

1 **Seasonal Patterns of Incidence, Demographic Factors, and Microbiological Profiles of**  
2 **Infectious Keratitis: The Nottingham Infectious Keratitis Study**

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26  
27 **Conflict of interest:** None

29 **ABSTRACT**

30 **Purpose:** To examine the seasonal patterns of incidence, demographic factors and  
31 microbiological profiles of infectious keratitis (IK) in Nottingham, UK.

32

33 **Methods:** A retrospective study of all patients who were diagnosed with IK and underwent  
34 corneal scraping during 2008-2019 at a UK tertiary referral centre. Seasonal patterns of  
35 incidence (in per 100,000 population-year), demographic factors, culture positivity rate, and  
36 microbiological profiles of IK were analysed.

37

38 **Results:** A total of 1272 IK cases were included. The overall incidence of IK was highest  
39 during summer (37.7, 95%CI: 31.3-44.1), followed by autumn (36.7, 95%CI: 31.0-42.4),  
40 winter (36.4, 95%CI: 32.1-40.8), and spring (30.6, 95%CI: 26.8-34.3), though not statistically  
41 significant ( $p=0.14$ ). The incidence of IK during summer increased significantly over the 12  
42 years of study ( $r=0.58$ ,  $p=0.049$ ), but the incidence of IK in other seasons remained relatively  
43 stable throughout the study period. Significant seasonal variations were observed in  
44 patients' age (younger age in summer) and causative organisms, including *Pseudomonas*  
45 *aeruginosa* (32.9% in summer vs. 14.8% in winter;  $p<0.001$ ) and Gram-positive bacilli  
46 (16.1% in summer vs. 4.7% in winter;  $p=0.014$ ).

47

48 **Conclusion:** The incidence of IK in Nottingham was similar among four seasons. No  
49 temporal trend in the annual incidence of IK was observed, as reported previously, but there  
50 was a significant yearly increase in the incidence of IK during summer in Nottingham over  
51 the past decade. The association of younger age, *P. aeruginosa* and Gram-positive bacilli  
52 infection with summer was likely attributed to contact lens wear, increased outdoor/water  
53 activity, and warmer temperature conducive for microbial growth.

54

55 **Keywords:** Corneal infection; Corneal ulcer; Infectious keratitis; *Pseudomonas*; Season

56

57 **INTRODUCTION**

58 Infectious keratitis (IK) is a common ophthalmic emergency characterised by a variety of  
59 manifestations, including corneal ulceration, stromal infiltrates and varying degree of anterior  
60 chamber reaction. It is responsible for approximately 2 million monocular blindness per year,  
61 with higher rates reported in developing countries.<sup>1</sup> A wide range of microorganisms,  
62 including bacteria, fungi, viruses and parasites, particularly acanthamoeba, have been  
63 implicated in IK.<sup>2,3</sup> In view of the diverse causative microorganisms, broad-spectrum topical  
64 antimicrobial treatment is often commenced initially and supplemented by adjuvant therapies  
65 when required.<sup>4-6</sup>

66

67 IK is primarily diagnosed on clinical grounds with the support of microbiological  
68 investigations, commonly in the form of corneal scraping for microscopy, culture and  
69 sensitivity testing. However, this current diagnostic approach is challenged by several  
70 issues, including the variably low culture yield, the slow turnaround time for positive results  
71 (usually 24-48 hours from the corneal samples being taken), contamination, and the  
72 possibility of polymicrobial infection.<sup>1,7,8</sup> As the specific cause of IK is often indistinguishable  
73 from the clinical features, gaining knowledge about the patterns of microbiological profiles of  
74 IK in a particular region may provide additional guidance to the clinicians on the antimicrobial  
75 therapy.

76

77 Geographical and temporal variations of IK have been well reported in the literature, with  
78 bacteria and fungi being shown as the most common microorganisms responsible for IK in  
79 developed and developing countries, respectively.<sup>2,3,9</sup> However, examination of the  
80 seasonal trends in the incidence and causative microorganisms of IK remains limited (**Table**  
81 **1**).<sup>10-16</sup> So far, there are only three studies in the literature that examined the seasonal  
82 variations in the rate of IK in the UK.<sup>11,13,16</sup> Otri et al.<sup>13</sup> previously reported a higher  
83 proportion of IK during the summer season in Nottingham between 2007 and 2010; however  
84 only 129 cases of sight-threatening IK were included in the study. In addition, only one UK

85 study, conducted in Manchester, examined the seasonal variations in the causative  
86 microorganisms of IK.<sup>16</sup>

87

88 We recently reported the incidence, causative microorganisms, and *in vitro* antibiotic  
89 susceptibility of IK In Nottingham, UK, over the past decade.<sup>17</sup> We observed a relatively  
90 stable trend of incidence (estimated at 34.7 per 100,000 population-year) and *Pseudomonas*  
91 *aeruginosa* was found to be the most common organism for IK. However, the seasonal  
92 patterns of these aspects have not been elucidated. In view of the paucity of literature, this  
93 study aimed to provide an up-to-date and comprehensive examination of the seasonal  
94 variations in the incidence, demographic factors, culture positivity rate, microbiological  
95 profiles, and antibiotic susceptibility of IK in Nottingham.

96

## 97 **MATERIALS AND METHODS**

98 This was a retrospective study of all patients who were diagnosed with IK and underwent  
99 corneal scraping between January 2008 and December 2019 (a 12-year period) at the  
100 Queen's Medical Centre (QMC), Nottingham, UK. The study method used was similar to the  
101 previous study but with a different objective and a slightly different study period.<sup>17</sup> Cases  
102 were identified through the local microbiology electronic database. QMC was the only  
103 tertiary ophthalmic referral centre in the city of Nottingham with an embedded eye casualty  
104 that was open 24 hours a day throughout the year to manage patients with emergency and  
105 urgent ophthalmic conditions, including IK. There were two other nearby hospitals in the  
106 East Midlands region, including Kings Mill Hospital and Derby Royal Hospital, which covered  
107 a different subset of the population outside Nottingham and were not included in our local  
108 database.

109

110 Based on the departmental guideline for IK, all patients presenting with sight threatening  
111 corneal ulcers; defined as size >1 mm diameter, central location, associated melting or  
112 hypopyon or atypical presentation; were subjected to microbiological investigation such as

113 corneal scraping for microscopy (with Gram staining), microbial culture and sensitivity  
114 testing.<sup>17</sup> Corneal scrapes were inoculated on chocolate agar (for fastidious organisms),  
115 blood agar (for bacteria), and Sabouraud dextrose agar (for fungi). For suspected cases of  
116 acanthamoeba keratitis, non-nutrient *Escherichia coli*-enriched agar plate was used for  
117 inoculation. All cultures were incubated for at least 1 week (and up to 3 weeks for suspected  
118 acanthamoeba keratitis cases). The identity of the microorganisms was confirmed through  
119 standard culture and bacteriology tests. Corneal scraping was repeated in the same eye  
120 when the patient was unresponsive to treatment regardless of positive or negative outcome  
121 of the first culture. These cases were only counted as one clinical episode.

122

123 For descriptive and analytic purposes, the causative microorganisms were categorised into  
124 Gram-positive and Gram-negative bacteria, fungi, and acanthamoeba. Seasons were  
125 divided into winter (22 December to 21 March), spring (22 March to 21 June), summer (22  
126 June to 21 September), and autumn (22 September to 21 December), as defined by the  
127 internationally recognised astronomical seasons and previous studies.<sup>14, 15, 18</sup> The population  
128 in Nottingham was estimated at the range between 300,000 and 328,000 people during the  
129 12-year study period (<https://www.ukpopulation.org/nottingham-population/>). The number of  
130 populations used to estimate the yearly incidence of IK in Nottingham, UK, is provided in the

131 **Supplementary Table 1.**

132

133 The study was conducted in accordance with the tenets of Declaration of Helsinki and  
134 was approved by the Nottingham University Hospitals NHS Trust as a service evaluation  
135 study (reference number: 19-265C).

136

### 137 **Statistical analysis**

138 Statistical analysis was performed using SPSS version 26.0 (IBM SPSS Statistics for  
139 Windows, Armonk, NY, USA). Chi-square test or one-way analysis of variance (ANOVA)  
140 was performed, where appropriate, to analyse the seasonal patterns of incidence,

141 demographic factors, and microbiological profiles of IK among the four seasons. All  
142 continuous data were presented as mean  $\pm$  standard deviation (SD) and/or 95% confidence  
143 interval (CI). Pearson's correlation coefficient ( $r$ ) analysis was performed to examine the  
144 incidence of IK in each season over time and was interpreted as weak ( $r=0.00-0.40$ ),  
145 moderate ( $r=0.41-0.69$ ), or strong ( $r=0.70-1.00$ ), with negative values being interpreted in the  
146 same way.<sup>19</sup> P-value of  $\leq 0.05$  was considered statistically significant. When multiple  
147 subgroups were analysed in Chi-square test, crude Bonferroni-type adjustment was used to  
148 keep the overall false positive rate or alpha level at 0.05 [e.g. if comparison of 5 subgroups  
149 was performed, the adjusted p-value of  $\leq 0.01$  (based on  $0.05/5$ ) was considered  
150 significant].<sup>20</sup>

151

## 152 **RESULTS**

### 153 **Overall description**

154 During the 12-year study period, a total of 1272 cases of IK were included. The mean  
155 patient's age was  $50.0 \pm 22.2$  years and 50.2% were male. Of all cases, 468 (36.8%) cases  
156 were culture positive with 549 microorganisms being identified (**Table 2**).

157

### 158 **Seasonal pattern in incidence of IK**

159 The overall incidence of IK (in per 100,000 population-year) was highest during summer  
160 (37.7, 95% CI: 31.3-44.1), followed by autumn (36.7, 95% CI: 31.0-42.4), winter (36.4, 95%  
161 CI: 32.1-40.8), and spring (30.6, 95% CI: 26.8-34.3), though the overall difference was not  
162 statistically significant ( $p=0.14$ ; **Figure 1**). Over the 12-year study period, there was a  
163 significant yearly increase in the incidence of IK during summer ( $r=0.58$ ,  $p=0.049$ ), but the  
164 incidence of IK in other seasons remained stable over time (**Figure 2**).

165

### 166 **Seasonal patterns of demographic factors and microbiological profiles of IK**

167 A total of 549 causative microorganisms were identified during the study period. There was a  
168 small but significant difference in the patient's age among the four seasons ( $p=0.044$ ), with a

169 younger group of patients ( $48.3 \pm 22.2$  years) presenting during the summer and older group  
170 of patients ( $52.4 \pm 22.6$  years) presenting during the winter (**Table 2**). In addition, seasonal  
171 predilection was observed in some causative organisms such as *P. aeruginosa* (32.9% in  
172 summer vs. 14.8% in winter;  $p < 0.001$ ) and Gram-positive bacilli (16.1% in summer vs. 4.7%  
173 in winter;  $p = 0.014$ ), which included *Propionibacterium spp.*, *Corynebacterium spp.*, and  
174 *Bacillus spp.* **Table 2**). There were no seasonal variations in gender, culture positivity rate,  
175 and antibiotic susceptibility of IK demonstrated among the four seasons.

176

## 177 **DISCUSSION**

178 Seasonal cyclicality is a common feature of infectious diseases in general.<sup>21</sup> Depending on the  
179 causative pathogens, geographical and temporal factors, and host susceptibility, certain  
180 diseases are more common in particular seasons.<sup>21, 22</sup> For instance, influenza and rotavirus-  
181 related gastroenteritis were shown to be more common during the winter season (in  
182 temperate zones)<sup>21, 23</sup> whereas tuberculosis peaked during summer in some countries such  
183 as the UK.<sup>23, 24</sup> Understanding of the seasonal patterns of infectious diseases, including IK,  
184 could have important implications on the public health, disease control and biology.<sup>21</sup>

185

186 To the best of our knowledge, this represents the most up-to-date and largest study  
187 examining the seasonal variations in incidence, demographic factors, and microbiological  
188 profiles of IK in Nottingham, UK. We observed that IK was most prevalent during summer  
189 (37.7 per 100,000 population-year), accompanied by a significant increase over the past  
190 decade. This was similar to other studies conducted in the UK<sup>11, 13</sup> and in other parts of the  
191 world such as India<sup>12</sup> and the US,<sup>15</sup> which also reported and a higher rate of IK during  
192 summer. Gaining knowledge on the seasonal rate or incidence of IK help increase the  
193 vigilance for IK among clinicians, including ophthalmologists and non-ophthalmologists who  
194 work at the front-line service such as accident and emergency department and primary care  
195 setting, during the prevalent season.

196

197 Plausible explanations for this seasonal phenomenon include raised temperature which may  
198 help the microorganisms to flourish, increased outdoor activities, contact with water, and use  
199 of contact lenses during the summer period, which could increase the risk of corneal injury  
200 and infection.<sup>15</sup> However, further studies examining the seasonal variations of the risk  
201 factors are required to elucidate the findings observed in our study. Interestingly, Walkden et  
202 al.<sup>16</sup> reported that the culture positivity rate of IK was highest during winter and lowest during  
203 summer but it is uncertain whether the overall seasonal incidence of IK in their region could  
204 be inferred from these findings.

205

206 In addition, we observed significant seasonal variations in *P. aeruginosa* and Gram-positive  
207 bacilli during the past decade. *P. aeruginosa* infection was most commonly observed during  
208 summer and was responsible for 33% of all IK. Similarly, a higher rate of *P. aeruginosa*  
209 infection in summer has been reported in other studies,<sup>10, 12, 15, 16</sup> which was attributed to  
210 warmer temperature and use of contact lens. We also observed a significantly higher  
211 proportion of Gram-positive bacilli infection during summer when compared to winter. Gram-  
212 positive bacilli, including *Propionibacterium spp.* and *Corynebacterium spp.*, are common  
213 ocular surface commensals<sup>25, 26</sup> and the growth has been shown to be most active or optimal  
214 at the temperature between 30-37°C,<sup>27</sup> which may account for the higher rate of these  
215 infections during summer. Furthermore, Lin et al.<sup>12</sup> have also demonstrated a significantly  
216 higher rate of fungal infection during summer in Southeast India. The number of fungal or  
217 acanthamoeba infection were very low (<5%) in our study and any seasonal variation was  
218 observed.

219

220 Interestingly, studies have also shown that postoperative infection may be higher during  
221 summer. For instance, Anthony et al.<sup>28</sup> demonstrated that surgical site infections following  
222 knee and hip arthroplasty were most common in summer, with increased re-admission for  
223 treatment of post-surgical infection during the same season. It would be interesting to  
224 examine whether this observation can be generalised to IK following ocular surface and/or



225 refractive surgeries, particularly our study found that there was a significant higher rate of  
226 infection related to ocular surface commensals (i.e. *Propionibacterium spp.* and  
227 *Corynebacterium spp.*) during the summer season.

228

229 One of the limitations of our study is that we only included IK cases that had undergone  
230 corneal scraping; therefore, the overall incidence of IK in our region is likely to be  
231 underestimated. Nevertheless, there was no seasonal disparity in the practice pattern (e.g.  
232 culture method or threshold for performing corneal scraping) in our unit, suggesting that the  
233 findings related to the seasonal variations of IK observed in our study should not be affected.  
234 Cases referred from elsewhere usually have scrapes performed and antibiotic treatment  
235 initiated at the referring hospital. Culture results from these patients would not be captured in  
236 our microbiology database. Another limitation is that the full representation of the causative  
237 microorganisms in this study was hindered by the relatively low positive culture rate, which is  
238 a common issue in many IK studies.<sup>1</sup> Emerging investigative techniques such as *in vivo*  
239 confocal microscopy,<sup>29, 30</sup> MALDI-TOF mass spectrometry,<sup>31, 32</sup> polymerase chain reaction  
240 (PCR) and/or next generation sequencing,<sup>33</sup> and artificial intelligence-assisted systems<sup>34</sup>  
241 could potentially enhance the diagnostic yield of IK in the future.

242

243 In conclusion, there has been a significant increase in IK during summer in Nottingham, UK,  
244 over the past decade. Increased awareness of IK during this season should be raised  
245 among the general public and the healthcare service. Gram-positive bacilli and *P.*  
246 *aeruginosa* infections are significantly more common in summer and these observations  
247 may provide additional guidance on the antimicrobial therapy used in our region. Further  
248 studies investigating the correlations between these observations and the predisposing  
249 factors of IK will be beneficial.

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251

252

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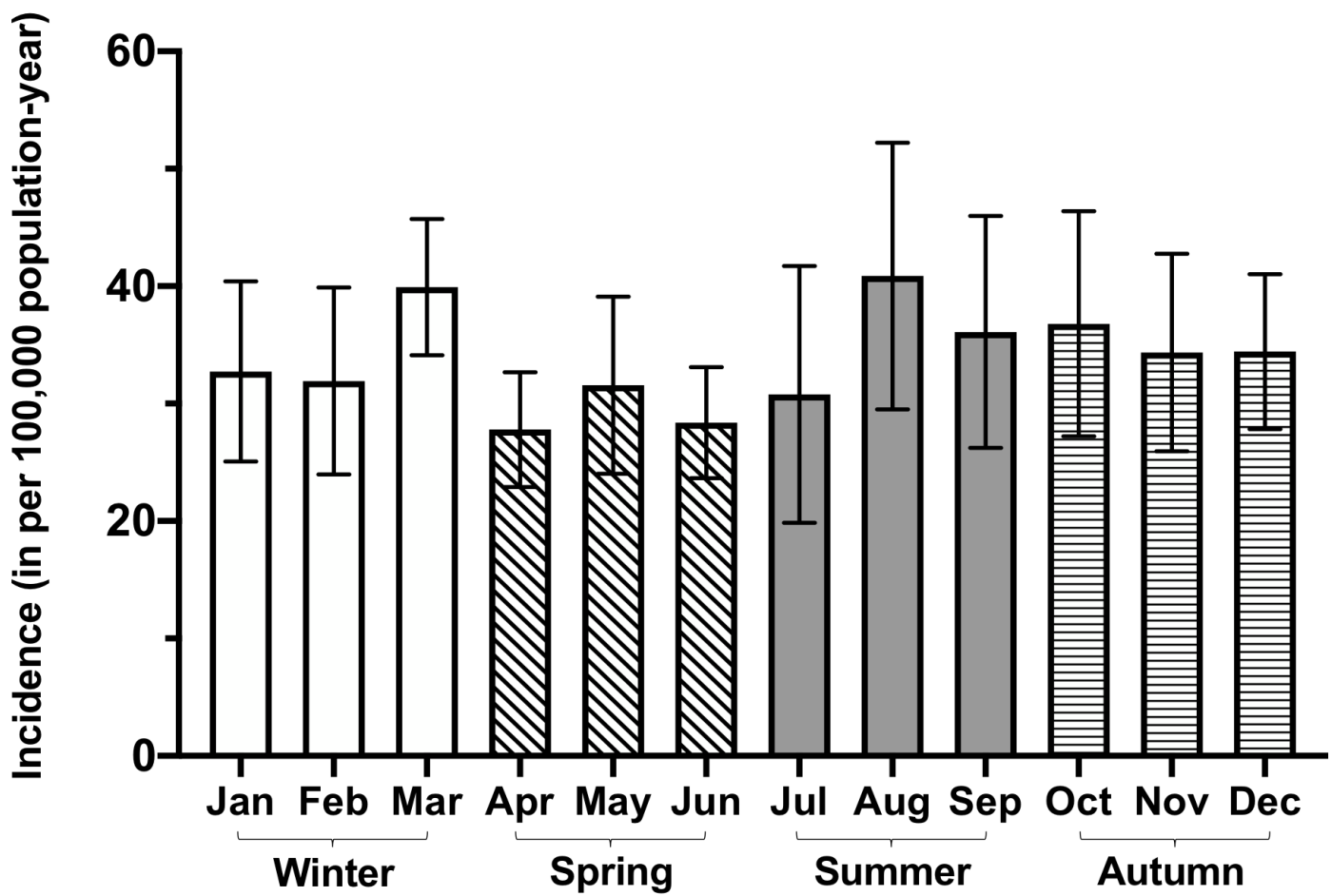
## 351 **FIGURE LEGENDS**

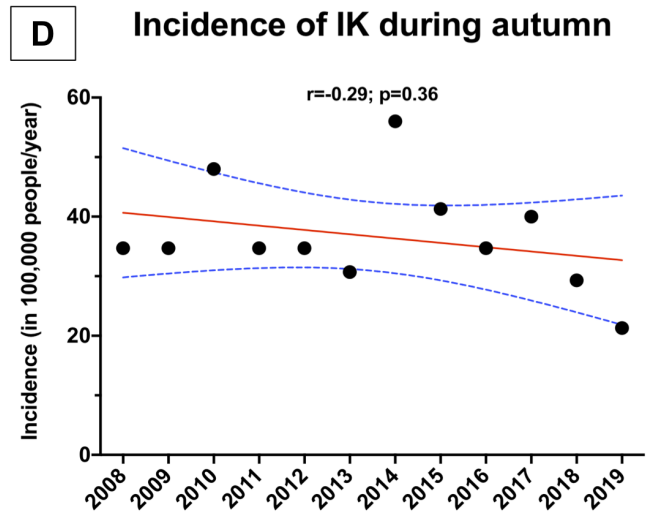
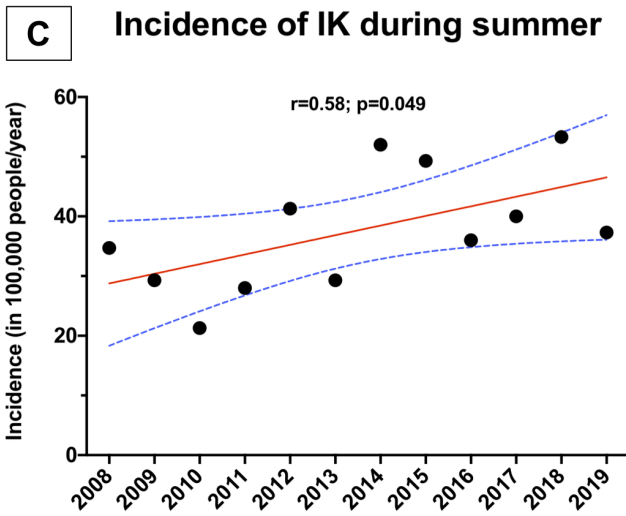
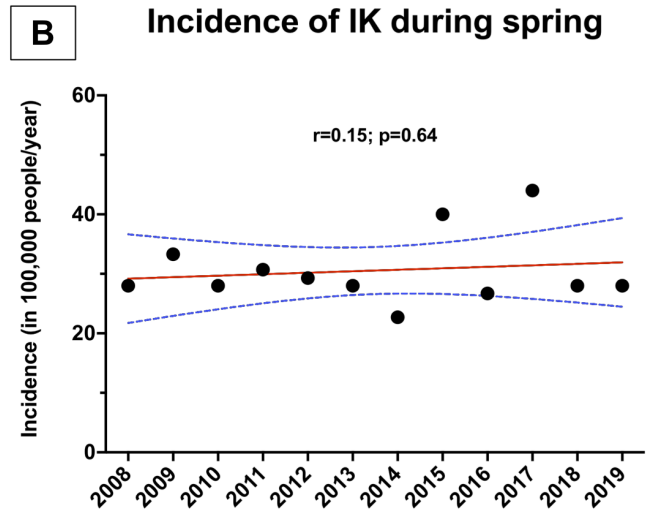
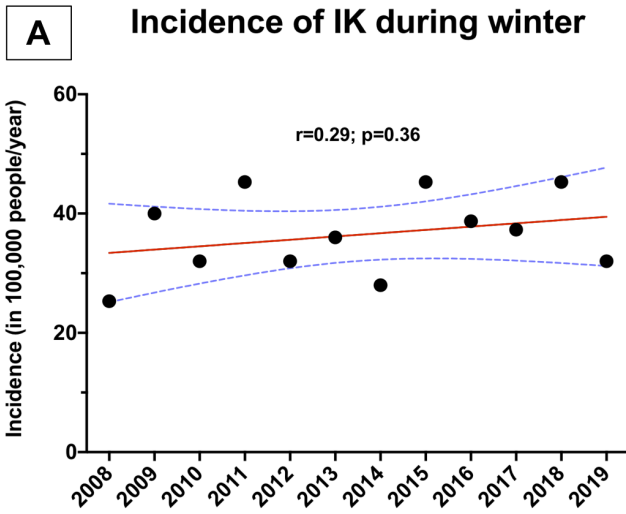
352 **Figure 1.** Seasonal patterns in the incidence of infectious keratitis in Nottingham, UK,  
353 between January 2008 and December 2019. The monthly incidence is presented as mean  
354 with 95% confidence interval (depicted by the error bars). For better graphical presentation  
355 purpose, “22 Dec – 21 Jan” was referred to as month “January”, “22 Jan – 21 Feb” was  
356 referred to as month “February”, and so on.

357

358 **Figure 2.** Temporal changes of the incidence of infectious keratitis in Nottingham, UK,  
359 during: (A) winter; (B) spring; (C) summer; and (D) autumn.

## Seasonal trend in infectious keratitis in Nottingham





**Table 1.** Summary of the seasonal trends in the rate and microbiological profiles of infectious keratitis in the literature, in the order of chronology.

Year	Authors	Study period	Sample size*	Location	Overall seasonal rate	Microbiological profiles**
2008	Green et al. <sup>10</sup>	1999 – 2004	253	Brisbane, Australia	Not examined	<i>P. aeruginosa</i> (in summer); <i>S. pneumonia</i> (in winter)
2009	Ibrahim et al. <sup>11</sup>	1997 – 2003	1786	Portsmouth, UK	Summer > Winter > Autumn > Spring	Not examined
2012	Lin et al. <sup>12</sup>	2006 – 2009	6967	Southeast India	Summer > Winter > Spring / Autumn	Fungi (in summer); <i>P. aeruginosa</i> (in July-December)
2013	Otri et al. <sup>13</sup>	2007 – 2010	129	Nottingham, UK	Summer > Spring > Winter > Autumn	Not examined
2015	Ni et al. <sup>14</sup>	2009 – 2012	313	Philadelphia, US	Spring > Autumn > Summer > Winter	Bacteria (in spring)
2016	Gorski et al. <sup>15</sup>	2008 – 2013	155	New York, US	Summer > Winter > Spring > Autumn	<i>P. aeruginosa</i> (in summer)
2018 <sup>#</sup>	Walkden et al. <sup>16</sup>	2004 – 2015	4229	Manchester, UK	Winter > Autumn > Spring > Summer	<i>P. aeruginosa</i> (in summer); CoNS (in autumn); <i>Candida</i> (in summer)
2020	Ting et al. (current study)	2008 – 2019	1272	Nottingham, UK	Summer > Autumn > Winter > Spring	<i>P. aeruginosa</i> (in summer); Gram-positive bacilli (in summer)

\*Number of cases of infectious keratitis.

\*\*Causative microorganisms which demonstrated significant seasonal predilection.

<sup>#</sup>The reported seasonal rate refers to the culture positivity rate of infectious keratitis but not the overall rate of infectious keratitis.



**Table 2.** Summary of the seasonal patterns in demographic factors, culture positivity rate, and microbiological profiles of infectious keratitis in Nottingham, UK, between January 2008 and December 2019.

	Winter N (%)	Spring N (%)	Summer N (%)	Autumn N (%)	P-value*
<b>Age, years</b>	52.4 ± 22.6	51.1 ± 22.5	48.3 ± 22.2	48.4 ± 21.5	<u>0.044</u>
<b>Gender</b>					0.88
Female	163 (49.7)	138 (50.2)	174 (51.3)	159 (48.2)	
Male	165 (50.3)	137 (49.8)	165 (48.7)	171 (51.8)	
<b>Culture result</b>					0.69
Positive	114 (34.8)	101 (36.7)	133 (39.2)	120 (36.4)	
Negative	214 (65.2)	174 (63.3)	206 (60.8)	210 (63.6)	
<b>Organisms**</b>					
Gram-positive	70 (54.7)	73 (60.3)	77 (49.7)	78 (53.8)	0.37
<i>Staphylococci</i>	46 (35.9)	38 (31.4)	35 (22.5)	40 (27.6)	0.055
Streptococci <sup>#</sup>	18 (14.1)	17 (14.0)	17 (11.0)	24 (16.6)	0.50
Bacilli	6 (4.7)	18 (14.9)	25 (16.1)	14 (9.7)	<u>0.014</u>
Gram-negative	48 (37.5)	38 (31.4)	70 (45.2)	55 (37.9)	0.14
<i>P. aeruginosa</i> (PA)	19 (14.8)	17 (14.0)	51 (32.9)	38 (26.2)	<u>&lt;0.001</u>
Non-PA	29 (22.7)	21 (17.4)	19 (12.2)	17 (11.7)	0.036
Fungi	4 (3.1)	4 (3.3)	4 (2.6)	5 (3.4)	0.98
Acanthamoeba	6 (4.7)	6 (5.0)	4 (2.6)	7 (4.8)	0.70
<b>Antibiotics, % (Y/N)***</b>					
Cephalosporin	81.8 (27/6)	90.9 (20/2)	85.2 (23/4)	90.0 (18/2)	0.75
Aminoglycoside	97.5 (79/2)	92.6 (63/5)	97.2 (104/3)	97.7 (86/2)	0.28
Fluoroquinolone	92.4 (97/8)	93.2 (82/6)	96.6 (115/4)	97.2 (104/3)	0.27

Continuous values are presented in mean ± standard deviation.

\*Comparison was made among the four seasons using chi-square test or ANOVA test, where appropriate. P-value of ≤0.05 was considered statistically significant. Adjusted p-value, using crude Bonferroni-type adjustment, was used when multiple pair-wise comparisons were performed. This adjustment was performed for analysis of organisms (at first and second level separately) and antibiotics.

\*\*Included all culture positive cases only and some cases cultured more than 1 organism. Comparison of organisms among 4 seasons was performed; (1) first level examining the 4 main groups, namely Gram-positive and Gram-negative bacteria, fungi and acanthamoeba; and (2) second level examining only the difference in the 5 bacterial subgroups.

<sup>#</sup>Included two cases of *Enterococcus faecalis* (one in spring and one in summer).

\*\*\*Refers to antibiotic susceptibility, presented in % of susceptibility (Y=susceptible/N=resistant). The total number may vary as not all organisms were tested against all 3 classes of antibiotics.