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1 Integration of Free-Hand 3D Ultrasound and Mobile C-Arm
2 Cone-Beam CT: Feasibility and Characterization for Real-Time
3 Guidance of Needle Insertion

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12 **Abstract**

13 This work presents development of an integrated ultrasound (US) – cone-beam CT (CBCT) system for image-guided needle
14 interventions, combining a low-cost ultrasound system (Interson VC 7.5 MHz, Pleasanton CA) with a mobile C-arm for
15 fluoroscopy and CBCT via use of a surgical tracker. Imaging performance of the ultrasound system was characterized in
16 terms of depth-dependent contrast-to-noise ratio (CNR) and spatial resolution. US-CBCT system was evaluated in phantom
17 studies simulating three needle-based procedures: drug delivery, tumor ablation, and lumbar puncture. Low-cost ultrasound
18 provided flexibility but exhibited modest CNR and spatial resolution that is likely limited to fairly superficial applications
19 within a ~10 cm depth of view. Needle tip localization demonstrated target registration error 2.1–3.0 mm using fiducial-
20 based registration.

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26
27 Ultrasound; cone-beam CT; C-arm imaging; image-guided procedures; target registration error

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28 1. Introduction

29 Accurate placement of a needle or probe is a common requirement in minimally invasive interventions, pain
30 management, and drug delivery. In brachytherapy for example, radioactive seeds need to be precisely placed
31 within the patient to ensure correct delivery of the planned dose distribution to the tumor volume (Koukourakis
32 et al., 2009). Various ablation techniques rely on similar accurate placement of therapeutic probes within the
33 target – e.g., radiofrequency ablation (RFA) and cryotherapy (Tiong and Maddern, 2011). Accurate placement
34 of the needle / probe is therefore important to achieving the clinical objective and avoiding complications such
35 as infection (Rudzinski and Kawakami, 2014), hemorrhage, pain, or pneumothorax (Boskovic et al., 2014).

36
37 Interventional imaging systems are widely used to assist clinicians during needle insertion and improve safety
38 and accuracy of the procedure (CHIN et al., 2008; Cleary and Peters, 2010; Koukourakis et al., 2009). Two-
39 dimensional ultrasound is commonly used to provide real-time imaging at a relatively low cost (Fingerman et
40 al., 2009; Lamperti et al., 2012; MD et al., 2014). Example low-cost ultrasound systems include those produced
41 by Interson (Pleasanton USA), Wallach Surgical Devices (Trumbull CT USA), and American 3B Scientific
42 (Tucker Georgia USA), offering a diversity of designs adapted to specific applications, such as vascular drug
43 delivery or percutaneous biopsy. However, manufacturers usually offer fairly limited information regarding
44 imaging performance characteristics, and rigorous technical assessment is valuable to both researchers and
45 clinicians in knowledgeably selecting a low-cost system suitable to a particular task. In particular, real-time
46 ultrasound visualization of the needle position and orientation relative to surrounding anatomy can be helpful
47 in facilitating needle guidance (Nolsøe et al., 1990) (Zhu et al., 2007); however, safe and accurate needle
48 placement requires sufficient spatial for accurate targeting, contrast resolution for visualization of the target of
49 interest (e.g., cyst or vessel), and depth of field for the anatomical site of interest.

50
51 Registering anatomical information from high-resolution 3D preoperative imaging such as computed
52 tomography (CT) or magnetic resonance imaging (MRI) to the intraoperative scene could improve localization
53 accuracy in such interventions. These imaging techniques provide soft-tissue contrast and high spatial resolution
54 with a large 3D field of view, for example, CT guidance of RFA was shown to achieve probe localization error
55 <3 mm (Maier-Hein et al., 2008). However, these modalities carry strong requirements / limitations in cost,
56 room site considerations, radiation dose, real-time imaging capability, and ease of use. On the other hand, in
57 (Yaniv et al., 2010), the authors present a cone-beam CT (CBCT) and fluoroscopy system capable of guiding
58 needle-based interventions including vertebroplasty, RFA of large lung tumors, and lung biopsies. Such work
59 demonstrates the benefits of intraoperative CBCT with respect to radiation dose reduction, as it required only a
60 single CBCT at the beginning of the procedure showing a mean overall targeting error of 3.7 ± 2.3 mm (Yaniv
61 et al., 2010). Many image guidance applications require up-to-date imaging of the patient during the procedure,
62 and the combination of US and CBCT could present a useful approach – the former offering real-time slice and
63 volume updates without radiation, and the latter providing fluoroscopy volumetric imaging on demand. Some
64 needle-based applications, such as low-dose rate (LDR) or high-dose-rate (HDR) brachytherapy where needles
65 are introduced into the patient anatomy to deliver radiation interstitially, would benefit from an anatomically
66 updated CBCT image – both for guidance of needle / seed placement and for calculation of the dose distribution
67 (Showalter et al., 2016). Similarly, in (Roeder et al., 2010), authors evaluated the feasibility of using a C-arm to
68 acquire images during IOERT in order to calculate a 3D dose distribution based on individual patient anatomy,
69 which is not possible on US images. Although intraoperative 3D imaging provided rich anatomical context, as
70 the authors discuss, the lack of real-time imaging during needle placement carries the potential for inaccurate
71 target localization due to respiratory motion and anatomical deformation (Bachar et al., 2007; Barker et al.,
72 2009; Chan et al., 2008; Houry et al., 2007; Lee et al., 2012).

73
74 Recent improvements in the cost, image quality, and flexibility in use of low-cost ultrasound imaging systems
75 along with the increasing availability of mobile C-arm CBCT motivates a multi-modality 2D and 3D imaging.
76 The combination of ultrasound and mobile C-arm CBCT could provide up-to-date anatomical visualization and
77 allow precise localization of interventional tools registered through surgical tracking systems and/or image
78 registration (Uneri et al., 2012). The objective of this work is to present initial development of an integrated
79 ultrasound-CBCT system for image-guided needle interventions, combining a surgical navigation system with
80 a low-cost transducer for real-time free-hand ultrasound and a mobile C-arm for fluoroscopy and CBCT. The
81 ultrasound probe used in these studies was the Interson Vascular Access Probe VC 7.5 MHz (Interson,
82 Pleasanton CA), and the work includes assessment of ultrasound imaging performance characteristics – to our
83 knowledge, the first reported assessment for this device. We also integrated the probe with the TREK navigation
84 platform (Uneri et al., 2012) via the manufacturer’s software development kit (SDK) and the PLUS library
85 (Lasso et al., 2014). The mobile C-arm was a previously reported (Daly et al., 2008; Schafer et al., 2012, 2011;
86 Wermker et al., 2014) prototype capable of fluoroscopy and CBCT. The performance of ultrasound-CBCT
87 registration was investigated in three simulated needle guidance scenarios in phantoms emulating vascular
88 access, abdominal tumor ablation, and lumbar puncture procedures.
89

90 **2. Material and Methods**

91 *2.1. Ultrasound Imaging Performance*

92 An Interson Vascular Access Probe VC 7.5 MHz (Interson, Pleasanton CA) (Fig. 1a) was used as the basis
93 for ultrasound-CBCT integration for image-guided needle insertion. This low-cost probe allows imaging at three
94 frequencies (5, 7.5 and 12 MHz) with a manufacturer-specified depth range from 5 to 100 mm. The focal point
95 is specified to be 20 mm from the transducer, and the scan sector has a 60° aperture. The probe can be operated
96 simply via a single USB connection to a tablet, laptop, or workstation without an extra power supply. As detailed
97 below, the ultrasound probe was assessed in terms of its imaging performance characteristics, geometric
98 accuracy of surgical tracking and image registration with CBCT.

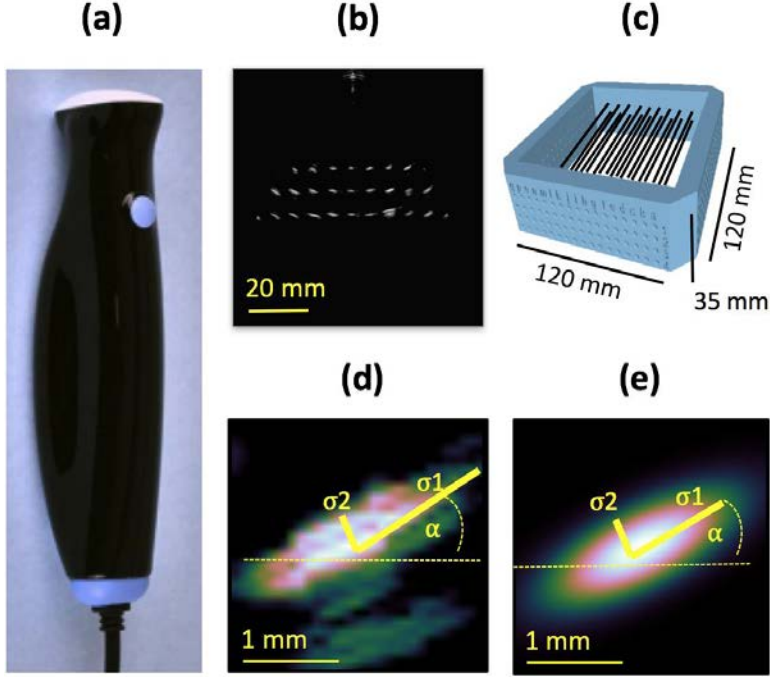


Fig 1. Ultrasound probe and PSF assessment. (a) Interson 7.5 MHz ultrasound probe (Interson, Pleasanton USA). (b) Example ultrasound image of the wire phantom. (c) 3D model of the wire phantom used for PSF assessment. (d) Example image showing the intersection of a wire with the ultrasound image plane. (e) Gaussian fit and parameters for the image shown in (d).

2.1.1. Ultrasound Image Quality

Image quality of the ultrasound system was assessed in terms of spatial resolution and contrast-to-noise ratio (CNR) measured at different probe frequency settings and as a function of depth in the field of view (FOV). To evaluate spatial resolution, we used the phantom shown in Fig. 1c, constructed using a 3D printer (and polylactic acid material) and consisting of four walls with holes placed at different heights similar to the calibration phantom described in (Neshat et al., 2013). Nylon wires of 0.5 mm diameter were spatially separated by 5 mm (Fig. 1b). An example ultrasound image is shown in Fig. 1b, where the intersections of the wires and the ultrasound image plane are detected. These intersections were localized and fit to a bivariate Gaussian (Eq. 1) as follows:

$$G(x, y) = \frac{1}{2\pi\sigma_1\sigma_2} \exp(-[a(x - \mu_1)^2 - 2b(x - \mu_1)(y - \mu_2) + c(y - \mu_2)^2])$$

$$a = \frac{\cos^2\alpha}{2\sigma_1^2} + \frac{\sin^2\alpha}{2\sigma_2^2}$$

$$b = -\frac{\sin 2\alpha}{4\sigma_1^2} + \frac{\sin 2\alpha}{4\sigma_2^2}$$

$$c = \frac{\sin^2\alpha}{2\sigma_1^2} + \frac{\cos^2\alpha}{2\sigma_2^2}$$
(1)

where μ_1, μ_2 localizes the centroid (corresponding to the voxel with maximum image intensity μ_x, μ_y), σ_1 and σ_2 are the standard deviation of the Gaussian, and $(|\alpha| < \frac{\pi}{3})$ is the angle between the centre line of the ultrasound

image plane and the line that pass through the point at which the point spread function (PSF) is measured and the probe sensor. The two-dimensional PSF was estimated in both directions (σ_1 and σ_2) as the full-width at half-maximum $\text{FWHM} = 2\sqrt{2 \ln 2} (\sigma_1, \sigma_2)$. For each intersection we estimated σ_1 , σ_2 , and α as shown in Fig. 1d,e.

We acquired 200 image planes with the ultrasound probe held in a static passive arm for each combination of the operating frequencies (5, 7.5, and 12 MHz) and depths (50, 60, 80, 100 and 120 mm) at a constant frame rate of 5 fps. The σ_1 , σ_2 , and α parameters were evaluated for each case as a function of position in the FOV.

To measure the CNR we constructed a phantom that emulated a soft tissue background with blood vessels as shown in Fig 3a. Gelatin and cylindrical pasta were used to mimic the properties of soft-tissue and blood vessels, respectively. Simulated vessels were placed at varying depth within an otherwise uniform simulated soft-tissue background. The CNR in ultrasound images was measured in terms of the contrast between the simulated vessels and the background as a function of depth using regions of interest (ROI) containing the simulated vessel and background with a 5×5 pixel size. CNR was computed from each ROI as:

$$\text{CNR} = 2 \frac{\mu_v - \mu_{bg}}{\sigma_v + \sigma_{bg}} \quad (2)$$

where μ_v is the mean intensity value of the simulated vessel wall, μ_{bg} is the mean intensity value of the background, σ_v is the standard deviation of voxel values in the vessel wall, and σ_{bg} is the standard deviation of voxels in the background. For each measurement, we acquired 500 ultrasound images at 5 fps at operating frequencies of 5, 7.5 and 12 MHz.

2.1.2. Ultrasound Calibration

The ultrasound probe was calibrated in terms of spatial location and timing of the acquisition. Temporal and spatial calibration of the tracked ultrasound probe is necessary for free-hand 3D ultrasound imaging (via image mosaic) and surgical navigation (Lasso et al., 2014). Temporal calibration estimates the time lag between acquisition of the ultrasound images and the pose information read from a surgical tracking system, thereby allowing synchronization of the ultrasound and tracking systems. Spatial calibration is then used to estimate the transformation (${}^P T_U$) from the ultrasound image plane (labelled U in Fig. 2c) to the tracked rigid body used to localize the probe (labelled P in Fig. 2c). In Fig. 2c all related transformations are depicted, where each transformation, ${}^B T_A$, is a transformation from ‘A’ to ‘B’ frames. Using ${}^P T_U$, the ultrasound image plane localized in the tracker coordinate system can be calculated as

$$\langle {}^T T_U \rangle = {}^T T_P {}^P T_U \quad (3)$$

where ${}^T T_P$ is the pose of the rigid-body probe (P) in the tracker frame (T), and $\langle {}^T T_U \rangle$ is the estimated transformation from the ultrasound image to the tracker geometric frame.

The PLUS open-source software toolkit (Lasso et al., 2014) – originally developed for ultrasound-guided interventions – was used to integrate the Interson software development kit (SDK) with the TREK surgical tracking and navigation platform (Uneri et al., 2012), using essential functions for tracked ultrasound, such as spatial calibration, ultrasound image acquisition, free-hand 3D ultrasound volume imaging via mosaic (referred to as “mosaicking”).

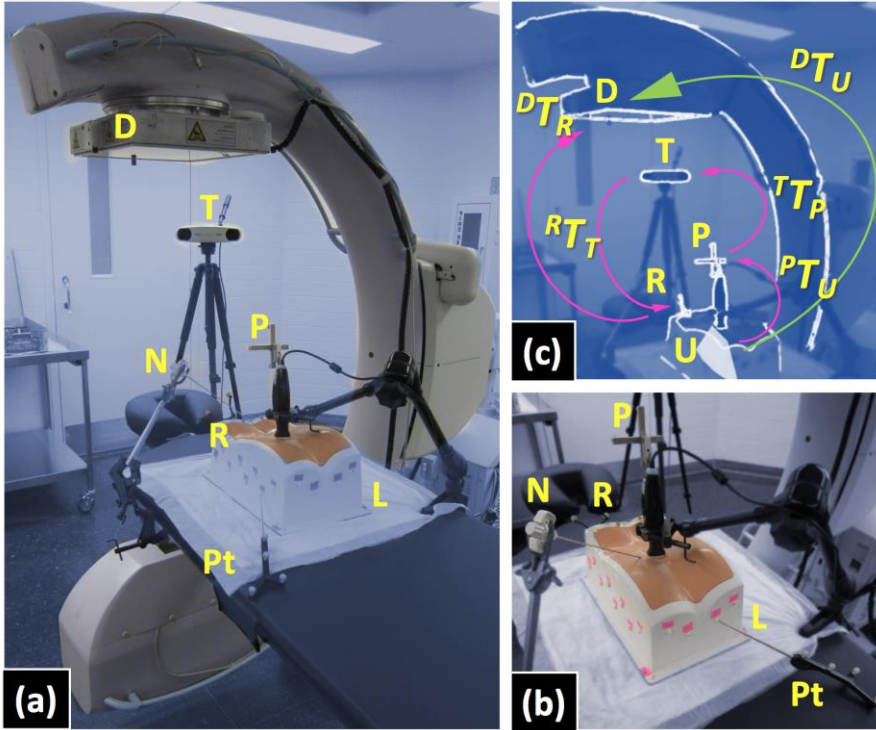


Fig. 2. Experimental setup for free-hand 3D ultrasound imaging with C-Arm CBCT and surgical tracking for guidance of needle placement in a lumbar phantom (panels a and b). Panel (c) shows pertinent coordinate transformations for needle guidance. System components include: C-Arm flat-panel detector (D), Polaris Vicra tracker (T), ultrasound probe with attached rigid body for tracking (P), lumbar phantom (L), phantom tracking reference marker (R), Polaris pointer used for divot localization (Pt), surgical needle (N) and ultrasound image plane (U).

The calibration transformation (${}^P T_U$) was obtained from imaging a calibration phantom via a tracked ultrasound. In this work, we employed the calibration ‘fCal 2.0 Phantom’ proposed by PLUS authors (Lasso et al., 2014) for 100–120 mm depth ultrasound image settings. The calibration phantom was formed using nine lengths of nylon line whose relative spatial positions are known. A description of the phantom and a printable 3D model can be obtained from the public web documentation for the PLUS library (Lasso et al., 2014). The calibration transformation (${}^P T_U$) is estimated by the algorithm presented in (Carbajal et al., 2013) that extracts the pose of the wire intersection within image planes and minimizes the in-plane error (IPE), computed as the least-square difference of the detected and expected intersections of the wires and the ultrasound image plane.

$$\text{IPE} = \sum_{i=1}^{N_i} \sum_{j=1}^{N_w} \|u_{x_{ij}} - u_{w_{ij}}\|^2 \quad (4)$$

where $u_{x_{ij}}$ is the detected intersection between the j^{th} wire and the i^{th} ultrasound image, and $u_{w_{ij}}$ is the expected intersection position of the j^{th} wire with the computed image plane.

The aim of the calibration assessment presented below was to determine the minimum number of image planes (N_i) required for an accurate spatial calibration of the ultrasound probe. We performed 10 repeated spatial

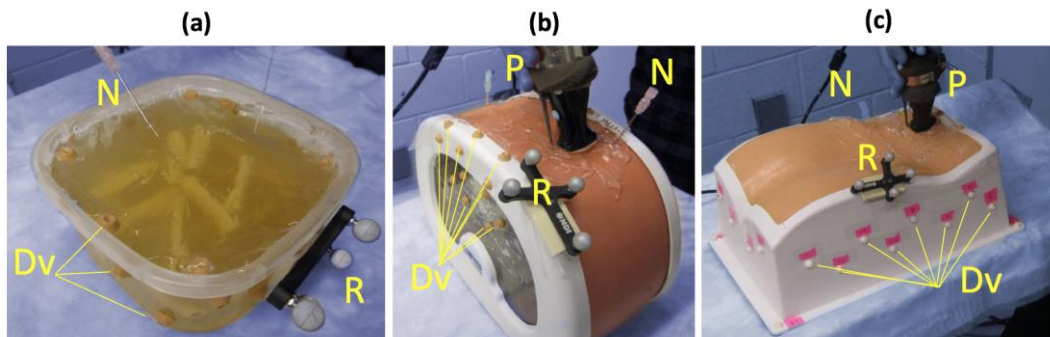
181 calibrations using different number of ultrasound plane images (100, 150, 200, 250, 500 and 750). For
 182 evaluation, we acquired an extra set of images (20% of the number of calibration images) and measured the
 183 average IPE on this extra set.

184 2.2. Ultrasound and C-Arm Cone-Beam CT Integration

185 The integration of ultrasound image acquisition and C-arm CBCT was implemented using the TREK imaging
 186 and surgical navigation platform (Uneri et al., 2012). TREK is based primarily on two software packages: cisst
 187 (Johns Hopkins University, Baltimore MD) (Deguet et al., 2008) and 3D Slicer (Brigham and Women's
 188 Hospital, Boston MA) (Pieper et al., 2004) allowing intraoperative CBCT and image guidance (Uneri et al.,
 189 2012). In this work, we contributed two main software developments: (1) TREK functionality was expanded to
 190 perform ultrasound imaging by means of the PLUS toolkit, and (2) a dedicated module was created for
 191 ultrasound imaging that permits control of the acquisition parameters (i.e., operating probe depth and
 192 frequency), loading of tracker calibration files (calibration transformation), and custom ultrasound scanner
 193 functionalities (i.e., last-image-hold, changing modes, and FOV settings). The PLUS toolkit interfaces to the
 194 probe using the manufacturer's SDK and a software wrapper that permits the PLUS library to call SDK
 195 functions. However, this wrapper does not allow real-time ultrasound image acquisition nor changing ultrasound
 196 acquisition parameters. This work contributed such functionality to the PLUS toolkit and can be found in the
 197 most recent version of the code contribution to the wrapper in (Kitware Inc., n.d.).
 198

199 The C-arm imaging platform was the prototype mobile isocentric C-arm for intraoperative CBCT shown in
 200 Fig. 2 (D, a modified PowerMobil, Siemens, Erlangen, Germany, as described in (Dang et al., 2012; Hamming
 201 et al., 2009; Siewerdsen et al., 2005; Wang et al., 2014)) equipped with a flat-panel detector (PaxScan 3030 +,
 202 1536×1536 pixels at 194 μm pitch), Varian Imaging Products, Palo Alto, CA. The C-arm is able to rotate about
 203 the operating room (OR) table covering a total angular range of $\sim 178^\circ$ and operates in pulsed-fluoroscopic mode
 204 allowing 3D CBCT volume reconstruction with a $\sim 20 \times 20 \times 20 \text{ cm}^3$ FOV. The ultrasound-CBCT system can be
 205 used with other probes that are supported by PLUS and may be tailored to other specific clinical applications.
 206

207 An experimental setup was devised to evaluate the feasibility and integration of the system (Fig. 2). We
 208 placed the mobile C-arm (Fig. 2, D) tableside in a laboratory OR. We placed an optical tracker (Polaris Vicra,
 209 Mississauga Canada) (Fig. 2, T) $\sim 1.5 \text{ m}$ from the operating table, allowing C-arm rotation and line-of-sight to
 210 the tracked elements (i.e., ultrasound probe, assessment phantoms and a pointer). The ultrasound probe was
 211 temporally and spatially calibrated (using 500 calibration frames) before experimental assessment with a mean
 212 IPE of $\sim 1.2 \text{ mm}$.



213 Fig. 3. Validation phantoms: vessel phantom (a), abdominal soft-tissue phantom (b) and lumbar phantom (c). Attached optical tracked
 214 reference frame (R), inserted surgical needles (N), registration 3D printed divots (Dv) and ultrasound probe during acquisition (P).
 215

Experiments employed three phantoms: a custom soft-tissue (gelatin) simulated vessel phantom (described before, Fig. 3a), an abdominal phantom (Image-guided Abdominal Biopsy Phantom, Model 071A, CIRS, Norfolk, Virginia, USA) with simulated spherical tumors of varying density (Fig. 3b), and a lumbar spine phantom (Lumbar Training Phantom, Model 034, CIRS, Norfolk, Virginia, USA) containing simulated bone, cerebrospinal fluid, and soft tissues (Fig. 3c). Fiducial divots (Fig. 3, Dv) were placed on the surface of each phantom to register the free-hand ultrasound and CBCT images via point-based registration. Two rigid needles of 22-gauge (Fig. 2 and 3, N) were inserted at different locations within the CBCT FOV, targeting an interior vessel (~0.5 cm diameter), simulated tumor (~1 cm diameter), and facet joint (~0.5 cm width) in the three phantoms, respectively, in order to simulate three needle-based procedures (drug delivery, tumor ablation, and lumbar puncture).

The experimental workflow consisted of the following steps: (1) the 3D fiducial locations were measured using a tracked pointer (Fig. 2, Pt) in the tracker geometric space, which coincides with the US free-hand space after calibration (Sec. 2.1.2); (2) three free-hand 3D ultrasound images were acquired following initial CBCT; (3) US- and CBCT- guided procedure simulation (needle insertion); and (4) acquisition of CBCT and three free-hand 3D ultrasound images (for each phantom study) at the end of the procedure with needles at target locations inside a given phantom.

Fiducial divots were segmented in the first CBCT image as reference and localized in the tracker coordinate frame using a tracked pointer tool (Fig. 2, Pt). Localization in both frames were registered using point-based registration to obtain the registration matrix ${}^D T_R$ (Fig. 2c) that relates CBCT and tracker frames. Using ${}^D T_R$ and ${}^P T_U$ we estimated the transformation between CBCT and free-hand 3D ultrasound as:

$$\langle {}^D T_U \rangle = {}^D T_R {}^R T_T {}^T T_P {}^P T_U \quad (5)$$

The geometric point-based registration accuracy was evaluated in terms of fiducial registration error (FRE) and target registration error (TRE). FRE was measured for each registration as:

$$\langle \text{FRE} \rangle = \sqrt{\sum_{i=1}^{N_{\text{divots}}} \|x_{\text{Tracker}(i)} - x_{\text{CBCT}(i)}\|^2} \quad (6)$$

where $x_{\text{Tracker}(i)}$ and $x_{\text{CBCT}(i)}$ are the pose of the i^{th} divot in the tracker (free-hand 3D ultrasound image) and in the CBCT image frames, respectively. N_{divots} is the number of divots used for each study (20, 13, and 23 in the vessel, abdomen, and lumbar, respectively). Estimation of TRE was performed by localizing the inserted needle tip in free-hand 3D ultrasound and CBCT images manually by three users that were familiar with CT and US manual segmentation. For each registration the TRE was estimated as:

$$\langle \text{TRE} \rangle = \sum_{i=1}^3 \sum_{j=1}^2 \|y_{3\text{DUS}(i,j)} - y_{\text{CBCT}(i,j)}\| \quad (7)$$

where $y_{3DUS(i,j)}$ and $y_{CBCT(i,j)}$ are the pose of the localized j^{th} needle tip in the acquired i^{th} 3D ultrasound and CBCT image respectively.

2.3. Image-based registration assessment

Image-based registration could further improve registration accuracy, and enable compensation for misalignment caused by patient motion during the procedure. However, it requires a robust image similarity metric suitable for ultrasound-CBCT image registration. Challenges associated with such images and similarity metrics include: the need for a fast performance; untracked (void) regions within 3D ultrasound volume acquired via mosaicking of tracked 2D ultrasound; and limitations of ultrasound imaging in the context of gas-filled regions and/or bony structures. Registration of C-arm CBCT with other 3D imaging modalities has been previously reported and is the subject of ongoing work (Nithianathan et al., 2012; Reaungamornrat et al., 2013; Uneri et al., 2013). Ultrasound-CT registration has also been investigated in, for example, (Brendel et al., 2002) and (Muratore et al., 2002), where authors addressed the limitation of ultrasound in scanning bony structures by surface-based registration techniques. The authors simulated ultrasound images from the CT scans to perform the registration in liver and kidney applications in (Wein et al., 2008). An example of image-based registration is described in (Yan et al., 2012) in which 2D ultrasound image planes were registered to CT volumes employing the cross correlation as similarity metric.

To investigate the feasibility of ultrasound-CBCT image registration in application to needle interventions, we implemented and assessed three classes of image similarity metric that could support such integration, including Normalized Mutual Information (NMI)

$$\text{NMI} = \frac{H(F) + H(u(M, p))}{H(F, u(M, p))} \quad (8)$$

where $H(F)$ is the entropy of fixed image, $H(u(M, p))$ is the entropy of the moving image under a deformation u with transformation parameters p , and $H(F, u(M, p))$ is the joint entropy of images F and M . We also investigated performance using Normalized Cross Correlation (NCC)

$$\text{NCC} = \frac{\sum_x F(x) * M(x + u(x, p))}{\sqrt{\sum_x F(x)^2 * M(x + u(x, p))^2}} \quad (9)$$

where x is a voxel in the fixed image F , M is the moving image, and $u(x, p)$ is the deformation of x depending on the transform parameters p . Finally, we measured the similarity of F and M using a Huber distance (Huber, 1964) between their modality-independent neighborhood descriptors (MIND) (Heinrich et al., 2013). An element of a MIND descriptor $S(I, x, y)$ is given by

$$S(I, x, y) = \exp\left(-\frac{\text{SSD}(x, y)}{\sigma^2}\right) \quad x, y \in N \quad (10)$$

where I is the image, σ^2 is an estimate of the local variance at x , SSD is the sum of square differences, and N is the neighborhood over which the descriptor is calculated for a patch centered at x .

We defined a ROI of $\sim 40 \times 30 \times 30$ mm³ inside the vessel phantom containing two whole vessels in order to evaluate the image-based metrics on a volume scanned by the free-hand 3D ultrasound imaging method described above. We randomly perturbed the ultrasound ROI volume from the tracker-based registration solution by a rigid transformation (translation and rotation) according to a Gaussian distribution:

$$G(x) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(x-\mu)^2}{2\sigma^2}} \quad (11)$$

where μ is the mean value (or perturbation factor) and $\sigma = 0.1$ is the standard deviation. We varied the perturbation factor over the μ range $[-3, 3]$ (mm and degrees for translation and rotation, respectively) in steps of 0.1. For each perturbation, we performed 100 trials with 6 random parameters corresponding to the 6 degrees of freedom of a rigid transformation.

Image-based registration performance was assessed in terms of TRE as well as the objective function (NCC, NMI, and MIND Huber distance) directly as a function of perturbation factor. The registration result was visualized by overlaying the gradient of the CBCT volume (moving target image) with the free-hand 3D ultrasound (fixed source image).

3. Results

3.1. Ultrasound Imaging Performance

The PSF for different frequency and depth is showed in Fig. 4a. An example of the PSF parameters [standard deviation in both directions (σ_1, σ_2) and angle (α)] for the case of 7.5 MHz frequency and 80 mm depth is depicted in Fig. 4b–d. The PSF was reduced (sharper) in the lateral direction for higher frequencies and almost constant in the ultrasound pulse propagation direction, consistent with the dependence of depth resolution on duration of the ultrasound pulse (independent of depth and frequency). Also notice in Fig. 4b (σ_1 map) that the minimum measured σ_1 is found at ~ 2 cm depth, which corresponds to the probe focal point according to manufacturer's specifications. The computed α map was consistent with the direction of the ultrasound pulse through the image plane. Overall, we see FWHM that is fairly constant (FWHM₂ ~ 0.3 mm) in the lateral direction and steeply degrading with depth (FWHM₁ ~ 1.0 – 4.5 mm) in the depth direction over the depth ranges 20–100 mm.

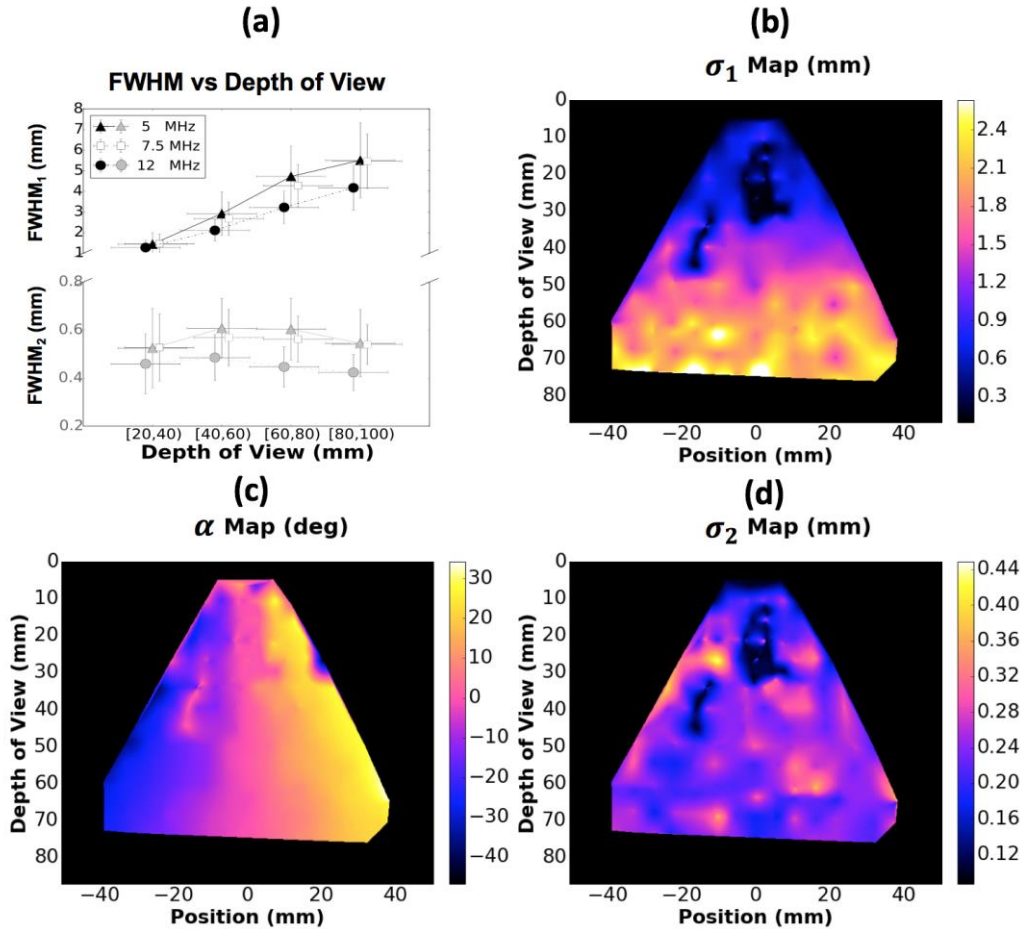
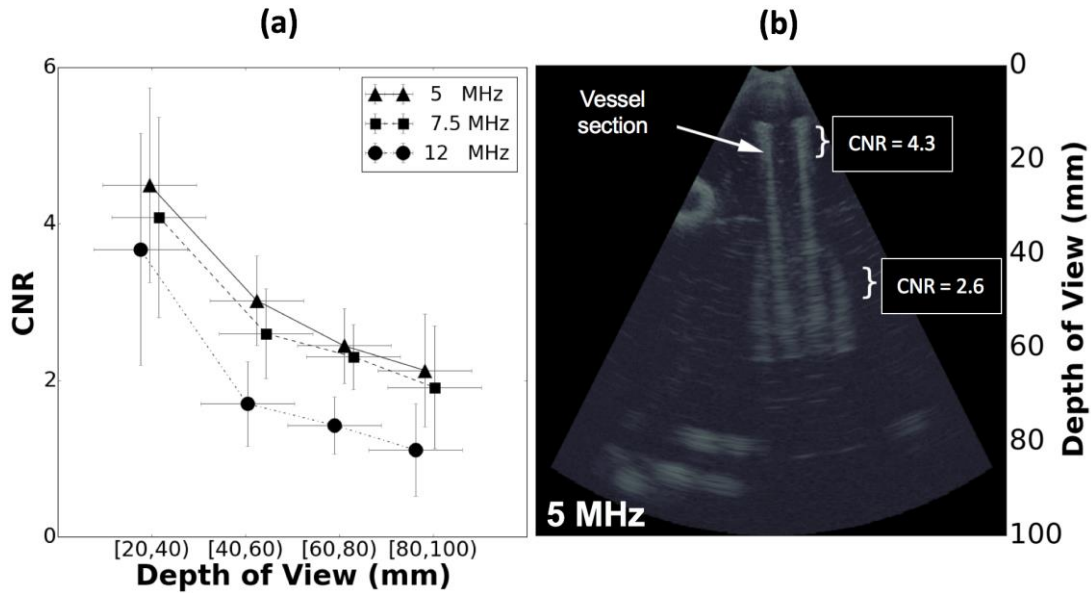


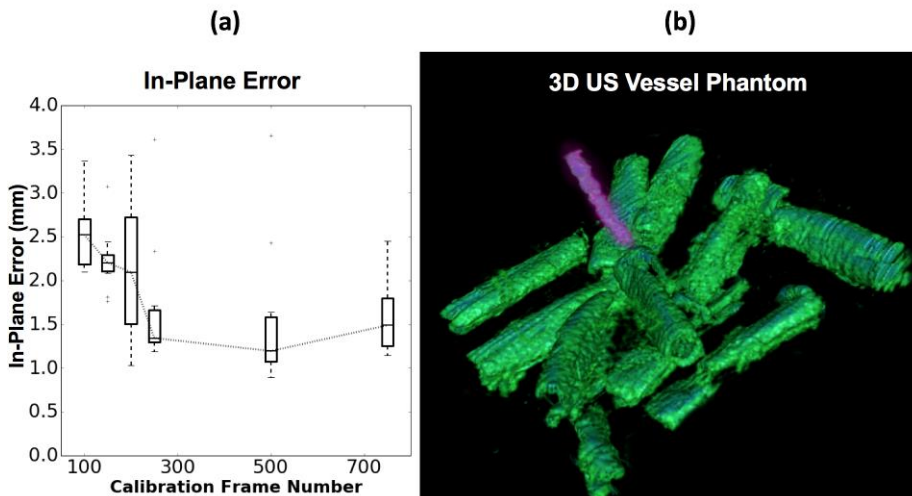
Fig. 4. Ultrasound image spatial resolution. (a) FWHM of the Point Spread Function (PSF) for different frequencies as a function of depth of view. (b) Measured PSF width at 7.5 MHz and maximum depth of 80 mm in the direction perpendicular to sound wave propagation. (c) Angle between the two-dimensional fitting Gaussian model with respect to horizontal. (d) Measured PSF width in the direction parallel to sound wave propagation direction.

The CNR measured at different frequencies as a function of depth is shown in Fig. 5. The CNR decreases by more than a factor of 2 over the depth of field and is highest at the lowest frequency settings (Fig. 5a). This degradation is also shown in the example ultrasound image of the vessel phantom (Fig. 5b) where CNR decreases along the length of the vessel wall as the ultrasound wave is attenuated at increased depth in tissue. Broadening of the lateral component of the PSF width at increased depth is also evident.



329
330
331 Fig. 5. Contrast resolution measurements for the ultrasound imaging system. (a) Mean and standard deviation in CNR for different
332 frequencies as a function of depth. (b) Example ultrasound image of the vessel phantom acquired at 5 MHz and maximum depth of 100
333 mm.

334 Results for the ultrasound calibration assessment are presented in Fig 6. The IPE distribution is presented as
335 a function of the number of frames used in the calibration, showing the degradation in IPE when the number of
336 calibration images is small (<150). Using more than 500 frames for calibration does not seem to lead to lower
337 IPE, and the minimum IPE is limited by the image resolution for greater than ~300 frames. In Fig. 6b a
338 volumetric rendering of the vessel phantom is shown to illustrate the capability of the system to produce free-
339 hand 3D ultrasound volumes when a correct calibration is achieved.

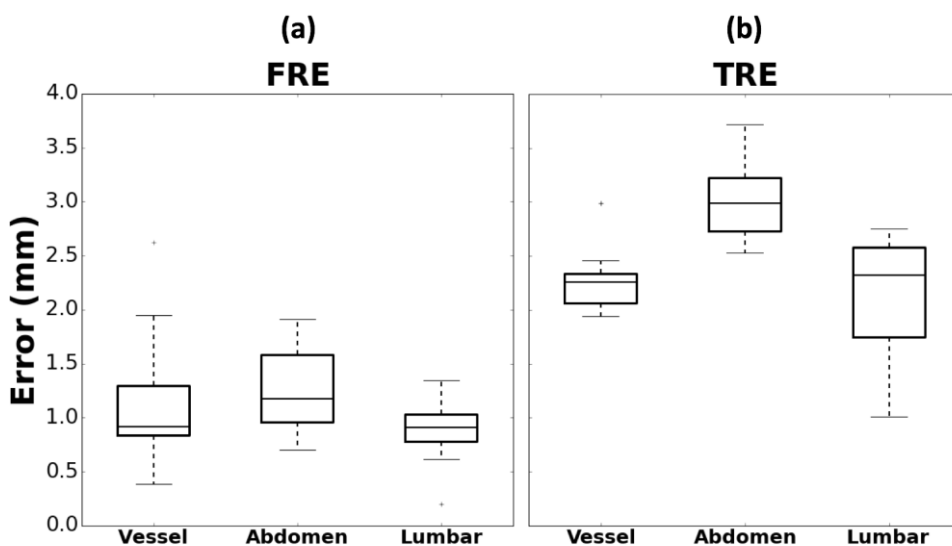


341
342 Fig. 6. Registration accuracy. (a) In-plane error during probe-to-tracker calibration as a function of the number of collected calibration
343 frames. (b) Volumetric rendering of the vessel phantom 3D ultrasound image showing the simulated vessels (green) and the inserted surgical

344 needle (purple).

345 3.2. Ultrasound and C-Arm Cone-Beam CT Integration

346 The TREK navigation system updated via the PLUS software library for ultrasound integration was used to
 347 evaluate the combined ultrasound-CBCT system. Functionality included: connecting the probe to the system,
 348 loading calibration parameters, and allowing free-hand 3D ultrasound imaging. In Fig. 7 results for FRE and
 349 TRE (at the needle tip) are shown. The FRE (mean \pm standard deviation) was 1.1 ± 0.5 mm for the vessel
 350 phantom, 1.3 ± 0.4 mm for the abdomen phantom, and (0.9 ± 0.3) mm for the lumbar phantom, consistent with
 351 the registration accuracy of the tracker. TRE at the needle tip was 2.3 ± 0.3 mm for the vessel phantom, $3.0 \pm$
 352 0.4 mm for the abdomen phantom, and 2.1 ± 0.6 mm for the lumbar phantom.



354 Fig. 7. Geometric accuracy of ultrasound-CBCT integration. (a) Fiducial Registration Error (FRE). (b) Target Registration Error (TRE),
 355 calculated at the needle tip for the vessel and abdomen phantoms and at the intersection of the needle with bone for the lumbar phantom.
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358 Fig. 8 shows the ultrasound-CBCT registered images in the vicinity of the needle for the three cases
 359 evaluated: vessel, abdomen, and lumbar phantom. The registered images illustrate the utility of combined
 360 ultrasound and CBCT – the former providing real-time visualization, and the latter providing high-quality 3D
 361 imaging of soft-tissue and bone. In Fig. 8a,d,g a slice of the vessel phantom image is shown, where the needle
 362 is clearly visible within the simulated tissue, and the gradient CBCT image provides visualization of soft-tissue
 363 contrast corresponding to the inner part of the vessels. In Fig. 8b, the needle tip for the abdominal phantom case
 364 is conspicuous in the ultrasound plane (where the needle is seen to miss the simulated tumor). Finally, in Fig.
 365 8c,f,i the ultrasound image shows the edge of the spine, while CBCT shows the complete bone structure and is
 366 exemplary of the potential benefit in combining both modalities – i.e., ultrasound performing real-time guidance
 367 and CBCT depicting the needle path inside the bone, where US contrast is limited by the technique.

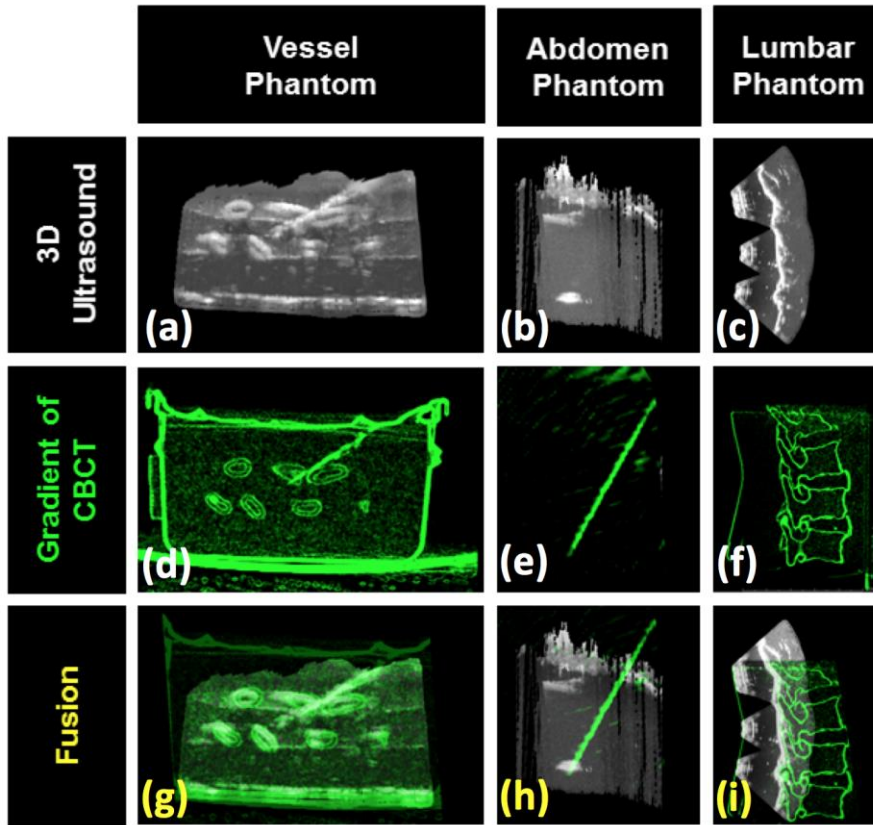


Fig. 8. Registration of free-hand 3D ultrasound with CBCT using fiducial-based registration. (a-c) Ultrasound image, (d-f) gradient of CBCT image, and (g-i) fusion of free-hand 3D ultrasound and CBCT gradient image for the Vessel, Abdomen, and Lumbar phantoms.

3.3. Image-based registration assessment

Results of the image-based registration are showed in Fig. 9, demonstrating that registration was feasible even with a low-cost ultrasound probe with limited image quality. Each of the similarity metrics maximizes at a solution that is within 1 mm and 1° of the tracker-based registration solution. Similarly, TRE is minimized at approximately the same solution. Errors in the localization of the needle tip during TRE estimation could cause the higher values of TRE in this example case (i.e., minimum TRE ~ 3.0 - 3.5 mm in Fig. 9 compared to ~ 2.0 - 3.0 mm in Fig. 7). Note that a perturbation factor of 0 also introduces random transformations. In cases studied, the NMI and MIND-Huber similarity metrics performed similar TRE minimum values, supporting their potential suitability for this multi-modality registration problem. The results are likely dependent on anatomical site and require validation in clinical image data, which is the subject of future work beyond this feasibility study.

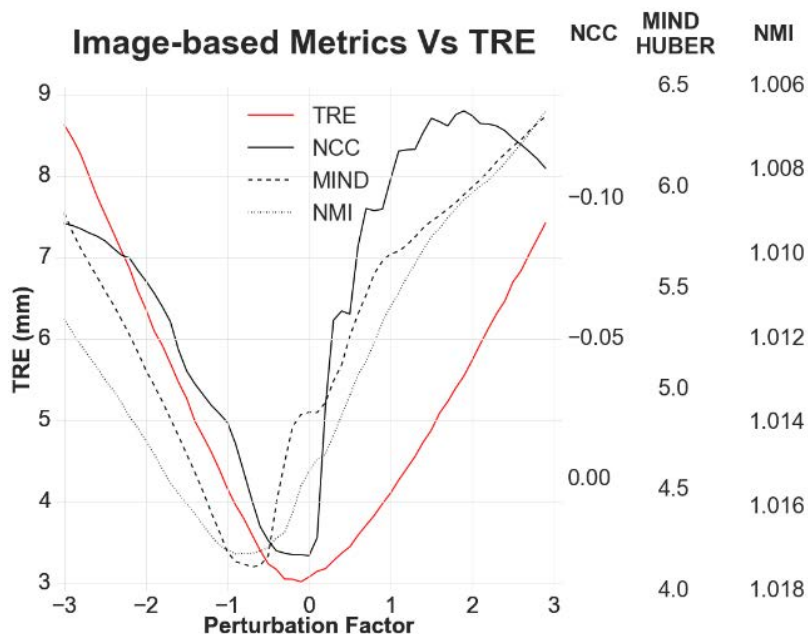


Fig. 9. Image-based registration of 3D ultrasound and CBCT. The TRE was measured as a function of Perturbation Factor, minimizing at the transformation taken as reference “truth.” Three similarity metrics (NCC, NMI, and MIND-Huber) are each minimized within ~1 mm and ~1° of the reference.

4. Discussion and Conclusion

This paper presented the first image quality assessment for the Interson Vascular Access Probe VC 7.5 MHz and initial implementation of an integrated ultrasound-CBCT system for image-guided needle interventions. The low-cost ultrasound system showed modest image quality in terms of CNR and spatial resolution (PSF) and could be suitable for a variety of needle interventions within ~20–60 mm depth of the surface. Examples include superficial interventions such as arterial blood and thyroid biopsies, or obstetrics applications (Surbek et al., 2002) that could benefit from the competitive price and convenience of the system. Its small size, USB power supply, and low price could be suitable for environments with limited access to high-end imaging technology.

Development and feasibility of a free-hand 3D ultrasound imaging system registered with mobile C-arm CBCT was demonstrated. In the vessel phantom experiment, the system was able to track needle insertion in real-time due to ultrasound imaging that could be verified to hit the vessel target using CBCT. In the lumbar puncture experiment, the needle could be tracked to the surface of the spine using ultrasound, and the utility of the integrated system was evident in combination with CBCT capability to visualize the position of the needle in bone (Fig. 8i). The advantages of such US-CBCT integration is not limited to the potential clinical applications subject to the constraints of the US image quality characteristics of the Interson probe assessed in this study and could facilitate multi-modal image guidance capability to a wide variety of needle interventions with other suitable, higher-end US systems.

407 Needle-tip localization accuracy was $\sim 2.1\text{--}3.0$ mm (TRE) via the integrated ultrasound-CBCT system using
408 fiducial-based registration. A limitation of this feasibility study is that we employed only two needles and only
409 one insertion per phantom. However, we do not expect strong variability in TRE across the region of interest
410 investigated in this work, given the fairly high number of registration fiducials (divots) surrounding the ROI
411 (Fitzpatrick et al., 1998). This fairly high TRE was attributed primarily to the limited spatial resolution and
412 CNR of the ultrasound probe that somewhat compromised the spatial calibration. The basic concept for
413 ultrasound-CBCT system integration implemented here is compatible (without requiring additional
414 development) with higher-end ultrasound systems with improved functionality and image quality, which would
415 presumably enhance the ultrasound-to-tracker calibration and image-based registration.

416
417 Fiducials were placed surrounding the target on each case with different spatial distribution. Since the TRE
418 depends in part on the distribution of fiducials around the target, the better the target is covered by fiducials the
419 smaller the TRE is expected (Fitzpatrick et al., 1998). In the three studied cases, we found similar TRE values
420 for each case (Fig. 7a). However, due in part to the differences in distribution of fiducials with respect to the
421 target for each case (vessel, tumor and spine), the TRE was different among each phantom. Furthermore, the
422 TRE also depends on the image quality – for the observer-based truth definition and directly for the registration-
423 based approaches. The three phantoms presented distinct challenges with respect to both ultrasound and CBCT
424 image quality, and the TRE was seen to vary accordingly. Finally, the acquisition of the 3D US image introduces
425 some deformations on the phantom surface, presenting another potential source of variation in TRE.

426
427 Image-based registration results suggest that similarity metrics such as NCC or MIND-Huber are suitable
428 objective functions for registration of these modalities. Image-based registration demonstrated estimated TRE
429 < 4 mm for scenarios in which soft tissue was visible in both modalities and FOV was reasonably well covered
430 by both modalities (i.e., ultrasound mosaicking holes were minimized). For the needle guidance task in these
431 scenarios, initial work employed a rigid registration model; however, soft-tissue deformations due to the needle
432 and/or ultrasound probe can be expected and warrants future investigation of nonrigid registration approaches
433 – e.g., B-spline (Rueckert et al., 1999) or Demons (Nithianathan et al., 2011; Vercauteren et al., 2009)
434 transformations. On the other hand, surface-based registration schemes could also be employed in the US-
435 CBCT registration context. Such strategies require a surface detection/segmentation processing (or surface
436 markers). Alternatively, the surface markers could be visible directly in one modality (e.g., CBCT) and detected
437 visually using a video camera mounted to / registered to the ultrasound probe. This amounts to a video-based
438 analogue to the tracker-based approach demonstrated in this work. A good example is the Clear Guide system
439 (Clear Guide Medical, Baltimore, MD, USA) for registration and fusion of ultrasound and CT/CBCT images.
440 The results demonstrated above show the feasibility for ultrasound-CBCT image-based registration and
441 motivate future work in which the tracker is used for construction of the free-hand 3D ultrasound volumes (and
442 optionally for registration initialization), and accurate image-based registration is performed. Future research
443 will include investigation of automatic image-based registration, including preclinical (cadaver or animal)
444 studies to present more challenging imaging scenarios as well as realistic anatomical deformation patterns. The
445 work reported above provides an important point of reference for future developments on automatic CT-to-
446 ultrasound registration.

447
448 The TREK software architecture provided a flexible platform for development of modules for integrating
449 the PLUS library with CBCT and surgical tracking. This work presented an integrated platform for needle
450 guidance combining available open-source software tools and SDKs. Particular improvements to the PLUS
451 toolkit were implemented regarding the ultrasound probe interface, including online tuning of acquisition

parameters during the procedure, thereby allowing more convenient tuning of image probe parameters that affect image quality.

The feasibility of integrated free-hand 3D ultrasound and mobile C-arm CBCT was demonstrated in phantom studies in scenarios emulating needle-based registration based on a low-cost ultrasound probe. The broad availability of low-cost ultrasound imaging systems with streamlined integration with fluoroscopy and CBCT could improve performance and safety in a variety of needle-based interventions.

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