

1 Venous Thrombosis Risk after Arthroscopy of the Knee:

2 Derivation and Validation of the L-TRiP(ascopy)score

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6 **Short title: Prediction of VT risk after knee arthroscopy**

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30 **Summary**

31 Patients at high risk for Venous Thrombosis(VT) following knee arthroscopy could potentially
32 benefit from thromboprophylaxis. We explored the predictive values of environmental,
33 genetic risk factors and levels of coagulation markers to integrate these into a prediction
34 model. Using a population based case-control study into the aetiology of VT we developed a
35 *Complete* (all variables), *Screening* (easy to use in clinical practice) and *Clinical* (only
36 environmental risk factors) model. The *Clinical* model was transformed into the *L-*
37 *TRiP(ascopy)* score. Model validation was performed both internally and externally in
38 another case-control study. 4943 cases and 6294 controls were maintained in the analyses,
39 107 cases and 26 controls had undergone knee arthroscopy. Twelve predictor variables (8
40 environmental, 3 haemorrhological and 1 genetic) were selected from 52 candidates and
41 incorporated into the *Complete* model (Area Under the Curve(AUC) of 0.81, 95%CI 0.76–
42 0.86). The *Screening* model (9 predictors: environmental factors plus FVIII activity) reached
43 an AUC of 0.76 (95%CI 0.64–0.88) and the *Clinical (and corresponding L-TRiP(ascopy))* model
44 an AUC of 0.72 (95%CI 0.60 – 0.83). In the internal and external validation, the *Complete*
45 model reached an AUC of 0.78 (95%CI 0.52–0.98) and 0.75 (95%CI 0.42-1.00), respectively,
46 while the other models performed slightly less well.

47 **Keywords:** Venous Thrombosis, Risk Factors, Epidemiological studies, Orthopaedics,
48 Prevention

49

50 **Introduction**

51 In general, orthopaedic surgery is associated with a high risk of venous thrombosis (VT), the
52 composite of deep vein thrombosis (DVT) and pulmonary embolism (PE).(1) This can be
53 understood when we consider the long duration of surgery, the extensive tissue damage
54 during hip or knee replacement and the associated immobilization. For general knee
55 arthroscopy this is different: hardly any tissue damage occurs and the duration of the
56 procedure is short (15-20 min). However, the risk of VT following arthroscopy of the knee is
57 not negligible, with symptomatic incidence rates varying around 1%.(2-6) Knee arthroscopy
58 is the most commonly performed orthopaedic procedure with worldwide 4 million
59 arthroscopies carried out yearly.(7) Therefore, this will lead to high absolute numbers of,
60 theoretically preventable, VT cases (40 000 VTs annually assuming a risk of 1%). In addition,
61 numerous fatal cases after surgery have been described(8, 9), as can be expected based on a
62 30-day VT fatality rate of 3.0%.(10) Hence, on estimation 1 200 patients die yearly within 30
63 days after knee arthroscopy worldwide. Moreover, long term complications such as post-
64 thrombotic syndrome affect about 40% of thrombosis patients.(11) Therefore the impact of
65 VT is considerable, even in this generally young and healthy patient population.

66 Several studies have been performed to obtain more insight in the development of VT after
67 arthroscopic knee surgery. Recently, we showed in the POT-KAST trial, a large Randomized
68 Controlled Trial (1 451 patients) comparing Low Molecular Weight Heparin with no
69 treatment, that there is no effectiveness for thromboprophylaxis following knee
70 arthroscopic surgery, as the risk of VT was equal (~ 0.6%) in the treated and untreated
71 group.(12)

72 Multiple high risk groups appear to exist: It was recently described that hospital admission
73 before surgery was predictive of thrombosis (Hazard Ratio 14.1, 95% CI: 5.3–37.6).(3)

74 Another study showed that patients undergoing anterior cruciate ligament (ACL)
75 reconstruction had a higher VT risk compared with patients undergoing less invasive
76 arthroscopic procedures.(13) Other risk factors, such as a history of malignancy(2), a history

77 of VT(14), use oral contraceptives, being overweight or having a genetic predisposition
78 (Factor V Leiden, non-O blood type, prothrombin 20210A mutation) have also been
79 identified to elevate postoperative risk.(2, 15) Hence, it should theoretically be possible to
80 distinguish between high or low risk of VT after knee arthroscopy by combining all
81 information into one prediction model, instead of measuring single risk factor associations. If
82 these groups can be targeted, the considerable morbidity and mortality due to VT after this
83 procedure may yet be preventable.

84 The aim of this study was to investigate the combined predictive value of environmental and
85 genetic risk factors, biomarkers and levels of coagulation markers on the development of VT
86 in knee arthroscopy patients. We aimed to develop a prediction model to assist clinicians to
87 decide whether or not to prescribe thromboprophylaxis in individual patients.

88

89 **Methods**

90 *Study design*

91 For model development, data from a large population based case-control study, the Multiple
92 Environmental and Genetic Assessment of risk factors for venous thrombosis (MEGA study)
93 were used. Details of this study have been published previously.(16) In short, between 1999
94 and 2004, all consecutive patients aged 18 to 70 years with a first deep vein thrombosis,
95 pulmonary embolism or both were recruited from six anticoagulation clinics in the
96 Netherlands (n=4 956). The control-group (n=6 297) consisted of partners of participating
97 patients and of other controls who were frequency matched with respect to sex and age and
98 identified using a random digit dialling method. Approval for this study was obtained from
99 the Medical Ethics Committee of the Leiden University Medical Center and all participants
100 provided written informed consent.

101

102 *Data collection and laboratory analysis*

103 All participants completed a questionnaire, including potential risk factors for VT such as
104 orthopaedic surgery, current use of medication and co-morbidity in the year before the
105 venous thrombotic event.

106 A blood sample was collected approximately three months after discontinuation of
107 oral anticoagulant therapy for patients and controls included from the start of the study
108 until May 31, 2002. Detailed information on laboratory analyses from coagulation and
109 hemorheologic and other markers can be found in **Supplement 1**. In patients who were still
110 on anticoagulant therapy one year after the event, blood was drawn during treatment. After
111 June 1, 2002 and for participants who were unable to visit the clinic, DNA was collected by
112 means of buccal swabs sent by mail. Factor V Leiden (F5, rs6025), prothrombin G20210A (F2,
113 rs1799963) mutation and ABO-blood group were determined.

114

115

116 *Model Derivation*

117 The prediction model was developed using the data from the MEGA study population.
118 Subjects with multiple orthopaedic surgeries or other operations in combination with a knee
119 arthroscopy were excluded from analyses. To incorporate age and sex as predictor variables
120 (because controls were frequency matched on age and sex) we weighted control subjects
121 (for age and sex) to the age and sex distribution of the Dutch population in 2001 (Statistics
122 Netherlands). Missing values were imputed (we imputed 5 datasets by multiple imputation
123 and results were pooled according to Rubin's rules). Vitamin K dependent coagulation
124 factors from patients who were still on anticoagulation treatment during blood collection
125 were set as missing values and imputed as well. **Supplement 2** provides detailed information
126 on missing data for risk factors incorporated in the prediction model.

127

128 We aimed to develop three models; a *Complete* model (all variables and highest
129 discriminative ability), a *Screening* model (including a minimum number of all types of
130 predictors with maximum discriminative performance to improve clinical usefulness) and a
131 *Clinical* model (only environmental risk factors). Development of all models was based on a
132 method we described in a previous study, using a multivariate logistic regression
133 approach.⁽¹⁷⁾ In short, candidate predictors were identified in the whole MEGA study
134 population (n=11 237) (*step 1 and 2*) (**Fig 1**). Candidate predictors (already derived from our
135 previous study) were entered in the *Complete* prediction model by hand, and a univariate
136 logistic regression was conducted for all candidate predictors in the entire MEGA group
137 (*step 3*). We started fitting our *Complete* model with the strongest predictor (based on
138 highest Area Under the Curve [AUC] in the arthroscopy subgroup) (n=133). Further predictor
139 selection was based on the variable that resulted in the strongest increase in AUC, in the
140 knee arthroscopy subgroup (*step 4*) (addition of predictors was stopped when AUC increase
141 was less than 0.01 points). Age and sex were forced in *all* models based on clinical
142 importance. For calculating the AUC, a Receiver Operating Characteristic (ROC) was

143 constructed. Model overfitting was prevented by conducting a ROC analysis in the
144 arthroscopy subgroup only (using the beta coefficient derived from the logistic regression
145 model calculated in the entire MEGA study population [n=11 237]) instead of conducting a
146 regression in the small arthroscopy subgroup. Next to a *Complete* model, a *Screening* model
147 was developed in a similar way (*step 5*). Finally, we developed a *Clinical* model using
148 environmental risk factors only (*step 6*).

149

150 *Risk Score*

151 We developed a Risk Score, the Leiden-Thrombosis Risk Prediction(arthroscopy) score, [*L-*
152 *TRiP(ascopy) score*] for VT risk following knee arthroscopy that was based on the beta
153 coefficients for predictor variables in the *Clinical* model (using the following rule: if Beta was
154 >0.25 and ≤ 0.75 , this yielded 1 point, for; $\text{Beta} > 0.75$ and $\leq 1.25 = 2$ points; $\text{Beta} > 1.25$ and
155 $\leq 1.75 = 3$ points; $\text{Beta} > 1.75$ and $\leq 2.25 = 4$ points; $\text{Beta} > 2.25$ and $\leq 2.75 = 5$ points; $\text{Beta} > 2.75 = 6$
156 points). The *L-TRiP(ascopy) score* was the sum of these points. Assuming two overall
157 prevalences of either 0.5% or 1.5% for VT in patients who undergo knee arthroscopy, we
158 calculated sensitivity, specificity, positive predictive value, negative predictive value, positive
159 likelihood ratio and the negative likelihood ratio for different cut off points of the *L-*
160 *TRiP(ascopy) score*.

161

162 *Model validation*

163 A bootstrapping procedure was performed to internally validate our results. Using the
164 imputed dataset, we resampled our arthroscopy subgroup (1000 replications with
165 replacement), after which all models were validated in this new population. In addition, THE
166 VTE case-control study into the aetiology of VTE, which contains 784 cases and 523 controls
167 (Leiden/Cambridge) was used for external validation of the *L-TRiP(ascopy) score*. Details of
168 this study have been published previously.(18) For each subject in THE VTE study, prognostic

169 scores were calculated using regression coefficients from the prediction models derived
170 from the MEGA study.
171 All analyses were performed in IBM SPSS Statistics for Windows, Version 20.0. Armonk, NY:
172 IBM Corp. The weighted analyses were performed in Stata SE, version 14.

173 **Results**

174 *Study population*

175 4 943 cases and 6 294 controls were maintained in the analyses after exclusion of 13
176 participants who underwent multiple orthopaedic operations after the arthroscopy. Among
177 all cases 2 881 (58%) had a DVT, 1618 (33%) a PE and 444 (9%) both. 107 cases and 26
178 controls had undergone knee arthroscopy within one year before thrombosis or index date,
179 respectively (of whom most patients (~75%) within 3-months(19)). Thirteen of them (10%)
180 underwent ligament reconstruction from the anterior cruciate ligament and/or posterior
181 cruciate ligament. Compared with the complete MEGA study population, subjects who
182 underwent knee arthroscopy were slightly younger (mean 44.6 years vs 47.7 years), and
183 more often male (58% vs 46%).

184

185 *Model derivation*

186 52 candidate predictors were identified in the MEGA study population (**Table 1**). Strong
187 predictors in both the total MEGA study population and arthroscopy subgroup were: family
188 history of venous thrombosis, current use of oral contraceptives and having been bedridden
189 within the past 3 months. Persons who underwent knee arthroscopy without ligament
190 reconstruction had a 5-fold increased risk of developing VT, odds ratio (OR) 5.1, 95%
191 confidence interval (95%CI 3.3 – 8.0), while those who had cruciate ligament reconstruction
192 had an 18-fold increased risk (OR 17.5 [95%CI 2.3 – 134.8]), compared with subjects who did
193 not have surgery.

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201 *Complete model*

202 Twelve predictor variables (8 environmental risk factors, 3 hemorheologic factors and 1
203 genetic marker) were incorporated into the *Complete* prediction model. Risk factors
204 included in the model were: age, sex, Von Willebrand Factor (vWF) activity, family history of
205 VT, Factor V Leiden mutation (FV Leiden), having been bedridden within the past 3 months,
206 current use of oral contraceptives, (type) of knee arthroscopy, Factor VIII (FVIII) activity,
207 presence of varicose veins, monocyte percentage and having congestive heart failure. This
208 combination of risk factors resulted in an AUC of 0.81 (95%CI 0.70 – 0.93) (**Table 2**). **Fig 2**
209 shows the AUC values of our *Complete* model after step-wise addition of these predictor
210 variables.

211

212 *Screening model*

213 Our *Screening* model consisted of nine predictors (all environmental risk factors of the
214 Complete model plus FVIII activity) and reached an AUC of 0.76 (95%CI 0.64 – 0.88).
215 Although vWF increased model performance more than FVIII (AUC increase of 0.02), FVIII
216 was chosen over vWF as FVIII activity can be measured more easily in most clinics.

217

218 *Clinical Model and L-TRiP(ascopy) score*

219 The *Clinical* model resulted in an AUC of 0.72 (95%CI 0.60 – 0.83) and consisted of all eight
220 environmental risk factors that were also included in the *Complete* and *Screening* model.
221 The *L-TRiP(ascopy) score* (**Table 3**) derived from this model resulted in an AUC of 0.73 (95%CI
222 0.63 – 0.84). **Table 4** gives an overview of discriminative values for all cut-off points from the
223 *L-TRiP(ascopy) score*. For example, a cut-off value of 7 results in a sensitivity and specificity
224 of 77.8% and 40.2% respectively, to identify patients at high risk of developing VT. **Figure 3**
225 shows the score distribution among cases and controls .

226

227 *Internal and external validation*

228 In the bootstrapped population the Complete and Screening models performed almost as
229 good as in the derivation dataset, whereas the L-TRiP(ascopy) score and Clinical model
230 performed somewhat less well (Table 2). The L-TRiP(ascopy) score resulted in an AUC of 0.67
231 (95%CI 0.54 – 0.80) while the complete model reached an AUC of 0.78 (95%CI 0.67-0.89).

232

233 The population study used for external validation consisted of 784 cases and 523 controls
234 that were included in THE VTE study. 59% of all cases had DVT and 41% had PE with or
235 without DVT. 30 cases and 3 controls had undergone knee arthroscopy within one year
236 before VT. The *Complete* model resulted in an AUC of 0.75 (95%CI 0.52 – 0.98) and the
237 *Screening* model yielded an AUC of 0.73 (95%CI 0.49 – 0.96). For our *Clinical* model and L-
238 TRiP(ascopy) score the AUCs were 0.78 (95%CI 0.48 – 1.00) and 0.77 (95%CI 0.43 – 1.00),
239 respectively. **Table 2** gives an overview of the predictive values for all models in both
240 derivation and validation data.

241

242

243 **Discussion**

244 *Summary of key findings*

245 Patients who undergo knee arthroscopy have an increased risk of developing VT. We
246 developed and validated a prediction model to identify patients at high risk for this
247 complication. Because of the bleeding risk during thromboprophylactic therapy and the low
248 risk of VT, risk stratification is likely to be beneficial, which can be achieved by using the *L-*
249 *TRiP(ascopy) score*. Our results indicate that biomarker determination leads to more
250 accurate risk prediction than limiting to clinical variables. However, for clinical practice a
251 clinical model without additional biomarker testing can be preferred until larger validation
252 studies show a strong added value of biomarker testing.

253

254 *Risk factors for VT in knee arthroscopy patients*

255 A recent cohort study of 12 595 patients found a symptomatic VT incidence of 0.34% (95% CI
256 0.25 – 0.46) at 4 weeks. Risk factors for VT were: a history of malignancy, a history of VT and
257 the presence of two or more risk factors according to Delis (age>65, BMI>30, smoking, use of
258 oral contraceptives or hormonal replacement therapy, chronic venous insufficiency, history
259 of VT).(2) A similar incidence of 0.46% (95% CI 0.43 - 0.49) was found by Bohensky and
260 colleagues, in a cohort study with 180 717 arthroscopies.(20) In this study only chronic
261 kidney disease was found to be a clear risk factor for the development of VT while patients
262 with cancer, peripheral vascular disease, chronic heart failure, cerebrovascular event,
263 myocardial infarction, chronic lung disease, hemiplegia or diabetes were not at increased
264 risk after arthroscopy. A study from New York reported on predictors of pulmonary
265 embolism following a knee arthroscopy among 418 323 operations. The 30-day incidence
266 was 2.8 per 10 000 knee arthroscopies and risk factors for the development of VTE were
267 age>30, female sex, history of cancer and an operating time over 90 minutes. Type of
268 surgery or presence of comorbidity was not associated with VT.(21) Another observational

269 study with 4 833 patients undergoing arthroscopic surgery showed that only older age and
270 hospitalization in the preceding 3 months were predictors of VT.(3)

271 All these studies had an observational design, and information bias cannot be ruled out:
272 Data on comorbidities were collected using large hospital or nationwide databases. Data
273 collection or reporting on putative risk factors may have been more rigorous for patients
274 with VT than for those without, which could be an explanation for the contradicting results
275 on different risk factors as shown by several of these studies. Also, logistic regression
276 analyses in these studies were often underpowered because of the low incidence rate and
277 scarce distribution of risk factors. In our study cases and controls were asked to complete
278 questionnaires about their health one year prior to the VT date or a random control date,
279 respectively (this active approach reduced the risk of bias). The number of cases in our study
280 used for the regression analysis (n=4 943) is much more than the total number of events in
281 previous studies. Therefore the predictive values of various risk factors, derived from all
282 patients, are more accurate in our study. Furthermore, prediction of high risk patients in this
283 population with a low incidence of VT is more valuable than identifying individual risk
284 factors. Our goal was therefore not to estimate associations of single risk factors, but to
285 combine all information for optimal individual risk stratification.

286

287 Specific aspects of the patient population that undergoes knee arthroscopy may also have
288 contributed to the conflicting results that have been reported. In the study from New York,
289 92.3% of all patients had a Charlson/Deyo comorbidity score of 0, meaning that they had no
290 history of myocardial infarction, congestive heart failure, peripheral vascular disease,
291 cerebrovascular disease, dementia, chronic pulmonary disease, rheumatologic disease,
292 peptic ulcer disease, liver disease, diabetes mellitus, (para)plegia, renal disease or AIDS.(21)

293 Similar patient characteristics were reported by Jameson, where 90% had a Charlson/Deyo
294 score of 0 and the mean age was 45.9 years.(22) These studies illustrate that patients
295 undergoing knee arthroscopy are in general young and healthy with only very few

296 comorbidities. Consequently, while comorbidity is associated with VT risk in other situations,
297 there is limited contribution of environmental risk factors to risk stratification in the
298 arthroscopic population. A similar problem exists when using other prediction scores for
299 VTE, for instance the Caprini score(23). According to this score, patients who undergo
300 arthroscopic surgery score 2 points, indicating a moderate risk for VTE. Consequently, all
301 patients who undergo arthroscopy receive thromboprophylaxis and a further discrimination
302 between low- and high-risk patients within a surgical subgroup (such as knee arthroscopy),
303 cannot be made.

304

305 Given the young and healthy population with few environmental risk factors, we
306 investigated the additional predictive value of biomarkers (that are easy to determine in a
307 clinical setting). To our knowledge, this has not been done in knee arthroscopy patients for
308 the development of VT to date. We found that addition of FVIII concentration (FVIII;C), vWF
309 activity, Factor V Leiden mutation (FV Leiden) and monocyte percentage to our model
310 increased the predictive value. However, to improve clinical usefulness we attempted to
311 minimize the number of biomarkers. Out of the biomarkers that were associated we chose
312 to incorporate FVIII in the *Screening* model for practical reasons. The *Screening* model
313 performed slightly better than the L-TRiP(ascopy) score, (AUC difference in derivation study
314 0.03 points, and 0.07 point in internal validation). Our external validation study was not
315 powered sufficiently to clearly show a beneficial effect of FVIII, and all models performed
316 roughly similarly (AUC range 0.75-0.78). Therefore we finally opted to convert the *Clinical*
317 model in the L-TRiP(ascopy) score, rather than the *Screening* model as the predictive value
318 of adding a biomarker did not outweigh the hassle of measuring factor VIII (in terms of costs,
319 and logistics in routine clinical care). However, it should be kept in mind that due to less
320 discriminatory power, there will be overtreatment of controls (**Table 4**).

321

322 *Limitations of the study*

323 Our study lacked information on thromboprophylaxis therapy after knee arthroscopy for all
324 individuals. However, in a survey study in the Netherlands which was performed during the
325 same period as the inclusion period of our case-control study, 71% of all orthopaedic
326 surgeons stated that they used a low-molecular-weight-heparin (LMWH) for prophylactic
327 therapy in patients undergoing a knee arthroscopy in most cases. 91% of these surgeons
328 only used a single-dose of LMWH.(24) This could have affected the actual risk in our patient
329 population. Nevertheless, the therapeutic value of a single dose of LMWH is not known and
330 probably limited. In addition, as we recently showed that thromboprophylaxis is not
331 effective for VTE prevention following knee arthroscopy(12), the effect of prophylaxis on VTE
332 development (and thus on model development) is negligible. Furthermore, the L-
333 TRiP(ascopy) model was developed by identifying candidate predictors using all cases and
334 controls from the MEGA study. Beta-coefficients and risk points in the final risk score were
335 based on many patients, thereby preventing over-fitting. An additional internal validation
336 showed similar performance statistics, indicating the robustness of model performance.
337 Also, our validation cohort did not include sufficient numbers of patients (especially control
338 subjects) with knee arthroscopy to obtain precise results. Validation results were therefore
339 not very precise, however, all models performed promisingly and were in line with the
340 derivation results. To account for this problem, an internal validation was performed to
341 confirm our findings, which showed similar results. However, a larger validation study (and
342 perhaps a cost-effectiveness study) is still needed to confirm our results and to determine if
343 biomarkers are needed to improve risk prediction following knee arthroscopy.

344

345 *Clinical implications*

346 To date, there is no consensus on thromboprophylactic therapy for patients who underwent
347 knee arthroscopy. However, we recently published a large randomized controlled trial (POT-
348 KAST trial) that showed a lack of effectiveness for thromboprophylaxis for 8 days after knee
349 arthroscopy (1451 patients).(12) In this trial, still 0.6% of patients developed a thrombotic

350 event and these patients had several additional risk factors for VT. Our *L-TRiP(ascopy) score*
351 can be a helpful tool to guide doctors in their decision on anticoagulant treatment for those
352 patients at high risk for VT. Since we showed that a prophylactic dose of anticoagulant
353 therapy does not prevent VT, other treatment regimens (such as a longer therapy duration
354 or higher dosage) might be effective in those patients with an extremely high risk, but
355 should also be restricted to this group, considering the high bleeding risk, which is currently
356 about 0.5% major and clinically relevant non-major bleeding(12). Increasing the duration
357 and dosage of thromboprophylaxis will likely lead to a further increased bleeding risk. Since
358 bleeding risk is already nearing VTE risk, it is crucial to identify only those patients with the
359 highest VTE risk in order to optimize patient care. To accomplish this, a score with a high
360 sensitivity and high specificity is desirable, in which case we would only treat those patients
361 at high risk without giving treatment to patients who will not develop VT. The *L-TRiP(ascopy)*
362 score can have a high sensitivity, for example, a cut off score of 7 or higher results in a
363 sensitivity of 77.8%. However, the corresponding specificity is only 40.2%, which implies that
364 many controls would also receive treatment, leading to unnecessary bleeding events and
365 costs. Determining the right cut-off for risk discrimination is therefore not straightforward,
366 especially because of the uncertainty in the specificity of our score, which is only based on
367 26 controls. Ideally, the absolute risks corresponding with our *L-TRiP(ascopy) score* should
368 be calculated in a large prospective study so that the optimal cut-off can be determined.

369

370 **Conclusion**

371 Given the lack of effectiveness of thromboprophylactic therapy in all patients who undergo
372 knee arthroscopy, an alternative strategy might be to identify those individuals at high risk
373 of developing VT and provide stronger treatment for this group. We developed the *L-*
374 *TRiP(ascopy) score* that may be suitable for this purpose. However, a larger validation study
375 is needed to confirm our results and to determine a definite cut-off for high risk patients.

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Table 1 Candidate predictor variables

| Environmental predictor variables | |
|--|---|
| Age | Hospital admission within the past 3 months |
| Sex | Bedridden within the past 3 months |
| Smoking | Paralysis (partial) |
| Varicose veins | Surgery within the past 3 months |
| Cancer within the past 5 years | Current Pregnancy or puerperium |
| Congestive heart failure | Current use of antipsychotic medication |
| Comorbidity | Current use of tamoxifen |
| - Rheumatoid arthritis | Current use of hormonal replacement therapy |
| - Chronic kidney disease | Current use of oral contraceptives |
| - Chronic Obstructive Pulmonary Disease (COPD) | Thrombophlebitis |
| - Multiple Sclerosis (MS) | Hepatitis |
| Cardiovascular events | Pneumonia |
| - Angina Pectoris (AP) | Inflammation |
| - Heart attack | - Urinary tract infection / Cystitis |
| Cerebrovascular events | - Pyelonephritis |
| - Stroke | - Arthritis |
| - Transient Ischemic Attack (TIA) | - Bursitis |
| Body Mass Index (BMI) | - Inflammation (other body parts) |
| Claudication | - Tropical diseases |
| Family history of VT | (Type of) Arthroscopy |
| Hemorheologic and coagulation predictor variables | |
| Fibrinogen activity | Percentage/number granulocytes |
| Factor VIII activity | Red Blood Cell Count (RBCC) |
| Von Willebrand Factor (vWF) (%) | Haemoglobin level |
| Factor II activity | Mean Cell Volume (MCV) |
| Factor VII activity | Mean Cell Haemoglobin (MCH) |
| Factor X antigen level | Mean Cell Haemoglobin Concentration (MCHC) |
| Protein C activity | Red cell Distribution Width (RDW) |
| Factor XI activity | Antithrombin activity |
| Haematocrit | Total homocysteine |
| White Blood Cell Count (WBCC) | Total cysteine |
| Percentage/number lymphocytes | Methionine |
| Percentage/number monocytes | |
| Genetic predictor variables | |
| Factor V Leiden mutation | |
| Prothrombin mutation | |
| Non-O blood type | |

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Table 2 AUC values of the *Complete, Screening, Clinical model* and *L-TRiP(ascopy)* score in the MEGA and VTE study

| MODEL | MEGA study | | | Internal validation | | | External validation: VTE study | | |
|----------------------|------------|--------|------|---------------------|--------|------|--------------------------------|--------|------|
| | AUC | 95% CI | | AUC | 95% CI | | AUC | 95% CI | |
| Complete model | 0.81 | 0.70 | 0.93 | 0.78 | 0.67 | 0.89 | 0.75 | 0.42 | 1.00 |
| Screening model | 0.76 | 0.64 | 0.88 | 0.71 | 0.59 | 0.83 | 0.73 | 0.40 | 1.00 |
| Clinical model | 0.72 | 0.60 | 0.83 | 0.64 | 0.53 | 0.76 | 0.78 | 0.48 | 1.00 |
| L-TRiP(ascopy) score | 0.73 | 0.63 | 0.84 | 0.67 | 0.54 | 0.80 | 0.77 | 0.43 | 1.00 |

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Table 3 L-TRiP(ascopy) score

| Risk Score | Points | Original Beta |
|---|--------|---------------|
| Age ≥ 35 and < 55 | 2 | 0.78 |
| Age > 55 | 3 | 1.48 |
| Male sex | 1 | 0.39 |
| Current use of oral contraceptives | 3 | 1.43 |
| Family history of VT (1 family member) | 2 | 0.82 |
| Family history of VT (≥ 2 family members) | 3 | 1.47 |
| Bedridden within the past 3 months | 3 | 1.38 |
| Varicose Veins | 1 | 0.68 |
| Congestive heart failure | 1 | 0.49 |
| Knee arthroscopy | 4 | 1.76 |
| Ligament reconstruction | 6 | 2.93 |

This score was derived from the regression coefficients (Beta) of the Clinical prediction Model. Beta > 0.25 and $\leq 0.75 = 1$; Beta > 0.75 and $\leq 1.25 = 2$; Beta > 1.25 and $\leq 1.75 = 3$; Beta > 1.75 and $\leq 2.25 = 4$; Beta > 2.25 and $\leq 2.75 = 5$; Beta $> 2.75 = 6$

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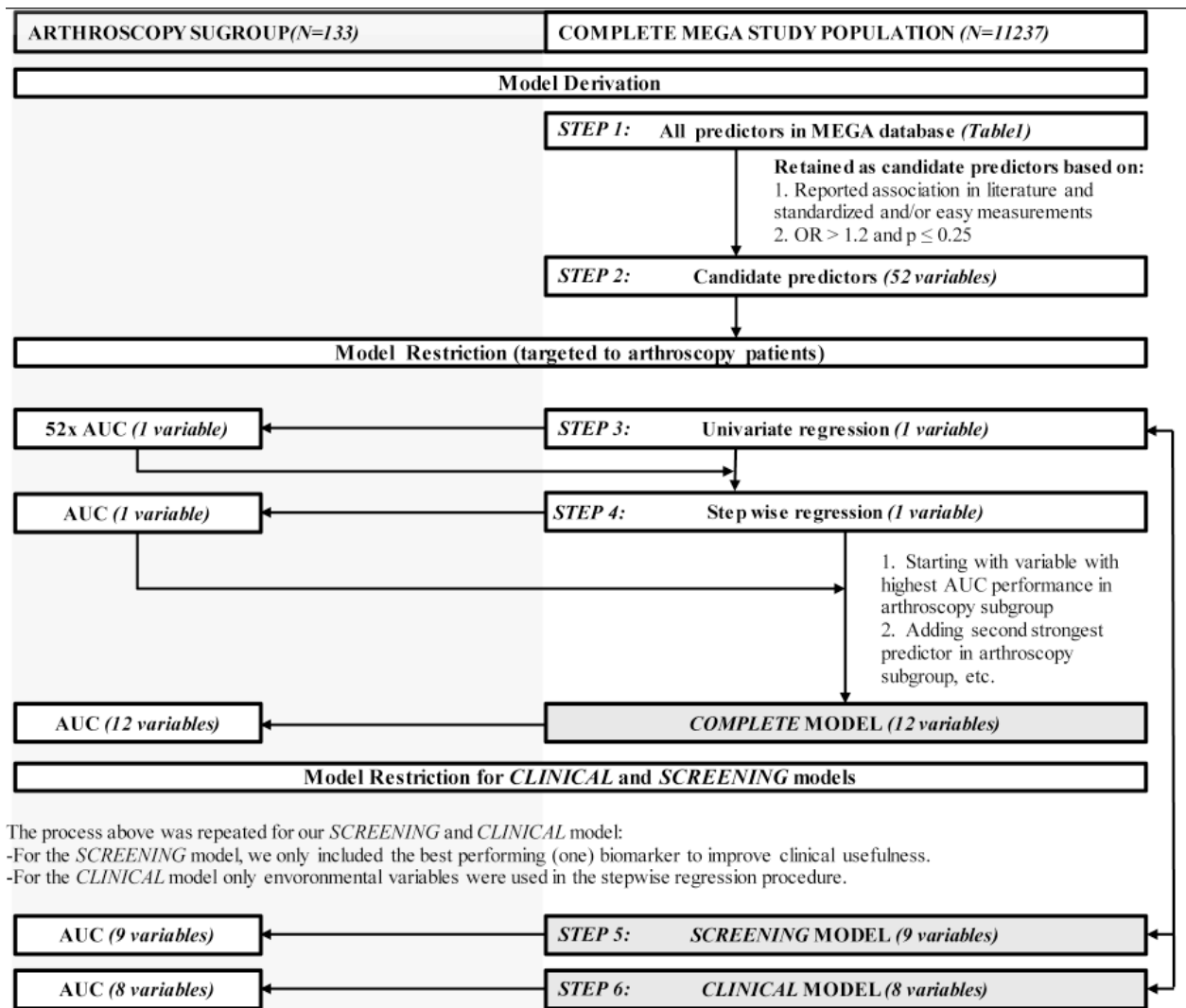
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Table 4 L-TRiP(ascopy) score performance

| Cutpoint | Sensitivity | Specificity | Sens+Spec | PVV* | NPV* | PVV** | NPV** | Likelihood+ | Likelihood- |
|----------|-------------|-------------|-----------|---------|--------|---------|--------|-------------|-------------|
| 1 | 100.0% | 0.0% | 100.0% | 1.50% | 100.0% | 0.50% | 100.0% | 1.0 | 0.0 |
| 2 | 100.0% | 0.0% | 100.0% | 1.50% | 100.0% | 0.50% | 100.0% | 1.0 | 0.0 |
| 3 | 100.0% | 0.0% | 100.0% | 1.50% | 100.0% | 0.50% | 100.0% | 1.0 | 0.0 |
| 4 | 100.0% | 0.0% | 100.0% | 1.50% | 100.0% | 0.50% | 100.0% | 1.0 | 0.0 |
| 5 | 100.0% | 0.0% | 100.0% | 1.50% | 100.0% | 0.50% | 100.0% | 1.0 | 0.0 |
| 6 | 92.3% | 21.7% | 114.1% | 1.77% | 99.5% | 0.59% | 99.8% | 1.2 | 0.2 |
| 7 | 77.8% | 40.2% | 117.9% | 1.94% | 99.2% | 0.65% | 99.7% | 1.5 | 0.2 |
| 8 | 68.8% | 64.4% | 133.2% | 2.86% | 99.3% | 0.96% | 99.8% | 1.5 | 0.4 |
| 9 | 43.2% | 84.9% | 128.1% | 4.17% | 99.0% | 1.42% | 99.7% | 1.8 | 0.4 |
| 10 | 29.0% | 99.1% | 128.0% | 32.15% | 98.9% | 13.52% | 99.6% | 3.1 | 0.6 |
| 11 | 17.9% | 100.0% | 117.9% | 100.00% | 98.8% | 100.00% | 99.6% | 29.9 | 0.6 |
| 12 | 7.1% | 100.0% | 107.1% | 100.00% | 98.6% | 100.00% | 99.5% | 21.7 | 0.7 |
| 13 | 3.6% | 100.0% | 103.6% | 100.00% | 98.6% | 100.00% | 99.5% | ∞ | 0.9 |
| 14 | 1.9% | 100.0% | 101.9% | 100.00% | 98.5% | 100.00% | 99.5% | ∞ | 0.9 |

*Presuming a prevalence of VT in knee arthroscopy patients of 1.5%

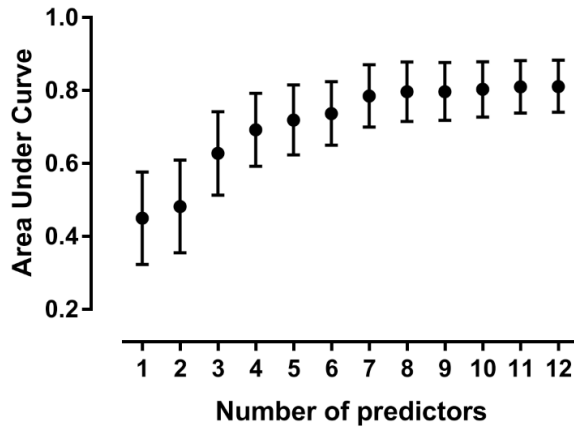
**Presuming a prevalence of VT in knee arthroscopy patients of 0.5%



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463 **Figure 1:** Flow-chart of the derivation process for development of the *L-TRiP(ascopy)* score.

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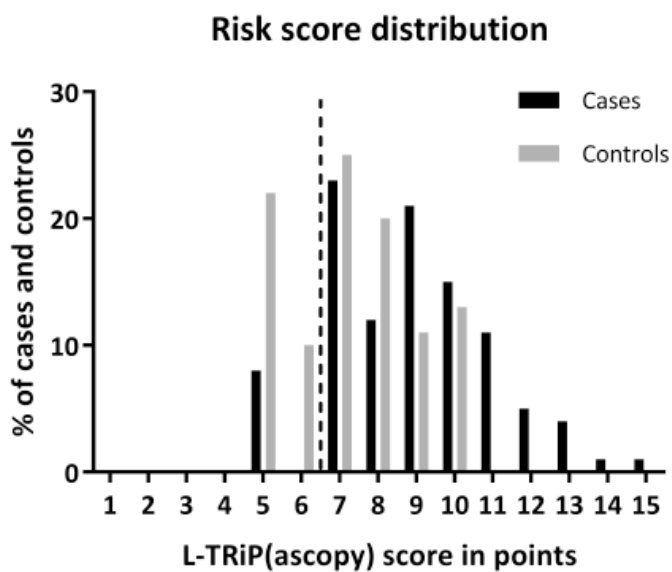


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466 **Figure 2:** AUC values of the *Complete* model for step-wise addition of the following predictors: age,
 467 sex, von Willebrand Factor activity, family history of VT, Factor V Leiden mutation, being bedridden
 468 within the past 3 months, current use of oral contraceptives, (type) of knee arthroscopy, Factor VIII
 469 activity, presence of varicose veins, monocyte percentage and having congestive heart failure.

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473 **Figure 3:** Risk score distribution among cases and controls for the *L-TRiP(ascopy)score* (upper figure)
 474 and *Screening* model (lower figure). Dashed black lines represent Cut-off values that correspond to a
 475 test sensitivity of approximately 75%.

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478 **Addendum**

479 B. Nemeth, R.A. van Adrichem, R.G.H.N. Nelissen, S.C. Cannegieter and F.R. Rosendaal
480 designed the research. T. Baglin, F.R. Rosendaal and A. van Hylckama Vlieg enrolled patients.
481 B. Nemeth and S. le Cessie performed the analyses. B. Nemeth wrote the first draft of the
482 manuscript. All authors critically revised the manuscript content. B. Nemeth takes full
483 responsibility for data analyses and all authors take responsibility for the interpretation of
484 the data. All authors have read, and confirm that they meet, ICMJE criteria for authorship.

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486 **Disclosure**

487 None of the authors have a conflict of interest to disclose.

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