1	Risk factors mediating the effect of body-mass index and waist-to-hip
2	ratio on cardiovascular outcomes: Mendelian randomization analysis
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4	Short title: Cardiometabolic mediators of obesity
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63 Abstract

Background: Higher body-mass index (BMI) and waist-to-hip ratio (WHR) increase the risk of
cardiovascular disease, but the extent to which this is mediated by blood pressure, diabetes, lipid
traits and smoking is not fully understood.

Methods: Using consortia and UK Biobank genetic association summary data from 140,595 to
898,130 participants predominantly of European ancestry, Mendelian randomization mediation
analysis was performed to investigate the degree to which systolic blood pressure (SBP), diabetes,
lipid traits and smoking mediated an effect of BMI and WHR on risk of coronary artery disease (CAD),
peripheral artery disease (PAD) and stroke.

72 Results: The odds ratio of CAD per 1-standard deviation increase in genetically predicted BMI was

73 1.49 (95% CI 1.39 to 1.60). This attenuated to 1.34 (95% CI 1.24 to 1.45) after adjusting for

74 genetically predicted SBP (proportion mediated 27%, 95% CI 3% to 50%), to 1.27 (95% CI 1.17 to

1.37) after adjusting for genetically predicted diabetes (41% mediated, 95% Cl 18% to 63%), to 1.47

76 (95% CI 1.36 to 1.59) after adjusting for genetically predicted lipids (3% mediated, 95% -23% to 29%),

and to 1.46 (95% CI 1.34 to 1.58) after adjusting for genetically predicted smoking (6% mediated,

78 95% CI -20% to 32%). Adjusting for all the mediators together, the estimate attenuated to 1.14 (95%

79 CI 1.04 to 1.26; 66% mediated, 95% CI 42% to 91%). A similar pattern was observed when

80 considering genetically predicted WHR as the exposure, and PAD or stroke as the outcome.

81 **Conclusions:** Measures to reduce obesity will lower risk of cardiovascular disease primarily by

82 impacting on downstream metabolic risk factors, particularly diabetes and hypertension. Reduction

of obesity prevalence alongside control and management of its mediators is likely to be most

84 effective for minimizing the burden of obesity.

85 Background

86 Cardiovascular disease (CVD) is the leading cause of death and disability worldwide(1). Obesity can 87 contribute towards CVD risk through effects on hyperglycaemia, hypertension, dyslipidaemia, and 88 smoking behaviour(2-5). The global prevalence of obesity has more than tripled in the last 40 years, 89 with an even greater rise in incidence amongst children(6). It is estimated that by 2030, 90 approximately half of the US population will be obese(7). While obesity prevention remains the 91 priority, there are also treatments available to effectively manage the downstream mediators 92 through which obesity causes CVD(8-11). Understanding of such pathways is therefore paramount to 93 reducing cardiovascular risk.

94 Obesity can be measured by various means. It is defined by the World Health Organisation as a body-mass index (BMI) of greater than or equal to 30 kg/m^2 (12), although this cut-off threshold can 95 96 vary between different populations. However, BMI is a not a direct measure of adiposity, and is also 97 correlated with fat-free mass(12). Assessment of obesity using waist-to-hip ratio (WHR) is less subject to influence from height and muscle mass, and is positively associated with cardiovascular 98 99 risk in individuals with a normal BMI(13, 14). Thus, BMI and WHR represent distinct measures of 100 body fat that may differentially affect risk of CVD outcomes. Conventional observational studies 101 have shown that the relationship between obesity measures such as BMI and WHR with CVD is 102 attenuated when adjustment is made for cardiometabolic risk factors such as blood pressure, lipid 103 traits or measures of glycaemia(15). This has allowed for estimation of the proportion of the effect 104 of obesity that is mediated through these intermediates(15). However, such observational analysis is 105 vulnerable to bias from environmental confounding factors and measurement error, both of which 106 can result in underestimation of the proportion of effect mediated(16, 17). The Mendelian 107 randomization (MR) approach uses genetic variants as instruments for studying the effect of 108 modifying an exposure on an outcome, and has now been extended to perform mediation 109 analyses(16, 18). Such use of genetic variants whose allocation is not affected by environmental 110 confounding factors means that MR estimates are less vulnerable to confounding from

111	environmental factors. Furthermore, use of genetic variants that are associated with the exposure
112	(BMI or WHR) in large populations including individuals of different ages means that their
113	association estimates are typically less vulnerable to measurement error or variation related to the
114	timing of measurement(16).
115	The increasing availability of large-scale genome-wide association study (GWAS) data has greatly
116	facilitated MR analyses considering cardiovascular risk factors and outcomes. In this study, we aimed

to use such data within the MR framework to investigate the role of blood pressure, diabetes,

118 fasting glucose, lipid traits and smoking in mediating the effect of BMI and WHR on coronary artery

disease (CAD), peripheral arterial disease (PAD) and stroke risk.

120

121 Methods

122 Ethical approval, data availability, code availability and reporting

123 The data used in this work are publicly available and the studies from which they were obtained are

124 cited. All these studies obtained relevant participant consent and ethical approval. The results from

the analyses performed in this work are presented in the main manuscript or its supplementary files.

126 All code used for this work are available upon reasonable request to the corresponding author. This

127 paper has been reported based on recommendations by the STROBE-MR Guidelines (Research

128 Checklist)(19). The study protocol and details were not pre-registered.

129 Data sources

130 Genetic association estimates for BMI and WHR were obtained from the GIANT Consortium GWAS

131 meta-analysis of 806 834 and 697 734 European-ancestry individuals respectively(20). Genetic

association estimates for SBP were obtained from a GWAS of 318 417 White British individuals in the

133 UK Biobank, with correction made for any self-reported anti-hypertensive medication use by adding

134 10mmHg to the mean SBP measured from two automated recordings that were taken two minutes

135 apart at baseline assessment(21). Previous methodological work has supported that the addition of 136 a constant value to the observed blood pressure in individuals taking antihypertensive medication as 137 a strategy that optimises statistical power while minimising bias(22). Genetic association estimates 138 for lifetime smoking (referred to hereon as smoking) were obtained from a GWAS of 462 690 139 European-ancestry individuals in the UK Biobank(23). A lifetime measure of smoking was created 140 based on self-reported age at initiation, age at cessation and cigarettes smoked per day(23). Genetic 141 association estimates for liability to diabetes came from the DIAGRAM Consortium GWAS meta-142 analysis of 74 124 cases and 824 006 controls, all of European ancestry(24). Genetic association 143 estimates for plasma fasting glucose were obtained by using PLINK software to carry out a meta-144 analysis of MAGIC Consortium GWAS summary data from separate analyses of 67 506 men and 73 145 089 women who were not diabetic (25, 26). Genetic association estimates for fasting serum low-146 density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C) and triglycerides 147 were obtained from the Global Lipids Genetic Consortium GWAS of 188,577 European-ancestry 148 individuals(27). Genetic association estimates for CAD were obtained from the 149 CARDIoGRAMplusC4D Consortium 1000G multi-ethnic GWAS (77% European-ancestry) of 60 801 150 cases and 123 504 controls(28). Genetic association estimates for PAD were obtained from the 151 Million Veterans Program multi-ethnic (72% European-ancestry) GWAS of 31 307 cases and 211 753 152 controls(29). Genetic association estimates for stroke were obtained from the MEGASTROKE multi-153 ethnic (86% European-ancestry) GWAS of 67 162 cases (of any stroke) and 454 450 controls(30). 154 Population characteristics and specific trait definitions relating to all these summary genetic 155 association estimates are available in their original publications. For the analyses performed in this 156 current work, genetic variants from different studies were aligned by their effect alleles and no 157 exclusions were made for palindromic variants. Only variants for which genetic association estimates 158 were available for all the traits being investigated in any given analysis were considered. In order to 159 maintain consistency in the variants employed as instruments across different analyses, proxies 160 were not used.

161 Instrument selection

162 To estimate the total effect of BMI and WHR respectively on the considered cardiovascular outcomes, instruments were selected as single-nucleotide polymorphisms (SNPs) that associated 163 with BMI or WHR at genome-wide significance ($P < 5 \times 10^{-8}$) and were in pair-wise linkage 164 165 disequilibrium (LD) r^2 <0.001. The percentage variance in BMI and WHR explained by the variants 166 selected as their respective instruments was estimated as previously described (31). To select instruments for mediation analysis, all SNPs related to the considered exposure (BMI or WHR) or 167 168 mediators at genome-wide significance were pooled and clumped to pairwise LD r^2 <0.001 based on 169 the lowest P-value for association with any trait. All clumping was performed using the 170 TwoSampleMR package in R(32). Total effects 171 172 Random-effects inverse-variance weighted (IVW) MR was used as the main analysis for estimating the total effects of genetically predicted BMI and genetically predicted WHR respectively on each of 173 174 the considered CVD outcomes(33). The contamination-mixture method, weighted median and MR-175 Egger were used in sensitivity analyses to explore the robustness of the findings to potential 176 pleiotropic effects of the variants(34-36). The contamination-mixture model makes the assumption 177 that MR estimates from valid instruments follow a normal distribution that centres on the true causal effect estimate, while those calculated from invalid instrument variants follow a normal 178 179 distribution centred on the null(35). This allows for a likelihood function to be specified and 180 maximized when allocating each variant to one of the two mixture distributions(35). The weighted 181 median approach orders the MR estimates from individual variants by their magnitude weighted for 182 their precision and selects the median as the overall MR estimate, calculating standard error by 183 bootstrapping(34). MR-Egger regresses the variant-outcome association estimates against the 184 variant-exposure association estimates, weighted for the precision of the variant-outcome 185 estimates(36). It gives a valid MR estimate and test for the presence of directional pleiotropy in 186 scenarios where any direct effect of the variants on the outcome is not correlated to their

association with the exposure(36). The MendelianRandomization package (version 0.4.2) in R
(version 3.6.3) was used for performing the IVW, contamination-mixture, weighted median MR and
MR-Egger analyses(37).

190 Mediation analysis

191 To estimate the direct effect of genetically predicted BMI and genetically predicted WHR on each of 192 the three considered CVD outcomes that was not being mediated by the investigated intermediary 193 risk factors, summary data multivariable MR was performed(38-40). Specifically, the orientations of 194 all genetic association estimates were harmonized and the variant-outcome genetic association 195 estimates were regressed on the variant-exposure and variant-mediator estimates, weighted for the 196 precision of the variant-outcome association, with the intercept fixed to zero(40). Using this 197 approach, adjustment was made for genetically predicted SBP, diabetes, smoking and lipid traits 198 (LDL-C, HDL-C and triglycerides together) in turn, and finally including all mediators together in a 199 joint model. In a sensitivity analysis, genetically predicted diabetes was excluded from this joint 200 model to remove any bias that might be introduced because of its binary nature(41). For analyses 201 considering genetically predicted fasting glucose in non-diabetics instead of genetically predicted 202 diabetes, the corresponding genetic association data were substituted. Diabetes and fasting glucose 203 were not included together in the same model.

Multivariable MR mediation analysis was performed to estimate the proportion of the effect of BMI and WHR respectively on CAD, PAD and stroke that was mediated through each of the considered risk factors, and also all of them together(16). Specifically, the direct effect of genetically predicted BMI and genetically predicted WHR respectively was divided by their total effect and subtracted from 1, with standard errors estimated using the propagation of error method(16, 18).

209 Independent effects of genetically predicted BMI and WHR

The direct effects of genetically predicted BMI and genetically predicted WHR on the considered CVD outcomes that are not mediated through each other were measured by including only these two traits together as exposures in the summary data multivariable MR model described above.

213

214 Results

215 Total effects

216 The variants selected as instruments for BMI and WHR explain 5.7% and 3.6% of their variance

217 respectively. Considering total effects, there was consistent evidence across the IVW,

218 contamination-mixture, weighted median and MR-Egger methods that both higher genetically

219 predicted BMI and higher genetically predicted WHR increased CAD, PAD and stroke risk

220 (Supplementary Figure 1). The confidence intervals of the MR-Egger estimates were wider than for

the other methods, consistent with its lower statistical power(42). The MR-Egger intercept did not

provide evidence to suggest directional pleiotropy in any analysis (P>0.05 in all analyses). In the main

223 IVW MR analysis, the odds ratio per 1-standard deviation (SD) increase in genetically predicted BMI

224 (4.81kg/m²) for CAD risk was 1.49 (95% confidence interval [CI] 1.39 to 1.60), for PAD risk was 1.70

225 (95% CI 1.58 to 1.82), and for stroke risk was 1.22 (95% CI 1.15 to 1.29). For a 1-SD increase in

226 genetically predicted WHR (0.09), this was 1.54 (95% CI 1.38 to 1.71) for CAD risk, 1.55 (95% CI 1.40

to 1.71) for PAD risk, and 1.30 (95% CI 1.21 to 1.40) for stroke risk.

228 Mediation analysis

229 There was attenuation in the associations of genetically predicted BMI and genetically predicted

230 WHR with the three CVD outcomes after adjusting for genetically predicted SBP, diabetes, lipid traits

231 (LDL-C, HDL-C and triglycerides together) and smoking, either separately or in the same joint model

232 (Figure 1). The 49% (95% CI 39% to 60%) increased risk of CAD conferred per 1-SD increase in

233 genetically predicted BMI attenuated to 34% (95% CI 24% to 45%) after adjusting for genetically

predicted SBP, to 27% (95% CI 17% to 37%) after adjusting for genetically predicted diabetes, to 47%
(95% CI 36% to 59%) after adjusting for genetically predicted lipids, and to 46% (95% CI 34% to 58%)
after adjusting for genetically predicted smoking. Adjusting for all the mediators together in the
same model, the association attenuated to 14% (95% CI 4% to 26%).

The percentage attenuation in the total effects of genetically predicted BMI and WHR respectively on the three CVD outcomes after adjusting for the mediators is depicted in Figure 2. For the effect of genetically predicted BMI on CAD risk, 27% (95% CI 3% to 50%) was mediated by genetically predicted SBP, 41% (95% 18% to 63%) was mediated by genetically predicted diabetes, 3% (-23% to

242 29%) was mediated by genetically predicted lipids, and 6% (95% CI -20% to 32%) was mediated by
243 genetically predicted smoking. All the mediators together accounted for 66% (95% CI 42% to 91%) of

the total effect of genetically predicted BMI on CAD risk.

A joint model including all considered mediators except genetically predicted diabetes was also

constructed (Supplementary Figure 2). Adjusting together for all the mediators except genetically

247 predicted diabetes, the association of genetically predicted BMI with CAD risk attenuated from odds

248 ratio 1.49 (95% CI 1.39 to 1.60) to 1.27 (95% CI 1.16 to 1.40).

There was little change in the association of either genetically predicted BMI or genetically predicted
 WHR with risk of the three CVD outcomes after adjusting for genetically predicted fasting glucose in
 non-diabetic individuals (Figure 3).

252 Independent effects of genetically predicted BMI and WHR

253 Both genetically predicted BMI and genetically predicted WHR had direct effects on CAD, PAD and

stroke after mutual adjustment (Figure 4). The increased CAD risk attributed to a 1-SD higher

255 genetically predicted BMI attenuated from 49% (95% CI 39% to 60%) to 32% (95% CI 20% to 45%)

after adjusting for genetically predicted WHR, and the increased CAD risk attributed to a 1-SD higher

257 genetically predicted WHR attenuated from 54% (95% CI 38% to 71%) to 33% (95% CI 18% to 50%)

after adjusting for genetically predicted BMI.

259

260 Discussion

261 This study uses large-scale genetic association data within the MR paradigm to investigate the role of 262 SBP, diabetes, lipid traits and smoking in mediating the effect of BMI and WHR on CAD, PAD and 263 stroke risk. The results support that the majority of the effects of obesity on CVD are mediated 264 through these risk factors, with diabetes and blood pressure being the most notable and accounting 265 for approximately one-third and one-quarter of the effect respectively. In contrast, the analysis of 266 genetically predicted fasting glucose in non-diabetic individuals did not provide any evidence to 267 support its role in mediating the effect of obesity on CVD risk. Previous work has supported an effect 268 of diabetes liability, fasting glucose and glycated haemoglobin on CVD risk(43, 44). Taken together 269 with our current findings, this suggests that obesity may be affecting CVD risk by increasing diabetes 270 liability and non-fasting (postprandial) glucose levels. Similarly, while lipid traits are known to affect 271 CVD risk(45), our current study suggests that obesity is conferring only a small proportion of its 272 effect on CVD risk through this pathway. Consistent with this, previous work has supported an effect 273 of BMI on HDL-C and triglyceride levels, but not LDL-C(44).

In our analyses, the sum of the estimated mediating effects of the various risk factors considered individually was comparable to their total mediating effect estimated when considering them all together in the same model, consistent with them acting through distinct mechanisms. Including genetically predicted BMI and genetically predicted WHR in the same model produced evidence consistent with these traits having direct effects on CVD risk independently of each other. It follows that rather than analysing BMI or WHR alone, they should be considered together as they capture different aspects of adiposity.

Our findings have important clinical and public health implications. Behavioural interventions to
 reduce obesity can have inadequate long term effects(46), pharmacological treatments may be
 limited by unfavourable adverse effect profiles(47), and surgical procedures are often reserved for

284 only severe cases(48). While preventing obesity remains the priority, this work supports that the 285 majority of its cardiovascular consequences may also be managed by effectively controlling its 286 downstream mediators, most notably diabetes and raised blood pressure, for which effective 287 pharmacological interventions are available. This has relevance for the more than 640 million 288 individuals worldwide currently living with obesity(49), and the many more forecasted to become 289 obese in coming years(50). Such holistic consideration of obesity together with its mediators could 290 contribute to a shift from the single-disease focus of health systems towards prioritizing multi-291 morbidity and promoting individual and societal wellness(51).

292 Our analyses were also suggestive of some possible residual effect of BMI on CVD risk even after 293 adjusting for all the considered mediating risk factors, consistent with metabolically healthy obesity 294 still conferring increased CVD risk(52). In contrast, the investigation of WHR was consistent with an 295 absence of any direct effect on CVD risk after accounting for the all mediating risk factors together, 296 suggesting that WHR may be entirely influencing CVD through downstream metabolic traits. Taken 297 together, these results suggest that unless the growing obesity epidemic is effectively tackled, we 298 risk undoing the large reductions in CVD mortality achieved over past decades(1). Population-based 299 approaches that decrease obesity by addressing key upstream drivers such as poor diet and physical 300 inactivity have substantial potential for impact and are also effective for reducing health 301 inequalities(53, 54).

The results of our current study can be contrasted to those from a large-scale observational analysis of 1.8 million people across 97 studies(15, 55). This previous work estimated that 46% (95% CI 42% to 50%) of the excess risk conferred by raised BMI on CAD and 76% (95% CI 65% to 91%) on stroke were mediated by effects on blood pressure, glucose levels and lipid traits, with blood pressure being the most important and mediation for stroke being greatest(15). However, the approach and data used in our current study offers a number of possible improvements. Our work includes a greater repertoire of risk factors and CVD outcomes than have been considered together

309 previously(15, 44), in particular drawing on recently available GWAS summary data to study smoking 310 and PAD(23, 29). MR analysis uses randomly allocated genetic variants that represent lifelong 311 cumulative liability to the traits for which they serve as instruments and can therefore help 312 overcome the environmental confounding that may bias conventional observational studies(16). 313 Consistent with this, our MR results indicate that these risk factors mediate a greater proportion of 314 the effect of obesity than suggested by previous conventional observational analyses(15). 315 Furthermore, our MR estimates are comparable to those obtained in previous MR studies 316 considering BMI and WHR as exposures and different types of CVD as the outcome(44, 56, 57). 317 Also of relevance here, we considered genetic liability to diabetes and genetically predicted fasting 318 glucose in non-diabetic individuals as separate risk factors. Our findings support the concept that 319 obesity traits confer an increased risk of CVD specifically through liability to diabetes, rather than 320 variation in fasting glucose levels within the normal physiological range. This is important because 321 fasting glucose may have a non-linear association with CVD risk(58), only having detrimental effects 322 beyond a certain point(59).

323 Our current study also has limitations. The aim of the current work was to investigate the degree to 324 which cardiometabolic traits mediate the effects of BMI and WHR on CVD outcomes, and our study 325 did not extend to investigate any possible role of BMI or WHR in mediating the effects of the 326 considered cardiometabolic traits on CVD risk. The genetic association data used in this work are 327 drawn from predominantly European populations, and should therefore be interpreted with caution 328 when extrapolating to other ethnic groups. Diabetes is a binary outcome, and as such our 329 consideration of genetically predicted diabetes could introduce bias into the mediation analysis 330 because not all individuals possessing such genetic liability develop diabetes-related traits(41). SBP 331 was used as a proxy for studying the effects of blood pressure more generally. Given the high degree 332 of phenotypic and genetic correlation between blood pressure traits(60), this would seem unlikely to 333 affect the conclusions drawn. A theoretical weakness of the MR approach relates to bias from

334 pleiotropic effects of the genetic variants incorporated as instruments for the traits under study, 335 whereby they may directly affect the outcome through pathways independent of the exposure or 336 mediators being considered. Although such bias cannot be entirely excluded, it is reassuring that we 337 obtained similar MR estimates for the total effect of BMI and WHR respectively on the three CVD 338 outcomes when performing the IVW, contamination-mixture, weighted median and MR-Egger 339 methods that each make different assumptions concerning the presence of pleiotropic variants(42). 340 Finally, there is currently no available method for assessing instrument strength within the two-341 sample multivariable MR setting, and we could therefore not assess potential vulnerability to weak 342 instrument bias(38).

In conclusion, this work using the MR framework suggests that the majority of the effects of obesity
on CVD risk are mediated through metabolic risk factors, most notably diabetes and blood pressure.
Comprehensive public health measures that target the reduction of obesity prevalence alongside
control and management of its downstream mediators are likely to be most effective for minimizing
the burden of obesity on individuals and health systems alike.

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507 Author contributions

508 DG, JD, KKT, SMD and SB designed the project. DK, PST, SMD and VA-MVP provided data. DG and VZ 509 analysed the data. DG, JD and JP-S drafted the manuscript. All authors interpreted the results and 510 critically revised the manuscript. All authors approved the submitted article. All authors are 511 accountable for the integrity of the research.

512

513 Conflicts of interest

514 DG is employed part-time by of Novo Nordisk and has received consultancy fees from Abbott

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553 Figure legends

Figure 1. Direct effects of genetically predicted body mass index (BMI) and genetically predicted waist-to-hip ratio (WHR) on coronary artery disease (CAD), peripheral artery disease (PAD) and stroke, estimated after adjusting for genetic liability to mediators separately and together in the same model. The y-axis details the genetically predicted mediator(s) for which adjusted was made. Blood pressure refers to systolic blood pressure. Lipids refers to serum low-density lipoprotein cholesterol, high-density lipoprotein cholesterol and triglycerides considered together in one model. Cl: confidence interval; OR: odds ratio; SD: standard deviation.

561 Figure 2. Proportion (as a percentage) of the respective effects of genetically predicted body mass

562 index (BMI) and genetically predicted waist-to-hip ratio (WHR) on coronary artery disease (CAD),

563 peripheral artery disease (PAD) and stroke that are mediated through the genetically predicted

risk factors individually and together. The y-axis details the genetically predicted mediator(s) for

565 which adjustment was made. Blood pressure refers to systolic blood pressure. Lipids refers to serum

566 low-density lipoprotein cholesterol, high-density lipoprotein cholesterol and triglycerides considered

567 together in one model. CI: confidence interval.

568 Figure 3. Direct effects of body mass index (BMI) and waist-to-hip ratio (WHR) on coronary artery

569 disease (CAD), peripheral artery disease (PAD) and stroke, estimated after no adjustment and

570 after adjustment for genetically predicted fasting glucose in non-diabetics. CI: confidence interval;

- 571 OR: odds ratio; SD: standard deviation.
- Figure 4. Direct effects of genetically predicted body mass index (BMI) and genetically predicted
 waist-to-hip ratio (WHR) on coronary artery disease (CAD), peripheral artery disease (PAD) and
 stroke, estimated after adjusting for each other. CI: confidence interval; OR: odds ratio; SD:
 standard deviation.







