



ISSN: 1350-1763 (Print) 1466-4429 (Online) Journal homepage: https://www.tandfonline.com/loi/rjpp20

Representation through information? When and why interest groups inform policymakers about public preferences

Linda Flöthe

To cite this article: Linda Flöthe (2019): Representation through information? When and why interest groups inform policymakers about public preferences, Journal of European Public Policy, DOI: 10.1080/13501763.2019.1599042

To link to this article: https://doi.org/10.1080/13501763.2019.1599042

9	© 2019 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group	+	View supplementary material 🖸
	Published online: 28 Mar 2019.		Submit your article to this journal 🕝
111	Article views: 530	Q	View related articles 🗹
CrossMark	View Crossmark data 🗹	ආ	Citing articles: 1 View citing articles 🖸



OPEN ACCESS Check for updates

Representation through information? When and why interest groups inform policymakers about public preferences

Linda Flöthe

Institute of Public Administration, Leiden University, Den Haag, Netherlands

ABSTRACT

While interest groups are often seen as transmission belts of public preferences, little is known as to how they might transmit such preferences. This paper argues that the provision of information is one mechanism through which advocates represent their constituents' interests and analyses who informs policymakers about these preferences and when actors are more likely to do so. The study relies on a new dataset containing information on the arguments advocates made in public hearings that were held on 34 specific policy issues in Germany. The results reveal that the amount of information on public preferences an actor provides is determined by actor type, its public support and position on the issue. Interestingly, information on public preferences is predominantly used by status-quo defenders. This paper contributes to our understanding of interest groups as transmission belts and their potential to enhance governments' ability to respond to public preferences.

KEYWORDS Information; interest groups; public opinion; representation; transmission belt

Interest groups are expected to act on behalf of their constituents and seen as channels through which legitimate policy is produced (Dür and De Bièvre 2007; Gilens and Page 2014; Kohler-Koch 2009, 2010; Truman 1951; Urbinati and Warren 2008). However, fears of interest groups bias and unequal representation evoke the question whether interest groups are able to transmit public preferences or whether they thwart policies away from what the public wants (Gray et al. 2004; Schattschneider 1960; Schlozman and Tierney 1986). This paper contributes to this debate by assessing the extent to which interest groups represent citizens through the provision of information about their preferences. Research shows that interest groups serve

CONTACT Linda Flöthe 🖾 l.floethe@fgga.leidenuniv.nl

Supplemental data for this article can be accessed at https://doi.org/10.1080/13501763.2019.1599042
2019 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group

This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives License (http://creativecommons.org/licenses/by-nc-nd/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited, and is not altered, transformed, or built upon in any way. as important mediators by responding to issue priorities of citizens (Klüver 2015; Rasmussen et al. 2014) and by affecting the extent to which a government addresses public concerns (Bevan and Rasmussen 2017; Klüver and Pickup 2019). Scholars often assume groups work as such 'transmission belts' (Lowery et al. 2015; Rasmussen et al. 2014), but only few have looked at the extent to which interest groups reflect what the public wants (see for example Flöthe and Rasmussen 2018; Klüver 2015; Rasmussen et al. 2014). So far, little attention has been paid to explaining how the transmission belt mechanism works (but see Albareda 2018). While some suggest that interest groups work as a mediator by *informing* policymakers about public preferences (Albareda 2018; Bevan and Rasmussen 2017; Eising and Spohr 2017; Klüver and Pickup 2019; Rasmussen and Reher 2019), existing research has not included information as a variable when assessing whether groups represent citizens.

Arguing that interest groups (or advocates) act as transmission belts by transmitting information on public preferences to the policymaking level, the paper analyses who informs policymakers about public preferences and under which conditions actors are more likely to do so. Information on public preferences is defined as information on general public opinion on an issue and on preferences of a specific constituency. Importantly, this is not restricted to interest group member preferences but refers to a broader constituency that will allegedly benefit from the lobbying efforts of a group. The paper theorises that information transmission is dependent on the actor type and the actor's positional alignment with the government and the public. Empirically, the paper relies on arguments interest groups make in written statements that are submitted in public hearings on 34 specific policy issues in Germany. Thus, rather than relying on self-reported information transmission of broadly defined information categories through surveys or interviews, this unique setting allows gauging fine-grained arguments to uncover some of the underlying dynamics of information provision.

The results show that, overall, interest groups provide information on public preferences. However, citizen groups do so more frequently than professional groups, business groups and experts, suggesting that they have a greater potential to act as transmission belts. Moreover, predominantly opponents of policy change transmit public preferences in order to protect these interests if they are at risk. Furthermore, actors who share the same opinion as a large part of the public inform policymakers more about these preferences. The contribution of this paper is twofold. First, it conceptualises interest groups as transmission belts and provides an empirical test of the assumption that interest groups inform policymakers about what the public wants which is a necessary condition for them to act as transmission belts. Second, it highlights conditions under which actors are more likely to do so which contributes to our understanding of when interest groups have the potential to help governments to respond to public preferences.

Interest groups as transmission belts

Interest groups are often seen as channels 'through which citizens can express their opinions' to policymakers (Dür and De Bièvre 2007: 1) and portrayed as transmission belts who aggregate and transmit public preferences (Albareda 2018: Kohler-Koch 2010: Truman 1951). While scholars often assume that groups act as transmission belts by providing information about public preferences (Bevan and Rasmussen 2017; Eising and Spohr 2017; Klüver and Pickup 2019; Rasmussen and Reher 2019), they do not empirically consider the extent to which groups actually engage in informational lobbying. Moreover, while interest groups, in the aggregate, are often expected to represent diverse and balanced interests, most individual groups primarily serve a certain constituency. If we assume that groups work as transmission belts by providing information, we should not only consider general political information but also more fine-grained constituency-specific information. This means that groups can work as transmission belts in a narrow and a wide sense and provide information respectively: Wide, because some groups represent a broad constituency and therefore provide information about general public preferences, and narrow, because some groups focus on the interests of their specific constituency and transmit information about their preferences. Narrow does not necessarily mean information about members of a group, yet refers to certain subparts of society such as 'families' or 'the poor'.

The literature on informational lobbying has referred to such information as political information, which includes information regarding support or opposition of a specific constituency or the public at large (see for example De Bruycker 2016; Nownes and Newmark 2016). Importantly, however, Nownes finds that advocates do not necessarily make arguments about the public as whole, but rather about certain parts of society (2006: 66). To allow for a systematic analysis of how interest groups can act as transmission belts, the paper defines such information as information on public preferences, which refers both to information on preferences of the public at large but also preferences of specific constituencies and certain segments of the society (cf. Burstein 2014).

In order to understand *how* groups act as transmission belts the paper follows Saward who defines representation as a dynamic process in which multiple actors articulate representative claims to an audience to 'represent or to know what represents the interests of someone or something' (2006: 305). Saward criticises Pitkin (1967), who acknowledges that representative institutions provide information about the people, but takes such information as given and neglects the process of providing such information. Saward shifts



the focus to the act of making present and the actor making such claims. Even though Saward's conceptualisation is not without problems either, the focus on claims allows for analysing representation through non-elected representatives such as interest groups (for a discussion, see De Wilde 2013). A representative claim can be expressed in a number of ways but may refer for example to the needs/desires/preferences of a person or a group of people. Representation through interest groups, then, can be thought of as an act where advocates mobilise on a specific issue (e.g., reforming child support) to actively promote a position (e.g., no cuts) in the interest of a group of people (e.g., families with children) by informing policymakers about the interests of the group of people (cf. Severs 2012). So for representation to occur and for a group to act as a transmission belt, advocates may either signal support or opposition of the public at large or, importantly, of specific constituencies. Such a conceptualisation considers the two underlying mechanisms of how the transmission belt works. A first assumption therefore is:

H0: Interest groups use information about public preferences when lobbying policymakers.

While this does not allow for drawing inferences about whether interest groups are effective in transmitting preferences, it sheds light on a necessary (but insufficient) condition for acting as a transmission belt, i.e., whether (and under which conditions) they provide such information in the first place. Given the focus on the actors of 'making present', the paper theorises how variation in the actor's characteristics affects information provision.

Who informs about public preferences?

Although scholars have not found differences across actor types with regard to information provision (De Bruycker 2016; Nownes and Newmark 2016), there are several hints in the literature why we could expect groups to differ in their motivations for transmitting information *about public preferences*.

First, information provision is determined by the type of constituency. While some groups have a clearly defined constituency, others represent a broad public interest (Olson 1965). For example, some groups aim at promoting broader interests (improved air quality) which are not tied to a specific constituency (such as doctors) or restricted to benefit members only (Binderkrantz et al. 2015). Instead, the benefits are collectively available. Public interest groups typically defend diffuse public interests that are not exclusive to their members but the public at large (Dür and Mateo 2013). Even identity groups (e.g., patient groups), who have a slightly more specific constituency promote interests that also non-members could benefit from. Since these groups often rely on (potential) members and supporters for organisational survival, they

are under greater pressure to demonstrate that they act in the interest of their constituency (Flöthe and Rasmussen 2018; Klüver 2015: 141), which may also increase the transmission of information about their preferences. Business groups and firms, in contrast, have clearly defined constituencies. Such groups typically aim at delivering exclusive services for their constituency and defend interests that mainly their members could benefit from. The primary goal of such organisations is service-provision and lobbying is a by-product (Olson 1965). Their focus may hence be less on informing policy-makers about what their constituents want but more on technical details that help improve regulations to their advantage (Klüver 2011: 4). Lastly, professional groups such as trade unions and occupational groups also represent a narrower constituency than citizen groups. Even if they may be more responsive to their members that mainly their members would benefit from.

Secondly, groups have different capacities and exchange goods to offer when lobbying policymakers (Bouwen 2002; Daugbierg et al. 2018; Dür and Mateo 2013). Policymakers need technical expertise to increase their output legitimacy, but also information about political support to increase their input legitimacy (Bouwen 2002; Wright 1996). While information about general public opinion may be guite accessible for policymakers, issuespecific information about preferences of different sub-groups is more difficult to access. Policymakers may have preferred options for sources for the different types of information. Citizen groups, since they represent broad interests, are assumed to articulate a diversity of interests and are therefore able to contribute to the input legitimacy of the policymaking process (Kohler-Koch 2010: 106). Moreover, they should validate that their claims reflect the concerns of their constituents (Kohler-Koch 2009: 54) and invest in 'determining member preferences' (Schlozman and Tierney 1986: 142), which makes them a credible source of such information and can help to legitimise a policy decision (Michalowitz 2004: 85). Actors without mass membership such as expert organisations but also firms cannot credibly provide this information to the same extent (cf. Wright 1996: 92). In contrast, business groups, professional groups and also experts are a credible source of expert information (Bouwen 2002) as they are close to the market (Dür and Mateo 2013; Eising 2007), have hands-on experience (Dür and Mateo 2013; Michalowitz 2004), better capacities to understand the technical and scientific context or even such data themselves (Yackee and Yackee 2006). Given that each information type requires different resources, one can expect groups to specialise in their core capacities (cf. Daugbjerg et al. 2018) and those resources they are especially approached for. This does not imply that business groups or professional groups do not provide information about their members, yet given that access to expert information is easier for them (Dür and Mateo 2013) one could expect them to emphasise this type of information more. Similarly, citizen groups might focus on their core capacity, i.e., provide input legitimacy by transmitting information about public preferences. In sum, interest groups differ in the type of interest they represent and the type of resources they possess. Whereas some place higher emphasis on pursuing interests for a collective good, others are more focused on sharing their expertise or lobby for specific interests. This does not rule out that all actor types transmit information on public preferences but their propensity to do so should vary.

H1: Citizen groups are likely to provide more information on public preferences than professional groups, business groups and expert groups.

Under which conditions do actors inform about public preferences?

Interest groups may not necessarily transmit information on public preferences with the intention to represent the public's interest, but to strategically justify their position and pressure policymakers (De Bruycker 2016; Wright 1996). Research on informational lobbying shows that actors lobby differently depending on their position on a policy (Baumgartner et al., 2009; Burstein 2014). Burstein shows that opponents of policy change use arguments that cast doubts regarding the proposed solution and its effectiveness (2014: 148), suggesting that opponents use information negatively to warn for undesired consequences. This suggests that advocates channel their constituents' interests especially when their interest is at risk. Kingdon noted that the public sometimes directs governments to do something, yet most of the time constrains the government from doing something (1984). Policymakers rely on interest groups for information to reduce some of the uncertainties they face when deciding on a policy (Wright 1996) and opponents of policy change can use information to highlight the risks of change, something policymakers fear. Hence, opponents of policy change use information on public preferences more frequently to signal negative consequences for (parts of) the public. They may transmit such information to warn of negative consequences to strategically increase fears and uncertainties or to protect their constituents for undesired policy change.

H2: Opponents of policy change transmit information on public preferences more frequently than supporters.

Furthermore, it is important to consider the amount of support an actor enjoys from other players (Baumgartner et al. 2009; Nownes and Newmark 2016). Given the paper's focus on the transmission belt mechanism, an actor's alignment with the public is considered. Public backing is a powerful resource for interest groups to signal broad support and representational value. A recent study shows that certain types of advocates are more successful when they

have public opinion on their side (Rasmussen et al. 2018) as a large public majority is difficult for the government to ignore. Knowing how important public support is, interest groups cannot ignore it (Nownes 2006: 101) and may even be tempted to use information about public preferences strategically (Wright 1996). The more people the actor has on its side, the higher the representational value of an actor's claim as a large part of the public may benefit from or support the new policy. It does not mean that actors who represent minority preferences do not transmit their constituents' interest when they only have low support for their claim. However, the representational value would be rather low and the electoral consequences for policymakers may be minor. In such a scenario, the emphasis on this information should be limited at best. Likewise the likelihood of transmitting more of the information should increase if the actor enjoys broad public support as it demonstrates broad acceptance for the claim.

H3: An actor with a higher proportion of the public on its side is likely to transmit more information on public preferences than an actor with lower support.

Research design

The study relies on observed information transmission in public hearings held by standing committees of the German parliament. The hearings are supposed to generate issue-specific expertise and information on actors' position and general support on the topic (Burstein 2014: 130; Eising and Spohr 2017: 316). Public hearings in Germany take place after a bill proposal has been assigned to a committee. The proposals have been initiated by the government and opposition parties. Eventually, 59% of the issues in the sample were enacted. One could argue that predominantly advocates are invited to hearings who support a policy proposal to help policymakers legitimise a policy decision.¹ Yet, we see that only 36% of the advocates were in favour of policy change. This indicates a higher mobilisation of actors who want to protect the status-quo and speaks to a common pattern found in the literature (cf. Baumgartner et al. 2009). As a working parliament, the German Parliament consists of highly professionalised parliamentarians who are able to exert a considerable degree of influence which makes the committees and their hearings an important venue for advocates (Eising and Spohr 2017: 318–9) and hearings an interesting case to uncover underlying mechanisms of information provision. Analysing written statements by interest groups is a novel way of studying information provision. Most studies rely on self-reported information transmission through surveys or interviews (for an exception see Burstein 2014). This is likely to uncover only the information types that actors find most important and may overlook types that are less consciously used. Focussing on one venue in a single country allows labour-intensive coding of arguments to get a more accurate picture of information provision (cf. Burstein 2014: 130–59).

Issue sampling and data collection

The sample of issues is based on a dataset developed within the larger GovLis² project that contains 102 specific policy proposals in Germany. The starting points of data collection are existing nationally representative public opinion polls on specific policy issues that were held between 1998 and 2010. Selected issues had to fall under national jurisdiction (as opposed to the EU or sub-national level) and the opinion poll questions had to ask for a change of the status quo. Issues in the sample concern, for example, the question of raising the tobacco tax (see Online Appendix A for a list of issues). Polls are likely to be conducted on relatively salient policy issues and a sample based on them does not constitute a completely random sample of policy issues (Burstein 2014). However, citizens should have at least somewhat informed opinions if interest groups are expected to transmit them meaningfully (Gilens 2012: 50-6). Following Gilens (2012), the observation period for each policy issue starts in the year the policy item was asked by the pollster and ends four years later or when policy changed. This study relies on a subsample of issues on which public hearings were held during the observation period and focuses on written evidence. The final sample contains 34 issues on which 42 hearings were held in which actors made 356 statements about the issue. The unit of analysis is an actor in a hearing. Each actor is counted once for testifying at a hearing on the specific issue, however appearances at different hearings on the same issue are counted separately (Burstein 2014: 141; Eising and Spohr 2017). An issue can be discussed in multiple hearings and a hearing can discuss multiple issues, which suggests a cross-classified multilevel structure with actors nested in hearings and issues. However, given that variance at the hearing level is quite low and the data structure overly complex, information provision will be modelled in a two-level structure with actors nested in policy issues. Model fit does not significantly differ irrespective of whether actors are nested within hearings or issues or within each other.

Dependent variable

Information types in this paper are conceptualised as arguments with which advocates underpin their position. Arguments are stated reasons an actor uses to justify and substantiate its position (Eising et al. 2017: 5). Thus, after identifying an actor's position on an issue, the different types of arguments used to defend the position were coded by two trained coders. Online Appendix C1 contains the coding instructions including examples. Arguments are

counted separately if a different argument is provided in the next paragraph or if the causal story for why the actor supports (opposes) policy change differs. Two coders independently coded 50 units, which resulted in an acceptable Krippendorff's alpha of .72 (De Wever et al. 2007).

The dependent variable Information on Public Preferences relies on two proxies which capture the underlying mechanisms of the transmission belt. The first proxy counts how often an actor makes any references about how much public support (opposition) a policy proposal has. The second proxy records how often the actor argues how a policy proposal will affect certain segments of society. This partially follows Burstein's operationalisation of political information, which includes not only references to broad public support but also how advocates refer to how a policy will affect certain subparts they (claim to) represent. An example would be 'We oppose the proposal because it will aggravate the situation of the poor'. This measurement allows gauging the observed transmission of more specific information that interest groups provide about constituency preferences (as opposed to general public opinion polls that policymakers can also access via other channels). The count measure moreover captures the extent to which actors reinforce certain arguments. The dependent variable combines these two count measures and ranges from 0 to 11 (see Online Appendix B1 for an overview of all variables).

Independent variables

The independent variable Actor Type distinguishes between four types which are derived from a broader coding scheme for interest groups developed by the INTERARENA project (Binderkrantz et al. 2015) with the addition of firms and experts (see Online Appendix C2). The category 'citizen groups' includes public interest groups and identity & hobby groups such as environmental groups or patients groups. This category includes groups that have a strong incentive to represent their members or a more diffuse interest. The category 'business groups' includes firms and business associations, which have a strong advantage over policy expertise and which aim to protect exclusive interests. Professional groups include trade unions and occupational groups. A last category refers to experts, institutional associations and think tanks that are assumed to provide expertise without taking a side for a preferred constituency. The second independent variable Pro Change captures an actor's position on an issue, which can be in favour or against policy change. This binary measure is based on a self-reported statement in a written submission to the hearing. Krippendorff's alpha reports an acceptable score of .86 for this variable. Lastly, Public Support is measured as the proportion of the public in the opinion poll on the issue that shares the same position as an actor on the issue (based on the coded position in the hearing).

10 👄 L. FLÖTHE

Control variables

One variable controls for the overall number of arguments an actor has made because the likelihood of providing information on public preferences may be higher if the actor provides more arguments in general. Another variable controls for policy type, distinguishing between regulatory, redistributive and distributive policy issues (Lowi 1964). Information on public preferences may be more likely on redistributive issues where actors discuss the allocation of resources, whereas the discussion on regulatory issues is expected to be more technical. Media saliency controls for whether higher public awareness increases references to public preferences. Saliency is measured by the log of the average number of newspaper articles on the issue per day in two major German newspapers (Frankfurter Allgemeine Zeitung and Süddeutsche Zeitung) during the observation period. Lastly, a variable controls for whether an actor provided technical information to rule out that differences between group types are driven by the fact that citizen groups compensate potentially lacking technical information with the provision of information on public preferences. Technical information refers to the provision of scientific evidence, facts and detailed technical knowledge. Krippendorff's alpha for this binary variable is 0.87. The analysis applies multilevel negative binomial models with random intercepts for policy issues to account for the heterogeneity of different issues and for over-dispersion of the count measure.³

Analysis

Table 1 provides descriptive statistics on information provision by different types of actors. As the right column shows, information on public preferences is used by 45% of the actors. The figures in the left columns indicate that citizen groups are more likely to inform policymakers about public preferences: While 64% provide this information, approximately 44% of professional groups and business groups and 30% of experts supply this type of information. Ultimately, however, the figures show that groups do transmit information about public preferences and have hence the potential to act as transmission belts.

Table 2 presents the findings to test hypotheses 1–3. As predicted in hypothesis 1, the negative coefficients in Models 1 and 2 (adding control

(per ceria geo).							
	Citizen groups	Professional groups	Business groups	Experts & others	Total		
Informing about public preferences	64.29	43.90	44.00	30.00	45.22		
Total N	84	82	100	90	356		

Table 1. Provision of information on public preferences for different types of advocates (in percentages).

Model	1	2
Dependent variable	Info on public preferences	Info on public preferences
H1: Actor type		
(Ref: Citizen groups)		
Professional groups	-0.51**	-0.44*
	(0.19)	(0.18)
Business groups	-0.49**	-0.44*
	(0.19)	(0.18)
Experts and others	-0.77***	-0.71***
	(0.21)	(0.21)
H2: Pro change	-0.60**	-0.60***
-	(0.18)	(0.18)
H3: Public support	0.96**	1.04**
	(0.37)	(0.35)
Control variables		
Number of arguments	0.14***	0.13***
-	(0.01)	(0.01)
Technical information		-0.19
		(0.16)
Policy type		
(Ref: Redistributive)		
Distributive		0.13
		(0.54)
Regulatory		-1.21*
		(0.49)
Media saliency (logged)		0.10
		(0.07)
Constant	-1.09***	-0.65+
	(0.29)	(0.37)
Inalpha	-2.01***	-2.04***
-	(0.59)	(0.60)
Policy issue intercept	Yes	Yes
N cases (issues)	356 (34)	356 (34)
AIC	756	751

Table 2. Multilevel Negative	Binomial	Regression	with	random	intercepts	for	policy
issues (SEs in parentheses).							

+p < 0.10, *p < 0.05, **p < 0.01, ***p < 0.001.

variables) indicate that professional groups, business groups and experts provide significantly less information on public preferences than citizen groups. Model 2 shows that the differences for professional groups and business groups are significant at p < 0.05 and for experts at p < 0.001. Marginal predicted mean counts for different types of actors (based on Model 2) reveal that on average citizen groups provide information on public preferences 1.3 times per statement, while the amount for the other types of actors is between 0.66 and 0.86.

However, the differences for the amount of information become only significant after controlling for the overall number of arguments made. While it is crucial to control for the length of an actor's contribution, it suggests that groups differ significantly regarding the emphasis they put on information about public preferences. Online Appendix D provides an alternative analysis using a binary outcome variable, i.e., whether or not an actor provided

12 👄 L. FLÖTHE

information on public preferences. Overall, the results are similar and the differences are significant even when not controlling for the length of an actor's contribution (not shown). Hence, interest group type is an important predictor for whether the actor provides information on public preferences in the first place. If actors decide to transmit such information, they differ significantly regarding how much they emphasise such information. A further exploration of the control variable technical information supports this finding. As Model 2 shows, actors that provide technical information are less likely to provide information on public preferences. When not controlling for number of arguments the effect of technical information is positive and significant at p < 0.05 (not shown). This suggest that, generally, the higher the likelihood that an actor provides technical information the higher the likelihood that the actor provides information on public preferences. Yet, when considering the length of the contribution, the results indicate that the emphasis is really one-sided: The more technical information provided, the less information on public preferences is provided.

Hence, when trying to explain information provision, it is crucial to also look at the other types of information that are provided as this ultimately affects the provision of a specific type. This could also explain why some other work has not found differences across group types as the relational aspect has not been considered or is difficult to capture with self-reported information provision, whereby actors can make less accurate estimations of how much a certain type of information was used or was considered important (De Bruycker 2016). It does not mean that business and professional groups do not provide information about public preferences, nor that their informational value is less, solely that citizen groups emphasise it more, possibly because it is their stock in trade as they are a legitimate source for such information.

Second, it was predicted that an actor's position on the issue affects the provision of information on public preferences (H2). As shown in Model 2, opponents of policy change differ significantly from supporters in the amount of information on public preferences they provide. The negative coefficient indicates that supporters of policy change provide less information (p < 0.001). Hence, opponents of policy change transmit more information on public preferences, possibly in order to warn of negative consequences for their constituents and to either protect their interests or to use it strategically to pressure policymakers. Information is used as a warning signal or as a threat to raise levels of uncertainty about proposed policy changes (Baumgartner et al., 2009: 131) which may be especially effective given the risk aversion of policymakers. This suggests that if the public interest is at risk (at least according to the advocates), policymakers get informed about that. It also adds to our knowledge of how advocates lobby differently, depending on their position on an issue. In fact, it may be one mechanism driving the

status-quo bias in the first place. One could argue that the result is driven by the fact that most issues receive very little attention and therefore rivalry amongst actors (Baumgartner and Leech 2001) and that mobilisation is often one-sided, with predominantly opponents of policy change mobilising at higher rates in order to protect existing legislations (Baumgartner et al. 2009). Online Appendix E therefore provides an analysis controlling for the level of conflict amongst advocates on an issue. While the results show that actors provide less information about public preferences when they face less conflict, the control does not alter the results.

Lastly, it was argued that the amount of public support an actor enjoys affects information provision. In line with this hypothesis, Model 2 shows a positive and significant relationship between public support and the amount of information the actor provides (p < 0.01). Thus, the higher the share amongst the public having the same view as an actor, the more information on public preferences are transmitted by that actor. Figure 1 shows the predicted mean counts of information on public preferences for different levels of public support with 95% confidence intervals (based on Model 2).

When interacting public support with group type (Online Appendix F), the results show that citizen groups, professional groups and business groups all provide more information on public preferences when they have higher

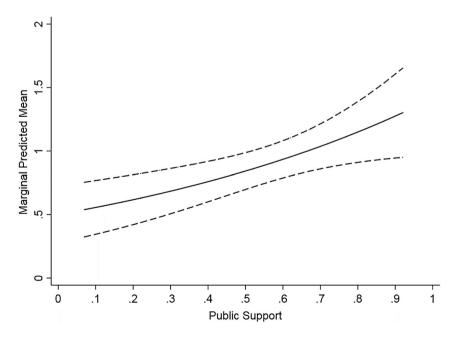


Figure 1. Predicted counts for public support for an actor.

public support, while the information provision of experts slightly decreases. The differences are, however, not significant. Hence, most groups transmit more information on public preferences when they promote the same view, meaning the more public support actors have, the more they actually push for it. This may underline the strategic usage of this type of information and adds to studies that have shown that interest groups are more successful when they have the public on their side (Rasmussen et al. 2018).

All findings are robust to controlling for a number of factors. As expected, actors provide more information about public preferences on redistributive issues compared to regulatory ones (p < 0.05), possibly because the conflictual nature of such issues incentivises advocates to transmit their constituents' interest. Furthermore, there is a highly significant effect (p < 0.001) for the overall number of arguments made by an actor, i.e., the more arguments an actor makes the more information on public preferences is provided.

Alternative model specifications and limitations

As mentioned, Online Appendix D provides an analysis using a binary measure indicating whether an actor transmitted public preferences or not. While the results for public support are not significant, all other findings show the same results. It suggests that public support is more important for the amount of information and less for whether to provide the information at all. This also fits to the caveat mentioned earlier: Minority groups are not less likely to provide information about their constituents when they have no public support, yet advocates provide it more frequently, the more their claim is supported by the general public.

Furthermore, the argument has been that interest groups act as transmission belts by informing both about general public opinion as well as specific constituents' interest. Interestingly, advocates primarily make references to specific constituents and not public opinion at large. This shows that interest groups use public hearings to provide quite specific, probably privately held, information about their constituents that policymaker cannot easily access by other means such as the media or party colleagues. Online Appendix G therefore presents the main analysis using one proxy only and shows that the results are even stronger when looking at information on preferences of specific segments of society only.

The present study uses a unique dataset to empirically test a new theoretical argument, but the design comes with some limitations. First, it is important to bear in mind that the issues in the sample may be somewhat more salient than the average policy issue given that they were sampled from opinion polls and discussed in public hearings, which may have increased public attention and actor mobilisation. A fair share of them concern tax or welfare issues, which often stimulate larger public interest than other types of issues. Taken together, this could mean that information about public preferences was easier to access for advocates on the policy issues in the sample. Furthermore, it could imply that the level of conflict on these issues is higher than average due to higher mobilisation. Yet, it also suggests that on issues the public cares about, interest groups take on their concerns and transmit their preferences.

Second, while the measure of information about public preferences allowed for gauging both references to public and constituency specific opinion, it only considers such references if the actor specifically referred to the public or a specific group of people. Obviously, groups can represent the public's interest also by providing technical information. Even though this would not be counted as representation in Saward's sense, it does not mean that such actors do not act in the interest of a constituency. This could imply that also business groups and professional groups transmit more constituency preferences than this study might lead us to expect. Yet, the same could be said for citizen groups, that is, the measure used in this study might also miss more of their attempts to act as representatives by providing technical expertise. In fact, we do not see significant differences amongst citizen groups and business and professional groups when looking at the amount of technical information (not shown) they provide, which supports existing research (De Bruycker 2016; Nownes and Newmark 2016) and suggests that citizen groups make use of it to a similar extent.

Conclusion

It has been argued that interest groups act as transmission belts and may be able to enhance a government's ability to respond to citizens by informing policymakers about public preferences. However, studies that have conceived of interest groups as transmission belts have not examined how this mechanism works – both theoretically and empirically. To address this, the paper defined representation as 'claims-making' and conceptualised the transmission belt mechanism as the transmission of information about public preferences. This allowed conducting a systematic analysis of the information provided by interest groups; examining both how frequently it is used and the conditions under which it is supplied. It put forward expectations regarding how actor type, an actor's position on an issue and an actor's public support affect information provision. These predictions were tested on a new dataset that pools information on the extent to which interest groups provide information on public preferences in public hearings. In addition to expanding on the limited body of knowledge on information transmission of public preferences, the study's content coding of observed information provides a more detailed measure of information transmission than existing studies that rely on surveys and interviews.

16 👄 L. FLÖTHE

The results show that citizen groups transmit more information on public preferences than professional groups, business groups and experts. Thus, those that are seen as important surrogates of the public do transmit these preferences and have the potential to act as information providers that help public preferences get transmitted to policymakers. A recent study shows that groups vary somewhat in the extent to which they share the same view as the majority of the public (Flöthe and Rasmussen 2018). It is the same type of actors that is more likely to share the same view as the public that is also more likely to transmit information on public preferences to the policymaking level, suggesting that citizen groups are better able to represent the public both in substantive terms as well as in the sense of representative claims. Yet also those for whom representation is a by-product and who are often accused of dominating the interest group landscape transmit preferences. Interestingly, professional groups do not differ from business groups in their provision of information on public preferences. Furthermore, opponents of policy change provide more information on public preferences than supporters. Hence, if interest groups perceive the public interest is at risk, they inform policymakers about these negative consequences, which could be a potential mechanism driving the status quo bias as policymakers may be especially keen to avoid such risks. Lastly, the study shows that the more people share the same view as an actor, the more information on these preferences is provided. This underlines the potential of groups to act according to the wishes of the public and pushing for these preferences. Ultimately, this paper helps to understand why, when and how interest groups provide policymakers with information on public preferences, which is a necessary condition for groups to act as transmission belts. The paper does not evaluate whether representation through interest groups is successful, i.e., it does not look at whether policymakers respond to the signalled preferences (Kohler-Koch 2010). Future research could explore the extent to which groups are effective in transmitting public preferences. This paper, however, links interest representation to public preferences to assess the extent to which interest groups can act as representatives of the public to explore the complex relationship between public opinion, interest groups and public policy.

Notes

- 1. Also submissions of uninvited actors have been coded. However, they only account for a small share (4%) and controlling for it does not change the results (not shown).
- 2. www.govlis.eu
- Alternatively, a zero inflated model could be used as the number of actors not providing political information is relatively high. However, there are no theoretical reasons to expect structural differences in whether actors provide this

information at all and how frequent they do so and using such a model without theoretical reasons would risk overfitting the data (Allison 2012; Long and Freese 2001: 262). Furthermore, since zero inflated models cannot easily be run with random effects, multi-level negative binomial models were applied instead.

Acknowledgments

The author would like to thank Anne Rasmussen, Wiebke Marie Junk, Jeroen Romeijn and Dimiter Toshkov for their valuable advice and support. She would also like to thank Adrià Albareda, Paul Burstein, Bert Fraussen, Stefanie Reher for their helpful feedback on earlier versions of this article, Christian Sattler for excellent research assistance, as well as the editors and three anonymous reviewers for their constructive feedback. The paper also benefited from comments received at the GovLis workshop 'New Avenues in the Study of Policy Responsiveness' at the University of Copenhagen, 31 October 2017.

Disclosure statement

No potential conflict of interest was reported by the author.

Funding

This work was supported by Nederlandse Organisatie voor Wetenschappelijk Onderzoek: [Grant no. VIDI Grant/ 452-12-008]; Det Frie Forskningsråd: [Grant no. Sapere Aude Grant/0602-02642B].

Notes on contributor

Linda Flöthe is PhD Candidate at the Institute of Public Administration at Leiden University.

References

Albareda, A. (2018) 'Connecting society and policymakers? Conceptualizing and measuring the capacity of civil society organizations to act as transmission belts', *VOLUNTAS: International Journal of Voluntary and Nonprofit Organizations*. OnlineFirst.

Allison, P. (2012) 'Do We Really Need Zero-Inflated Models?', Statistical Horizons.

- Baumgartner, F.R., Berry, J.M., Hojnacki, M., Leech, B.L., and Kimball, D.C. (2009) Lobbying and Policy Change: Who Wins, Who Loses, and Why. Chicago: University of Chicago Press.
- Baumgartner, F.R., and Leech, B.L. (2001) 'Interest niches and policy bandwagons: patterns of interest group involvement in national politics', *The Journal of Politics* 63 (04): 1191–213.
- Bevan, S., and Rasmussen, A. (2017) 'When does government listen to the public? Voluntary associations & dynamic agenda representation in the United States', *Policy Studies Journal*. OnlineFirst.

18 👄 L. FLÖTHE

Binderkrantz, A.S., Christiansen, P.M., and Pedersen, H.H. (2015) 'Interest group access to the bureaucracy, parliament, and the media', *Governance* 28 (1): 95–112.

- Bouwen, P. (2002) 'Corporate lobbying in the European Union: the logic of access', *Journal of European Public Policy* 9 (3): 365–90.
- Burstein, P. (2014) American Public Opinion, Advocacy, and Policy in Congress: What the Public Wants and What It Gets. New York: Cambridge University Press.
- Daugbjerg, C., Fraussen, B., and Halpin, D. (2018) 'Interest groups and policy capacity: modes of engagement, policy goods and networks', in W. Xun, M. Howlett, and M. Ramesh (eds.), *Policy Capacity and Governance*, London: Palgrave Macmillan, 243–61.
- De Bruycker, I. (2016) 'Pressure and expertise: explaining the information supply of interest groups in EU legislative lobbying', *Journal of Common Market Studies* 54 (3): 599–616.
- De Wever, B., Van Keer, H., Schellens, T., and Valcke, M. (2007) 'Applying multilevel modelling to content analysis data: methodological issues in the study of role assignment in asynchronous discussion groups', *Learning and Instruction* 17 (4): 436–47.
- De Wilde, P. (2013) 'Representative claims analysis: theory meets method', *Journal of European Public Policy* 20 (2): 278–94.
- Dür, A., and De Bièvre, D. (2007) 'The question of interest group influence', *Journal of Public Policy* 27 (1): 1–12.
- Dür, A., and Mateo, G. (2013) 'Gaining access or going public? Interest group strategies in five European countries', European Journal of Political Research 52 (5): 660–86.
- Eising, R. (2007) 'The access of business interests to EU institutions: towards elite pluralism?', *Journal of European Public Policy* 14 (3): 384–403.
- Eising, R., et al. (2017) 'Who says what to whom? Alignments and arguments in EU policy-making', *West European Politics* 40 (5): 957–80.
- Eising, R., and Spohr, F. (2017) 'The more, the merrier? Interest groups and legislative change in the public hearings of the German parliamentary committees', *German Politics* 26 (2): 314–33.
- Flöthe, L., and Rasmussen, A. (2018) 'Public voices in the heavenly chorus? Group type bias and opinion representation', *Journal of European Public Policy*. OnlineFirst.
- Gilens, M. (2012) Affluence and Influence: Economic Inequality and Political Power in America. Princeton, NJ: Princeton University Press.
- Gilens, M., and Page, B.I. (2014) Testing theories of American politics: elites, interest groups, and average citizens', *Perspectives on Politics* 12 (03): 564–81.
- Gray, V., Lowery, D., Fellowes, M., and McAtee, A. (2004) 'Public opinion, public policy, and organized interests in the American states', *Political Research Quarterly* 57 (3): 411–20.
- Kingdon, J.W. (1984) Agendas, Alternatives, and Public Policies. Boston: Little, Brown.
- Klüver, H. (2011) 'Lobbying in coalitions: interest group influence on European Union policy-making', *Nuffield's Working Papers Series in Politics* 2011 (4): 1–38.
- Klüver, H. (2015) 'Interest groups in the German Bundestag: exploring the issue linkage between citizens and Interest groups', *German Politics* 24 (2): 137–53.
- Klüver, H., and Pickup, M. (2019) 'Are they listening? Public opinion, interest groups and government responsiveness', West European Politics 42 (1): 91–112.
- Kohler-Koch, B. (2009) 'The three worlds of European civil society—what role for civil society for what kind of Europe?', *Policy and Society* 28 (1): 47–57.
- Kohler-Koch, B. (2010) 'Civil society and EU democracy: 'astroturf' representation?', Journal of European Public Policy 17 (1): 100–16.
- Long, J.S., and Freese, J. (2001) *Regression Models for Categorical Dependent Variables Using Stata*. College Station, TX: Stata Press.

- Lowery, D., et al. (2015) 'Images of an unbiased interest system', *Journal of European Public Policy* 22 (8): 1212–31.
- Lowi, T.J. (1964) 'American business, public policy, case-studies, and political theory', *World Politics* 16 (4): 677–715.
- Michalowitz, I. (2004) EU Lobbying-Principals, Agents and Targets: Strategic Interest Intermediation in EU Policy-Making. Münster: Lit Verlag.
- Nownes, A.J. (2006) Total Lobbying: What Lobbyists Want (and How they Try to Get It). New York, NY: Cambridge University Press.
- Nownes, A.J., and Newmark, A.J. (2016) 'The information portfolios of interest groups: an exploratory analysis', *Interest Groups & Advocacy* 5 (1): 57–81.
- Olson, M. (1965) *The Logic of Collective Action: Public Goods and the Theory of Groups.* Cambridge, MA: Harvard University Press.
- Pitkin, H.F. (1967) The Concept of Representation. Berkeley: University of California Press.
- Rasmussen, A., Carroll, B.J., and Lowery, D. (2014) 'Representatives of the public? Public opinion and interest group activity', *European Journal of Political Research* 53 (2): 250–68.
- Rasmussen, A., M\u00e4der, L.K., and Reher, S. (2018) 'With a little help from the people? The role of public opinion in advocacy success', *Comparative Political Studies* 51 (2): 139– 64.
- Rasmussen, A., and Reher, S. (2019) 'Civil Society Engagement and Policy Representation in Europe', *Comparative Political Studies*. OnlineFirst.
- Saward, M. (2006) 'The representative claim', Contemporary Political Theory 5 (3): 297– 318.
- Schattschneider, E. (1960) *The Semi-Sovereign People. A Realist's View of Democracy in America.* New York: Holt, Rinehart and Winston.
- Schlozman, K.L., and Tierney, J.T. (1986) Organized Interests and American Democracy. New York: Harper & Row Publishers.
- Severs, E. (2012) 'Substantive representation through a claims-making lens: a strategy for the identification and analysis of substantive claims', *Representation* 48 (2): 169–81.
- Truman, D.B. (1951) The Governmental Process: Political Interests and Public Opinion. New York: Knopf.
- Urbinati, N., and Warren, M.E. (2008) 'The concept of representation in contemporary democratic theory', *Annual Review of Political Science* 11: 387–412.
- Wright, J.R. (1996) Interest Groups and Congress: Lobbying, Contributions, and Influence. New York: Pearson Higher Education/Longman Publishers.
- Yackee, J.W., and Yackee, S.W. (2006) 'A bias towards business? Assessing interest group influence on the US bureaucracy', *The Journal of Politics* 68 (1): 128–39.