	Lifestyle, physical activity, and maintenance programs	2 Group sessions
Optional components		
Respiratory exercises	30 min	1 Session/d
Airways clearance techniques	30 min	1/2 Session/d
Psychological support relaxation technique	from 30 to 60 mins	3 Sessions/wk
	Progressive muscles relaxation, Jacobson method, 30 min	5 Sessions/wk
Nutritional counseling	from 30 to 60 mins	2 Sessions

Each session and exercise was supervised by a respiratory therapist.

* From Reference 34.

† From Reference 35.

were overweight or obese, or who had diabetes or other metabolic issues) were added to provide a personalized diet. Details of the pulmonary rehabilitation are shown in the Table 1.

Outcome Measures

The following data were collected in an electronic database: subject characteristics (age, sex); comorbidities; smoking history and pack-years; asthma severity according to GINA classification; respiratory function: FEV1 (L and % of theoretical value) and FVC (L and % of theoretical value), FEV₁/FVC, residual volume percentage, P_{aO₂} (in mm Hg), P_{aCO₂} (in mm Hg), pH, arterial oxygen saturation (S_{aO₂}) before (T0) and after (T1) pulmonary rehabilitation program; and 6MWT: walk distance and percentage predicted, heart rate, S_{pO₂}, perceived dyspnea and muscular fatigue before and after the test, measured with the modified Borg scale at T0 and T1.

Statistical Analysis

All statistical analyses were conducted by using the dedicated software STATA 11.2 (StataCorp, College Station, Texas). The before to after difference in effort tolerance (6MWT) was evaluated by using the paired *t* test. To predict factors related to effort tolerance improvement, a single linear regression analysis was performed. The difference in meters between the final and initial 6MWT was considered a dependent variable. All other anthropometric, clinical, and functional collected measures were considered as independent variables. With regard to the severity

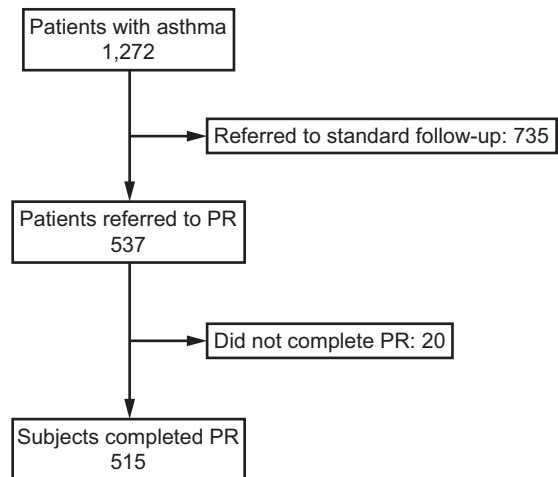


Fig. 1. Flow chart. PR = pulmonary rehabilitation.

of the disease, we evaluated the different percentages of the 6MWT predicted value among the 5 GINA steps at baseline and after the rehabilitative treatment by using the one-way analysis of variance test. *P* < .05 was considered statistically significant.

Results

The flow chart of the study design is shown in Figure 1. We collected data of 515 subjects with asthma who completed the program. The study population included 313 females and 202 males. Baseline demographics, anthropometrics, and physiologic and clinical data of the subjects are shown in Table 2. The cohort was mainly composed of

Table 2. Clinical and Functional Characteristics of Included Subjects at Baseline

Characteristic	Result
Sex, n (%)	
Female	313 (60.78)
Male	202 (39.22)
Admission diagnosis, n (%)	
Stable asthma	455 (88.35)
Exacerbation	60 (11.65)
GINA steps, n (%)	
1	12 (2.33)
2	34 (6.60)
3	56 (10.87)
4	399 (77.48)
5	14 (2.72)
Concomitant diseases, n (%)	
Cardiac	31 (6.01)
Vascular	22 (4.27)
Respiratory*	382 (74.17)
Ophthalmologic and ORL	20 (3.88)
Upper gastrointestinal	35 (6.79)
Endocrine, metabolic	217 (42.13)
Other	29 (5.61)
Age	
Entire cohort, mean ± SD y	63.93 ± 10.37
At GINA step, age (%) y	
1	56.25 (8.09)
2	65.11 (8.35)
3	64.02 (11.70)
4	64.23 (10.33)
5	56.78 (7.55)
PFT, mean ± SD	
FEV ₁ , L	1.88 ± 0.68
FEV ₁ , %	82.17 ± 22.48
FVC, L	2.82 ± 2.71
FVC, %	96.70 ± 52.90
FEV ₁ /FVC	0.67 ± 0.12
RV, %	137.43 ± 34.85
Blood gas analysis	
P _{aO₂} , mm Hg	77.62 ± 8.75
P _{aCO₂} , mm Hg	36.93 ± 3.68
pH	7.43 ± 0.03
S _{aO₂} , %	95.56 ± 1.48
6MWT, mean ± SD	
Distance, m	459.92 ± 94.10
Percentage	98.41 ± 19.23
Resting heart rate, beats/min	77.94 ± 11.41
Maximum heart rate, beats/min	112.67 ± 15.50
Resting S _{pO₂} , %	94.97 ± 1.78
Nadir S _{pO₂} , %	92.27 ± 2.77
Resting Borg dyspnea, n	0.82 ± 1.06
Borg dyspnea post 6MWT, n	3.28 ± 1.94
Resting Borg fatigue, n	0.67 ± 1.16
Borg fatigue post 6MWT, n	2.97 ± 2.20

* Bronchiectasis, upper respiratory tract disease.
GINA = Global Initiative for Asthma
ORL = otorhinolaryngology
PFT = pulmonary function test
RV = residual volume
6MWT = 6-min walk test

women with asthma who were clinically stable and classified as GINA step 4. Among the study population, the most common comorbidities were obesity (30%), bronchiectasis (15.3%), type 2 diabetes (4%), and upper respiratory tract diseases (2.5%). Borg dyspnea and fatigue were missing for 7.9% of the entire cohort. The sex distribution in the different GINA steps is shown in Figure 2.

At baseline, the percentage of predicted 6MWT in all GINA steps was in the normal range, except for the subjects at step 5, for which it was significantly lower (80.14% ± 20.30%, *P* = .01) (Fig. 3). Overall, the subjects completed the 6MWT before and after the pulmonary rehabilitation program. The pre-to-post change in 6MWT is described in Table 3. All the subjects showed a significant improvement in exercise tolerance and oxygen saturation after pulmonary rehabilitation, together with a decrease in baseline dyspnea and heart rate. The 6-min walk distance increased by 39.62 m on average (95% CI –18 to 123 m).

With regard to the severity of the disease, a box plot of percentages of the predicted value of 6MWT among the 5 GINA steps at baseline and the changes after the rehabilitative treatment are shown in Figure 2. Improvement of 6MWT was statistically significant, irrespective of the GINA groups. The variables, according to the univariate linear regression analysis (Table 4), significantly related to the improvement in 6MWT were the following: age (*P* < .001), smoking habit (*P* = .034), and baseline 6MWT (*P* < .001). Younger subjects with a smoking habit and lower effort tolerance at the beginning of the rehabilitative intervention were the ones most likely to improve their functional capacity after the rehabilitation program.

Discussion

Our retrospective study aimed to assess the effect of a 3-week pulmonary rehabilitation program on exercise tolerance in subjects with asthma at any stage of disease and to identify baseline subject characteristics that may predict a better response to treatment. Analysis of our data showed a significant improvement in terms of exercise performance, dyspnea, muscle fatigue, and oxygenation at rest in the subjects with asthma, independent from disease severity, which suggested that patients with asthma may also benefit from a comprehensive pulmonary rehabilitation program (including endurance training, respiratory exercises, psychological support, and nutritional counseling). A higher improvement in exercise tolerance was related to a lower baseline 6-min walk distance, younger age, and the presence of a smoking habit. Furthermore, to the best of our knowledge, this was the first study with such a large cohort of subjects with asthma.

In our study, the average baseline 6MWD was ~460 m. It is known that age, height, weight, and sex independently

PULMONARY REHABILITATION IN ASTHMA

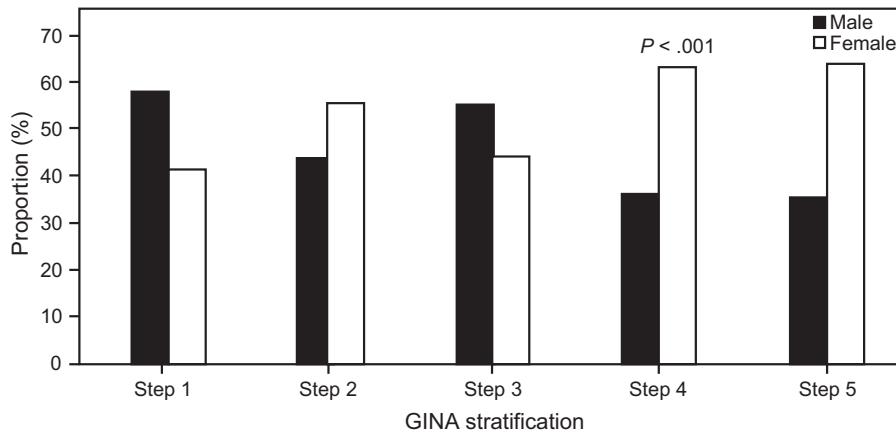


Fig. 2. Sex distribution.

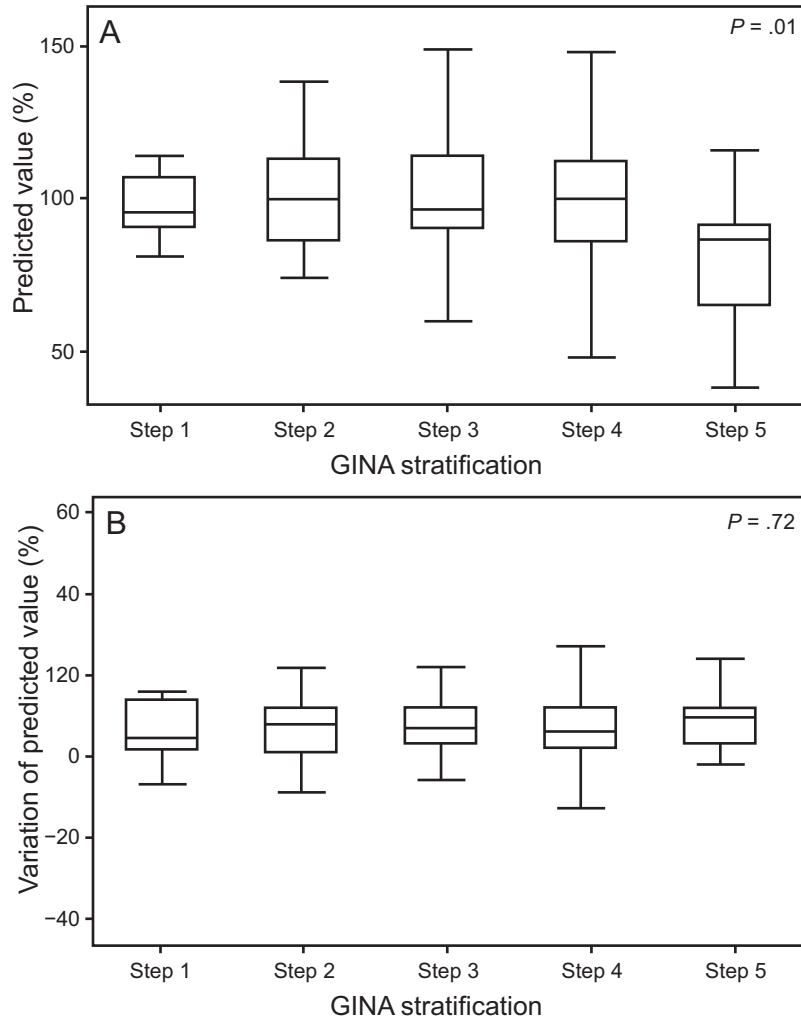


Fig. 3. Box plots of percentage of the 6-min walk test. A: Baseline. B: pre pulmonary rehabilitation-post pulmonary rehabilitation. Boxes denote variation from minimum to maximum, interquartile range, and median of data.

Table 3. Entire Cohort Pre-to-Post Pulmonary Rehabilitation Program Change in 6MWT

Parameter	T0	T1	P
6MWD, m	459.99 ± 94.10	499.61 ± 89.99	<.001
Resting Borg dyspnea, <i>n</i>	0.90 ± 1.05	0.57 ± 0.82	<.001
Resting Borg fatigue, <i>n</i>	0.67 ± 1.16	0.43 ± 0.87	<.001
Resting heart rate, beats/min	77.94 ± 11.41	76.76 ± 11.10	.01
Mean resting S _{pO₂} , %	94.97 ± 1.78	95.46 ± 1.64	<.001
Borg dyspnea post 6MWT, <i>n</i>	3.28 ± 1.94	2.63 ± 1.75	<.001
Borg fatigue post 6MWT, <i>n</i>	2.97 ± 2.20	2.48 ± 1.97	<.001

Data are mean ± SD.
 6MWD = 6-min walk distance
 T0 = before the pulmonary rehabilitation program
 T1 = after the pulmonary rehabilitation program

Table 4. Univariate Linear Regression Analysis

Dependent Variable	Independent Variable	B	95% CI	Standard Error	R ²	P
Pre-to-post change 6MWD, m	Baseline 6MWD, m	-0.0160	-0.200 to 0.120	-0.020	0.11	<.001
	Age, y	-0.747	-1.123 to 0.370	0.191	0.02	<.001
	Smoking habit	4.659	0.357-8.96	2.190	0.01	.034

6MWD = 6-min walk distance

affect the 6MWT. The performance of our cohort was below expected for a healthy population, even if the percentage of predicted results were within normal limits.^{24,25} These data might reflect the fact that patients with asthma reduce their physical activity and exercise capacity because of the fear of experiencing symptoms during or after exercise.⁵⁻⁷ Furthermore, we could not exclude the possibility that 6MWT could be affected by body weight in 30% of the total study population, as documented in previous studies.²⁶ In this study, an improvement in terms of functional performance, dyspnea, and muscle fatigue was obtained in all the subjects, independent from asthma severity.

Our results were in line with other previous studies^{11,16,17} with fewer subjects. Many investigators reported that exercise training is well tolerated by patients with asthma and is able to improve symptoms, cardiovascular fitness, health-related quality of life, anxiety, depression, pulmonary function, and the 6MWT, and to reduce bronchial hyperresponsiveness and serum proinflammatory cytokines.^{5-7,11,16,17,27,28}

Our subjects were mainly middle-age women with more severe asthma, as previously reported.²⁹ Interestingly, a higher CI in effort tolerance was found in more severe GINA groups (eg, GINA step 4); this might reflect the increase in variability of functional impairment of patients when severity of the disease increases. In this group, there are probably subjects with a normal functional ability but

also subjects with walking disability due to dyspnea or fatigue, whereas the majority of subjects with less severe asthma had a functional ability close to normality. Again, analysis of our data showed a significant reduction of functional capacity in the subjects at GINA step 5, and a 6MWT < 82% of predicted, which is usually considered a threshold of normality.²⁶ In our study, the baseline walk distance increased by 40 m after pulmonary rehabilitation. This result was similar to those reported in another study that investigated subjects with asthma with different levels of disease control.¹⁶ Better results are reported in the study of Lingner et al,¹¹ in which the walk distance increased by 60 m. When compared with our study, the different level of improvement may be due to different subject characteristics; our subjects were older, with more severe obstruction.

Our study showed an improvement in the resting Borg dyspnea scale, resting heart rate, and resting oxygen saturation as demonstration of significant improvement in post-exercise dyspnea and muscle fatigue, although an important increase in effort tolerance. This result support the positive role of pulmonary rehabilitation in patients with asthma. Interestingly, the strongest variable to explain the improvement in exercise was the baseline 6MWD. Age and smoking habit, although significant, presented a lower R², with less affect on the outcome. These results confirmed that the rehabilitation

benefits were often more pronounced in patients who were more disabled.

According to Demoly et al,³⁰ >50% of subjects with asthma in 5 European countries, including Italy, reported poor symptom control, thus additional studies are required to better understand how to improve the role of non-pharmacologic treatment, such as patient education and training. As part of the pulmonary rehabilitation program, pulmonologists (MV, AS, DV) taught our subjects about disease management and asthma action plans to improve respiratory symptom control, according to our previous experience, which we named “asthma school.”³¹ We do not know how this educational program has influenced, per se, the positive results. However, previous studies showed that combining effective management of inhaled medications and pulmonary rehabilitation elicit better results than either therapy or pulmonary rehabilitation alone.^{32,33}

Our study had some limitations. As with all retrospective studies, these were missing data (7.9% of the Borg values, asthma control, drug history, quality of life and subjective customer satisfaction), which led to a risk of decreasing accuracy and a lack of important information. Another limitation was that we were not able to extrapolate the single or synergic role of medication readjustments and the rehabilitation program in our multidisciplinary program. However our data mirror real-life conditions encountered by health-care services in selecting patients who may benefit from pulmonary rehabilitation.

Conclusions

Our results showed that subjects with asthma at any GINA step may benefit from a comprehensive pulmonary rehabilitation program in terms of respiratory symptoms, muscle fatigue, and oxygenation at rest and during exercise. In addition, our data highlighted that the pulmonary rehabilitation program was more beneficial in younger subjects with smoking history and worse baseline effort tolerance. However, additional prospective studies are needed to better define the characteristics of patients who may benefit the most and to evaluate the effective role of each component of a comprehensive pulmonary rehabilitation to reach better disease control.

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