1	The value of teaching increases with tool complexity in cumulative cultural evolution
2	Amanda J. Lucas ¹ , Michael Kings ¹ , Devi Whittle ¹ , Emma Davey ¹ , Francesca Happé ² ,
3	Christine A. Caldwell ³ & Alex Thornton ^{1*}
4	¹ Centre for Ecology and Conservation, University of Exeter, Penryn Campus, TR10 9FE, UK
5	² Social, Genetic, and Developmental Psychiatry Centre, Institute of Psychiatry, Psychology,
6	and Neuroscience, King's College London, SE5 8AF, UK
7	³ Department of Psychology, University of Stirling. FK9 4LA, UK
8	*Author for correspondence: <u>alex.thornton@exeter.ac.uk</u>
9	
10	Abstract
11	Human cumulative cultural evolution (CCE) is recognised as a powerful ecological and
12	evolutionary force, but its origins are poorly understood. The longstanding view that CCE

pred for publication in r roceedings of the Royal Society D. Diological Sciences, https.

requires specialised social learning processes such as teaching has recently come under 13 question, and cannot explain why such processes evolved in the first place. An alternative, 14 but largely untested, hypothesis is that these processes gradually co-evolved with an 15 increasing reliance on complex tools. To address this, we used large-scale transmission chain 16 experiments (624 participants), to examine the role of different learning processes in 17 generating cumulative improvements in two tool types of differing complexity. Both tool 18 types increased in efficacy across experimental generations, but teaching only provided an 19 advantage for the more complex tools. Moreover, while the simple tools tended to converge 20 21 on a common design, the more complex tools maintained a diversity of designs. These findings indicate that the emergence of cumulative culture is not strictly dependent on, but 22 23 may generate selection for, teaching. As reliance on increasingly complex tools grew, so too

would selection for teaching, facilitating the increasingly open-ended evolution of culturalartefacts.

26

Keywords: coevolution; cumulative cultural evolution; social learning; teaching; toolmaking

29

30 1. Introduction

Progressive improvements in tools, technologies and institutions enabled human populations to spread around the world and ushered in the Anthropocene, shaping not only our own evolution but also that of other species [1,2]. These far-reaching consequences have inspired a large body of research into the behavioural, cognitive and neural mechanisms through which humans transmit and build on cultural information (reviewed in [3–5]). Nevertheless, despite much theorising, the mechanisms that enabled the initial emergence of cumulative culture in the human lineage remain poorly understood.

38

For many authors, cumulative culture represents a Rubicon between humans and all other 39 animals [2,6–8]. While it is clear that animals across a range of taxa exhibit socially learned 40 cultural traditions [9–11], the cultural achievements of our species have no obvious parallel in 41 nature. Various explanations for this apparent human uniqueness have pinpointed cognitive 42 43 processes such as episodic memory [12], metacognition [13] and technical reasoning [5] as potential prerequisites for CCE, but the most influential focus on the importance of high-44 fidelity social learning. In particular, processes such as imitation and active teaching, thought 45 to be restricted or absent in other species, are often argued to be necessary in order to transmit 46

information faithfully and so preserve and build upon innovations [6,14,15]. However,
current evidence is limited and contradictory, with bodies of theoretical and empirical
research seemingly supporting [7,16–18] or contradicting [19–23] the theory. More
fundamentally, theories stipulating specialised human learning processes as prerequisites for
CCE fail to explain why such processes evolved in the first place, and do little to advance our
understanding of the initial emergence of the phenomenon.

53

54 An alternative, gradualist approach considers the "fully fledged" CCE seen in modern human populations as the outcome of a long history of co-evolutionary feedback loops (c.f. [24–26]). 55 At its core, CCE involves sequential improvements in the performance of an innovation over 56 57 successive rounds of cultural transmission ("core criteria" for CCE, as defined by Mesoudi & 58 Thornton [27]). Adding to previous circumstantial evidence (reviewed in [11]), a number of experimental studies now provide compelling evidence that some non-human animals fulfil 59 60 these core criteria [23,28,29]. For instance, iterated bouts of social learning can allow homing pigeons to find the optimal, shortest route between two points [29]. Thus, it is possible that 61 relatively simple, phylogenetically conserved learning processes akin to those found in other 62 animals may have allowed ancestral hominins to produce modest, sequential improvements to 63 simple tools. These tools, like those manufactured by our great ape relatives, are likely to 64 65 have been made of perishable materials that leave no trace in the archaeological record. As reliance on these increasingly complex tools grew, so too would the selection pressure for 66 social learning processes that facilitated the transmission of high-performing innovations that 67 68 would be difficult for individuals to invent from scratch. Over time, such co-evolutionary feedback could eventually enable the production of tools whose mode of production and 69 70 causal structure is opaque, or difficult to ascertain through emulation of existing artefacts alone [30]. Thus, rather than simply solving problems with a single, optimal solution (as in 71

the pigeon example [29]), CCE could begin to open up design space and facilitate the openended diversity that characterises modern human culture. This open-endedness reflects
Mesoudi & Thornton's "extended criteria" for CCE (see [27] for details), which to date have
only been observed in humans.

76

Theoretical models demonstrate the plausibility of the argument that increasing tool 77 complexity generates selection for high-fidelity social learning processes [25,31], but relevant 78 79 empirical data is lacking. In particular, we lack clear evidence of the central assumption that the value of specialised learning processes in generating cumulative improvements increases 80 as artefacts become more complex. For instance, one recent experiment showed that while 81 82 participants could copy simple knot designs through emulation alone, they required teaching from an expert when the design was complex [32]. However, this study did not examine 83 accumulation of improvements. To examine the potential for cumulative culture, researchers 84 85 commonly use transmission chain experiments, in which participants solve a task or produce an artefact and are gradually replaced by new participants, who have opportunities to learn 86 from their predecessors. Here, each round represents a "generation" and improvements in 87 performance across successive generations are indicative of CCE [4]. It is notable that, across 88 different transmission chain experiments, high fidelity social learning processes such as 89 90 teaching or imitation were necessary to preserve or improve performance in tasks that seem relatively complex (e.g. flint knapping [33] or making virtual fishing nets [16]), but not in 91 apparently simpler tasks like building paper aeroplanes [19], spaghetti towers [21] or home-92 93 made baskets [20]. This seems superficially consistent with the argument that increasing tool complexity generates selection for high-fidelity processes. However, we must be cautious in 94 comparing these different tasks because (1) we have no objective measures of task 95 complexity and (2) the studies employed very different procedures. To address this important 96

gap in the literature, here we present the first study to examine the role of different learning
processes in generating cumulative improvements in two types of tool that differ in their
degree of complexity (as defined by the relative causal opacity of their mode of production).

100

101 In our experiment, participants were tasked with building a tool to carry as many marbles as possible: either (a) a floating container made from a single sheet of waterproof paper or (b) a 102 carrying container made from pipe-cleaners. We chose these two tool types for their 103 104 differences in causal opacity; while the paper tools are relatively simple and easy to copy by inspecting previously made exemplars (i.e. via emulation), pipe-cleaners can be attached 105 together in a wide variety of different ways and their "furriness" makes it difficult to see how 106 107 the individual elements join and overlap. Pilot studies confirmed the differences in opacity: naïve participants could readily reproduce paper tools simply by inspecting them, but needed 108 the original maker to teach them to accurately reproduce pipe-cleaner tools (see "Pilot study" 109 in supplementary material). 110

111

Within each tool category, we divided participants into transmission chain groups where 112 experienced individuals were gradually replaced with new, naïve group members over a 113 series of ten "generations". There were three social learning conditions: Emulation, Imitation 114 and *Teaching*. In the *Emulation* condition, participants could inspect the tools made by 115 previous chain members and were informed of each tool's performance score. In the 116 Imitation condition, participants could observe previous chain members making their tools 117 (and were also made aware of the tools' performance scores), while in the Teaching condition 118 individuals that had finished building used verbal communication to help subsequent chain 119

members. In addition we ran an *Asocial* learning condition, where participants built 10successive tools with no opportunities to learn from others.

122

To address the co-evolutionary hypothesis for the emergence of CCE, we made five key 123 predictions. First (1), given the hypothesis that CCE can emerge in the absence of high-124 fidelity social learning processes, we predicted that cumulative improvements in tools would 125 arise across all social learning conditions, as well as in the asocial condition where 126 127 individuals could learn from their own prior experiences (c.f. [3]). Second (2), if selection for high-fidelity processes arises as tools become more causally opaque, we predicted that 128 imitation or teaching would only provide any advantage in generating cumulative 129 130 improvements in the pipe-cleaner tool task, generating steeper slopes of improvement across generations compared to the emulation treatment. Specifically, we predicted that these 131 processes would facilitate the transmission of high-performing innovations in pipe-cleaner 132 tool design, generating successors that (3) also performed well and (4) were similar in design. 133 Finally (5), we predicted that paper tools would tend to converge on similar designs, 134 reflecting cases of CCE where there is a single peak in the adaptive landscape, whereas pipe-135 cleaner tool designs would show evidence of diversification, reflecting open-ended 136 exploration of design space. 137

138

139 **2. Methods**

140 (a) Participants

624 participants took part in the main experiment. Of these, 600 participated in "transmission
chain" groups of 10 individuals. Groups were pseudo-randomly allocated to tasks (building a
tool out of either paper or pipe-cleaners) within one of three social learning conditions

(Emulation; Imitation or Teaching), giving 10 replicate groups of each task and social 144 learning condition. The remaining 24 participants were allocated to the Asocial learning 145 146 condition, in which they made 10 consecutive paper tools (N=12 participants) or pipe-cleaner tools (N=12) with no opportunity to learn from others. While most previous transmission 147 chain experiments have enrolled only university students, we increased the diversity of 148 participants by recruiting from local community groups (N = 38 groups of 10 individuals and 149 150 15 individuals in the Asocial condition; age 16-89 years) as well as the student body at the University of Exeter and Truro College (N = 22 groups and 9 Asocial; age 16-56). In all 151 152 cases, group members knew each other, as would be expected in ancestral hominin groups (see supplementary materials for a full list of participating groups and further discussion of 153 the potential impacts of group composition). We incentivised participation with a £1000 154 reward for the groups that produced the highest-performing tool of each type. 155

156

157 (b) Procedure

We ran experiments in classrooms, laboratories and community group rooms, with screens to separate areas for building and testing tools. Before starting the experiment, each participant read an information sheet and completed a consent form. We randomly allocated participants from social learning conditions to a position from one to ten within their transmission chain.

162

Each participant in turn was called into the experimental room. Here, they sat at a desk and were given written and verbal instructions to build, within five minutes, a tool from the materials provided (one sheet of waterproof paper or 30 identical, 30cm long pipe-cleaners) to carry as many marbles as possible. Participants were allowed to inspect the marbles, which were of two different sizes, before they began building, but did not have access to the marbles during building. The instructions specified that (a) paper tools must float on water before receiving marbles and (b) pipe-cleaner tools must be held by one or more handles incorporated in the design. A stopwatch clearly displayed the time elapsed and we updated builders periodically on their remaining time.

172

After the allocated building time elapsed, participants moved into a screened-off testing area, 173 which contained a bowl filled with marbles of the two different sizes (totalling 3kg) and a 174 175 scoop. Builders of paper tools were asked to float the tool in a tray filled with water and load as many marbles as possible into it without it sinking. In the pipe-cleaner task, builders were 176 asked to load as many marbles as possible into the tool before carrying it to a set of weighing 177 178 scales 5m away (see supplementary materials for further details of the testing procedure). The 179 time available for testing was unrestricted, so the staggering of transmission chains had an element of fluidity (mean testing time = 3 mins; range 2-5 mins; see supplementary materials; 180 181 Fig S2). During testing, we recorded the number of marbles of each size and whether or not the paper tools took on water. After testing, participants were either guided to a waiting area 182 or, for participants in *Teaching* treatments, asked to stay behind to help other group members. 183 At the end of the procedure participants filled in a debrief form that included a Likert scale 184 question regarding their experience with handiwork or craft-making on a scale of 0 to 4. 185

186

187 (c) Experimental conditions

We gave each participant written and spoken instructions relevant to their experimental condition. For participants in the social learning conditions (*Emulation*, *Imitation* and *Teaching*) our transmission chains operated very similarly to an earlier study [19], whereby participants had five minutes (as described above) to build their implements before being replaced by the next participant in the chain, who then had five minutes to build their own implement. To address an important confound of most previous studies (c.f. [34]; see supplementary material for further information), we ensured that participants had access to social information for a standardised amount of time (seven minutes) across conditions. A visual depiction of the staggering of the chains for the three social learning conditions can be seen in the supplementary materials (Figure S2).

198

In the *Emulation* condition, participants could not observe or communicate with other team members, but could examine the tools that they made (as well as being informed of the scores). Each new participant (from the third participant onwards) could inspect the two most recently constructed tools for two minutes before starting building, as well as having access to them during the five minutes building time, giving a total of seven minutes of access to social information (Figure S2; see supplementary material for further details).

205

In the Imitation condition, participants were able to observe earlier chain members building 206 their tools, but could not communicate or touch the materials. Each new participant (from the 207 third member of the chain onwards) observed the participant two steps ahead and the 208 participant one step ahead for six minutes. Building commenced once the participant two 209 steps ahead finished testing their tool (and the focal participant was informed of their score) 210 (See Figure S2). While building, participants were also free to continue to observe the 211 participant one step ahead in the chain as they completed their final one minute of building 212 (and were informed of that participant's score as it was recorded), providing a total of seven 213 minutes social learning time. 214

215

In the *Teaching* condition participants returned to the building area after testing their tool in 216 order to help the next members of their group. During this "Teaching role" they could 217 communicate with group members, but could not physically assist in building or touch the 218 materials. Each participant (from the third participant in the chain onwards) received two 219 minutes of teaching before commencing building. Teachers continued to guide and instruct 220 throughout the five minute build, totalling seven minutes of teaching time. Each participant 221 222 had one teacher (the person two steps before them in the chain) present for the full seven minutes, with an additional teacher (the chain member three steps ahead) joining once they 223 224 had finished assisting the participant one step ahead in the chain (see supplementary materials for further details; Figure S2). 225

226

Finally, in the *Asocial* condition, participants were asked to build and test ten tools in succession, each time attempting to improve upon their previous score, with no opportunity to observe or communicate with others. The participant's previous two tools were left on display after each round of building

231

232 (d) Similarity measures

We used online surveys, built and administered using Qualtrics (www.qualtrics.com), to determine the similarity between different tools within transmission chains. Raters (blind to hypotheses and experimental conditions) were given detailed instructions and multiple tests of comprehension of the instructions, which they had to pass in order to proceed with the survey. Each survey question displayed two tools, and raters had to rate their similarity in terms of (a) shape and features and (b) underlying construction, using a slider on a continuous scale from 0.00 to 4.00 (see supplementary material for details). As similarity scores arebounded, they were analysed as continuous proportions, with logit transformation [35].

241

We conducted two separate surveys for each tool type. Survey 1 quantified the similarity of 242 every tool to its successor(s) within the same transmission chain. For each tool type, a total 243 of 151 raters each rated the similarity of 20 different pairs of tools, such that each pair was 244 rated by at least three different raters. We then used the mean rating as the measure of 245 246 similarity for analyses. Survey 2 followed the same format, but compared randomly selected pairs of tools from the same generation (either generation 1, 5 or 10) across different 247 transmission chains to provide measures of divergence or convergence in tool designs. Every 248 249 pair of tools was scored by ten different raters, and we used the mean value as the measure of 250 similarity.

251

252 (e) Statistical analyses

We analysed data in R 3.6.3 [36], using the package lme4 for linear (mixed) models (LMMs). 253 We assessed model fit using standard residual plot techniques. Response variables were 254 transformed when necessary to meet model assumptions (transformations are specified in the 255 statistical tables in the supplementary material), and we checked for potentially highly 256 influential datapoints by calculating Cook's distances. We adopted an information theoretic 257 approach to model selection, ranking models by Akaike Information Criterion corrected for 258 259 small sample sizes (AICc). The top model set contained models within AICc ≤ 6 of the lowest AICc value, and we applied the "nesting rule" [37], in which simpler versions of a nested 260 model are favoured over more complex versions. In preliminary analyses of the factors 261 influencing tool performance, using the entire dataset for both tool types, the best model 262

included interactions between generation and both tool type and condition (Table S1; Table
S2). For ease of interpretation, all subsequent analyses were therefore conducted on each tool
type separately (see supplementary materials for full details of variables and data
distributions in each model).

267

268 **3. Results**

269

270 (i) Tool performance:

271 *(a) Paper tools*

Paper tools showed clear improvements across generations, carrying more marbles irrespective of the experimental condition. The best supported model (Table S3) contained only effects of generation (LMM: β (s.e.) = 0.389 (0.055), t = 7.039, *p* <0.001, CI (0.280, 0.499), Figure 1a) and craft, with people with more craft experience building better tools (β (s.e.) = 0.452 (0.135), t = 3.360, *p* = 0.001, CI (0.188, 0.719), Table S4). Model comparisons provide little support for effects of condition, or for an interaction between generation and condition (Table S3).

279

280 (b) Pipe-cleaner tools

The improvement in pipe-cleaner tools across generations depended on the experimental condition. The best supported model (Table S5) included an interaction between generation and condition: compared to asocial learning, the slope of improvement was lower in *Emulation* and *Imitation* chains, but did not differ between *Asocial* learning and *Teaching* chains (Figure 1b; Table S6). Additional post-hoc comparisons indicate that *Teaching* chains showed a steeper slope of improvement compared to *Imitation* chains (β (s.e.) = 0.234 (0.102), t = 2.307, p = 0.025; CI (0.031; 0.437)), but not compared to *Emulation* ((β (s.e.) = 0.155 (0.120), t = 1.288, p = 0.208; CI (-0.091; 0.400); Table S7). The top model also included a positive effect of craft experience (Table S5; Table S6).



290

Figure 1. Slopes of improvement in (a) paper and (b) pipe-cleaner tools across experimental conditions: a = asocial learning; e = emulation; i = imitation; t = teaching. Images show illustrative examples of transmission chains from generation 1 (top) to 10 (bottom).

294

295 (ii) Improvements across the chain: performance of tools and their successors

296 (a) Paper tools

There was a negative relationship between the performance of a paper tool and the relative 297 performance of its successor (defined as the tool two steps later in the chain, given that social 298 299 learning from this tool was available across all three social learning conditions; Fig. S2). The best supported model included a negative effect of total marbles carried (Table S8): if a tool 300 performed badly, its successor was likely to do better (positive difference score); if a tool 301 performed very well, its successor is likely to do worse (negative difference score: LMM: β 302 303 (s.e.) = -0.615 (0.066), t = -9.251, p < 0.001, CI (-0.752, -0.466), Figure 2a; Table S8). In addition, participants with greater craft experience obtained better relative scores (Table S8; 304 305 Table S9). There was no clear evidence of an effect of condition: the top model set included an interaction between total marbles carried and condition, but this was not robust 306 (total*condition=*Imitation*: β (s.e.) = 0.017 (0.163), t = 0.103, p = 0.918; CI (-0.30; 0.32); 307 total*condition=*Emulation*: β (s.e.) = 0.195 (0.172), t = 1.132, p = 0.259; CI (-0.15; 0.52)). 308

309

310 (b) Pipe-cleaner tools

As with the paper tools, we found that as the success of a pipe-cleaner tool increased its successor was likely to do worse. However, teaching attenuated this negative relationship. The best performing model included an interaction between total marbles carried and condition (Table S10): the successors of high-performing tools showed reduced loss of performance in *Teaching* conditions compared to *Emulation* (β (s.e.) = 0.003 (0.001), t = 3.667, *p* < 0.001; CI (0.002; 0.005) and *Imitation* conditions ((β (s.e.) = 0.004 (0.001), t = 4.468, *p* < 0.001; CI (0.001; 0.004); Table S11; Table S12; Fig 2b).

318

There was some evidence that the relationship between the performance of pipe-cleaner tools and their successors differed between student and community groups, as the top model set included an interaction between total and group type (Table S11). In community groups the successors of high-performing tools showed a steeper loss of performance compared to student groups (total*grouptype=students: β (s.e.) = 0.002 (0.001), t = 2.214, p = 0.028; CI (0.001; 0.004).

325

326 (iii) Similarity between tools and their successors

In Survey 1, similarity measures in terms of (a) shape and features, and (b) underlying construction were very strongly correlated in all cases ($R^2 > 0.8$). Analyses of (a) and (b) gave qualitatively the same results, so only the former are reported here.

```
330 (a) Paper tools
```

Analysis of the similarity between each tool and its successor indicated that designs that performed well were more likely to be replicated. The best supported model included a positive effect of the total number of marbles carried: if a paper tool was particularly effective, its successor was more likely to be similar (Table S13; LMM, β (s.e.) = 0.011 (0.001), t = 6.225, p < 0.001, CI (0.008; 0.015); Table S14; Figure 2c). There was no evidence of any differences between experimental conditions (Table S13).

337

338 (b) Pipe-cleaner tools

Again, analyses suggested that high-performing designs were more likely to be replicated, though this relationship was only clearly apparent in *Teaching* and *Emulation* conditions. The best supported model included an interaction between the total number of marbles carried and condition (Table S15; Table S16; Figure 2d). Post-hoc comparisons confirmed that, compared to *Imitation* chains, *Teaching* and *Emulation* chains showed a stronger positive relationship between the performance of a pipe-cleaner tool and the similarity of its successor (β (s.e.) = 0.022 (0.006), t = 3.54, p < 0.001, CI (0.010; 0.035); Table S17). The relationship tended to be steeper in *Teaching* than *Emulation* chains, but the evidence was weak (β (s.e.) = 0.009 (0.005), t = 1.69, p = 0.093, CI (-0.001; 0.018); Table S17).

348



349

Figure 2. Relationship between the performance (Total marbles carried) of (a) paper and (b) pipe-cleaner tools and their successors across social learning conditions (e = emulation; i =imitation; t = teaching). (c) Paper tools that carried larger numbers of marbles produced more similar successors. For (d) pipe-cleaner this the relationship was particularly steep in the teaching condition.

355

356 (iv) Convergence and diversification of designs: between-chain comparisons

357 (a) Paper tools

Across different chains, paper tools from generation 10 were significantly more similar to each other than were paper tools from generation 1 (Fig S3a; Table S18; similarity in terms of shapes and features: $\beta = 0.789$, s.e. = 0.376, t = 2.10, p = 0.042, CI (0.053, 1.526); underlying construction $\beta = 0.692$, s.e. = 0.311, t = 2.26, p = 0.032, CI (0.083, 1.301). In the final generation, most paper tools had converged on similar, flat-bottomed designs (Fig S3c).

364 *(b) Pipe-cleaner tools*

Unlike the paper tools, the top model did not include an effect of generation on the similarity
of pipe-cleaner tools across different chains (Table S19; Fig S3b), and there was little
evidence that pipe-cleaner tools converged on similar designs (Fig S3d).

368

369 **4. Discussion**

Our findings are consistent with the argument that teaching coevolved with the manufacture 370 of increasingly complex and causally opaque tools. In our experiments, both paper and pipe-371 cleaner tools showed clear cumulative improvements, increasing in efficacy across 372 experimental generations. However, while there were no differences between the learning 373 conditions in the relatively simple paper tool task, we found evidence that teaching provided 374 important advantages in the production of the more causally opaque pipe-cleaner tools. 375 376 Moreover, whereas paper tools tended to converge on a common, flat, tray-like design, pipecleaner tools maintained a diversity of designs; a key feature of modern human cumulative 377 culture which seems to be absent in other species [27]. 378

379

Our results add to the weight of evidence that high fidelity social learning processes are not fundamental pre-requisites for cumulative cultural evolution (CCE). In our experiments, simply having the opportunity to inspect tools produced by others was sufficient to generate

cumulative improvements in performance of both tool types. This clearly fulfils the "core 383 criteria" for CCE [27] (though note that some authors argue that CCE must result in 384 behaviours or products that no individual could invent within their lifetime [6,38]; a criterion 385 that has been criticised on both practical and conceptual grounds [20,27]). Thus, our results, 386 alongside other similar findings [19,20] and recent research on non-human animals 387 [23,28,29], indicate that CCE can occur in the absence of specialised forms of human social 388 389 learning, and raise the possibility that CCE may be more common in nature than previously assumed. Our findings also speak to important debates in the literature on human culture. For 390 391 instance, researchers have long debated whether human ecological dominance derives from our intrinsic individual intelligence [39] or as a collective outcome of CCE [2]. Our results 392 blur this distinction, suggesting that cultural change cannot be understood without 393 considering aspects of individual cognition such as instrumental learning (note that craft 394 experience improved performance in our experiments), causal reasoning to reverse-engineer 395 and improve artefacts [5,40,41], and strategies for deciding when to rely on social learning 396 [42]. Similarly, there are longstanding debates as to whether cultural evolution rests on 397 mechanisms for preserving or transforming learned information (reviewed in [43]). Our 398 results suggest both are important: learners tended to make similar copies of tools that 399 performed well, but were more likely to modify tool designs if their predecessors performed 400 401 badly.

402

Although not strictly necessary for CCE to occur, we find that teaching provides important advantages, but only when the task is relatively causally opaque. While we found no effects of experimental condition in the paper task, in the pipe-cleaner task *Teaching* was the only social learning condition to show equivalent slopes of improvement to the *Asocial* condition. Importantly, asocial learners had direct access (via memory) to accumulated experience

across *all* previous attempts (whereas social learners could only acquire information directly 408 from their immediate predecessors) and were not subject to the constraints inherent in 409 transmitting learned expertise between individuals. In the pipe-cleaner task, teaching was the 410 only form of social learning to overcome these constraints, resulting in slopes that resembled 411 those of the asocial condition. Given that our experimental design simulates change across 412 generations, one might argue that this implies that teaching chains showed cumulative 413 improvements equivalent to ten "lifetimes" of individual learning. Thus, teaching could 414 generate important savings in terms of time and effort (critical if teaching is to be favoured by 415 416 selection [25,44]). Participants in generation 10 of our teaching chains were, following a single bout of teaching, producing pipe-cleaner tools as effective as those of asocial learners 417 who had been refining their tools over 10 rounds. Nevertheless, as is clear from the similar 418 419 slopes of improvement in Teaching and Asocial conditions, the importance of individual learning must not be downplayed (see also [3,22]). In naturalistic settings, the interplay 420 between asocial and social learning is likely to be critical, as experience will often allow 421 individuals to refine and hone their (socially acquired skills) before they are transmitted to 422 others. 423

424

Within the scope of the experiment, the advantages of teaching in generating steeper slopes 425 426 of improvement were relatively modest, with post-hoc tests revealing a clear-cut difference in comparison to Imitation, but not Emulation chains. One possible explanation for this is that 427 participants in the *Imitation* chains may have been relatively disadvantaged. A consequence 428 429 of balancing the amount of social learning time available across conditions was that *Imitation* participants were not able to able to observe the full construction process of the predecessor 430 two steps ahead of them in the chain (See Figure S2). This is different from both the 431 Emulation and Teaching chains in which the full design of the implement two steps ahead 432

could be either inspected or described. Nevertheless, the analyses comparing the performance 433 of tools with their successors indicate that teaching may be vital in retaining and improving 434 upon high-performing innovations. As one might expect, participants found it more difficult 435 to improve upon tools that performed particularly well, resulting in a negative relationship 436 between the performance of a given tool and the relative performance of its successor. 437 However, in the pipe-cleaner task, teaching attenuated this decline in performance, and 438 439 analyses of tool similarity provide some evidence that it facilitated the retention of highperforming designs. These finding parallels results from a recent experimental study on the 440 441 transmission of flint-knapping [33], which found that teaching reduced the loss of cultural information compared to other forms of social learning and suggested that human teaching 442 and language coevolved with the emergence of Oldowan stone tool-making around 2.5 443 million years ago. Our findings suggest that selection for verbal teaching may in fact pre-date 444 and perhaps scaffolded the evolution of stone tools. Compared to other learning processes, 445 teaching provides the distinct advantage that teachers can convey information and advice 446 about how designs may be improved and what not to do, and focus their pupils' attention on 447 elements of task design and the manufacturing process that are difficult to infer through 448 observation alone (c.f. [20,30,32]). Mechanisms of teaching, including components of 449 language such as syntax and recursion, may thus have come under selection long before the 450 451 emergence of stone tools (for related arguments, see [45,46]). This could have allowed our 452 ancestors to produce increasingly effective and opaque tools by combining elements made from perishable materials, similar to what we see in our pipe-cleaner task (see also [47]). 453

454

455 Mesoudi and Thornton [27] recently made a distinction between the core criteria for CCE, 456 which may be met in other species, and extended criteria including the diversification of 457 cultural lineages, which current evidence suggests are restricted to humans. Our findings

provide some indication of how the latter may arise from the former through gradual co-458 evolutionary processes. As in recent experimental studies on non-human animals [29], our 459 paper task was played out in a simple adaptive landscape with a single optimal solution. 460 Accordingly, paper tools from different transmission chains became more similar to each 461 other as the generations progressed, tending to converge on wide, flat-bottomed designs. In 462 contrast, the pipe-cleaner tools from the final generation retained a diversity of different 463 464 designs, and were no more similar to each other than those from the first generation. This suggests that the production of distinct lineages of cultural artefacts may emerge as a product 465 466 of the gradual cultural evolution of increasingly causally opaque implements. Our experimental design precluded the transmission of information between groups, but in natural 467 settings transmission of information between social sub-units could also facilitate the 468 recombination of designs across cultural lineages, generating ever-more complex adaptive 469 landscapes (see [48]). 470

471

As teaching involves a costly investment in helping others to learn, it is only expected to 472 evolve if it provides advantages over other forms of learning [44]. While we cannot rule out 473 the possibility that human teaching evolved for some other function, our results are consistent 474 with theoretical modelling which suggests that the initial emergence of cumulative culture 475 476 generated selection pressure for teaching that is absent in other great apes [25]. These arguments assume that the differences between human and non-human culture began to 477 emerge as a result of coevolutionary processes linked to our ancestors' increasing reliance on 478 479 tools following the split from other great ape lineages. A greater emphasis on the ultimate adaptive benefits of tool-making [49,50] alongside proximate factors like cognition [4] and 480 demography [48] is therefore vital to understand both the ancient origins of human 481

- technology and its subsequent elaboration into the powerful, world-changing force we seetoday.
- 484

485 **Data accessibility:** Data and R code are available on Figshare:

486 <u>https://doi.org/10.6084/m9.figshare.12759626.v1</u>

487

488 Author contributions: AT, CAC and FH conceived the idea. AL led the design and 489 execution of the experiments with guidance from AT and input from CAC and FH. AL, DW 490 and ED recruited the participants and collected the data. AT and MK analysed the data and 491 AT drafted the manuscript. All authors gave final approval for publication.

492 Acknowledgements: Charlie Savill provided invaluable assistance in data collection. We are 493 deeply indebted to participants from diverse community groups across Cornwall who gave up 494 their time to take part in the experiment and to the University of Exeter and Truro College for 495 their help with recruiting student participants.

Funding: This work was supported by an ESRC grant (ES/M006042/1) to AT, CAC and FH.
CC also received support from a European Research Council (ERC) consolidator grant (No.
648841 RATCHETCOG ERC-2014-CoG).

Ethical statement: This study followed the guidelines of the British Psychological Society's

500 Code of Human Research and the 1964 Declaration of Helsinki. The methods were approved

501 by the University of Exeter Biosciences Research Ethics Committee (2014/538) and all

502 participants provided written consent before taking part.

503

504 **References**

505

1. Laland KN. 2018 Darwin's unfinished symphony: how culture made the human mind. 506 Princeton, NJ: Princeton University Press. 507 Henrich J. 2017 The secret of our success: how culture is driving human evolution, 508 2. domesticating our species, and making us smarter. Princeton, NJ: Princeton University 509 Press. 510 Stout D, Hecht EE. 2017 Evolutionary neuroscience of cumulative culture. Proc Natl 3. 511 Acad. Sci. 114, 7861–7868. (doi:10.1073/pnas.1620738114) 512 4. Caldwell CA, Atkinson M, Blakey KH, Dunstone J, Kean D, Mackintosh G, Renner E, 513 Wilks CEH. 2020 Experimental assessment of capacities for cumulative culture: 514 515 Review and evaluation of methods. Wiley Interdiscip. Rev. Cogn. Sci. 11, e1516. (doi:10.1002/wcs.1516) 516 5. Osiurak F, Reynaud E. 2020 The elephant in the room: what matters cognitively in 517 cumulative technological culture. Behav. Brain Sci. 43, e156: 1-66. 518 519 6. Tennie C, Call J, Tomasello M. 2009 Ratcheting up the ratchet: on the evolution of cumulative culture. Phil. Trans. R. Soc. B 364, 2405-2415. 520 (doi:10.1098/rstb.2009.0052) 521 Dean LG, Kendal RL, Schapiro SJ, Thierry B, Laland KN. 2012 Identification of the 522 7. social and cognitive processes underlying human cumulative culture. Science 335, 523 1114–1118. (doi:10.1126/science.1213969) 524 8. Hill K, Barton M, Hurtado AM. 2009 The emergence of human uniqueness: characters 525 underlying behavioral modernity. Evol. Anthropol. 18, 187-200. 526 (doi:10.1002/evan.20224) 527

528	9.	Thornton A, Clutton-Brock T. 2011 Social learning and the development of individual
529		and group behaviour in mammal societies. Phil. Trans. R. Soc. B 366, 978–987.
530		(doi:10.1098/rstb.2010.0312)
531	10.	Aplin LM. 2018 Culture and cultural evolution in birds: a review of the evidence.
532		Anim. Behav. 147, 179–187. (doi:10.1016/j.anbehav.2018.05.001)
533	11.	Whiten A. 2019 Cultural evolution in animals. Annu. Rev. Ecol. Evol. Syst. 50, 27–48.
534		(doi:10.1146/annurev-ecolsys-110218-025040)
535	12.	Vale GL, Flynn EG, Kendal RL. 2012 Cumulative culture and future thinking: Is
536		mental time travel a prerequisite to cumulative cultural evolution? Learn. Motiv. 43,
537		220–230. (doi:10.1016/j.lmot.2012.05.010)
538	13.	Heyes C. 2016 Who Knows? Metacognitive Social Learning Strategies. Trends Cogn.
539		Sci. 20, 204–213. (doi:10.1016/j.tics.2015.12.007)
540	14.	Galef BG. 1992 The question of animal culture. Hum. Nat. 3, 157–178.
541		(doi:10.1007/BF02692251)
542	15.	Boyd R, Richerson PJ. 1996 Why culture is common, but cultural evolution is rare. In
543		Evolution of Social Behaviour Patterns in Primates and Man (eds WG Runciman, J
544		Maynard Smith, RIM Dunbar), pp. 77–93. Oxford: Oxford University Press.
545	16.	Derex M, Godelle B, Raymond M. 2012 Social learners require process information to
546		outperform individual learners. Evolution 67, 688–697. (doi:10.5061/dryad.5ck3n)
547	17.	Wasielewski H. 2014 Imitation is necessary for cumulative cultural evolution in an
548		unfamiliar, opaque task. Hum. Nat. 25, 161–179. (doi:10.1007/s12110-014-9192-5)
549	18.	Van Der Post DJ, Franz M, Laland KN. 2017 The evolution of social learning
550		mechanisms and cultural phenomena in group foragers. BMC Evol. Biol. 17, 1–15.

551 (doi:10.1186/s12862-017-0889-z)

- 552 19. Caldwell CA, Millen AE. 2009 Social learning mechanisms and cumulative cultural
 553 evolution: is imitation necessary? *Psychol. Sci.* 20, 1478–1483.
- Zwirner E, Thornton A. 2015 Cognitive requirements of cumulative culture: teaching
 is useful but not essential. *Sci. Rep.* 5, 16781. (doi:10.1038/srep16781)
- Reindl E, Apperly IA, Beck SR, Tennie C. 2017 Young children copy cumulative
 technological design in the absence of action information. *Sci. Rep.* 7, 1788.
- 558 (doi:10.1038/s41598-017-01715-2)
- 559 22. Truskanov N, Prat Y. 2018 Cultural transmission in an ever-changing world: trial-and-
- 560 error copying may be more robust than precise imitation. *Phil. Trans. R. Soc. B Biol.*

561 *Sci.* **373**, 20170050. (doi:10.1098/rstb.2017.0050)

- 562 23. Saldana C, Fagot J, Kirby S, Smith K, Claidière N. 2019 High-fidelity copying is not
- 563 necessarily the key to cumulative cultural evolution: A study in monkeys and children.

564 *Proc. R. Soc. B* **286**, 20190729. (doi:10.1098/rspb.2019.0729)

- 565 24. Castro L, Toro MA. 2014 Cumulative cultural evolution: the role of teaching. *J. Theor.*566 *Biol.* 347, 74–83. (doi:10.1016/j.jtbi.2014.01.006)
- 567 25. Fogarty L, Strimling P, Laland KN. 2011 The evolution of teaching. *Evolution* 65,
- 568 2760–2770. (doi:10.1111/j.1558-5646.2011.01370.x)
- 569 26. Thornton A, Happé F, Caldwell CA. 2020 Supporting the weight of the elephant in the
- 570 room: technical intelligence propped up by social cognition and language. *Behav*.
- 571 *Brain Sci.* **43**, e156: 43-44.
- 572 27. Mesoudi A, Thornton A. 2018 What is cumulative cultural evolution? Proc. R. Soc. B
- **285**, 20180712. (doi:10.1098/rspb.2018.0712)

574	28.	Fehér O, Wang H, Saar S, Mitra PP, Tchernichovski O. 2009 De novo establishment
575		of wild-type song culture in the zebra finch. Nature 459, 564–568.
576		(doi:10.1038/nature07994)
577	29.	Sasaki T, Biro D. 2017 Cumulative culture can emerge from collective intelligence in
578		animal groups. Nat. Commun. 8, 15049. (doi:10.1038/ncomms15049)
579	30.	Csibra G, Gergely G. 2011 Natural pedagogy as evolutionary adaptation. Phil. Trans.
580		<i>R. Soc. B</i> 366 , 1149–1157.
581	31.	Lotem A, Halpern JY, Edelman S, Kolodny O. 2017 The evolution of cognitive
582		mechanisms in response to cultural innovations. Proc. Natl. Acad. Sci. 114, 7915-
583		7922. (doi:10.1073/pnas.1620742114)
584	32.	Caldwell CA, Renner E, Atkinson M. 2018 Human Teaching and Cumulative Cultural
585		Evolution. Rev. Philos. Psychol. 9, 751–770. (doi:10.1007/s13164-017-0346-3)
586	33.	Morgan TJH et al. 2015 Experimental evidence for the co-evolution of hominin tool-
587		making teaching and language. Nat. Commun. 6, 6029. (doi:10.1038/ncomms7029)
588	34.	Miton H, Charbonneau M. 2018 Cumulative culture in the laboratory: methodological
589		and theoretical challenges. Proc. R. Soc. B 285, 20180677.
590		(doi:10.1098/rspb.2018.0677)
591	35.	Warton DI, Hui FKC. 2011 The arcsine is asinine: the analysis of proportions in
592		ecology. <i>Ecology</i> 92 , 3–10.
593	36.	R Core team. 2020 R: a language and environment for statistical computing.
594		https://www.r-project.org/

595 37. Richards SA, Whittingham MJ, Stephens PA. 2011 Model selection and model

averaging in behavioural ecology: The utility of the IT-AIC framework. *Behav. Ecol.*

- *Sociobiol.* **65**, 77–89. (doi:10.1007/s00265-010-1035-8)
- 38. Reindl E, Gwilliams AL, Dean LG, Kendal RL, Tennie C. 2020 Skills and motivations
 underlying children's cumulative cultural learning: case not closed. *Palgrave*
- 600 *Commun.* **6**, 106. (doi:10.1057/s41599-020-0483-7)
- 601 39. Pinker S. 2010 The cognitive niche: coevolution of intelligence, sociality, and
- 602 language. *Proc. Natl. Acad. Sci.* **107**, 8993–8999. (doi:10.1073/pnas.0914630107)
- 40. Vaesen K. 2012 The cognitive bases of human tool use. *Behav. Brain Sci.* 35, 203–
 218. (doi:10.1017/S0140525X11001452)
- 41. Penn DC, Holyoak KJ, Povinelli DJ. 2008 Darwin's mistake: explaining the
- discontinuity between human and nonhuman minds. *Behav. Brain Sci.* 31, 109–130.
 (doi:10.1017/S0140525X08003543)
- Kendal RL, Boogert NJ, Rendell L, Laland KN, Webster M, Jones PL. 2018 Social
 learning strategies: bridge-building between fields. *Trends Cogn. Sci.* 22, 651–665.
- 610 (doi:10.1016/j.tics.2018.04.003)
- 43. Acerbi A, Mesoudi A. 2015 If we are all cultural Darwinians what's the fuss about?
 Clarifying recent disagreements in the field of cultural evolution. *Biol. Philos.* 30,
 481–503. (doi:10.1007/s10539-015-9490-2)
- 614 44. Thornton A, Raihani NJ. 2008 The evolution of teaching. *Anim. Behav.* 75, 1823–
 615 1836.
- 45. Laland KN. 2017 The origins of language in teaching. *Psychon. Bull. Rev.* 24, 225–
 231. (doi:10.3758/s13423-016-1077-7)
- 618 46. Kolodny O, Edelman S. 2018 The evolution of the capacity for language: the
- 619 ecological context and adaptive value of a process of cognitive hijacking. *Phil. Trans.*

620		<i>R. Soc. B</i> 373 , 20170052. (doi:10.1098/rstb.2017.0052)
621	47.	Panger MA, Brooks AS, Richmond BG, Wood B. 2003 Older than the Oldowan?
622		Rethinking the emergence of hominin tool use. Evol. Anthropol. 11, 235–245.
623		(doi:10.1002/evan.10094)
624	48.	Derex M, Mesoudi A. 2020 Cumulative cultural evolution within evolving population
625		structures. Trends Cogn. Sci. 24, 654-667. (doi:10.1016/j.tics.2020.04.005)
626	49.	Collard M, Buchanan B, O'Brien MJ, Scholnick J. 2013 Risk, mobility or population
627		size? Drivers of technological richness among contact-period western North American
628		hunter-gatherers. Phil. Trans. R. Soc. B 368, 20120412. (doi:10.1098/rstb.2012.0412)
629	50.	Biro D, Haslam M, Rutz C. 2013 Tool use as adaptation. Phil. Trans. R. Soc. B Biol.

630 *Sci.* **368**, 20120408. (doi:10.1098/rstb.2012.0408)