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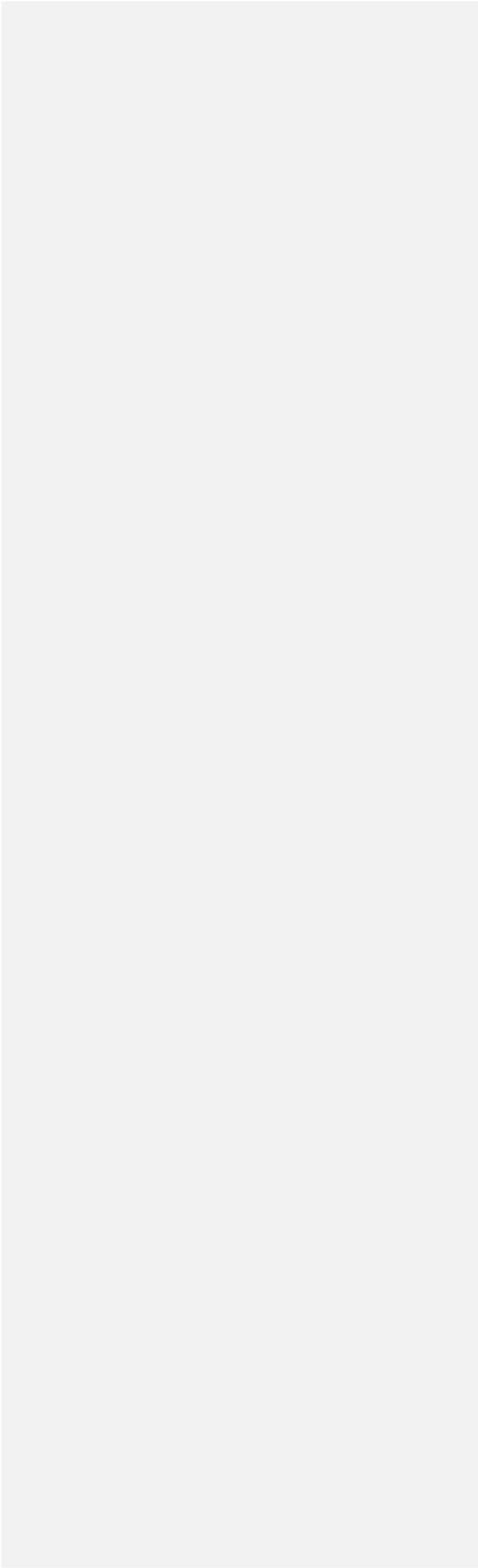
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Industrial heritage assessment and guidelines for the architectural conservation of hydroelectric plants

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10 **Industrial heritage assessment and guidelines for the architectural**
11 **conservation of hydroelectric plants**
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16 Hydroelectric plants, constructed as modern, industrial, innovative and
17 technological structures of the 20th century, after approximately a century of
18 existence, have become subjects of industrial heritage. Within the article, the
19 interdisciplinary field is approached through the perspective of architectural
20 conservation with consultancies of experts from related disciplines. The study
21 discusses hydroelectric facilities of the past century in terms of industrial heritage
22 focusing on their features, types and elements, investigates the theoretical
23 framework in order to specify criteria for their assessment as cultural heritage,
24 and develops a guideline for the architectural preservation, conservation,
25 restoration and re-use of these structures. The proposed set of criteria and the
26 guideline are applied for 17 selected case studies of dams and powerhouses in
27 Northern ~~Ha~~Italy. The process and the results of the study are discussed with a
28 purpose of serving as a model for further studies on the preservation,
29 conservation and restoration of hydroelectric plants.
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34 Keywords: industrial heritage, hydroelectric plants, dams, architectural
35 conservation, assessment criteria
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38 **1. Introduction:**
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40 “Like silent witnesses to the past, large dams built to create manmade reservoirs
41 often deserve the privilege of monument status for their age, function,
42 performance, grandeur and even solemnity. Due to their amazing architectural
43 characteristics and to both their appurtenant temporary and permanent works for
44 diversion, use, and release of water, well-designed dams and reservoirs integrate
45 themselves into the environment, positively changing the features of the
46 surrounding landscape and the liveability of the area” (Japelli 2005, 1).
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49 As is true with all perceptive conceptions which create beneficial controversies and
50 debates on the agenda, throughout history, conservation approach regarding cultural
51 heritage has also been developing, evolving and expanding to include new subjects and
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10 different perspectives. Hydroelectric plants and dams, which had been constructed as
11 modern, revolutionary, technological structures of the 20th century, have become
12 subjects of industrial heritage. Substantially increasing the amount of energy produced
13 from natural sources, they had been among the most extensive investments for the
14 production of electricity throughout the last century. Since then, these facilities have
15 usually been perceived as a specialized field of engineering; besides, -- as a result of
16 the interaction with nature-- ecologists and environmentalists have also been included
17 in discussions on hydroelectric plants. In the field of cultural heritage, -- focusing on
18 settlements and monuments buried underwater or deteriorated in other means-- dams
19 and hydroelectric plants have commonly been regarded as threats over cultural assets.
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21 However, it is important to be aware of the fact that these facilities, after almost a
22 hundred years of existence, have also gained cultural value, and it is crucial to
23 contemplate the future of these structures by bringing them on the agenda.
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33 The article discusses the preservation / conservation of hydroelectric plants of
34 the 20th century as industrial heritage by investigating the answers to the three
35 fundamental questions which need to be reconsidered in each case of cultural heritage
36 management: 'what should be conserved?'; 'why should it be conserved?'; 'how should
37 it be conserved?'. In order to discuss hydroelectric plants as industrial heritage, initially,
38 the technical features, types and elements of the facilities are introduced in the study.
39 Regarding the reasons for conservation, the theoretical framework is presented,
40 including charters on industrial heritage, and legislations and principles applied for the
41 register of hydroelectric facilities in various countries. The general framework regarding
42 the conservation of industrial heritage is defined in the Nizhny Tagil Charter and the
43 Dublin Principles (TICCIH 2003; Dublin Principles 2011); however, it is also
44 emphasized that "the criteria for assessing industrial buildings should be defined and
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10 published so as to achieve general public acceptance of rational and consistent
11 standards” (TICCIH 2003). Therefore, deriving from the theoretical framework, a set of
12 criteria for the heritage assessment of hydroelectric plants is developed within the
13 article. With a purpose of developing a systematic methodology in the process of
14 conservation and restoration of hydroelectric plants, a basic guideline is prepared. The
15 guideline consists of an explanatory text and a flowchart to be applied for various case
16 studies. 17 case studies are selected in the Italian Alps in order to confirm the
17 applicability of the criteria and the guideline. Following the explanation of the features
18 and the properties of the case studies, the assessment criteria and the flowchart is
19 applied for each case study. The process and the results of the study are discussed with
20 an aim to serve as a model for other studies in the field of industrial heritage.
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30 **2. Features, types and elements of hydroelectric plants:**

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32 Hydroelectric plants of the past century have radically transformed nature to create
33 industrial landscapes, and they have become symbols of power and intelligence in the
34 long history of the interrelations between humankind and nature. Supplying electricity
35 to serve the great demand for energy, they have majorly contributed to the
36 industrialization of nations and the modernization of daily lives in the 20th century.
37 Producing energy for industries and creating employment, they have contributed to the
38 development of communities and social life. Moreover, as ground-breaking, inventive
39 facilities of the period, plants and machineries installed in them reflect a significant
40 stage in history of technology and construction.
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48 Hydroelectric plants are basically facilities where the potential energy of water
49 is converted into electrical energy. The flow or the fall of water from a certain height
50 activates the turbines and the mechanical energy produced in the turbines is converted
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10 into electrical energy by making use of generators to be distributed for use.
11 Hydroelectric plants and dams are categorized according to various features they
12 possess, such as: their purposes¹, their hydrological relations², their capacities³ (sizes),
13 the method they use in producing electricity and their construction techniques. For the
14 purpose of presenting a basic technical introduction of hydroelectric facilities, the last
15 two categories will be explained briefly.

16
17 According to the method they use in the production of electricity, plants are
18 categorized as run-of-river plants, storage plants, pumped-storage plants and off-shore
19 plants. The type of the hydroelectric facility is determined based on the environment it
20 is constructed in or the various demands for energy.

- 21 • Run-of-river plants: In run-of-river facilities, turbines are turned by the power of
22 naturally flowing water to provide energy. In these cases, water is not stored and
23 the production changes according to daily and seasonal fluctuations.

24
25 ¹ Dams and related facilities can either be used solely for production of electricity or in some
26 cases they can also be utilized for additional purposes, such as irrigation, flood control or
27 supply of drinking water.

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29 ² There are cases where a single dam is constructed to produce energy; whereas in other cases a
30 cascade of dams is built in order to constitute a connected hydro electrical system.

31
32 ³ The International Commission on Large Dams (ICOLD) defines a large dam as: ‘dam with a
33 height of 15 metres or greater from lowest foundation to crest or a dam between 5 metres and 15
34 metres impounding more than 3 million cubic metres’ (ICOLD 2011a). Whereas small dams are
35 defined as: ‘a dam with a height between 2.5 meters and 15 meters above river bed level to
36 maximum crest level and a storage volume between 2 million m³ and 200 million m³’ (ICOLD
37 2011b).

- Storage plants: In storage hydropower facilities, a dam is constructed on the river in order to create a reservoir behind it, and water is released through tunnels into turbines which activate generators for the production of electricity. At storage hydropower facilities, the system can be controlled according to the demand, and it can be operated independently for long periods of time.
- Pumped-storage plants: In pumped-storage plants, water is cycled between an upper and a lower reservoir by making use of pumps. Such plants are utilized to overcome seasonal fluctuations and changing power demands.
- Off-shore hydropower plants: As facilities of a more recent technology, off-shore plants make use of tidal currents or the power of waves in order to produce electricity.

Basic elements of a storage hydroelectric plant are: the **dam** (structure built on a river in order to create the reservoir), the **reservoir** (water stored behind the dam), the **spillway** (Figure 1) (~~— also called overflow channel —~~ structure for the controlled release of water, when the reservoir is full, in order to prevent damages caused by water overtopping the dam), the **intake** (structure for capturing water from the reservoir to be conveyed to the energy producing facilities (~~Figure 2,3,4~~), water transfer facilities — such as **penstocks** and **power tunnels** ~~and~~ (~~— also called pressure tunnels—~~ tunnels built for conveying the water, from the intake to the power house, with high pressure) and, **surge tank** (~~— also called surge chambers—~~ tower-like structures for reducing the pressure caused by accelerating water in power tunnels ~~— also called surge chambers —~~).

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10 , the **powerhouse** (containing turbines, generators and the control room⁴), the **tailrace**
11 (structure for discharging water from the plant), the **switchyard** (where the electrical
12 energy produced is stepped up to a high-voltage for transmission and distribution).
13

14
15 The most crucial and distinctive element of a hydroelectric plant is the dam.
16 Dams are constructed using various methods and materials, depending on the
17 hydrologic properties, topography, geology, climate and seismicity of the surrounding,
18 the availability of the materials and economic conditions. The three main categories are
19 fill dams, masonry dams and concrete dams. **Fill dams** (earth-fill and rock-fill dams)
20 are embankments constructed of compacted natural materials (earth or rock), and they
21 rely mainly on their weight to counterbalance the thrust of water. Because of their wide-
22 based triangular geometry, they are usually preferred in landscapes where the ground
23 conditions are weak or heterogeneous and where the water level is low. There are cases
24 where the upstream face of the embankment is covered with concrete slabs in order to
25 provide impermeability. **Masonry dams** are structures built out of cut-stone or brick.
26 **Concrete dams** are generally constructed of unreinforced blocks of concrete with
27 flexible seals at the joints. Different types of masonry dams and concrete dams,
28 according to their construction techniques, are gravity dams, buttress dams and arch
29 dams. Gravity dams are structures which depend on their weights in order to resist the
30 power of water acting on them. Therefore, they require strong ground conditions and
31 well-designed foundations. Buttress dams also work with the same principle, except for
32 the fact that in a buttress dam, the concrete slab is thinner and it is supported by a series
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49 ⁴ Turbines convert the kinetic energy of water into mechanical energy, and the generators
50 convert the mechanical energy into electrical energy. The whole process is conducted in the
51 control room of the powerhouse.
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10 of buttresses on the downstream side. Arch dams are curved structures with the top of
11 the arch facing upstream so that the pressure of water compresses the structure which
12 transfers the load to the sides of the valley through its abutments and to its foundation.
13 Arch dams are constructed at narrow valleys where the sides of the canyon are stable
14 and stiff. In many cases, arch dams are double-curvature structures, curved on
15 horizontal and vertical planes similar to a section of a sphere (Figure 5). There are also
16 cases — such as arch-gravity dams— — where a combination of techniques is
17 implemented.
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24 Hydroelectric plants, constructed with great investments and major interventions
25 in nature, have been designed and designated for long-term service; however, as is true
26 with all beings, their life-spans are limited, and they require periodic and constant
27 inspection and sustainable maintenance. In most cases, the removal of a hydroelectric
28 facility is more expensive and technically more difficult than preserving or restoring it.
29 Moreover, since they are human-made structures constructed against the overwhelming
30 power of nature, abandoning dams, unmonitored and unattended, creates a major risk of
31 disaster. Therefore, it is crucial to make provisions for the future of hydroelectric plants,
32 to specify criteria for their assessment, and to develop guidelines for their conservation.
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41 **3. Assessment criteria for hydroelectric plants as industrial heritage:**

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43 Based on the continuing presence of human-built structures for thousands of years, it
44 can be concluded that ‘the idea of preservation’ is also rooted in millennia. Throughout
45 history, several opinions have been developed on questions regarding which structures
46 to preserve and how they should be preserved. Alois Riegl, in his work "The
47 Modern Cult of Monuments: Its Character and Its Origin (1903)," has suggested one of
48 the pioneering systematic methods for the value assessment of monuments; discussing
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10 'the past values' under main categories of 'commemorative value,' 'historic value' and
11 'age value.' Nevertheless, within over two-hundred years, even the description and
12 context of cultural property has evolved, necessitating exclusive and more detailed
13 studies on various types of heritage. In this context, national or international working
14 groups of specialists and experts have been developing principles for the preservation
15 and conservation of different types and aspects of cultural heritage.

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20 Concerns regarding the conservation of industrial heritage arose by the end of
21 the 19th century and the intellectual approach was shaped during the 20th century. The
22 concept of 'industrial archaeology' was initially used by Michael Rix in 1955 in his
23 influential article in 'Amateur Historian' (Rix 1955). The International Committee for
24 the Conservation of the Industrial Heritage (TICCIH) was established in 1973, and
25 published 'The Nizhny Tagil Charter for the Industrial Heritage' in 2003. In the
26 document, industrial heritage is defined as: "the remains of industrial culture which are
27 of historical, technological, social, architectural or scientific value. These remains
28 consist of buildings and machinery, workshops, mills and factories, mines and sites for
29 processing and refining, warehouses and stores, places where energy is generated,
30 transmitted and used, transport and all its infrastructure, as well as places used for social
31 activities related to industry such as housing, religious worship or education." Within
32 the document, TICCIH develops the general framework for the value assessment of
33 industrial heritage and has emphasizes that:

- 34 • "The industrial heritage is the evidence of activities which had and
35 continue to have profound historical consequences. The motives for
36 protecting the industrial heritage are based on the universal value of this
37 evidence, rather than on the singularity of unique sites.
- 38 • The industrial heritage is of social value as part of the record of the lives of
39 ordinary men and women, and as such it provides an important sense of
40 identity. It is of technological and scientific value in the history of

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10 manufacturing, engineering, construction, and it may have considerable
11 aesthetic value for the quality of its architecture, design or planning.

- 12 • These values are intrinsic to the site itself, its fabric, components,
13 machinery and setting, in the industrial landscape, in written
14 documentation, and also in the intangible records of industry contained in
15 human memories and customs.
- 16 • Rarity, in terms of the survival of particular processes, site typologies or
17 landscapes, adds particular value and should be carefully assessed. Early or
18 pioneering examples are of especial value” (TICCIH, 2003).

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22 ICOMOS (International Council on Monuments and Sites) has adopted the Joint
23 ICOMOS – TICCIH Principles for the Conservation of Industrial Heritage Sites,
24 Structures, Areas and Landscapes, also called "The Dublin Principles." 'The Dublin
25 Principles' document defines industrial heritage as: "sites, structures, complexes, areas
26 and landscapes as well as the related machinery, objects or documents that provide
27 evidence of past or on-going industrial processes of production, the extraction of raw
28 materials, their transformation into goods, and the related energy and transport
29 infrastructures" (Dublin Principles, 2011). Although the definition is similar to that of
30 The Nizhny Tagil Charter, 'The Dublin Principles' document adds the concepts of
31 'sites,' 'landscapes' and 'documents' in the definition. Addition of 'sites' and
32 'landscapes' emphasizes the profound connection between the cultural and natural
33 environment, and the concept of 'documents' underlines the movable and intangible
34 aspects of industrial heritage. Both documents express the requirement for the
35 specification of criteria for the assessment and conservation of various types of
36 industrial heritage.

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48 Industrial heritage is generally categorized and evaluated according to the type
49 of production conducted in the facilities. Michael Falser also utilized such a method in
50 his report "Is Industrial Heritage Under-Represented in the World Heritage List" for
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10 UNESCO World Heritage Centre in 2001. However, specific descriptions and criteria
11 for different types of industries still remain to be developed.
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13 Countries utilize their national charters and legislations for the assessment of
14 hydroelectric plants. For example, in Australia, the criteria used for the assessment of
15 hydroelectric facilities are: ‘historical significance,’ ‘associative significance,’ ‘aesthetic
16 significance,’ ‘social significance,’ ‘research potential,’ ‘rarity,’ ‘representativeness’
17 and ‘integrity’ (NSW 2008).
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22 In the USA, besides the United States National Register Criteria for Evaluation,
23 one of the states (Vermont) has developed a set of ‘value categories’ to better determine
24 the relative importance of one ‘significant’ dam over another ‘significant’ dam. The
25 criteria suggested are:
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- 30 • “How long a dam has been at a particular location;
- 31 • The extent to which a historic environment still exists around a dam site
32 (e.g. buildings or archaeological remains that are part of the original
33 community);
- 34 • The extent to which features directly associated with the historical function
35 of a dam remain present to illustrate what the dam was for and how it
36 worked (e.g. mill buildings, canals, etc.);
- 37 • Intrinsic physical characteristics of a dam as it exists today to determine
38 whether it might represent a particularly unusual type of dam or might be
39 important in the history of dams and engineering;
- 40 • The age of an existing dam in relation to the time period it was built (e.g.
41 the earlier a dam was built within the period of that type of construction
42 may have greater value);
- 43 • The extent to which a dam possesses historical integrity – meaning the
44 degree to which the original design, workmanship and material of the dam
45 remains” (McClain, Lindloff, and Baer 2008).
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51 Within the study, the assessment criteria for industrial heritage are investigated over
52 hydroelectric plants in the context of the theoretical framework explained above. It is
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10 culturally important to preserve these structures, as proofs of extraordinary human
11 intellectual power, which have witnessed a breakthrough in the history of technology.
12 Moreover, the specification of criteria for hydroelectric plants is crucial also for safety
13 reasons, because inappropriately designed or poorly maintained plants and dams create
14 a major threat for nature and human life. Therefore, the criteria have been specified as a
15 result of consultations with civil engineers, electrical engineers, geologists, hydrologists
16 and ecologists in correspondence with principles of an interdisciplinary study. In the
17 context of this study, the criteria for the assessment of hydroelectric plants and dams
18 have been specified as:
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26 • **Age value and historic value:** Dams and hydroelectric plants which have
27 become integrated with their surroundings after a long period of existing in their
28 landscapes have gained cultural value. They have transformed the nature into
29 industrial landscapes, while creating social communities. They represent a major
30 breakthrough in the history of the interaction between humankind and nature.
31 Moreover, hydropower facilities as witnesses and evidences regarding the
32 processes of energy politics in each country, along with cases which are related
33 with significant events and people in history should be considered in this
34 category.
35
36 • **Technological value:** Hydropower facilities of the 20th century are reflections
37 of changes in technology. Along with the developments in construction
38 technologies and techniques in energy production, they represent the processes
39 of human intelligence and power. Besides the construction of dams which were
40 products of the high-technology in the civil engineering of the period, elements
41 and machinery which are currently dysfunctional because of the changing
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10 technologies in modern plants are important for educational purposes of
11 technological history.

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13 • **Architectural / artistic value:** Hydroelectric plants presenting special design
14 properties and facilities which include products of fine arts (frescoes, paintings,
15 sculptures etc.) are examined in this category, along with plants that reflect the
16 significant design techniques and architectural styles of the past.
- 17
18 • **Integrity:** As a result of the developing technology throughout the life-span of
19 facilities, one of the major problems in the conservation of industrial heritage is
20 the loss of physical and functional integrity through the change and upgrade of
21 elements and machinery. In case of hydroelectric plants, constructive elements
22 such as dams, intake structures, water transfer facilities and outlets are usually
23 preserved, since they are difficult and expensive to remove. However,
24 machinery such as turbines, generators, alternators and control room devices are
25 significant in terms of the authentic physical and functional integrity of the
26 facilities.
- 27
28 • **Social and economic value:** Hydroelectric plants which have created
29 communities and enriched social life by providing employment are important in
30 terms of memories and attachment to the facilities. They present an identity by
31 reflecting the lives of ordinary people, the workers of the past. The continuation
32 in the function of the plant and/or the presence of the social community, socially
33 and economically, enhances and assists the preservation of the plant. Besides, in
34 many cases, reservoirs created by hydroelectric plants constitute recreational
35 areas for fishing and touristic attractions as contemporary social and economic
36 value.

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- **Environmental and/or structural safety:** In some cases the presence of a dam creates a threat for the environment. The removal of dams altering natural habitats and causing the extinction of species is a controversial issue on the agenda. Moreover, inadequately designed dams or facilities deteriorated as a result of inappropriate monitoring cause catastrophes resulting in the loss of lives. Under specific conditions where hydroelectric plants irreversibly damage natural habitats or in case of deteriorated dams creating risks of failure and collapse, the removal of the facilities are required. The decision regarding the removal of hydroelectric plants should be made through an extensive interdisciplinary inspection and investigation with the collaboration of related experts. Regarding the facilities which are decided to be preserved, measures should be taken; periodic maintenance and monitoring should be provided for environmental and structural safety.
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4. Guideline and flowchart for the conservation of hydroelectric plants:

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Deriving from the principles suggested in the Nizhny Tagil Charter and Dublin Principles, a general framework of the guidelines is prepared for the conservation of hydroelectric plants. The guideline is designed as a flowchart with basic principles included (Figure 6). The flowchart starts with the identification of the plant as industrial heritage, and continues with yes/no questions to determine the structural and environmental status of the facility. After the decision for the conservation / preservation of the site, the flowchart continues in order to determine the function or possible adaptive re-use of the plant, and assists in the management of the plant by referring to fundamental principles. The flowchart is an easy tool to apply, since it develops the general framework; however, in case of an intervention to a plant, it is

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10 highly critical that the utilization of the flowchart should be confirmed with an
11 extensive and detailed interdisciplinary study of the hydroelectric plant and a thorough
12 evaluation of the principles stated below:
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- 15 • Hydroelectric plants with cultural values should be identified and recorded;
16 easily searchable and freely accessible inventories should be created for the
17 interest of researchers. It is important to create a database of the inventory and to
18 keep it up to date by periodically including the contemporary physical properties
19 and conditions of each facility. The inventory and database should include
20 descriptions, drawings, photographs and movies of the plants, along with the
21 recordings of the communities' and people's memories related with the
22 facilities.
23
- 24 • A thorough investigation should be conducted about the original structure and
25 all former components and machinery. Since most of the structural components
26 of hydroelectric plants – such as dams, intake structures, power tunnels, etc. –
27 are buried in nature, upgrading and removal of elements of these facilities are
28 rarely observed. However, machinery in the power houses, such as turbines,
29 generators, alternators or switches, might have been upgraded in the course of
30 time, or the power houses might have been enlarged for the addition of new
31 turbines with the increasing demand for energy. It is required to investigate the
32 processes throughout history and to document them for new generations with
33 utmost elaboration.
34
- 35 • The physical and functional integrity of the hydroelectric plant should be
36 preserved, and the plant – including all the machinery and components –
37 should be conserved in situ. Hydroelectric facilities are industrial sites anchored
38 in their natural surroundings, creating a new artificial nature in the landscape.
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10 Therefore, evidently it is not possible to recreate the industrial site at any other
11 location. The crucial point here is the in situ preservation of the integrity. The
12 machinery and elements of the plant are distinctive components of integrity, and
13 they should be conserved and preserved in their authentic places in the pattern of
14 circulation and activity.

- 15 • Continuation of the original function is important in terms of technological and
16 socio-economic sustainability. Since the demand for energy still continues to
17 increase, hydroelectric plants, constructed as major investments of technology,
18 should be sustained as long as possible with their original functions. It should be
19 a priority to keep the people employed in these facilities and communities who
20 have connected with the plants and have memories at the site.
- 21 • Adaptation and re-use could be an appropriate intervention only in cases when
22 the original function is not possible to sustain. Adaptive re-use can help
23 economic regeneration in decayed or declining sites. Moreover, re-functioning
24 could be essential in such cases, because abandoned, unattended, unmonitored
25 plants constitute major threats for the environment and removing them is more
26 costly and technically more difficult than re-functioning.

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40 In case of re-functioning, the integrity of the whole site must be considered for the new
41 function. Adaptive re-use of power houses is comparatively easy when compared to
42 dams, since power houses are merely shells covering the machinery for the production
43 of electricity. However, dams — as the primary constructive element of hydroelectric
44 plants— — are more difficult to re-function, because of their locations in nature and their
45 variable forms and geometries. The unique geometry, type and location of each dam
46 require a distinct design for the new use, and it is possible to develop creative designs
47 using the slope or the curve of the structure.

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10 In case of adaptive re-use, there are certain issues to consider in the selection
11 and organization of the new function of a hydroelectric plant:
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14 • As stated in the Nizhny Tagil Charter: “New uses should respect the significant
15 material and maintain original patterns of circulation and activity, and should be
16 compatible as much as possible with the original or principal use” (TICCIH
17 2003). It is not acceptable to remove the authentic elements and machinery or to
18 interrupt the integrity of the site in order to meet the requirements of the new
19 function. Functions related with the original purpose of energy production and
20 contributing to education and culture should be prioritized. In this context, initial
21 options might be energy museums, training /recreation camps for
22 employees/workers in the production of electricity, departments of technical
23 schools or universities related to electricity production, etc. On the other hand, --
24 -- provided that the integrity and the authenticity of the space is respected-- --
25 exhibition halls, in-door and out-door art galleries, performance centres and
26 other creative hubs which require large spaces and possess spatial flexibility are
27 among possible suggestions for the re-use of hydroelectric facilities.
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- 30 • Elements and components of the hydroelectric plant may contain patina. Patina
31 is integral to the cultural property, and it should not be removed. Health and
32 safety measures should be taken, and the new function should be arranged and
33 organized respecting the elements, components and machinery with patina.
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- 35 • Interventions should be reversible and should have a minimum impact on the
36 heritage. Any unavoidable intervention should be elaborately documented, and
37 any authentic parts or machineries which are removed for extraordinary reasons
38 should be stored safely; they should be kept open to the public either at or near
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10 the site. Informative panels describing the features and the history of the plant
11 should be presented at the site.

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13 • Interest and involvement of public is one of the driving forces in the
14 conservation of industrial heritage. Therefore, organizing events, exhibitions and
15 different forms of communications on TV, Internet and other media, providing
16 managed public access to energy facilities and promoting tourism in industrial
17 sites by creating connections with cultural and natural touristic routes are
18 methods which can be utilized.
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25 **5. Case studies of dams and hydroelectric plants in Italy:**

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27 As huge structures of engineering grow older, it is required for new generations to find
28 solutions for safety and preservation. The issue of conservation and maintenance of
29 ageing infrastructures such as roads, highways, bridges and dams have gained crucial
30 importance in Italy, especially after the collapse of the Morandin Bridge in Genoa on
31 August 14, 2018. According to a report published in 2018, there are 533 large dams
32 (more than 15 m high or with a reservoir exceeding 1000000m³) in Italy by March 2018
33 (Facchini 2018). It is also reported that 382 of these dams are currently active; 32 are in
34 limited operation for technical reasons; 81 in experimental operation; 11 under
35 construction; 27 out of operation. 61% of the dams are utilized for hydroelectric
36 purposes. Within the report, the average age of the current dams in Italy is calculated to
37 be 62, which means that a great majority of the present dams are structures of the past
38 century in need of extensive care.
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48 The initial hydropower facilities in the Italian landscape have been consolidated
49 through a long time, and their impact on the environment has already been
50 acknowledged, with new values being currently assigned to these places. These are
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10 values are related to historical, technological, artistic / architectural, economic, social
11 and environmental knowledge and awareness. Although initially the debate on
12 hydropower landscapes was subject to the strong opposition condemning the role of
13 industry in the destruction of the Italian natural landscape, it has come to a general
14 conclusion that historical facilities of hydropower-- -- where the artificial nature and
15 architecture become components of evidence for cultural development with their
16 striking effects on the environment-- -- also offer a territory of protection in terms of the
17 knowledge of science and technology (Bossu 2015). Contemporarily, bicycle and
18 walking routes and paths are created along water canals; historical power houses are
19 being converted to cultural spaces; 'open-plants days' are organized for free visits to
20 hydropower facilities; videos, photographs of hydroelectric systems are exhibited;
21 books and other written documents are being published for the interest of the public.

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31 In Italy, the pioneering publications regarding the issue are "Le Dighe di
32 Ritenuta degli Impianti Idroelettrici Italiani," published in 1961 by ANIDEL
33 (Associazione Nazionale Imprese Produttrici e Distributrici di Energia Elettrica /
34 National Association of Producers and Distributors of Electricity) and "Le Dighe di
35 Ritenuta degli Impianti Idroelettrici Italiani / Dighe Appartenti all'Enel di Costruzione
36 Posteriore al 1953," published in 1974 by ENEL (Ente Nazionale per l'Energia
37 Elettrica). Within the 7 volumes of the book, ANIDEL gives a detailed documentation
38 of the dams in Italy by the end of 1959. ENEL (an Italian energy company) continues
39 the documentation with 6 volumes in 1974, introducing the technical features of the 70
40 facilities in its property. Another rich source of data is the web-site "Progetto Dighe
41 (www.progettodighe.it)" where researchers have joined to share information on Italian
42 dams.
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In the broader context of the study, numerous examples of hydroelectric plants from different countries of the world are examined, a database of all the Italian dams introduced in the above-mentioned sources is prepared. From within the database, representative case studies in the Italian Alps are selected to be presented in the article. In the region, rivers run in deep, narrow valleys, and the hydropower facilities are interconnected with each other, creating ‘hydroelectric systems.’ Since they comprise case studies of different periods, presenting a variety of construction techniques and a diversity of functions, The Hydroelectric System of the Upper Chiese River (Ponte Murandin Dam, Boazzo Dam, Bissina Dam, Boazzo Power House, Cimego Power House), The Hydroelectric System of Piave-Boite-Vajont (Pieve di Cadore Dam, Valle di Cadore Dam, Val Gallina Dam, Vajont Dam, Achille Gaggia / Soverzene Power House, Perarolo Power House) and The Hydroelectric System of the Middle and Lower Cellina (Barcis Dam, Cellina / Old Barcis Dam, Barcis Power House, Antonio Pitter / Malnisio Plant) are selected as the main routes of the study. However, additional case studies in the vicinity are also included in order to enrich the research with various interesting cases of hydroelectricity (Fies Plant, Taccani Plant).

5.1. Technical features of the case studies:

Although the facilities of energy production are interconnected as hydro-electrical systems, the elements of dams and power houses possess different characteristics. Therefore, the case studies are categorized as dams and powerhouses with special emphasis on their interconnections. In the broader context of the study, other elements such as intake structures, outlets and water transfer facilities are also investigated, but dams and power houses ~~as more distinctive elements of hydroelectric plants~~ are examined in the article. Dams are examined in terms of their construction periods,

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10 types, geometrical and geographical features. Photographs taken during the construction
11 of each dam is provided from ANIDEL and ENEL and they are presented at Table 1
12 along with the current photographs taken by the authors in 2019.

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15 In the documentation of ANIDEL (1961), it is reported that among the total of
16 348 dams in Italy in 1959, 213 are higher than 30 m. Out of the 213 dams, 167 were
17 being utilized for hydroelectric purposes; 21 for multiple purposes including
18 hydroelectricity; 25 for other purposes such as irrigation, flood prevention and the
19 supply of drinking water. Regarding the construction techniques of the 213 dams, it is
20 reported that 31 were gravity dams in masonry; 58 in concrete gravity; 21 in cellular
21 gravity; 7 with multiple arches or buttresses; 22 in arch-gravity; 53 arched; 6 in dry
22 stone masonry; 2 in rock-fill; 10 in earth-fill and 3 in concrete blocks (ANIDEL, 1961).
23 Within the 213 dams, 4 were constructed before 1889, 1 was constructed in the 1890s; 4
24 were constructed in the 1900s; 9 were constructed in the 1910s; 41 were constructed in
25 the 1920s; 34 were constructed in the 1930s; 23 were constructed in the 1940s; 97 were
26 constructed in the 1950s.

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29 In the context of the article, all of the case studies of dams for hydroelectric
30 purposes have crest heights over 30 m. 1 case study (Old Barcis / Cellina) is a gravity
31 dam in masonry, 2 case studies (Boazzo and Bissina) are cellular gravity dams, 2 case
32 studies (Ponte Murandin and Pieve di Cadore) in arch-gravity, 4 case studies (Barcis,
33 Val Gallina, Valle di Cadore and Vajont) are concrete double-curvature dams. 1 of the
34 dams (Old Barcis / Cellina) was constructed in the 1900s, whereas the construction of 3
35 dams (Valle di Cadore, Pieve di Cadore and Val Gallina) started in the 1940s and 5
36 dams (Ponte Murandin, Boazzo, Bissina, Barcis and Vajont) were constructed in the
37 1950s.

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Hydroelectric plants in Italy before the 20th century were basically improved versions of old mills, in the sense that they depended on the natural course of water. They would utilize the power of water and release it to the natural stream. However, by the 20th century, increasing demand for energy required more complex facilities. Seasonal fluctuations in river courses and storage of energy were crucial problems to overcome. Therefore, large reservoirs were created at high altitudes with new plants radically altering the geography of landscapes. At the beginning of the 20th century, the power houses usually consisted of two main bodies: the engine room and the transformers cabin (Menini 2013). The engine room is a large hall where the turbines are installed. The power tunnels from the slope of the mountain reach the basement of the engine room to feed the turbines. The surrounding space in the engine room, also housing a bridge crane, is generally bright with several windows. The transformers cabin is a unit ~~usually consisting of two floors~~ adjacent to the engine room. Heavy transformers were installed on the ground floor, whereas the upper floor was occupied by switches, lines and other devices for energy distribution. After the Second World War, hydroelectric plants underwent a radical change. The power houses started to be constructed in caves within the bodies of mountains. Transformers continued to be installed in the buildings, but the network of switches have become so complicated that they had to be placed outside the buildings to create the switchyards (Menini 2013).

Case studies of power houses are examined in terms of their construction periods, machinery and other particular features, and they are presented at Table 2 along with their current photographs taken by the authors in 2019. 3 of the plants (Taccani, Antonio Pitter and Fies) were built in the 1900s, Perarolo Plant was constructed in 1949, and the rest of the power houses (Cimego, Boazzo, Achille Gaggia and Barcis)

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10 were built in the 1950s. Achille Gaggia and Barcis powerhouses were constructed
11 within caves.
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13 14 **5.2. Assessment of the case studies in terms of industrial heritage criteria:**

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16 The selected case studies are assessed in terms of the criteria of industrial heritage
17 defined within the study; a mapping of the assessment -- depicting the superposition of
18 criteria-- and a table defining the assessment of each case study is presented in Figure
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22 7. The assessment of each criterion includes varying aspects related to the diversity of
23 case studies. All of the selected examples have age value / historical value, and
24 technological value, since they are the initial criteria used for the selection of case
25 studies. Within the seventeen cases, 7 examples (41%) have an exceptional architectural
26 / artistic value; 15 cases (88%) still possess integrity; 15 of them (88%) currently
27 present a social/economic value; through an external observation, 14 of them (82%)
28 appear to be structurally and environmentally safe. The three case studies, which appear
29 to be lacking safety, are also missing integrity and social/economic value since they are
30 out of use --except for Vajont Dam with a specific social value which will be explained
31 in the following paragraphs. The percentages of the results verify that the functioning
32 or re-functioning of hydroelectric plants also supports the sustainability of cultural
33 features of industrial heritage.
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- 36 • **Age value / historical value:** All of the selected case studies have age value,
37 since they have all been constructed more than 50 years ago, and they have been
38 integrated with their environment to create new surroundings of the past. Each
39 example represents the interrelations of humankind and nature in the 20th
40 century, signifying a breakthrough in engineering technology. Among the case
41 studies, Vajont Dam has an extraordinary historical significance, because of a
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10 disaster on 9 October 1963. Construction of the dam and the reservoir resulted in
11 the weakening of the land surrounding the reservoir basin, and a massive
12 landslide occurred causing a giant wave which overtopped the dam, destroying
13 several villages and resulting in 1917 deaths. Because of its relationship with the
14 significant event in history, Vajont Dam has a tragic but special value in terms
15 of historical assessment.
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- 20 • **Technological value:** All of the examined case studies have technological
21 value, because they are pioneering examples of civil engineering in the periods
22 they were constructed. The struggle of humankind, for the production of energy,
23 resulted in innovative technologies for the construction of dams to contain and
24 capture tons of water. Especially Boazzo Dam and Bissina Dam are significant
25 because of their special construction technique developed by their designer,
26 Claudio Marcello. They were constructed in a cellular gravity method – which is
27 also called ‘Marcello type’ (–derived from the name of the engineer Claudio
28 Marcello) (Figure 8). Case studies also include power houses which possess
29 technological value because of the authentic machinery installed in them.
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- 38 • **Architectural / artistic value:** Hydroelectric plants designed in the early
39 decades of the 20th century, generally followed the styles of the past in a
40 traditional, monumental character (Menini 2013). Taccani, Fies and Antonio
41 Pitter plants, virtually resembling historic castles, are examples of the earlier
42 plants designed in a traditional approach. However, after the Second World War,
43 a revolution has taken place in the design of the plants, completely changing the
44 image and the configuration of the power houses. Rather than establishing
45 continuity with the landscape and pursuing a connection with the traditional
46 styles, the new plants of the 20th century, became contemporary structures
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10 symbolizing the intervention of humankind transforming the territory, and the
11 power houses, after the Second World War, such as Achille Gaggia and Barcis
12 power houses, were built in caves inside the mountains. These plants (Taccani,
13 Fies, Antonio Pitter, Achille Gaggia and Barcis Plants), each representing the
14 architectural styles of their period, have architectural values. Another
15 exceptional case of architectural / artistic value is the vault of the engine room in
16 Achille Gaggia Power House which has frescoes by Walter Resentara, on the
17 theme of electricity. Boazzo Dam and Bissina Dam are also specified to have
18 architectural value because of their special design by Claudio Marcello –as
19 explained before.

- 20 • **Integrity:** The criterion of integrity signifies structural integrity as well as
21 integrity of the industrial complex with all the authentic elements and
22 machinery. Most of the case studies have structural integrity except for the two
23 cases of Vajont Dam and Perarolo Power House. Perarolo Power House was
24 constructed in 1949 in order to produce energy utilizing Valle di Cadore Dam
25 and the related facilities; however, Perarolo Power House was abandoned, only a
26 few years after its construction, with the construction of Achille Gaggia
27 (Soverzene) Plant in 1951. Currently, the Perarolo Power House is in poor
28 structural condition, without any machinery or any function. As explained
29 before, Vajont Dam has caused a disaster, flooding several settlements in 1963.
30 The basin was filled with the material accumulated as a result of the massive
31 landslide, and the flooding water has overtopped the dam; however, after the
32 great disaster, the dam is still intact at the site. Nevertheless, the river does not
33 run by the dam and the reservoir does not exist anymore. Therefore, Vajont Dam
34 lacks contextual integrity (Figure 9). The aspect of integrity is also questionable

Commented [a18]: An image depicting the insertion of the dam in the landscape context was asked by Reviewer 1. Aerial views of Vajont Dam and Barcis Dam (from Google Earth) were combined as Figure 9. This figure is newly added.

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10 in the case studies of Antonio Pitter and Fies Plants. Antonio Pitter Power House
11 was closed in 1988, and it remained out of service for many years until 2006,
12 when it was restored and reopened as a museum of hydroelectricity. Currently,
13 the plant is intact and although it does not produce energy anymore, in the
14 engine room it houses many authentic turbines along with various kinds of
15 machinery brought from other plants. Similarly, Fies Plant was closed in 1961,
16 and it was abandoned for many years, until its restoration at the beginning of
17 2000s as a centre for contemporary art. A relatively small part of the plant still
18 functions for energy production, but most of the components and machinery in
19 the art galleries had been lost during the years of abandonment. Despite the
20 partial loss of integrity, adaptive reuses of the two plants have enabled the
21 sustainability of the plants without any further damage.
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31 • **Social and economic value:** Hydroelectric plants of the 20th century have
32 created a major social and economic impact in their neighbourhoods. This is
33 confirmed by events such as exhibitions, meetings and movies prepared about
34 various facilities of hydroelectricity in Italy. For example 5 of the case studies
35 (at the Upper Chiese System) in Trentino have been the subject of cultural
36 events in the recent past: a documentary movie called “Gli Uomini della Luce:
37 Storie di Centrali Idroelettriche in Trentino [The Men of the Light: History of
38 Hydroelectric Plants in Trentino” was made by Katia Bernardi in 2011, and in
39 February 2018, an exhibition was held in Trentino about the history of the main
40 hydroelectric plants of the region. Such events should be recorded and
41 documented for public share; otherwise, it is difficult to reach their contents
42 after the activity is over. The most apparent aspect of social and economic value
43 is the continuation of function. Most of the case studies are still active in energy
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10 production, generating a socio-economic value. Among the case studies,
11 Antonio Pitter and Fies Plants represent special cases, since they generate a new
12 social environment as a result of their current new functions. Taccani Plant is
13 also exceptional as a case study because of its relationship with Crespi d'Adda
14 (registered in the World Heritage List of UNESCO). However, two case studies,
15 Perarolo power house and Cellina Dam, have lost their functions and they
16 remain obsolete at their sites.

- 22 • **Environmental and/or structural safety:** In Italy, there are not many cases
23 where environmental concerns contradict the presence of hydroelectric facilities;
24 therefore none of the case studies appears to be a threat on the environment.
25 Most of the case studies are still active and they are monitored and managed for
26 structural deficiencies. However, extensive and periodic inspections should be
27 constituted for the structural safety of Cellina Dam, Perarolo power house and
28 Vajont Dam which are currently inactive. The assessments of structural safety of
29 all case studies were realized only through an external observation; therefore,
30 they are not valid for any further decision or intervention; extensive and detailed
31 inspection of each hydroelectric plant is required for any further action.

41 *5.3. Current statuses of the case studies and application of the flowchart:*

42 Considering the heritage assessment, the flowchart is applied for each case study, with a
43 purpose of determining its current status and potentialities for its conservation (Figure
44 910). All of the 17 case studies have the properties of cultural heritage, as explained
45 before. Regarding the question of structural safety, 14 of the case studies appear to be
46 structurally safe as they are currently being managed and maintained. However, for the
47 cases of Vajont Dam, Cellina Dam and Perarolo Power House, which are abandoned

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and out of function, structural safety is an issue to be examined in detail with the consultancy of engineers. Therefore, they are currently in the second step of the flowchart. After extensive studies on structural safety, it should be decided whether to remove the structures or to preserve and re-function them, and the proceeding steps of the flowchart should be implemented accordingly. However, among these cases, Vajont Dam presents an extraordinary importance. Because of its historical value associated with the disaster and the loss of lives, beyond its presence and sustainability as a power plant, the preservation of Vajont Dam should also regard the memories of people, and the structure should be preserved as a memorial site.

Since none of the case studies create an environmental threat, 14 of the case studies are subject to conservation and preservation procedures. Antonio Pitter Power House had lost its original function in 1988, and after years of abandonment it was not possible to use the power house with its original function. Therefore, a new function had to be assigned for the plant which is located near Montereale Valcellina. It was decided to re-function the power house as a museum of hydroelectricity, which also exhibits the original elements of various hydroelectric plants in the region. The museum is on a bicycle / walking route along the canal, and it attracts the attention of people in the region, especially with the Malnisio Science Festival organized every year. Although the plant is not currently functioning for energy production, the intervention for the new function is noteworthy because the original machinery is exhibited in the engine room, where it belongs, and all the spaces of the building are legible in correspondence with the original configuration.

Centrale Fies was closed in 1961, and most of the machinery was lost during the years of abandonment. However, it was possible to produce electricity in a small part of the plant. Cultural events started in the region with Drolesera Festival in the 1980s, and

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10 cultural events played a major role in the evolution of the site to a contemporary art
11 center at the beginning of 2000s. The plant is situated near Dro, a town near Sarca
12 Valley, and it attracts important artists from different parts of the world. Within the site,
13 accommodation facilities are present for artists and curators of the exhibitions.
14 Although they occupy the same building, the power generating part and the exhibition
15 halls function independently. In the exhibition halls, it is possible to observe the
16 locations of the technical elements which are not present anymore.

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22 Bissina Dam still functions for containing water in Lago di Malga Bissina and
23 Pieve di Cadore Dam continues to hold back the waters of Lago di Cadore; however,
24 their function is not limited to hydroelectrical purposes. They also represent interesting
25 examples in terms of an alternative approach to dams. Bissina Dam has been the
26 location of an international climbing competition organized every year between 2001
27 and 2013. There are two routes for the competitors of “Speed Rock,” to climb the 84
28 meter high dam. The organization attracted interest of athletes from different countries
29 of the world, and resulted in some camping and recreational facilities in the vicinity.
30 Although the dam is far from city centres, it is possible to reach the site by car or by
31 bicycling in the impressive nature of the Alps. Pieve di Cadore Dam also hosts climbing
32 activities since 2002.

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41 10 of the case studies (Boazzo Dam, Ponte Murandin Dam, Val Gallina Dam,
42 Valle di Cadore Dam, Barcis Dam, Cimego Powerhouse, Boazzo Powerhouse, Barcis
43 Powerhouse, Achille Gaggia Powerhouse and Taccani Plant) still function with their
44 original purposes. Among these case studies, Taccani Plant ~~—~~ because it is related with
45 a site registered in the World Heritage List (Crespi d’Adda) ~~—~~ is special, and it attracts
46 attention of school groups and enthusiasts of industrial heritage and nature. For the case
47 studies sustaining their original function, periodical maintenance and monitoring of the
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10 site is an essential requirement. It is important to document and record the status of the
11 plant periodically in order to create an accessible inventory and it is also suggested to
12 develop methods to create and support public awareness regarding the cultural features
13 of the plant as industrial heritage.
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18 **6. Conclusion:**

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20 The competent management of cultural heritage requires thorough knowledge and
21 evaluation of the subject, causality— with a strong theoretical background and a target-
22 oriented assessment perspective—, and a methodological and applicable approach of
23 intervention. As emphasized within ‘the 7 Theses of Monuments’ of the Municipal
24 Building Commission of Utrecht, “respecting cultural history is not possible without
25 public support” and “losing the historical context is generally not due to unwillingness,
26 but rather to a lack of knowledge” (Hain, Löffler and Zajicek 2016). Deriving from this
27 perspective, the article discusses hydroelectric plants of the 20th century as industrial
28 heritage, introducing their significant features and properties; investigates criteria for
29 the heritage assessment of these facilities; and develops a guideline for their processes
30 of conservation and/or restoration. The study is structured in a comprehensive and clear
31 methodology, aiming not only specialists of the field – but also the public – as a target
32 group, in order to develop public awareness. Through the investigation of criteria and
33 the guidelines, it is expected to build knowledge regarding hydroelectric plants as
34 industrial heritage for the use of experts and specialists (architects, engineers,
35 ecologists, etc.), local authorities and investors and to develop a sound basis for the
36 consideration and inclusion of these facilities in the legal framework of conservation in
37 each locality. In the initial and broader context of the study, the criteria and principles
38 were developed through the examination of numerous examples from different parts of
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Commented [a22]: A study of ‘the 7 Theses of Monuments’ is suggested by Reviewer 2. A direct reference (a detailed documentation of the original work) could not be provided. Therefore an article by Vladimir Hain et al is presented here as a secondary reference.

Commented [a23]: Specification of the target group was asked by Reviewer 2.
Enhancement of the accuracy of the proposal was suggested by Reviewer 3 in order to improve the conclusion.

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10 the world; however, for a more consistent focus, the case studies have been limited to
11 17 examples in Northern Italy. In order to inspect their validity and applicability, the
12 assessment criteria and the guidelines have been applied for the 17 case studies.
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15 Since the article solely aims to constitute a general framework, examinations of
16 all the case studies are based on external observations and on-site investigations.
17 However, each case of heritage management requires a specific and detailed study of
18 the subject. Therefore, neither the criteria specified, nor the flowchart present absolute
19 and conclusive results about the case studies. They are merely proposed as applicable
20 tools of an approach to industrial heritage, aiming to constitute the initial steps of more
21 extensive and detailed interdisciplinary studies on the preservation and conservation of
22 each specific hydroelectric plant.
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29 Both the assessment criteria and the flowchart are valid only for the period when
30 they are applied for each case study. In case of passing time or changing conditions,
31 they should be re-applied for the new circumstances. For example, if a currently active
32 hydroelectric plant deteriorates, loses its function, integrity or structural stability, the
33 assessment should be started from the beginning, and each step should be followed
34 accordingly with the consultancies of experts in an interdisciplinary context.
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39 Within this study, realized in 2019, it is observed that almost all of the case
40 studies which have lost their original functions are facilities dating back to the early
41 decades of the 20th century (Antonio Pitter Plant, Cellina Dam, Fies Plant). Plants
42 constructed in mid-century are still active, continuing to produce energy (except for the
43 extraordinary cases of Vajont Dam and Perarolo Powerhouse). However, it is
44 predictable that in the near future, as a natural result of ageing and decay, the plants of
45 the mid-20th century will also be requiring emergent strategies for their conservation.
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10 Therefore, the study aims to serve as an initial model for further studies on the
11 preservation, conservation and restoration of these facilities.
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14 Technological Research Council of Turkey (TÜBİTAK) under Grant 2219 (International
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16

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Figure 1. The spillway of Val Gallina Dam (photo taken by the authors on 11.08.2019).

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Figure 2. Intake structure of Barcis Dam (photo taken by the authors on 11.08.2019).

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Figure 3. The dam and the intake structure of Valle di Cadore (photo taken by the authors on 11.08.2019).

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Figure 4. The dam and the intake structure of Pieve di Cadore (photo taken by the authors on 11.08.2019).

150x66mm (300 x 300 DPI)



Figure 5. The double-curvature dam of Barcis (photo taken by the authors on 11.08.2019).

150x172mm (300 x 300 DPI)

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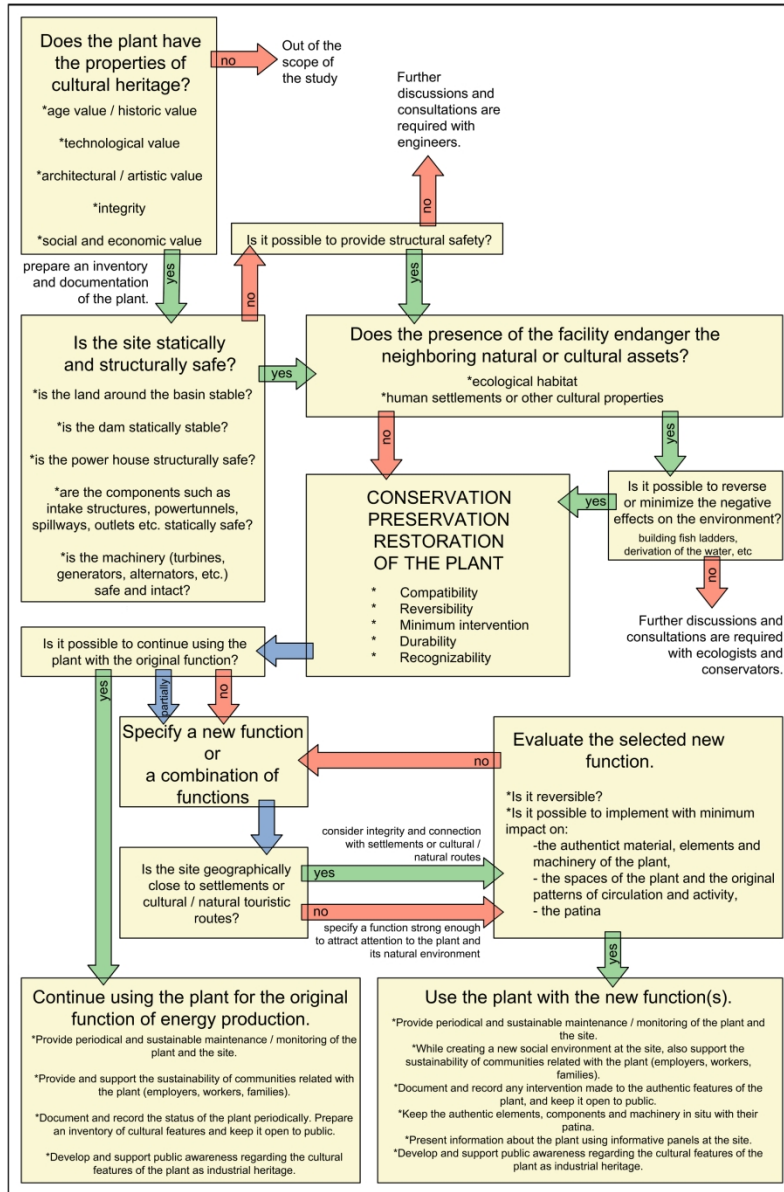
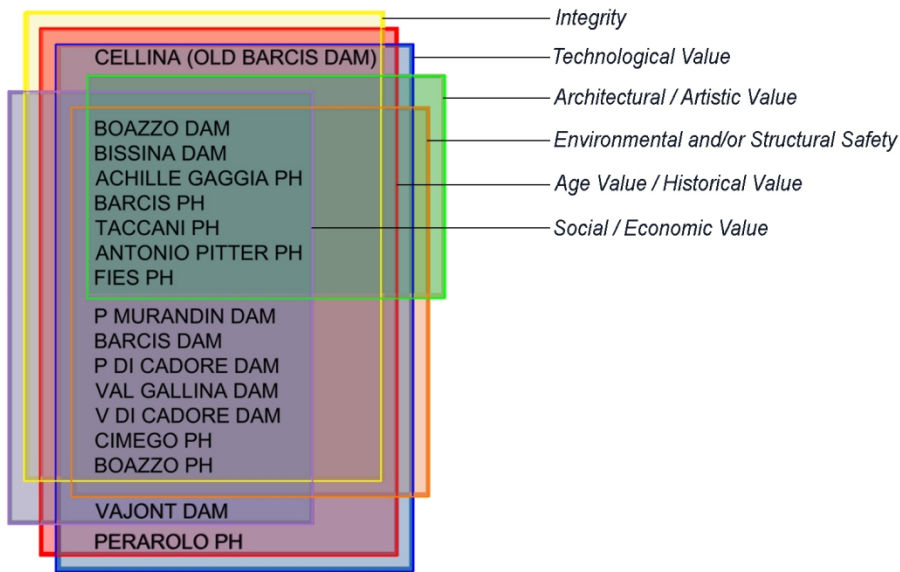


Figure 6. Flowchart for the preservation/conservation and restoration of hydroelectric plants.

182x274mm (300 x 300 DPI)

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CASE STUDY	Age value / historical value	Technological value	Architectural / artistic value	Integrity	Social / economic value	Environmental or structural safety
PONTE MURANDIN DAM	X	X		X	X	X
BOAZZO DAM	X	X	X	X	X	X
BISSINA DAM		X	X	X	X	X
BARCIS DAM	X	X		X	X	X
CELLINA DAM (OLD BARCIS)	X	X		X		
PIEVE DI CADORE DAM	X	X		X	X	X
VAL GALLINA DAM	X	X		X	X	X
VALLE DI CADORE DAM	X	X		X	X	X
VAJONT DAM	X	X			X	

CASE STUDY	Age value / historical value	Technological value	Architectural / artistic value	Integrity	Social / economic value	Environmental or structural safety
CIMEGO POWERHOUSE	X	X		X	X	X
BOAZZO POWERHOUSE	X	X		X	X	X
ACHILLE GAGGIA POWERHOUSE	X	X	X	X	X	X
BARCIS POWERHOUSE	X	X	X	X	X	X
PERAROLO POWERHOUSE	X	X				
TACCANI POWERHOUSE	X	X	X	X	X	X
ANTONIO PITTER POWERHOUSE	X	X	X	X	X	X
FIES POWERHOUSE	X	X	X	X	X	X

Figure 7. Heritage assessment of the case studies.

142x172mm (300 x 300 DPI)



Figure 8. Cellular gravity dam (Marcello type) of Malga Bissina (photo taken by the authors on 05.07.2019).

150x84mm (300 x 300 DPI)

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Figure 9. Aerial views of Vajont Dam and Barcis Dam (Google Earth).

150x94mm (300 x 300 DPI)

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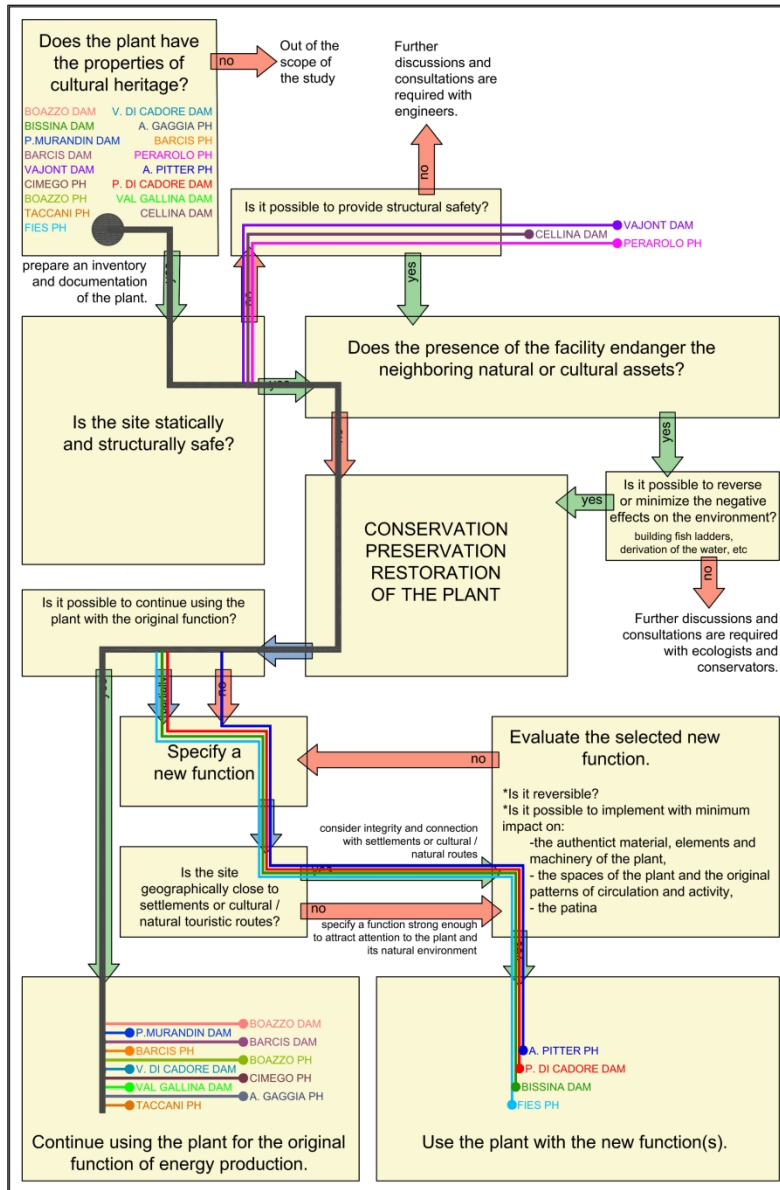


Figure 10. Application of the flowchart for each case study.

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DAMS	Photos at construction (ANIDEL 1961, ENEL 1974)	River and Hydroelectric System	Construction Period	Type	Geometrical & Geographical Features	Current Status (2019)	Current Photo (by the authors) 2019
PONTE MURANDIN		Chiese River Hydroelectric Development of the Upper Chiese River	1954-1956	concrete solid gravity arched in plan	Height of the crest: 31.5 m Length of the crest: 87 m Thickness of the crest: 3.5 m Volume of the dam: 8740 m3 Normal water level: 717 m.a.s.l. Storage capacity: 0.33 million m3 Catchment area: 57.81 km2	Active	
BOAZZO		Chiese River Hydroelectric Development of the Upper Chiese River	1954-1956	concrete / a combination of sections: -in cellular gravity (with hollow elements) and -in solid gravity	Height of the crest: 57.10 m Length of the crest: 439.91 m Volume of the dam: 78539 m3 N. water level: 1224.50 m.a.s.l. Storage capacity: 12.26 million m3 Catchment area: 152.50 km2	Active	
BISSINA		Chiese River Hydroelectric Development of the Upper Chiese River	1955-1957	concrete cellular gravity with hollow elements (Marcello type) with solid gravity buttresses	Height of the crest: 84 m Length of crest: 563.40 m Volume of the dam: 440000 m3 Normal water level: 1788 m.a.s.l. Storage capacity: 60.70 million m3 Catchment area: 75.50 km2	Active Additional sportive activity (Speed rock-climbing)	
BARCIS		Cellina River Hydroelectric Development of the Middle and Lower Cellina	1952-1954	concrete double-curvature arched in plan	Height of the crest: 50.00 m Length of crest: 71.38 m Volume of the dam: 8094 m3 Normal water level: 402 m.a.s.l. Storage capacity: 21.98 million m3 Catchment area: 392 km2	Active	
CELLINA (OLD BARCIS)		Cellina River Hydroelectric Development of the Middle Cellina	1900-1905	stone masonry with cement mortar solid gravity straight in plan	Height of the crest: 33.60 m Length of crest: 41.40 m Volume of the dam: 10700 m3 Normal water level: 342.60 m.a.s.l. Storage capacity: 0.15 million m3 Catchment area: 424 km2	Inactive	
PIEVE DI CADORE		Piave River Hydroelectric Development of Piave-Boite Vajont	1946-1949	concrete solid gravity arched in plan	Height of the crest: 112 m Length of crest: 410 m Volume of the dam: 377000 m3 Normal water level: 683.5 m.a.s.l. Storage capacity: 68.5 million m3 Catchment area: 818.5 km2	Active Additional sportive activity (climbing)	
VAL GALLINA		River: Rio di Val Gallina Hydroelectric Development of Piave-Boite Vajont	1949-1951	concrete double-curvature arched in plan	Height of the crest: 92.37 m Length of crest: 228 m Volume of the dam: 99100 m3 Normal water level: 677 m.a.s.l. Storage capacity: 6.40 million m3 Catchment area: 14.40 km2	Active	
VALLE DI CADORE		River: Boite Hydroelectric Development of Piave-Boite Vajont	1949-1950	concrete double-curvature arched in plan	Height of the crest: 61.25 m Length of crest: 37.5 m Volume of the dam: 4607 m3 Normal water level: 706.5 m.a.s.l. Storage capacity: 4.90 million m3 Catchment area: 380.20 km2	Active	
VAJONT		River: Piave Hydroelectric Development of Piave-Boite Vajont	1956-1961	concrete double-curvature arched in plan	Height of the crest: 261.60 m Length of crest: 190.15 m Volume of the dam: 360000 m3 Normal water level: 579.36 m.a.s.l. Storage capacity: 168.75 million m3	Failed dam / out of active use	

Table 1. Technical features of the case studies – DAMS.

172x194mm (300 x 300 DPI)









POWER HOUSES		Construction Year	Basin and Dam	Machinery	Other Features	Current Status
CIMEGO		1956	Ponte Murandin Boazzo	2 horizontal-axis generator sets (110 MVA each) 2 Pelton turbines (110 MW each - produced in 1953) a central alternator a 12 MVA vertical axis generator a 9.2 MW Francis turbine		Active
BOAZZO		1959	Boazzo	Pelton turbines		Active
ACHILLE GAGGIA		1951	Val Gallina Pieve di Cadore	4 vertical-axis Francis turbines (60 MVA each)	*in cave *design by Giuseppe Mignozzi for the construction part and by Carlo Semenza for the hydraulic part *the vault of the engine room decorated with frescoes on the theme of electricity by Walter Resentara.	Active
BARCIS		1954	Barcis	*2 vertical-axis Kaplan turbines *2 km-long pressure tunnel with diameter of 3.90 m, clad in reinforced concrete	*in cave *near the old dam	Active
PERAROLO		1949	Valle di Cadore Pieve di Cadore		out of service after the construction of Achille Gaggia	Abandoned / out of use
TACCANI		1906	Taccani	*6 turbine / alternator groups (4 propeller turbines and 2 Kaplan turbines) capable of using capacities up to 180 m ³ / sec.	*designer: Gaetano Moretti *was combined with a thermoelectric plant (currently not present) *built to supply energy for Crespi d'Adda (UNESCO Heritage Site)	Active
ANTONIO PITTER		1905	Medata Barcis Ravedis	*4 horizontal-axis Francis turbines	*the engineer Zenari for the hydraulic and civil parts, and the engineer Antonio Pitter for the electromechanical part. *currently houses the old machinery collected from other plants	Out of service since 1988. Museum of Hydroelectricity since June 2006.
FIES		1909	Cavedine	*7 pressure pipes *Francis type turbines *penstock still in use	*engineer Domenico Fogaroli, architect Marco Martinuzzi. *Out of use in 1961 -with the construction of Torbole plant	*Partially in use *Also a center for contemporary art

Table 2. Technical features of the case studies – POWER HOUSES.

172x208mm (300 x 300 DPI)