

Thoracic aortic aneurysm development in patients with bicuspid aortic valve: what is the role of endothelial cells?

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Submitted to Journal:
Frontiers in Physiology

Specialty Section:
Vascular Physiology

Article type:
Review Article

Manuscript ID:
289792

Received on:
23 Jun 2017

Revised on:
06 Nov 2017

Frontiers website link:
www.frontiersin.org

In review

Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Author contribution statement

VP, KK and MG all conceptualized, written and moderated the review.

Keywords

bicuspid aortic valve, thoracic aortic aneurysm, Endothelial Cells, Endothelial-to-mesenchymal transformation, Transforming Growth Factor beta, Angiotensin II, Nitric Oxide, NOTCH1

Abstract

Word count: 217

Bicuspid aortic valve (BAV) is the most common type of congenital cardiac malformation. Patients with a BAV have a predisposition for the development of thoracic aortic aneurysm (TAA). This pathological aortic dilation may result in aortic rupture, which is fatal in most cases. The abnormal aortic morphology of TAAs results from a complex series of events that alter the cellular structure and extracellular matrix (ECM) composition of the aortic wall. Because the major degeneration is located in the media of the aorta, most studies aim to unravel impaired smooth muscle cell (SMC) function in BAV TAA. However, recent studies suggest that endothelial cells play a key role in both the initiation and progression of TAAs by influencing the medial layer. Aortic endothelial cells are activated in BAV mediated TAAs and have a substantial influence on ECM composition and SMC phenotype, by secreting several key growth factors and matrix modulating enzymes. In recent years there have been significant advances in the genetic and molecular understanding of endothelial cells in BAV associated TAAs. In this review, the involvement of the endothelial cells in BAV TAA pathogenesis is discussed. Endothelial cell functioning in vessel homeostasis, flow response and signalling will be highlighted to give an overview of the importance and the under investigated potential of endothelial cells in BAV-associated TAA.

Funding statement

We acknowledge support from the Netherlands CardioVascular Research Initiative: the Dutch Heart Foundation, Dutch Federation of University Medical Centers, the Netherlands Organization for Health Research and Development, and the Royal Netherlands Academy of Sciences Grant CVON-PHAEDRA (CVON 2012-08) and the Dutch heart foundation grant number 2013T093 awarded to the BAV consortium.

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2 **aortic valve: what is the role of endothelial cells?**

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13 Keywords: bicuspid aortic valve, thoracic aortic aneurysm, endothelial cells, endothelial-to-
14 mesenchymal transformation, transforming growth factor beta, Angiotensin II, Nitric oxide,
15 Notch1

16 Word count: 5896

17 **Abstract**

18 Bicuspid aortic valve (BAV) is the most common type of congenital cardiac malformation.
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20 (TAA). This pathological aortic dilation may result in aortic rupture, which is fatal in most
21 cases. The abnormal aortic morphology of TAAs results from a complex series of events that
22 alter the cellular structure and extracellular matrix (ECM) composition of the aortic wall.
23 Because the major degeneration is located in the media of the aorta, most studies aim to
24 unravel impaired smooth muscle cell (SMC) function in BAV TAA. However, recent studies
25 suggest that endothelial cells play a key role in both the initiation and progression of TAAs by
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29 significant advances in the genetic and molecular understanding of endothelial cells in BAV
30 associated TAAs. In this review, the involvement of the endothelial cells in BAV TAA
31 pathogenesis is discussed. Endothelial cell functioning in vessel homeostasis, flow response
32 and signalling will be highlighted to give an overview of the importance and the under
33 investigated potential of endothelial cells in BAV-associated TAA.

34

In review

35 Bicuspid aortic valve (BAV) is the most common congenital cardiovascular malformation
36 with a prevalence of 0.5–1.5% in the general population and a male predominance of about
37 3:1 (Roberts, 1970;Basso et al., 2004). In this anomaly, the aortic valve consists of 2 leaflets
38 instead of the regular 3 leaflets. The BAV usually exhibits normal function at birth and during
39 early life, however in adulthood BAV patients can develop several serious complications such
40 as valvular stenosis and/or regurgitation, aortic dilation and thoracic aortic aneurysms (TAA).
41 Although TAAs occur both in tricuspid aortic valves (TAV) and BAV, it has been estimated
42 that 50%–70% of BAV patients develop aortic dilation and approximately 40% of BAV
43 patients develop TAAs (Yuan et al., 2010;Saliba and Sia, 2015). Moreover, patients with a
44 BAV have a 9-fold higher risk for aortic dissection compared to the general population
45 (Lewin and Otto, 2005). To monitor dilation progression in BAV patients the aortic diameter
46 is regularly measured using echocardiography. However, no treatment options are available to
47 prevent dilation or impact on the remodelling aortic wall. Surgical intervention with the aim
48 to prevent rupture is therefore currently the only therapy for TAAs.

49 **Thoracic aortic aneurysm**

50 While smooth muscle cells (SMCs) in the healthy media have a contractile phenotype, they
51 are not terminally differentiated. This ensures the ability to regenerate the vessel wall after
52 injury. This flexible change between cellular phenotypes is called “phenotypic switching”,
53 with the contractile and synthetic SMCs on opposite sides of the spectrum. After phenotypic
54 switching the synthetic SMCs can migrate towards a wounded area by secreting proteinases to
55 break down the ECM. Synthetic SMCs also proliferate and produce ECM to repair the wall.
56 When the vessel wall is repaired, synthetic SMCs will re-differentiate towards a contractile
57 phenotype. TAA is characterized by phenotypic switching of contractile to synthetic SMCs
58 and fragmentation of elastic lamellae (Figure 1). The BAV aorta is more prone to TAA
59 development, possibly due to differences in vascular homeostasis. For example, it has been
60 shown that non-dilated BAV aorta, like the dilated TAV aorta, has an increased collagen
61 turnover (Wagsater et al., 2013). Moreover, orientation, fiber thickness and collagen
62 crosslinking is altered in the dilated BAV aorta compared to the TAV aorta (Tsamis et al.,
63 2016). Additionally, decreased expression levels of lamin A/C, α -smooth muscle actin (α -
64 SMA), calponin and smoothelin were not only found in dilated, but also in non-dilated BAV
65 aorta (Grewal et al., 2014). Abdominal aortic aneurysms (AAA) share some common features
66 with TAA, but differ in that atherosclerosis plays a major role in AAA, whereas medial
67 degeneration is characteristic of TAA (Guo et al., 2006).

68 The mechanism initiating thoracic aortic dilation is thus far unknown, however, the
69 two main hypotheses are that either an altered flow greatly impacts vessel wall homeostasis
70 (flow hypothesis) or that an intrinsic cellular defect contributes to the formation of BAV as
71 well as to the dilation of the aorta in these patients (genetic hypothesis) (Girdauskas et al.,
72 2011a). Several genes related to structural proteins have been found mutated in BAV patients,
73 such as *ACTA2*, *MYH11*. Furthermore, in BAV patients multiple mutations have also been
74 found in genes related to signalling proteins such as *NOTCH1* and genes related to the TGF β
75 signalling pathway (Girdauskas et al., 2011b;Tan et al., 2012;Andelfinger et al., 2016). In
76 addition to isolated cases, BAV has also been demonstrated to occur within families
77 (Huntington et al., 1997;Calloway et al., 2011). Interestingly, 32% of the first-degree relatives
78 of BAV patients with a TAV also develop aortic root dilation, suggesting that the genetic
79 predisposition for BAV and TAA overlap or may be identical in these families (Biner et al.,
80 2009). However, a clear inheritance pattern remains to be found. TAAs are also observed in
81 patients with other syndromes such as Marfan, Loeys–Dietz and Ehler–Danlos, but
82 contrastingly, BAV seldom occurs in these syndromes (El-Hamamsy and Yacoub,

83 2009;Ruddy et al., 2013). For an overview of genetic variation associated with BAV and the
84 effect on endothelial functioning see Table 1.

85 **Endothelial cells in vessel homeostasis**

86 Due to the obvious medial degeneration in the aortic wall, research in the past decades has
87 focussed on characterizing the organization and SMC phenotype of the aortic media during
88 dilation and aneurysm (Wolinsky, 1970;Halloran et al., 1995;Ruddy et al., 2013). Therefore,
89 despite their main regulatory function, endothelial cells have so far taken the back seat in
90 research towards understanding and treating aortic dilation. However, there is growing
91 evidence that endothelial cells play an important role in the development and progression of
92 aortic dilation.

93 Endothelial cells line the lumen of the aorta which, together with some ECM and the
94 internal elastic lamella, form the intima. As the layer between the blood (flow) and the main
95 structural component of the aorta (the media) the function of endothelial cells is to
96 communicate the signal between these two layers. Upon flow and stimuli such as
97 inflammatory cytokines, signalling pathways like TGF β , angiotensin and nitric oxide (NO)
98 allow endothelial cells to directly target the contraction status of SMCs or indirectly target the
99 SMC contractile phenotype to influence vessel wall functioning (Figure 2). Primary cilia on
100 the luminal surface of the endothelial cells enable mechanosensing and signalling (Egorova et
101 al., 2012). Endothelial cells lacking cilia change towards a mesenchymal phenotype, a process
102 called endothelial to mesenchymal transformation (EndoMT) in which endothelial specific
103 genes such as VE-cadherin and PECAM1 are down-regulated, whereas mesenchymal genes
104 such as α SMA and fibronectin are up-regulated (Egorova et al., 2011). Intriguingly, a recent
105 study demonstrated that *Ift88^{fl-fl}* mice crossed with *Nfatc^{Cre}*, thereby lacking a primary cilium
106 specifically in endothelial cells, display a highly penetrant BAV (Toomer et al., 2017)(Table
107 1).

108 **The influence of flow on endothelial functioning and vessel homeostasis**

109 The flow pattern of blood from the heart into the aorta is altered by a BAV (Barker et al.,
110 2012). This difference between TAV and BAV hemodynamics in the aorta can be beautifully
111 demonstrated using 4D MRI. Compared to a TAV, BAV generate a high velocity 'jet'
112 propelling at an angle against the wall in the BAV aorta. This jet stream also causes an
113 increase in peak shear stress on the endothelial cells (Barker et al., 2012). As mentioned
114 above, aside from the genetic hypothesis, the altered flow is also hypothesized to cause the
115 aortic dilation in BAV.

116 It has been long known that adjusting flow induces remodelling of the vessel wall.
117 Already, more than 30 years ago it was published that by decreasing blood flow in the carotid
118 artery of rabbits by 70%, the lumen size of the vessel was decreased by 21% to compensate
119 for the decreased blood flow (Langille and O'Donnell, 1986). Vascular remodelling is induced
120 by increased shear stress on endothelial cells to restore original shear forces on the wall
121 (Baeyens et al., 2016a). That flow greatly impacts endothelial functioning is also portrayed by
122 the localization of fatty streaks and atherosclerosis at branch points and curves of arteries
123 (Baeyens et al., 2016a). The turbulent flow at these locations causes dysfunctional
124 endothelium: endothelial cells undergo apoptosis or exhibit increased proliferation. Moreover,
125 permeability is increased, allowing LDL penetration into the intima as well as inflammatory
126 cell adhesion and infiltration. Laminar flow induces the opposing quiescent endothelial
127 phenotype characterized by a low turnover, alignment in the direction of the flow, decreased
128 expression of inflammatory adhesion molecules like I-CAM and a low permeability caused by
129 increased cell-cell adhesion molecules such as N-CAM and E-cadherin (Chistiakov et al.,

130 2017). Experiments using co-culture of endothelial cells and SMCs revealed that flow on
131 endothelial cells can also impact the phenotype of the underlying SMCs. Laminar shear stress
132 on endothelial cells induces a contractile phenotype in synthetic SMCs, shown with both co-
133 culture experiments of endothelial cells under flow with SMCs, as by adding conditioned
134 medium from flow exposed endothelial cells to SMCs (Tsai et al., 2009;Zhou et al., 2013).
135 Upon laminar flow, endothelial cells signal towards SMCs using, for example, microRNA
136 (miR)-126, prostacyclin, TGF β 3 and NO (Noris et al., 1995;Tsai et al., 2009;Walshe et al.,
137 2013;Zhou et al., 2013) MiR-126 in endothelial microparticles (EMPs) decreases SMC
138 proliferation and neointima formation (Jansen et al., 2017). Interestingly, EMP secretion is
139 elevated in BAV associated TAA (Alegret et al., 2016). It is believed that EMPs are formed
140 when endothelial cells are trying to avoid undergoing apoptosis, possibly explaining the
141 association of elevated levels of EMPs with vascular diseases such as diabetes, congestive
142 heart failure and acute coronary syndrome (Rossig et al., 2000;Bernal-Mizrachi et al.,
143 2003;Tramontano et al., 2010).

144 MiR-126 is only one means by which endothelial cells can impact on the vascular
145 homeostasis. The main signalling pathways involved in BAV TAA and endothelial cells will
146 be discussed in the next paragraphs.

147 **Angiotensin II signalling in TAA**

148 One of the major signalling pathways disturbed in aortic dilation is the Renin-Angiotensin-
149 Aldosterone-System (RAAS), which is important for maintaining blood pressure. By
150 constriction/relaxation of blood vessels and altering water retention of the kidneys, the blood
151 pressure is regulated. The juxtaglomerular cells in the kidney and baroreceptors in vessel wall
152 can sense arterial blood pressure. Upon a drop in pressure, renin is released by the
153 juxtaglomerular cells and renin then converts angiotensinogen into angiotensin I (ANGI),
154 which in turn is converted by angiotensin converting enzyme (ACE) into angiotensin II
155 (ANGII). Amongst others, ANGI can cause contraction of the SMCs to increase blood
156 pressure. This contraction is caused by the binding of ANGI to the angiotensin II type 1
157 receptor (AT1) on the SMCs, which in a cascade via Ca⁺/calmodulin, activates the myosin
158 light chain (MLC) kinase and rapidly phosphorylates MLC, causing contraction of SMCs. In
159 addition, ANGI stimulates the cortex of the adrenal gland to secrete aldosterone, which
160 increases water resorption in the kidney.

161 Aside from this direct vasoconstrictive effect, prolonged RAAS activation has diverse
162 pathological effects. Aldosterone has been shown to cause endothelial dysregulation as well
163 as a synthetic phenotype in SMCs (Hashikabe et al., 2006). Chronic infusion of ANGI in
164 *ApoE*^{-/-} mice demonstrated to cause progressive TAAs and AAAs (Daugherty et al.,
165 2000;Daugherty et al., 2010). The administration of ANGI in these mice decreased α SMA
166 and calponin expression in the mouse aortas (Leibovitz et al., 2009;Chou et al., 2015).
167 Moreover, ACE2 expression was increased in mouse aortas after ANGI infusion as well as in
168 dilated aortas of BAV patients (Patel et al., 2014). ACE insertion/deletion polymorphisms
169 were also identified as risk factor for the development of TAA in BAV patients (Foffa et al.,
170 2012). Furthermore, a correlation was found between chronic elevated levels of ANGI and
171 endothelial cell dysfunction in patients with hyperaldosteronism, underlining the importance
172 of the RAAS system and endothelial functioning (Matsumoto et al., 2015).

173 A seminal study performed by Rateri and colleagues, displayed the importance of
174 endothelial cell functioning in the ANGI aneurysm model (Rateri et al., 2011). Interestingly,
175 mice with specific deletion of *AT1* in SMCs or monocytes still developed aortic aneurysms
176 following a chronic ANGI infusion, while endothelial specific knock-out of *AT1*, did not
177 exhibit dilation of the thoracic aorta. This study indicates that the primary target cell for
178 ANGI in this model is the endothelial cell, which in turn influences the SMCs, causing the

179 aortic structure to break down. How exactly this ANGII-endothelial cell signalling affects the
180 SMC phenotype remains a crucial and intriguing question to be investigated. The same group
181 one year later showed that AAA are not inhibited in the endothelial cell specific *AT1* knock-
182 out, elegantly demonstrating that indeed there is a difference in pathogenesis between TAA
183 and AAA (Rateri et al., 2012). This difference might be explained by a more prominent role
184 for the adventitia than the intima in AAA development, or the developmentally different
185 origin of SMCs in different parts of the aorta (Police et al., 2009;Tieu et al., 2009;Tanaka et
186 al., 2015;Sawada et al., 2017).

187 Aside from studies to understand the pathogenesis of TAA, ANGII treatment to model
188 aortic aneurysm in mice is also used in the search of new treatment options. A recent study
189 displayed that by treating ANGII infused mice with a combination therapy of Rosuvastatin
190 and Bexarotene (retinoid X receptor- α ligand), aneurysm development was inhibited
191 (Escudero et al., 2015). Moreover, they showed that this combination therapy affected
192 endothelial cell proliferation, migration and signalling. In addition, upon ANGII treatment the
193 VEGF secretion by endothelial cells *in vitro* was decreased (Escudero et al., 2015). Culture of
194 SMCs from BAV patients exhibited an increase in AT1R expression, which was reduced to
195 the levels of control SMCs after treatment with losartan (Nataatmadja et al., 2013).
196 Interestingly, antagonizing TGF β by blocking the AT1 receptor using Losartan in a Marfan
197 disease model mouse (*FBN1* mutation) demonstrated promising results for preventing and
198 even reversing aortic dilation (Habashi et al., 2006). Furthermore, several clinical studies in
199 Marfan patients reveal similar exciting results. However, a meta-analysis of clinical studies
200 towards Losartan in Marfan patients did not show a reduction of aortic dilation in Losartan
201 treated patients (Gao et al., 2016). Losartan treatment in BAV patients has not been
202 investigated yet. A clinical study was initiated, but recently terminated due to low enrolment.*
203 Therefore, the effect of Losartan on BAV TAA still needs to be determined.

204 **Notch1 signalling in TAA**

205 Notch signalling plays an important role in cardiovascular development (Niessen and Karsan,
206 2008). In contrast to many signalling pathways, Notch signalling is cell-cell contact
207 dependent. There are 4 Notch homologues of which Notch1 is the best known. Binding of
208 Notch1 ligands Jagged1, Jagged2 and/or Delta expressed in one cell induces cleavage of the
209 receptor and nuclear translocation of the intracellular domain in the other cell causing
210 transcription of, amongst others, the HES/HEY gene family, key regulators in EndoMT
211 (Nosedá et al., 2004). Notch1 signalling induces EndoMT in endothelial cells and promotes a
212 contractile phenotype in SMCs (Tang et al., 2010). Moreover, Notch1 signalling is required
213 for angiogenesis (Krebs et al., 2000).

214 Notch signalling was displayed to be crucial for normal development of the aortic
215 valve and outflow tract amongst others, as determined in *NOTCH1*^{-/-} mice (High et al., 2009).
216 Specifically in the neural crest cells, Notch signalling is important. It was found that
217 disruption of endothelial Jagged1 signalling to Notch on neural crest cells, inhibits SMC
218 differentiation (High et al., 2008). The Notch signalling pathway, as well as the TGF β
219 signalling pathway, is involved in EndoMT occurring in the outflow tract cushions, where
220 endothelial cells change to populate the developing cardiac valves (Niessen et al., 2008).
221 Thereby EndoMT is a crucial part of aortic valve development. Previous studies hypothesised
222 that EndoMT may also play a role in the pathogenesis of BAV. Additionally, genes involved
223 in this process such as *NOTCH1*, *TGFBR2* and *SMAD6*, have been found to cause BAV in
224 mouse models, as well as being linked to BAV in human studies (Garg et al.,
225 2005;Girdauskas et al., 2011b;Tan et al., 2012;Andelfinger et al., 2016;Gillis et al.,
226 2017;Koenig et al., 2017). Mice with *NOTCH1* missense alleles have been characterized with
227 multiple outflow tract and EndoMT defects (Koenig et al., 2015). Recently, it was

*Clinicaltrials.gov (consulted 15-09-2017). Identifier NCT01390181

228 demonstrated that specifically endothelial Notch1 signalling is required for normal outflow
229 tract and valve development (Koenig et al., 2016). Moreover, a *NOTCH1* mutation was found
230 in a family with BAV, underscoring Notch1 as an important signalling pathway in BAV
231 (Garg et al., 2005). These mutations have been associated with an increased risk of calcific
232 aortic valve disease (CAVD), explained by the normally repressive function of Notch on
233 calcification in valvular cells (Garg et al., 2005; Nigam and Srivastava, 2009; Kent et al.,
234 2013). Additionally, one study reported severely calcified valves in BAV patients with
235 Cornelia de Lange syndrome, a disease caused by dysfunctional Notch signalling (Oudit et al.,
236 2006).

237 Aside from the role of Notch signalling in valve formation, proper Notch signalling is
238 also important for the homeostasis of the aorta, as illustrated by several studies. The non-
239 dilated aorta of BAV patients showed increased Notch signalling and EndoMT marker
240 expression based on proteomic analysis (Maleki et al., 2016). Furthermore, a study using
241 endothelial cells isolated from BAV aorta demonstrated decreased *Notch1*, *Notch4* and *DLL4*
242 mRNA levels compared to TAV non-aneurysmal tissue (Kostina et al., 2016). Moreover,
243 upon TGF β stimulation, there was a defective Notch dependent EndoMT response.
244 Endothelial marker proteins such as VWF and PECAM, were unchanged between BAV and
245 TAV endothelial cells. However, EndoMT markers HES1 and SLUG were significantly less
246 upregulated in BAV endothelial cells compared to TAV endothelial cells. In addition, *JAG1*
247 expression is normally upregulated upon Notch1 signalling and acts as a positive feedback-
248 loop. This upregulation of Jagged1 was decreased in BAV endothelial cells, explaining at
249 least part of the dysfunctional Notch signalling in BAV patients with TAA (Kostina et al.,
250 2016).

251 Interestingly, Notch1 plasma levels in combination with TNF α -converting enzyme
252 were shown to correlate highly with the presence of AAA (Wang et al., 2015). Furthermore,
253 studies demonstrated that *NOTCH1* haploinsufficiency or Notch1 inhibition can prevent or
254 reduce the formation of AAA in ANGII infused mice (Hans et al., 2012; Cheng et al., 2014).
255 However, the similarity in Notch signalling between AAA and TAA is debatable, as it has
256 been displayed that in descending TAA tissue, in contrast to the ascending TAA, the SMCs
257 exhibit a decreased Notch1 signalling, emphasizing the importance of the local environment
258 in the aortic aneurysm formation (Zou et al., 2012).

259 **eNOS signalling in TAA**

260 Nitric oxide (NO) is produced when NO synthase (NOS) converts arginine into citrulline,
261 releasing NO in the process. NOS was originally discovered in neurons (nNOS/NOS1), after
262 which inducible NOS (iNOS/NOS2) and endothelial NOS (eNOS/NOS3) were found. eNOS
263 phosphorylation increases NO production and is induced by factors such as shear stress,
264 acetylcholine and histamine. NO has a very short half-life of a few seconds, making it a local
265 and timely signal transducer. Endothelial secreted NO diffuses into the SMC where it relaxes
266 the cell by increasing the calcium uptake into the sarcoplasmic reticulum: NO stimulates the
267 sarco/endoplasmic reticulum ATPase (SERCA), and thereby decreases cytoplasmic Ca⁺
268 levels. (Van Hove et al., 2009) Additionally, NO has also been revealed to regulate gene
269 transcription by reacting with NO sensitive transcription factors (Bogdan, 2001). Finally NO
270 has been shown to impact the SMC inflammatory status, however more research is required to
271 fully understand the effect of NO on SMC phenotype (Shin et al., 1996). Uncoupled eNOS
272 causes free oxygen radicals to be formed, which damages proteins and DNA.

273 Multiple studies have identified an important role for dysregulated endothelial NO
274 signalling in aneurysm development. For example, it has been demonstrated that the oxidative
275 stress is increased in the media of the aortas of BAV patients compared to TAV aortas
276 (Billaud et al., 2017). Interestingly, a mouse model with uncoupled eNOS (HPH-1 mice)

277 rapidly developed AAA and aortic rupture upon ANGII infusion, whereas wild-type (WT)
278 mice did not display this phenotype (Gao et al., 2012). Re-coupling of eNOS by infusion of
279 folic acid, inhibited AAA formation (Gao et al., 2012). A study investigating the effect of
280 iNOS deletion in an elastase infusion mouse model of experimentally induced AAA did not
281 demonstrate any substantial exacerbation of the aneurysm phenotype, indicating the
282 importance of endothelial NO in aneurysm formation (Lee et al., 2001). Intriguingly, a
283 follow-up study identified plasma and tissue levels of the eNOS co-factor tetrahydrobiopterin,
284 necessary for coupling of eNOS, correlate with aneurysm development in *ApoE^{-/-}* mice and
285 HPH-1 mice (Siu and Cai, 2014). In line with these studies, it was shown that endothelial
286 specific expression of reactive oxygen species, by an endothelial specific overexpression of
287 NOX2, can cause dissection in WT mice upon ANGII infusion (Fan et al., 2014). Moreover,
288 eNOS knockout mice develop BAV, underlining the importance of endothelial dysfunction in
289 the formation of BAV and the related TAA (Lee et al., 2000).

290 In patients with a TAV and TAA, profiling of the aortic tissue revealed that eNOS
291 phosphorylation was increased via a miR-21 dependent mechanism (Licholai et al., 2016).
292 MiR-21 is specifically upregulated by shear stress and causes PTEN mRNA degradation,
293 allowing an increase in eNOS phosphorylation (Weber et al., 2010). Furthermore, BAV TAA
294 patient aortic samples displayed increased eNOS expression and activation compared to TAV
295 TAA controls (Kotlarczyk et al., 2016). These studies indicate an increased eNOS activity in
296 TAA formation in BAV patients. Contrastingly, decreased eNOS expression has been found
297 in 72,7% aortic samples of BAV patients (N=22) (Kim et al., 2016). In addition, a negative
298 correlation between eNOS expression levels and aortic dilation in BAV patients was reported
299 (Aicher et al., 2007).

300 In conclusion, multiple studies have investigated eNOS in the BAV aorta, with
301 contrasting outcomes (Aicher et al., 2007; Mohamed et al., 2012; Kim et al., 2016; Kotlarczyk
302 et al., 2016). These discrepancies may be caused by differences between patient populations,
303 location of the aortic sample used, stage of aortic aneurysm formation and the use of different
304 control samples for comparison. Nonetheless, all these studies indicate that normal levels of
305 coupled eNOS are necessary to maintain a healthy aortic wall.

306 **TGF β signalling in TAA**

307 TGF β signalling is mediated by binding of the ligand TGF β to the TGF β type 2 receptor,
308 which recruits and phosphorylates a TGF β type 1 receptor. While there is only one type 2
309 receptor, TGF β can signal via two TGF β type 1 receptors, Activin-like kinase (ALK)1 and
310 ALK5. Upon ligand binding, ALK5 can phosphorylate SMAD2 or SMAD3 and ALK1 can
311 phosphorylate SMAD1, SMAD5 or SMAD8. The phosphorylated SMADs translocate into the
312 nucleus with SMAD4 to induce the canonical signalling pathway. TGF β can also signal via
313 non-canonical pathways by activating PI3K/AKT, MAPK or NF- κ B. Via the canonical and
314 non-canonical pathways, TGF β influences cell cycle arrest, apoptosis, inflammation,
315 proliferation and more.

316 In endothelial cells, TGF β signalling can either inhibit or stimulate the cell growth and
317 function depending on the context (Goumans and Ten Dijke, 2017). TGF β signalling via
318 ALK1 induces proliferation and migration, whereas ALK5 signalling promotes plasminogen
319 activator inhibitor 1 (PAI1) expression, decreasing the breakdown of the ECM necessary for
320 maturation of the vessel wall (Goumans et al., 2002; Watabe et al., 2003). The two opposing
321 effects of TGF β signalling enable the initial growth of vessels followed by stabilization of the
322 ECM and attraction of SMCs. Moreover, endothelial TGF β signalling in concert with platelet
323 derived growth factor-BB is crucial for attracting and differentiating pre-SMCs during
324 vasculogenesis (Hirschi et al., 1998). Because of these crucial functions of TGF β during
325 embryonic development, loss of TGF β signalling in the vascular system, either total knockout

326 or SMC or endothelial cell specific deletion is embryonically lethal (Goumans and Ten Dijke,
327 2017). In SMCs TGF β induces a contractile phenotype, and dysregulation of TGF β therefore
328 can have a major impact on SMC phenotype (Guo and Chen, 2012). The importance of
329 endothelial TGF β signalling on SMC differentiation is illustrated by co-culture of endothelial
330 cells and SMCs. Cultured alone, the SMCs have a synthetic phenotype, but when co-cultured
331 with endothelial cells, they differentiate into contractile SMCs via the PI3K/AKT signalling
332 pathway (Brown et al., 2005).

333 The TGF β Type III receptor endoglin (*ENG*) is highly expressed by endothelial cells
334 and plays a role in the ALK1 and ALK5 signalling balance (Goumans et al., 2003). In fact,
335 without endoglin, endothelial cells stop proliferating as a result of decreased ALK1 signalling
336 (Lebrin et al., 2004). In addition, knock-out of *ENG* in mice causes embryonic lethality due to
337 impaired angiogenesis, whereas vasculogenesis remains intact (Li et al., 1999;Arthur et al.,
338 2000). This exemplifies the pivotal role for TGF β signalling in endothelial cells for proper
339 angiogenesis. As mentioned above, TGF β signalling, like Notch signalling, is important for
340 the process of EndoMT necessary for the developing cardiac valves. Chimera research using
341 *ENG*^{-/-} mice embryonic stem cells, added to WT mice morulae highlighted the indispensable
342 role of endoglin for EndoMT in the developing cardiac valves (Nomura-Kitabayashi et al.,
343 2009). These chimeric mice showed contribution of the *ENG*^{-/-} cells to the endothelium.
344 However, no *ENG*^{-/-} cells participated in populating the atrio-ventricular (AV) mesenchyme of
345 the developing AV cushions. Intriguingly, a single-nucleotide polymorphism in *ENG* was
346 found in BAV patients, indicating that in BAV patients endothelial TGF β signalling might be
347 altered, potentially promoting a phenotypic switch in the underlying SMCs (Wooten et al.,
348 2010).

349 Many studies using *in vitro*, *ex vivo* and histological methods, also indicate a role for
350 TGF β signalling in TAA formation in BAV. Unstimulated, cultured BAV and TAV SMCs
351 did not demonstrate any difference in gene expression in basal conditions, however after
352 TGF β stimulation, 217 genes were found differentially expressed between BAV and TAV
353 SMCs demonstrating a difference in TGF β signalling (Paloschi et al., 2015). Moreover,
354 induced pluripotent stem cells (iPSCs) derived from BAV patients with a dilated aorta
355 exhibited decreased TGF β signalling compared with iPSCs from TAV controls without aortic
356 dilation (Jiao et al., 2016). Conversely, a hypothesis-free analysis of the secretome of BAV
357 TAA indicated a highly activated TGF β signalling pathway in the aortic wall of BAV patients
358 when compared to the secretome of TAV aneurysmal aortic tissue (Rocchiccioli et al., 2017).
359 This study showed, using mass spectrometry on all proteins in conditioned medium of the
360 aortic samples, a 10-fold increase of latent TGF β binding protein 4 (LTBP4) in the BAV
361 samples (Rocchiccioli et al., 2017). Histological analysis identified that, compared to normal
362 aortic tissue, BAV dilated aortic tissue had an increase in SMAD3 and TGF β in the tunica
363 media (Nataatmadja et al., 2013). However, when compared to dilated TAV aorta, the
364 expression of SMAD 2/3 was higher in the TAV dilated aorta than the BAV dilated aorta
365 (Rocchiccioli et al., 2017). Furthermore, it has been shown that the circulating TGF β levels in
366 BAV patient are elevated, which is in agreement with studies showing increased TGF β
367 signalling (Hillebrand et al., 2014;Rueda-Martinez et al., 2017).

368 Multiple studies have demonstrated that antagonizing TGF β signalling in aneurysm
369 mouse models prevents and even reverses aneurysm formation (Habashi et al., 2006;Ramnath
370 et al., 2015;Chen et al., 2016). The positive effects of TGF β antagonism on aneurysm
371 formation were shown in using a neutralizing TGF β -antibody or by blocking the AT1
372 receptor using Losartan, which also decreases TGF β signalling. In different mice models,
373 Fibrillin-1 deficient, Fibulin-4 deficient and ANGII treated mice, the TGF β inhibition
374 prevented and reversed aortic aneurysm, making it a promising target for therapy (Habashi et
375 al., 2006;Ramnath et al., 2015;Chen et al., 2016). A study using cultured SMCs revealed that

376 Losartan treatment decreased intracellular TGF β protein levels and nuclear SMAD3
377 localization (Nataatmadja et al., 2013). BAV derived SMCs displayed a decrease in endoglin
378 expression upon Losartan treatment (Lazar-Karsten et al., 2016). Furthermore, serum TGF β
379 levels decreased when mice were treated with Losartan. The same was also seen in Marfan
380 patients on Losartan, validating the study results obtained in mice (Habashi et al., 2006; Matt
381 et al., 2009). However, as mentioned above, so far Losartan treatment does not seem to
382 decrease or prevent aneurysm formation in a clinical setting. Given the recent success of
383 specific TGF β blockers in other vascular disorders such as pulmonary arterial hypertension
384 (PAH) and restenosis, targeting the TGF β pathway more directly could be a strategy for
385 developing new treatment modalities for TAA (Yao et al., 2009; Yung et al., 2016).

386 **Endothelial dysfunction in other diseases: implications for BAV-TAA?**

387 Many cardiovascular disorders have highlighted the importance of normal endothelial
388 functioning for maintaining homeostasis across the vessel wall, such as atherosclerosis, brain
389 aneurysms, PAH and hereditary haemorrhagic telangiectasia (HHT). PAH and HHT are 2
390 major genetic diseases in which the role of the endothelial cells is well recognized. Two
391 recent advances in these research fields worth mentioning for future perspectives in BAV
392 TAA research, will be discussed in the next paragraphs.

393 PAH is an incurable fatal disease caused by remodelling of the pulmonary arteries.
394 Proliferation of the pulmonary artery smooth muscle cells (PASMCs) causes narrowing and
395 occlusion of the lumen, leading to an increased pressure in the lungs and increased load of the
396 right ventricle (Morrell et al., 2009). While originally defined as a SMC disorder, over the
397 past years dysfunction of the endothelial cells has become of interest in the pathogenesis of
398 PAH (Morrell et al., 2009; Sakao et al., 2009; Xu and Erzurum, 2011). The application of
399 conditioned medium from normal endothelial cells to PASMCs resulted in an increase in
400 PASMC proliferation rate (Eddahibi et al., 2006). This effect is exaggerated when adding
401 conditioned medium of endothelial cells from PAH patients. Complementary, PASMCs from
402 PAH patients showed an increased proliferation to both endothelial cell conditioned media,
403 compared with control PASMCs. Two of the major players identified within the conditioned
404 medium are miR-143 and miR-145. These miRs have been demonstrated to highly impact the
405 SMC phenotypic switch, inducing a contractile phenotype (Boettger et al., 2009). Expression
406 of these two miRs is regulated by TGF β and they have been shown to be secreted in
407 exosomes (Climent et al., 2015; Deng et al., 2015). Intriguingly, in PAH mouse models as well
408 as patient lung tissue and cultured SMCs, miR-143-3p expression is increased. Furthermore,
409 miR-143^{-/-} mice developed pulmonary hypertension, a phenotype that was rescued by
410 restoring miR-143 levels (Deng et al., 2015).

411 Interestingly, signalling from endothelial cells to SMCs concerning miR-143 and miR-
412 145 has also been investigated in atherosclerosis research (Hergenreider et al., 2012).
413 Transduction of HUVECs with the shear-responsive transcription factor KLF2, or exposure of
414 HUVECs to flow caused an increase in miR-143 and miR-145, indicating a flow
415 responsiveness of the miR-143 and miR-145 expression (Hergenreider et al., 2012).
416 Additionally, endothelial cells secreted miR-143 and miR-145 in microvesicles and targeted
417 gene expression in SMCs. Moreover, when treating *ApoE*^{-/-} mice with endothelial secreted
418 vesicles containing, amongst others, miR-143 and miR-145, the mice developed less
419 atherosclerosis (Hergenreider et al., 2012). SMCs of miR143 and miR-145 knockout mice
420 displayed increased migration and proliferation. In addition, analyses of the mouse aortas
421 showed EMC degradation in the miR-143 and miR-145 deficient mice. These results support
422 the findings of a role for miR-143 and miR-145 in inducing a contractile SMC phenotype
423 (Elia et al., 2009). Furthermore, in TAA miR-143 and miR-145 were found to be decreased
424 compared to non-dilated samples (Elia et al., 2009). The impact these miRs have on SMC

425 phenotype, the expression regulation by flow and their secretion by endothelial cells as well
426 as the decrease in TAA, makes them relevant and interesting for BAV TAA research. The
427 first study towards BAV and miR-143 and miR-145 was recently published, describing a local
428 decrease of miR-143 and miR-145 in the inner curve of the BAV aorta compared to the outer
429 curve. Moreover, they also found altered miR expression affecting mechanotransduction
430 (Albinsson et al., 2017).

431 Intriguingly, mechanotransduction has also been of interest in HHT research. HHT is a
432 vascular disease characterized by frequent severe bleedings due to fragile and tortuous blood
433 vessels. Disturbed TGF-beta signalling plays a major role in the development of these
434 malformed blood vessels. 80% of HHT patients have a mutation in *ENG* (HHT1) or *ALK1*
435 (HHT2) (McDonald et al., 2015). The endothelial cell-SMC communication is disrupted in
436 HHT, and recruiting and differentiation of SMCs falters causing improperly formed vessels.
437 Disturbed mechanotransduction in endothelial cells has been shown to impact BMP/Smad1/5
438 signalling as well as vessel stabilization in HHT (Baeyens et al., 2016b). By subjecting
439 endothelial cells to shear stress, SMAD1 was activated. Moreover, decreasing either ALK1 or
440 endoglin both inhibited the SMAD1 activation in response to flow. Interestingly, when co-
441 cultured with pericytes, both ALK1 and endoglin were found to be crucial for endothelial
442 shear stress induced migration and proliferation of these pericytes (Baeyens et al., 2016b). It
443 would be highly interesting to investigate if BAV endothelial cells also have an intrinsic
444 mechanotransduction defect causing the aorta to be prone to TAA development. The study by
445 Albinsson and colleagues showing the altered miR related to mechanotransduction in BAV
446 aorta samples is an important first step to lead the BAV TAA research field towards relevant
447 studies on mechanotransduction defects possibly explaining (part of the) BAV TAA
448 pathogenesis.

449 **Conclusions and future perspectives**

450 BAV is a common congenital cardiac malformation and the majority of BAV patients develop
451 TAA over time. Although the last decade has witnessed the discovery of several key findings
452 in the field of BAV-associated TAAs, the cellular and molecular mechanisms in BAV-
453 associated TAAs that drive the degeneration of media of the vessel wall are still largely
454 unknown. Many studies have focussed on changes in the signalling pathways in SMCs,
455 however the importance of endothelial cells and their contribution to the initiation and
456 progression of BAV-associated TAAs has not been appreciated in detail.

457 Under normal physiological conditions, endothelial cells and SMCs communicate with
458 each other for optimal function of the vessel wall in order to maintain homeostasis in the
459 circulatory system. Dysregulation of this communication can lead to medial degeneration and
460 aortic aneurysm, clearly demonstrated in animal models using ANGII infusion or eNOS
461 uncoupling. Interestingly, blocking TGF β signalling is a possible treatment option to prevent
462 TAA formation, as evidenced by multiple animal studies mentioned before. Patient samples
463 also indicate a pivotal role for these pathways as revealed by the dysregulation of eNOS,
464 Notch1 and TGF β signalling proteins in the BAV aortic tissue. The involvement of these
465 pathways is validated by the mutations that have been shown to cause BAV and/or TAA in
466 mouse models and the finding of mutations in these genes in patients with BAV and TAA. In
467 addition to these observations made *in vivo*, *in vitro* studies using patient derived endothelial
468 cells indicate an EndoMT defect in cultured cells from BAV patients. In conclusion, all
469 studies to date indicate great potential of an underexplored research field concerning the
470 endothelial-smooth muscle cell communication in the BAV TAA formation.

471 While hardly studied in BAV, the importance of endothelial functioning for vessel
472 homeostasis has been elucidated in other vascular disorders such as PAH, HHT and

473 atherosclerosis. In line with the latest research in these fields, it would be very interesting to
474 investigate if the mechanotransduction and/or microvesicle secretion is altered in endothelial
475 cells of BAV TAA patients. Unfortunately, research towards endothelial cell contribution in
476 BAV TAA pathogenesis has been hampered by the difficulty of obtaining non-end stage study
477 material. The discovery of circulating endothelial progenitor cells (EPCs) and endothelial
478 colony forming cells (ECFCs) will, however, provide a new study model, facilitating patient
479 specific analysis of the endothelial contribution to the disease (Asahara et al., 1997;Ingram et
480 al., 2004). Thus far, one study was published using these circulatory cells from BAV patients.
481 An impaired EPC migration and colony formation potential was shown when the cells were
482 isolated from BAV patients with a dysfunctional valve compared to BAV patients with a
483 normal functioning valve (Vaturi et al., 2011). Currently, the cause and effect of impaired
484 EPCs is unknown, and more research is required to understand the full potential of circulating
485 endothelial progenitor cells in BAV TAA pathogenesis and their use as a biomarker for
486 patient stratification.

487 Although few studies on the role of endothelium in BAV disease and its associated
488 TAAs have been performed in the last decade, some seminal papers have been published. In
489 this review, we have created an overview of the recent studies implicating endothelial cells as
490 a pivotal player of vascular homeostasis, and their underappreciated role in TAA pathogenesis
491 in patients with a BAV. Figure 3 schematically depicts the different factors and processes
492 involved in BAV TAA development as discussed throughout this review. Up to date, we are
493 still unable to stratify and cure these patients. Therefore, further research is required to
494 understand the role of endothelial cells and comprehend the interplay between endothelial
495 cells and SMCs in BAV-associated TAA. In conclusion, appreciation of the role of
496 endothelium is crucial for a better understanding of BAV TAA pathogenesis, which is
497 necessary in development of new therapeutic strategies for the BAV-associated TAAs.

498 **Acknowledgements:**

499 We acknowledge support from the Netherlands CardioVascular Research Initiative: the Dutch
500 Heart Foundation, Dutch Federation of University Medical Centers, the Netherlands
501 Organization for Health Research and Development, and the Royal Netherlands Academy of
502 Sciences Grant CVON-PHAEDRA (CVON 2012-08) and the Dutch heart foundation grant
503 number 2013T093 awarded to the BAV consortium.

504

In review

505 **Figures**

506 *Figure 1. Structure of normal and diseased aortic wall.* Images of aortic tissue showing
507 elastic lamellae (stained with RF) or smooth muscle cells (SM22 staining) On the left is
508 normal aortic tissue, the right image shows aortic tissue with fragmentation of the lamellae or
509 loss of contractile SMCs.

510 *Figure 2. Schematic overview of signalling pathways between endothelial cells and SMCs.* A
511 simplified overview on the communication between endothelial cells and SMCs is depicted.
512 Extensive crosstalk between pathways such as Notch1, ANGII, TGF β and NO can influence
513 proliferation and differentiation of SMCs and affect the phenotypic switch of SMCs.

514 *Figure 3. Schematic overview of events in development of aortic dilation.* Schematic overview
515 of an aorta over time. Initiation by flow and/or genetics causes endothelial cell dysfunction,
516 affecting the aortic structure i.e. causing synthetic SMCs and lamellar fragmentation.

In review

517 **Table 1** Consequences of genetics associated with BAV on cardiac malformations and endothelial cell functioning

Pathway	Mutation	Effect	Other cardiovascular malformations	BAV occurrence	Effect of mutation on endothelial function
TGFb	<i>GATA5^{cre}ALK2^{fl/fl}</i> b (Thomas et al., 2012)	ALK2 deletion in cushion mesenchyme	not/under developed non-coronary leaflet	78-83%	Constitutively active ALK2 induces EndoMT and is required for HDL induced EC survival and protection from calcification (Yao et al., 2008;Medici et al., 2010)
	<i>ENG^a</i> (Wooten et al., 2010)	Conservative peptide shift	HHT	Increased haplotype in BAV with an OR of 2,79	Flow and ligand induced EC migration is disrupted increased proliferation and responsiveness to TGFβ1 (Pece-Barbara et al., 2005;Jin et al., 2017)
	<i>TGFBR2^a</i> (Attias et al., 2009;Girdauskas et al., 2011b)	Missense/nonsense/ splicing mutations	LDS, Marfan, TAA	7% of the cohort	Maintenance of vascular integrity (Allinson et al., 2012)
	<i>SMAD6^a</i> (Tan et al., 2012)	Loss of function	AoS, AoC and aortic calcification	3/436 patients, 0/829 controls	Increases SMAD6, inhibits TGFβ signalling (Topper et al., 1997)
	<i>ADAMTS5^{-/-} SMAD2^{+/-}</i> b (Dupuis et al., 2013)	Loss of function for Adamts5 and SMAD2	Myxomatous valves, BPV	75% Non-coronary with either left or right coronary cusp	Embryonic vascular instability, SMAD2 increases eNOS expression (Itoh et al., 2012)
Other	<i>IFT88^{fl/fl}NFATC^{Cre}</i> b (Toomer et al., 2017)	Endothelial specific loss of primary cilia	-	68% BAV right/non-coronary fusion	ECs without primary cilia undergo EndoMT upon shear stress (Egorova et al., 2011)
	<i>eNOS^{-/-}</i> b (Lee et al., 2000)	No functional eNOS	-	42% BAV right/non-coronary fusion	Decreased EndoMT (Forstermann and Munzel, 2006)
	<i>GATA5^a / TIE2^{cre} GATA5^{fl/fl}</i> b (Bonachea et al., 2014;Shi et al., 2014) (Laforest and Nemer, 2012)	Reduced Gata5 activity Gata5 ^a / Gata5 deletion in ECs ^b	VSD, aortic stenosis ^a / LV hypertrophy, AS ^b	autosomal dominant BAV inheritance ^a / 25% ^b	Altered PKA and NO signalling (Messaoudi et al., 2015)
	<i>NOTCH1^a</i> (Garg et al., 2005)	Autosomal dominant mutant notch1	CAVD and other cardiac malformations	Autosomal dominant inheritance with complete penetrance	NOTCH1 increases calcification, oxidative stress and inflammation, when exposed to shear stress (Theodoris et al., 2015)
	<i>NKX2.5^a</i> (Qu et al., 2014)	Loss of function	ASD, PFO, AS and conduction defects	One family with an autosomal dominant inheritance	-
	<i>ACTA2^a</i> (Guo et al., 2007)	Missense mutation	Family with FTAAD	3/18 patients with TAAD and mutation	-
	<i>FBN1^a</i> (Attias et al., 2009)	Diverse	Marfan, TAA	4% of the cohort	-

^a found in human, ^b found in mice, OR= Odds ratio, AoC= Aortic coarctation, AoS= Aortic valve stenosis, AS= Aortic stenosis, ASD= Atrial septal defect, BPV= Bicuspid pulmonary valve, CAVD= calcific aortic valve disease, HHT= Hereditary hemorrhagic telangiectasia, LDS= Loeys-Dietz syndrome, LV= Left ventricle, PFO= Patent foramen ovale

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Figure 1.JPEG

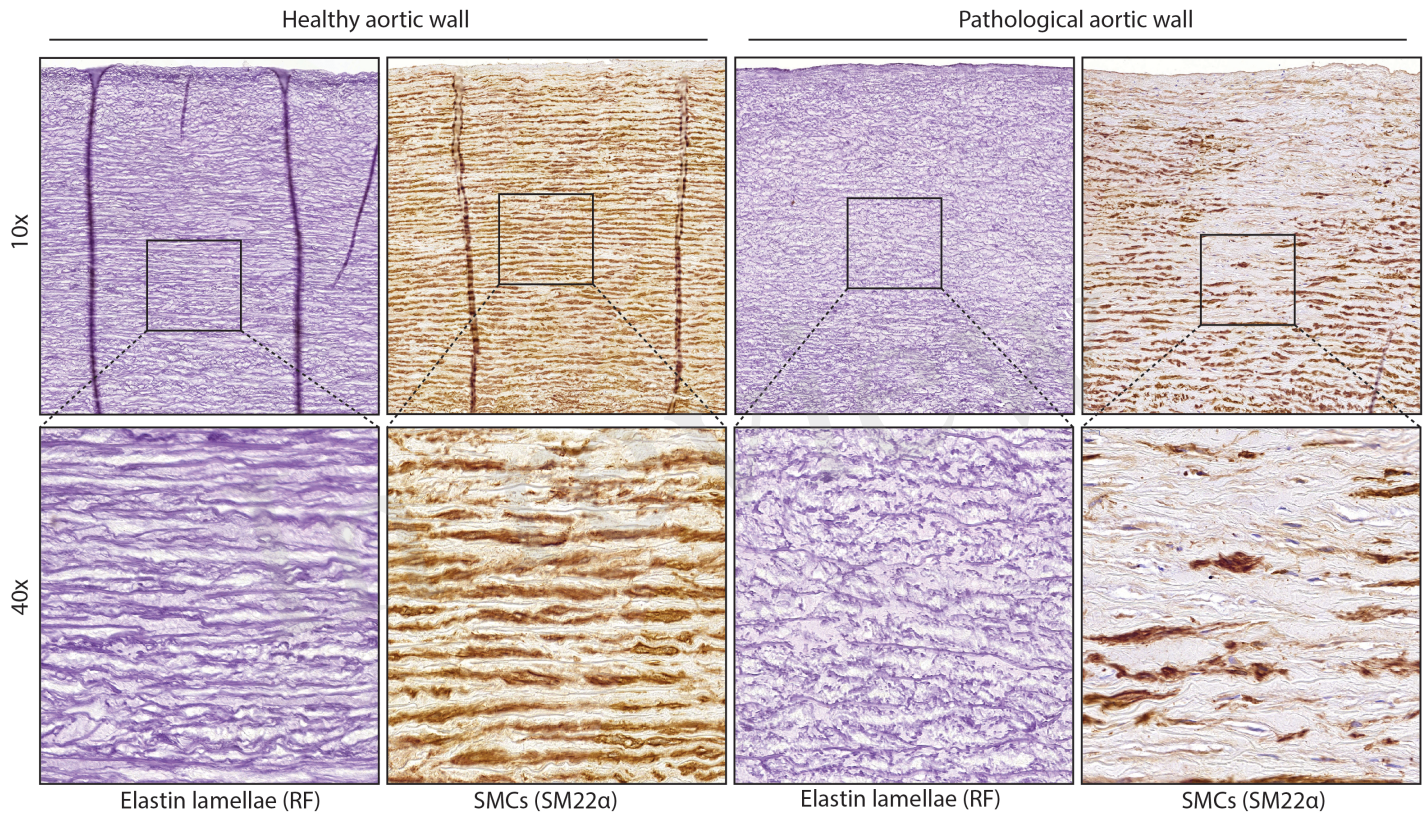


Figure 2.JPEG

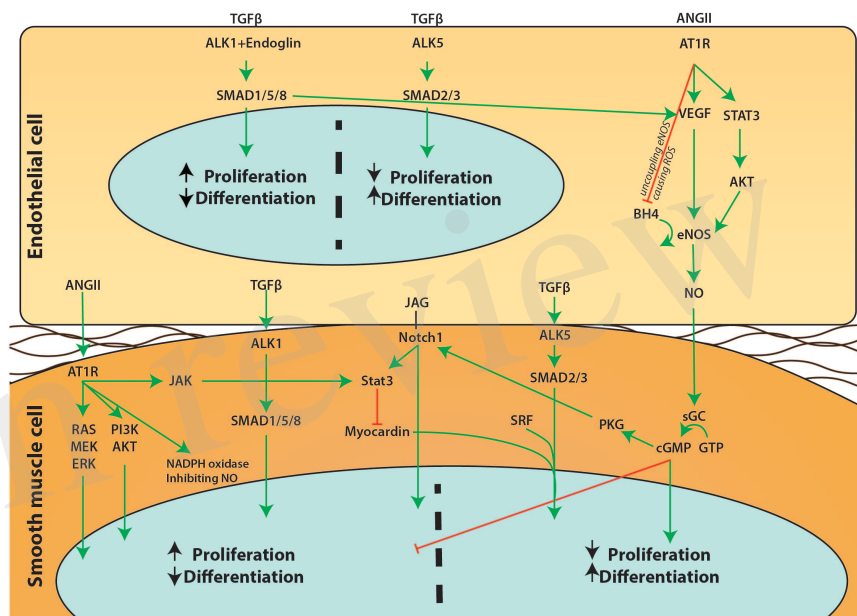


Figure 3.JPEG

