

# Shared and Distinct Functions of Type I and Type III Interferons

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Type I interferons (IFNs) (IFN- $\alpha$ , IFN- $\beta$ ) and type III IFNs (IFN- $\lambda$ ) share many properties, including induction by viral infection, activation of shared signaling pathways, and transcriptional programs. However, recent discoveries have revealed context-specific functional differences. Here, we provide a comprehensive review of type I and type III IFN activities, highlighting shared and distinct features from molecular mechanisms through physiological responses. Beyond discussing canonical antiviral functions, we consider the adaptive immune priming, anti-tumor, and autoimmune functions of IFNs. We discuss a model wherein type III IFNs serve as a front-line defense that controls infection at epithelial barriers while minimizing damaging inflammatory responses, reserving the more potent type I IFN response for when local responses are insufficient. In this context, we discuss current therapeutic applications targeting these cytokine pathways and highlight gaps in understanding of the biology of type I and type III IFNs in health and disease.

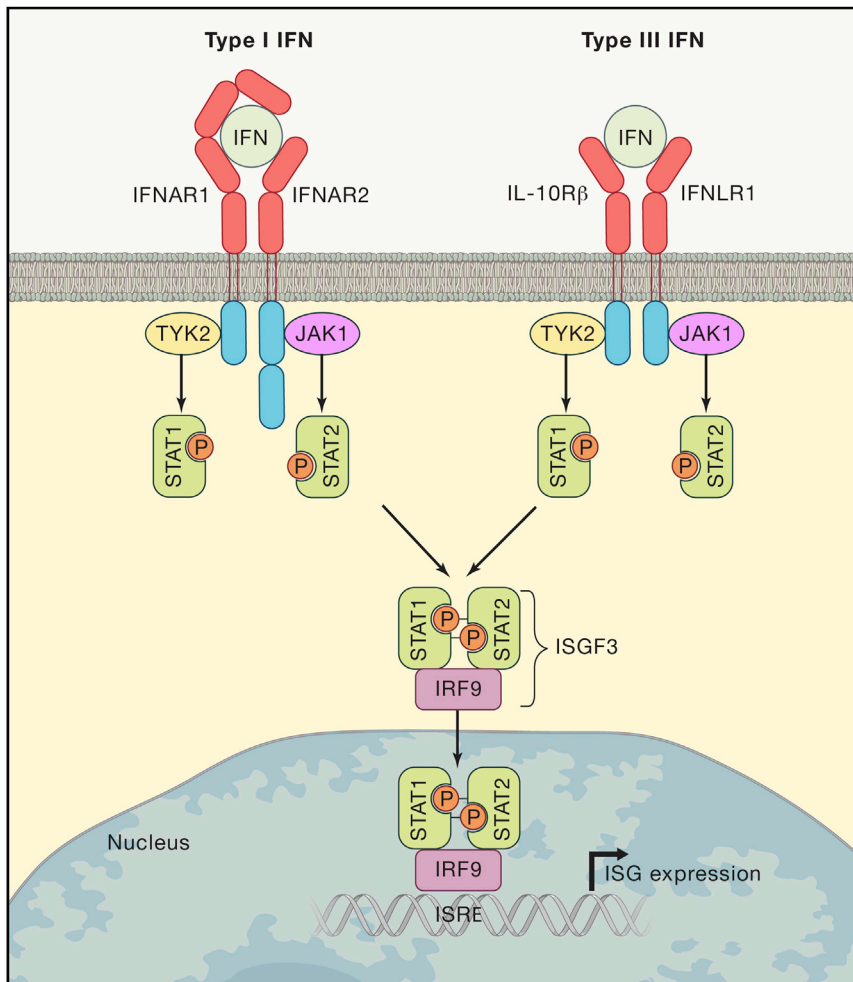
## Introduction

Interferons (IFNs) are divided into three families (type I, type II, and type III) on the basis of sequence homology, which corresponds to the evolutionary relatedness, receptor usage, and functional activity of these cytokines. The type II IFN family includes a single member, IFN- $\gamma$ , which has pro-inflammatory and immunomodulatory functions that are distinct from the type I and III IFNs; IFN- $\gamma$  has been reviewed elsewhere (Alspach et al., 2018) and is not discussed herein. Type I IFNs originally were identified on the basis of their antiviral activity (Isaacs and Lindenmann, 1957; Isaacs et al., 1957), but subsequently were recognized to have anti-proliferative and immunomodulatory activities, as well as roles in modulating infection by non-viral pathogens. It was nearly 50 years later that type III IFNs were discovered (Kotenko et al., 2003; Sheppard et al., 2003), and initially it was unclear why the host would maintain seemingly redundant antiviral defense pathways. Although type I and type III IFNs are genetically distinct and use different receptors, they are induced by similar pathogen-sensing pathways and activate related antiviral, anti-proliferative, and immunomodulatory gene expression programs. The potential for type III IFNs to provide supplementary antiviral protection at epithelial surfaces was recognized early on, but it is now appreciated that type III IFNs might provide a front-line defense that confers less collateral damage than the more potent type I IFN response. This model of type III IFN action stems from an improved understanding of the cells and tissues in which type III IFNs exert their activity. For example, type III IFNs function broadly at anatomic barrier sites and have unique effects on hematopoietic cells, most strikingly neutrophils. We also now have a greater understanding of the regulatory mechanisms that distinguish type I and type III IFN signaling. Furthermore, the discovery of translation-independent effects of IFN signaling, as well as activation of non-ca-

nonical signaling pathways, suggests the potential for previously unappreciated mechanisms of IFN activity. Beyond spatial segregation of IFN signaling, we now have an improved understanding of the mechanisms by which IFN-stimulated genes (ISGs) exert their antiviral activities. Although excellent recent reviews are available about distinct aspects of the antiviral response induced by type I and type III IFN signaling (Crouse et al., 2015; García-Sastre, 2017; Hoffmann et al., 2015; Ingle et al., 2018; Kotenko and Durbin, 2017; Lazear et al., 2015b; Schoggins, 2018; Schreiber, 2017; Snell et al., 2017; Sorgeloos et al., 2013; Wack et al., 2015; Wells and Coyne, 2018), our goal is to provide a comprehensive overview of shared and unique activities of type I and type III IFNs. In addition to their canonical antiviral activities, we further consider other functions of IFNs, including adaptive immune priming, anti-tumor responses, and effects on autoimmunity. We focus on human and mouse IFNs, which are the best understood, but the diversity of IFNs found among vertebrates suggests that comparative immunology studies might reveal novel effector and regulatory mechanisms (Krause and Pestka, 2015). Since their discovery, IFNs have been harnessed for therapeutic applications with some clinical successes. Other promising applications might be revealed by gaining a more complete understanding of IFN structure, signaling activity, function, and regulation.

## Type I and Type III IFNs

IFNs are part of the class II cytokine family, which also includes interleukin-10 (IL-10)-related cytokines (IL-10, IL-19, IL-20, IL-22, IL-24, and IL-26). Despite limited primary sequence homology, class II cytokines share a conserved structure comprised of six  $\alpha$ -helices. Their receptors have two extracellular type III fibronectin domains that form the cytokine binding site (Renauld, 2003) (Figure 1). Type I IFNs originally were



**Figure 1. Canonical Type I and Type III IFN Signaling**

Type I and type III IFNs bind to distinct receptors but activate similar signaling pathways and transcriptional responses. The type I and type III IFN receptors are heterodimers comprised of IFNAR1 and IFNAR2 subunits or IFNLR1 and IL10Rβ subunits, respectively. IFNs first bind one receptor chain with high affinity (IFNAR2 or IFNLR1), then recruit a low-affinity receptor chain (IFNAR1 or IL10Rβ) to create a signaling-competent ternary complex. Receptor dimerization activates TYK2 and JAK1 kinases, which phosphorylate STAT1 and STAT2. Phosphorylated STAT1 and STAT2 heterodimers complex with IRF9 to produce the transcription factor ISGF3. ISGF3 binds to ISREs and promotes expression of hundreds of ISGs. Type I and type III IFNs also activate additional signaling pathways not depicted in this figure.



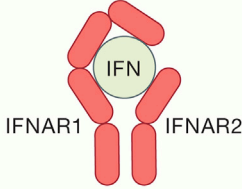
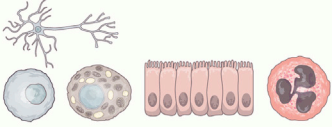
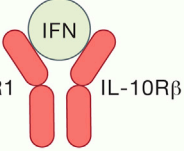

IFN-λ3 (IL-28B), and IFN-λ4. IFN-λ4 was the last to be discovered, and is a pseudogene in many human populations (Prokunina-Olsson et al., 2013). In mice, the type III IFN family consists of IFN-λ2 and IFN-λ3; IFN-λ1 is a pseudogene and the genomic region encoding IFN-λ4 is absent (Kotenko and Durbin, 2017; Wack et al., 2015).

All type III IFNs signal through a shared heterodimeric receptor, IFNLR, comprised of IFNLR1 (also termed IL28Rα) and IL10Rβ. IFN-λ binds IFNLR1 with high affinity, and then recruits the low-affinity IL10Rβ (which is shared with other IL-10 family cytokines) to create a signaling-competent ternary complex. Type III IFN genes are clustered on human chromosome 19 and mouse chromosome 7 and share a 5-exon gene structure with other IL-10 family cytokines, although *IFNL2* and *IFNL3* have an additional 6th exon (Fox et al. 2009; Sabat, 2010). In humans, multiple polymorphisms in the *IFNL* locus are associated with clinical outcomes from hepatitis C virus (HCV) infection (Griffiths et al., 2015). Among these, a frameshift mutation in the promoter of *IFNL4* results in the loss of IFN-λ4 production in many non-African populations and concomitant improved clearance of HCV (Prokunina-Olsson et al., 2013). The pseudogenization of IFN-λ4, along with selection for lower-potency variants, suggest IFN-λ4 signaling has been deleterious during human evolution (Bamford et al., 2018; Key et al., 2014).

discovered as secreted factors that rendered cells refractory to viral infections (Isaacs and Lindenmann, 1957; Isaacs et al., 1957). The molecular mechanisms underlying their antiviral activities became better defined when the genes for these cytokines were cloned in the 1980s and their cell surface receptors identified in the 1990s (reviewed in Vilcek, 2006). IFN gene families have evolved through gene duplication and divergence such that the complement of IFN genes varies among vertebrate species (Krause and Pestka, 2015). In humans and mice, the type I IFN family includes multiple IFN-α subtypes (13 in humans, 14 in mice) and single IFN-β, IFN-ε, IFN-κ, IFN-ω (humans), and IFN-ζ (mice) subtypes (Figure 2). All type I IFNs signal through a shared heterodimeric receptor, IFNAR, comprised of IFNAR1 and IFNAR2 subunits. Type I IFNs bind IFNAR2 with high affinity, then recruit the low-affinity IFNAR1, creating a signaling-competent ternary complex. Type I IFN genes lack introns (except for IFN-κ, which has one) and are clustered on human chromosome 9 and mouse chromosome 4. Although there is considerable redundancy among type I IFNs, differences in promoter sequences and biochemical properties contribute to distinct functional activities (Schreiber, 2017). Type III IFNs were discovered by two groups (Kotenko et al., 2003; Sheppard et al., 2003), and in humans include four subtypes, IFN-λ1 (IL-29), IFN-λ2 (IL-28A),

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	Type I IFN	Type III IFN
<b>Gene</b>	 Single exon	 Multiple exons
<b>Members</b>	Human $\alpha 1, \alpha 2, \alpha 4, \alpha 5, \alpha 6, \alpha 7, \alpha 8, \alpha 10, \alpha 13, \alpha 14, \alpha 16, \alpha 17, \alpha 21, \beta, \epsilon, \kappa, \omega$ Mouse $\alpha 1, \alpha 2, \alpha 4, \alpha 5, \alpha 6, \alpha 7, \alpha 9, \alpha 11, \alpha 12, \alpha 13, \alpha 14, \alpha 15, \alpha 16, \alpha B\beta, \epsilon, \kappa, \zeta$	Human $\lambda 1, \lambda 2, \lambda 3, \lambda 4$ Mouse $\lambda 2, \lambda 3$
<b>Receptor binding</b>	 IFNAR1 IFNAR2 <ul style="list-style-type: none"> <li>• High-affinity binding to IFNAR2, then recruits low-affinity IFNAR1 to form signaling competent ternary complex</li> <li>• Receptor subunits bind on opposite sides of cytokine, no stem-stem contacts</li> <li>• Receptor is ubiquitously expressed</li> </ul> 	 IFNLR1 IL-10R $\beta$ <ul style="list-style-type: none"> <li>• High-affinity binding to IFNLR1, then recruits low-affinity IL-10R<math>\beta</math> to form signaling competent ternary complex</li> <li>• Less cytokine surface exposed, more stem-stem contacts in receptor</li> <li>• Receptor preferentially expressed on epithelial cells (and some immune cells, e.g., neutrophils)</li> </ul> 
<b>Response</b>	<ul style="list-style-type: none"> <li>• High potency</li> <li>• Rapid kinetics</li> <li>• Systemic</li> <li>• Inflammatory</li> </ul>	<ul style="list-style-type: none"> <li>• Lower potency</li> <li>• Slower kinetics</li> <li>• Anatomic barriers</li> <li>• Less inflammatory</li> </ul>

**Figure 2. Comparison of Type I and Type III IFNs**

Although their signaling pathways and transcriptional responses have many similarities, some features distinguish type I and type III IFNs: (1) most type I IFN genes lack introns, whereas type III IFN genes have 5 or 6 exons; (2) the type I IFN family is larger, comprising 17 members in humans and 18 in mice, compared with 4 type III IFN members in humans and 2 in mice; (3) type I and type III IFNs bind distinct receptors. The type I IFN receptor (IFNAR) is ubiquitously expressed, whereas the type III IFN receptor (IFNLR) is expressed preferentially on epithelial cells, as well as neutrophils; (4) although the genes activated by type I and type III IFN signaling are similar, differences in cell type specificity and signaling kinetics result in distinct responses. The type I IFN response is more potent, rapid, and transient, whereas the type III IFN response is less potent, slower, and sustained. Many cell types respond to type I IFNs, resulting in a systemic response that is more inflammatory. In contrast, the type III IFN response is less inflammatory and concentrated at epithelial and barrier surfaces.

identified molecular mechanisms behind the antiviral effects of prominent ISGs including viperin (Gizzi et al., 2018), interferon-induced protein with tetratricopeptide repeats 1 (IFIT1) (Daffis et al., 2010; Hyde et al., 2014; Pichlmair et al., 2011), Mx1 (Haller et al., 2015), PKR (Pfaller et al., 2011), OAS/RNASEL (Hornung et al., 2014), and IFI6 (Richardson et al., 2018). Because the signaling cascade is overlapping, many functional activities are shared between the two IFN families. However, distinct biological outcomes can result from differences in

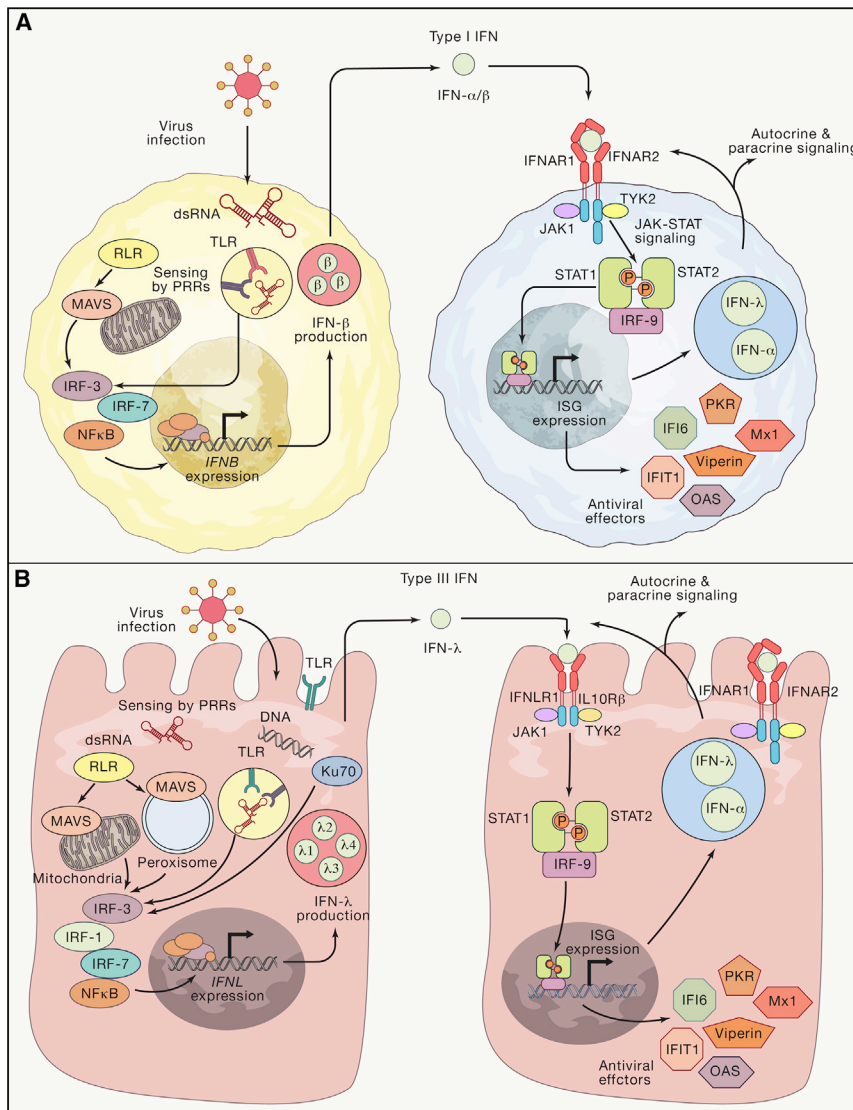
production or signaling (Müller et al., 1994; Sancho-Shimizu et al., 2011). The role of IFN signaling during bacterial infections is more nuanced, given that IFN signaling protects against some bacterial infections and exacerbates disease caused by others (Boxx and Cheng, 2016).

### IFN Signaling Pathways and the Antiviral Response

Despite their different receptors, the downstream signaling pathways and transcriptional responses activated by type I and III IFNs exhibit substantial overlap (Figure 3) (Kotenko and Durbin, 2017; Wack et al., 2015). Both type I and type III IFNs signal through the JAK-STAT pathway to activate the heterotrimeric transcription factor complex ISGF3, comprised of phosphorylated STAT1 and STAT2, and interferon regulatory factor 9 (IRF9). Activated ISGF3 translocates to the nucleus and binds to IFN-stimulated response elements (ISREs) in the upstream promoter regions of ISGs, which encode proteins that act via a variety of mechanisms to restrict viral infection (reviewed in Schneider et al., 2014; Schoggins, 2014, 2018). Because ISGs generally are induced as part of a concerted transcriptional program, the effects of individual ISGs can be difficult to ascertain in isolation. However, recent work has

the magnitude and kinetics of signaling and in the types of cells that respond to type I versus type III IFNs.

Recent structural studies of IFN- $\lambda 3$  in complex with its heterodimeric receptor highlight differences in the ternary complexes of type I and III IFNs (Mendoza et al., 2017). The IFN- $\lambda$  ternary complex has a distinct geometry compared with the type I IFN (IFN- $\omega$ ) ternary complex (Thomas et al., 2011). In the IFN- $\omega$  complex, the two receptor chains bind on opposing faces of the cytokine, which contrasts with the binding interface of the IFN- $\lambda 3$  ternary complex. Additionally, a large surface area of IFN- $\lambda 3$  remains exposed in the ternary complex, whereas little surface area of IFN- $\omega$  is exposed in the ternary complex with IFNAR1 and IFNAR2 (Thomas et al., 2011). Lastly, the IFNLR1 and IL10R $\beta$  chains make extensive stem-stem contacts that stabilize the complex; similar contacts between IFNAR1 and IFNAR2 in the type I IFN ternary complex were absent. In canonical type I IFN signaling, cytokines bind with high affinity to IFNAR2 and then form a ternary complex with IFNAR1 that mediates signaling. However, unlike IFN- $\alpha$ , IFN- $\beta$  can form a high-affinity complex with IFNAR1, contributing to its potency and allowing for IFNAR2-independent IFN- $\beta$  signaling and a distinct ISG profile (de Weerd et al., 2017; de Weerd et al., 2013). One



**Figure 3. Induction and Antiviral Signaling of Type I and Type III IFNs**

(A and B) Type I IFNs (A) and type III IFNs (B) are both induced when viral infection is detected by PRRs including RIG-I like receptors (RLRs), Toll-like receptors (TLRs), and cGAS (not depicted). Differences in the subcellular localization of the PRR response can favor production of type III over type I IFNs (e.g., plasma membrane TLR4 signaling or peroxisomal MAVS signaling). PRR signaling activates IRF-family transcription factors which, together with NF- $\kappa$ B, promote IFN expression. IFNs are secreted by infected cells and signal in a paracrine manner to uninfected cells to stimulate the production of ISGs, which act by a variety of mechanisms to induce an antiviral state. Among the ISGs induced by IFN signaling are other IFNs, resulting in a positive feedback loop of antiviral activity.

to type-I-IFN-mediated antiviral responses against influenza A virus (IAV) and vaccinia virus by inducing a subset of antiviral ISGs (Mahony et al., 2017). STAT3 also has been implicated in modulating type-III-IFN-mediated expression of microRNAs that mediate HCV replication or lung inflammatory responses (Aboulnasr et al., 2015; Cohen and Prince, 2013). In addition to the dominant JAK-STAT signaling response, both type I and type III IFNs can activate mitogen-activated protein kinase (MAPK) signaling (Platanias, 2005; Zhou et al., 2007). However, studies in intestinal organoid cultures found that the antiviral response induced by IFN- $\lambda$ , but not IFN- $\beta$ , was blocked by MAPK inhibitors, suggesting differential effects of STAT-independent signaling pathways (Pervolaraki et al., 2017). Other STAT-independent IFN activities include induction of neutrophil degranulation and tightening of cell-cell junctions in blood-brain barrier (BBB)

determinant in the differential antiviral responses of type I and type III IFNs is the non-overlapping distribution of their respective receptors: IFNAR1 and IFNAR2 are expressed on virtually all nucleated cells, whereas IFNLR1 is expressed preferentially on epithelial cells. Accordingly, the antiviral effects of type III IFNs are less potent than type I IFNs, and the ISGs induced by type III IFNs are especially evident at epithelial barriers, such as the gastrointestinal, respiratory, and reproductive tracts (Kotenko and Durbin, 2017; Lazear et al., 2015b; Wells and Coyne, 2018).

The signaling pathways induced by type I and III IFNs have subtle differences that contribute to their distinct functions. Although both signaling pathways use JAK1 and TYK2 kinases to trigger the formation of the ISGF3 complex, in certain cell types, type III IFNs also activate JAK2 signaling (Lee et al., 2012; Odendall et al., 2014). Furthermore, in addition to STAT1 and STAT2, type I IFNs can also signal through STAT3. In some cases, STAT3 negatively regulated type I IFN signaling by inhibiting STAT1-dependent gene activation (Ho and Ivashkiv, 2006; Wang et al., 2011), whereas in others, STAT3 contributed

endothelial cells, both of which occur in a translation-independent manner (Broggi et al., 2017; Lazear et al., 2015a).

Transcriptome profiling studies have established that the type I and type III signatures are overlapping. In general, type III IFNs are a subset of those induced by type I IFNs (Crotta et al., 2013; Doyle et al., 2006; Marcello et al., 2006; Zhou et al., 2007). Increasing the amount of IFN- $\lambda$  augments the number of ISGs to match that seen with type I IFNs, suggesting that the ISG repertoire reflects the magnitude of the signaling response. Indeed, antiviral ISGs such as MX1, viperin, and the IFITM, IFIT, and OAS family members, are induced by both type I IFN and type III IFNs, although the type I IFN response is more potent, especially at lower doses and earlier time points (Zhou et al., 2007). However, in more relevant primary cells and tissues, distinctions have emerged. For example, in human vaginal epithelial cells, a subset of ISGs (e.g., CXCL10, CXCL11, IFIT3, IFI30 and TDRD7) were uniquely or more highly induced by IFN- $\lambda$ 1 than by

IFN- $\beta$  (Caine et al., 2019). Because the study was carried out at one time point and with a single IFN dose, it is not known whether these differential ISG expression patterns would be sustained at earlier time points or with different IFN doses. Although the overall repertoire of genes induced by type I and III IFNs is shared, the kinetics of induction are distinct. ISG induction by type I IFNs peaks early and declines, whereas more sustained expression occurs with type III IFNs (Bolen et al., 2014; Jilg et al., 2014; Kohli et al., 2012; Marcello et al., 2006; Voigt and Yin, 2015). These effects might be linked to the rapid downregulation of type I IFN signaling by negative regulatory ISGs such as ISG15, USP18, and Tyro3, Axl, and Mer (TAM) receptors (François-Newton et al., 2011; Rothlin et al., 2007; Zhang et al., 2015). Mathematical modeling and experimental data suggest that the difference in kinetics between type I and type III IFNs is not due to receptor expression levels, but rather due to intrinsic qualities of the two signaling pathways (Pervolaraki et al., 2018).

Both type I and type III IFNs are induced after detection of pathogen-associated molecular patterns (PAMPs) and engagement and activation of cytosolic (e.g., RIG-I, MDA5, and cGAS) or endosomal (e.g., TLR3 and TLR4) pattern-recognition receptors (PRRs). For some PRRs, differences in the location of PAMP engagement can affect the type of IFN produced. TLR4 signaling in endosomes results in type I IFN production (Kagan et al., 2008), whereas TLR4 engagement at the plasma membrane induces type III IFNs (Odendall et al., 2017). These distinct patterns of IFN induction might contribute to the protective activity of type III IFNs at epithelial barriers that continually encounter commensal microbiota and their PAMPs.

PRR signaling induces IFN expression by activating IRF transcription factors. In the classical model of type I IFN induction, PRR activation leads to IRF3 activation, followed by IFN- $\beta$  induction (Honda et al., 2006; Paun and Pitha, 2007). IFN- $\beta$  stimulates a first wave of ISG transcription, including the IFN-inducible transcription factor IRF7. Subsequent IRF7 activation then induces expression of multiple IFN- $\alpha$  subtypes, which mediate a second wave of ISG transcription. This model does not function in all cell types, however, and both IRF5 and IRF7 can participate in the first wave of type I IFN induction (Honda et al., 2005; Lazear et al., 2013). Analogous to type I IFNs, the type III IFNs also are induced by IRF3 and/or IRF7 (Osterlund et al., 2007). Additionally, at least one type III IFN, IFN- $\lambda$ 1, can be induced by IRF1 (Odendall et al., 2014), whereas IRF1 is not considered a primary driver of type I IFN expression (Reis et al., 1994). Other PRR signaling pathways, such as the cytosolic DNA sensor Ku70, also can uniquely induce type III IFNs compared with type I IFNs (Zhang et al., 2011). The *in vivo* biological relevance of these distinct IRF-mediated induction patterns still remains unclear, but might be linked to differences in temporal and spatial responses to viral infection (Pulverer et al., 2010).

### Tissue-Specific Effects of IFN Signaling

Given the similar transcriptional responses induced by type I and type III IFNs, what is the purpose of maintaining seemingly redundant antiviral defense systems? Type III IFN signaling appears to serve as a first-line defense that is lower in magnitude, less inflammatory, and concentrated at anatomic barriers (reviewed in Kotenko and Durbin, 2017; Lazear et al., 2015b; Wack et al., 2015; Wells and Coyne, 2018). In this paradigm,

only when the initial type III IFN-mediated defense is breached is it necessary to activate the more potent, but more inflammatory, systemic type I IFN response. This sequence allows immune signaling to be spatially segregated, and the effects of type III IFNs are most evident at epithelial barriers such as the gastrointestinal, respiratory, and female reproductive tracts, as well as tissue barriers such as the BBB and placenta. The constant exposure of external epithelial surfaces to commensal and pathogenic microbes necessitates a balance between protective and pathological immune responses. Type III IFN responsiveness at epithelial surfaces is related to the relatively high expression of IFNLR1 on epithelial cells. It also correlates with the density of peroxisomes in epithelial tissues, as these organelles favor type III IFN over type I IFN production downstream of MAVS signaling (Odendall et al., 2014). Consistent with a key role for type III IFN signaling at barrier surfaces, a human primary immunodeficiency patient with a homozygous loss-of-function allele of IFNAR2 controlled naturally encountered pathogens sufficiently well to survive to more than one year of age. However, the patient succumbed to measles-mumps-rubella vaccination in which subcutaneous administration of live viruses bypassed epithelial barriers protected by type III IFNs (Duncan et al., 2015).

All epithelial surfaces encounter environmental microbes, but nowhere is the amount and complexity of exposure as great as in the gastrointestinal tract. Here, IFN responses must provide protection from microbial infection without initiating destructive inflammatory responses (reviewed in Ingle et al., 2018). The microbiome provides protection against viral infections and other intestinal insults, and its protective effects are mediated by IFN signaling (Baldrige et al., 2015; Kernbauer et al., 2014; Martin et al., 2018; Steed et al., 2017). Antiviral responses in the gut are dominated by type III rather than type I IFN signaling. This is a direct consequence of high IFNLR and low IFNAR expression on intestinal epithelial cells, which are targets for enteric viruses including norovirus, reovirus, rotavirus, and enteroviruses (Baldrige et al., 2017; Good et al., 2019; Johansson et al., 2007; Lin et al., 2016; Mahlaköiv et al., 2015; Nice et al., 2015; Pott et al., 2011). In contrast, lamina propria cells generally express greater levels of IFNAR than IFNLR, and type I IFN signaling restricts systemic spread of enteric viruses if they invade beyond the epithelium. In addition to their antiviral activity, type III IFNs serve important immunomodulatory functions in the gastrointestinal tract. For example, enteric-virus-induced type III IFNs activate an anti-inflammatory program in neutrophils, resulting in a STAT1- and translation-independent suppression of degranulation and reduction in reactive oxygen species (Broggi et al., 2017).

In contrast to the IFN- $\lambda$ -specific responsiveness of intestinal epithelial cells, respiratory tract epithelial cells respond to both type I and type III IFNs (Hamming et al., 2013). Accordingly, both *Ifnar1*<sup>-/-</sup> and *Ifnlr1*<sup>-/-</sup> mice are more susceptible to infection with respiratory viruses, and treatment of mice or respiratory epithelial cells with type I or type III IFNs restricts viral replication (reviewed in Lazear et al., 2015b; Wells and Coyne, 2018). Nevertheless, recent studies have revealed location, cell type, and kinetic differences in how type I and type III IFNs control respiratory infections. After infection with IAV or other respiratory tract pathogens, epithelial cells rapidly produce type III IFNs, and type I IFNs were produced later and/or to a lesser extent (Crota

et al., 2013; Espinosa et al., 2017; Fox et al., 2015; Galani et al., 2017; Jewell et al., 2010; Okabayashi et al., 2011). Type III IFNs are important for controlling viral infection in the upper respiratory tract, whereas there is more functional redundancy between type I and III IFNs in the lower respiratory tract (Klinkhammer et al., 2018). Bone marrow chimera and conditional knockout experiments reveal that IFN signaling in epithelial cells is key to controlling IAV infection (Crotta et al., 2013; Galani et al., 2017). In contrast, control of *Aspergillus fumigatus* was mediated by IFN signaling in hematopoietic cells (Espinosa et al., 2017). These differing requirements for IFN signaling in the respiratory tract might reflect the target cells of different pathogens. For example, IAV infection is largely restricted to epithelial cells, whereas fungal spores are internalized by phagocytes. In contrast to its antiviral effects, IFN signaling can augment some bacterial infections (Boxx and Cheng, 2016), which can contribute to disease during viral-bacterial co-infection. For example, IAV-induced IFN promotes *Streptococcus pneumoniae* colonization in the upper respiratory tract (Nakamura et al., 2011), and IFN induced by respiratory syncytial virus enhances *Pseudomonas aeruginosa* biofilm formation (Hendricks et al., 2016). IFN signaling shapes the inflammatory response to respiratory viruses, and has protective and pathological outcomes. For example, type I IFN signaling controls respiratory syncytial virus replication in the lungs, but also promotes inflammation-induced disease (Goritzka et al., 2014; Goritzka et al., 2015). Although neutrophils express ISGs in response to both type I and type III IFNs, inflammatory cytokines (e.g., *Tnf*, *Il1b*, *Il6*, and *Ccl2*) were induced predominantly by IFN- $\alpha$  and not IFN- $\lambda$ . Accordingly, *Ifnlr1*<sup>-/-</sup> mice infected with IAV exhibited greater inflammatory burdens in the lungs than did wild-type (WT) or *Ifnar1*<sup>-/-</sup> mice (Galani et al., 2017). These observations support a model in which type III IFNs serve as the first-line response for controlling viral infection in respiratory tract epithelial cells while minimizing immune pathology.

The need to control infection while limiting inflammation is especially important in the central nervous system (CNS), which relies heavily on innate antiviral responses because leukocyte trafficking across the BBB is tightly regulated (reviewed in Klein and Hunter, 2017). Neurons, astrocytes, and microglia all produce and respond to type I IFNs (Delhaye et al., 2006; Drokhllyansky et al., 2017; Hwang and Bergmann, 2018), whereas type III IFNs do not appear to contribute substantially to the antiviral response within the CNS parenchyma (Lazear et al., 2015a; Sommereyns et al., 2008; Sorgeloos et al., 2013). In addition to inhibiting viral replication, IFNs independently control viral infection in the CNS by restricting BBB permeability and neuroinvasion. Both type I and type III IFNs induce cell junction tightening in brain microvasculature endothelial cells, and this response is independent of STAT1 signaling and new protein synthesis (Daniels et al., 2014; Lazear et al., 2015a). The BBB tightening effects of IFN signaling protect mice from viral neuroinvasion (Daniels et al., 2014; Douam et al., 2017; Lazear et al., 2015a). In addition to effects on endothelial cells, type I IFN signaling on astrocytes also modulates BBB permeability in a brain-region-specific manner (Daniels et al., 2017).

The barrier tightening effects of IFNs are not unique to the CNS, because they also tighten epithelial barriers in the respiratory and gastrointestinal tracts (LeMessurier et al., 2013; Oden-

dall et al., 2017). Compromised epithelial barrier integrity contributes to disease progression in the autoimmune skin conditions psoriasis and atopic dermatitis. Of note, atopic dermatitis is associated with bacterial (e.g., *Staphylococcus aureus*) and viral (e.g., herpes simplex virus [HSV], human papilloma virus [HPV], and molluscum contagiosum poxvirus) infections of the skin, whereas psoriasis is not. Skin lesions from psoriasis patients exhibit high basal expression of ISGs and type III IFNs, but not type I IFNs, which might contribute to protection from cutaneous infections (Wolk et al., 2013). Conversely, type I IFNs contribute to inflammatory cascades that exacerbate psoriasis (reviewed in Grine et al., 2015), though the cytokine responses involved are complex, and it is unclear to what extent type III IFNs contribute to this process. Type I IFN responses in the skin might be affected by IFN- $\kappa$ , which is produced specifically and constitutively by keratinocytes (LaFleur et al., 2001) but has a lower affinity for IFNAR2 than other type I IFNs (Harris et al., 2018). HPV epigenetically represses IFN- $\kappa$  expression (Reiser et al., 2011; Rincon-Orozco et al., 2009), suggesting that IFN- $\kappa$  might have a protective role in keratinocytes in the skin and female reproductive tract, although its antiviral properties have not been investigated extensively.

In primary human cervical and vaginal epithelial cells cultured *ex vivo*, both type I and type III IFNs induce ISG expression (Caine et al., 2019). *Ifnar1*<sup>-/-</sup> and *Ifnlr1*<sup>-/-</sup> mice both exhibit increased susceptibility to vaginal infection with HSV-2 or Zika virus (ZIKV), consistent with these cytokines eliciting protective antiviral responses in the female reproductive tract (Ank et al., 2008; Caine et al., 2019; Svensson et al., 2007; Yockey et al., 2016). Whereas IFN- $\alpha$  and IFN- $\beta$  contribute to antiviral immunity in the female reproductive tract by mechanisms similar to those in other tissues, an additional type I IFN, IFN- $\epsilon$ , might have specific roles in the female reproductive tract. Unlike other IFNs, IFN- $\epsilon$  is regulated by hormonal status and is not induced by PRR signaling (Fung et al., 2013; Hermant et al., 2013). IFN- $\epsilon$  has a lower affinity for IFNAR2 and signals with lower potency than other type I IFNs, which might prevent excessive immune activation (Harris et al., 2018; Stifter et al., 2018). Nonetheless, IFN- $\epsilon$  induces ISG expression, restricts viral replication in cell culture, and protects mice from vaginal infection with HSV-2 or *Chlamydia muridarum* (Fung et al., 2013; Stifter et al., 2018; Tasker et al., 2016). The diminished potency of IFN- $\epsilon$  (as well as IFN- $\kappa$ ) compared with other type I IFNs highlights the potential for the induction of different functional outcomes even among IFNs signaling through the same receptor. In contrast to the protective effects of IFN- $\epsilon$ , IFN- $\beta$  exacerbates *Chlamydia* disease in the genital tract by eliciting inflammatory immunopathology (Nagarajan et al., 2008).

The maternal-fetal interface is an anatomic barrier with complex immune regulation due to the need to protect the fetus from maternal pathogens while avoiding immune rejection of semi-allogeneic fetal tissue (reviewed in Ander et al., 2019; Yockey and Iwasaki, 2018). The interface between the maternal blood supply and fetal-derived placenta is formed by syncytiotrophoblasts, which are refractory to infection due in part to their constitutive secretion of cytokines including type III IFNs (Bayer et al., 2016; Corry et al., 2017). As maternally derived decidual cells respond to type I and type III IFNs, placenta-derived IFNs could induce an antiviral state on both sides of

the maternal-fetal interface (Corry et al., 2017). Accordingly, recombinant IFN- $\lambda$ 2 administered to pregnant *Ifnar1*<sup>-/-</sup> mice induced ISGs in both placental and decidual cells (Bierne et al., 2012). In pregnant mice, *Listeria monocytogenes* infection induced *Ifnl2* and *Ifnl3* expression in placental and decidual tissue, but the effect of IFN signaling on fetal infection was not assessed (Bierne et al., 2012). Type III IFNs restrict transplacental transmission of ZIKV in mice but not viral burden in other tissues, suggesting a specific role at the maternal-fetal interface (Jagger et al., 2017). In contrast, type I IFNs have a dominant role in controlling ZIKV infection in most tissues in mice (Lazear et al., 2016). This results in part from species restrictions, including the inability of ZIKV to antagonize murine STAT2 and STING proteins (Ding et al., 2018; Gorman et al., 2018; Grant et al., 2016; Kumar et al., 2016). Accordingly, *Ifnar1*<sup>-/-</sup> mice exhibit enhanced ZIKV transplacental transmission and fetal pathology due to uncontrolled maternal viral replication (Jagger et al., 2017; Miner et al., 2016; Yockey et al., 2016). In addition to a protective anti-viral response, type I IFN signaling might contribute to placental damage, fetal pathology, and fetal demise. Placental macrophages are susceptible to ZIKV and produce IFN- $\alpha$  (but not IFN- $\beta$  or IFN- $\lambda$ ) in response to infection, providing a source of type I IFN within the infected placenta (Quicke et al., 2016). In human midgestation chorionic villus explants, IFN- $\beta$  stimulation induced pathological morphological changes (syncytial knots and sprouts) (Yockey et al., 2018). Women with dysregulated type I IFN signaling (sustained IFN production or impaired receptor downregulation) exhibit poor pregnancy outcomes including pre-eclampsia as well as neurodevelopmental defects similar to those induced by congenital infection, altogether consistent with a role for dysregulated type I IFN responses in placental damage (Andrade et al., 2015; Crow and Manel, 2015; Meuwissen et al., 2016; Sanchis et al., 2005). In contrast, IFN- $\lambda$ 3 treatment did not elicit pathologic changes in human villus explants (Yockey et al., 2018), consistent with a protective antiviral role for type III IFN signaling in the placenta. The need to balance protective and pathological effects of type I IFN signaling during fetal development might continue into infancy. For example, the choroid plexus, which lines the brain ventricles, does not respond efficiently to type I IFN in neonates, leaving them vulnerable to HSV encephalitis. In contrast, this tissue efficiently mounts an IFN-dependent antiviral response in adults (Wilcox et al., 2016).

### Immunomodulatory Effects of IFNs

Beyond their direct antiviral actions, type I IFNs regulate adaptive immune responses. Type I IFN signaling can promote or inhibit T cell priming depending on the temporal relationship between IFNAR signaling and T cell receptor (TCR) engagement (Crouse et al., 2015). IFNAR signaling after or coinciding with TCR stimulation promotes T cell proliferation, survival, and effector differentiation. However, IFN signaling before or without TCR stimulation elicits a negative regulatory effect, suppressing proliferation, and inducing apoptosis. These opposing effects of type I IFN signaling are thought to occur through recruitment of different STAT transcription factors (van Boxel-Dezaire et al., 2006); STAT1 is pro-inflammatory, anti-proliferative, and pro-apoptotic, whereas STAT3, STAT4, and STAT5 induce T cell survival and differentiation. Type I IFNs also can modulate T cell responses indirectly by (1) stimulating class I and II major

histocompatibility complex (MHC) expression, (2) inducing expression of co-stimulatory molecules (e.g., CD80 and CD86) on antigen-presenting cells, (3) stimulating migration of antigen-presenting cells via increased C-C chemokine receptor type 5 (CCR5), CCR7, and lymphocyte function-associated antigen 1 (LFA-1) expression, (4) inducing negative regulators of natural killer (NK) cell activation and cytotoxicity (e.g., NKp46 and class I MHC), and (5) inducing dendritic cells (DCs) to produce chemokines (e.g., CXCL9 and CXCL10) and cytokines (e.g., IL-15) that enhance cross-presentation and promote T cell proliferation and survival (Crouse et al., 2015; Le Bon et al., 2003). Although type I IFNs activate T cell responses during acute infection, chronic IFN exposure can be detrimental to T cells that control pathogens. For example, during lymphocytic choriomeningitis virus (LCMV) infection, persistent type I IFN exposure caused DCs to produce the inhibitory cytokine IL-10 and cell surface protein PD-L1. Blockade of type I IFN signaling during the chronic phase resulted in enhanced IFN- $\gamma$  responses, decreased expression of negative regulatory molecules, improved T cell immunity, and control of LCMV infection (Tejaro et al., 2013; Wilson et al., 2013). Remarkably, IFN- $\alpha$  and IFN- $\beta$  have disparate effects: IFN- $\beta$  blockade improves lymphoid structure, promotes lymphocyte migration, and mitigates T cell exhaustion, altogether promoting virus clearance. Conversely, blockade of IFN- $\alpha$  affected early viral dissemination but did not alter T cell exhaustion (Ng et al., 2015).

Type III IFNs also modulate T cell responses, but this effect is most likely indirect, perhaps via DCs, because T cells express minimal or no IFNLR1 (Zanoni et al., 2017). The addition of IFN- $\lambda$  during peripheral blood mononuclear cell (PBMC) stimulation or a mixed lymphocyte reaction reduced the production of T helper 2 (Th2) cytokines (IL-4, IL-5, and IL-13) and increased production of IFN- $\gamma$  (Dai et al., 2009; Jordan et al., 2007; Srinivas et al., 2008). Furthermore, increased IFN- $\lambda$  production by PBMCs promoted Th1 skewing in response to a viral vaccine (Egli et al., 2014), and *Ifnlr1*<sup>-/-</sup> mice exhibited Th2 skewing and worse disease in an asthma model (Koltsida et al., 2011). Together, these findings indicate a Th1-skewing activity for type III IFNs. IFN- $\lambda$ 1 treatment also impaired differentiation of central memory into effector memory T cells. Administration of IFN- $\lambda$  as an adjuvant for immunization of HIV gag DNA plasmid vaccine reduced the numbers and activity of regulatory T cells and increased the magnitude and quality of CD8<sup>+</sup> T cell responses (Morrow et al., 2009). In mice, studies with acute LCMV infection suggested an inhibitory role of type III IFNs on T cells because *Ifnlr1*<sup>-/-</sup> mice had increased expansion of CD4<sup>+</sup> and CD8<sup>+</sup> T cells and enhanced memory T cell responses. However, IFN- $\lambda$  signaling had a distinct effect during persistent LCMV infection including markedly diminished T cell responses observed in *Ifnlr1*<sup>-/-</sup> mice (Misumi and Whitmire, 2014). Disparate results also were observed in flavivirus models: there were no differences in the magnitude or quality of antigen-specific CD8<sup>+</sup> T cell responses between WT and *Ifnlr1*<sup>-/-</sup> mice infected with West Nile virus, whereas loss of type III IFN signaling resulted in impaired T cell activation in the context of yellow fever virus infection (Douam et al., 2017; Lazear et al., 2015a). Thus, specific virus-host and immune cell interactions might determine the effect of type III IFN signaling on T cell proliferation and maturation.

The effect of type I IFN signaling on B cell and antibody responses varies depending on the context and the antigen. Type I IFN induces DCs to produce B cell stimulatory cytokines (e.g., B cell activating factor [BAFF] and a proliferation-inducing ligand [APRIL]), which enhance immunoglobulin (Ig) class switching (Le Bon et al., 2001; Litinskiy et al., 2002). Selective ablation of IFNAR1 in B cells impaired IFN- $\alpha$ -mediated stimulation of antibody responses and subclass switching, suggesting a direct effect of type I IFNs on B cells (Le Bon et al., 2006). However, in other contexts, type I IFNs can inhibit IL-7-dependent growth and survival of B cell precursors *in vitro* and *ex vivo*. Moreover, IFN- $\alpha$  treatment diminished bone marrow and splenic cellularity and markedly reduced numbers of B lineage cells (Lin et al., 1998). Consistent with a negative regulatory effect, during chronic LCMV infection, anti-IFNAR1 antibody treatment promoted survival and differentiation of LCMV-specific B cells and accelerated the generation of neutralizing antibodies (Fallet et al., 2016; Moseman et al., 2016; Sammicheli et al., 2016).

B cell and antibody responses most likely are regulated by type III IFN signaling, although the effects have not been consistent across models. IFN- $\lambda$ 1 augmented TLR-mediated activation of human B cells and IgG production (de Groen et al., 2015), and an IFN- $\lambda$ 3 adjuvant used with an HIV gag DNA plasmid vaccine enhanced HIV-specific IgG2a responses (Morrow et al., 2009). In contrast, recombinant IFN- $\lambda$ 3 inhibited IAV-stimulated Th2 cytokine release, B cell proliferation, and production of antiviral IgG (Egli et al., 2014). In West Nile virus and LCMV infection models, no differences in humoral responses were observed between WT and *Iflnr1*<sup>-/-</sup> mice (Lazear et al., 2015a; Misumi and Whitmire, 2014).

### Anti-tumor Effects of IFNs

Type I IFNs can act on tumors directly by blocking cell cycle progression and inducing apoptosis. They also have indirect anti-tumor activities, via priming immune cells to promote clearance and prevent metastasis (Booy et al., 2015; Borden, 2019; Di Trollo et al., 2015). In the context of chemotherapy and/or radiation therapy, type I IFN production by tumor and immune cells stimulates an adaptive (largely T cell) immune response against tumor-cell-associated antigens. This adjuvant effect of type I IFNs is needed because many cancers induce an exhausted and dysfunctional immune state inadequate for tumor clearance (Snell et al., 2017). Given their pro-apoptotic and immunomodulatory actions, type I IFNs were anticipated to be effective therapies against multiple malignancies (reviewed in Borden, 2019). Indeed, treatment with IFN- $\alpha$  (by itself or as part of combination therapy) has moderate success and clinical response for breast cancer, melanoma, and renal carcinoma (Budhwani et al., 2018). For melanoma in particular, IFN- $\alpha$  therapy has produced improvements in relapse-free and overall survival in large randomized trials (Kirkwood et al., 2001; Kirkwood et al., 1996). However, systemic type I IFN treatment has been limited as an anti-tumor modality by lack of efficacy for some tumors, propensity for adverse side effects, and poor tolerability of regimens for patients.

Type III IFNs also exert direct effects against cancer cells by inhibiting cell proliferation and promoting apoptosis (reviewed in Lasfar et al., 2016). However, because fewer cell types express IFNLR1 and respond to IFN- $\lambda$ , it could serve as a more targeted anti-cancer therapy with diminished side effects compared to IFN- $\alpha$ . In mice, melanoma cells engineered to ex-

press IFN- $\lambda$ 2 exhibited greater rejection or slower growth (Lasfar et al., 2006). Type III IFNs inhibited growth of several different tumor cell lines and diminished local and metastatic tumor formation in mice including cancers from lung, liver, breast, and prostate (Abushahba et al., 2010; Lasfar et al., 2016; Li et al., 2008; Numasaki et al., 2007; Sato et al., 2006; Tezuka et al., 2012). Consistent with these findings, *Iflnr1*<sup>-/-</sup> mice are more susceptible to sarcoma formation induced by chemical carcinogens as well as death in transplanted tumor models (Souza-Fonseca-Guimaraes et al., 2015). Type III IFNs can also act indirectly on tumors. IFN- $\lambda$  suppressed tumor angiogenesis in a mouse model of melanoma by modulating the tumor microenvironment (Lasfar et al., 2006). IFN- $\lambda$  also augments T cell and NK cell responses to multiple tumors including melanoma, lung adenocarcinoma, and breast cancer (Lasfar et al., 2016). A direct effect of IFN- $\lambda$  signaling in NK cells was suggested after the failure of *Iflnr1*<sup>-/-</sup> NK cells to suppress tumor growth *in vivo* (Souza-Fonseca-Guimaraes et al., 2015). Finally, IFN- $\lambda$  signaling on mammary epithelial cells induced expression of the chemokine CXCL10, which recruits CD4<sup>+</sup> T cells into the tumor microenvironment (Burkart et al., 2013). Because IFN- $\lambda$  can alter tumorigenesis both directly and indirectly, it could have utility as an adjunctive anti-cancer therapy. Beyond these mechanisms, induction of IFNs in response to viral infections also can promote anti-tumor responses. Infection with an oncolytic vesicular stomatitis virus triggered IFN- $\lambda$  expression in hematopoietic cells *in vitro* and sensitized melanoma to anti-tumor NK cell recognition and activation *in vivo* (Wongthida et al., 2010).

### IFN Signaling and Autoimmunity

Unchecked responses to pathogens or PAMPs are associated with sustained innate immune signaling and autoimmune disease. Interferonopathies are caused by monogenic allele variants that result in chronic IFN signaling and severe inflammatory disease, such as Aicardi-Goutières syndrome or stimulator of interferon genes (STING)-associated vasculitis with onset in infancy syndrome, as well as other autoimmune diseases including systemic lupus erythematosus (SLE), rheumatoid arthritis (RA), and inflammatory vasculopathy. Mutations in several genes act by distinct mechanisms to cause sustained type I IFN signaling and resultant disease: (1) loss-of-function mutations leading to increased cytosolic DNA (TREX1 and SAMHD1) or RNA/DNA hybrid sensing (RNASEH2); (2) loss-of-function mutations leading to defects in RNA editing and aberrant recognition of self-RNA in the cytosol (ADAR1); (3) gain-of-function mutations in cytosolic RNA or DNA sensors leading to constitutive activation (MDA5 and STING); (4) loss-of-function mutations leading to altered unfolded protein responses and enhanced MAVS signaling (SKIV2L); and (5) loss-of-function mutations in negative regulators of IFNAR signaling (USP18) (Crow and Manel, 2015; Rodero and Crow, 2016; Yan, 2017).

The first evidence for a linkage between type I IFN signaling and autoimmunity came from patients receiving IFN therapy for chronic viral infections. A subset of these individuals developed signs of autoimmune diseases including SLE, RA, and polymyositis (Okanoue et al., 1996). Consistent with this observation, high amounts of serum IFN- $\alpha$  were present in SLE patients with disease activity, and genes in the IFN pathway (e.g., *MDA5*, *TLR7*, *IRF5*, and *IRF7*) are featured in clinical progression studies of



SLE in humans (Thorlacius et al., 2018). Neutrophils and formation of extracellular traps (NETs) are observed in the kidneys of SLE patients, and type I IFN signaling can prime neutrophils to form NETs after stimulation with the complement factor C5a (Martinelli et al., 2004). The type I IFN response is also activated in patients with Sjögren's syndrome, a chronic autoimmune disease that features focal lymphocytic infiltration of the exocrine glands, often causing dry eyes and dry mouth (Thorlacius et al., 2018).

The chronic skin disease psoriasis is characterized by epithelial cell hyperproliferation, impaired barrier function, and inflammation. Skin lesions from psoriasis patients exhibit high IFN- $\lambda$ 1 and ISG expression, which occurs in the absence of sustained expression of type I IFNs and appears to be driven by Th17 cells (Wolk et al., 2013). Analysis of IFN- $\lambda$ -treated human keratinocytes revealed induction of the chemokines CXCL10 and CXCL11, which attract NK cells, CD8<sup>+</sup> T cells, and CD4<sup>+</sup> T cells (Witte et al., 2016). Elevated IFN- $\lambda$  expression in psoriatic lesions was associated with upregulation of these chemokines compared with non-lesional skin from these patients or skin of healthy donors, both of which lack IFN- $\lambda$  production (Witte et al., 2016).

The relationship between IFN- $\lambda$  and autoimmune arthritis depends on the disease model. In the context of SLE, IFN- $\lambda$  mRNA and protein amounts are higher in patients with active arthritis than in healthy controls, and serum IFN- $\lambda$  amounts correlate with Th17 cytokine production and the extent of disease (Oke et al., 2017; Wu et al., 2011). RA patients had higher IFN- $\lambda$ 1 mRNA levels in PBMCs than healthy matched controls (Wu et al., 2013). However, in mice, treatment with IFN- $\lambda$  reversed the development of collagen-induced arthritis by reducing numbers of pro-inflammatory Th17 and  $\gamma\delta$  T cells in the joints and inguinal lymph nodes (Blazek et al., 2015). This study showed that IFN- $\lambda$  exerts an anti-inflammatory activity by restricting recruitment of IL-1 $\beta$ -expressing neutrophils; this result contrasts with the anti-inflammatory effects of IFN- $\lambda$  in the intestine, where type III IFN signaling in neutrophils pre-vented granule release (Broggi et al., 2017).

### Clinical Applications of Type I and Type III IFNs

The antiviral and immunomodulatory properties of type I IFNs have generated interest in their clinical use to control viral infections, enhance antigen presentation, and promote anti-tumor responses (Table 1). Although pre-clinical experiments suggest that type III IFNs might provide therapeutic benefits with diminished side effects compared with type I IFNs, no IFN- $\lambda$  drug is approved for use in humans.

Since their initial discovery, there has been interest in harnessing the antiviral properties of IFNs for therapeutic use, and the greatest success to date was achieved with HCV. Prior to the advent of direct-acting antiviral therapy (which targets the HCV protease polymerase, and NS5A protein), IFN- $\alpha$  alone or in combination with ribavirin was the mainstay of anti-HCV therapy (Davis et al., 1989; Di Bisceglie et al., 1989; Heim, 2013). The mechanism of activity of IFN- $\alpha$  against HCV has remained somewhat uncertain, although it most likely is a combination of direct antiviral mechanisms and immunomodulatory effects on CD8<sup>+</sup> T cell responses. Although iterative improvement in IFN- $\alpha$  treatment efficacy was achieved with pegylation and in combination with

ribavirin, ultimately challenges including viral escape mechanisms, refractory IFN- $\alpha$  signaling in the liver, modest sustained virological response rates, and drug toxicity have relegated IFN- $\alpha$  treatment to a second-tier status in most developed countries (Sarasin-Filipowicz et al., 2008). The failure of IFN therapy against HCV is highest in patients with a pre-existing elevated ISG signature. The reduced responsiveness to IFN in some patients is thought to be due to altered IFN signaling pathways, epigenetic modification, and diminished transcription and/or translation responsiveness (Snell et al., 2017). Notwithstanding these limitations, pegylated IFN- $\alpha$  in combination with ribavirin remains a treatment option especially for patients with favorable *IFNL* genotypes (Huang et al., 2017). Although no IFN- $\lambda$  drug is approved for use in humans, dose-ranging studies evaluated the safety, efficacy, and pharmacokinetics of pegylated IFN- $\lambda$  therapy in chronic HCV infection (NCT00565539). A phase IIb study showed similar efficacy of pegylated IFN- $\lambda$ 1 treatment as for IFN- $\alpha$  treatment for chronic HCV infection (Muir et al., 2014; Muir et al., 2010). IFN- $\lambda$ 1 treatment was associated with improved or similar rates of virological response with fewer side effects than IFN- $\alpha$  in chronic HCV infection.

IFN- $\alpha$  treatment of chronic hepatitis B virus (HBV) infection was first reported in 1976, when four treated patients showed marked reductions or clearance in viral antigens (Greenberg et al., 1976). A 24-week treatment course of pegylated-IFN- $\alpha$ 2A administered once weekly resulted in a higher response rate (24% versus 12%) than standard IFN- $\alpha$ , as defined by loss in HBV antigenemia, reductions in HBV DNA in blood, and improvement in serum liver enzymes (Cooksley et al., 2003). Based on its convenience, patient compliance, and likely efficacy, pegylated-IFN- $\alpha$ 2A has replaced standard IFN- $\alpha$  therapy. Analogous to HCV treatment, the mechanism of action of IFN therapy against HBV has remained uncertain, but it most likely acts on multiple steps of the HBV life cycle as well as enhances NK and CD8<sup>+</sup> T-cell-mediated clearance of infected cells. IFN- $\alpha$  in part inhibits HBV replication epigenetically by decreasing RNA transcription of pregenomic RNA and subgenomic RNA from covalently closed circular DNA (Belloni et al., 2012). As another potential mechanism, IFN- $\alpha$  induces APOBEC3G protein expression, which results in G to A hypermutation in HBV DNA and inhibition of replication (Suspène et al., 2005). Nonetheless, the standard 48-week pegylated-IFN- $\alpha$ 2A therapy is difficult to tolerate (Terrault et al., 2016), and treatment is contraindicated in pregnant women and in those with advanced liver disease and decompensated cirrhosis. Following a dose ranging trial that confirmed its safety in humans (NCT01204762), a phase IIb trial showed that pegylated IFN- $\lambda$  therapy also enhanced NK and CD8<sup>+</sup> T cell effector responses that reduced HBV viral replication and antigenemia (Phillips et al., 2017). More recently, phase II trials with pegylated IFN- $\lambda$  were initiated against chronic infection with hepatitis delta virus (HDV), which exacerbates HBV disease (NCT02765802). In preliminary results, patients in one of the pegylated IFN- $\lambda$  treatment groups experienced a  $-2.4 \log_{10}$  mean decline in HDV-RNA, and 4 out of 10 patients were HDV-RNA negative at the end of treatment.

Treatment of cancer-promoting infections such as HCV and HBV is an indirect means by which IFN therapies combat cancer, but the anti-tumor effects of IFNs have also been evaluated more directly. Before the development of more targeted cancer

**Table 1. Clinical Applications of Type I and III IFNs**

Interferon	Form	Clinical Uses	Status	Reference
IFN- $\alpha$ -2a	recombinant	leukemia, melanoma, kaposi's sarcoma	approved (Roferon-A)	(Cascinelli et al., 2001)
IFN- $\alpha$ -2a	pegylated	chronic HBV, chronic HCV, HPV (condylomata acuminata)	approved (Pegasys)	(McHutchison et al., 2009; Reichard et al., 1998)
IFN- $\alpha$ -2b	recombinant	leukemia, melanoma, multiple myeloma, carcinoid tumor, chronic HBV, chronic HCV, Bechet's disease	approved (Intron-A)	(Janssen et al., 2005; Yao et al., 2017)
IFN- $\alpha$ -2b	pegylated	chronic HCV, melanoma	approved (PegIntron)	(Eggermont et al., 2008; Hansson et al., 2011; Lau et al., 2005; Manns et al., 2001; McHutchison et al., 2009)
IFN- $\beta$ -1a	recombinant	multiple sclerosis	approved (Avonex, Rebif)	(Hauser et al., 2017; Kappos et al., 2015)
IFN- $\beta$ -1a	pegylated	multiple sclerosis	approved (Plegridy)	(Khan et al., 2015; Newsome et al., 2016)
IFN- $\beta$ -1b	recombinant	multiple sclerosis	approved (Betaseron, Actoferon)	(Bayas and Gold, 2003)
IFN- $\lambda$ -1a	pegylated	chronic HDV	phase 2, completed 12/18	NCT02765802
IFN- $\lambda$ -1	pegylated	chronic HCV	phase 1, completed 10/09	NCT00565539
IFN- $\lambda$ -1	pegylated	HBV	phase 2, completed 12/13	NCT01204762 (Phillips et al., 2017)

immunotherapies, type I IFNs alone or in combination with conventional chemotherapy were used to treat selected hematological malignancies (e.g., lymphoma, chronic myeloid leukemia, and hairy cell leukemia), and solid tumors (Kaposi's sarcoma and renal cell carcinoma). Type I IFNs (IFN- $\alpha$ 2A, IFN- $\alpha$ 2B, and more recently, pegylated IFN- $\alpha$ 2B) are still part of a therapeutic regimen against melanoma, principally as adjuvant therapy after surgical resection in high-risk patients. Type I IFNs are thought to exert their activity against melanoma by increasing the number of antigen-presenting cells infiltrating the tumor, decreasing the number of circulating regulatory T cells, altering the cytokine environment, changing the STAT1 and STAT3 signaling balance in tumor cells and host lymphocytes, and inducing self-recognition with auto-antibodies (Di Trolio et al., 2015). A meta-analysis of melanoma patients found that IFN- $\alpha$  improved disease-free outcome and survival, although the benefit was modest (Mocellin et al., 2010). In the future, IFN- $\alpha$  treatment might be combined with other immunotherapies to control or eliminate tumors and metastatic disease. This approach is premised on the ability of IFN- $\alpha$  to condition autologous DCs for adoptive cell immunotherapies and vaccines as well as the ability of type I IFNs to enhance NK and T cell activation, thereby augmenting drugs that promote immunogenic cell death or immune recognition of tumor neoantigens.

Although dysregulated IFN signaling can mediate some autoimmune conditions, type I IFNs have been used to treat multiple sclerosis (MS), a chronic, debilitating autoimmune disease characterized by inflammation in the CNS. In MS patients, genetic and/or environmental triggers induce activation and CNS migration of autoreactive T and B cells that respond to antigens similar to myelin and cause injury to myelin sheaths and oligodendrocytes, thereby altering neuronal functions. After an initial report of successful intrathecal therapy in ten patients (Jacobs et al., 1981), IFN- $\beta$  became the first major drug class used for treatment of MS with its approval in 1993 (The IFNB Multiple Sclerosis

Study Group, 1993). IFN- $\beta$  therapy reduced the relapse rate, the development of brain lesions, and the progression of disability (Dhib-Jalbut and Marks, 2010). In one 21-year follow-up analysis of MS patients, IFN- $\beta$ -1b therapy showed a 46.8% reduction in the long-term all-cause mortality compared with that in placebo (Goodin et al., 2012). Despite decades of clinical use, the mechanism of action of IFN- $\beta$  in MS remains only partially understood (Jakimovski et al., 2018), and is thought to be related to the downregulation of the MHC class II expression on the antigen-presenting cells, induction of the regulatory T cells and the inhibitory cytokine IL-10, inhibition of T cell proliferation, and reduced adhesion molecule expression and inhibition of T cell migration across the BBB (Dhib-Jalbut and Marks, 2010).

### Concluding Remarks

Type I and type III IFNs were first recognized for their antiviral activity, but it is now appreciated that they also have a multitude of immunomodulatory functions that influence tumor responses, autoimmune disease, and microbial infection. Although many of the activities induced by type I and type III IFNs overlap, spatial and kinetic differences in their responses allow type III IFNs to provide front-line protection at barrier surfaces, thereby minimizing the activation of the systemic type I IFN response and consequent immune pathology. In addition to epithelial cells, the range of cell types now known to respond to type III IFNs has expanded, and neutrophils are an example of a highly IFN- $\lambda$ -responsive cell type that can exert activities through non-canonical pathways. IFN- $\lambda$ -mediated neutrophil activation, as well as cell junction tightening by type I and type III IFNs, stands out for being STAT- and translation-independent. Along with activation of MAPK signaling by type I and type III IFNs and IFNAR2-independent signaling by IFN- $\beta$ , this suggests that IFNs might have previously unappreciated activities that occur through distinct signaling pathways. Given that the dominant JAK-STAT signaling pathway activated by type I and type III

IFNs is the same, it is unsurprising that they induce highly similar transcriptional responses. However, distinct transcriptional and functional responses might become more evident as these studies are extended to more physiologically relevant experimental systems, in which cell- or tissue-specific differences in signaling or effector molecules could influence the outcome of IFN signaling. It remains to be seen whether any specific ISGs are uniquely induced by type I versus type III IFNs, or whether differences in the type I and type III function will be fully attributable

to differences in signaling potency and kinetics or tissue-specific responsiveness. Likewise, the specific functions of individual IFN subtypes that signal through the same receptor (e.g., 17 different human type I IFNs) remains unclear. The presence of large multi-gene IFN families is a conserved feature of vertebrates, even though particular IFN genes do not necessarily have orthologs in all species. In this review, we have discussed only human and mouse IFNs, but the number and diversity of IFN genes present in nature provides a wealth of opportunities to discover new IFN properties and biology.

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#### DECLARATION OF INTERESTS

M.S.D. is a consultant for Inbios and Atreca and is on the Scientific Advisory Board of Moderna.

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