

### US010380270B2

# (12) United States Patent Detwiler et al.

## (54) COMPUTER-IMPLEMENTED LAND PLANNING SYSTEM AND METHOD

(71) Applicant: **BLUERIDGE ANALYTICS, INC.**,

Charlotte, NC (US)

(72) Inventors: Michael W. Detwiler, Cornelius, NC

(US); James W. Reynolds, Jr., Statesville, NC (US); Anthony H. Watts, Winston-Salem, NC (US); Thomas Baeck, Bochum (DE); Ron Breukelaar, Charlotte, NC (US)

(73) Assignee: Bentley Systems, Inc., Exton, PA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 1198 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 14/182,966

(22) Filed: Feb. 18, 2014

(65) **Prior Publication Data** 

US 2014/0163932 A1 Jun. 12, 2014

### Related U.S. Application Data

(63) Continuation of application No. 13/685,971, filed on Nov. 27, 2012, now Pat. No. 8,655,629, which is a (Continued)

(51) **Int. Cl.** 

 G06F 17/50
 (2006.01)

 G06Q 10/04
 (2012.01)

 G06Q 50/16
 (2012.01)

(52) **U.S. Cl.** CPC .....

**G06F** 17/50 (2013.01); **G06Q** 10/04 (2013.01); **G06Q** 50/165 (2013.01)

## (10) Patent No.: US 10,380,270 B2

(45) **Date of Patent:** \*Aug. 13, 2019

### (58) Field of Classification Search

CPC .. G06F 17/5004; G06Q 10/04; G06Q 50/165; G06Q 50/08

See application file for complete search history.

### (56) References Cited

### U.S. PATENT DOCUMENTS

4,964,060 A 10/1990 Hartsog 5,689,705 A 11/1997 Fino et al. (Continued)

### OTHER PUBLICATIONS

Rocky Mountain Region Forest Service, "CAD Standards User's Guide", May 2005, Version 3.0, 116 pages.\*

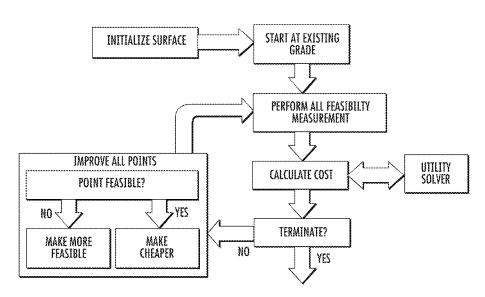
(Continued)

Primary Examiner — Suzanne Lo (74) Attorney, Agent, or Firm — Schwartz Law Firm, P.C.

### (57) ABSTRACT

A computer-implemented land planning system is designed to generate at least one conceptual fit solution to a user-defined land development problem. The system electronically creates at least one candidate solution to the land development problem. The candidate solution incorporates a number of engineering measurements applicable in development of an undeveloped land site. A fitness function quantitatively evaluates the candidate solution based on its fitness. A heuristic problem-solving strategy manipulates the engineering measurements of the candidate solution to achieve a more quantitatively fit solution to the land development problem. A computer output device outputs to a user documentation illustrating the fit solution to the land development problem.

## 30 Claims, 23 Drawing Sheets



### Related U.S. Application Data

continuation of application No. 12/223,295, filed as application No. PCT/US2007/002368 on Jan. 30, 2007, now Pat. No. 8,321,181.

(60) Provisional application No. 60/853,564, filed on Oct. 23, 2006, provisional application No. 60/763,474, filed on Jan. 30, 2006.

#### (56)**References Cited**

### U.S. PATENT DOCUMENTS

5,740,341	$\mathbf{A}$	4/1998	Oota
5,761,674	A	6/1998	Ito
5,867,397	$\mathbf{A}$	2/1999	Koza et al.
5,918,219	$\mathbf{A}$	6/1999	Isherwood
6,037,945	A	3/2000	Loveland
6,392,651	B1	5/2002	Stradley
6,411,945	B1	6/2002	Nakajima
6,532,453	B1	3/2003	Koza et al.
6,757,667	B1	6/2004	Patel
7,395,191	B2 *	7/2008	Detwiler A01B 79/005
			700/97
8,260,585	B2 *	9/2012	Detwiler A01B 79/005
,,			703/1
8,321,181	B2 *	11/2012	Detwiler G06Q 10/04
0,521,101	22	11/2012	703/1
8,494,816	B2 *	7/2013	Detwiler A01B 79/005
0,77,010	DZ	112013	703/1
8,655,629	D2 *	2/2014	Detwiler G06Q 10/04
8,033,029	DZ ·	2/2014	703/1
2001/0047251	4.1	11/2001	
2001/0047251	A1	11/2001	Kemp
2002/0010572	A1	1/2002	Orton et al.
2003/0023412	Al	1/2003	Rappaport et al. Goodman et al.
2003/0036889	A1	2/2003	
2003/0061012	Al	3/2003	Orr et al.
2004/0117777	Al	6/2004	Lichana
2004/0260573	A1	12/2004	Schmitt
2005/0086096	Al	4/2005	Bryant
2005/0268245	Al	12/2005	Gipps
2006/0020430	Al	1/2006	Gipps
2006/0020431	Al	1/2006	Gipps
2006/0020789	Al	1/2006	Gipps
2006/0078110	A1	4/2006	Lewis et al.
2006/0206623	Al	9/2006	Gipps
2007/0061274	Al	3/2007	Gipps
2008/0215390	A1	9/2008	Gipps
2008/0262988	A1	10/2008	Williams et al.
2009/0094077	Al	4/2009	Gipps
2009/0198505	A1	8/2009	Gipps
2010/0223276	Al	9/2010	Al-Shameri et al.
2012/0330621	A1*	12/2012	Detwiler G06F 17/5004
			703/1

### OTHER PUBLICATIONS

Schoenstein, Michael; "AutoCad Land Development Desktop Release 2i"; Digital Earth Moving: First International Symposium; Sep. 5-7, 2001; 6pages.

AutoDesk, Inc.; "Greater Cincinnati Water Agency Flows Freely with Land Development Desktop"; Nov. 22, 2004; 4 pages.

AutoDesk, Inc.; "AutoCad Land Development Desktop Getting Started Guide"; 1999; 285 pages.

Bentley Systems, Inc.; "Geopack Site"; Updated Aug. 1, 2005; 9

Cook et al.; "Virtual Reality for Large-Scale Industrial Applications"; Future Generation Computer Systems 14; 1998; pp. 157-

Chandrasekaran, B.; "Review of Intelligent Systems for Engineering: A Knowledge-Based Approach"; American Assoc. for Artificial Intelligence; Winter 2000; pp. 123-124.

Brown, David C.; "Review of 1993 Article on Intelligent Computer-Aided Design"; Encyclopedia of Computer Science and Technology; Sep. 1998; pp. 2-45.

Farhi, Dr et al.; "Osconcad: A model-based CAD system intergrated with Computer Applications"; Itcon.org; Dec. 1998; pp. 27-45.

Loughlin et al.; "Exploring Near-Equilibrium Solutions: The Markal-Mga Algorithm and Use"; Jun. 2004; pp. 1-8.

www.talkorgins.org/faqs; "Genetic Algorithms and Evolutionary Computation" What is a Genetic Algorithm?; Published prior to Jun. 2005; pp. 1-11.

Delaney, Thomas E.; "The Genetic Model in Business Application Development"; Artificial Intelligence in Business Series; Jun. 2005;

www.cadresource.com/tour/civil; "AutoCad Land Development Desktop Release 2"; CRC Civil Engineering Solution Tour; Mar. 2001; 2 Pages.

Bouchlaghem et al.; "Virtural Reality Applications in the U.K.'s Construction Industry, Construction on the Information Highway"; University of Ljublajana; 1996.

Matthew et al.; "Implementation of a Spatial Decision Support System for Rural Land Planning: Integrating . . . "; Computers and Electronics in Agriculture; Jun. 1999; pp. 9-26.

Ohsaki et al.; "Computer-Aided Engineering in the Construction Industry"; 1985; pp. 87-102.

Ribeiro Filho et al.; "Genetic-Algorithm Programming Environments"; Jun. 1, 1994; pp. 28-43.

Gipps et al.; "New Technologies for Transport Route Selection"; Transportation Research, Part C; 2001; pp. 135-154.

Easa, Said M.; "Selection of Roadway Grades that Minimize Earthwork Cost Using Linear Programming"; Aug. 18, 1987; pp. 121-136.

Moreb, Ahmad A.; "Theory and Methodology, Linear Programming Model for Finding Optimal Roadway Grades . . . "; EU Journal of Operational Research; March.

Chew et al; "Simultaneous Optimization of Horizontal and Vertical Alignments for Highways"; May 1988; pp. 315-329.

Koch, Valentin Raphael; "Optimizing Earthwork Block Removal in Road Construction"; The University of British Columbia; Apr. 2010; pp. 1-86.

Moreb, Ahmad A.; "Spline Technique for Modeling Roadway Profie to Minimize Earthwork Cost"; Journal of Industrial and Management Optimization; May 2009; pp. 275-283.

Koch et al.; "A note on: Spline Technique for Modeling Roadway Profile to Minimize Earthwork Cost"; Journal of Industrial and Management . . . ; May 2010; pp. 343-400.

Hansen et al.; "Completely Derandomized Self-Adaption in Evolution Strategies"; Massachusetts Institute of Technology; 2001; pp. 159-195.

Hansen, Nikolaus; "The CMA Evolution Strategy: A comparing Review"; www.springerlink.com; 2006; pp. 75-102.

Ebish, Konrad; "A corection to the Douglas-Peucker Line Generalization Algorithm"; Elsevier Science Ltd.; Feb. 15, 2002; pp. 995-997

Aruga et al; "Heuristic Planning Techniques Applied to Forest Road Profiles"; The Japanese Forest Society and Pringer-Verlag Tokyo 2005; Jun. 14, 2004; pp. 83-92.

Trietsch, Dan; "A Family of Methods for Preliminary Highway Alignment"; Transportation Science; Feb. 1987; pp. 17-25.

Lee et al.; "Optimization Method for Highway Horizontal Allignment Design"; Journal of Transportation Engineering; Apr. 2009; pp. 217-224.

Douglas et al.; Algorithms for the Reduction of the Number of Points Required to Represent a Digitized Line or its Caricatuire; University of Ottawa; Dec. 1973; p. 112.

Lee et al.; "Optimizing Highway Grades to Minimize Cost and Maintain Traffic Speed"; Journal of Transportation Engineering; Aug. 2001; pp. 303-310.

"A policy on Geometric Design of Highways and Streets: 2001"; American Association of State Highway and Transportation Officials; 2001; pp. 235-282.

Burch, Deryl; "Estimating Excavation"; Craftsman Book Company; 1st Edition; 1997; pp. 82-83.

#### (56) **References Cited**

# OTHER PUBLICATIONS

Jong, J. et al.; "An Evolutionary Model for Simultaneously Optimizing Three-Dimensional . . ."; Transportation Researh Part B; Feb. 2003; pp. 107-128.

Feb. 2003; pp. 107-128.
Kleinberg et al.; "Algorithm Design"; Pearson Education, Inc.; 1st Edition; 2006; pp. 261-266.
"Transoft"; ParkCAD; 2007; 4 Pages.
Osman et al.; "A Hybrid CAD-based Construction Site Layout Planning System Using Genetic Algorithms"; Automation in Construction12; 2003; pp. 749-764.

<sup>\*</sup> cited by examiner

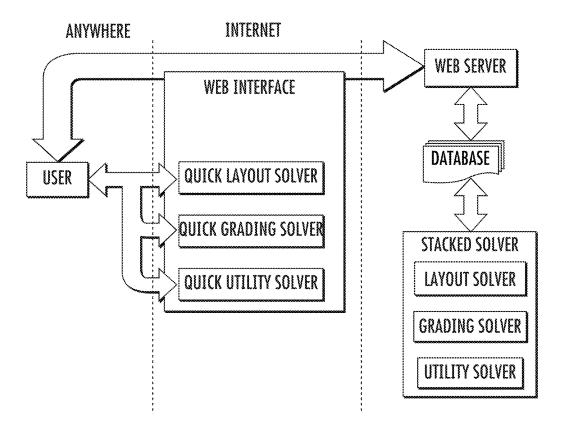
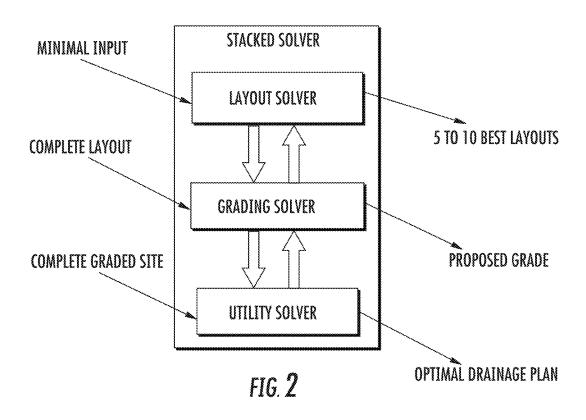


FIG. T



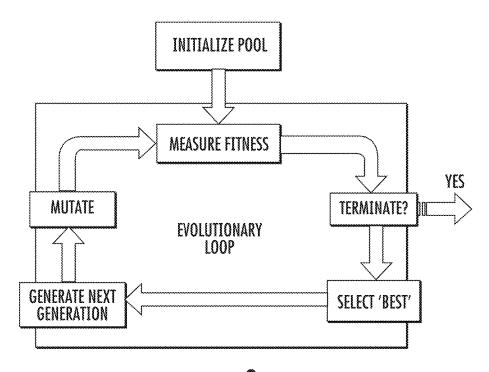
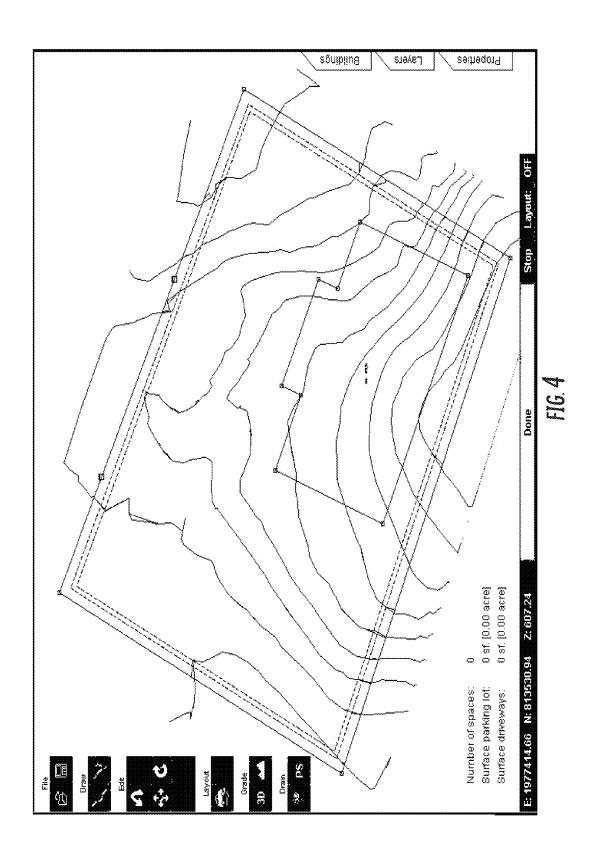
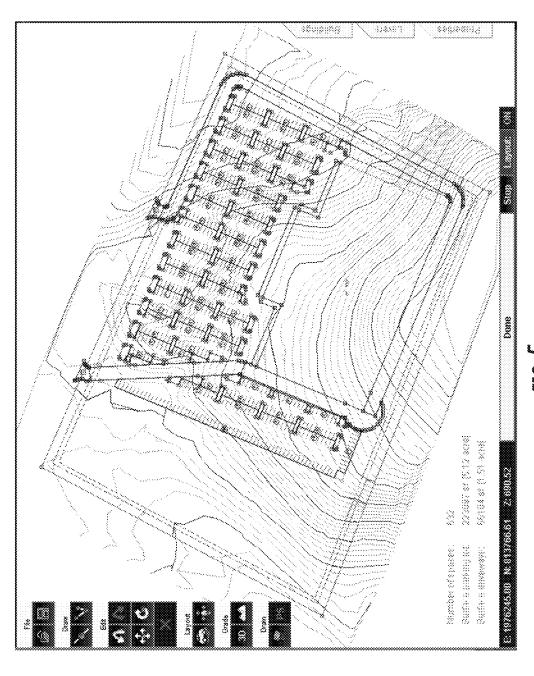
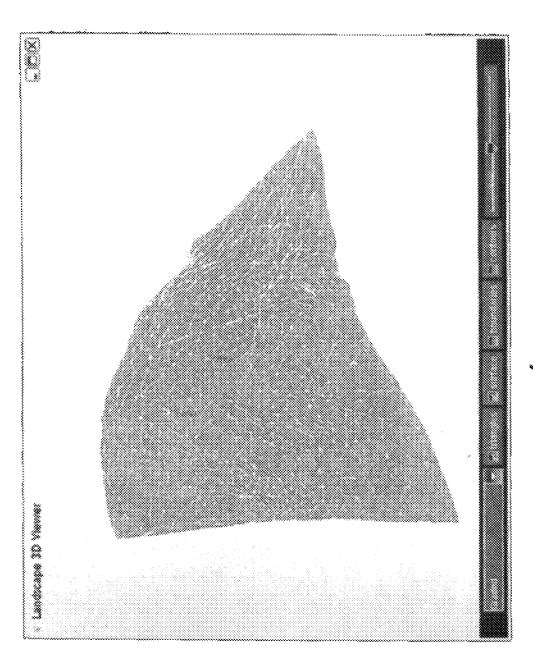


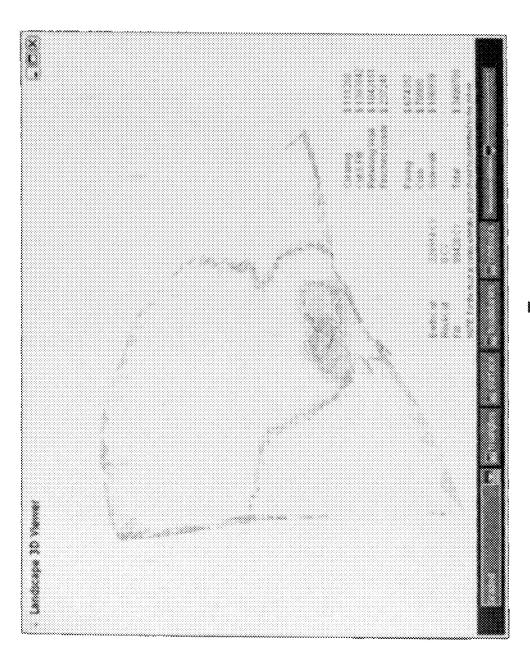
FIG. 3



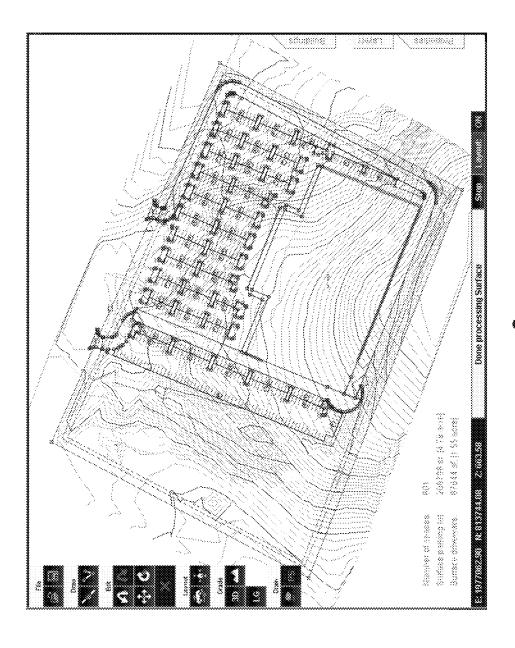


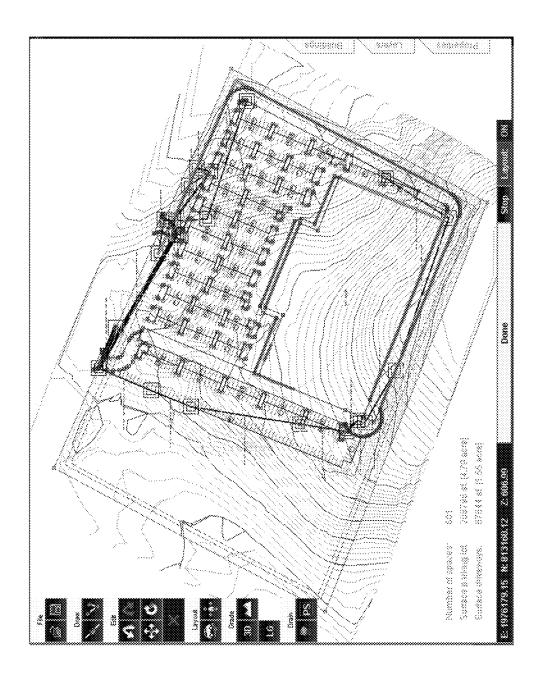
Aug. 13, 2019

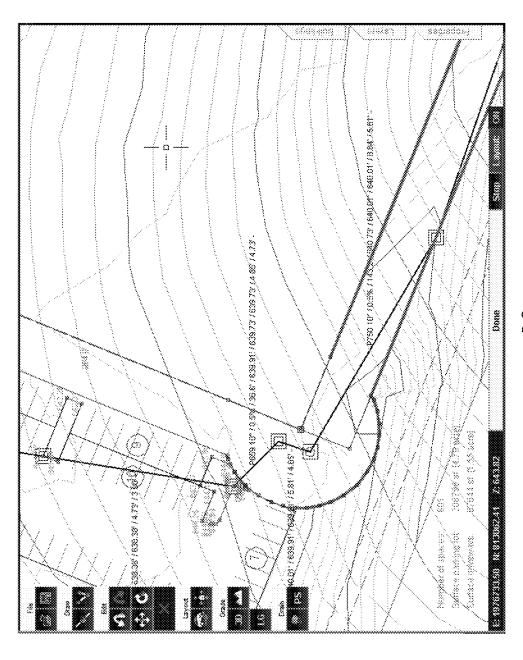


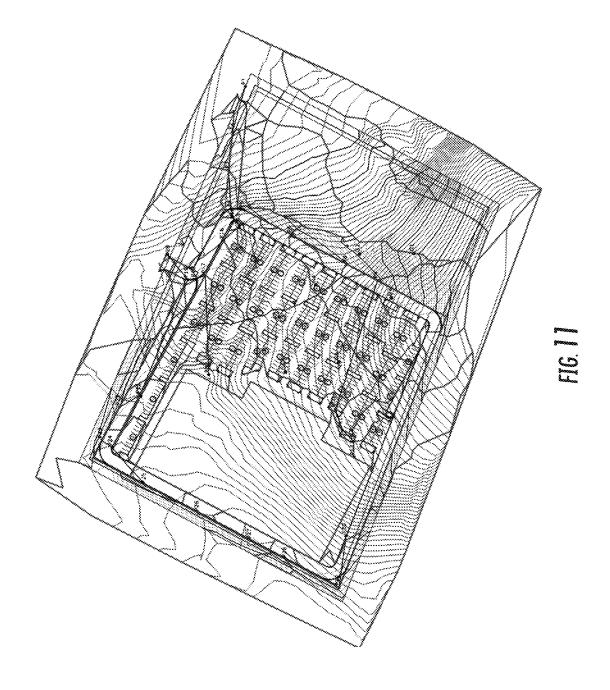


S





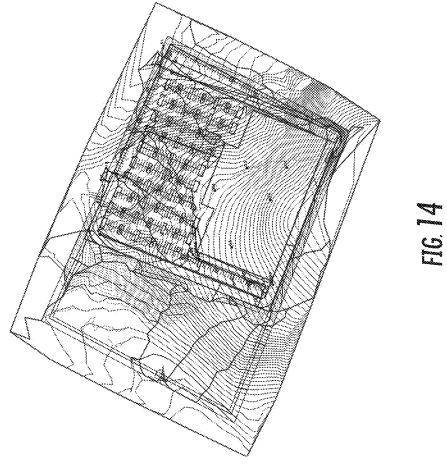




STORM SEWER	DESIGN INFOR	RMATION			
PIPE P13-P12 P12-P11 P11-P10 P10-P9 P9-P8 P8-P7 P7-P6 P6-P5 P5-P4 P20-P19 P20-P19 P20-P28 P28-P27 P27-P26 P26-P25 P25-P24 P24-P23 P23-P21 P31-P19 P19-P18 P18-P17 P32-P17 P17-P16 P16-P15 P15-P14 P14-P3 P3-P2 P2-P1 GEOTECHNICAL	LENGTH  38.8' 37.9' 52.3' 328.7' 83.8' 141.2' 10.9' 130.3' 31.1' 69.4' 126.3' 144.3' 144.3' 121.9' 30.8' 56.2 3.8' 303.1' 17.9' 241.8' 94.9' 52.4' 18.3' 17.9' 113.0' INFORAMATIO	DIAMETER 10" 12" 12" 12" 15" 15" 18" 18" 18" 18" 18" 24" 24" 24" 24" 30" 30" 30"	QIN 0.64385 0.32383 0.88424 0.23201 1.00328 2.70745 2.11089 0.81195 3.68142 0.09503 3.64116 0.87440 0.25958 0.58867 0.32740 0.51393 0.49165 0.38898 0.60774 0.03761 0.53385 0.35667 1.01069 2.16002 3.12931 2.62173 3.49929 0.16844 2.86248 3.62024	Q 0.64385 0.96768 1.85192 2.08393 3.08721 5.79467 7.90556 8.71751 12.39893 0.09503 3.64116 4.51556 4.77514 5.36381 5.69121 6.20514 6.69678 7.35494 7.74393 0.60774 8.38927 9.01815 9.37482 1.01069 12.54552 15.67483 18.29656 21.79585 34.36322 37.22570 40.84593	% FILLED (Q) 42 62 74 55 43 79 56 65 92 6 72 77 84 90 99 48 39 52 78 98 63 75 80 32 77
POINT	ORIG. Z	PROP. Z	ROCK	WATER	UNSUITABLE
61 62	668.032' 676.548'	668.032' 676.548'	668.032' 671.548'		
G3	647.862'	647.862'	637.862′ 635.463′	ė	ä
G4 G5	650.463′ 660.246′	650.463′ 660.246′	645.246′		<b>.</b> 
Ğő	647.321′	647.321'	627.321′	•	<b>.</b>
ADDITIONAL I	NFORMATION	<del></del>			

NUMBER OF PARKING SPACES: SURFACE PARKING LOT: SURFACE DRIVEWAYS: 611 204063 sf. [4.68 ACRE] 83346 sf. [1.91 ACRE]

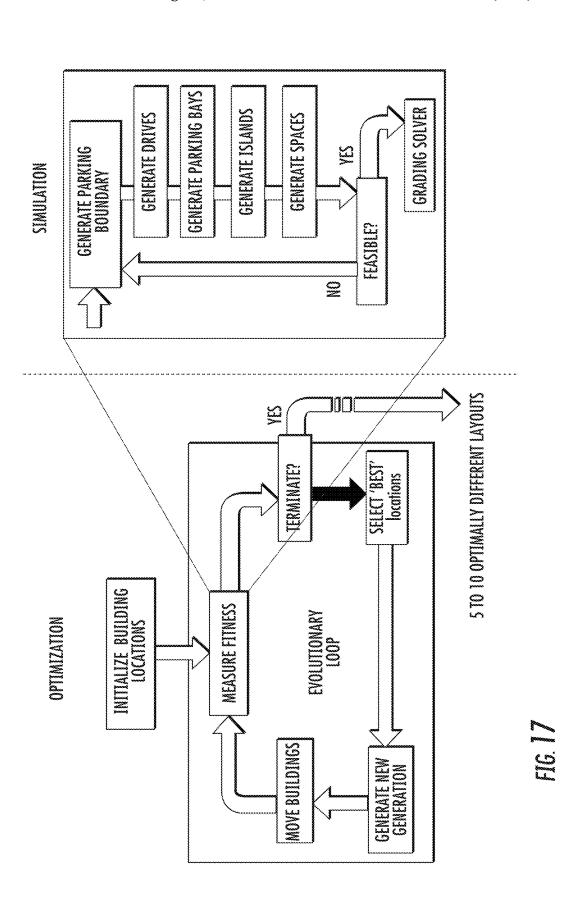
		***************************************	***************************************	
PROJECT: (GRADIN DATE:	G + LAYOUT+PIPING)			10
DESCRIPTION	QUANTITY UNIT	UNIT COST	SUBTOTAL	TOTAL
EARTH WORK	30 / N 3/NT	CE 100 00		67/0/700
CLEARING STRIPPING	13.62 ACRE 10.986.20 CY	\$5,600.00 \$1.50		\$76,267.83 \$16,479.30
JIRII I INO	10,700.20 (1	31.70		310,377.00
CUT ONSITE	V ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	áa wn	*****	
EARTH CUT ROCK CUT	1 <u>39,776.39 CY</u> 55,161.89 CY	<u>\$1.75</u> \$11.00	<u>\$244,608.</u> 68 \$606,780.84	
UNSUITABLE CUT	0.00 CY	\$3,50	\$0.00	
TOTAL CUT			<u>\$851,389.53</u>	\$851,389.53
FILL ONSITE	107,854.67 CY	\$1.75		S188,745.67
IMPORT FILL	0.00 CY	\$16.00	**********************	3100,743.07 \$0.00
EXPORT CUT	31,921.72 CY	\$8.00		\$255,373.76
DETAINING MAIL	27 200 20 CF	\$25.00		¢030 090 00
RETAINING WALL FINISHED GRADE	37,200.80 SF 13.62 ACRE	523.00 \$14,520.00		\$930,020.09 \$197,751.58
EROSION CONTROL	13.62 ACRE	\$2,500.00		\$34,048.14
			TOTAL	\$2,550,075.90
PAVING & SIDEWALK				
PAVING				
LIGHT DUTY PAVING	22,673.65 SY	<u> </u>	\$316,070.65	
HEAVY DUTY PAVING LIGHT DUTY CONCRETE	9,260.69 SY 0.00 SY	\$13.94 \$13.94	\$129,094.05 \$0.00	
HEAVY DUTY CONTRETE	0.00 SY	\$13.94	50.00 \$0.00	
TOTAL PAVING			\$445,164.71	\$445,164.71
SIDEWALK	2784.22 SY	\$35,00	70741	\$97,447.74
	***************************************		TOTAL	\$542,612.44
CURB & GUTTER				
CURB & GUTTER	7,520.29 LF	\$15.00	TATAL	<u>\$112,804.33</u>
STORM DRAINAGE	***************************************		TOTAL	\$112,804.33
FES				
DIAMETER 30.0	1.00 EA	\$1,500.00	\$1,500.00	és ran na
TOTAL FES	***************************************		\$1,500.00	\$1,500.00
RCP			b	
DIAMETER 10.0	391.69 FT	\$15.00	\$5,875.34	
DIAMETER 12.0 DIAMETER 15.0	<u>732.27 FT</u> 316.52 FT	\$20.00 \$22.00	\$14,645.42 \$4,043.30	
DIAMETER 13.0	627.84 FT	\$22.00 \$25.00	\$6,963.39 \$15,695.93	
DIAMETER 24.0	550.50 FT	\$30.00	\$16,515.00 \$13,236.62	
DIAMETER 30.0 TOTAL RCP	348.33 FT	\$38.00	\$13,236.62 \$72,931.70	¢79 831 78
IVIAL RCF	***************************************		312,731.10	\$72,931.70
INLET	32.00 EA	\$1,750.00		\$56,000.00
RIP RAP FIG. 13	1.00 EA	\$2,500.00	TOTAL	\$2,500.00 \$132,931.70
riu. I J			IVIAL	3132,731./0
			TOTAL COST	\$3,338,424.37



Sheet	14	of	23	

STORM SEWE	R DESIGN IN	FORMATION			
PIPE P4-P3 P5-P3 P3-P2 P11-P10 P10-P9 P18-17 P17-P16 P16-P15 P15-P14 P14-P13 P20-P19 P19-P13 P12-P9 P9-P8 P21-P8 P8-P7 P7-P6 P6-P2 P2-P1	LENGTH 226.8' 410.6' 113.6' 116.4' 65.6' 71.3' 72.0' 153.0' 9.0' 31.5' 275.2' 75.8' 282.9' 168.2' 238.9' 54.6' 141.9' 94.6' 72.7'	DIAMETER 10" 10" 18" 10" 10" 10" 10" 15" 15" 15" 18" 24" 30" 30" 30"	Q IN. 2.05101 1.71472 2.83580 2.33440 4.31007 0.40465 0.23101 0.29869 0.56269 0.26699 2.70400 0.88313 0.01951 0.46242 0.20966 0.89866 5.17304 2.97032 3.32218	Q 2.05101 1.71472 6.60153 2.33440 6.64447 0.40465 0.63566 0.93435 1.49704 1.76403 2.70400 5.35115 5.37066 12.47756 0.20966 13.58588 18.75892 21.72924 31.65296	% FILLED (Q) 43 36 89 83 89 10 41 60 97 40 59 72 27 57 6 27 65 75
GEOTECHNICA	L INFORMATI	<u>on</u>			
POINT G1 G2 G3 G4 G5 G6	ORIG. Z 668.032' 676.548' 647.862' 650.463' 660.246' 647.321'	PROP. Z 668.032' 676.548' 647.862' 650.463' 660.246' 647.321'	ROCK 668.032' 671.548' 637.862' 635.463' 645.246' 627.321'	WATER  C  C  C  C  C  C	UNSUITABLE
ADDITIONAL	ADDITIONAL INFORMATION				
NUMBER OF I SURFACE PAR SURFACE DRI	KING LOT:	CES:	610 207418 sf. [4 63460 sf. [1.4		

PROJECT: (GRADING + LAYOUT+PIPING)           DESCRIPTION         QUANTITY UNIT UNIT COST SUBTOTAL TOTAL           EARTH WORK         13.99 ACRE \$5,600.00         \$78,328.22           STRIPPING         11,282.99 CY \$1.50         \$16,924.49           CUT ONSITE           EARTH CUT         199,535.28 CY \$1.75 \$349,186.73           ROCK CUT         18,822.45 CY \$11.00 \$207,046.93           UNSUITABLE CUT         0.00 CY \$3.50 \$0.00           TOTAL CUT         \$556,233.67 \$556,233.67					***************************************	
EARTH WORK         13.99 ACRE         \$5,600.00         \$78,328.22           STRIPPING         11,282.99 CY         \$1.50         \$16,924.49           CUT ONSITE           EARTH CUT         199,535.28 CY         \$1.75         \$349,186.73           ROCK CUT         18,822.45 CY         \$11.00         \$207,046.93           UNSUITABLE CUT         0.00 CY         \$3.50         \$0.00	, 1100 DOI:	G + LAYOUT+F	PIPING	)		Do
CLEARING         13.99 ACRE         \$5,600.00         \$78,328.22           STRIPPING         11,282.99 CY         \$1.50         \$16,924.49           CUT ONSITE           EARTH CUT         199,535.28 CY         \$1.75         \$349,186.73           ROCK CUT         18,822.45 CY         \$11.00         \$207,046.93           UNSUITABLE CUT         0.00 CY         \$3.50         \$0.00		QUANTITY	UNIT	UNIT COST	SUBTOTAL	TOTAL
STRIPPING         11,282.99 CY         \$1.50         \$16,924.49           CUT ONSITE         EARTH CUT         199,535.28 CY         \$1.75         \$349,186.73           ROCK CUT         18,822.45 CY         \$11.00         \$207,046.93           UNSUITABLE CUT         0.00 CY         \$3.50         \$0.00		10.00	4 CDC	¢r /00 00		era ana an
CUT ONSITE  EARTH CUT  199,535,28 CY  S1,75 S349,186,73  ROCK CUT  18,822,45 CY  S11.00 S207,046,93  UNSUITABLE CUT  0.00 CY  S3,50 S0.00		13.99				
EARTH CUT 199,535.28 CY \$1.75 \$349,186.73  ROCK CUT 18,822.45 CY \$11.00 \$207,046.93  UNSUITABLE CUT 0.00 CY \$3.50 \$0.00	288111883	11,202.77		31.30		310,721.17
ROCK CUT						
UNSUITABLE CUT 0.00 CY \$3.50 \$0.00		199,535.28	Щ	<u>\$1.75</u>	<u>\$349,186.73</u>	
TOTAL CUT \$556,233.67 \$556,233.67		10,022.45	<del>~</del> ~		5207,046.93 CO OO	
		0.00		20.20	\$556.233.67	\$556,233,67
FILL ONSITE 61,882.44 CY \$1.75 \$108.294.27				<u>\$1.75</u>		
IMPORT FILL         0.00 CY         \$16,00         \$0.00           EXPORT CUT         137,652.84 CY         \$8,00         \$1,101,222.68		127 452 94		<u> </u>		00.00 8a ccc 101 12
LATURE COT 137,832.84 CT 30.00 31,101,222.00	LAI URI CUI	131,032.04	<u></u>	30.00		21,101,222.00
RETAINING WALL <u>37,289.37 SF \$25.00 \$932,234.37</u>			SF			<u>\$932,234.3</u> 7
FINISHED GRADE 13.99 ACRE \$14,520.00 \$203,093.87		13.99				<u>\$203,093.87</u>
EROSION CONTROL 13.99 ACRE \$2,500.00 \$34,967.95 TOTAL \$3,031,299.53	FKOZION CONIKOF	13.99	ALKE	52,500.00	TOTAL	534,967.95 \$2,000,000
101AL 30,031,277.33		***************************************	*************		LUIAL	33,931,277.33
PAVING & SIDEWALK PAVING	PAVING					
LIGHT DUTY PAVING 23.046.46 SY \$13.94 \$321,267.63		23,046.46	<u>SX</u>	<u>\$13.94</u>	<u>\$321,267.6</u> 3	
HEAVY DUTY PAVING 7,051.12 SY \$13.94 \$98,292.56 LIGHT DUTY CONCRETE 0.00 SY \$13.94 \$0.00				\$13.94	<u>598,292.56</u>	
LIGHT DUTY CONCRETE <u>0.00 SY \$13.94</u> <u>\$0.0</u> 0 HEAVY DUTY CONTRETE 0.00 SY \$13.94 \$0.00				<u>313.74</u> 		
TOTAL PAVING \$419.560.20 \$419.560.20			<del></del>			\$419,560.20
SIDEWALK 2.177.45 SY \$35.00 \$76.210.66	SIDEWALK	2,177.45	SY	\$35,00		S76,210.66
TOTAL S495,770.86					IOIAL	<u>\$495,//0.8</u> 6
CURB & GUTTER	CURB & GUTTFR					
CURB & GUTTER 6.932.33 LF \$15.00 \$103.984.93		6,932.33	LF	\$15.00		\$103,984.93
TOTAL \$103,984.93	CTABLE BRITISH				TOTAL	\$103,984.93
STORM DRAINAGE FES						
DIAMETER 30.0 1.00 EA \$1,500.00 \$1.500.00		1.00	EA	\$1,500.00	\$1,500.00	
TOTAL FES \$1,500.00 \$1,500.00			~~~~			\$1,500.00
ncn	ncn					***************************************
RCP DIAMETER 10.0 1,329.58 FT \$15.00 \$19,943.69		1 270 52	FT	\$15.00	07 5V0 615	
DIAMETER 15.0 288.64 FT \$22.00 \$6.350.11						
DIAMETER 18.0 537.86 FT \$25.00 \$13,446.54	DIAMETER 18.0	537.86		\$25.00	\$13,446.54	
DIAMETER 24.0 <u>222.81 FT \$30.00 \$6,684.37</u>		222.81	<u>ਜ</u>		<u>\$6,684.37</u>	
DIAMETER 30.0 309.22 FT \$38.00 \$11,750.22 Total RCP \$58,174.92 \$58,174.92		309.22	<u>ti</u>	238.00	311,/50.22 CSR 177.02	\$58 174 09
330,174.72 330,174.72	WINL RUI				330,114.72	270,174.7Z
INLET 22.00 EA \$1,750.00 \$38,500.00				\$1,750.00		
MANHOLE 1.00 EA \$2,000.00 \$2,000.00 RIP RAP . 1.00 EA \$2,500.00 \$2,500.00				\$2,000.00		\$2,000.00
RIP RAP 1.00 EA \$2,500.00 \$2,500.00 FIG. 16 TOTAL \$102,674.92	KIT KAT CIC 14	1.00	<u>ta</u>	32,300.00	TOTAL	52,300.00 90 1674 9112
	71U. I U				IVIAL	
		************************			TOTAL COST	\$3,733,730.24



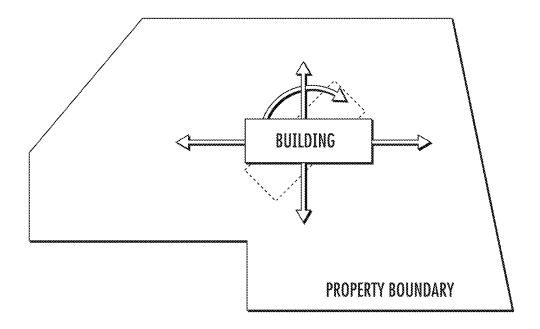
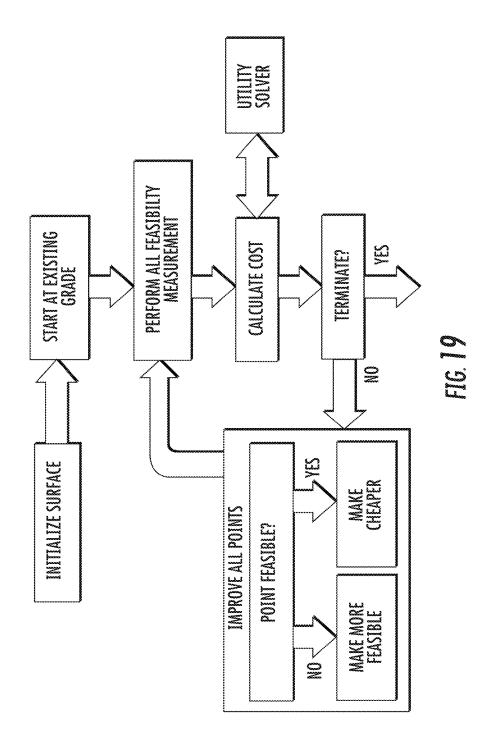
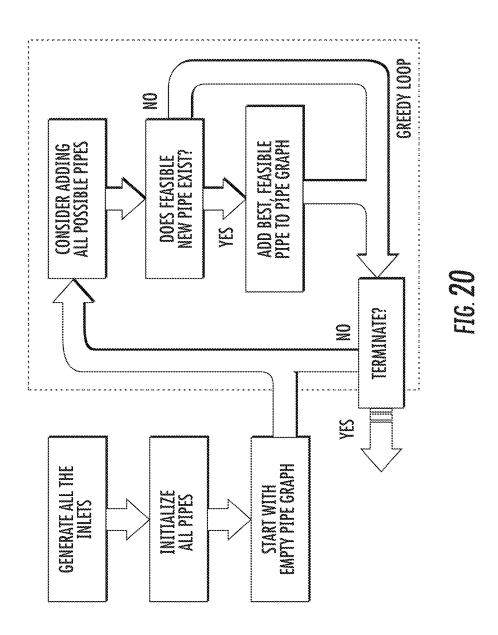
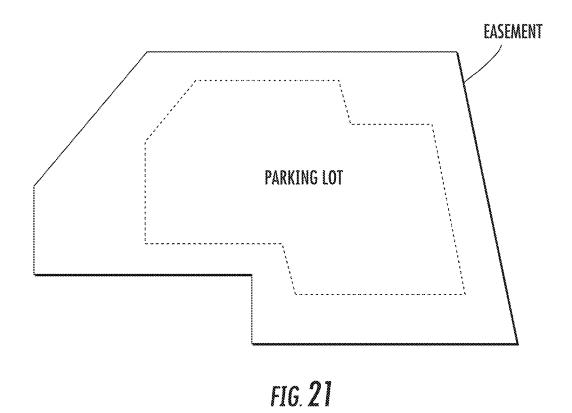


FIG. 18







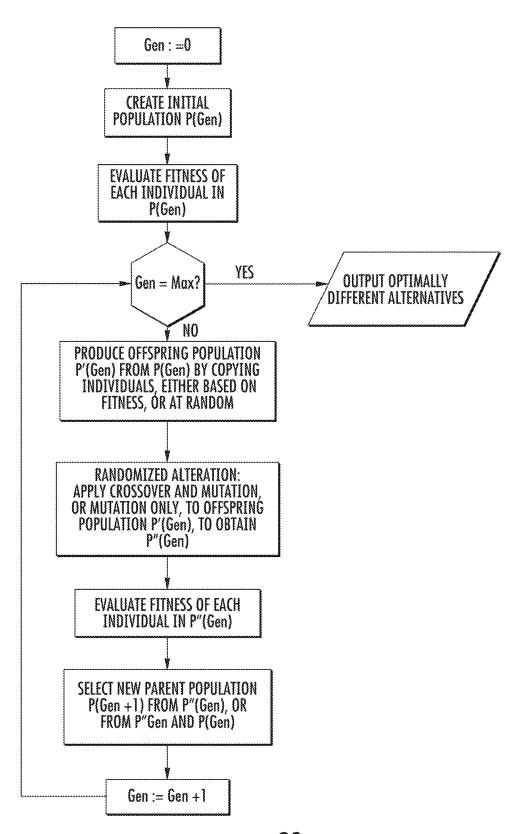


FIG. 22

PAREN	junean s						
0.77	1.05	0.87	0.54	2.43	1.23	6.30	0.99
0.11	0.65	1.02	0.88	2.33	0.99	0.02	1.09
PAREN	r 2		1		-	000000000000000000000000000000000000000	000000000000000000000000000000000000000
OFFSPR	ING 1		,				
0.77	0.65	0.87	0.88	2.33	0.99	6.30	0.99
0.11	1.05	1.02	0.54	/2.43//	1.23	0.02	1.09
OFFSPR	ING 2		FIG. 2	3			

**BREAKPOINT** PARENT 1 0.54 0.77 1.23 0.87 2.43 6.30 1.05 0.99 0.11 0.65 1.02 0.88 2.33 0.99 0.02 1.09 PARENT 2 OFFSPRING 1 1.05 0.87 0.88 2.33 0.99 0.02 1.09 0.54 2.43 6.30 0.65 1.23 0.99 0.11 1.02 OFFSPRING 2

FIG. 24

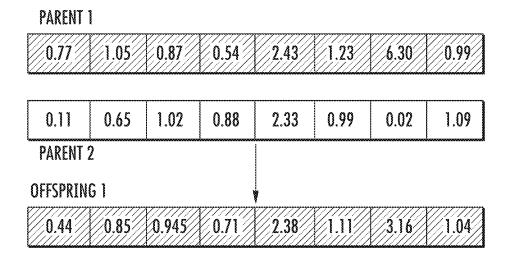


FIG. 25

# COMPUTER-IMPLEMENTED LAND PLANNING SYSTEM AND METHOD

# TECHNICAL FIELD AND BACKGROUND OF THE INVENTION

This invention relates to a computer-implemented land planning system and method, such as that designed to generate at least one conceptual fit solution to a user-defined land development problem. The invention is equally applicable to the planning and development of single and multipad commercial, mixed use, and residential land sites.

The process used today by professional real estate developers, corporations, government entities and others to assess land for engineering feasibility, cost of developing, and 15 investment purposes is time consuming, inaccurate, and expensive. Unfortunately, the current process is getting even more complex and expensive due to added bureaucratic complications with land use zoning, environmental protection requirements, extended permitting processes, as well as 20 the availability and escalating cost of land in desirable areas. This problem affects a broad spectrum of land users including, for example, real estate developers (office/industrial, commercial, retail, residential), corporations which own and use real estate (public/private), and government entities 25 (Federal, State, County, City).

For each of the above users, assessing the feasibility of a land site for development typically involves a land development team including one or more architects, engineers, and land planners. Many of these team members are engaged 30 to layout and plan the intended uses on the site being considered. This initial planning process can take from 2 days to four weeks, and usually results in a single schematic drawing with limited information (e.g., will the site support the building footprints or building lots and the necessary 35 streets and/or parking lots?). At this point, based largely on intuition and a "gut feeling" about the project, the developer will choose to contract for additional planning and engineering to more accurately assess the feasibility of the plan and the budget. This process can take 2 weeks to 16 weeks 40 and usually results in only one option that is based on the designer's experience but is not optimized in any respect. This information is then used to estimate a more accurate budget. Often times value engineering is required to bring the design back within the original budget. This process 45 takes 2 weeks to 6 weeks. The final budget is not generally determined until the end of the planning process—some 3-4 months after initial consideration of the land site.

The above planning process often must occur before the property is purchased, and requires substantial investment in 50 legal fees and earnest money to hold the property for an extended length of time.

After this 4 week to 28-week process (average 16 weeks) and considerable expense and risk of lost opportunity, the developer must assess the risk of purchasing and developing 55 the property based on one un-optimized design option. Unfortunately, the process outlined above is complicated even further by miscommunication and disconnect between the many groups involved, which often results in bad designs, bad budgets, disagreements, and bad projects. 60

The present applicant recognized that the land development industry needs a major paradigm shift, which is now possible through advances in mathematical modeling and computing hardware. One primary goal of the present invention is to fix the problems outlined above through a virtual 65 engineering system that can produce many optimized alternatives for land development—including the planning, engi-

2

neering, and budgeting of each potential solution. This computing process is generally achieved in a maximum 24-hour period.

Heuristic Strategy

The speed and effectiveness of the present invention is advanced using a heuristic mathematical optimization approach, such as evolutionary algorithms (with possible instantiations, such as genetic algorithms, evolution strategies, evolutionary programming, genetic programming, and combinations of the above and their components). For certain subtasks, mathematical programming approaches such as linear programming, mixed-integer programming, and branch-and-bound, are utilized as well.

Concisely stated, an evolutionary algorithm (or "EA") is a programming technique that mimics biological evolution as a problem-solving strategy. Given a specific problem to solve, the input to the EA is a set of potential solutions to that problem, encoded in some fashion, and a metric called a fitness function that allows each candidate to be quantitatively evaluated. These candidates may be solutions already known to work, with the aim of the EA being to improve them, but more often they are generated at random.

From these initial candidate solutions, by a process called reproduction, copies are being made in such a way that better candidate solutions (according to their fitness measure) receive more copies on average while worse candidate solutions receive less copies on average. Alternatively, reproduction might not be fitness-based, but instead may select solutions completely at random from the parent population. These copies generated by reproduction enter the next generation of the algorithm, and are then subject to randomized modification processes known as mutation and crossover (also called recombination). After mutation and crossover (together often called "variation operators"), the newly created solutions are quantitatively evaluated again to determine their fitness values. After this step of fitness determination, a selection step can be added which—either deterministically or according to a fitness-based randomized process—selects better solutions from the offspring population to survive while discarding worse solutions. This selection step can be applied to offspring only, or to the union of parents and offspring. Afterwards, the process repeats. The expectation is that the average fitness of the population will increase each round, and so by repeating this process for hundreds or thousands of rounds, very good solutions to the problem can be discovered.

### SUMMARY OF INVENTION

Therefore, it is an object of the invention to provide a computer-implemented land planning system and method which in one exemplary implementation may automatically generate at least one conceptual fit solution to a user-defined land development problem.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary
implementation may utilize a heuristic problem solvingstrategy, such as evolutionary algorithms. According to one
evolutionary algorithm, the evolution starts from a population of completely random individuals and happens in
generations. In each generation, the fitness of the whole
population is evaluated, multiple individuals are stochastically or deterministically selected from the current population (based on their fitness), modified (mutated and/or
recombined) to form a new population, which either in total
or in part becomes current in the next iteration of the
algorithm. During this process, the size of the population can

stay constant (like in a genetic algorithm) or change (like in a (m,l)- or (m+l)-evolution strategy).

It is another object of the invention to provide a computer-implemented land planning system which in one exemplary implementation may perform land planning and engineering 5 simultaneously. This invention may consider various land development parameters (e.g., site specifications, user constraints, cost information) up front from both the land planner and the engineer perspective, and then explores thousands of options using heuristic algorithms to determine 10 which options are best as determined by cost and/or revenue.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may apply a heuristic problem-solving strategy to the current civil engineering process to revolutionize 15 residential and commercial land planning and development.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may shorten the time it takes to get a final engineering drawing (85% complete or more), including 20 cost information, from 3-4 months to less than 24 hours in many cases.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may provide technology, accessible via the 25 web, which will enable a user to determine the most costeffective way to develop a land site.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may enable visualization of a land development problem and the ultimate solution.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may give the land developer direct access to qualified information in roughly 24 hours (or less) versus 35 many months.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may minimize the initial investment capital required for developing a land site.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may lower engineering costs.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary 45 implementation may minimize the risk associated with developing a land site.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may minimize engineering time.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may effectively integrate the creative (aesthetics) and engineering sides of land planning and development to achieve a very good or even globally optimal 55 solution.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may optimize around financial measurements, such as cost and/or return on investment (ROI).

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may generate multiple "optimally different" solutions to a land development problem, and which presents the solutions in a ".dwg" format that can be input and 65 manipulated directly into an engineers' existing CAD/CAM system. 4

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation is available for use on stand-alone PCs or networks.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize Digital Satellite Topography.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize a heuristic problem-solving strategy capable of manipulating many parameters simultaneously.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize a heuristic problem-solving strategy which searches beyond the local optima.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize a heuristic problem-solving strategy designed to be able to find the global optimum in a space with many local optima—a property called global convergence with probability one.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize a heuristic problem-solving strategy applicable in traffic engineering including signal optimization and highway design.

It is another object of the invention to provide a computerimplemented land planning system which in one exemplary implementation may utilize a heuristic problem-solving strategy applicable for optimizing the structural design of buildings and bridges.

These and other objects of the present invention are achieved in the exemplary embodiments disclosed below by providing a computer-implemented land planning system, such as that designed to generate at least one conceptual fit solution to a user-defined land development problem. In one implementation, the system employs a computer readable medium and a computer program encoded on the medium. The computer program is operable, when executed on a computer, for electronically creating at least one candidate solution to the land development problem. The candidate solution incorporates a plurality of engineering measurements applicable in development of an undeveloped land site. A fitness function quantitatively evaluates the candidate solution based on its cost. The fitness function might also include one or more penalty components which account for the candidate solution violating one or more user defined constraints. A heuristic problem-solving strategy manipulates the engineering measurements of the candidate solution to achieve a more quantitatively fit solution to the land development problem. An output means, such as a display monitor, printer, electronic communication, or the like, delivers to a user documentation illustrating the fit solution to the land development problem.

The term "planning" is defined broadly herein to refer to any conceptual development of a land site. The term "undeveloped land site" refers to a site which may or may not have existing structure and/or engineering infrastructure, and which is not yet developed according to one of the conceptual fit solutions generated in the present system. The term "heuristic" refers broadly to any problem-solving strategy that utilizes adaptive, self-learning, or self-adaptive techniques (as the evaluation of feedback) to improve performance. The following are examples of heuristic problem-solving strategies: evolutionary algorithms (such as genetic algorithms, evolution strategies, evolutionary programming,

5

genetic programming, and variants of these), simulated annealing, differential evolution, neural networks, hill climbing strategies, ant colony optimization, particle swarm optimization, and tabu search. For certain subtasks also linear programming, mixed-integer programming, and 5 branch-and-bound algorithms are considered as heuristics.

According to another exemplary embodiment, means, such as a digital terrain model, digitally represents the undeveloped land site in three-dimensional space.

According to another exemplary embodiment, a computer 10 program comprises instructions for conceptually locating the engineering measurements within the three-dimensional space.

According to another exemplary embodiment, the engineering measurements are selected from a group including, 15 but not limited to, storm water system, sanitary sewer collection system, and potable water system.

According to another exemplary embodiment, the output documentation comprises a least one computer-generated drawing.

According to another exemplary embodiment, the output documentation further comprises an itemized cost listing of the engineering measurements.

According to another exemplary embodiment, the documentation is delivered to the user via a global communica- 25 tions network.

In another embodiment, the invention is a computerimplemented land planning system designed to generate at least one conceptual fit solution to a user-defined land development problem. A processor accesses land develop- 30 ment constraints for an undeveloped land site. The system further employs a computer readable medium and a computer program encoded on the medium. The computer program is operable, when executed on a computer, for creating a population of candidate solutions to the land 35 development problem. Each candidate solution includes a plurality of engineering measurements applicable in development of the undeveloped land site. The processor accesses a cost model including respective cost data for each of the engineering measurements. A computer program comprises 40 instructions for penalizing (or even discarding) unfit solutions which violate the land development constraints. For all solutions which have not been discarded right away due to constraint violations, a fitness function is employed for calculating a fitness score based on the cost data for the 45 engineering measurements. The fitness function uses various cost measures and also can use various penalty measures to calculate fitness of solution candidates. A heuristic problemsolving strategy manipulates the engineering measurements of respective selected candidate solutions to achieve 50 Evolutionary Algorithm. increased fitness scores, such that those candidate solutions achieving increased fitness scores are more likely to be used or are even deterministically selected to form a new population in the next iteration of the algorithm. A computer program comprises instructions for selecting a set of opti- 55 mally different alternative solutions from the plurality of fit solutions. An output means, such as a display monitor, printer, electronic communication, or the like, is employed for delivering to a user documentation illustrating the optimally different alternative solutions to the land development 60 problem.

According to another exemplary embodiment, the processor accesses user preferences for the undeveloped land site.

According to another exemplary embodiment, a computer program comprises instructions for penalizing the fitness 65 score of a candidate solution based on violation of a user preference.

6

In yet another embodiment, the invention is a computerimplemented land planning method designed to generate at least one conceptual fit solution to a user-defined land development problem. The method includes the steps of electronically creating at least one candidate solution to the land development problem. The candidate solution comprises a plurality of engineering measurements applicable in development of an undeveloped land site. The candidate solution is evaluated quantitatively based on its overall fitness (which in turn is comprised of a cost component and a penalty function component). A heuristic problem-solving strategy is then employed for manipulating the engineering measurements of the candidate solution to achieve a more quantitatively fit solution to the land development problem. After achieving a more fit solution, documentation illustrating the fit solution to the land development problem is output to the user.

### Brief Glossary of Terms

The following Glossary of Terms provides basic definitions/explanations of certain terminology incorporated in the present description:

Cellular Automata—A group of algorithms that uses a set of 'cells', usually on a grid, each with an identical local behavior, to perform some global task. They are often used in simulating natural forces such as gravity on a grain of sand or drop in a rain cloud.

Crossover—In terms of an Evolutionary Algorithm, this refers to the process of combining parts of two or more individuals (parents in this case) to produce one or more new individuals (offspring).

Delauney Triangulation—A method to link points in a two dimensional space with lines creating triangles in a unique and mathematical way.

Easement—An area of land with special properties that may be taken into account for the optimization. Typical easements include, for example, tree save areas, power lines, existing gas and water pipes and natural elements like creeks.

Evolutionary Algorithms (EA)—A group of algorithms that uses the concept of natural evolution in an abstract way to optimize solutions to often complex problems. See discussion below with reference to FIGS. **22-25**. Subsets of Evolutionary Algorithms include, for example, Evolutionary Strategies, Genetic Algorithms, Genetic Programming and Simulated Annealing.

Evolutionary Loop—The iterative loop as used by an Evolutionary Algorithm.

Evolutionary Strategy—A subset of Evolutionary Algorithms that may use a continuous search space, generally relies more on mutation than crossover, and often uses step size adaptation strategies to evolve the parameters and increase performance.

Fitness (Function)—The fitness of an individual defines the rank in the population of individuals, and with that the probability of the individual being selected for mating. The fitness function is the function that calculates the fitness of an individual.

Heuristic—A heuristic is the implementation of an assumption that helps in the optimization process. The Layout Solver, for example, may use the assumption that minimizing pavement always minimizes cost.

Individual—In terms of an Evolutionary Algorithm, this is the entity that is evolved. It may consists of the input parameters for the fitness function. A newly created indi-

vidual is generally called 'offspring' and an individual selected for mating is generally called a 'parent'.

Iterative Loop—A loop that walks through a list of possible options or solutions.

Multi Pad Commercial—A multi-pad commercial site is a 5 site on which multiple commercial buildings may be developed. These buildings may be linked. Also, a single building with different floor elevations for separate parts of the building is often called "multi-pad."

Mutation—A small change on an individual. This is often done right after the individual is generated using crossover or plain copying.

Niching—A method to not only optimize for the best solution in a population, but also to keep up the diversity in the population. This generates so-called 'optimally different' solutions, being a group of different solutions that are all locally optimal.

Optimally Different—An optimally different solution is the "best" solution in its neighborhood in the search space. 20 That means that a set of optimally different solutions shows not only the best solutions in the entire search space, but also the spread of local optimal solutions.

Optimization—The process of trying to find an optimum in a search problem. In one implementation, the optimization is trying to find the best layout, grade and utility plan to optimize the cost of land development. Calculating the cost is done using simulations.

Simulation—The process of applying mathematical rules to imitate behavior of a real world process. In the present <sup>30</sup> case, the simulations may be geared towards 'imitating' the construction of a site and calculating what the cost will be of this construction.

Single Pad Commercial—A single-pad commercial site is a site on which only one building is developed.

### BRIEF DESCRIPTION OF THE DRAWINGS

Exemplary embodiments of the present disclosure will hereinafter be described in conjunction with the following 40 drawing figures, wherein like numerals denote like elements, and wherein:

FIG. 1 illustrates user access to online and offline optimization/simulation of a land development project according to one exemplary implementation of the present disclosure:

FIG. 2 illustrates a general interface to the exemplary solvers of the present engine for land development optimization/simulation;

FIG. 3 demonstrates an exemplary evolutionary loop;

FIGS. 4 and 5 show layouts of an exemplary site before and after running the present layout solver;

FIGS. 6 and 7 show before and after images demonstrating operation of an exemplary three-dimensional grading simulation tool;

FIGS. **8** and **9** illustrate an exemplary site layout without utility design, and then after the present utility solver has completed the design;

FIG. 10 illustrates an exemplary utility layout diagram output to the user;

FIGS. 11 through 16 illustrate various diagrams and reports of solutions output to the user according to one exemplary embodiment of the present disclosure;

FIG. 17 is a diagram illustrating operation of an exemplary layout solver in terms of optimization and simulation; 65

FIG. 18 shows an exemplary building location and various layout permutations;

8

FIG. 19 illustrates an exemplary optimization process;

FIG. 20 illustrates an exemplary optimization loop used to generate a drainage plan for the site; and

FIG. 21 illustrates an exemplary parking lot design;

FIG. 22 illustrates one exemplary algorithm applicable in generating optimally different solutions according to the present disclosure; and

FIGS. 23, 24 and 25 illustrate schematic examples of crossover according to exemplary embodiments of the present disclosure.

# DESCRIPTION OF THE EXEMPLARY EMBODIMENT AND BEST MODE

The present invention is described more fully hereinafter with reference to the accompanying drawings, in which one or more exemplary embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be operative, enabling, and complete. Like numbers refer to like elements throughout. As used herein, the article "a" is intended to include one or more items. Where only one item is intended, the term "one" or similar language is used. Although specific terms are employed herein, they are used in a generic and descriptive sense only and not for purposes of limitation. Unless otherwise expressly defined herein, such terms are intended to be given their broad ordinary and customary meaning not inconsistent with that applicable in the relevant industry and without restriction to any specific embodiment hereinafter described. Any references to advantages, benefits, unexpected results, or operability of the present invention are not intended as an affirmation that the invention has been previously reduced to practice or that any testing has been performed.

In an exemplary implementation, the present system operates in an environment utilizing a client device in communication with a host server over a computer network, such as the Internet. In other embodiments, other computer networks, for example, a wide area network (WAN), local area network (LAN), or intranet, may be used. The host server may comprise a processor and a computer readable medium, such as random access memory (RAM). The processor is operable to execute certain heuristic problemsolving programs and other computer program instructions stored in memory. Such processors may comprise a microprocessor (or any other processor) and may also include, for example, a display device, data storage devices, cursor control devices, and/or any combination of these components, or any number of different components, peripherals, and other devices. Such processors may also communicate with other computer-readable media that store computer program instructions, such that when the stored instructions are executed by the processor, the processor performs the steps described herein. Those skilled in the art will also recognize that the exemplary environments described herein are not intended to limit application of the present system, and that alternative environments may be used without 60 departing from the scope of the invention.

Various problem-solving programs incorporated into the present system and discussed further herein, utilize, as inputs, data from a data storage device. In one embodiment, the data storage device comprises an electronic database. In other embodiments, the data storage device may comprise an electronic file, disk, or other data storage device. The data storage device may store engineering and cost modules,

building codes and regulations, user data, and a repository. The data storage device may also include other items useful to carry out the functions of the present system.

In one example, the problem-solving programs comprise one or more heuristic problem-solving strategies (in particular, evolutionary algorithms such as evolution strategies, genetic algorithms, evolutionary programming, genetic programming, and heuristics) to "solve" a high level problem statement defined by the user—e.g., optimizing land development at a site based on cost. Resulting optimally different solutions are transferred over the computer network to the client device. The user is then able to decide which fit solution best satisfies his or her design goals.

### I. System Overview

The present system employs an optimization engine 15 which is divided into three distinct solvers, discussed separately below. These solvers allow users the option to implement only a desired portion of the system's overall functionality, and thereby speed up the optimization process.

The three separate solvers include:

- (a) the layout solver
- (b) the grading solver
- (c) and the utility solver

Layout Solver

The layout solver operates to layout a site; add parking 25 spaces, side walks, driveways, pavement and other 2D features on the site. The objective is to optimize the location of the building on the site given all the layout constraints entered by the user.

Grading Solver

The grading solver optimizes the proposed grade on the site given a certain fixed layout, so that the earth work is feasible and optimal. This solver considers user constraints such as minimum and maximum slopes, retaining walls, and curbs.

Utility Solver

The utility solver optimizes the pipes and inlets on the site. This solver considers factors including pipe sizes, depths, the flow of water on the surface, and flow in the pipes.

### A. Different Uses

The three solvers can not only be used individually, but can also be stacked to combine two or all three to achieve more detailed information. Stacking the solvers impacts the complexity of the optimization, and therefore the speed at 45 which one or more "good solutions" are calculated.

For added flexibility, the solvers may be used both online and offline:

Online: the solvers are started inside a browser window. In this implementation, the user can interact with the solvers 50 during a run, and can visualize the evolution or calculation of different solutions.

Offline: the solvers are started by sending a request to a server park. In this implementation, results of the optimization are sent back to the user when the request has been 55 completed.

Because stacking the solvers increases the complexity of the optimization, the solvers are generally not stacked when run online. Online runs may be referred to as "quick solvers" or "simulations". FIG. 1 illustrates user access to online and 60 offline optimization/simulation. A detailed explanation of solver stacking is provided elsewhere herein.

To promote portability and because of the relative complexity of the calculations, the system may utilize a global communications network, such as the Internet. To use the 65 system in this implementation, users employ an Internet browser and Internet access. A user can create projects using

10

the web interface and save data to a hosted database. Utilizing the web interface, users can run quick solvers that complete in less than 5 minutes. The quick solvers provide high-level information to the user, and may be applicable for resolving basic feasibility and aesthetic considerations. If more detailed information is desired, users can request an offline solution from the stacked solver. The stacked solver solutions provide greater detail, costs, and options for engineers to utilize in their site planning tasks. Depending on which solvers are used (stacked) in such a run and the size and complexity of the site submitted, typical run-times can range from 10 minutes to 24 hours.

### B. Stacking the Solvers

As mentioned above, there are different ways to stack the three solvers in the present system. FIG. 2 shows the general interface to the different solvers.

There are 6 different scenarios that can be employed:

- (1) Using only the layout solver
- (2) Using only the grading solver
- (3) Using only the utility solver
- (4) Stacking the layout solver on top of the grading solver
- (5) Stacking the grading solver on top of the utility solver
- (6) Using the full stack of layout solver, grading solver and utility solver

Each of these run scenarios requires different input and generates different output. In general, the inputs relate to the first solver in the stack, and the results are a combination of the results of all the solvers in the stack. If two solvers are stacked, the first solver uses the second as a "fitness function." This concept is discussed in further detail below.

### C. Only Layout Solver

Running the layout solver by itself is commonly done to quickly assess the general aesthetics or feasibility of certain site features. Entering only basic information for the given site, such as property boundary and building outline, the layout solver operates to determine the best location of the building, taking into account minimum number of parking spaces, drives, truck turnarounds (if needed), easements and other user-defined two dimensional constraints.

Input:

A property boundary

A building outline

Optional two dimensional constraints (e.g., easement areas, drive requirements, parking space requirements) Output:

The best layout found based on number of parking spaces and paved area.

When this solver is run in the web interface as a quick solver, the user also has the option to interact with the solver and move the building. When the building is moved, the layout solver quickly updates the layout using each new location selected by the user. In this implementation, the user can quickly assess the feasibility of a site and general location of the building. Because any change in two dimensional constraints, such as the number of parking spaces or driveway requirements, also triggers a layout update, this interface gives the user a powerful tool to answer numerous feasibility questions including, for example:

Can I fit 100 parking places on the site with building option A?

Can I fit 100 parking places on the site with building option B?

Can I fit 100 parking places on the site with building A, two driveways, and provide truck access on the back side of the building?

Can I fit 100 parking places on the site with building A, two driveways, provide truck access, and fit a drainage nond?

Examples of before and after layouts are provided in FIGS. 4 and 5, respectively. Before the layout solver is run 5 (FIG. 4), the user must enter only basic features of the overall site, such as property line, building, and topographical data. After running the layout solver (FIG. 5), the system has created parking lines, parking area, driveway access, truck drives to building, and sidewalks. Users can control what layout options are considered by providing rules for the system to follow, such as:

Building setback is 150 feet from property line.

No parking is allowed behind the building.

Truck drives must be 60 feet wide.

D. Only Grading Solver

Running the grading solver by itself gives a quick indication of the earth cut and earth fill costs of the supplied layout. In this scenario, users enter grading constraints such as minimum parking lot slope, maximum parking lot slope, 20 maximum retaining wall height, and the like. When performing this optimization, the system considers raising/lowering the overall grade of the land, where to place retaining walls, where curbs need to be located, appropriate slope for parking, and other relevant grading issues. The 25 grading solver then considers the different areas specified in the layout, reads in the user-defined grading constraints, and cost optimizes the grading plan for the site.

Input:

A complete layout

A three dimensional existing grade

Grading constraints for the layout

Separate unit costs for grading (excavating/filling) the site Output:

A three dimensional proposed grade

A cost report for the proposed grade (excluding utility costs)

Users running this quick solver through the web interface are provided a three dimensional grading viewer that allows them to watch the site actively being graded. FIGS. **6** and **7** 40 show before and after images demonstrating operation of the 3D grading simulation tool. This tool visually illustrates the earth which is cut/filled, any excavated rock, where retaining walls are placed, where curbs are built, where drives are run, and other details.

Grading options considered by the system can be customized by the user. User can enter rules into the system that direct the grading of the site. Such rules include, for example:

Specifying maximum retaining wall height

Indicating minimum and maximum slopes allowed for parking areas

To further optimize the grading plan, the grading solver and utility solver may be run in a stacked scenario so that grading considers drainage issues in its optimized solution. 55

E. Only Utility Solver

The utility solver generates an optimized drainage plan including inlets, outlets, and pipes needed to effectively drain the site. The utility solver will typically be run stacked under the grading solver. The utility solver can also be run 60 by itself in the web interface as a quick solver to provide valuable feedback regarding issues of aesthetics and feasibility.

Input:

A substantially complete layout

A substantially complete three dimensional proposed

12

Utility constraints for the layout

Unit costs for the utility design (pipes/inlets and so on) Output:

A three dimensional utility plan

A cost report for the utility plan

Examples of before and after options from the utility solver are provided in FIGS. 8 and 9, respectively. FIG. 8 shows the site laid out without any utility design provided. FIG. 9 shows what the site looks like after the utility solver has completed the design. FIG. 10 shows details provided in a diagram for the user.

Users can dictate certain utility design features by entering constraints into the system. Some of the constraints that can be created include:

5 Minimum and Maximum pipe slope

Costs for each Diameter of pipe

F. Layout+Grading Solver

Stacking the layout solver on top of the grading solver requires the system to grade every feasible layout generated.

This allows user to consider the least costly, feasible layout in terms of grading. This run scenario is generally preferable to only measuring number of parking spaces or size of paved area. It also ensures that sites with feasible grades are selected as the best options. Due to the large volume of layout/grade combinations this run scenario, the stacked solver not only returns the best layout, but also 5 to 10 "optimally different" proposed solutions. In this case, the stacked solver returns different layout alternatives with comparable costs, giving the user more insight into the options for solving the civil engineering problem.

Input:

50

A property boundary

A building outline

A three dimensional existing grade

Optional two dimensional constraints (e.g., easement areas, drive requirements, parking space requirements) Grading constraints for the layout

Separate unit costs for grading (excavating/filling) the site Output:

5 to 10 optimally different solutions. FIGS. 11 and 14 illustrate two "optimally different" solutions.

Solution Output (for Each Solution, the Following may be Provided):

Full site layout with building, property boundary, parking, drives, easements, ponds, etc.

Full three dimensional proposed grade

Full HTML and Excel cost reports outlining all expenses that would be incurred to grade and layout the site proposed

Full .DWG file with layers defined for each of layout components, contours for grading, and text details needed for civil engineering

Full system file that contains all related details about the site that can be fed back into the system to make further refinements

Detailed diagrams of the solution output are provided in FIGS. 11-16. This stacked combination is run offline and usually runs within 1 hour.

G. Grading+Utility Solver

Combining the grading solver with the utility solver requires the grading solver to consider the effect of the grade on the drainage plan. If the grade changes, the water may flow in a different direction or area on the site. This may require a more expensive drainage plan even though the grade may be less expensive. By running the utility solver on different grades during the grade optimization, the utility solver can highlight problem areas in the grade that may be

expensive to resolve. Those areas are then graded differently by the grading solver to minimize the total cost.

Input:

A complete layout

A three dimensional existing grade

Grading constraints for the layout

Separate unit costs for grading (excavating/filling) the site Utility constraints for the layout

Unit costs for the utility design (e.g., pipes and inlets) Output:

One optimized option for the site layout provided

Solution Output (for Each Solution, the Following may be Provided):

Full site layout with building, property boundary, parking, drives, easements, ponds, etc.

Full three dimensional proposed grade

Full three dimensional proposed utility plan

Full HTML and Excel cost reports outlining all expenses that would be incurred to grade and pipe the site layout 20 proposed

Full .DWG file with layers defined for each of layout components, contours for grading, piping schematic, and text details for all areas needed for civil engineering

Full system file that contains all related details about the site that can be fed back into the system to make further refinements

Detailed diagrams of the solution output are provided in FIGS. 11-16. This stacked solver is usually run within 30 30 minutes.

### H. Layout+Grading+Utility Solver

Using the full stack of solvers makes it possible to get 5 to 10 fully optimized layouts with minimal information. In this scenario, the grading solver also considers the cost of 35 the drainage plan. This then completes the full optimization providing the least costly, feasible site plan considering all site layout, grading, and utility constraints/costs. Generally, this full stack is more complex and requires more time to compute, and will provide the most accurate and detailed 40 results.

Input:

A property boundary

A building outline

A three dimensional existing grade

Optional two dimensional constraints (e.g., easement areas, drive requirements, parking space requirements)

Grading constraints for the layout

Utility constraints for the layout

Unit costs for grading (excavating/filling) the site

Unit costs for the utility design (e.g., pipes and inlets) Output:

5 to 10 optimally different solutions. FIGS. 11 and 14 illustrate two "optimally different" solutions.

Solution Output (for Each Solution, the Following may be 55 Provided):

Full site layout with building, property boundary, parking, drives, easements, ponds, etc.

Full three dimensional proposed grade

Full three dimensional proposed utility plan

Full HTML and Excel cost reports outlining all expenses that would be incurred to grade and pipe the site layout proposed

Full .DWG file with layers defined for each of layout components, contours for grading, piping schematic, 65 and text details for all areas needed for civil engineering 14

Full system file that contains all related details about the site that can be fed back into the system to make further refinements

Detailed diagrams of the solution output are provided in FIGS. 11-16. This fully stacked run on a 10-15 acre site may require between 8 to 15 hours.

II. Optmization

Multiple optimizations occur in the different solvers. The following discussion outlines how these optimizations are performed and which settings may be used.

(i) Evolutionary Loop

An evolutionary algorithm (EA) uses an evolutionary loop to evolve a 'pool' of individuals using 'selection', 'copying', 'recombination' and 'mutation' to constantly improve the individuals in an effort to find an optimal solution. An extensive introduction into EA is provided separately below and will therefore not be repeated, instead the following discussion focuses on how the layout solver implements this evolutionary process.

FIG. 3 shows a general evolutionary loop. First, an initial population, or 'pool', of individuals is generated. The size of this population generally stays fixed throughout the evolutionary process. The quality, or 'fitness', of each individual is measured using the fitness function, after which the termination criteria are checked. Usually, the loop is terminated after a set number of generations, but the top fitness or fitness change over time can also play a role in this. Next, the 'best' individuals are selected. The set of best individuals does not always have to be the set of individuals with the best fitness. (See discussion regarding 'optimally different' and 'niching' where this may not be the case.) The selected individuals are used to form the next generation. This can be done using recombination, but copying is also often employed. The next generation is usually the same size as the initial one, but is generated using only the best individuals of the initial generation. This next generation is mutated to hopefully change in the right (or better) direction. The way an individual is mutated can be problem dependent, but in most evolutionary strategies a 'step size' is used to influence the size of the change that is inflicted. Strategically changing this step size can then influence the performance of the algorithm significantly. After mutation is done, the loop is closed by recalculating the fitness of each individual. Because the pool now consists of individuals close to the best ones in the last generation, the expectation is that the fitness values of this generation are now improved.

In one implementation, this evolutionary process is used in areas where all other optimization processes fail to perform. This means that if a mathematical alternative is found for a certain subset of the problem, this alternative is generally preferable. An evolutionary process is normally not deterministic, and will therefore not generate the same answer twice. And, because the search space of most real world problems is too big to consider all possible answers, the solution generated by an evolutionary algorithm can never be proven to be the best solution. Evolutionary algorithms are relatively flexible though, and perform well in situations where other optimization algorithms are unusable to find a solution.

(ii) Optimization vs. Simulation

The present optimization engine is both an optimizer and a simulator. These two concepts are often confused, and are therefore explained below.

Simulation in terms of computer programs is the process of mimicking what would happen given certain inputs. This can be a case of analyzing an industrial process over time, calculating a model of the weather or, as in this case, trying

to apply 'common sense' to a land development problem. A simulation is usually deterministic, and gives only one

15

Optimization in terms of computer programs is the process of optimizing what can be given certain constraints. An 5 important difference to simulation is the fact that the computer is trying to find the best inputs to match the problem and its constraints, instead of analyzing a set of given inputs.

The present layout solver has both an optimization component and a simulation component, and in some parts, the solver may do a little of both. How the solver operates is based on certain assumptions made by the system. Every assumption is in effect a restriction to the optimizer; it tells the optimizer where it does not have to look for the best solution. Every assumption therefore decreases the search 15 space and, in general, increases the speed of the optimization process. A poorly chosen assumption however could have a significant impact on the quality of the candidate solutions. In effect, every assumption that is made moves a part of the problem from the realm of optimization into the real of 20 simulation. In other words, instead of trying to find the best solution for a sub-problem, it is assumed to be optimal in a certain way, making the solution of that sub-problem deterministic and more of a simulation than an optimization.

### A. Layout Solver

The optimization component of the layout solver is described below. FIG. 17 outlines the layout solver and its inner-workings.

The optimization component of the layout solver is the mechanism that seeks to find the best location of the 30 building. To measure the quality of a location of the building, the simulation component of the layout solver is used to simulate where the rest of the layout will go as a result of the location of the building. In terms of an evolutionary algorithm (EA), the optimization component is the 'evolutionary 35 loop', whereas the simulation component comprises the 'calculation details,' and an "individual" is considered a particular location of the building on the site. Details of this approach and specific settings are given in the following discussion.

## (i) Individual

The individual in the optimization of the layout solver is a location of the building. In the case of a multi-pad commercial site, this can be the location of multiple buildings. In the case of commercial sites, users may also want to 45 optimize the location of ponds or advertisement signs making these items part of the individual.

A location of the building is defined as the center of the building in terms of northing and easting, plus the rotation of the building given a certain original shape.

### (ii) Mutation

Mutation of an individual is done by moving and rotating the building. The amount of movement and rotation is Gaussian random distribution with a standard deviation of a certain step size of. This step size starts at a reasonable size, 55 required for a certain building changes the definition of such as: diagonal site/ $\lambda$ , where  $\lambda$  is the population size, and can be changed according to a number of strategies. One successful strategy that is employed is 'self adaptation', which uses the evolutionary principle to evolve the best step size at the same time the location of the building is evolved. 60 FIG. 18 shows an example of a building location and its permutations.

The mutation of an individual is constrained by numerous real-world logistical problems. Most of these problems are only checkable by trying to put drives, islands and parking on the site; but some, like checking if the building is inside the building setback, are performed before the fitness evalu16

ation. Locations that are infeasible, such as this, are not considered in the fitness evaluation.

### (iii) Optimally Different Selection or 'Niching'

In one implementation, selection in the layout solver is done according to that referred to as 'niching'. The layout solver not only seeks to calculate the best solution, but there is also value in returning different solutions. To a civil engineer or land developer, five different "pretty good" solutions might provide a much better understanding of the possibilities for a given site than five very similar (and perhaps better) solutions.

Generating optimally different solutions is achieved by reformulating the definition of a 'good individual': An individual that has the best fitness in its local neighborhood. The term 'niche' refers to this local neighborhood, hence the term 'niching'. Instead of selecting the μ(=number of parents) individuals with the highest fitness during selection, as is normally done, selection now selects only the single best individual. All the individuals in the immediate neighborhood of this best individual are now removed, and the best remaining individual is selected. The process is repeated by removing the immediate neighborhood of the second individual and selecting again, until all individuals are removed or  $\mu$  individuals are selected.

Defining the neighborhood of an individual is an important step for this type of selection. Generally, the neighborhood is defined by a radius  $\varepsilon$ . In the case of the building's northing and easting location, this is relatively straightforward, but in the case of the rotation, it becomes more complicated.

Two buildings are considered to be in the same neighborhood if and only if:

The centers are no more than  $\varepsilon$ =(diagonal of the site/c)

The rotation angles are no more than 45 degrees apart (Setting c is closely related to the selected number of parents; and  $c=\mu$  is not uncommon)

If the number of niches drops below  $\mu$ , then the best individual unselected from every niche is selected until µ is

### (iv) Fitness Function

The fitness function of the layout solver simulates the impact of the building location on the location of the remaining features of the layout. Fitness can be measured differently according to the different constraints set in the building. The stacking of the solver also impacts how the fitness function is defined. If the layout solver is run standalone (by itself), no costs are calculated. If the layout solver is stacked on top of the grading solver, the fitness is the grading cost which is minimized or optimized. If the layout solver is stacked on top of the grading solver and the utility solver, the fitness is the total cost which is minimized/ optimized.

A user-defined minimum number of parking spaces 'fitness' in a stand-alone layout solver. If this number is not defined, the solver seeks to maximize the number of parking spaces using the entire site. If this number is set, then the solver seeks to minimize the area needed for the parking spaces using a sub-area of the site. This is used as a fitness for a building location when the layout solver is not stacked.

### (v) Iterative Loop

By eliminating certain potential building locations using heuristics, the task of considering all remaining building locations on the site becomes feasible.

A significant difference between this approach and the evolutionary loop is the manner in which the location of the

building is defined. This is no longer done with nothing, easting and rotation, but by selecting two sides of the property, one side of the building and two offsets.

Using this iterative loop instead of the evolutionary loop is generally more reproducible. However, this approach 5 requires numerous assumptions in order to iterate through the search space. Another concern is that the now-simplified problem can still have an overly vast number of possibilities, or candidate solutions. The complexity is reduced to O(n4)which is polynomial, but can grow rapidly. As such, this 10 approach is especially useful in single-pad commercial land development.

In some cases, the user already knows which side of the building needs to be aligned with which side of the property boundary. This input further reduces the search space. The 15 complexity in this case is reduced to O(n2). Because this creates a large reduction in the number of candidate solutions, the quality of these solutions can be improved by decreasing the steps in the offsets and increasing the offsets.

A similar approach can be employed to improve the 20 quality on smaller sites. If the number of possibilities is small, the steps in the offsets can be reduced and number of steps increased accordingly.

### B. Grading Solver

Although the grading solver can be used by the layout 25 solver as part of the fitness function, the grading solver can be considered an optimization on its own. It optimizes the earthwork on a site given certain slope and height constraints. The optimization process of the grading solver is discussed below.

A grade is defined as a 'surface' and all of its retaining walls. To optimize a grade, the following assumptions are made in respect to the data structure:

retaining walls are only situated around a two dimensional area

a surface consists of a triangle mesh

every point (except for those on retaining walls) has only one surface elevation

Retaining walls are generally situated around the parking lot, and any exception can be handled by splitting the area 40 rithms in search spaces of this size, and although in theory where the retaining wall needs to locate. Defining a surface using a triangle mesh is common in civil engineering, and often referred to as a TIN.

Because retaining walls are defined to be located on boundaries of two dimensional areas, a retaining wall 45 between area A and B can be defined by two rows of three dimensional points; one row located in area A and one row located in area B, both having the same two dimensional locations, but different elevations. The difference in elevation then creates the vertical wall. This ensures that inside an 50 area there is only one elevation at a given location. This makes it possible to define the surface inside an area as a set of three dimensional points, where the first two dimensions give the location of the point and the third dimension is the elevation of the surface at that location. Using a smart 55 triangulation algorithm these points are then connected with triangles to generate the surface. The retaining walls link the separate areas together to form the finished three dimensional surface structures.

The grading solver optimizes the surface shape such that 60 the grading constraints are met and the cost is minimized. Most grading constraints are defined on the two dimensional areas given by either the layout solver or entered by the user. A parking lot, for example, is generally not allowed to slope more than 4 degrees in any direction to prevent cars from 65 rolling, but should at least slope 2 degrees to allow e water run-off. In addition to minimum and maximum slope, there

are other constraints that impact the grade, the most important of which might be the minimum and maximum elevations to prevent the grading of a certain area below or above a specified height. A complete list of the current constraints and how they are enforced is provided below. Important to note is that the optimization process, as outlined below, is independent of the individual constraints that make adding new constraints easily possible.

18

### (i) Vast Search Space

After the surface is constructed using all the two dimensional areas and the existing grade supplied by the user, this structure remains essentially constant. The grading solver changes only the elevation of all the three dimensional points. By moving a point up or down, all the triangles connecting to the point will get a different slope. If the point is moved down, the triangles will slope more towards the point, and if moved up the triangles will slope more away from the point. As such, there are numerous different surfaces with different slopes and elevations with different possible costs. Because there is no 'smallest change' defined, an infinite numbers of possible elevations exist for every single point in the surface.

This is generally not a problem for an evolutionary algorithm. An EA is capable of solving problems with infinite search spaces provided there are is some minimum and maximum values defined. The points that define the existing surface for the grading solver come from either contour lines or survey points that the user enters into the system. To accurately input an average sized surface, roughly 5000 points may be required. Some more detailed surfaces can require up to 10000 points. Every one of those points can be changed independently. To illustrate the immensity of this search space, consider what would happen 35 if every point in the surface could have 10 different heights. This would give (on average) 105000 different surfaces (1) with 5000 zeros). To compare, the number of atoms in the universe is estimated at 1081 (1 with 81 zeros).

Little is known on the behavior of evolutionary algoit should be possible, the present system does not offer the time required for an algorithm to 'learn' directional properties of this search space. Instead, this grading solver should preferably deliver an answer within less than five minutes.

### (ii) Local Optimization

Even though the search space is vast, there are two main characteristics that make optimizing a grade feasible:

Changing a point in a graded surface is only impacting the surrounding triangles and connecting retaining walls; it does not change properties on the other end of the site.

The existing grade (although probably infeasible) is the least costly grade to generate. No earth needs to be moved and no retaining walls need to be build. The cost of the earthwork is largely linear to the distance between the proposed grade and the existing one.

These two points give rise to the following assumptions: If a triangle is sloped too much towards a certain point, moving that point upwards makes the site more fea-

If a triangle is sloped too much away from a certain point, moving the point downwards make the site move feasible.

Moving a point towards its original elevation makes the site less costly if it decreases the difference between the total cut and total fill on the site.

Moving a point in a retaining wall towards its counterpart, reducing the size of the wall, makes the site less costly.

In view of the above, the global optimization that may have been too difficult to solve, is now defined in terms of a local optimization which is much easier to solve. It is a small step to take these rules and apply them in the form of a deterministic local optimization by adding one other 5 assumption: All points can be changed at the same time without changing any assumption listed above. While this assumption may not be entirely correct (as the change of one point does impact the triangles around it, and therefore the point on the other ends of the triangle) but given that the change is not substantial, this effect is negligible. This type of optimization is used in cellular automata (CA) and is referred to as 'synchronous updating.' The approach is comparable to a CA in that there is a neighborhood, and the points are influenced by their neighbors by elevation 15 changes in the previous generation, but the surface structure is different and global influences such as the total cut and fill balance is also unique, as is the application to earthwork calculations in civil engineering.

FIG. **19** illustrates this simple optimization process. (The 20 feasibility and cost calculations are abstracted for the purpose of explanation.)

### (iii) Termination

The grading solver needs to terminate when the surface is feasible and the cost optimal. To achieve this, the grading 25 solver assumes the following:

If the cost of the entire site has not changed more than 0.5% in the last 1000 iterations, the cost is optimal.

If the feasibility of the site has not changed more than 0.5% in the last 1000 iterations, this is as good as the 30 feasibility gets. If this is infeasible, the site constraints can not be implemented.

While the above is not true for every site, in practice these settings work well on most sites. The settings can be modified if a higher quality is needed, but this will impact 35 the run time of the solver.

### C. Utility Solver

The utility solver is responsible for all piping issues. There are three main categories for which underground pipes are needed:

- (a) Drainage pipes for ground water
- (b) Sanitary sewer pipes
- (c) Potable water pipes

From these three, optimizing a drainage plan is the relatively complicated and also depends largely on the 45 proposed grade of a site. As such, in terms of measuring the quality of a proposed grade, the drainage optimization is an important feasibility test.

### (i) Utility (Drainage) Solver

The utility solver can be split, like the other two solvers, 50 into a simulation component and an optimization component. The simulation component can also be split into multiple parts, including: Water Flow calculations and Pipe Throughput calculations. Both are explained in further detail below.

The optimization component in the utility solver consists of optimizing the layout and size of the pipes connecting storm water inlets, ponds and tie-in points. A smaller pipe size or a shorter length means a less costly site, but the piping layout must be able to handle a certain storm on the 60 proposed grade as it is designed by the grading solver. As such, the constraints on this optimization can be rather complicated, but should be accurate in order to prevent flooding on the designed site.

Pipes are also constrained in their elevation. Drainage 65 pipes generally use gravity to move water. Accordingly, a pipe has a minimum slope under which the water will flow.

Pipes are not allowed run above ground, and have a maximum depth under which they can be damaged or crushed. Additionally, pipes are not allowed to pass under certain areas like buildings, and are only rarely allowed to pass outside the property boundary. All these (and other) constraints make this a heavily 'bounded' optimization and make it difficult for an evolutionary algorithm to find an effective 'optimization path'.

20

A typical site may have roughly 20 inlets/tie-in points dispersed over the entire site. This means that the number of possible pipes between two inlets is  $20\times(20-1)/2$ , or 190. The number of possible combinations of these pipes to form different layouts is 2190 which is about 1,6·1057 (a 1 followed by a 6 and 56 zeros). As such, it is impractical to go through every different piping layout. Optimization in this case without any assumptions would be difficult.

There are two assumptions that make this problem easier: The cost of a pipe is never negative.

The best piping layout for a subset of the inlets will be part of the best piping layout of the entire site.

The first assumption is important for the second assumption to be true. While civil engineers commonly use the second assumption, in theory there are cases where this assumption does not have to be the case. This along with other constraints, such as 'pipes need to be going somewhere', convert this problem into a local optimization. The system knows where the water needs to go (i.e., to the ponds/tie-in), so that limits the initial number of possibilities, or candidate solutions, to the problem. And, because the solver can assume that any pipe that is good in the beginning is good in the end, the optimization becomes a matter of adding the 'best', feasible pipe to the pipes already added, over and over until all the inlets are connected.

FIG. 20 shows the optimization loop used to generate the drainage plan of the site. Note that there are some similarities with an evolutionary loop. One difference is that with every iteration of this loop, the remaining problem decreases, because every inlet that is connected to the Pipe Graph never has to be considered again.

Generating the inlet locations can be complex. This involves simulating the water flows, and then pinpointing where the water ponds on the pavement surface. The system then checks if an inlet is capable of effectively managing/draining that water. If not, the system adds inlets at strategic locations to catch the water before it ponds. This simulation is done using a flow graph.

The following describes how to 'consider adding a pipe'. Adding a pipe not only impacts the inlets and the pipe itself, but also the pipes the added water flows through to get to the pond/tie-in. This means that every pipe is checked by adding it temporarily to the Pipe Graph and then recalculating the feasibility, sizes, and costs of all the pipes. A pipe is only added if nothing in the Pipe Graph becomes infeasible because of it, and it is the least costly pipe to add; the concept being that adding the least costly pipe over and over again, gives the least costly Pipe Graph at the end. The loop terminates when all the inlets are connected.

### (ii) Sewer and Water Optimization

Every building generally has one connection for the sewer and one connection for potable water. These connections are usually piped to the tie-in points in straight lines. The optimization seeks to find the least costly, feasible tie-in point for each of the pipes. Gravity only plays a role for sanitary sewer, because potable water uses a pressurized pipe.

Because sanitary sewer uses gravity, the elevation of the building can have an impact on the feasibility of the sewer

35

40

50

60

65

pipe. This is considered in the grading solver though. A building is not allowed to go under a certain elevation calculated using the sanitary sewer tie-ins, the connection on the building and, their relative locations.

### III. Calculation Details

The following discussion outlines calculations for simulations and cost models in the optimization engine.

In the present exemplary implementation, the cost model is the heart of the optimization process. Everything is optimized according to cost.

The cost model has two types of input: Unit costs, being the cost for all parts of the site. Amounts, being the size/weight/length of all parts of the site.

### (i) Unit Costs

The unit costs used are the following:

#### 20 Grading Solver: Cclearing cost per acre of clearing the site Cstripping cost per acre of stripping the site Cearth cost to cut a cubic yard of earth on site Crock cost to cut a cubic yard of rock on site Cunsuitable cost to cut a cubic yard of unsuitable Cfill cost to fill a cubic yard Cborrow cost to borrow a cubic yard from off site cost to export a cubic yard from site Cwaste CretainingWall cost to build one square feet of retaining wall Cfinish cost to finish one acre of graded site Cseeding cost to seed one acre Cerosion cost to counter erosion on one acre Cpaving cost to pave one square yard Csidewalk cost to put sidewalk concrete on one sq. yard Ccurb cost to build one feet of curb Utility Solver: CinletEA cost for one inlet CinletFT cost for one feet of inlet CinletOversizedEA cost for one oversized inlet CinletOversizedFT cost for one feet of oversized inlet CmanholeEA cost for one manhole CmanholeFT cost for one feet of manhole CmanholeOversizedEA cost for an oversized manhole CmanholeOversizedFT cost for one feet of oversized manhole cost for one pump Cpump cost for one placement of riprap Criprap Cpondkit cost for one pondkit Cstormmain cost for one tie in to a storm main cost for piping though one cubic yard of rock CpipeRock a list of costs of each different size of FES Cfes a list of costs for each different size of RCP Crcp

### (ii) Measurements

With all these costs go a list of measurements that are needed to calculate the total cost of a site. The following discussion will outline how the cut and fill is calculated.

pipe

The list of all the measurements used in the cost model:

Grading Solver:	
VdisturbedArea	the total area that is disturbed by the grading
Vtopsoil	the total amount of topsoil that was stripped
VearthCut	the total amount of earth cut during grading
VrockCut	the total amount of rock cut during grading
VunsuitableCut	the total amount of unsuitable cut
Vfill	the total amount filled during grading
Vwaste	the total amount exported from site
Vborrow	the total amount imported to site
VwallSurface	the total wall surface
Vpaving	the total paving surface

### -continued

5	Vcurb Vsidewalk Utility Solver:	the total curb length the total sidewalk surface
,	VinletsEA VinletsFT VinletsOversizedEA VinletsOversizedFT	the total number of inlets the total combined height of the inlets the total number of oversized inlets the total combined height of the oversized inlets
10	VmanholesEA VmanholesFT VmanholesOversizedEA VmanholesOversizedFT Vripraps Vpondkits	the total number of manholes the total combined height of the manholes the total number of oversized manholes the total combined height of the manholes the number of ripraps the number of pond kits
15	Vstormmains Vpumps VpipeRockCut Vfes Vrcp	the number of storm water main tie-ins the number of pumps the total amount of rock cut for pipes a list of amounts per size of FES a list of lengths per size of RCP pipe

The cost model is recalculated primarily during the grading and drainage solver. The layout solver concentrates more on the number of parking spaces and the size of the paving area. The paving area does however have a direct relation with the cost of the paving area, and therefore the cost of the layout. However, because this is only one aspect of this complex cost report, the entire cost report does not need to be recalculated during the layout solver.

The entire cost model is recalculated in the following way:

```
// calculate all the grading costs
calculateClearing(VdisturbedArea, Vtopsoil);
calculateCutAndFill(VearthCut, VrockCut, VunsuitableCut, Vfill);
calculateWalls(VretainingWall);
calculateFinish(VdisturbedArea, Vpaving, Vcurb, Vsidewalk);
calculateErosionControl(VdisturbedArea);
// calculate all the utility costs
calculate SWSInlets (Vinlets EA, \ Vinlets Oversized EA,
                        VinletsFT, VinletsOversizedFT);
calculateSWSManholes(VmanholesEA, VmanholesOversizedEA,
                       VmanholesFT, VmanholesOversizedFT);
calculateSWSRest(Vriprap, Vpumps, Vpondkits, Vstormmains);
```

Note that the only costs that are affecting the layout solver are calculated during the 'calculateFinish' function.

The different grading costs are calculated in the following

```
calculateClearing(VdisturbedArea, Vtopsoil):
       cost += VdisturbedArea * Cclearing;
cost += VdisturbedArea * Vtopsoil * Cstripping;
calculateCutAndFill(VearthCut, VrockCut, VunsuitableCut, Vfill):
       Vonsite = min(VearthCut, Vfill);
       Vwaste = (VearthCut - Vfill) * Fexpansion;
       Vborrow = (Vfill - VearthCut) / Fcompaction;
       if (Vwaste \leq 0) Vwaste = 0;
       if (Vborrow \leq 0) Vborrow = 0;
       cost += Vonsite * Cearth + VrockCut * Crock +
                      Vunsuitable * Cunsuitable;
       cost += Vonsite * Cfill;
       cost += Vborrow * Cborrow;
       cost += Vwaste * Cwaste;
calculateWalls(VretainingWall):
       cost += VretainingWall * CretainingWall;
calculateFinish(VdisturbedArea, Vpaving, Vcurb, Vsidewalk):
       cost += Vfinish * CdisturbedArea;
       cost += Vpaving * Cpaving;
       cost += Vcurb * Ccurb;
       cost += VsideWalk * Csidewalk;
calculateErosionControl(VdisturbedArea):
       cost += Verosion * Cerosion;
```

23

And the same can be done for all the utility related functions:

```
calculateSWSInlets(VinletsEA, VinletsOversizedEA
                      VinletsFT, VinletsOversizedFT):
       cost += VinletsEA * CinletsEA;
       cost += VinletsOversizedEA * CinletsOversizedEA;
       cost += VinletsFT * CinletsFT;
       cost += VinletsOversizedFT * CinletsOversizedFT;
calculateSWSManholes(VmanholesEA, VmanholesOversizedEA,
                     VmanholesFT, VmanholesOversizedFT):
       cost += VmanholesEA * CmanholesEA;
       cost += VmanholesOversizedEA * CmanholesOversizedEA;
       cost += VmanholesFT * CmanholesFT;
       cost += VmanholesOversizedFT * CmanholesOversizedFT;
calculateSWSFES(Vfes):
       for every different size of FES do:
              CthisFES = cost in Cfes with current size;
              VthisFES = number of FES's used with this size;
              cost += CthisFES * VthisFES;
calculateSWSRCP(Vrcp):
       for every different size of RCP do:
              CthisRCP = cost in Crep with current size;
              VthisRCP = total size RCP pipe used with this size;
              cost += CthisRCP * VthisRCP;
calculateSWSRest(Vriprap, Vpumps, Vpondkits, Vstormmains):
    cost += Vriprap * Criprap;
       cost += Vpumps * Cpumps;
cost += Vpondkits * Cpondkits;
       cost += Vstormmains * Cstormmains;
```

This above describes the total cost of a site as calculated by the optimization engine. In order to optimize for this cost, the solvers use an evolutionary approach to incrementally 30 decrease this cost by changing the different input parameters.

B. Layout Solver.

The layout solver generates different feasible site layouts and seeks to find the 'best', The definition of what the best 35 layout depends on what other solvers are 'stacked' on top of this solver and some specific constraints on the layout

No solver stacked and no minimum number of parking spaces: the solver seeks to maximize the number of 40 to achieve this: parking spaces gives the boundary constraints.

No solver stacked with a minimum number of parking spaces: the solver seeks to minimize the pavement needed for the number of parking spaces.

Grading with optional utility solver stacked on top of the 45 layout solver: the solver seeks to find the layout that is least costly in the total cost of the project.

The layout solver generates one layout for every position of the components it can lay out. In one implementation, the layout solver positions only one building and then builds the 50 site around this one building. In other implementations, the layout solver may position multiple buildings and areas like ponds, waste containers, and such. To the layout solver, these areas are indistinguishable, and their use is defined by the constraints that exist on the area.

Apart from the movable areas, the layout solver also deals with fixed areas. These can be considered spatial constraints, such as easements.

Driveways are defined in terms of center points. The layout solver then generates the driveways to the parking lot 60 or the building, depending on the constraints that are defined on both the center points and the building.

(i) Positioning a Building

The building can be positioned in two ways:

Using an evolutionary loop

Using an iterative loop

Both ways are discussed further below.

24

(ii) Defining the Sidewalk

A sidewalk can be added to a building by the user. It becomes attached to the building and moves and rotates with the building. Every side of the building has a predefined sidewalk width. This is defaulted to 0.

(iii) Defining the Parking Lot

The term 'parking lot' refers to the area in which parking is allowed. In case of the layout solver, this is translated into: 'the area in which parking is maximized', Consequently, if 10 there is no minimum number of parking spaces set for the building, then the parking lot is the entire property boundary minus the parking setback and the easements inside that boundary. FIG. 21 provides an example of such a parking lot.

Note that in some cases the parking lot is cut into multiple pieces by the parking setbacks or the easements. This is considered to be feasible, but can result in inaccessible parking spaces.

(iv) Laying Out a Driveway

A driveway is defined as a polyline with a setback (and including factors such as the number of entrances/exits, curb radiuses, corner radiuses, type of pavement, and stacking distance). A driveway always has a 'source' and a 'target'. The source can be either a driveway center point or another 25 driveway, while the target can be either a parking lot or a side of an area with a direction. This direction is either 'parallel' or 'perpendicular' in describing the way to connect to the side in question.

Connecting the source to the target can be done in several different ways, but the layout solver tries to find the least costly alternative.

If a drive overlaps the parking lot, that area of the parking lot needs to be cut. Note that this can again make for multiple parking lots that are all very feasible.

(v) Laying Out Parking Bays

Generated parking lots are 'filled' with parking spaces. The idea is to maximize the number of parking spaces. This is done by maximizing the number of spaces for every parking lot separately. Some heuristics/assumptions are used

Parking bordering the boundary of the parking lot maximizes spaces.

Parking against the building maximizes spaces.

Parking bordering the boundary should precede parking around the building.

The following algorithm implements these:

For every parking lot do:

Generate parking bays on the parking lot boundary Generate parking bays on the easement boundaries Generate aisles to access all parking bays with offsets Generate the inside parking bays

To generate the inside parking bays, the layout solver must have a direction and start of the bays. Optionally, the direction of the bays is given, but if this is not given it is assumed to be one of the sides of the parking lot. That gives to following algorithm to shape the bays:

For every side of the parking lot do:

While there is parking lot left:

Cut parking bay out of parking lot using 2 \* pdepth

Cut aisle out of parking lot using awidth

Calculate parking spaces

65

Use the best side based on parking spaces to layout bays

(vi) Laying Out Parking Spaces

To layout the parking spaces, the solver considers the following constraints:

The width and depth of a parking space need to be sufficient.

The curbs on the islands need to be considered.

The parking space needs to be accessible.

The parking spaces might have to align.

Parking spaces are generated using the parking bay boundary, inserting islands and offsetting parts of the ends to generate the usable parts of the bay. These usable parts are then considered in terms of size and then 'shaped' into rows of parking spaces.

C. Grading Solver

The grading solver seeks to optimize the proposed surface of a site in such a way that the cost of the total site is minimized. This is done with an evolutionary approach that uses local heuristics to change the proposed surface.

A surface in the present system is defined with triangles. 20 These triangles are generated using a combination of 'Delauney trianglulation' and the 'shaping' of boundaries. The following statements are important to note about the resulting data structure:

Every point has a list of connecting triangles

Every triangle has three different points

Every point has an current and original elevation

Some points are 'linked' to other points above or below to form walls

The grading solver can be run stand alone or in combination with the utility/drainage solver. If the grading solver is run in combination with the utility solver, it seeks to minimize inlets and raises and lowers ponds and unreachable inlets to make the drainage feasible and less expensive.

How the grading solver optimizes a grade, and details 35 regarding the determination of feasibility and cost are discussed further below.

(i) Feasibility

There are many feasibility constraints that need to be enforced in order to get a feasible grading solution. These 40 constraints must be translated to attributes of points. There are two different types of grading constraints:

Slope constraints

Height constraints

Slope constraints are constraining the slope of a triangle 45 between a minimum and a maximum slope. This is generally defined for entire areas, but could also be defined for virtual areas defined by offsets on sides or points. Important slope considerations include:

Slope of an entire area.

Slope away from the building.

Slope inside a building in a certain direction.

Slope on a curb.

To enforce a slope constraint, the triangle that violates the constraints needs to be tilted or leveled. This can be trans-55 lated into changes on points by moving the points away from the average elevation in case of tilting, and closer to the average elevation in case of leveling.

Height constraints are also generally defined on entire areas, but can be dynamic in nature. Accordingly, a mini- 60 mum or a maximum slope can be dependant on the height of another area or point. This makes defining the different parts of a pond possible, for instance.

Because of the dynamic nature of some of the height constraints, the points that violate a minimum or maximum constraint are not move directly to that specific constraint, but rather slowly towards the constraint.

26

One particular height constraint is the maximum retaining wall height. This in fact is the maximum elevation difference between two linked points.

(ii) Cost

One primary objective of the grading solver is to optimize for cost. However, resulting solutions are only relevant if they are also feasible. As such, regardless of the cost of a certain change, if it improves feasibility it will be done. Every point keeps track of a feasibility improvement and a cost improvement. Only if the feasibility improvement is close enough to zero, the cost improvement is applied as well.

Altering the elevation of a single point affects the cost a number of ways, including:

Cut/fill of earth and rock.

Exporting/importing earth.

Disturbed earth.

Height of retaining walls.

Number of inlets.

Some of the items mentioned above can be calculated locally and others must be dealt with in a global way for each iteration. Every iteration the cost calculation proceeds generally as follows:

Calculate total export and import Calculate number of inlets

For every point do:

if point feasible do:

Calculate impact of change on earth and rock cut Calculate impact of change on disturbed earth Calculate impact on optional retaining wall Calculate direction for balancing import/export Calculate whether inlet should be removed

For every point do: Change point

### (iii) Feedback Loop from Drainage

One possible drawback of using a local search algorithm and relying heavily on the local directional heuristics is that a direction is required on every aspect of the optimization. The problem is that drainage is hard to define in terms of local properties. The number and size of the inlets might give some feedback, but the height of the pond for instance is not as easily defined.

At present, the height of the ponds is defined as the average of the area in which it is defined. This is tried for around 500 iterations of the grading solver, and then 1 drainage iteration is done. The result of the utility/drainage solver is then seen as the optimum given this pond height. Then, the pond height is changed (mutated) and the grading is run for another 500 iterations with another single run of the utility solver. If the result is improved, the new height is used for the next mutation else the old one is used. This process is very similar to a (1+1) evolutionary strategy (ES).

D. Utility Solver

The utility solver can be divided into three sections:

- (a) Storm water sewer
- (b) Sanitary sewer
- (c) Potable water

The present implementation of the system will handle the following utility issues:

Flow of water

Location of drainage areas

Location of inlets Optimization of pipes

Some sanitary sewer and potable water constraints

The utility solver must simulate the flow of water in order to find where the lowest points in the grade are, and how much water drains into these lowest points. In one implementation, cellular automata is used to simulate the flow of water in the form of a grid. A second algorithm uses a 'flow graph' to simulate a more absolute way of direction. The first algorithm may be used as a visual tool, and as a means for flood prediction. The second algorithm is applicable in calculating the location of the inlets and size of the drainage areas.

### (i) Cellular Automata

A two dimensional cellular automata is used to simulate the flow of water. Such a cellular automata works by defining a cell to be a small (in this case) rectangular piece of the entire area that has a certain small part of the solution in the form of values. In this case, every cell has the total water that is currently present in the cell. The idea then is to define rules on how the solution (in this case the water) interacts with the neighboring cells. In synchronized cellular automata, this is done by recalculating the values in the cells every iteration using the values of the neighboring cells in the previous iteration with a system of rules. These rules, together with the topology of the CA, then define the behavior of the CA.

The neighborhood of a cell can be defined in different ways, such as the 'von Neumann' neighborhood. In this neighborhood, two cells are neighbors if and only if they are directly connecting horizontally or vertically. That means that every cell has only four neighbors.

The rule system to simulate water flow in this CA is based on the slope of the triangle that the cell overlaps. Every cell is assigned to the triangle exactly under the center of the cell. The slope of that triangle will then dictate the flow of all the water in the cell. This makes this algorithm an estimation, and with a too small cell size it might be inaccurate. The cells can be resized to any size though, and the level of accuracy is bound to exceed the level of accuracy of the data in the surface itself.

The standard update loop resembles:

```
For all cells do:
       Water in cell = 1.0
While still changes:
       For all cells do:
               Flow to cell = 0
       For all cells do:
               x = slope in x direction
               y = slope in y direction
               len = length of slope vector
               if x > 0 do:
                      flow to neighbor right += water * x / len
               else do:
                      flow to neighbor left += water * -x / len
                   > 0 do:
                      flow to neighbor up += water *
                      flow to neighbor down += water * -x / len
       For all cells do:
               Water in cell = flow to cell
```

### (ii) Flow Graph

A flow graph algorithm attempts to capture the total flow of the surface in one graph structure. Once that graph is generated, basic functions like flow to inlets, delineation of 65 drainage areas and size of drainage areas can be easily calculated.

28

A flow graph is generated using 'nodes'. Every triangle in the surface gets one of these nodes. Every triangle in the data structure is already aware of its neighboring triangle and even its neighboring triangle in a neighboring area (or 'linked triangle'). Nodes can be connected to other nodes if the water of a triangle drains into a neighboring triangle. Every such connection is called a 'flow', and all connections have a certain 'percentage' of the total flow of the triangle assigned to it. The percentage of the total flow is calculated according to the percentage of the triangle that flows off the edge to the neighboring triangle according to the slope.

Drainage is calculated on finished grade, thus requiring consideration for curbs. Curbs stop the flow of water in one direction, but allow flow in other directions. As such, the water can flow from a sidewalk into the parking lot for instance, but not into the other way. The data structure defines area borders in such a way that every triangle is only on one side of a border, so no border is going through a triangle. Also, the neighbor of a triangle on the other side of a border is linked differently to neighboring triangles on the same side of the border.

The type of area defines whether or not a curb is used to border the area. In general, curbs are only used where paved areas meet non-paved areas. Every area has a 'needsPaving' flag that is used to determine this. For the flow graph, it means that no flow is allowed to go from paved areas to non paved areas. Flows in other directions are allowed. Any border that is not allowed to let water through is handled as if it was a channel. That means that water will flow to the lowest end of the edge that blocks the water and a helpernode will be created.

Retaining walls are also a flow stopper. Water is not allowed to flow from a lower area onto a higher area. This is handled in a similar way to curbs. Yet, if flow over a retaining wall is allowed (from higher to lower) a flow if generated from the high point to the low point. This precedes any other flow from the high point into triangles of channels.

(iii) Drainage Areas

A drainage area is the total area of the surface that drains to a certain local lowest point. The local lowest points can be found pretty easily by searching all the nodes for nodes that do not have any outgoing flow. In theory every local lowest node has a drainage area.

To calculate the size of a drainage area the algorithm starts at a local lowest point and recursively walks 'up the flow' and adds the relative size of the flow to the amount of water which is identical to the size of the drainage area. This resembles the following:

Function to Calculate Size of Flow into a Node:

```
If size already calculated
Return size

55

size = 0
If node has triangle do:
size += size of triangle
For all nodes flowing into this node do:
nsize = calculate size of flow into source node
size += percentage of flow * nsize

Return size
```

Because every triangle has an area and every area has a runoff coefficient, the runoff coefficient of a drainage area can be calculated with a similar recursive approach.

The delineation of the drainage areas is done by drawing lines between triangles for which the majority of the flow goes to different inlets. While this is an estimation on top of

29

an estimation, it is primarily used for visualization purposes and is therefore sufficiently accurate.

### (iv) Inlets

The standard inlets are placed at the local lowest points of the drainage areas. But not all inlets need to be piped. All 5 inlets that drain water that would otherwise stand in impervious areas need to be piped. Impervious area is all the area that is paved plus all the area that is build upon. All local lowest points outside the impervious area do not need to be drained and should actually drain in a similar way as before 10 the site was graded.

Out parcels are exceptions to this rule. Out parcels are graded to anticipate a building and a parking lot, but they are not paved yet. Most out parcels need to be drained though.

Some drainage areas are too large for only one inlet. If a 15 drainage area exceeds the maximum size for an inlet, more inlets need to be added to the drainage area. An extra inlet is added by walking the flow graph from the existing inlet upwards until the flow to the current position is smaller then the maximum inlet size. That process resembles:

> While inlet is too big do: Current = inlet While flow at current is to big: Walk upwards to node with biggest flow Add inlet at current Recalculate flow to inlet

This algorithm does assume that there is no triangle that is bigger than the maximum size of an inlet, but this can be assured by dividing triangles that are to be during triangulation.

### (v) Pipes

The process of generating pipes uses a greedy algorithm. To generate the pipe graph, the algorithm uses all the inlets generated earlier plus all the tie-in points. A tie-in point can be either a point on an existing pipe line or a pond. The algorithm then generated all possible pipes between the inlets themselves and the tie-in points. A pipe from an inlet to a pond ends in the closest point on the surface boundary of pond. Some pipes are of course illegal. For instance, pipes that go through a building are illegal. Those pipes are routed around the building in the shortest way possible. This also goes for the pipes that go through the property boundary.

> For every combination of inlets do: Generate pipe For all obstacles do: Route pipe around obstacle For all tie-in points do: For all inlets do: Generate pipe For all obstacles do: Route pipe around obstacle

After this preprocessing, there exists a list of all the legal pipe paths from every inlet to every other inlet and from every inlet to every tie-in point.

To generate the pipe graph from the list of all the possible pipes, the greedy algorithm adds 'the best' pipe to an already 60 existing graph. The best pipe is here defined by the smallest cost of the entire pipe graph. That means that every time a pipe is 'tried' the cost of the entire pipe graph needs to be calculated. Water needs to flow through the pipe using gravity. That means that water that flows into an inlet at a 65 certain elevation can not flow out of that inlet at a higher elevation. This plus the fact that every pipe needs to be

30

between a certain minimum and maximum slope means that certain elevations can change if one pipe is added.

The pipes also need to be 'sized' That means that the amount of water that flows through the pipe per second will dictate the minimum size that is needed to make the flow feasible. This is done using the Manning's equation. This equation estimates the flow through the pipe using the size of the drainage areas draining into it, the time it takes to get the water to the pipe and things like slope and material that is used in the pipe itself. There is a standard set of pipe sized and materials that are used, and the algorithm selects the one most cost effective for the current pipe graph. The algorithm to generate the pipe graph resembles the following:

> Graph = set of tie-in points While not all inlets are done do: For all pipes next to current graph do: Connect pipe to graph Recalculate the cost of graph Disconnect pipe Connect the cheapest pipe to graph

### IV. Evolutionary Algorithms

The evolutionary algorithms (EA) work to evolve a set of different, either globally or locally optimal solutions—each solution conceptually satisfying (within the site) the cost measurements in a manner which is highly cost efficient, and which takes into consideration system and user constraints and user preferences.

Beginning at generation '0', the first step in the EA is to create an initial population of conceptual solutions. Each solution comprises respective sets of different parameters driving the optimization. This initial population may include anything from a single to thousands or more of potential solutions.

For each solution in the population, the cost measurement is defined. For example, grading costs include aspects such as the total disturbed area, total volume of excavated material, volume of excavated rock, volume of excavated unsuitable material, volume of fill material, retaining wall area, parking area, concrete sidewalk area, length of curb and gutter, and slope surface area.

Referring to FIG. 22, after creating the initial population, the next step is to apply a fitness function which quantitatively evaluates the fitness of each candidate solution. This step involves first determining the engineering feasibility of the solution, and whether the solution satisfies the rules of selection discussed above. If the solution meets these thresh-50 old requirements, it is then scored for fitness utilizing the cost model and any applicable penalties. If not, the solution is immediately discarded. As an alternative to discarding such solutions, the method also may provide measures to avoid the creation of such solutions at all, or to facilitate a 55 repair of such solutions, both measures being based on using heuristics to execute the avoidance or repair.

For those solutions meeting the above threshold requirements, a fitness value is assigned to the cost measurement. In the present example, this fitness value is a measure of cost of the current solution. As previously stated, cost penalties are assigned to measurements which violate a user preference or "soft constraint". The cost of each measurement is calculated based on the cost model.

For each solution in the population, cost and penalties are added to yield the fitness value in a way which introduces weighting factors for the cost as well as the various penalty components. This can be formulated as:

 $\begin{aligned} &\text{fitness} = & w_c * \text{cost} + w_{p1} * \text{penalty}_1 + w_{p2} * \text{penalty}_2 + \\ & w_{pn} * \text{penalty}_n \end{aligned}$ 

where "cost" refers to the value of the cost function and "penalty," refers to the value of a penalty cost value for an infeasible component of the current solution. This combines cost and penalty factors into one single fitness value, which needs to be minimized. Alternatively, cost and penalty values can also be kept separately and be used in a different way to evaluate solution quality, e.g., by defining a specific order on (cost, penalty) pairs or by considering cost and penalties as separate criteria in a multi-objective optimization task, which is characterized by the fact that conflicting optimization criteria are used to determine a so-called Pareto-front of best possible compromise solutions between the conflicting criteria.

After scoring each solution in the population, the EA determines whether a known termination criterion is satisfied. In the present example, the termination criterion is a pre-selected number of rounds or "generations". Assuming 20 that this criterion is not yet satisfied, the system then selects certain candidate solutions to be copied over into the offspring population. The EA can use many different techniques to accomplish this; namely, an elitist selection, fitness-proportionate selection, roulette-wheel selection, 25 scaling selection, tournament selection, rank selection, generational selection, steady-state selection, hierarchical selection, (m,l)- and (m+l)-selection (both are also called truncation selection). Some of these methods are mutually exclusive, but others can be and often are used in combi- 30 nation. There can also be two selection steps, one often referred to as "sexual selection" which is used for reproduction (i.e., making copies of individuals from the parent population), the other referred to as "environmental selec-

According to the elitist selection, the most fit solutions of each generation are guaranteed to be selected. In the fitnessproportionate selection, more fit individuals are more likely, but not certain, to be selected. The roulette-wheel selection 40 is a form of fitness-proportionate selection in which the chance of a solution being selected is proportional to the amount by which its fitness is greater or less than its competitors' fitness. According to the scaling selection, as the average fitness of the population increases, the strength 45 of the selective pressure also increases and the fitness function becomes more discriminating. This method can be helpful in making the best selection later on when all solutions have relatively high fitness and only small differences in fitness distinguish one from another. In the tourna- 50 ment selection, small subgroups of solutions are repeatedly chosen at random from the larger population, and members of each subgroup compete against each other. Only onenamely, the best-solution from each subgroup is then chosen to reproduce. In the rank selection, each solution in 55 the population is assigned a numerical rank based on fitness, and selection is based on this ranking rather than absolute differences in fitness. The advantage of this method is that it can prevent very fit individuals from gaining dominance early at the expense of less fit ones, which would reduce the 60 population's genetic diversity and might hinder attempts to find an acceptable solution. In the generational selection, the offspring of the solutions selected from each generation become the entire next generation. No solutions are retained between generations. In the steady-state selection, the offspring of the solutions selected from each generation go back into the pre-existing population, replacing some of the

less fit members of the previous generation. Some solutions are retained between generations. In hierarchical selection, solutions go through multiple rounds of selection each generation. Lower-level evaluations are faster and less discriminating, while those that survive to higher levels are evaluated more rigorously. The advantage of this method is that it reduces overall computation time by using faster, less selective evaluation to weed out the majority of solutions that show little or no promise, and only subjecting those who survive this initial test to more rigorous and more computationally expensive fitness evaluation. In (m,1)-selection, the best solutions are deterministically chosen out of 1 solutions in the offspring population (1 being larger than m in this case) to form the parent population of the next iteration of the evolutionary algorithm. The advantage of this method is that it is simple and supports the self-adaptive capabilities of an evolution strategy, and it allows the algorithm to escape from local optima towards globally optimal solutions. In (m+l)-selection, the m best solutions are deterministically chosen out of the m solutions in the parent population plus the l solutions in the offspring population, to form the parent population of the next iteration of the algorithm. The advantage of this method is that it is elitist, i.e., it guarantees that solutions do not become worse during the optimization process. Both (m,l)- and (m+l)selection are also rank-based selection methods, and both are used in the evolutionary algorithm as "environmental selection" methods (i.e., after the creation and evaluation of new solutions—the offspring individuals—has taken place).

In one example, a rank selection method such as (m,l)-selection chooses all m candidate solutions which have the best (i.e., minimum) cost and penalty function values among all 1 solutions in the current offspring population.

population), the other referred to as "environmental selection", which is used for reducing the offspring population 355 as form of fitness-proportionate selection, more fit individuals are more likely, but not certain, to be selected. The roulette-wheel selection in which the chance of a solution being selected is proportional to the amount by which its fitness is greater or less than its competitors' fitness. According to the efficiency as "environmental selection as "environmental selection as "environmental selection as "environmental selection has chosen fit solutions, they are then randomly altered in hopes of improving their fitness for the next generation. This random alteration occurs through altering the individual parameters. This mutation can also involve the self-adaptation of one or more variances and covariances of a suitable mutation distribution, such as a normal distribution. A possible instance of self-adaptive mutation changes a coordinate vector  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n)$  with one associated variance (standard deviation) by the following mutation and crossover. A solution is mutated by slightly altering the individual parameters. This mutation can also involve the self-adaptation of one or more variances of a suitable mutation distribution. A possible instance of self-adaptive one associated variance (standard deviation) by the following mutation and crossover. A solution is mutated by slightly altering the individual parameters. This mutation can also involve the self-adaptation of one or more variances of a suitable mutation changes a coordinate vector  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n)$  with one associated variance (standard deviation) by the following their fitness for the next generation. This random alteration occurs through mutation and crossover. A solution is mutated by slightly altering the individual parameters. This mutation con also involve the self-adaptation of one or more variances of a suitable mutation changes a coordinate vector  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n)$  with one associate

Generate a new value of s, denoted s', by:  $s'=s*\exp(t*N(0,1))$ 

Loop through all values of  $i=1, \ldots, n$  and generate a new value of  $x_i$ , denoted  $x'_i$ , by:  $x'_i=x_i+s'^*N_i$ 

Here, N(0,1) is a random number according to a normal distribution with mean zero and expectation one. t is a parameter of the method, which can be set to a value of 1/sqrt(n). Crossover entails choosing two or more solutions to swap one or more parameters, thereby producing artificial "offspring" that are combinations of their parents. With crossover, there is a transfer of information between successful "individuals"—solutions that can benefit from what others have learned, and schemata can be mixed and combined, with the potential to produce an offspring that has the strengths of both its parents and the weaknesses of neither. A common form of crossover called uniform crossover (in evolution strategies also called discrete recombination) allows a 50% chance for each parent individual to contribute a parameter to the newly formed solution. A schematic example of crossover is provided in FIG. 23. In this example, parameters are exchanged between two solutions

33

(denoted as "parent 1" and "parent 2") to yield two new solutions (denoted as "offspring 1" and "offspring 2") by exchanging parameter values at random with a 50% chance for each position to exchange or to stay. Also, consecutive segments of information after one breakpoint or between 5 breakpoints can be exchanged, which is shown in FIG. 24 (where the random breakpoint occurs after position three). All of these crossover operators generate two or more new solutions, which can either all be used, or only one of them, which is then typically chosen at random among the new solutions generated. Evolution strategies, as used in the present example, choose one of the new solutions. Also, averaging one or more parameters between two or more solutions is a possible form of crossover used by the evolutionary algorithm. An example of this version of crossover (in evolution strategies also called intermediate recombination) is shown in FIG. 25. This operator typically generates one new solution only. Also, rather than averaging, any other arithmetic combination of one or more parameters 20 between two or more solutions can be used as a possible form of crossover.

This evolutionary strategy algorithm can be combined with local heuristics as well. The local heuristics assure that the operators of the evolution strategy, specifically the <sup>25</sup> mutation operator, take the local constraints into account, such that feasible points are generated by the mutation operator.

Exemplary embodiments of the present invention are described above. No element, act, or instruction used in this description should be construed as critical or essential to the invention unless explicitly described as such. Various details of the invention may be changed without departing from its scope. Furthermore, the foregoing description of the exemplary embodiments of the invention and best mode for practicing the invention are provided for the purpose of illustration only and not for the purpose of limitation—the invention being defined by the claims and their equivalents.

### We claim:

- 1. A computer-implemented land planning system designed to generate at least one conceptual cost-optimized solution to a user-defined land development problem, said system comprising:
  - a processor configured to electronically creating at least one candidate solution to the land development problem, said candidate solution comprising a plurality of interrelated engineering cost measurements applicable in development of a land site, and said plurality of 50 engineering cost measurements being selected from a group consisting of grading, site layout, and utilities;
  - said processor further configured to employing a heuristic problem-solving strategy utilizing nonlinear processes to manipulate the engineering cost measurements of 55 said candidate solution until at least one cost-optimized solution to the land development problem is achieved, whereby a change to one of said plurality of engineering cost measurements for said candidate solution effects a change to another of said plurality of engineering cost measurements for that candidate solution; and
  - an output device configured to transmit output data illustrating said cost-optimized solution to the land development problem, wherein said cost-optimized solution 65 comprises a site plan applicable for use in development of the land site.

34

- 2. The computer-implemented land planning system according to claim 1, and comprising means when executed by a computer for accessing user preferences for the land site.
- 3. The computer-implemented land planning system according to claim 1, wherein said output data comprises documentation including at least one drawing.
- **4.** The computer-implemented land planning system according to claim **1**, wherein said output data comprises documentation including an itemized cost listing of said engineering cost measurements.
- 5. The computer-implemented land planning system according to claim 1, wherein said output data comprises documentation delivered to the user via a global communications network.
- 6. The computer-implemented land planning system according to claim 1, and comprising means when executed by a computer for accessing land development constraints for the land site.
- 7. A computer-implemented land planning system designed to generate at least one conceptual cost-optimized solution to a user-defined land development problem, said system comprising:
  - a processor configured to electronically creating at least one candidate solution to the land development problem, said candidate solution comprising a plurality of interrelated engineering cost measurements applicable in development of a land site, and said plurality of engineering cost measurements being selected from a group consisting of demolition, clearing, grubbing, excavation, fill, retaining walls, erosion control, finish grading, exporting earth, importing earth, drainage, pond height, parking lot design, access roads, paving, curbs, gutters, asphalt, landscaping, drop inlets, manholes, pipes, pipe size, pipe paths, storm water collection system, sanitary sewer collection system, and potable water system;
- said processor further configured to employing a heuristic problem-solving strategy utilizing nonlinear processes to manipulate the engineering cost measurements of said candidate solution until at least one cost-optimized solution to the land development problem is achieved, whereby a change to one of said plurality of engineering cost measurements for said candidate solution effects a change to another of said plurality of engineering cost measurements for that candidate solution; and
- an output device configured to transmit output data illustrating the cost-optimized solution to the land development problem, wherein said cost-optimized solution comprises a site plan applicable for use in development of the land site.
- **8**. The computer-implemented land planning system according to claim **7**, and comprising means when executed by a computer for accessing user preferences for the land site.
- **9**. The computer-implemented land planning system according to claim **7**, wherein said output data comprises documentation including at least one drawing.
- 10. The computer-implemented land planning system according to claim 7, wherein said output data comprises documentation including an itemized cost listing of said engineering cost measurements.
- 11. The computer-implemented land planning system according to claim 7, wherein said output data comprises documentation delivered to the user via a global communications network.

- 12. The computer-implemented land planning system according to claim 7, and comprising means when executed by a computer for accessing land development constraints for the land site.
- 13. A computer program product including program 5 instructions tangibly stored on a non-transitory computerreadable medium to cause a computing device to perform a method designed to generate at least one conceptual costoptimized solution to a user-defined land development problem, said method comprising:
  - using a computer, electronically creating at least one candidate solution to the land development problem, the candidate solution comprising a plurality of interrelated engineering cost measurements applicable in development of a land site, and the plurality of engi- 15 neering cost measurements being selected from a group consisting of demolition, clearing, grubbing, excavation, fill, retaining walls, erosion control, finish grading, exporting earth, importing earth, drainage, pond height, parking lot design, access roads, paving, curbs, 20 gutters, asphalt, landscaping, drop inlets, manholes, pipes, pipe size, pipe paths, storm water collection system, sanitary sewer collection system, and potable water system;
  - using a computer, employing a heuristic problem-solving 25 strategy utilizing nonlinear processes to manipulate the engineering cost measurements of the candidate solution until at least one cost-optimized solution to the land development problem is achieved, whereby a change to one of the plurality of engineering cost 30 measurements for the candidate solution effects a change to another of the plurality of engineering cost measurements for that candidate solution; and

transmitting the cost-optimized solution to the land development problem, wherein the cost-optimized solution 35 comprises a site plan applicable for use in development of the land site.

- 14. The computer program product according to claim 13, wherein the method further comprises accessing user preferences for the land site.
- 15. The computer program product according to claim 13, wherein transmitting the cost-optimized solution comprises transmitting data including at least one computer-generated drawing.
- 16. The computer program product according to claim 13, 45 wherein transmitting the cost-optimized solution comprises transmitting data including an itemized cost listing of the engineering cost measurements.
- 17. The computer program product according to claim 13, wherein transmitting the cost-optimized solution comprises 50 transmitting data via a global communications network.
- 18. The computer program product according to claim 13, wherein the method further comprises accessing land development constraints for the land site.
- 19. A non-transitory computer-readable storage medium 55 storing computer-executable instructions, that when executed by the processing logic, cause the processing logic to perform a method designed to generate at least one conceptual cost-optimized solution to a user-defined land development problem, said method comprising:
  - using a computer, electronically creating at least one candidate solution to the land development problem, the candidate solution comprising a plurality of interrelated engineering cost measurements applicable in development of a land site, and the plurality of engineering cost measurements being selected from a group consisting of demolition, clearing, grubbing, excava-

60

36

tion, fill, retaining walls, erosion control, finish grading, exporting earth, importing earth, drainage, pond height, parking lot design, access roads, paving, curbs, gutters, asphalt, landscaping, drop inlets, manholes, pipes, pipe size, pipe paths, storm water collection system, sanitary sewer collection system, and potable water system;

using a computer, employing a heuristic problem-solving strategy utilizing nonlinear processes to manipulate the engineering cost measurements of the candidate solution until at least one cost-optimized solution to the land development problem is achieved, whereby a change to one of the plurality of engineering cost measurements for the candidate solution effects a change to another of the plurality of engineering cost measurements for that candidate solution; and

transmitting the cost-optimized solution to the land development problem, wherein the cost-optimized solution comprises a site plan applicable for use in development of the land site.

- 20. The non-transitory computer-readable storage medium according to claim 19, wherein the method further comprises accessing user preferences for the land site.
- 21. The non-transitory computer-readable storage medium according to claim 19, wherein transmitting the cost-optimized solution comprises transmitting data including at least one computer-generated drawing.
- 22. The non-transitory computer-readable storage medium according to claim 19, wherein transmitting the cost-optimized solution comprises transmitting data including an itemized cost listing of the engineering cost measurements.
- The non-transitory computer-readable storage 23. medium according to claim 19, wherein transmitting the cost-optimized solution comprises transmitting data via a global communications network.
- 24. The non-transitory computer-readable storage medium according to claim 19, wherein the method further comprises accessing land development constraints for the
- 25. A computer-implemented land planning method designed to generate at least one conceptual cost-optimized solution to a user-defined land development problem, said method comprising:
- using a computer, electronically creating at least one candidate solution to the land development problem, the candidate solution comprising a plurality of interrelated engineering cost measurements applicable in development of a land site, and the plurality of engineering cost measurements being selected from a group consisting of demolition, clearing, grubbing, excavation, fill, retaining walls, erosion control, finish grading, exporting earth, importing earth, drainage, pond height, parking lot design, access roads, paving, curbs, gutters, asphalt, landscaping, drop inlets, manholes, pipes, pipe size, pipe paths, storm water collection system, sanitary sewer collection system, and potable water system;
- using a computer, employing a heuristic problem-solving strategy utilizing nonlinear processes for manipulating the engineering cost measurements of the candidate solution until at least one cost-optimized solution to the land development problem is achieved, whereby a change to one of the plurality of engineering cost measurements for the candidate solution effects a change to another of the plurality of engineering cost measurements for that candidate solution; and

transmitting the cost-optimized solution to the land development problem, wherein the cost-optimized solution comprises a site plan applicable for use in development of the land site.

- **26**. The computer-implemented land planning method 5 according to claim **25**, wherein the method further comprises accessing user preferences for the land site.
- 27. The computer-implemented land planning method according to claim 25, wherein transmitting the cost-optimized solution comprises transmitting data including at least 10 one computer-generated drawing.
- 28. The computer-implemented land planning method according to claim 25, wherein transmitting the cost-optimized solution comprises transmitting data including an itemized cost listing of the engineering cost measurements. 15
- 29. The computer-implemented land planning method according to claim 25, wherein transmitting the cost-optimized solution comprises transmitting data via a global communications network.
- **30**. The computer-implemented land planning method 20 according to claim **25**, wherein the method further comprises accessing land development constraints for the land site

\* \* \* \* :