1 **RESEARCH NOTE**

2 Early detection of SARS-CoV-2 infection cases or outbreaks at nursing homes by

3 targeted wastewater tracking

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23 ABSTRACT

Objectives: Near-source tracking of SARS-CoV-2 RNA in the sewage drains serving particular buildings may allow rapid identification of SARS-CoV-2 infection cases or local outbreaks. In this pilot study, we investigated whether this was the case for nursing homes (NH).

Methods: The study involved five NH (from A to E) affiliated to the Clínico-28 Malvarrosa Health Department, Valencia (Spain). These were nursing or mixed 29 30 nursing/care homes of different sizes, altogether providing care for 472 residents 31 attended by a staff of 309. Near-source sewage samples were screened for presence of 32 SARS-CoV-2 RNA by RT-qPCR at least 5 days per week during the study period. 33 SARS-CoV-2 RNA testing in nasopharyngeal swabs from residents and staff was performed with the TaqPath COVID-19 Combo Kit (Thermo Fisher Scientific, 34 35 Massachusetts, USA).

Results: SARS-CoV-2 RNA was detected in wastewater samples from four of the five NH. SARS-CoV-2 infection cases were documented in three of these four NH. Of the two NH without SARS-CoV-2 infection cases, no SARS-CoV-2 RNA was detected in sewer samples from one facility, while it was repeatedly detected in samples from the other. Presence of SARS-CoV-2 RNA in sewage preceded identification of isolated cases among residents or staff or outbreak declaration in two NH, with lag times ranging from 5 to 19 days.

43 Conclusion: Our study demonstrated that intermittent or persistent detection of SARS44 CoV-2 RNA in NH sewers can provide an early warning of subsequent individual cases
45 or outbreaks in these facilities.

46 **KEYWORDS:** SARS-CoV-2 RNA, Nursing homes, wastewater, near-source tracking,

47 COVID-19 outbreak.

48 INTRODUCTION

49 Nursing homes (NH) have been severely affected by the COVID-19 pandemic, largely 50 due to their congregate nature and the vulnerability of residents [1,2]. Advanced age, 51 frailty and concurrence of underlying chronic health conditions place NH residents at 52 high risk for developing severe forms of COVID-19 and death. In Spain, at least 50% of NH-resident deaths officially reported by the Ministry of Health have been directly or 53 54 indirectly attributed to COVID-19. Early detection of SARS-CoV-2 outbreaks at NH through periodic and systematic RT-PCR screening of residents and personnel has been 55 56 invoked as a seemingly effective strategy to rapidly blunt virus spread in this setting 57 [3,4]. Routine implementation of this approach has encountered many logistical obstacles, however, and to our knowledge its cost-effectiveness has not been 58 59 incontrovertibly proven. Long-lasting virus shedding of SARS-CoV-2 in urine and feces 60 has been documented in both symptomatic and asymptomatic infected adults [5]. As a 61 result, near-source tracking in the sewers serving particular buildings has emerged as an 62 appealing non-invasive tool which when combined with subsequent targeted population 63 screening when SARS-CoV-2 is detected may enable rapid identification and control of 64 facility outbreaks [6,7].

In this pilot study, we provide evidence demonstrating the feasibility and utility of this
wastewater-based epidemiological approach for early identification of isolated cases or
outbreaks of SARS-CoV-2 infection in NH.

68 MATERIAL AND METHODS

69 Nursing homes and sewage sampling

70 This pilot study involved five NH (listed as A to E) facilities located in Northeast 71 Valencia (Spain), affiliated to the Clínico-Malvarrosa Health Department. These were 72 nursing or mixed nursing/care homes of different sizes (Table 1), altogether providing 73 care for 472 residents attended by 309 staff. Selection from among the 17 NH supported by the Clínico-Malvarrosa Health Department was based upon two criteria: (i) existence 74 75 of sewage drain(s) not shared with nearby buildings and (ii) personal autonomy of most 76 residents. NH sewage drain(s) were monitored for presence of SARS-CoV-2 RNA by 77 testing near-source wastewater samples at least 5 days per week from October 7 to 78 December 28, 2020. All except one NH (NHE) had a single drain site. Grab samples 79 were collected on site from water outlets at each facility. At NHE, hierarchical pooling 80 involved testing a combination of multiple samples from different sampling sites. 81 Positive pool samples were deconvoluted and individually tested. All samples were 82 taken early in the morning, collecting 1 L of water in sterile plastic containers with 83 sodium thiosulfate (VWR, USA). Water samples were transferred to the laboratory, refrigerated at 4 °C and concentrated within 24 h. 84

85 SARS-CoV-2 detection and quantitation in sewage samples

86 Sewage water samples were analyzed at Global Omnium laboratory. Samples were 87 concentrated using the aluminum adsorption-precipitation method [8,9]. A final 88 concentrate was then obtained by centrifugation at $1,900 \times g$ for 30 min; the resulting 89 pellet was resuspended in 1 mL of PBS, pH 7.4. Viral extraction from wastewater 90 concentrates was carried out using the NucleoSpin RNA virus Kit (MACHEREY-NAGEL, Germany). SARS-CoV-2 RNA detection was performed by RT-qPCR using 91 92 One Step PrimeScript[™] RT-PCR Kit (Perfect Real Time) (Takara Bio, USA), targeting 93 the nucleoprotein (N), N1 and N2 fragments [10], and envelope protein (E) gene [11]. RNA samples were analyzed in duplicate. Each RT-qPCR run included negative 94

95 (nuclease-free water) and positive controls. RT-qPCR targets were quantified by 96 plotting the quantification cycles (C_T) to an external standard curve built with 10-fold 97 serial dilution of the 2019-nCoV_N_Positive Control and 2019-nCoV_E_Positive 98 Control (IDT). Mengovirus RNA recovery rates were calculated and used as quality 99 assurance parameters according to ISO 15216-1:2017 [12]. Results are reported as 100 genome copies (GC)/L.

101 SARS-CoV-2 testing in residents and staff

102 Nasopharyngeal swabs (NP) for RT-PCR testing were collected by experienced nurses 103 at the NH sites and immediately placed in 3 ml of Universal Transport Medium (UTM, 104 Becton Dickinson, Sparks, MD, USA). RT-qPCRs were conducted within 24 h of 105 specimen collection at the Microbiology Service of Hospital Clínico Universitario 106 (Valencia, Spain) with the TaqPath COVID-19 Combo Kit (Thermo Fisher Scientific, Massachusetts, USA) [13]. RNA was extracted using the Applied Biosystems[™] 107 108 MagMAXTM Viral/Pathogen II Nucleic Acid Isolation Kits coupled with Thermo 109 ScientificTM KingFisher Flex automated instrument (Thermo Fisher Scientific).

110 Ethics statement

Permission to analyze the wastewater was granted by the nursing home operator and local authority responsible for the sewer system. Ethical approval for this study was waived by the Hospital Clínico Universitario INCLIVA Ethics Committee because RT-PCR testing either for diagnosis purposes or surveillance of both nursing home residents and staff are usual practices at health Department Clínico-Malvarrosa, Valencia, Spain.

116 **RESULTS**

SARS-CoV-2 RNA was detected in wastewater samples collected from four out of the
five NH. The dynamics of SARS-CoV-2 RNA detection and viral loads measured at

each NH are shown in Figure 1. SARS-CoV-2 infection cases, either asymptomatic or 119 120 symptomatic, were documented in three of the four NH (Table 1). No cases were 121 identified at NHD within the study period, despite repeated detection of SARS-CoV-2 122 RNA in the sewage drain. Of note, residents and staff at NHD were screened by RT-123 PCR on October 29, twelve days after SARS-CoV-2 was first detected in sewage, all 124 yielding negative results. SARS-CoV-2 RNA was not detected in samples collected 125 from NHC and no cases were documented throughout the follow-up period. The timespan between first SARS-CoV-2 RNA detection in sewage and index case 126 127 diagnosis at each NH is shown in Table 1 (and depicted in Figure 1). Presence of 128 SARS-CoV-2 RNA in sewage preceded identification of isolated cases among residents 129 or staff (in both cases symptomatic) or outbreak declaration in two NH (NHA at during two different time periods, and NHB). Repeated detection of SARS-CoV-2 RNA was 130 131 not documented until after outbreak declaration in the case of NHE (Table 1), although 132 it should be noted that between October 7 and first case detection on October 17 only 133 two of the four sewage drains at NHE had been sampled.

SARS-CoV-2 RNA levels in wastewater samples increased exponentially over the
course of NH outbreaks (NHA and NHE), reaching peak levels above 8.0 log₁₀ GC/L
(Figure 1).

Finally, disappearance of SARS-CoV-2 RNA from sewers was associated with control
of outbreaks or absence of new case documentation following implementation of
adequate measures (isolation of positive case and quarantining of close contacts)
(Figure 1). The SARS-CoV-2 outbreak at NHA is currently still active.

141 **DISCUSSION**

142 Wastewater SARS-CoV-2 RT-PCR testing has emerged as an efficient strategy for 143 epidemiological surveillance of COVID-19, as traces of SARS-CoV-2 RNA frequently 144 predate detection of cases in the community by between 4 and 15 days [8,14-16]. 145 Likewise, tracking SARS-CoV-2 in sewer systems from different facilities (i.e. campus dorms, workplaces, correctional facilities, schools) may allow early documentation of 146 147 SARS-CoV-2 circulation, thus potentially contributing to prompt blunting of viral 148 transmission [6,7]. Results from this study suggested that SARS-CoV-2 RNA surveillance of sewage drains may indeed serve as an early warning system for isolated 149 150 cases or outbreak declaration of SARS-CoV-2 infection in NH. This was found to be the 151 case in NHA and NHB with lag times ranging from 5 to 19 days, and although 152 speculative, could also have been the case in NHE, had we not missed two out of the four sewage drains at NH. Of analogous importance was the fact that SARS-CoV-2 153 154 RNA traces were not detected in NHC, the facility in which no cases were reported 155 within the study period.

An intriguing observation was that repeated detection (at 8 time points) of SARS-CoV-156 157 2 in NHD sewers was neither preceded nor followed by case detection in this facility. Furthermore, all residents and staff at NHD were screened by RT-PCR as part of this 158 159 pilot experiment a few days after first SARS-CoV-2 RNA detection in sewage, all 160 returning negative results. Although a plausible explanation for this was that precautionary measures to avoid virus transmission were maximized following first 161 162 detection of SARS-CoV-2 RNA in this particular facility, and that any infected 163 resident/s or staff member/s may have yielded false-negative RT-PCR results [17], a 164 thorough investigation conducted after systematic screening of NHD population 165 revealed the existence of cross-contamination between sewage drains of this NH and 166 that of an adjacent building, that had gone unnoticed.

- 167 On the other hand, as expected, SARS-CoV-2 RNA levels in sewage drains increased
- 168 dramatically during outbreak periods.
- 169 The main limitation of the current study is the relatively limited number of NH recruited
- 170 for the study.
- 171 In conclusion, this pilot study proved that intermittent or persistent detection of SARS-
- 172 CoV-2 RNA in NH sewage drains often anticipates declaration of individual cases or
- 173 outbreaks. Frequent SARS-CoV-2 RT-qPCR sewage testing coupled with targeted
- screening of residents and staff may prove useful for early blunting of virus
- transmission and spread at NH. Further studies with a larger site sample are warranted
- to confirm this assumption.

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183 CONFLICTS OF INTEREST

184 The authors declare no conflicts of interest.

185 AUTHOR CONTRIBUTIONS

- 186 LD, RS, EA, IT: methodology and data validation. LA, RS, JFM, GS and DN: formal
- analysis. LD, RS, JFM, GS and DN: Conceptualization, supervision. PB, MJB, PL-F
- and RO: supervision of RT-PCR testing at NH facilities. LD, GS and DN: writing the
- 189 original draft. All authors reviewed and approved the original draft.
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243 FIGURE LEGEND

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Figure 1. Dynamics of SARS-CoV-2 RNA detection in sewage drains of nursing homes (NH). SARS-CoV-2 RNA loads (log₁₀ genome copies-GC-)/L) at each time point are mean values of duplicate measurements at NH with a single sewage drain (NHA, NHB, NHC, NHD) or mean values quantified at the different sampling sites of the facility (NHE). Arrows point to the date of first detection of cases among residents or staff at a given NH.





| Nursing home (no. of residents/staff) | Surveillance period | Date of first detection of SARS- CoV-2 RNA in wastewater | Date of first reported case of SARS-CoV-2 infection at the nursing home | No. of residents testing positive for SARS- CoV-2 | No. of staff testing positive for SARS- CoV-2 | Last SARS- CoV-2 infection case documented among residents or staff | Previous outbreaks |
|---|---------------------------|---|--|--|---|--|---------------------------------------|
| A (103/58) | October 14-December 28 | October 21 | November 9 | 1 | - | November 9 | Yes (June 16) |
| A (103/58) | October 14-December 28 | December 10 | December 17 | 25 | 13 | Outbreak ongoing | Yes (June 16 and October 21) |
| B (105/60) | November 6-December 28 | November 6 | November 11 | - | 1 | November 11 | Yes (June 17 and October 5 |
| C (48/25) | November 6-December 28 | ND | NR | - | - | - | No |
| D (101/81) | October 7-December 28 | October 7 | NR ^a | - | - | _ | Yes (July 9) |
| E (115/85) | October 7-December 28 | October 26 ^b | October 17 | 14 | 10 | November 16 | Yes (June 17 and July 13) |

^bTwo of the four sewage draining sites were not tested until October 26.