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# Dynamic RSA for the evaluation of inducible micromotion of Oxford UKA during step-up and step-down motion

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**Background and purpose** — Implant inducible micromotions have been suggested to reflect the quality of the fixation interface. We investigated the usability of dynamic RSA for evaluation of inducible micromotions of the Oxford Unicompartmental Knee Arthroplasty (UKA) tibial component, and evaluated factors that have been suggested to compromise the fixation, such as fixation method, component alignment, and radiolucent lines (RLLs).

**Patients and methods** — 15 patients (12 men) with a mean age of 69 (55–86) years, with an Oxford UKA (7 cemented), were studied after a mean time in situ of 4.4 (3.6–5.1) years. 4 had tibial RLLs. Each patient was recorded with dynamic RSA (10 frames/second) during a step-up/step-down motion. Inducible micromotions were calculated for the tibial component with respect to the tibia bone. Postoperative component alignment was measured with model-based RSA and RLLs were measured on screened radiographs.

**Results** — All tibial components showed inducible micromotions as a function of the step-cycle motion with a mean subsidence of up to  $-0.06$  mm (95% CI:  $-0.10$  to  $-0.03$ ). Tibial component inducible micromotions were similar for cemented fixation and cementless fixation. Patients with tibial RLLs had  $0.5^\circ$  (95% CI:  $0.18$ – $0.81$ ) greater inducible medio-lateral tilt of the tibial component. There was a correlation between postoperative posterior slope of the tibial plateau and inducible anterior-posterior tilt.

**Interpretation** — All patients had inducible micromotions of the tibial component during step-cycle motion. RLLs and a high posterior slope increased the magnitude of inducible micromotions. This suggests that dynamic RSA is a valuable clinical tool for the evaluation of functional implant fixation.

Conventional radiostereometric analysis (RSA) has proven valuable in the evaluation of fixation for hip and knee arthroplasty, as early RSA evaluations have shown high predictive value for later aseptic component loosening (Kärrholm et al. 1994, Ryd et al. 1995, Nieuwenhuijse et al. 2012, Pijls et al. 2012). As an alternative to measure component migration over time, RSA has been used to measure real-time inducible component micromotion, defined as “reversible motion of the prosthesis relative to the bone induced by external force” (Toksvig-Larsen et al. 1998). The magnitude of component inducible micromotion has been suggested to reflect the development and quality of the prosthesis—bone/cement—bone fixation interface (Hilding et al. 1995, Regné et al. 2000, Uvehammer 2001). Inducible micromotion of knee prostheses has previously been measured under static loaded conditions or during limited range of motion (Hilding et al. 1995, Toksvig-Larsen et al. 1998, Bragonzoni et al. 2005, Digas et al. 2013).

Currently, RSA is developing towards pulsed synchronized exposures with higher frame rates and a larger recording area (dynamic RSA), which makes the method ideal for evaluation of inducible micromotions during normal loaded functions. This may provide a better understanding of factors that compromise implant fixation (Kärrholm et al. 2006). Some of the main factors that have been suggested to affect the fixation of Oxford UKA are: component alignment, the fixation method, and the development of periprosthetic radiolucent lines (RLLs) (Aleto et al. 2008, Pandit et al. 2009, Gray et al. 2010, Kendrick et al. 2012, 2015, Small et al. 2013).

The purpose of this study was to investigate the usability of dynamic RSA for the evaluation of inducible micromotions of the Oxford UKA tibial component during a step-up and step-down motion, and to evaluate factors that have been suggested

**Table 1.** Patient demographics of the cemented and cementless Oxford UKA groups, taking account of the presence of tibial RLLs

	Cemented tibia (n = 7)		Cementless tibia (n = 8)	
	No RLLs (n = 5)	RLLs (n = 2)	No RLLs (n = 6)	RLLs (n = 2)
Male / female	5 / 0	0 / 2	5 / 1	2 / 0
Right / left	4 / 1	1 / 1	1 / 5	0 / 2
Years in situ <sup>a</sup>	4.5 (3.6–5.0)	3.9 (3.8–4.0)	4.5 (4.1–4.9)	4.5 (3.9–5.1)
Age <sup>a</sup>	73 (66–81)	62 (56–68)	66 (55–73)	76 (67–86)
BMI <sup>a</sup>	31 (26–35)	30 (26–30)	29 (24–35)	29 (27–31)
Scores <sup>a</sup>				
Oxford knee	43 (24–48)	43 (38–47)	46 (43–48)	42 (36–47)
AKSS knee	88 (71–95)	86 (73–99)	95 (91–100)	77 (59–95)
AKSS function	86 (30–100)	95 (90–100)	100 (100–100)	95 (90–100)

<sup>a</sup> Mean (range).

There was no statistically significant difference in patient demographics ( $p > 0.4$ ) or in the presence of RLLs ( $p = 0.9$ ) between the cemented group and the cementless group; nor was there a significant difference in patient demographics ( $p > 0.08$ ) or fixation method ( $p = 0.9$ ) between patients with and without tibial RLLs.

to compromise the fixation interface. We evaluated inducible micromotions for (1) fixation methods (cemented vs. cementless), (2) component alignment, (3) tibial RLLs, and (4) clinical outcome.

## Patients and methods

### Participants (Table 1)

15 patients from a randomized multicenter study regarding long-term fixation of cemented and cementless hydroxyapatite-coated Oxford UKA (Biomet Inc., Warsaw, IN) accepted an invitation to this cross-sectional study. The patient group in the randomized multicenter study consisted of 79 individuals who all underwent UKA surgery in the period 2009–2011. Since their surgery, they had been followed with RSA and screened radiographs to determine implant migration of the tibial component and RLLs. The 2-year RSA data, which were available at patient recruitment, showed no excessive migration, but the 5-year follow-up that followed showed that 1 of the 15 patients had continuous migration (unpublished data; Clinical Trials: NCT00679120).

24 patients (10 cementless and 14 cemented) were invited and 9 declined; the other 15 were evaluated after we had obtained informed consent. The inclusion criteria for this cross-sectional study were being mobile (having no problem with 30-cm step-up), having good marker distribution (condition number (CN) < 80), and having given informed consent. In addition, we attempted to include patients with tibial RLLs and an equal number with cemented and cementless fixation.

### Dynamic RSA (Table 2)

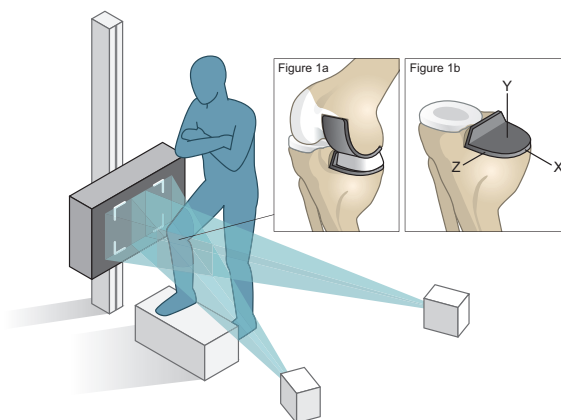
#### Set-up

The dynamic RSA set-up consisted of a direct digital dedi-

**Table 2.** Methodological results of dynamic RSA on all 15 patients

	Mean	SD	Range
RSA measurement frames			
Total	25	3.4	20–31
Step-up	12	1.6	10–15
Step-down	12	2.2	10–17
Markers in MC model <sup>a</sup>	7	0.8	5–8
CN number	35	9.2	21–58
CAD model fitting error, mm	0.14	0.03	0.11–0.18

<sup>a</sup> During the recordings, 2 patients had 1 occluded marker and 2 patients had 2 occluded markers.



**Figure 1.** An overview of the dynamic RSA set-up for the step-cycle motion (with the patient standing in the initial unloaded starting position for the step-up motion). a. The orientation of the Oxford UKA. b. The component-specific reference axis of the tibial component used for the RSA analysis.

cated stereo X-ray system (AdoraRSA suite; NRT, Aarhus, Denmark). 2 ceiling-mounted X-ray tubes with automatic positioning were set horizontally at 40 degrees with respect to each other, and the distance between the tubes and detectors was set at the maximum of 330 cm to obtain the largest possible recording area (exercise zone for the patient). The 2 digital X-ray image detectors (CXDI-70C; Canon, Tokyo, Japan) were slotted behind a uniplanar carbon-fiber calibration box (Carbon Box 14; Medis Medical Imaging Systems BV, Leiden, the Netherlands). The resolution of the dynamic images was 79 dpi.

#### Recordings

Each patient was recorded with dynamic RSA (10 frames/s) during a continuous in-plane step-up and step-down motion (step-cycle motion) on a 30-cm box. The step-cycle motion was performed single-legged with the operated leg at self-selected speed (Figure 1). This resulted in unequal numbers of measurement frames between patients.

During the recordings, great effort was made to correct patient positioning, assisted by built-in laser guidance, ensur-

**Table 3.** Tibial component precision analysis (n = 15) based on pairwise analysis of the 3 unloaded reference frames<sup>a</sup>

	Tx (mm)	Ty (mm)	Tz (mm)	Rx (°)	Ry (°)	Rz (°)
Mean	-0.01	0.00	0.00	0.00	0.00	0.00
1.96 x SD	0.34	0.11	0.19	0.36	0.88	0.88

<sup>a</sup> Translations are labeled Tx, Ty, and Tz. Rotations are labeled Rx, Ry, and Rz. The mean was calculated from the average of the 3 pairwise samples. SD was calculated from the square root of the pooled variances ( $SD = \sqrt{(s_1 + s_2 + s_3)/3}$ ).

ing a standardized set-up. 2 balance-railings ensured patient safety. The recordings were obtained as a DICOM file and converted to high-quality JPEG images using Matlab 2014b (The MathWorks Inc., Natick, MA).

### Model-based RSA analysis

Model-based RSA version 4.01 (RSAcore; LUMC, Leiden, the Netherlands) was used for analysis of the recordings. Each patient recording was calibrated using the first frame, and a patient-specific marker configuration model (MC model) of the tibial bone markers was constructed. The MC model makes the RSA analysis more robust regarding occluded markers (Kaptein et al. 2005). The method assumes a proper accuracy of the MC model; thus, each model was checked visually for errors using 3 different frames. CAD models of the tibial component were provided by Biomet Inc.

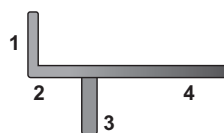
For each RSA frame, micromotions of the tibial component with respect to the tibia bone (MC model), were computed using 3 different unloaded reference RSA frames. These unloaded reference frames were selected from the unloaded starting position (Figure 1). This procedure was used to reduce the effect of the random error in the reference RSA frame on the micromotion results. The inducible micromotions are expressed as translations, rotations, and maximum total point motion (MTPM) with respect to the tibial component (Figure 1b). MTPM is defined as the amount of translation of the surface point of the tibial component model with the largest translation vector (Valstar et al. 2005). For a left-sided knee, the X-translations and the Z-rotations were mirrored to reflect a right-sided knee (ISO 2013).

### Precision analysis

The 3 unloaded reference frames were used as “double exposures” in order to approximate the precision. The precision analysis was performed pairwise: 1-2, 2-3, 3-1 (Table 3).

### Quantification of tibia inducible micromotions

For the purpose of data quantification, we described the inducible micromotions as a function of percent step-cycle motion (step-up: 0–50%; step-down: 50–100%) and calculated the mean inducible micromotion (MIM) for each centile interval (0–10%, 10–20%, etc.). MIMs were calculated from a mini-



**Figure 2.** AP view of the Oxford tibial component, illustrating the regions used for RLL registration.

imum of 2 measurement frames and a maximum of 4, and were used in further statistical analysis.

To describe the maximal total amount of inducible micromotion for each patient, we calculated the range of the MIMs for each migration parameter for the complete step-cycle motion. This summation measure will be denoted the maximal total inducible micromotion (MTIM).

### Radiographic outcome measures (Figure 2)

Screened radiographs from the 5-year follow-up were used to detect RLLs beneath the tibial tray. A radiologist (LR) performed all the measurements and an RLL was noted when radiolucency was  $\geq 1$  mm thick. Tibial RLLs were divided into 4 regions: (1) adjacent to the vertical wall, (2) lateral flat region, (3) adjacent to the vertical stem, and (4) medial flat region (Figure 2). The 4 cases with tibial RLLs were treated as one group in the analysis.

Posterior slope and varus slope of the tibial component were measured in the postoperative RSA examination by calculating the relative angle between the tibial component and the anatomical axis of the tibia bone using model-based RSA. For this, we used the 3D orientation of the tibial CAD model and the anatomical axis of the tibia bone, which was found by fitting an EGS cone model to the proximal tubular tibia bone (Kaptein et al. 2006).

### Clinical outcome measures

Oxford knee score (OKS; range: 0–48), visual analog pain score (VAS; range: 0–10), and American Knee Society score (AKSS; knee score: range 0–100; function score: range 0–100) were recorded for all patients on the same day as the examination with dynamic RSA (Dawson et al. 1998). 2 pain VAS scores were evaluated: 1 for rest and 1 for everyday activities.

### Statistics

We considered the most important test parameters to be Y-translations (subsidence), Z-rotations (medio-lateral tilt), and X-rotations (anterior-posterior tilt). Repeated-measures ANOVA (with Greenhouse-Geiser corrections) were used to test whether the step-cycle motion had a statistically significant effect on MIMs. Repeated-measures ANOVA F-statistics will be reported as:  $F(df_{time}, df_{error}) = F\text{-value}$  (p-value). 2-sample Satterthwaite t-tests were used to compare the magnitude of the MTIMs between the cemented group and the cementless group, and between patients with and without tibial RLLs. Gaussian distributions were evaluated in QQ-plots. Spearman’s rho was used to test for correlations between the MTIMs and component alignment and between MTIMs and

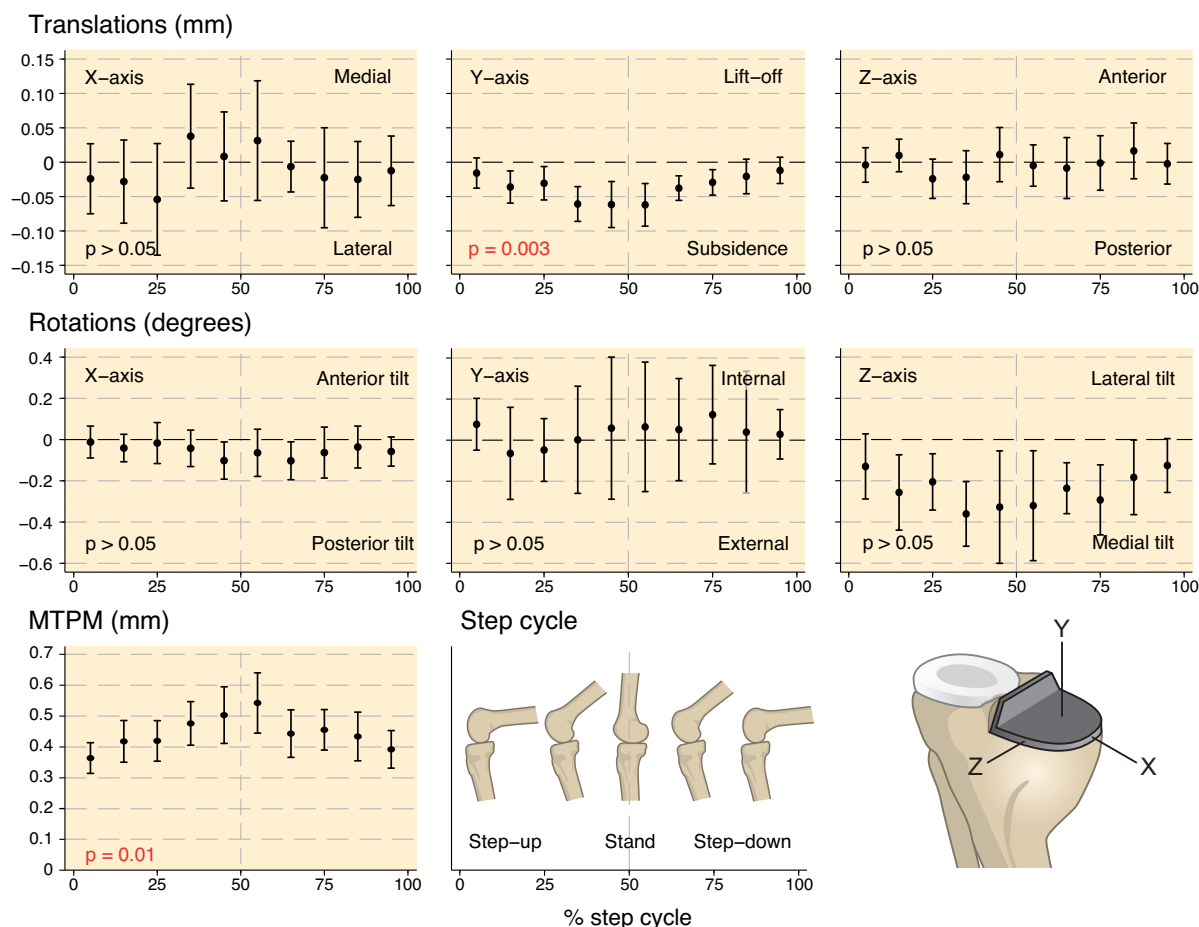


Figure 3. Graphs presenting the mean inducible micromotions (MIMs) of all migration parameters as a function of the % step-cycle motion for the overall patient group ( $n = 15$ ) with 95% CIs. The p-values are derived from repeated-measures ANOVA tests.

clinical outcome scores. 95% confidence intervals (CIs) were calculated for component alignment, MTIMs, and MIMs. Any p-values less than 0.05 were considered statistically significant. All analyses and graphs were computed using Stata 13.0 software.

### Ethics and registration

Approval for the study was obtained from the local ethics committee (1-10-72-285-14; d. 11/11/2014) and the Data Protection Agency (1-16-02-470-14; d. 01/09/2014), and the study was carried out in line with the Helsinki Declaration (II). Detailed information and inclusion criteria for the randomized study can be found at ClinicalTrials.gov (Clinical Trials identifier: NCT00679120).

### Results

The step-cycle motion had a statistically significant effect on tibial component inducible micromotions in all 15 knees. Inducible micromotions were represented in Y-translations

(subsidence)  $F(5, 69) = 4$  ( $p = 0.003$ ) and MTPM  $F(3, 44) = 4$  ( $p = 0.01$ ) (Figure 3). The largest MIM was  $-0.06$  mm subsidence (CI:  $-0.10$  to  $-0.03$ ) and  $0.54$  mm MTPM (CI:  $0.44$ – $0.65$ ) for centile interval 50–60% (stand-phase). After a sensitivity analysis (removing the patients with continuous migration), these results were still evident ( $p \leq 0.01$ ).

### Fixation method

There was no statistically significant difference in MTIMs between the cemented group and the cementless group ( $p \geq 0.2$ ) (Table 4).

### Tibial radiolucent lines

The 4 patients with RLLs ( $n = 2$  cemented,  $n = 2$  cementless) all had 1- to 2-mm partial RLLs located at the vertical wall (region 1). 1 patient had a 1-mm RLL in region 2 (cemented) and 1 patient had a 1-mm RLL in region 3 (cementless). On average, patients with tibial RLLs had  $0.5^\circ$  (CI:  $0.18$ – $0.81$ ) greater MTIM medio-lateral tilt (Z-rotations) than patients without tibial RLLs ( $p = 0.01$ ) (Table 4).



**Table 4.** Mean difference in the maximal total inducible micromotions (MTIMs) for cemented and cementless Oxford UKAs and patients with and without tibial radiolucent lines (RLLs)

	Cemented (n = 7) versus cementless (n = 8)			Tibial RLL (n = 4) versus no tibial RLL (n = 11)		
	Mean diff. <sup>a</sup>	95% CI	p-value	Mean diff. <sup>b</sup>	95% CI	p-value
Translations (mm)						
x	0.01	-0.11 to 0.12	0.9	0.02	-0.11 to 0.15	0.7
y	-0.03	-0.10 to 0.03	0.2 <sup>c</sup>	0.02	-0.05 to 0.09	0.7
z	0.03	-0.02 to 0.08	0.2	0.02	-0.04 to 0.08	0.5
Rotations (°)						
x	0.11	-0.10 to 0.32	0.3 <sup>c</sup>	0.36	-0.18 to 0.90	0.2 <sup>d</sup>
y	-0.09	-0.54 to 0.37	0.7	0.37	-0.09 to 0.83	0.1
z	-0.18	-0.56 to 0.19	0.3	0.50	0.18 to 0.81	0.01
MTPM (mm)	-0.05	-0.21 to 0.10	0.5	0.09	-0.07 to 0.25	0.2

<sup>a</sup> Mean difference in MTIMs = cemented – cementless  
<sup>b</sup> Mean difference in MTIMs = RLL – no RLL  
<sup>c</sup> Equal variance was not accepted, so we computed an unequal t-test.  
<sup>d</sup> t-test performed on log-transformed data.

### Component alignment

Mean postoperative posterior slope of the tibial components was 5° (CI: 3–8). A positive correlation ( $r = 0.7$ ,  $p = 0.01$ ) was found between the degree of posterior slope and the MTIM anterior-posterior tilt (X-rotations). The patient with the most extreme degree of posterior slope (12°) had 0.83° of MTIM anterior-posterior tilt during the step-cycle motion, which was considerably greater than the mean MTIM ( $n = 15$ ) of 0.34° (CI: 0.23–0.44). This particular patient also had pain during daily activities (VAS = 4), tibial RLLs, and was measured with continuous migration of 1.3 mm between the 2- and 5-year follow-up (conventional RSA).

Mean varus slope was 6° (CI: 4–7), and there was no statistically significant correlation with MTIMs ( $r \leq 0.4$ ,  $p \geq 0.2$ ).

### Clinical outcome scores

14 of the 15 patients were very satisfied with the Oxford UKA (as measured with AKSS). None of the patients had pain during rest, although 3 patients had pain during everyday activities (2 with VAS = 4 and 1 with VAS = 3). There was no statistically significant correlation between pain and MTIM ( $r \leq 0.4$ ,  $p \geq 0.1$ ). Likewise, AKSS and OKS were not statistically significantly correlated with MTIMs ( $r \leq 0.5$ ,  $p \geq 0.1$ ); nor was age, sex, or BMI ( $r \leq 0.5$ ,  $p \geq 0.1$ ).

### Discussion

We have shown that cemented and cementless Oxford UKA tibial components have inducible micromotions as a function of the step-cycle motion, and that the magnitude of the inducible micromotions increases with the presence of RLLs and the degree of posterior slope. These findings support the use of dynamic RSA for the evaluation of inducible micromotions

and for assessment of factors that have been suggested to compromise the fixation of the Oxford UKA tibial component.

The micromotions measured were very small and often below the precision attainable, which ranged from 0.11 to 0.34 mm for translations and from 0.36 to 0.88° for rotations. Yet, a valid and statistically significant result can still be obtained when enough measurements are made.

The accuracy of dynamic model-based RSA is complex, as inaccurate surface models may cause different fitting errors, depending on the pose of the implant (Kaptein et al. 2003). A phantom experiment performed before this study did not show systematic bias when the Oxford UKA was evaluated under automated bicycle motions using exactly the same set-up (unpublished data). Nevertheless, it is crucial to evaluate the direction of inducible micro-

motions and the biomechanical rationale.

We found that tibial component inducible micromotions were represented as subsidence and MTPM for the overall patient group. Subsidence is to be expected, since the Oxford UKA has a fully congruent design with a mobile bearing to minimize shear force and induce compressive loading (Simpson et al. 2008, Kendrick et al. 2015). It is, however, surprising that subsidence followed a quadratic trend with a maximum during stand-phase, as one would expect the peak during the mid-phase of step-up and step-down, due to the muscle forces applied to the tibial plateau (Zhao et al. 2007). An explanation could be that a stable fixation interface acts like a spring, from which the implant only subsides until a certain point—and that it occurs gradually with the outflow of fluid in the fixation interface. Another explanation could be that the muscle forces apply more load to the natural condyle during the initial phase, while with a straight knee the muscles relax a bit, causing the load to be applied to the prosthetic condyle.

MTPMs were considerably greater than subsidence and followed a similar trend. Larger values of MTPM are to be expected, as it represents the largest translation vector of the tibial component and includes the noise of both translations and rotations (Valstar et al. 2005).

We did not find statistically significant anterior-posterior or medio-lateral tilt in the overall patient group. This was unexpected, as sliding of the mobile bearing induces edge-loading of the tibial component.

The measured subsidence and MTPM are probably of benign nature, as all patients except 1 had a stable and mature fixation, as judged from the 5-year follow-up conventional RSA. Still, it is theoretically better to have as small magnitudes of inducible micromotions as possible since they are thought to fatigue the cement, inhibit bony ingrowth, and reflect the quality of the fixation interface (Pilliar et al. 1986, Hilding et al.

1995, Jasty et al. 1997, Regnér et al. 2000, Uvehammer and Kärrholm 2001, Cristofolini et al. 2008).

We found similar magnitudes of inducible micromotions for the cemented and cementless Oxford UKA, which is in line with recent publications that also found no difference in fixation properties after the first year (Pandit et al. 2009, Akan et al. 2013, Kendrick et al. 2015).

The 0.5° greater magnitudes of medio-lateral tilt in patients with RLLs compared to patients without RLLs seem logical, as RLLs represent fibro-cartilaginous interposition in the fixation interface. This is thought to reflect suboptimal fixation and increased stress in the underlying bone (Gray et al. 2010, Kendrick et al. 2012). Also, the RLLs were mainly located adjacent to the lateral vertical wall, which corresponds well with the medio-lateral tilt motion.

The correlation between the degree of posterior slope and the magnitude of inducible anterior-posterior tilt is interesting, as there is a good biomechanical explanation. A study evaluating Oxford UKA tibial load in 60 tibial composite Sawbones measured a large increase in posterior strain immediately distal to the prosthesis when the tibial component was implanted with a posterior slope of 5° and beyond (Small et al. 2013). Similar findings were obtained by Sawatari et al. (2005). Aleto et al. (2008) observed that posterior collapsing of UKA tibial components was associated with a mean posterior slope of 12°.

There are different reports in the literature on the extend to which inducible micromotions are caused by the properties of the fixation interface (implant-cement or cement-bone/prosthesis-bone) or the elastic properties of the bone. Toksvig-Larsen et al. (1998) observed that the size of inducible micromotions did not change after the formation of the fibrous tissue, and concluded that inducible micromotions were partly due to the elastic properties of the bone. Other studies have suggested that micromotion mainly occurs in the fixation interface (Ryd et al. 1987, Regnér et al. 2000). In our study, at least some of the inducible micromotions happened in the RLLs and from the suboptimal loadings caused by increasing posterior slope. The clinical significance of these findings is unknown, although it does indicate a good agreement between the conditions of the implant (extreme malalignment, tibial RLLs) and the size of inducible micromotions. This is especially evident from the patient with the extreme posterior slope (12°), tibial RLL, and pain during activities, as very large anterior-posterior tilting motions were measured. The fact that this patient had considerable continuous migration supports this finding, and the tibial component was probably loose. This suggests that dynamic RSA is a useful clinical tool for the evaluation of the fixation of symptomatic implants.

We acknowledge that the study had limitations such as the small group size, the marginal group stratification, and that multiple hypothesis testing increased the risk of type-I and type-II error. However, it is important to emphasize that the purpose of this study was not to generalize the results, but to

see whether dynamic RSA can be used to measure inducible micromotions and possible clinical problems.

It should also be noted that the summation measure used for hypothesis testing was the MTIM. MTIM solely represents the magnitude (range) of inducible micromotions and does not account for the trend or direction of motion. Furthermore, the precision provided is not based on true double examinations as stated in the ISO 2013 standard. True double examinations in dynamic RSA are difficult to obtain, as this requires 2 consecutive recordings matched at corresponding loading phases. This is problematic with regard to X-ray dosage, requires a lot of work, and complicates the precision analysis.

To summarize, all Oxford UKA tibial components had inducible micromotions represented as subsidence and MTPM. The inducible micromotions followed a clear trend, as they increased during the step-up motion and decreased during the step-down motion. There was no difference in the magnitude of inducible micromotions between cemented and cementless tibial components. The degree of posterior slope and the presence of partial radiolucent lines of  $\geq 1$  mm showed correlation to larger magnitude of inducible micromotions.

These findings advocate the use of dynamic RSA for the evaluation of inducible micromotions and component fixation in symptomatic implants. With further methodological advancements and the establishment of threshold values defining loose implants, dynamic RSA has the potential to become a valuable clinical tool.

KH, PBJ, BLK, and MS had the idea for the research and designed the study. KH performed the dynamic RSA examinations, performed RSA- and data analysis, and wrote the first draft of the article. MS, BLK, and PBJ helped with data analysis and interpretation. LR performed the radiological measurements. All the authors revised the draft manuscript.

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No competing interests declared.

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