



# Closing the reporting gap for childhood tuberculosis in South Africa: improving hospital referrals and linkages

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**Setting:** A referral hospital in Cape Town, Western Cape Province, Republic of South Africa.

**Objective:** To measure the impact of a hospital-based referral service (intervention) to reduce initial loss to follow-up among children with tuberculosis (TB) and ensure the completeness of routine TB surveillance data.

**Design:** A dedicated TB referral service was established in the paediatric wards at Tygerberg Hospital, Cape Town, in 2012. Allocated personnel provided TB education and counselling, TB referral support and weekly telephonic follow-up after hospital discharge. All children identified with TB were matched to electronic TB treatment registers (ETR.Net/EDRWeb). Multivariable logistic regression was used to compare reporting of culture-confirmed and drug-susceptible TB cases before (2007–2009) and during (2012) the intervention.

**Results:** Successful referral with linkage to care was confirmed in 267/272 (98%) and successful reporting in 227/272 (84%) children. Children with drug-susceptible, culture-confirmed TB were significantly more likely to be reported during the intervention period than in the pre-intervention period (OR 2.52, 95%CI 1.33–4.77). The intervention effect remained consistent in multivariable analysis (adjusted OR 2.62; 95%CI 1.31–5.25) after adjusting for age, sex, human immunodeficiency virus status and the presence of TB meningitis.

**Conclusions:** A simple hospital-based TB referral service can reduce initial loss to follow-up and improve recording and reporting of childhood TB in settings with decentralised TB services.

Inaccurate surveillance data for childhood tuberculosis (TB; age <15 years) has been noted as a critical concern globally, and one which limits our ability to appropriately manage paediatric TB.<sup>1,2</sup> Since 2013, the World Health Organization (WHO) has been urging countries to prioritise improving the quality of TB surveillance data in children;<sup>3</sup> however, only 45% of the estimated 1 million childhood TB cases worldwide were reported to the WHO in 2017.<sup>4,5</sup> Under-detection of cases and incomplete reporting of detected cases both contribute to this large deficit.<sup>1</sup>

In 2017, South Africa reported only 40% of the 39 000 estimated child TB caseload.<sup>4</sup> South Africa follows a decentralised model of TB care, and the primary sources of TB surveillance data are two electronic TB treatment registers: ETR.Net for drug-susceptible (DS)-TB and EDRWeb for drug-resistant (DR)-TB. Both registers are used for TB case notification at local, national and international levels.<sup>6,7</sup>

Naidoo et al. estimated that 12% of the total TB burden in South Africa in 2013 was lost between diagnosis and treatment initiation (initial loss to follow-up [ILTFU]).<sup>8</sup> Substantial ILTFU (52% and 58%) has been documented among hospital-diagnosed TB patients in South Africa.<sup>9,10</sup> As TB surveillance data are typically captured at treatment initiation, ILTFU contributes to the reporting gap in South Africa. Successful linkage of TB care between hospital and community-based PHC facilities is another recognised challenge.<sup>11</sup> Following TB treatment initiation in South African hospitals, unsuccessful linkage to PHC care occurred in respectively 12% (Western Cape, 2008/2009),<sup>9</sup> 21% (Gauteng, 2001),<sup>12</sup> 23% (Gauteng, 2009)<sup>13</sup> and 31% (Kwa-Zulu Natal, 2005)<sup>10</sup> of TB patients, with children (age <15 years) being at even higher risk than adults for discontinuing TB care.<sup>9</sup> In provinces in South Africa where general hospitals are not required to report TB case-notification data, such as the Western Cape, TB patients who started treatment in-hospital but are not successfully linked to care, contributes to the reporting gap.

Childhood TB, especially TB in young children, is often diagnosed at hospital level due to challenges faced in specimen collection and diagnosis.<sup>14,15</sup> A retrospective audit of children diagnosed with culture-confirmed TB during 2007–2009 at a large tertiary hospital in Cape Town, Western Cape Province, South Africa, found an overall reporting gap of 38% (101/267); 32% (58/183) among children discharged home to continue TB care.<sup>16</sup> Given the large number of children with TB managed at this hospital (approximately 400 per year)<sup>14</sup> and other referral centres, this underestimation of the burden and spectrum of TB disease can have a considerable impact on resource allocation and service delivery. An evaluation of community-based TB surveillance data in one health sub-district in Cape Town found frequent omission of severe cases and a reporting gap of 15% (54/354) among children, all of whom had been diagnosed at the referral hospital.<sup>17</sup>

Similar challenges with hospital notification of childhood TB cases have been reported in other settings. A study from Indonesia found a large reporting gap in children, with only 75/4821 (1.6%) child TB cases managed in hospitals being recorded and reported to the National TB Programme.<sup>18</sup> At a private, tertiary hospital in India during 2015/2016, only 24/264 (9.1%) of child TB cases were notified.<sup>19</sup> In Cotonou, Benin, the hospital contributed 29 (16%) of the total child TB burden, of which none had been reported.<sup>20</sup> Although data on the gap in hospital report-

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## KEY WORDS

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ing for childhood TB are available, there is a paucity of data on interventions to address this.

Continuation of TB care from hospital to community-based PHC facilities and accurate reporting is essential to reduce ILTFU and accurately capture the true burden and spectrum of TB in children. Dedicated TB referral support interventions in hospitals has been previously shown to improve hospital-community linkage to care and TB reporting in Gauteng, South Africa.<sup>21,22</sup> We implemented a hospital-based intervention to support referral and linkage of children with TB from the hospital to community-based PHC facilities and evaluated the impact of this intervention on the completeness of routine TB reporting data.

## METHODS

### *Study design and population*

Prospective hospital surveillance activities identified 395 children (age 0–<13 years) routinely managed with either confirmed or clinically diagnosed TB at Tygerberg Hospital (TBH) during 2012.<sup>14</sup> Surveillance methods, clinical characteristics, care pathways and treatment outcomes have been previously reported.<sup>14</sup> Prospective enhanced surveillance provided the foundation for an intervention to support linkage to care focussed on children with TB who were discharged home to continue routine TB care at either community-based PHC facilities or as an outpatient at TBH.

All eligible children during the intervention period (January–December 2012) contributed to a prospective cohort. To assess the intervention impact on the completeness of reported data, a before-and-after study design was used to compare prospective cohort data from the intervention period with data from a previous retrospective cohort study of children with culture-confirmed TB at the same hospital (July 2007–June 2009).<sup>16</sup>

### *Setting*

South Africa remains one of the highest TB burden countries globally, with an estimated annual TB incidence rate of more than 500 per 100 000 population per year since 2000.<sup>4</sup> Of the 296 996 new TB case notifications that were reported in 2012 to the WHO, 38 578 (13%) were children aged <15 years.<sup>23</sup> TBH is one of two tertiary referral hospitals in Cape Town, serving the paediatric population in the Western Cape Province. During 2012, the hospital had 268 paediatric beds and a staff complement of more than 100 clinical personnel.<sup>24</sup> It serves as a referral hospital for both uncomplicated and complicated TB cases from surrounding high-burden communities, and for complicated TB cases across the province. The majority of the paediatric TB cases are discharged home to continue TB care, and others are referred to TB hospitals, secondary-level hospitals or chronic, medium-term care facilities.<sup>14,16</sup> Following a diagnosis of TB meningitis (TBM), eligible children can enter a home-based care programme with monthly outpatient follow-up at TBH until treatment completion.<sup>25</sup>

An electronic register for DR-TB (EDRWeb) was piloted and implemented in South Africa from 2009. In addition to the changes in surveillance and reporting, paediatric DR-TB care was decentralised in 2011 at provincial level. Xpert MTB/RIF (Cepheid, Sunnydale, CA) was only routinely implemented for paediatric TB after 2012.

### *Linkage to care intervention*

A hospital-based TB referral service, staffed by a dedicated full-time nursing officer and a lay healthcare worker, was established in the paediatric wards and outpatient clinics at TBH in 2012. The Figure provides an overview of the intervention. In-hospital support for children routinely diagnosed with TB by TBH clinical staff included TB education and counselling of parents/caregivers (by telephone if not possible in person), and supporting completion of routine TB referral stationary. During study implementation, paediatric hospital personnel received ongoing training and feedback regarding appropriate TB referral procedures. All intervention activities were implemented as part of an integrated package of TB care for children at TBH. Following discharge, intervention support included weekly follow-up by telephone with TB staff at the receiving PHC to confirm whether the child had accessed care, and with parents/caregivers if necessary. TB nurses at the PHCs were reminded to record all children into the PHC-based paper TB register. Parents/caregivers of children who were followed up monthly at the TBH outpatient department were asked to attend their community-based PHC facility upon hospital discharge and at the end of treatment to ensure recording of the child and their TB treatment outcome in the PHC-based TB treatment registers.

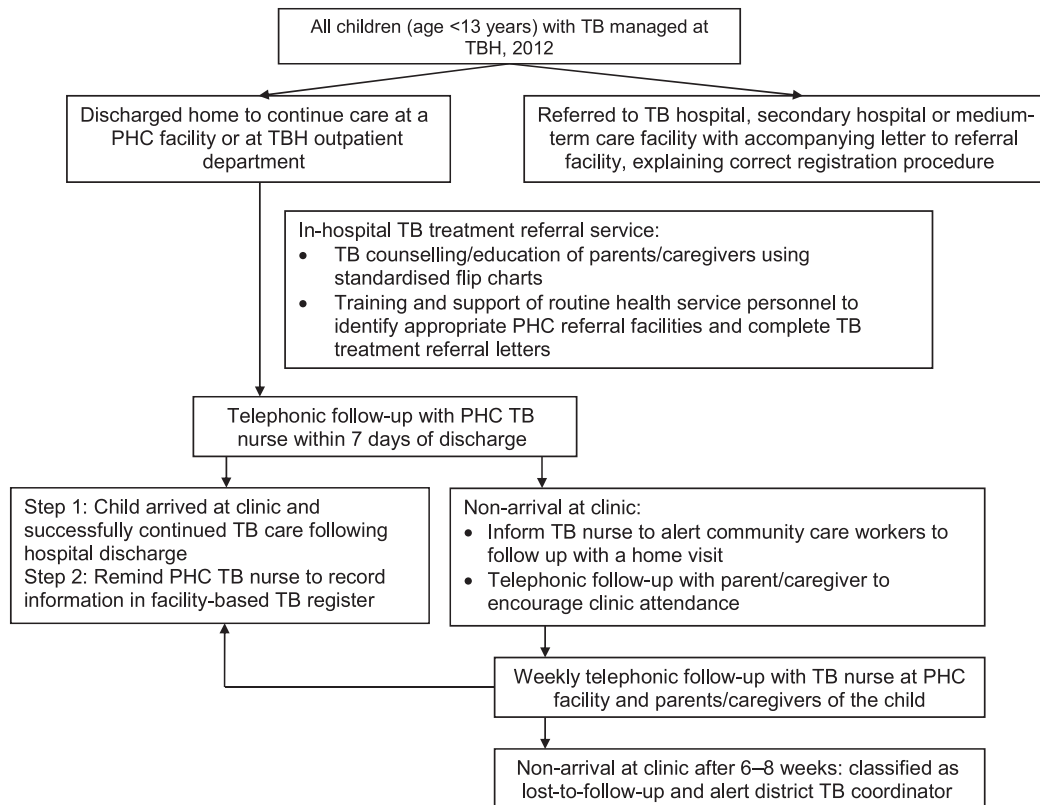
### *Data collection, definitions and outcome measures*

Demographic and clinical information were extracted from routine patient records. Based on standard of care diagnostic testing in this setting (chest radiography and at least two respiratory specimens), the duration of admission was divided into two categories—1–3 days or  $\geq 4$  days—to distinguish between uncomplicated and more complicated admissions. Referral information was captured through telephonic follow-up with healthcare providers and parents/caregivers, as well as patient record reviews. A successful referral outcome required telephonic (with a healthcare provider) or paper-based confirmation of attendance at a community-based PHC facility or outpatient clinic following hospital discharge.

Standard case report forms were completed and dual-captured in an access-controlled database with restricted access. Probabilistic record linkage was used to match identified TBH patients to an extracted TB surveillance database (ETR.Net and EDRWeb; 2011–2013).<sup>26</sup> Following electronic linkage, demographic and TB episode data were manually reviewed for accuracy. Previously described methods and criteria were used to determine successful matching, consistent with methods used in the baseline/pre-intervention as-

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**FIGURE** Hospital intervention to support successful referral and reporting of childhood TB, Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa, January–December 2012. TB = tuberculosis; TBH = Tygerberg Hospital; PHC = primary health care.

assessment.<sup>16</sup> Data were de-identified upon completion of matching procedures.

### Statistical analysis

Results are reported as numbers and percentages for categorical variables, and median and inter-quartile ranges (IQRs) for continuous variables. Statistical comparisons were made to assess differences between children who had successfully received the intervention vs. those who did not, and to evaluate associations between primary outcome measures and in-hospital intervention activities, relevant admission and referral factors. Odds ratios (ORs) and 95% confidence intervals (CIs) are reported. The  $\chi^2$  test or Fisher's Exact test was used for hypothesis testing.

To measure the impact of the intervention on TB case notification, data from the intervention period (2012) were compared with data from the pre-intervention period (baseline; 2007–2009) at the same hospital using an intention-to-treat analysis approach. Baseline data were limited to children with culture-confirmed TB. Therefore, analysis of the intervention period included only children with culture-confirmed TB, although analysis with the total intervention group was also performed. Demographic, admission and clinical factors were compared to assess comparability between the groups from the two periods. Univariable and multivariable logistic regression were used to measure the impact of the intervention on reporting, and to identify and adjust for possible confounders. Data are reported as ORs and adjusted odds ratios (aORs). Due to the changes in reporting for children with DR-TB over the total study period, primary analysis included only children treated for DS-TB during both periods. The multivariable model included age, sex and HIV status *a priori*, and variables that

were significantly associated with outcomes, at  $P < 0.05$ , in univariable analyses. Analyses were completed using Stata SE version 14.0 (StataCorp, College Station, TX, USA).

Ethics approval was obtained from the Stellenbosch University Health Research Ethics Committee, Tygerberg, South Africa (N11/09/287), and provincial (RP143/2011) and municipal authorities (ID 10266)). A waiver of individual informed consent was granted since the intervention was implemented as part of standard paediatric clinical care.

## RESULTS

During 2012, 272 children with TB (102 [38%] culture-confirmed) were discharged to continue TB care at a community-based PHC facility ( $n = 244$ ) or at the TBH outpatient department ( $n = 28$ ). TB education and counselling were completed with parents/caregivers of 230 (85%) children, and referral documentation was completed for 220 (81%) children. Table 1 gives the associations between demographic, clinical, care pathway and admission factors and the completion of in-hospital intervention activities. Bacteriological confirmation, diagnosis after discharge and hospital admission  $\leq 3$  days were associated with not completing TB education. Extrapulmonary TB (EPTB) only, bacteriological confirmation and a pre-admission or post-discharge TB diagnosis were associated with incomplete TB referral documentation.

Referral and reporting outcomes are shown in Table 2 in relation to the in-hospital intervention activities, clinical and TB care pathway factors. Of the 272 children, successful referral was confirmed in 267 (98%), and successful reporting in 227 (84%

**TABLE 1** Characteristics of children with TB discharged from Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa, by completion status of in-hospital linkage-to-care intervention activities, January–December 2012 ( $n = 272$ )

	TB education		Referral documentation	
	Completed ( $n = 230, 84.6\%$ ) $n/N$ (%)	$P$ value	Completed ( $n = 220, 80.8\%$ ) $n/N$ (%)	$P$ value
Demographic/clinical factors				
Age, years				
0–<2	103/119 (86.6)		97/119 (81.5)	
2–<5	74/85 (87.1)		73/85 (85.9)	
5–13	53/68 (77.9)	0.218	50/68 (73.5)	0.151
Sex				
Male	130/155 (83.9)		131/155 (84.5)	
Female	100/117 (85.5)	0.718	89/117 (76.1)	0.079
HIV status				
Positive	51/59 (86.4)		48/59 (81.4)	
Negative	166/195 (85.1)		161/195 (82.6)	
Unknown	13/18 (72.2)	0.316	11/18 (61.1)	0.086
TB disease type				
PTB only	130/154 (84.4)		132/154 (85.7)	
Both PTB and EPTB	62/72 (86.1)		62/72 (86.1)	
EPTB only	38/46 (82.6)	0.874	26/46 (56.5)	0.001
Diagnostic status				
Culture-confirmed <i>M. tuberculosis</i>	80/102 (78.4)		76/102 (74.5)	
Clinical diagnosis	150/170 (88.2)	0.030	144/170 (84.7)	0.038
TB treatment regimen				
DS-TB regimen	216/258 (83.7)		209/258 (81.0)	
DR-TB regimen*	14/14 (100)	0.137†	11/14 (78.6)	0.735†
TB care pathway/admission factors				
TB diagnostic pathway				
Diagnosed before admission	50/56 (89.3)		36/56 (64.3)	
Diagnosed during admission	174/203 (85.7)		178/203 (87.7)	
Diagnosed after discharge‡	6/13 (46.2)	<0.001	6/13 (46.2)	<0.001
Level of health care accessed before diagnosis§				
Primary health care	11/13 (84.6)		9/13 (69.2)	
Other hospital	39/43 (90.7)	0.615†	27/43 (62.8)	0.752†
Duration of hospital visit/admission				
Outpatients	28/34 (82.4)		28/34 (82.4)	
1–≤3 days	23/39 (59.0)		30/39 (76.9)	
≥4 days	179/199 (90.0)	<0.001	162/199 (81.4)	0.787

\*Includes all types of drug resistance.

†Fisher's Exact test used if  $\chi^2$  assumptions were not met.

‡12 of 13 children who were diagnosed only after discharge were diagnosed based on a culture-positive result that became available only after discharge.

§Including only children diagnosed before hospital admission.

TB = tuberculosis; HIV = human immunodeficiency virus; PTB = pulmonary TB; EPTB = extrapulmonary TB; DS-TB = drug-susceptible TB; DR-TB = drug-resistant TB.

matched with the routine TB surveillance data [ETR.Net/EDRWeb]). Receiving education/counselling was associated with successful referral. Children who were followed as hospital outpatients, including all children with TBM, as well as children with DR-TB, were less likely to be reported.

Table 3 compares demographic, admission and clinical characteristics of children with culture-confirmed TB who were discharged to continue TB care by time period: baseline (2007–2009;  $n = 183^{16}$ ) and intervention (2012;  $n = 102$ ). Children from the two periods were similar regarding age distribution, sex, patient category, duration of admission, TB disease spectrum, TBM, military TB and drug resistance. The proportion of children with unknown HIV status decreased substantially over time from 59 (32%) to 11 (11%), and documented HIV infection decreased from 29 (16%) to 12 (12%).

Table 4 provides results of the univariable and multivariable analyses to assess the impact of the intervention on reporting of children with DS-TB. During the intervention period, children discharged home to continue TB care were 2.52 times (95%CI 1.33–4.77,  $P = 0.004$ ) more likely to be recorded in the ETR.Net than during the baseline period. The intervention effect remained consistent in the multivariable model, adjusting for age, sex, HIV status and TBM (aOR 2.62, 95%CI 1.31–5.25;  $P = 0.006$ ). In the multivariate model adjusting for the effect of the intervention, the odds of children with TBM being reported remained significantly lower than children without TBM (aOR 0.18, 95%CI 0.07–0.48;  $P = 0.001$ ). Although data are not presented, multivariable analyses of the culture-confirmed baseline group and the total intervention group (culture-confirmed plus clinically diagnosed cases) adjusted for the same variables,

**TABLE 2** Referral and reporting outcomes of a hospital-community linkage to care intervention for children with TB discharged from Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa, January–December 2012 (*n* = 272)

	Referral outcomes			Reporting outcomes	
	Overall ( <i>n</i> = 272) <i>n</i> (%)	Accessed clinical care ( <i>n</i> = 267, 98.2%) <i>n</i> (%)	<i>P</i> value	Recorded in ETR.Net/EDRWeb ( <i>n</i> = 227, 83.5%) <i>n</i> (%)	<i>P</i> value
<b>In-hospital intervention activities</b>					
TB education/counselling					
Completed*	230 (84.6)	229 (99.6)		188 (81.7)	
Not possible†	42 (15.4)	38 (90.5)	0.002‡	39 (92.9)	0.075
Relationship with counselled caregiver					
Parent	214/228 (93.9)	213/214 (99.5)		176/214 (82.2)	
Other	14/228 (6.1)	14/14 (100)	1.000‡	10/14 (71.4)	0.297‡
Appropriate referral documents					
Completed	220 (80.9)	217 (98.6)		187 (85.0)	
Not completed	52 (19.1)	50 (96.2)	0.244‡	40 (76.9)	0.162
<b>Demographic/clinical factors</b>					
Age, years					
0–<2	119 (43.7)	115 (96.6)		95 (79.8)	
2–<5	85 (31.3)	85 (98.8)		70 (82.4)	
5–13	68 (25.0)	68 (25.5)	0.324‡	62 (91.2)	0.126
Sex					
Male	155 (57.0)	153 (98.7)		127 (81.9)	
Female	117 (43.0)	114 (97.4)	0.655‡	100 (85.5)	0.437
HIV status					
Positive	59 (21.7)	58 (98.3)		49 (83.1)	
Negative	195 (71.7)	191 (98.0)		164 (84.1)	
Unknown	18 (6.6)	18 (100)	1.000‡	14 (77.8)	0.784
TB disease type					
PTB only	154 (56.6)	150 (97.4)		128 (83.1)	
Both PTB and EPTB	72 (26.5)	71 (98.6)		62 (86.1)	
EPTB only	46 (16.9)	46 (100)	0.835‡	37 (80.4)	0.710
Disseminated TB					
TBM	26 (9.6)	26 (100)	1.000‡	16 (61.5)	0.004‡
Miliary TB	10 (3.7)	10 (100)	1.000‡	8 (80.0)	0.673‡
Diagnostic status					
Culture-confirmed <i>M. tuberculosis</i>	102 (37.5)	100 (98.0)		86 (84.3)	
Clinical diagnosis	170 (62.5)	167 (98.2)	1.000‡	141 (82.9)	0.768
TB treatment regimen					
DS-TB treatment	258 (94.9)	253 (98.1)		219 (84.9)	
Any DR-TB treatment	14 (5.2)	14 (100)	1.000‡	8 (57.1)	0.016‡
<b>TB care pathway/admission factors</b>					
TB diagnostic pathway					
Diagnosed before admission	56 (20.6)	55 (98.2)		43 (76.8)	
Diagnosed during admission	203 (74.6)	199 (98.0)		172 (84.7)	
Diagnosed after discharge§	13 (4.8)	13 (100)	1.000‡	12 (92.3)	0.249
Duration of hospital visit/admission					
Outpatients	34 (12.5)	34 (100)		31 (91.2)	
1–≤3 days	39 (14.3)	37 (94.9)		35 (89.7)	
≥4 days	199 (73.2)	196 (98.5)	0.263‡	161 (80.9)	0.172
Location of monthly follow-up					
Community-based	244 (89.7)	239 (98.0)		209 (85.7)	
Hospital-based¶	28 (10.3)	28 (100)	1.000‡	18 (64.3)	0.012‡

\*228 of the 230 education sessions were completed with the child's primary caregiver.

†Parent or caregiver not available or contactable for TB-specific education.

‡Fisher's Exact were used if  $\chi^2$  assumptions not met.

§12 of 13 children who were diagnosed only after discharge were diagnosed based on culture-positive result that became available only after discharge.

¶126/28 (92.9%) children who were followed up monthly at Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa, had TBM and were part of the established TBM home-based care programme.

TB = tuberculosis; ETR.Net = electronic TB register for drug-susceptible TB; EDRWeb = electronic TB register for drug-resistant TB; HIV = human immunodeficiency virus; PTB = pulmonary TB; EPTB = extrapulmonary TB; DS-TB = drug-susceptible TB; DR-TB = drug-resistant TB; TBM = TB meningitis.

**TABLE 3** Characteristics of children with culture-confirmed TB discharged from Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa, during baseline and intervention periods ( $n = 285$ )

	Baseline 2007–2009 ( $n = 183$ ) $n$ (%)	Intervention 2012 ( $n = 102$ ) $n$ (%)	$P$ value
Demographic and admission factors			
Age, years			
0–<2	89 (48.6)	39 (38.2)	
2–<5	49 (26.8)	30 (29.4)	
5–13	45 (24.6)	33 (32.4)	0.204
Sex			
Male	105 (57.4)	54 (52.9)	
Female	78 (42.6)	48 (47.1)	0.470
Patient category*			
Outpatients only	52/177 (29.4)	20 (19.6)	
Overnight admission	125/177 (70.6)	82 (80.4)	0.072
Duration of hospital admission*			
1–≤3 days	35/125 (28.0)	14/82 (17.1)	
≥4 days	90/125 (72.0)	68/82 (82.9)	0.070
Clinical factors			
HIV status			
Negative	95 (51.9)	79 (77.5)	
Positive	29 (15.9)	12 (11.8)	
Unknown	59 (32.2)	11 (10.8)	<0.001
TB disease type			
PTB only	86 (47.0)	43 (42.2)	
Both PTB and EPTB	58 (31.7)	40 (39.2)	
EPTB only	39 (21.3)	19 (18.6)	0.439
Disseminated TB			
TBM	15 (8.2)	8 (7.8)	0.916
Miliary TB†	8 (4.4)	5 (4.9)	1.000‡
Drug resistance (binary variable)			
INH monoresistance	5 (2.7)	3 (2.9)	
RMP monoresistance	2 (1.1)	1 (1.0)	
MDR-/XDR-TB	5 (2.7)	1 (1.0)	0.572

\*Unknown for 6/183 (3%) children from the baseline period.

†Total number of patients with miliary TB who also had TBM: 2 and 2, respectively.

‡Fisher's Exact were used if  $\chi^2$  assumptions not met.

TB = tuberculosis; PTB = pulmonary TB; EPTB = extra-pulmonary TB; TBM = TB meningitis; INH = isoniazid; RMP = rifampicin; MDR = multidrug-resistant TB; XDR-TB = extensively drug-resistant.

yielded very similar results ( $n = 429$ ; aOR 2.62, 95%CI 1.57–4.38,  $P < 0.001$ ).

## DISCUSSION

Our study shows that a simple linkage to care intervention can substantially reduce the hospital reporting gap for childhood TB. Children discharged home to continue TB care were nearly three times more likely to be reported and included in routine surveillance during the intervention period compared to the baseline period, after adjusting for age, sex, HIV status and TBM. In addition to the impact on reporting, the intervention allowed for confirmation of the continuity of clinical care for nearly all children (98.2%), resulting in <2% ILTFU.

Two referral hospitals in Gauteng Province have successfully implemented interventions to address challenges in linkage to care. A dedicated TB care and linkage service at a large tertiary referral hospital in Johannesburg, South Africa, reduced losses between hospital and PHC referrals for adults and children from 21% (2001) to 6% (2003–2005) and improved reporting of TB pa-

tients.<sup>21</sup> Age-stratified results were unfortunately not reported. Implementation of a TB Focal Point at another tertiary hospital decreased the proportion of TB cases failing to link to TB care from 23% in 2009 to 14% during 2012.<sup>22</sup> Our study showed similar improvement when dedicated staff were recruited to support TB patients with both the referral and reporting processes. However, interventions involving clinical personnel are costly and not always sustainable in resource-limited settings. At a district-level hospital, comparable results were achieved by only one dedicated lay health care worker for referral support and follow-up, provided surveillance was done by routine clinical personnel; 93 (96%) of child TB cases successfully accessed PHC care and 89 (90%) were matched in the ETR.Net/EDRWeb.<sup>15</sup>

In settings where information technology infrastructure is available, automated, electronic processes at hospital discharge could greatly assist with surveillance and linking of important referral processes, but will still rely on personnel at the referral hospital to provide sufficient information and the receiving facility to act on the information. Another potential solution to close this reporting gap would be to mandate all hospitals to report TB data.

**TABLE 4** Impact of a hospital-community linkage to care intervention on completeness of TB reporting in children discharged with culture-confirmed, drug-susceptible TB during baseline and intervention, Tygerberg Hospital, Cape Town, Western Cape Province, Republic of South Africa ( $n = 268$ )

	Completeness of reporting		Univariable analyses		Multivariable analyses	
	Reported in ETR.Net ( $n = 199$ ) $n$ (%)	Not reported in ETR.Net ( $n = 69$ ) $n$ (%)	OR (95%CI)	$P$ value	aOR (95%CI)	$P$ value
<b>Impact of intervention</b>						
Baseline period (2007–2009)	117 (58.8)	54 (78.3)	Reference		Reference	
Intervention period (2012)	82 (41.2)	15 (21.7)	2.52 (1.33–4.77)	0.004	2.62 (1.31–5.25)	0.006
<b>Covariates</b>						
<b>Age, years</b>						
0–<2	90 (45.2)	33 (47.8)	Reference			
2–<5	49 (24.6)	22 (31.9)	0.82 (0.43–1.55)	0.536	0.83 (0.42–1.66)	0.604
5–13	60 (30.2)	14 (20.3)	1.57 (0.78–3.18)	0.209	1.51 (0.71–3.22)	0.283
<b>Sex</b>						
Male	116 (58.3)	37 (53.6)	Reference		Reference	
Female	83 (41.7)	32 (46.4)	0.83 (0.48–1.43)	0.500	0.85 (0.47–1.52)	0.579
<b>Patient category and admission duration*</b>						
Outpatients	52/196 (26.5)	16/67 (23.9)	Reference			
1–≤3 days	35/196 (17.9)	11/67 (16.4)	0.98 (0.41–2.36)	0.962		
≥4 days	109/196 (55.6)	40/67 (59.7)	0.84 (0.43–1.63)	0.605	—	
<b>HIV status</b>						
Negative	122 (61.3)	39 (56.5)	Reference		Reference	
Positive	30 (15.1)	9 (13.1)	1.07 (0.47–2.44)	0.880	1.01 (0.42–2.44)	0.974
Unknown	47 (23.6)	21 (30.4)	0.72 (0.38–1.34)	0.296	0.81 (0.40–1.61)	0.540
<b>TB disease type</b>						
PTB only	88 (44.2)	34 (49.3)	Reference			
Both PTB and EPTB	76 (38.2)	18 (26.1)	1.63 (0.85–3.12)	0.139		
EPTB only	35 (17.6)	17 (24.6)	0.80 (0.39–1.60)	0.523	—	
<b>Disseminated TB</b>						
TBM	8 (4.0)	12 (17.4)	0.20 (0.08–0.51)	0.001	0.18 (0.07–0.48)	0.001
Miliary TB†	10 (5.0)	2 (2.9)	1.78 (0.38–8.30)	0.737‡	—	

\*Duration of admission unknown for 5 children from the baseline period.

† 1/10 and 2/2 children with miliary TB also had TBM.

‡ Fisher's Exact were used if  $\chi^2$  assumptions not met.

TB = tuberculosis; ETR.NET = electronic tb register for drug-susceptible TB; OR = odds ratio; CI = confidence interval; AOR = adjusted OR; HIV = human immunodeficiency virus; TBM = TB meningitis; PTB = pulmonary TB; EPTB = extra-pulmonary TB.

The logistics around surveillance and supporting paediatric TB patients and their families in a large referral hospital with multiple wards and a large staff complement is complex. Despite dedicated efforts and multiple attempts, TB counselling was not possible for 15% of patients and referral documentation was not completed for 19%. Furthermore, children managed at referral hospitals often have complex admission and care pathways and move between different levels of care.<sup>14</sup> It is therefore not surprising that children who were diagnosed only after hospital discharge, either due to non-resolving symptoms or a positive culture at follow-up, were less likely to receive counselling and correct referral documentation. Similarly, counselling was less frequently performed if the duration of the hospital visit was short ( $\leq 3$  days), possibly due to the fact that patients were discharged before the study team could counsel the parent/caregiver or obtain reliable contact information. Irrespective of these challenges, we used an intention-to-treat analysis approach and the observed intervention effect is therefore a conservative estimate.

During the intervention period, approximately 10% (28/272) of the children who were discharged home were followed monthly as outpatients at the referral hospital. The majority had

TBM ( $n = 26$ ) and were treated as part of a dedicated TBM home-based care programme at TBH. As TBH was not required to report TB data, we encouraged the caregivers of these children to attend their community-based PHC facility at the beginning and end of treatment to facilitate appropriate recording in the TB register and allow for reporting. These extra visits place an additional burden on the families, and staff at the PHC facilities are often reluctant to include patients in their reporting data if they are not primarily responsible for the patients' TB treatment. Therefore, it was not unexpected that children who were followed up at the hospital during the intervention were significantly less likely to be reported than those who were followed up at their community-based PHC facility ( $P = 0.012$ ). The highly significant association between TBM and incomplete reporting observed in univariable analysis became even more pronounced in multivariable analysis (aOR 0.18, 95%CI 0.07–0.48), and is likely a reflection of the difference in place of attendance for monthly follow-up for children with TBM.

To our knowledge, this was the first study to specifically evaluate the impact of a hospital-based linkage-to-care intervention on childhood TB case notification. Our intervention focussed on

children continuing TB care from home, and although this included almost two thirds of children diagnosed with TB, completeness of reporting of children discharged to TB hospitals, other general hospitals or medium-term care facilities, were not addressed. These children likely represent more severe cases of disease or social problems, and their reporting is critical to ensure accurate reflection of the full spectrum of TB disease in children. Completeness of reporting of in-hospital deaths is an important factor not addressed in our study, but one that needs to be considered in future interventions to improve TB mortality data. Another limitation was that our baseline data were limited to culture-confirmed children only. Therefore, we could only evaluate the impact of the intervention on children with culture-confirmed disease, although analyses of the total intervention group showed similar results. The only difference between the baseline and intervention groups was a decrease in unknown HIV status during the intervention period. HIV testing has improved substantially in the entire country, and an increase in the number of children with a known HIV status was therefore expected in the intervention period. HIV status was not associated with completeness of reporting in univariable analysis, but were included a priori in the multivariable model. Due to the changes in DR-TB care and surveillance between the baseline and intervention periods, we could not accurately evaluate the impact of our intervention on the small number of children with DR-TB ( $n = 26$ ).

## CONCLUSIONS

Adequately supporting linkage-to-care of children with TB between hospitals and community-based PHC facilities can minimise ILTFU and substantially improve hospital reporting of childhood TB. Mandating all hospitals to function as TB reporting units can comprehensively address and reduce the hospital reporting gap for childhood TB in South Africa. Future research should evaluate scale-up and cost-effectiveness of different approaches to improve TB reporting from hospitals and strengthen linkage and referrals of children with TB.

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**Contexte :** Un grand hôpital de référence au Cap, Afrique du Sud.

**Objectif :** Mesurer l'impact d'un service de référence basé en hôpital (intervention) afin de réduire les pertes de vue initiales parmi les enfants atteints de tuberculose (TB) et améliorer l'exhaustivité des données de routine de surveillance de la TB.

**Schéma :** En 2012, un service de référence dédié de la TB a été créé dans le service de pédiatrie de l'hôpital Tygerberg. Le personnel dédié a fourni une éducation relative à la TB ainsi que des conseils, un soutien à la référence et un suivi téléphonique hebdomadaire après la sortie de l'hôpital. Tous les enfants identifiés comme atteints de TB ont été appariés aux registres électroniques de traitement de la TB (ETR.Net/EDRWeb). Une régression logistique multivariable a été utilisée pour comparer la notification des cas confirmés par la culture de TB pharmacorésistante avant (2007–2009) et pendant (2012) l'intervention.

**Résultats :** Une référence réussie avec un lien à la prise en charge a été confirmée chez 267/272 (98%) et une notification réussie chez 227/272 (84%) enfants. Pendant la période d'intervention, les enfants atteints de TB pharmacorésistante confirmée par la culture ont été significativement plus susceptibles d'être notifiés comparés à la période précédant l'intervention (OR 2,52 ; IC95% 1,33–4,77). L'effet de l'intervention est resté stable en modèle multi variable (ORa 2,62 ; IC95% 1.31–5,25) après ajustement sur l'âge, le sexe, le statut VIH et la présence d'une méningite tuberculeuse.

**Conclusion :** Un simple service de référence de la TB basé en hôpital peut réduire les pertes de vue initiales et améliorer l'enregistrement et la notification de la tuberculose de l'enfant dans un contexte de services de TB décentralisés.

**Marco de Referencia:** Un gran hospital de referencia de Ciudad del Cabo en Suráfrica.

**Objetivo:** Medir el impacto de un servicio hospitalario de remisiones (intervención) destinado a disminuir la pérdida durante el seguimiento inicial de los niños con tuberculosis (TB) y mejorar la exhaustividad de los datos de la vigilancia sistemática de la TB.

**Método:** En el 2012, se instauró un servicio dedicado a la derivación de los casos de TB en las unidades pediátricas del Hospital Tygerberg. Miembros designados del personal impartían educación y asesoramiento, apoyo a la derivación de los casos de TB y seguimiento telefónico semanal después del alta hospitalaria. Se emparejaron todos los niños detectados con TB con los casos de los registros electrónicos de tratamiento antituberculoso (ETR.Net/EDRWeb). Con un modelo de regresión logística multivariable se comparó la notificación de los casos de casos de TB normosensible

confirmada por cultivo antes de la intervención (2007–2009) y durante la misma (2012).

**Resultados:** Se confirmó la remisión eficaz con vinculación a los servicios de atención en 267 de 272 niños (98%) y la notificación de 227 de los 272 (84%). La notificación de los niños con TB normosensible confirmada por cultivo fue mucho más probable durante el período de la intervención que antes de la misma (OR 2,52; IC95% 1,33–4,77). El efecto de la intervención permaneció constante en el modelo multivariable (aOR 2,62; IC95% 1,31–5,25) tras ajustar con respecto a la edad, el sexo, la situación frente al virus de la inmunodeficiencia humana y la presencia de meningitis tuberculosa.

**Conclusión:** Un servicio hospitalario sencillo de remisiones disminuye las pérdidas iniciales durante el seguimiento y mejora el registro y la notificación de los casos de TB en los niños de un entorno con servicios de TB descentralizados.

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