Non-auditory processing in the central auditory pathway Jennifer K Bizley and Yihan Dai UCL Ear Institute, 332 Gray's Inn Road, London. Abstract Multisensory responses have been observed throughout the central auditory pathway, yet the origin, function and perceptual consequences of cross-modal integration remain unresolved. Recent studies have applied modern neuroanatomical and functional perturbation techniques to dissect the circuits that might enable multisensory information to sculpt the processing of sound. These highlight in particular the role that subcortical pathways might play relaying multisensory information to and between sensory cortical fields. We also examine the consequences of integrating non-auditory information into auditory processing, identifying key areas where this may be critical for successful listening and potential roles for visual information in augmenting auditory scene analysis, and for non-auditory information in facilitating coordinate frame transformations.

Multisensory responses in early sensory cortex are ubiquitous. Visual responses have been observed in normal hearing primate [1,2], carnivore [3] and rodent [4-7] auditory cortex. Similarly, somatosensory responses are observed in the auditory cortex of primates [8-11], ferrets [12] and rodents [13]. While auditory deprivation can radically increase the prevalence of cross-sensory responses [14], here we focus on those that exist in the normal hearing brain. We first review recent studies that have addressed the origin of crossmodal signals in the auditory pathway. In the second half of the review we discuss the potential purposes that multisensory processing might serve for listening.

Anatomical routes through which multisensory information is conveyed to Auditory Cortex

Anatomical investigations identify potential substrates for multisensory responses in auditory cortex. Direct connections between sensory cortices provide one potential route through which crossmodal information can access auditory cortex. There are direct connections from visual cortex and auditory cortex in primates [15-17,18,19], carnivores [3,20,21] and rodents [22,23]. Somatosensory cortex also directly innervates auditory cortex [17,24-27]. Across species and studies, it is apparent that connections between primary areas, though present, are sparse and that there are specific projections between higher areas. For example, in the ferret distinct regions of visual cortex innervate anterior versus posterior secondary auditory cortical fields [3].

In addition to cortical crossmodal inputs to auditory cortex, multiple nuclei within the auditory thalamus integrate cross-modal information and may then relay this to non-primary auditory cortex [28,29,30,31,32]. The proportion of the input that such non-lemniscal areas provide accounts for only a few percent of the total thalamic input to primary areas, but to 30% or more in non-core auditory fields (e.g. [28,29]).

While both cortical and thalamic routes offer the potential for multisensory information to access auditory cortex, it is likely that multisensory information influences the encoding of sound even earlier in the central auditory pathway. The inferior colliculus (IC) comprises a central division (ICCN) that relays auditory information to the ventral division of the MGB and on to auditory cortex, and 'shell' nuclei (ICX, encompassing the external nuclei, dorsal and lateral cortex, the brachium of the IC and the pericentral nucleus, [33]). The ICX receives significant ascending auditory inputs from the dorsal cochlear nucleus (DCN) and ICCN and descending auditory inputs from auditory cortex. Additionally the ICX receives significant somatosensory information from the cortical [34] and

subcortical regions [35] and visual inputs from the reginal ganglion [36], Superior Colliculus (SC) [37] and primary visual cortex (V1) [34]. The potential for somatosensory integration in auditory processing occurs at the earliest possible stages with vestibular and somatosensory information targeting the DCN [38-40] and IC [41] (for a comprehensive review of somatosensory-auditory integration see: [42]).

One recent study has elegantly demonstrated the extent to which non-auditory information may be integrated into the early stages of auditory processing [43]. By placing retrograde tracer in the IC of rats and anterograde tracers in a series of cortical brain regions, Olthof et al (2019) determined that the central nucleus of the IC is innervated by visual, somatosensory, motor and prefrontal cortical areas. Thus the non-auditory responses observed in auditory cortex may be inherited from much earlier processing stages.

 Revealing an anatomical connection provides information about which brain regions may relay multisensory information that shapes neural responses to sound within the central auditory pathway (Figure 1). Studying the innervation patterns in detail may be enlightening adding evidence in favour of a particular input source. For example, in the mouse, anterograde tracing studies with viral vectors demonstrate that visual cortical inputs terminate in the deep layers of mouse AC [23] which mirrors the observation that visual responses are found almost exclusively in layers 5 and 6 [5]. Nonetheless, such approaches remain correlational and do not yield causal evidence that a given pathway contributes to multisensory integration.

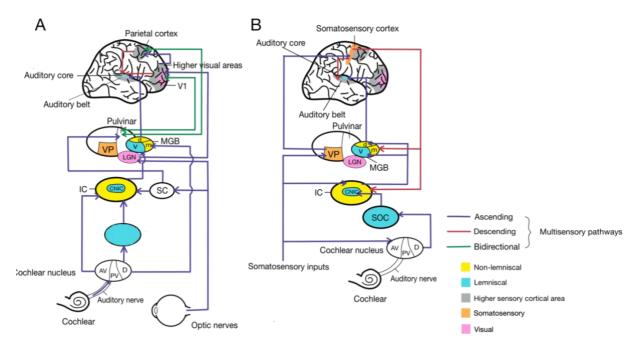


Figure 1 Integrating non-auditory inputs with auditory processing

A Visual inputs to the central auditory processing pathway. B Somatosensory inputs (including trigeminal, dorsal column and spinothalamic pathways) into the central auditory pathway.

Cochlear nucleus: AV anteroventral; PV posteriorventral; D dorsal. SOC: superior olivary complex, IC: inferior colliculus; ICCN IC central nucleus; SC: superior colliculus, VP: ventral posterior nucleus, LGN: lateral geniculate nucleus; MGB: medial geniculate body (dorsal, ventral, medial).

Manipulating activity to identify neural circuits that integrate auditory and non-auditory information

One way to test whether an anatomically defined pathway plays a functional role in multisensory processing in an auditory area is to modulate activity in that pathway. Slice work has demonstrated that cortico-cortical (secondary visual to primary auditory) connections can modulate auditory processing in mouse auditory cortex [44]. In vivo, stimulating activity in visual cortex using optogenetics has been shown to alter the gain of IC responses to noise in rats [23], and silencing a specific higher visual cortical field in the ferret abolishes visual-stimulus-elicited effects on sound processing in auditory cortex [45]. However, disentangling whether such effects occur directly (that is, monosynaptically) or via an indirect effect is tricky; observing an effect in an auditory area after manipulating activity within a visual area only indicates that the candidate input field is part of a brain network that is involved in conveying visual information to auditory cortex [46]. To identify a monosynaptic rather than network level effect requires a pathway specific approach. Fortunately with the development of increasingly sophisticated genetic methods [47,48] such causal pertubations are now possible (albeit restricted for the most part to work in mice).

The pulvinar provides a potential subcortical route through which visual information can access auditory cortex. The pulvinar is innervated both by the SC and both early and higher visual cortical areas [49]. A recent study demonstrated that manipulating activity in the mouse homologue of the pulvinar (the lateral posterior nucleus, LP) modulated activity in auditory cortex [41]. Stimulating LP increased responsivity and broadened frequency tuning in auditory cortex (additively), while inhibiting LP had the opposite effect, narrowing tuning curves. The effect of pulvinar stimulation was replicated using a looming visual stimulus. Silencing the SC, which is known to be robustly driven by looming stimuli, prevented any crossmodal effects being observed in AC [41]. Thus, a salient visual stimulus was able to boost the representation of sound in auditory cortex via a SC-pulvinar-auditory cortex pathway (Fig. 1A).

Another recent study provided evidence that subcortical regions could also be critical for the transfer of multisensory information between sensory cortical fields [50]. Lohse et al., observed that whisker stimulation decreased the gain of auditory cortical responses to sound. However, while

dependent on somatosensory cortical activity, these effects were not mediated by direct connections from somatosensory to auditory cortex but instead involved a circuit from somatosensory cortex to the shell of the IC, which in turn inhibited responses in the MGBv and MGBd; the cortical multisensory effects were inherited from those observed in these thalamic regions [50] (Fig 1B). A parallel pathway from the somatosensory cortex targeted the non-lemniscal thalamus directly, where somatosensory information enhanced responses to sound, even though this information was not relayed to primary auditory cortex.

What role does multisensory integration play in auditory processing?

While multisensory responses have been reported in the auditory pathway of anesthetised and passively listening animals, studies of multisensory integration during active sensation or perceptual decision-making have mostly been restricted to the role of higher brain areas such as parietal cortex (e.g. [51]). A few studies have examined the role of thalamic and frontal areas in multisensory tasks that require switching attention between (rather than integrating across) sensory modalities [52,53]. One notable exception was a study that investigated auditory – somatosensory integration in auditory cortex of macaques [54]. Animals were trained to discriminate the rate of two consecutive stimuli which could either be auditory and/or somatosensory. While tactile-only trials elicited activity in auditory cortex, there was no evidence for multisensory interactions or any link between these tactile responses and task performance. This study suggests that crossmodal inputs to early sensory cortex do not play a significant role in shaping perception. However, since multisensory stimuli provided no additional benefit over unisensory stimuli, such a conclusion may be premature [54]. To address this question, neural responses should ideally be examined in animals engaged in a task where a robust multisensory benefit could be observed.

What, then, are the circumstances in which non-auditory information might benefit sound perception? Here we highlight two broad areas in which multisensory processing may be advantageous for successful listening: firstly, in organising sound mixtures into auditory scenes, and secondly supporting spatial processing.

Visual stimuli can alter how auditory cortex processes sound mixtures

Auditory scene analysis is the process by which sound mixtures that arrive at the ear are organised into sources, and is a key challenge for the auditory brain [55]. For human listeners, effective auditory scene analysis mechanisms are critical for understanding speech in the presence of

background noise. Seeing a talker's face is well known to increase a listeners' ability to discriminate speech in the presence of speech or competing noise, as lip movements convey phonetic information [56]. However, independently of phonetic information, visual signals can also provide information useful for separating an attended signal from other competing sounds. For example, a temporally coherent visual stimulus can influence the performance of human listeners in an auditory selective attention task, such that performance is enhanced when a visual stimulus is temporally coherent with a target sound and impaired when the visual stimulus is temporally coherent with a to-be-ignored sound [57]. A neural correlate of this effect is seen in single neurons in the auditory cortex of passively listening ferrets. Specifically, the responses of neurons could be biased from representing one sound in a mixture to another, simply by switching which sound source was temporally coherent with the visual stimulus. This effect was not restricted to the encoding of the sound's modulation envelope, that was shared with the luminance-varying visual stimulus, but extended to enhancing the representation of brief timbre deviants which were independent of the changes in visual luminance that linked the stimuli. Silencing visual cortex with cooling confirmed a role for visual cortex in conveying visual information to auditory cortex (although as noted above, caution must be used in interpreting these results as indicating a direct monosynaptic influence). While this study did not record neural activity in the context of a task, it nonetheless provides evidence that visual inputs into auditory cortex provide a mechanism through which multisensory signals can augment auditory scene analysis. The observation that both binding and non-binding features were enhanced by audiovisual temporal coherence is consistent with the idea that crossmodal features are being linked to form a multisensory object [58]. One general role for the early integration of multisensory information into (uni)sensory processing may be to facilitate the linking of information across the senses.

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Non-auditory information can support coordinate frame transformations

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Linking information across the senses to create multisensory perception requires that the different latencies, qualitites and reference frames are unified across sensory modalties. Both perception and action require that information is linked across coordinate frames. In species that make substantial eye movements, the eyes and ears are not in fixed alignment; thus integrating eye and head centred reference frames is especially challenging. In macaque auditory cortex [59] and IC, neural firing is influenced by eye position [60] and spatial reference frames are neither fully head or eye centered [60,61]. It is not yet known whether the source of eye position signals is corollary discharge from oculomotor centres or proprioreceptive feedback from the muscles that control eye position. In anesthetised ferrets, spatially and temporally congruent audiovisual stimuli improve spatial tuning of

auditory cortical neurons relative to sound alone [62]. However, in this study, as in almost all spatial hearing paradigms, the position of the head remains static within the centre of a speaker ring, ensuring a fixed relationship between sound source location relative to the head and within the world. It is therefore impossible to resolve whether spatial tuning reflects head or world centred frames. Recording in freely moving animals that take a variety of head positions relative to sound source locations allows this ambiguity to be resolved. A recent study that took this approach observed that auditory cortical neurons can either represent sound source location relative to the head, or can encode a sound source's position in the world independently of head position [63]. Such representations are likely to be critical for disambiguating self and source motion, both of which may elicit identical changes in sound localisation cues. While these world-centered representation were observed in near dark, their establishment and maintenance may require visual cues to the current environment, either directly or through head direction signals in the hippocampal formation, which are anchored by visual cues when they are available [64]. Encoding of sound source position in the world will also likely require head and eye direction information provided by vestibular signals and efference copies or corollary discharge from the motor systems, and positional information from navigation systems. Such inputs may be integrated into auditory processing at very early stages: we have seen that eye position information is available within the IC [60], and the cochlear nucleus shows both somatosensory and vestibular modulation [40]. Moreover, the DCN contains cells modulated by head direction, and these neurons contain information that can be used to discriminate self from sound source rotation [65]. Future studies should address the availability of head direction information in the central auditory pathway and assess the role of visual and somatosensory information in anchoring world centered representations.

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State-of-the-art genetic methods have allowed researchers to dissect out the circuits underlying multisensory integration and highlight a critical role for subcortical pathways in information transfer. However, identifying the plethora of possible routes that information may take will not alone advance our understanding of the purpose served by integrating multisensory information early in hearing. Such insights will require the use of circuit-based methods in combination with recording neural activity in complex naturalistic situations. For example, allowing animals to move freely and interact with stimuli while tracking head and eye movements will allow coordinate frame

- ambiguities to be resolved. Moving beyond overly simplistic laboratory stimuli is also likely to be a
- 232 necessary step in understanding the function of multisensory processing in hearing and listening.

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