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Looking beyond administrative health care data: the role of socioeconomic status in predicting future high-cost patients with mental health and addiction

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Key Words:	high-cost patients, mental health and addiction, socioeconomic status, survey data, administrative data
Abstract:	<p>Introduction: Previous research has shown that the socioeconomic status (SES)-health gradient also extends to high-cost patients; however, little work has examined high-cost patients with mental illness and/or addiction. The objective of this study was to examine associations between individual-, household-, and area-level SES factors and future high-cost use among these patients.</p> <p>Methods: We linked survey data from adult participants (ages 18 and older) of three cycles of the Canadian Community Health Survey (CCHS) to administrative health care data from Ontario, Canada. Respondents with mental illness and/or addiction were identified based on prior mental health and addiction health care use and followed for 5 years for which we ascertained health care costs covered under the public health care system. We quantified associations between SES factors and becoming a high-cost patient (i.e, transitioning into the top 5%) using logistic regression models. For ordinal SES factors, such as income, education and marginalization variables, we measured absolute and relative inequalities using the slope and relative index of inequality.</p> <p>Results: Among our sample, lower personal income (OR=2.11, 95% C.I. [1.54, 2.88] for \$0 to \$14,999), lower household income (OR=2.11, 95% C.I. [1.49, 2.99] for lowest income quintile), food insecurity (OR=1.87, 95% C.I. [1.38, 2.55]) and non-homeownership (OR=1.34, 95% C.I. [1.08, 1.66]), at the individual and household levels, respectively, and higher residential instability (OR=1.72, 95% C.I. [1.23, 2.42] for most marginalized), at the area level, were associated with higher odds of becoming a high-cost patient within a 5-year period. Moreover, the inequality analysis suggests pro-high-SES gradients in high-cost transitions.</p>

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	Conclusions: Policies aimed at high-cost patients with mental illness and/or addiction, or those concerned with preventing individuals with these conditions from becoming high-cost patients in the health care system, should also consider non-clinical factors such as income, as well as related dimensions including food security and homeownership.

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Title:

Looking beyond administrative health care data: the role of socioeconomic status in predicting future high-cost patients with mental health and addiction

Author Affiliations:

Claire de Oliveira PhD

Centre for Health Economics and Hull York Medical School, University of York, York, United Kingdom

Institute for Mental Health Policy Research, Centre for Addiction and Mental Health, Toronto, Ontario, Canada

Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON Canada

ICES, Toronto, ON Canada

Health System Performance Network (HSPN), Toronto, ON Canada

Luke Mondor MSc

ICES, Toronto, ON Canada

Health System Performance Network (HSPN), Toronto, ON Canada

Walter P Wodchis PhD^{3,4,5,6}

Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON Canada

ICES, Toronto, ON Canada

1
2
3 Health System Performance Network (HSPN), Toronto, ON Canada
4

5 Institute for Better Health, Trillium Health Partners, Mississauga, ON Canada
6
7

8
9
10 Laura C Rosella PhD
11

12 Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON
13
14 Canada
15

16
17 ICES, Toronto, ON Canada
18

19 Health System Performance Network (HSPN), Toronto, ON Canada
20

21 Dalla Lana School of Public Health, University of Toronto, Toronto, ON Canada
22

23
24 Population Health Analytics Laboratory, Toronto, ON Canada
25

26 Public Health Ontario, Toronto, ON Canada
27
28
29

30
31 **Correspondence to:**
32

33 Claire de Oliveira, MA PhD
34

35 Reader in Health Economics
36

37 Centre for Health Economics and Hull York Medical School
38

39 Alcuin A Block, University of York
40

41 Heslington, York YO10 5DD
42
43
44
45
46

47 E-mail: claire.deoliveira@york.ac.uk
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Abstract

Introduction: Previous research has shown that the socioeconomic status (SES)-health gradient also extends to high-cost patients; however, little work has examined high-cost patients with mental illness and/or addiction. The objective of this study was to examine associations between individual-, household-, and area-level SES factors and future high-cost use among these patients.

Methods: We linked survey data from adult participants (ages 18 and older) of three cycles of the Canadian Community Health Survey (CCHS) to administrative health care data from Ontario, Canada. Respondents with mental illness and/or addiction were identified based on prior mental health and addiction health care use and followed for 5 years for which we ascertained health care costs covered under the public health care system. We quantified associations between SES factors and becoming a high-cost patient (i.e, transitioning into the top 5%) using logistic regression models. For ordinal SES factors, such as income, education and marginalization variables, we measured absolute and relative inequalities using the slope and relative index of inequality.

Results: Among our sample, lower personal income (OR=2.11, 95% C.I. [1.54, 2.88] for \$0 to \$14,999), lower household income (OR=2.11, 95% C.I. [1.49, 2.99] for lowest income quintile), food insecurity (OR=1.87, 95% C.I. [1.38, 2.55]) and non-homeownership (OR=1.34, 95% C.I. [1.08, 1.66]), at the individual and household levels, respectively, and higher residential instability (OR=1.72, 95% C.I. [1.23, 2.42] for most marginalized), at the area level, were associated with higher odds of becoming a high-cost patient within a 5-year period. Moreover, the inequality analysis suggests pro-high-SES gradients in high-cost transitions.

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3 **Conclusions:** Policies aimed at high-cost patients with mental illness and/or addiction, or those
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5 concerned with preventing individuals with these conditions from becoming high-cost patients in
6
7 the health care system, should also consider non-clinical factors such as income, as well as
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9 related dimensions including food security and homeownership.
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14 **Keywords:** high-cost patients, mental health and addiction, socioeconomic status, survey data,
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16 administrative data
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Introduction

Research have shown that a small proportion of patients account for a disproportionately large share of health care costs across all care settings. For example, in 2010, 1 percent of patients in the United States accounted for 21 percent of total health care spending.¹ Similarly in Canada, in 2012, 1 percent of patients in Ontario accounted for 29 percent of public health care costs.² Despite universal health coverage in Canada, research has shown that socioeconomic status (SES) can influence health care utilisation. For example, low-income individuals have been found to be more frequent users of primary care³. Thus, it is likely that this SES-health relationship (i.e., gradient) also extends to high-cost patients.⁴ Most research on high-cost patients has solely employed administrative health care data,^{2,5,6} which lacks information on certain socio-demographic characteristics, such as marital status and ethnicity, health behaviours, such as smoking and drinking, and SES (income, educational attainment and occupation).

Recent work has made use of administrative health care data linked to the Canadian Community Health Survey (CCHS), a national population-based survey. One study, which examined high-cost patients in Ontario, Canada, found that high-cost status was strongly associated with being older, having multiple chronic conditions, and reporting poorer self-reported health status.⁷ The authors found that, even after adjusting for relevant covariates, poor (versus good) self-reported health was associated with a 26-fold increase in the odds of becoming a high-cost patient (in the 99th percentile of the cost distribution vs. the bottom 50th percentile). Moreover, the study found that high-cost patients tended to be of lower SES. These findings were further confirmed by the authors in the development and validation of their High Resource User Population Risk Tool, which showed that household income was the strongest socioeconomic driver associated with high resource use.⁸

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3 Other work has examined the socioeconomic determinants of becoming a high-cost
4 patient in the future⁴ and found that future high-cost status 5 years following the CCHS interview
5 was most strongly associated with lower personal income, food insecurity, and non-
6 homeownership. Moreover, living in a highly deprived or low ethnic concentrated
7 neighbourhood were also important predictors. However, this work did not examine the SES-
8 high-cost user relationship among specific high-cost patient sub-groups, such as those with
9 mental illness and/or addiction. Previous research suggests that high-cost patients with mental
10 illness and/or addiction have a different patient profile than other high-cost patients, as they are
11 younger and more likely to live in low-income neighbourhoods and incur higher costs than high-
12 cost patients without mental illness and/or addiction.^{2,9} According to the Gelberg-Andersen
13 Behavior Model for Vulnerable Populations,¹⁰ which has been used to conceptualise health care
14 utilisation among high-cost patients with mental illness,^{11,12} predisposing factors, such as sex,
15 age, and ethnicity, as well as enabling factors, such as income and area of residence, play an
16 important role in explaining how these individuals interact with the health care system. Given the
17 relationship between SES and health care use, and consequently high-cost status, it is important
18 to understand which patient characteristics could help inform targeted policies and/or
19 interventions aimed at individuals at risk of becoming high-cost patients. Thus, the aim of this
20 study was to understand the associations between individual-, household- and area-level SES
21 characteristics, and the likelihood of becoming a high-cost patient among individuals with
22 mental illness and/or addiction.

51 **Methods**

52 *Setting and Data Sources*

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3 Ontario is Canada's most populous province (13.4 million in 2012¹³). The costs of most
4 health care services received by legal residents are covered by a universal, single-payer health
5 care system, which is funded through general taxation. Eligibility for health care coverage in
6 Ontario can be ascertained through the Registered Persons Database, a population-based registry,
7 while encounters with the health care system are recorded in administrative health care
8 databases, which include the following: Discharge Abstract Database (DAD), Ontario Mental
9 Health Reporting System (OMHRS), National Ambulatory Care Reporting System (NACRS),
10 Ontario Health Insurance Plan (OHIP) claims database, Ontario Drug Benefit claims database,
11 National Rehabilitation Reporting System, Continuing Care Reporting System and Home Care
12 Database. A full description of each database can be found in the appendix (Table A1). For
13 respondents who consent, these administrative data can be linked to the CCHS. The CCHS is a
14 cross-sectional survey conducted by Statistics Canada, which collects information on health
15 determinants, health care utilisation, and health outcomes of the Canadian population aged 12
16 years and over. Persons living on First Nations reserves, institutionalised persons, and full-time
17 members of the Canadian Forces are excluded from the sampling frame.¹⁴ The survey and
18 administrative databases were linked using unique encoded identifiers and analysed at ICES
19 (formerly known at the Institute for Clinical Evaluative Sciences) in Toronto, Ontario. The use of
20 these data for research was authorised under section 45 of Ontario's Personal Health Information
21 Protection Act, which does not require review by a Research Ethics Board. This study is reported
22 as per RECORD guidelines.¹⁵

23 *Study Population*

24 The cohort study included all respondents aged 18 years and older from the 2007/08,
25 2009/10 and 2011/12 CCHS surveys who consented to have their survey data linked to
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3 administrative data for research purposes (N=91,741). Individuals were excluded if they did not
4 have a valid health card at, or in the 2 years prior to, survey response (N=1,508 excluded) or if
5 they appeared in a previous cycle of the CCHS (N=387 excluded). We restricted our cohort to
6 respondents who had one (or more) mental health and/or addiction-related health care encounter
7 in the 2 years prior to survey response (N=75,254 excluded) (see Figure 1). Encounters for
8 mental health and/or addiction were defined as any psychiatric hospitalisation (in the DAD and
9 OMHRS), emergency department visit (in the NACRS) or 2 or more outpatient physician visits
10 separated by no more than 2 years (in the OHIP claims data) with a relevant mental health and/or
11 addiction diagnostic code (see appendix, Table A2).¹⁶

22 *Variables*

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24 For all respondents, we obtained age and sex from the CCHS. Prior hospitalisations,
25 emergency department visits and physician billings (DAD, NACRS and OHIP datasets,
26 respectively) and the Johns Hopkins ACG® System Version 10 software were used to derive
27 Aggregated Diagnosis Groups (ADG) scores, a weighted summary score of patient comorbidity,
28 which is predictive of 1-year mortality.¹⁷

29 Individual-, household-, and area-level demographic and SES factors were examined,
30 informed by the Gelberg-Andersen Behavior Model for Vulnerable Populations¹⁰ and previous
31 related research.⁴ From the CCHS, we identified ethnicity, country of birth, marital status,
32 personal income, personal education level, equivalized household income quintile, highest level
33 of household education, household food insecurity, homeownership, and urban/rural residence.
34 From the Registered Persons Database and 2006 Census data, we identified area-level income
35 quintiles. Other area-level determinants included the dependency quintile (which considers
36 adults who are unemployed, unable to work and in unpaid professions), the material deprivation
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3 quintile (which considers income, education, single-parent families and housing quality), the
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5 residential instability quintile (which considers neighbourhood quality and cohesiveness) and the
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7 ethnic concentration quintile (which considers the proportions of recent immigrants and visible
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9 minorities), each derived from the 2011 Ontario Marginalization Index.¹⁸ Categorisation of all
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11 SES factors was consistent with prior evaluations of determinants of high-cost patient transitions
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13 from the general population.⁴
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19 All individuals were tracked in the administrative data for up to 5 years following survey
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21 response, for which we ascertained all health care costs paid for by the Ontario Ministry of
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23 Health and Long-term Care using a person-centred costing methodology described elsewhere.¹⁹
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25 We included all costs attributable to hospital encounters (including inpatient acute, designated
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27 inpatient psychiatric and same-day surgery facilities, emergency department visits, dialysis and
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29 cancer clinics, inpatient rehabilitation, complex and continuing care facilities), costs of physician
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31 visits and related care, costs of outpatient drugs dispensed for eligible persons (i.e., those aged 65
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33 years and older or on social assistance) and costs of home care. Costs were divided for each year
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35 of follow-up. Costs that overlapped years (e.g., hospital stays) were divided on a pro rata basis.
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37 For each year of follow-up, we ranked individuals according to their costs incurred relative to the
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39 study population. The outcome of interest was ever becoming a high-cost patient (ever-high-cost
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41 patient), defined as respondents who were in the top 5% of the cost distribution in any year of
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43 follow-up, as done elsewhere.^{4,8} In secondary analyses, ever-high-cost patients were based on the
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45 top 10% of the cost distribution. Individuals determined to be high-cost at baseline (i.e., in the
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47 top 5% or 10% of costs in the first year of follow-up) were excluded from analyses (N = 731 and
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3 1,461, respectively; see Figure 1), given our interest in investigating upstream determinants of
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5 becoming a high-cost patient.
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8 9 10 *Statistical Analysis*

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12 We quantified associations between individual-, household- and area-level SES factors
13 and ever-high-cost patient using logistic regressions. We derived unadjusted, age-adjusted,
14 ADG-adjusted, and age-sex-ADG-adjusted (i.e., fully adjusted) associations for each SES factor,
15 separately, for a total of four models. Associations were reported as odds ratios (OR) with
16 corresponding 95% confidence intervals (C.I.s). Given that within-area variation did not differ
17 much from the between-area variation (61% of the neighbourhoods only had 1 individual and
18 only about 1% of neighbourhoods had 5 or more individuals), we were not able to undertake
19 multilevel analyses.
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31 For ordinal variables (income, education and marginalization variables), we also
32 calculated the slope index of inequality (SII) and relative index of inequality (RII).²⁰ These
33 regression-based measures consider the full distribution of SES and summarize the level of
34 absolute or relative inequality, respectively, into one number. Here, we regressed the ever-high-
35 cost patient on each respondent's rank in the cumulative distribution of each SES factor (ranging
36 from 0, for the highest SES position, to 1, for the lowest SES position) using logistic regression.
37 Models were adjusted for age, sex and ADG score. From these models, we derived the SII by
38 contrasting marginal predictions of the cumulative rank variable at values of 0 and 1. The RII
39 was derived by dividing the slope index of inequality by the population mean.
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51 Respondent's missing information on a given SES factor was excluded from the analysis.
52 To assess possible bias, we compared characteristics of respondent's missing (vs. not missing)
53 information on each SES factor. Generally, less than 10% of the initial sample was missing data
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3 on SES, with the exception of personal income (12.6%). Balanced repeated replication of the
4 survey weights provided by Statistics Canada was used in all analyses to obtain estimates
5 representative of the Ontario population and to account for complex survey design. Weights were
6 adjusted for the pooling of CCHS surveys using the approach described by Thomas and
7 Wannell.²¹ SAS Enterprise Guide v6.1 (SAS Institute Inc., Cary, NC) was used to create the
8 dataset and Stata/M.P. v15 (StataCorp, College Station, TX) was used for all analyses.
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19 **Results**

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21 Table 1 provides the characteristics of the patient cohort (N=13,861), overall and by ever-
22 high-cost patient (top 5%) outcome. A total of 1,424 (7.6% of the weighted sample) became a
23 high-cost patient within 5 years of the CCHS interview. There were no sex differences according
24 to the outcome; however, individuals with mental illness and/or addiction who became high-cost
25 patients were, on average, older than those who did not and more likely to be of white ethnicity.
26 They were also more likely to have a lower personal income and not have completed post-
27 secondary education. These findings held when we examined household-level SES; moreover,
28 individuals who became a high-cost patient within 5 years were more likely to live in a
29 household that was food insecure. Finally, when examining area-level socio-demographic and
30 socioeconomic factors, we found that individuals with mental illness and/or addiction who
31 become high-cost patients were more likely to live in low-income and highly marginalised
32 neighbourhoods in terms of dependency, material deprivation and residential instability.
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49 Table 2 includes the results from the logistic regression examining the association
50 between various socio-demographic and socioeconomic characteristics and the odds of becoming
51 a high-cost patient (in the top 5%) within 5 years. The strongest predictor at the individual level
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3 across all 4 models was individual income. In particular, we found a stepwise income gradient,
4 where the less personal income an individual had, the higher were the odds of that individual
5 becoming a high-cost patient within a 5-year period. For example, in the fully adjusted model,
6 compared to the reference category (\$50,000 and more), individuals with a personal income
7 between \$0 and \$14,999 had an odds ratio (OR) of 2.11 (95% C.I. [1.54, 2.88]). Individuals with
8 no post-secondary education (compared to those with post-secondary education) were also more
9 likely to become a high-cost patient within a 5-year period (OR=1.34, 95% C.I. [1.09, 1.67]). At
10 the household level, income was again the strongest predictor among all household-level
11 variables across all models, where individuals in the lowest household income quintile had an
12 OR of 2.11 (95% C.I. [1.49, 2.99]) compared to those in the highest household income quintile
13 for the fully adjusted model. Moreover, individuals living in households that were food insecure
14 (OR=1.87, 95% C.I. [1.38, 2.55]) and that rented (OR=1.34, 95% C.I. [1.08, 1.66]) had higher
15 odds of becoming a high-cost patient compared to those who were food secure and owned a
16 home, respectively. Finally, at the area-level, residential instability was the largest predictor. For
17 example, individuals who lived in neighbourhoods with the most residential instability had an
18 OR of 1.72 (95% C.I. [1.23, 2.42]) compared to those living in neighbourhoods with the least
19 residential instability when adjusting for relevant covariates. For the other dimensions of
20 deprivation, the gradient was either less clear (e.g., area-level income) or non-existent (e.g. area-
21 level ethnic concentration). Findings were qualitatively the same when we replicated the analysis
22 among individuals in the top 10% of the cost distribution (see Table 3).

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49 Table 4 provides the results on the absolute and relative summary measures of inequality
50 according to multiple (ordinal) socioeconomic factors. Overall, the results suggest pro-high-SES
51 gradients in high-cost transitions for most SES factors. For example, the SII for personal income
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3 (for the top 5%) was -5.75 (95% C.I. [-8.19, -3.32]), which means that moving from the lowest to
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5 the highest personal income level is associated with roughly a 6% reduction in the proportion of
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7 patients with mental illness and/or addiction who became a high-cost patient. The corresponding
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9 RII of -0.80 (95% C.I. [-1.14, -0.46]) indicates that this inequality gap is 80% of the mean
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11 outcome (here, 7.2% among those with complete information). For household income and area-
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13 based material deprivation, inequality gaps were only statistically significant considering top
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15 10% high-cost transitions (95% C.I.s contained the null value). For area-based ethnic
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17 concentration, no inequality gap was evident for either outcome.
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24 **Discussion**

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26 Previous research has shown that many factors which affect the SES-health gradient lie
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28 outside of the health care system.⁴ Understanding high-cost use from a broader perspective,
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30 including a comprehensive understanding of the role of SES, is important to inform policies and
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32 interventions aimed at mitigating high-cost use of health care services and improving population
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34 health. Even after controlling for relevant socio-demographic and clinical characteristics, such as
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36 sex, age and comorbidity, informed by our conceptual model, our findings suggest that high-cost
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38 patients with mental illness and/or addiction of lower SES (namely, lower individual and
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40 household income) were more likely to become high-cost patients within a 5-year period.
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42 Moreover, individuals living in households that were food insecure or that rented had higher
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44 odds of becoming a high-cost patient. At the neighbourhood/area-level, residential instability
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46 was an important predictor of future high-cost status. Overall, these results support the idea that
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48 SES can operate at different levels (including individual, household, and area levels).⁴ These
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50 findings were further confirmed by analyses using summary measures of health inequality.
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3 Our findings are in line with previous related work. One study, which linked
4 administrative health care data to survey data, also found that high-cost patients tended to be of
5 lower SES and that household income was the strongest socioeconomic driver of becoming a
6 high-cost patient.⁷ Moreover, this last finding is in line with recent research assessing the
7 concordance between individual- and area-level income data, which found that socioeconomic
8 disparities in premature mortality were greater for individual-level income than area-level
9 income.²² Other work, which examined the socioeconomic determinants of future high-cost
10 status, found that becoming a high-cost patient in the 5 years following the CCHS interview was
11 most strongly associated with lower personal income, food insecurity, and non-homeownership.⁴
12 Prior research has noted that individuals who are food insecure have higher costs of care²³ and
13 higher rates of mental health care service utilisation.²⁴ We too found that lower personal income,
14 lower household income, food insecurity and non-homeownership were important predictors of
15 becoming a high-cost patient 5 years after the survey interview, though the effects (as measured
16 by ORs) were comparatively larger in our study. We also found that individuals who became
17 high-cost patients were more likely to be of white ethnicity, in line with previous research.^{4,7}
18 This suggest that ethnic-specific strategies may be required. However, contrary to previous work,
19 which found that living in a highly deprived or low ethnically concentrated neighbourhood were
20 important predictors of becoming a future high-cost patient, we found that residential instability
21 was a relevant area-level factor in predicting future high-cost status among individuals with
22 mental illness and/or addiction (and with larger effects than those found in previous research⁴).
23 We extended this work by making use of summary measures of inequality and found that
24 inequality favoured the most well off at multiple levels (individual, household, area), with some
25 exceptions (ethnic concentration area).
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3 This work has important policy implications. It provides evidence on the importance of
4 the social determinants of health, such as income and related dimensions, on health care use and
5 costs and, ultimately, high-cost status. Household income was an important predictor of future
6 high-cost status among individuals with mental illness and/or addiction; furthermore, we found a
7 larger OR associated with this variable than previous work.⁴ This may suggest that these high-
8 cost patients may require more tailored strategies than the general high-cost population.⁹ Food
9 insecurity was also an important predictor of future high-cost status. Thus, health care providers
10 may play a role in screening patients with mental illness and/or addiction for poverty and
11 providing them with assistance.²⁴ Our work also suggests that area-level factors, namely around
12 residential instability, need to be considered when thinking about models of care for individuals
13 at risk of becoming high-cost patients, which may point to a potential role for municipalities and
14 other bodies outside the health care sector.
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31 This study made use of multiple cycles of a large, nationally representative survey linked
32 to administrative health care data, which enabled us to not only create a population-based sample
33 of high-cost patients with mental illness and/or addiction but also obtain rich data on patients'
34 SES. This research also addresses an important gap in the literature. Few studies have been able
35 to explore the role of individual SES among high-cost patients due to data limitations.
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42 Nonetheless, our analysis has a few limitations. While the CCHS is meant to be representative of
43 Canadian residents, it excludes individuals living in institutions, on Aboriginal reserves, and in
44 certain remote areas as well as full time members of the Canadian Forces.²⁵ As a result, homeless
45 individuals and First Nations people living on reserve have been excluded. Given the high rates
46 of mental illness and substance use among these populations,^{26,27} alongside the barriers they face
47 in accessing health care (such as discrimination), it is likely we would have found larger SES
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3 inequalities; future research should seek to examine these populations in more detail as well as
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5 differences by ethnicity. Additionally, our sample likely includes individuals with less severe
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7 forms of mental illness and/or addiction who are more likely to be able to respond to the CCHS.
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9 We defined mental illness and/or addiction based on diagnoses available in the existing
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11 administrative health care data; some individuals may have obtained mental health and/or
12
13 addiction-related care that was not captured in the administrative data. Furthermore, we were
14
15 only able to capture data on individuals who sought *and* obtained care; many people who
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17 struggle with mental illness and/or substance use do not seek care. Although our analysis
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19 includes over 90% of health care costs covered under the public health care system, some costs
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21 could not be accounted for, as these data are not currently available for research purposes at
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23 ICES, namely costs of addiction-related health care provided through community-based
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25 agencies. Finally, although we examined individuals at risk of becoming a high-cost patient by
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27 examining trajectories over time, we did not employ longitudinal data models, which address the
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29 existence of repeated observations on the same individual; this should be explored in future
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31 work.
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40 **Conclusion**

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42 Extensive research has examined high-cost patients; however, little work has examined
43
44 the role of SES in becoming a high-cost patient for individuals with mental illness and/or
45
46 addiction. We found that lower SES, such as lower income, food insecurity, and non-
47
48 homeownership, as well as residential instability at the area-level, are important predictors of
49
50 future high-cost status among these individuals. Thus, policies aimed at high-cost patients with
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52 mental illness and/or addiction, or those concerned with preventing individuals with these
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3 conditions from becoming high-cost patients, should also consider non-clinical factors such as
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5 income, as well as related dimensions of these, such as food security and homeownership.
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3 **Data Access:** The data from this study is held securely in coded form at ICES. While data
4 sharing agreements prohibit ICES from making the data publicly available, access may be
5 granted to those who meet pre-specified criteria for confidential access, available at
6 www.ices.on.ca/DAS.
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14 conclusions, opinions and statements expressed herein are those of the authors, and not
15 necessarily those of CIHI.
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28 institute formerly known as the Institute for Clinical Evaluative Sciences formally adopted the
29 acronym ICES as its official name. This change acknowledges the growth and evolution of the
30 organization's research since its inception in 1992, while retaining the familiarity of the former
31 acronym within the scientific community and beyond.
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47 **Disclosure of Conflicts of Interest:** None to declare.
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Title:

Looking beyond administrative health care data: the role of socioeconomic status in predicting future high-cost patients with mental health and addiction

Author Affiliations:

Claire de Oliveira PhD

Centre for Health Economics and Hull York Medical School, University of York, York, United Kingdom

Institute for Mental Health Policy Research, Centre for Addiction and Mental Health, Toronto, Ontario, Canada

Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON Canada

ICES, Toronto, ON Canada

Health System Performance Network (HSPN), Toronto, ON Canada

Luke Mondor MSc

ICES, Toronto, ON Canada

Health System Performance Network (HSPN), Toronto, ON Canada

Walter P Wodchis PhD^{3,4,5,6}

Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON Canada

ICES, Toronto, ON Canada

1
2
3 Health System Performance Network (HSPN), Toronto, ON Canada
4

5 Institute for Better Health, Trillium Health Partners, Mississauga, ON Canada
6
7

8
9
10 Laura C Rosella PhD
11

12 Institute of Health Policy, Management and Evaluation, University of Toronto, Toronto, ON
13
14 Canada
15

16
17 ICES, Toronto, ON Canada
18

19 Health System Performance Network (HSPN), Toronto, ON Canada
20

21 Dalla Lana School of Public Health, University of Toronto, Toronto, ON Canada
22

23
24 Population Health Analytics Laboratory, Toronto, ON Canada
25

26 Public Health Ontario, Toronto, ON Canada
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28
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30
31 **Correspondence to:**
32

33 Claire de Oliveira, MA PhD
34

35 Reader in Health Economics
36

37 Centre for Health Economics and Hull York Medical School
38

39 Alcuin A Block, University of York
40

41 Heslington, York YO10 5DD
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47 E-mail: claire.deoliveira@york.ac.uk
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Abstract

Introduction: Previous research has shown that the socioeconomic status (SES)-health gradient also extends to high-cost patients; however, little work has examined high-cost patients with mental ~~health-illness~~ and/or addiction (~~MHA~~). The objective of this study was to examine associations between individual-, household-, and area-level SES factors and future high-cost use among these patients ~~with MHA~~.

Methods: We linked survey data from adult participants (ages 18 and older) of three cycles of the Canadian Community Health Survey (CCHS) to administrative health care data from Ontario, Canada. Respondents with ~~MHA-mental illness and/or addiction~~ were identified based on prior ~~MHA-mental health and addiction~~ health care use and followed for 5 years for which we ascertained health care costs covered under the public health care system. We quantified associations between SES factors and becoming a high-cost patient (i.e, transitioning into the top 5%) using logistic regression models. For ordinal SES factors, such as income, education and marginalization variables, we measured absolute and relative inequalities using the slope and relative index of inequality.

Results: Among ~~patients with MHA~~our sample, lower personal income (OR=2.11, 95% C.I. [1.54, 2.88] for \$0 to \$14,999), lower household income (OR=2.11, 95% C.I. [1.49, 2.99] for lowest income quintile), food insecurity (OR=1.87, 95% C.I. [1.38, 2.55]) and non-homeownership (OR=1.34, 95% C.I. [1.08, 1.66]), at the individual and household levels, respectively, and higher residential instability (OR=1.72, 95% C.I. [1.23, 2.42] for most marginalized), at the area level, were associated with higher odds of becoming a high-cost patient within a 5-year period. Moreover, the inequality analysis suggests pro-high-SES gradients in high-cost transitions.

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3 **Conclusions:** Policies aimed at high-cost patients with MHA mental illness and/or addiction, or
4 those concerned with preventing individuals with MHA these conditions from becoming high-
5 cost patients in the health care system, should also consider non-clinical factors such as income,
6 as well as related dimensions including food security and homeownership.
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14 **Keywords:** high-cost patients, mental health and addiction, socioeconomic status, survey data,
15 administrative data
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Introduction

~~Empirical data~~Research have shown that a small proportion of patients account for a disproportionately large share of health care costs across all care settings. For example, in 2010, ~~the top~~ 1 percent of patients in the United States accounted for 21 percent of total health care spending.¹ Similarly ~~results have been found~~ in Canada;² in 2012, ~~the top~~ 1 percent of patients in Ontario accounted for ~~roughly~~ 29 percent of public health care costs.² Despite universal ~~insurance~~ health ~~care~~ coverage in Canada, research has shown that socioeconomic status (SES) can influence health care utilisation. For example, low-income individuals have been found to be more a distinct relationship between socioeconomic status (SES) and frequent users of primary care;³ ~~for example~~. Thus, it is ~~reasonable to expect~~likely that ~~this~~ SES-health relationship (i.e., gradient) also extends to high-cost patients.⁴ Most research on high-cost patients has solely ~~made use of~~employed administrative health care data,^{2,5,6} which ~~has limited~~lacks information on certain patient socio-demographic characteristics, such as marital status and ethnicity, ~~patient~~ health behaviours, such as smoking and drinking, and ~~patient~~ SES (income, educational attainment and occupation).

Recent work has made use of administrative health care data linked to the Canadian Community Health Survey (CCHS), a national population-based survey. ~~For example, One~~ study, which examined high-cost patients in Ontario, Canada, found that high-cost ~~patient~~ status was strongly associated with being older, having multiple chronic conditions, and reporting poorer self-reported health status.⁷ ~~In particular, The~~ authors found that, even after adjusting for relevant covariates, poor (versus good) self-reported health was associated with a 26-fold increase in the odds of becoming a high-cost patient (in the 99th percentile of the cost distribution vs. the bottom 50th percentile). Moreover, the ~~authors study~~ found that high-cost patients tended

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3 to be of lower SES. These findings were further confirmed by these authors in the development
4 and validation of their High Resource User Population Risk Tool, which showed that household
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6 income was the strongest socioeconomic driver associated with high resource use-transition.⁸
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10 Other work has examined the socioeconomic determinants of becoming a high-cost
11 patient in the future.⁴ ~~The authors and~~ found that future high-cost patient status 5 years following
12 the CCHS interview was most strongly associated with lower personal income, food insecurity,
13 and non-homeownership. Moreover, living in a highly deprived or low ethnic concentrated
14 neighbourhood were also important predictors ~~of becoming a future high-cost patient~~. However,
15 ~~while this work did not examined the associations between SES and becoming a future high-cost~~
16 ~~patient, less is known about~~ the SES-high-cost user relationship among specific high-cost patient
17 sub-groups, such as those with mental health illness and/or addiction ~~(MHA)~~. ~~This is an~~
18 ~~important sub-population to examine as~~ Pprevious research suggests that high-cost patients with
19 MHA-mental illness and/or addiction have a different patient profile than other high-cost
20 patients, ~~(i.e., as~~ they are younger and more likely to live in low-income neighbourhoods) and
21 ~~also~~ incur higher costs than high-cost patients without MHA-mental illness and/or addiction.^{2,9}
22 According to the Gelberg-Andersen Behavior Model for Vulnerable Populations,¹⁰ which has
23 been used to conceptualise health care utilisation among high-cost patients with mental
24 illness,^{11,12} predisposing factors, such as sex, age, and ethnicity, as well as enabling factors, such
25 as income and area of residence, play an important role in explaining how these individuals
26 interact with the health care system. Given the relationship between SES and health care use, and
27 consequently high-cost status, it is important to understand which patient characteristics could
28 help inform targeted policies and/or interventions aimed at individuals at risk of becoming high-
29 cost patients.
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3 ~~To address this gap~~ Thus, the aim of this study was to understand the associations
4 between ~~multiple~~ individual-, household- and area-level SES characteristics, and the likelihood
5 of becoming a high-cost patient among individuals with ~~MHA~~ mental illness and/or addiction.
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11 **Methods**

12 *Setting and Data Sources*

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15 Ontario is Canada's most populous province (13.4 million in 2012¹³). The costs of most
16 health care services received by legal residents are covered by a universal, single-payer health
17 care system, which is funded through general taxation. Eligibility for health care coverage in
18 Ontario can be ascertained through the Registered Persons Database, a population-based registry,
19 while encounters with the health care system are recorded in administrative health care
20 databases, which include the following: Discharge Abstract Database (DAD), Ontario Mental
21 Health Reporting System (OMHRS), National Ambulatory Care Reporting System (NACRS),
22 Ontario Health Insurance Plan (OHIP) claims database, Ontario Drug Benefit claims database,
23 National Rehabilitation Reporting System, Continuing Care Reporting System and Home Care
24 Database. A full description of each database can be found ~~in Table A1~~ in the appendix (Table
25 A1). For respondents who consent, these administrative data can be linked to the ~~Canadian~~
26 ~~Community Health Survey (CCHS) data of Ontario participants~~. The CCHS is a cross-sectional
27 survey conducted by Statistics Canada, which collects information on health determinants, health
28 care utilisation, and health outcomes of the Canadian population aged 12 years and over. Persons
29 living on First Nations reserves, institutionalised persons, and full-time members of the Canadian
30 Forces are excluded from the sampling frame.¹⁴ The survey and administrative databases were
31 linked using unique encoded identifiers and analysed at ICES (formerly known at the Institute
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3 for Clinical Evaluative Sciences) in Toronto, Ontario. The use of these data for research was
4 authorised under section 45 of Ontario's Personal Health Information Protection Act, which does
5 not require review by a Research Ethics Board. This study is reported as per RECORD
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10 guidelines.¹⁵

11 12 *Study Population*

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15 The cohort study included all respondents aged 18 years and older from the 2007/08,
16 2009/10 and 2011/12 CCHS surveys who consented to have their survey data linked to
17 administrative data for research purposes (N=91,741). Individuals were excluded ~~from the study~~
18 ~~population~~ if they did not have a valid health card at, or in the 2 years prior to, survey response
19 (N=1,508 excluded) or if they appeared in a previous cycle of the CCHS (N=387 excluded). We
20 restricted our cohort to respondents who had one (or more) ~~MHA-mental health and/or addiction-~~
21 related health care encounter in the 2 years prior to survey response (N=75,254 excluded) (see
22 Figure 1). Encounters for ~~MHA-mental health and/or addiction~~ were defined as any psychiatric
23 hospitalisation (in the DAD and OMHRS), emergency department visit (in the NACRS) or 2 or
24 more outpatient physician visits separated by no more than 2 years (in the OHIP claims data)
25 with a relevant ~~MHA-mental health and/or addiction~~ diagnostic code (see ~~Table A2 in the~~
26 appendix, Table A2).¹⁶

27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 *Variables*

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44 For all respondents, we obtained age and sex from the CCHS ~~data~~. Prior hospitalisations,
45 emergency department visits and physician billings (DAD, NACRS and OHIP datasets,
46 respectively) and the Johns Hopkins ACG® System Version 10 software were used to derive
47 Aggregated Diagnosis Groups (ADG) scores, a weighted summary score of patient comorbidity,
48 which is predictive of 1-year mortality.¹⁷

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3 Individual-, household-, and area-level demographic and SES factors were examined,
4 informed by the Gelberg-Andersen Behavior Model for Vulnerable Populations¹⁰ and previous
5 related research.⁴ From the CCHS, we identified ethnicity, country of birth, marital status,
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8 personal income, personal education level, equivalized household income quintile, highest level
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10 of household education, household food insecurity, homeownership, and urban/rural residence.
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12 From the Registered Persons Database and 2006 Census data, we identified area-level income
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14 quintiles. Other area-level determinants included the dependency quintile (which considers
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16 adults who are unemployed, unable to work and in unpaid professions), the material deprivation
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18 quintile (which considers income, education, single-parent families and housing quality), the
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20 residential instability quintile (which considers neighbourhood quality and cohesiveness) and the
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22 ethnic concentration quintile (which considers the proportions of recent immigrants and visible
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24 minorities), each derived from the 2011 Ontario Marginalization Index.¹⁸ Categorisation of all
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26 SES factors was consistent with prior evaluations of determinants of high-cost patient transitions
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28 from the general population.⁴
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37 All individuals were tracked in the administrative data for up to 5 years following ~~their~~ survey
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39 response, for which we ascertained all health care costs paid for by the Ontario Ministry of
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41 Health and Long-term Care using a person-centred costing methodology described elsewhere.¹⁹
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43 We included all costs attributable to hospital encounters (including inpatient acute, designated
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45 inpatient psychiatric and same-day surgery facilities, emergency department visits, dialysis and
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47 cancer clinics, inpatient rehabilitation, complex and continuing care facilities), costs of physician
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49 visits and related care, costs of outpatient drugs dispensed for eligible persons (i.e., those aged 65
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51 years and older or on social assistance) and costs of home care. Costs were divided for each year
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3 of follow-up. Costs that overlapped years (e.g., hospital stays, ~~for example~~) were divided on a
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5 pro rata basis. For each year of follow-up, we ranked individuals according to their costs
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7 incurred relative to the study population. The outcome of interest was ever becoming a high-cost
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9 patient (ever-high-cost patient), defined as respondents who were in the top 5% of the cost
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11 distribution in any year of follow-up, as done elsewhere.^{4,8} In secondary analyses, ever-high-cost
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13 patients were based on the top 10% of the cost distribution. Individuals determined to be high-
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15 cost at baseline (i.e., in the top 5% or 10% of costs in the first year of follow-up) were excluded
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17 from analyses (N = 731 and 1,461, respectively; see Figure 1), given our interest in investigating
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19 upstream determinants of ~~transitions into being becoming~~ a high-cost patient.
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26 *Statistical Analysis*

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28 We quantified associations between individual-, household- and area-level SES factors
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30 and ever-high-cost patient using logistic regressions. We derived unadjusted, age-adjusted,
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32 ADG-adjusted, and age-sex-ADG-adjusted (i.e., fully adjusted) associations for each SES factor,
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34 separately, for a total of four models. Associations were reported as odds ratios (OR) with
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36 corresponding 95% confidence intervals (C.I.s). Given that within-area variation did not differ
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38 much from the between-area variation (61% of the neighbourhoods only had 1 individual and
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40 only about 1% of neighbourhoods had 5 or more individuals), we were not able to undertake
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42 multilevel analyses.
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47 For ordinal variables (income, education and marginalization variables), we also
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49 calculated the slope index of inequality (SII) and relative index of inequality (RII).²⁰ These
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51 regression-based measures consider the full distribution of SES and summarize the level of
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53 absolute or relative inequality, respectively, into one number. Here, we regressed the ever-high-
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55 cost patient on each respondent's rank in the cumulative distribution of each SES factor (ranging
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3 from 0, for the highest SES position, to 1, for the lowest SES position) using logistic regression.
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5 Models were adjusted for age, sex and ADG score. From these models, we derived the SII by
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7 contrasting marginal predictions of the cumulative rank variable at values of 0 and 1. The RII
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9 was derived by dividing the slope index of inequality by the population mean.
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12 Respondent's missing information on a given SES factor was excluded from the analysis.
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14 To assess possible bias, we compared characteristics of respondent's missing (vs. not missing)
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16 information on each SES factor. Generally, less than 10% of the initial sample ~~wasere~~ missing
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18 data on SES, with the exception of personal income (~~where 12.6%~~)~~of the initial sample were~~
19
20 ~~missing data~~. Balanced repeated replication of the survey weights provided by Statistics Canada
21
22 ~~wasere~~ used in all analyses to obtain estimates representative of the Ontario population and to
23
24 account for ~~the~~ complex survey design. Weights were adjusted for the pooling of CCHS surveys
25
26 using the approach described by Thomas and Wannell.²¹ SAS Enterprise Guide v6.1 (SAS
27
28 Institute Inc., Cary, NC) was used to create the dataset and Stata/M.P. v15 (StataCorp, College
29
30 Station, TX) was used for all analyses.
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38 Results

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40 Table 1 provides the characteristics of the patient cohort (N=13,861), overall and by ever-
41
42 high-cost patient (top 5%) outcome. A total of 1,424, (~~or 7.6%~~ of the weighted sample), became
43
44 a high-cost patient within 5 years of the CCHS interview. There were no sex differences
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46 according to the outcome; however, ~~MHA~~ individuals with mental illness and/or addiction who
47
48 became high-cost patients were, on average, older than those who did not and more likely to be
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50 of white ethnicity. ~~In addition,~~ ~~T~~they were also more likely to have a lower personal income and
51
52 not have completed post-secondary education. These findings held when we examined
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3 household-level SES; moreover, individuals who became a high-cost patient within 5 years were
4
5 more likely to live in a household that was food insecure. Finally, when examining area-level
6
7 socio-demographic and socioeconomic factors, we found that individuals with mental illness
8
9 and/or addiction who become high-cost patients ~~with MHA~~ were more likely to live in low-
10
11 income and highly marginalised neighbourhoods in terms of dependency, material deprivation
12
13 and residential instability.
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17 Table 2 includes the results from the logistic regression examining the association
18
19 between various socio-demographic and socioeconomic characteristics and the odds of becoming
20
21 a high-cost patient (~~defined as those~~ in the top 5%) ~~with MHA~~ within 5 years. The strongest
22
23 predictor at the individual level across all 4 models was individual income. In particular, we
24
25 found a stepwise income gradient, where the less personal income an individual had, the higher
26
27 were the odds of that individual becoming a high-cost patient within a 5-year period. For
28
29 example, in the fully adjusted model, compared to the reference category (\$50,000 and more),
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31 individuals with a personal income between \$0 and \$14,999 had an odds ratio (OR) of 2.11 (95%
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33 C.I. [1.54, 2.88]). Individuals with no post-secondary education (compared to those with post-
34
35 secondary education) were also more likely to become a high-cost patient within a 5-year period
36
37 (OR=1.34, 95% C.I. [1.09, 1.67]). At the household level, income was again the strongest
38
39 predictor among all household-level variables across all models, where individuals in the lowest
40
41 household income quintile had an OR of 2.11 (95% C.I. [1.49, 2.99]) compared to those in the
42
43 highest household income quintile for the fully adjusted model. Moreover, individuals living in
44
45 households that were food insecure (OR=1.87, 95% C.I. [1.38, 2.55]) and that rented (OR=1.34,
46
47 95% C.I. [1.08, 1.66]) had higher odds of becoming a high-cost patient compared to those who
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49 were food secure and owned a home, respectively. Finally, at the area-level, residential
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3 instability was the largest predictor. For example, individuals who lived in neighbourhoods with
4 the most residential instability had an OR of 1.72 (95% C.I. [1.23, 2.42]) compared to those
5 living in neighbourhoods with the least residential instability when adjusting for ~~all~~-relevant
6 covariates. For the other dimensions of deprivation, the gradient was either less clear (~~as was the~~
7 ~~case fore.g.,~~ area-level income) or non-existent (~~as was the case fore.g.~~ area-level ethnic
8 concentration). Findings were qualitatively the same when we ~~examined the association between~~
9 ~~various socio-demographic and socioeconomic characteristics and the odds of becoming a high-~~
10 ~~cost patient in the following 5 years~~ replicated the analysis among individuals in the top 10% of
11 the cost distribution, ~~as found in~~ (see Table 3).

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24 Table 4 provides the results on the absolute and relative summary measures of inequality
25 according to multiple (ordinal) socioeconomic factors. Overall, the results suggest pro-high-SES
26 gradients in high-cost transitions ~~for patients with MHA~~ for most SES factors. For example, the
27 SII for personal income (for the top 5%) was -5.75 (95% C.I. [-8.19, -3.32]), which means that
28 moving from the lowest to the highest personal income level is associated with roughly a 6%
29 reduction in the proportion of ~~MHA~~-patients with mental illness and/or addiction that who
30 became a high-cost patient. The corresponding RII of -0.80 (95% C.I. [-1.14, -0.46]) indicates
31 that this inequality gap is 80% of the mean outcome (here, 7.2% among those with complete
32 information). For household income and area-based material deprivation, inequality gaps were
33 only statistically significant considering top 10% high-cost transitions (95% C.I.s contained the
34 null value). For area-based ethnic concentration, no inequality gap was evident for either
35 outcome.
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54 Discussion

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3 Previous research has shown that many factors which affect the SES-health gradient lie
4 outside of the health care system.⁴ Understanding high-cost use from a broader perspective,
5 including a comprehensive understanding of the role of SES ~~within this context~~, is important to
6 inform policies and interventions aimed at mitigating high-cost use of health care services and
7 improving population health. Even after controlling for relevant socio-demographic and clinical
8 characteristics, such as sex, age and comorbidity, informed by our conceptual model, our
9 findings suggest that ~~individuals with MHA~~ high-cost patients with mental illness and/or
10 addiction that were of lower SES (~~in particular~~ namely, lower individual and household income)
11 were more likely to become high-cost patients within a 5-year period. Moreover, individuals
12 living in households that were food insecure or that rented had higher odds of becoming a high-
13 cost patient. At the neighbourhood/area-level, residential instability was an important predictor
14 of future high-cost use status. Overall, these results support the idea that SES ~~is a~~
15 ~~multidimensional concept~~ can operate ~~ing~~ at different levels (including individual, household, and
16 area levels).⁴ These findings were further confirmed by analyses using summary measures of
17 health inequality.

18
19 Our findings are in line with previous related work ~~done on this topic~~. One study, which
20 linked administrative health care data to survey data, also found that high-cost patients tended to
21 be of lower SES and that household income was the strongest socioeconomic driver of
22 ~~becoming~~ a high-cost patient.⁷ Moreover, this last finding is in line with recent research
23 assessing the concordance between individual- and area-level income data, which found that
24 socioeconomic disparities in premature mortality were greater for individual-level income than
25 area-level income.²² Other work, which examined the socioeconomic determinants of ~~becoming~~
26 a future high-cost ~~patient in the future~~ status, found that becoming a high-cost patient in the 5
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3 years following the CCHS interview was most strongly associated with lower personal income,
4 food insecurity, and non-homeownership.⁴ Prior research has noted that individuals who are food
5 insecure have higher costs of care²³ and higher rates of mental health care service utilisation.²⁴
6
7 We too found that lower personal income, lower household income, food insecurity and non-
8 homeownership were important predictors of becoming a high-cost patient ~~with MHA~~-5 years
9 after the survey interview, though the effects (as measured by ORs) were comparatively larger in
10 our study. We also found that individuals who became high-cost patients were more likely to be
11 of white ethnicity, in line with previous research.^{4,7} This suggest that ethnic-specific strategies
12 may be required. However, contrary to previous work, which found that living in a highly
13 deprived or low ethnically concentrated neighbourhood were important predictors of becoming a
14 future high-cost patient, we found that residential instability was a relevant area-level factor in
15 predicting future high-cost status among individuals with ~~MHA~~-mental illness and/or addiction
16 (and with larger effects than those found in previous research⁴). We extended this work by
17 making use of summary measures of inequality and found that inequality favoured the most well
18 off at multiple levels (individual, household, area), with some exceptions (ethnic concentration
19 area).

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This work has important policy implications. It provides evidence around-on the
important~~et role~~-of the social determinants of health, such as income and related dimensions, on
health care use and costs and, ultimately, high-cost ~~patient~~ status. For example, Hhhousehold
income was an important predictor of future high-cost status among individuals with ~~MHA~~
mental illness and/or addiction; furthermore, and to a larger extentwe found a larger OR
associated with this variable than ~~what~~previous work ~~found~~.⁴ This may suggest thats these high-
cost patients ~~with MHA~~may require more tailored strategies than the general high-cost

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3 population.⁹ Food insecurity was also an important predictor of future high-cost status. Thus,
4 health care providers may play a role in screening patients with MHA-mental illness and/or
5 addiction for poverty and providing them with assistance.²⁴ Our work also suggests that area-
6 level factors, namely around residential instability, need to be considered when thinking about
7 models of care for individuals at risk of becoming high-cost patients, which may point to a
8 potential role for municipalities and other bodies outside the health care sector.
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11 This study made use of multiple cycles of a large, nationally representative survey linked
12 to administrative health care data, which enabled us to not only create a population-based sample
13 of high-cost patients with MHA-mental illness and/or addiction but also obtain rich data on
14 patients' SES. ~~In addition,~~ This research also addresses an important gap in the literature. Few
15 studies have been able to explore the role of individual SES among high-cost patients due to data
16 limitations. Nonetheless, our analysis has a few limitations. While the CCHS is meant to be
17 representative of the majority of the population living in Canadian residents, it excludes
18 individuals living in institutions, on Aboriginal reserves, and in certain remote areas as well as
19 full time members of the Canadian Forces.²⁵ As a result, homeless individuals and First Nations
20 people living on reserve have ~~not~~ been exincluded. Given the high rates of mental illness and
21 substance use among these populations,^{26,27} alongside the barriers they face in accessing health
22 care (such as discrimination), it is likely we would have found larger SES inequalities; future
23 research should seek to examine these populations in more detail as well as differences by
24 ethnicity. Additionally, our sample likely includes individuals with less severe forms of mental
25 illness and/or addiction who are more likely to be able to respond to the CCHS. We defined
26 MHA-mental illness and/or addiction based on diagnoses available in the existing administrative
27 health care data ~~at ICES~~; ~~there is the possibility that~~ some individuals may have obtained
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3 MHAmental health and/or addiction-related care that was not captured in the administrative data.
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5 Furthermore, we were only able to capture data on individuals who sought and obtained care;
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7 many people who struggle with mental illness and/or substance use do not seek care. Although
8
9 our analysis includes over 90% of health care costs covered under the public health care system,
10
11 some costs could not be accounted for, as these data are not currently available for research
12
13 purposes at ICES, namely ~~the~~ costs of addiction-related health care provided through
14
15 community-based agencies. Finally, although we examined individuals ~~who were~~ at risk of
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17 becoming a high-cost patient by examining trajectories over time, we did not ~~examine this issue~~
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19 using employ longitudinal data models, which address the existence of repeated observations on
20
21 the same individual; this should be explored in future work.
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28 **Conclusion**

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31 Extensive research has examined high-cost patients; however, little work has examined
32
33 the role of SES in becoming a high-cost patient for individuals with MHAmental illness and/or
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35 addiction. We found that lower SES, such as lower income, food insecurity, and non-
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37 homeownership, as well as residential instability at the area-level, are important predictors of
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39 future high-cost status among these individuals ~~with MHA~~. Thus, policies aimed at high-cost
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41 patients with MHAmental illness and/or addiction, or those concerned with preventing
42
43 individuals with these conditions ~~MHA~~ from becoming high-cost patients ~~in the health care~~
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45 system, should also consider non-clinical factors such as income, as well as related dimensions
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47 of these, such as food security and homeownership.
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3 **Data Access:** The data from this study is held securely in coded form at ICES. While data
4 sharing agreements prohibit ICES from making the data publicly available, access may be
5 granted to those who meet pre-specified criteria for confidential access, available at
6 www.ices.on.ca/DAS.
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15 necessarily those of CIHI.
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28 institute formerly known as the Institute for Clinical Evaluative Sciences formally adopted the
29 acronym ICES as its official name. This change acknowledges the growth and evolution of the
30 organization's research since its inception in 1992, while retaining the familiarity of the former
31 acronym within the scientific community and beyond.
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47 **Disclosure of Conflicts of Interest:** None to declare.
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Table 1. Distribution of characteristics according to 5-year high-cost patient (HCP) trajectories (top 5% in costs) among adult Ontarians with prior mental health and/or addiction health care encounters

Variable	Overall (N, %)	Never HCP (N, %)	Became HCP (N, %)
N	13,861	12,437	1,424
Sex			
Females	9,261 (63.6%)	8,331 (63.7%)	930 (63.2%)
Males	4,600 (36.4%)	4,106 (36.3%)	494 (36.8%)
Age Group (years)			
18-34	3,081 (27.2%)	2,990 (28.6%)	91 (9.1%)
35-49	3,571 (32.0%)	3,408 (33.1%)	163 (17.8%)
50-64	4,155 (27.0%)	3,743 (26.8%)	412 (29.2%)
65-74	1,762 (8.4%)	1,437 (7.4%)	325 (20.2%)
75 and up	1,292 (5.5%)	859 (4.0%)	433 (23.7%)
Individual-Level Socio-Demographic and SES Factors			
Ethnic Origin			
Visible minority	1,031 (15.7%)	979 (16.5%)	52 (6.1%)
White	12,142 (80.0%)	10,823 (79.2%)	1,319 (90.2%)
Country of Birth			
Canada-born	11,118 (71.7%)	10,009 (71.9%)	1,109 (69.0%)
Immigrant	2,708 (27.8%)	2,399 (27.6%)	309 (29.9%)
Marital Status			
Married/ Common-Law	6,591 (55.6%)	5,998 (55.9%)	593 (51.5%)
Other	7,254 (44.3%)	6,423 (44.0%)	831 (48.5%)
Personal Income			
\$0 to \$14999	3,588 (25.8%)	3,173 (25.4%)	415 (30.5%)
\$15000 to \$29999	3,127 (19.2%)	2,713 (18.9%)	414 (23.1%)
\$30000 to \$49999	2,790 (18.3%)	2,535 (18.6%)	255 (15.2%)
\$50000 or more	3,048 (24.1%)	2,866 (24.9%)	182 (13.7%)
Highest Level of Education			
No Post-Secondary	4,855 (32.0%)	4,197 (30.9%)	658 (45.4%)
At Least Some Post-Secondary	8,931 (67.3%)	8,183 (68.5%)	748 (52.6%)
Household-Level SES Factors			
Equivalized HH Income Quintile			
Q1 (low income)	3,688 (23.1%)	3,155 (22.2%)	533 (34.2%)
Q2	2,604 (17.3%)	2,310 (17.2%)	294 (17.9%)
Q3	2,471 (17.7%)	2,254 (17.8%)	217 (16.6%)
Q4	2,184 (17.1%)	2,029 (17.5%)	155 (12.4%)
Q5 (high income)	1,995 (16.3%)	1,882 (16.9%)	113 (9.1%)
Highest Level of Education			
No Post-Secondary	3,265 (17.8%)	2,763 (16.9%)	502 (28.3%)
At Least Some Post-Secondary	10,021 (76.7%)	9,147 (77.4%)	874 (67.6%)
Food Security Status			

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2	Food Insecure	1,788 (12.6%)	1,603 (12.4%)	185 (15.3%)
3	Food Secure	11,942 (86.3%)	10,724 (86.7%)	1,218 (82.4%)
4	Home Ownership			
5	Own Home	9,697 (69.3%)	8,785 (69.7%)	912 (64.9%)
6	Rent Home	4,144 (30.4%)	3,635 (30.1%)	509 (34.3%)
7	Urban/ Rural Residence			
8	Urban	10,995 (87.1%)	9,853 (87.1%)	1,142 (87.5%)
9	Rural	2,866 (12.9%)	2,584 (12.9%)	282 (12.5%)
10				
11				
12	Area-Level SES Factors			
13	Income Quintile			
14	Q1 (low income)	3,068 (20.3%)	2,697 (20.0%)	371 (23.3%)
15	Q2	2,764 (18.5%)	2,458 (18.2%)	306 (22.7%)
16	Q3	2,717 (19.1%)	2,477 (19.2%)	240 (17.7%)
17	Q4	2,642 (19.9%)	2,397 (20.2%)	245 (15.9%)
18	Q5 (high income)	2,628 (22.0%)	2,370 (22.1%)	258 (20.1%)
19	Dependency Quintile			
20	Q1 (least marginalized)	2,163 (23.2%)	2,041 (24.0%)	122 (14.1%)
21	Q2	2,412 (20.4%)	2,189 (20.7%)	223 (16.9%)
22	Q3	2,531 (18.3%)	2,275 (18.3%)	256 (18.5%)
23	Q4	2,817 (17.3%)	2,523 (17.0%)	294 (21.0%)
24	Q5 (most marginalized)	3,709 (19.6%)	3,219 (19.0%)	490 (27.2%)
25	Material Deprivation Quintile			
26	Q1 (least marginalized)	2,536 (19.2%)	2,309 (19.2%)	227 (19.7%)
27	Q2	2,651 (19.2%)	2,418 (19.5%)	233 (15.3%)
28	Q3	2,683 (18.8%)	2,458 (19.2%)	225 (14.0%)
29	Q4	2,631 (18.1%)	2,314 (17.8%)	317 (21.5%)
30	Q5 (most marginalized)	3,131 (23.5%)	2,748 (23.2%)	383 (27.3%)
31	Residential Instability Quintile			
32	Q1 (least marginalized)	1,723 (17.6%)	1,586 (18.3%)	137 (10.2%)
33	Q2	2,359 (18.1%)	2,180 (18.3%)	179 (15.2%)
34	Q3	2,752 (18.4%)	2,489 (18.5%)	263 (18.2%)
35	Q4	3,079 (19.0%)	2,759 (18.9%)	320 (20.2%)
36	Q5 (most marginalized)	3,719 (25.7%)	3,233 (25.0%)	486 (34.0%)
37	Ethnic Concentration Quintile			
38	Q1 (least marginalized)	3,634 (16.2%)	3,261 (16.1%)	373 (17.1%)
39	Q2	3,479 (17.3%)	3,113 (17.3%)	366 (17.9%)
40	Q3	2,774 (20.9%)	2,484 (20.8%)	290 (22.4%)
41	Q4	2,075 (22.4%)	1,877 (22.4%)	198 (22.2%)
42	Q5 (most marginalized)	1,670 (22.1%)	1,512 (22.4%)	158 (18.2%)
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Legend: HCP – high-cost patient; SES – socioeconomic status

Notes: Sample N and weighted %, using weights provided by Statistics Canada. Other marital status: divorced, separated, widowed or single, Column percentages do not total 100% where missing values are not reported

Table 2. Associations (as odds ratios) of becoming high-cost – defined as being in the top 5% of health care spending – according to various SES measures

Variable	<u>Model 1</u>	<u>Model 2</u>	<u>Model 3</u>	<u>Model 4</u>
	Unadjusted 95% C.I.	Age-Adjusted 95% C.I.	ADG-Adjusted 95% C.I.	Fully Adjusted 95% C.I.
Individual-Level Socio-Demographic and SES Factors				
Ethnic Origin				
Visible minority	0.33 (0.21, 0.50)	0.42 (0.27, 0.66)	0.38 (0.24, 0.60)	0.44 (0.28, 0.70)
White	REF	REF	REF	REF
Country of Birth				
Canada-born	REF	REF	REF	REF
Immigrant	1.13 (0.90, 1.42)	0.79 (0.62, 1.00)	1.08 (0.86, 1.35)	0.83 (0.65, 1.05)
Marital Status				
Married/ Common-Law	REF	REF	REF	REF
Other	1.20 (1.00, 1.43)	1.40 (1.16, 1.69)	1.16 (0.96, 1.40)	1.33 (1.10, 1.62)
Personal Income				
\$0 to \$14,999	2.18 (1.63, 2.92)	2.43 (1.80, 3.28)	1.77 (1.30, 2.40)	2.11 (1.54, 2.88)
\$15,000 to \$29,999	2.22 (1.66, 2.97)	1.71 (1.26, 2.32)	1.71 (1.26, 2.32)	1.50 (1.10, 2.04)
\$30,000 to \$49,999	1.48 (1.05, 2.08)	1.40 (0.99, 1.98)	1.42 (0.99, 2.02)	1.36 (0.95, 1.95)
\$50,000 or more	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.91 (1.57, 2.33)	1.41 (1.14, 1.74)	1.60 (1.31, 1.96)	1.34 (1.09, 1.67)
At Least Some Post-Secondary	REF	REF	REF	REF
Household-Level SES Factors				
Equivalentized HH Income Quintile				
Q1 (low income)	2.86 (2.05, 3.98)	2.51 (1.78, 3.54)	2.08 (1.48, 2.93)	2.11 (1.49, 2.99)
Q2	1.93 (1.36, 2.73)	1.62 (1.14, 2.31)	1.58 (1.11, 2.26)	1.47 (1.02, 2.11)
Q3	1.73 (1.20, 2.51)	1.57 (1.08, 2.29)	1.63 (1.12, 2.37)	1.55 (1.06, 2.27)
Q4	1.33 (0.87, 2.02)	1.29 (0.85, 1.97)	1.31 (0.85, 2.00)	1.29 (0.84, 1.97)
Q5 (high income)	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.91 (1.56, 2.35)	1.26 (0.99, 1.59)	1.41 (1.14, 1.74)	1.12 (0.89, 1.42)
At Least Some Post-Secondary	REF	REF	REF	REF
Food Security Status				
Food Insecure	1.29 (0.98, 1.70)	2.15 (1.60, 2.89)	1.19 (0.88, 1.60)	1.87 (1.38, 2.55)
Food Secure	REF	REF	REF	REF
Home Ownership				
Own Home	REF	REF	REF	REF
Rent Home	1.22 (1.00, 1.50)	1.51 (1.22, 1.88)	1.11 (0.90, 1.37)	1.34 (1.08, 1.66)
Urban/ Rural Residence				
Urban	REF	REF	REF	REF
Rural	0.97 (0.77, 1.22)	0.88 (0.69, 1.12)	0.94 (0.74, 1.19)	0.91 (0.71, 1.16)
Area-Level SES Factors				

1					
2	Income Quintile				
3	Q1 (low income)	1.28 (0.96, 1.71)	1.41 (1.05, 1.89)	1.11 (0.83, 1.50)	1.27 (0.94, 1.72)
4	Q2	1.37 (1.00, 1.87)	1.37 (0.99, 1.88)	1.26 (0.92, 1.74)	1.31 (0.95, 1.81)
5	Q3	1.01 (0.73, 1.41)	1.05 (0.76, 1.45)	0.97 (0.70, 1.36)	1.02 (0.73, 1.42)
6	Q4	0.86 (0.64, 1.17)	0.89 (0.65, 1.22)	0.87 (0.64, 1.19)	0.88 (0.64, 1.21)
7	Q5 (high income)	REF	REF	REF	REF
8					
9	Dependency Quintile				
10	Q1 (least marginalized)	REF	REF	REF	REF
11	Q2	1.38 (0.95, 2.01)	1.28 (0.86, 1.89)	1.31 (0.90, 1.92)	1.29 (0.88, 1.90)
12	Q3	1.72 (1.19, 2.47)	1.44 (0.98, 2.10)	1.52 (1.06, 2.18)	1.38 (0.95, 2.00)
13	Q4	2.09 (1.47, 2.98)	1.54 (1.08, 2.21)	1.80 (1.26, 2.56)	1.49 (1.05, 2.12)
14	Q5 (most marginalized)	2.43 (1.74, 3.40)	1.44 (1.02, 2.03)	1.93 (1.37, 2.71)	1.39 (0.98, 1.96)
15					
16	Material Deprivation Quintile				
17	Q1 (least marginalized)	REF	REF	REF	REF
18	Q2	0.76 (0.55, 1.06)	0.75 (0.54, 1.05)	0.77 (0.55, 1.07)	0.76 (0.55, 1.06)
19	Q3	0.71 (0.50, 1.02)	0.70 (0.49, 1.01)	0.68 (0.47, 0.97)	0.67 (0.46, 0.97)
20	Q4	1.18 (0.86, 1.61)	1.07 (0.77, 1.47)	1.07 (0.77, 1.50)	1.01 (0.72, 1.41)
21	Q5 (most marginalized)	1.14 (0.85, 1.54)	1.14 (0.85, 1.55)	0.95 (0.71, 1.27)	1.01 (0.75, 1.35)
22					
23	Residential Instability Quintile				
24	Q1 (least marginalized)	REF	REF	REF	REF
25	Q2	1.48 (1.00, 2.19)	1.38 (0.93, 2.04)	1.34 (0.90, 2.01)	1.30 (0.88, 1.93)
26	Q3	1.77 (1.22, 2.56)	1.62 (1.10, 2.39)	1.58 (1.07, 2.32)	1.50 (1.02, 2.22)
27	Q4	1.92 (1.38, 2.66)	1.68 (1.20, 2.35)	1.58 (1.12, 2.23)	1.51 (1.07, 2.13)
28	Q5 (most marginalized)	2.44 (1.77, 3.35)	1.94 (1.40, 2.69)	1.95 (1.39, 2.73)	1.72 (1.23, 2.42)
29					
30	Ethnic Concentration Quintile				
31	Q1 (least marginalized)	REF	REF	REF	REF
32	Q2	0.97 (0.77, 1.24)	1.01 (0.79, 1.30)	0.97 (0.75, 1.24)	1.00 (0.77, 1.29)
33	Q3	1.01 (0.79, 1.30)	1.03 (0.79, 1.33)	1.04 (0.80, 1.36)	1.02 (0.78, 1.34)
34	Q4	0.93 (0.71, 1.24)	1.00 (0.75, 1.33)	0.99 (0.74, 1.34)	0.99 (0.74, 1.33)
35	Q5 (most marginalized)	0.76 (0.57, 1.02)	0.84 (0.62, 1.13)	0.78 (0.58, 1.04)	0.81 (0.60, 1.09)
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Legend: SES – socioeconomic status; C.I. – confidence interval; ADG – Aggregated Diagnosis Groups; REF – reference case

Notes: Odds ratios based on logistic regression models weighted using bootstrap weights provided by Statistics Canada. Model 1 is unadjusted, Model 2 is adjusted for age, Model 3 is adjusted for age and the Johns Hopkins' Aggregated Diagnosis Groups Score and Model 4, (i.e., the Full model) is adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

Table 3. Associations (as odds ratios) of becoming high-cost – defined as being in the top 10% of health care spending – according to various SES measures

Variable	Model 1 Unadjusted 95% C.I.	Model 2 Age-Adjusted 95% C.I.	Model 3 ADG-Adjusted 95% C.I.	Model 4 Fully Adjusted 95% C.I.
Individual-Level Socio-Demographic and SES Factors				
Ethnic Origin				
Visible minority	0.55 (0.41, 0.73)	0.70 (0.51, 0.95)	0.63 (0.46, 0.86)	0.74 (0.54, 1.01)
White	REF	REF	REF	REF
Country of Birth				
Canada-born	REF	REF	REF	REF
Immigrant	1.20 (1.00, 1.44)	0.88 (0.72, 1.07)	1.17 (0.97, 1.41)	0.92 (0.75, 1.12)
Marital Status				
Married/ Common-Law	REF	REF	REF	REF
Other	1.15 (0.98, 1.35)	1.42 (1.21, 1.67)	1.12 (0.95, 1.32)	1.34 (1.14, 1.59)
Personal Income				
\$0 to \$14,999	1.82 (1.45, 2.28)	2.11 (1.68, 2.65)	1.57 (1.24, 1.99)	1.89 (1.49, 2.39)
\$15,000 to \$29,999	2.12 (1.69, 2.66)	1.84 (1.45, 2.34)	1.76 (1.39, 2.23)	1.67 (1.30, 2.14)
\$30,000 to \$49,999	1.35 (1.04, 1.74)	1.33 (1.02, 1.73)	1.29 (0.98, 1.68)	1.29 (0.98, 1.69)
\$50,000 or more	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.66 (1.42, 1.94)	1.32 (1.13, 1.56)	1.44 (1.24, 1.69)	1.26 (1.08, 1.48)
At Least Some Post-Secondary	REF	REF	REF	REF
Household-Level SES Factors				
Equivalized HH Income Quintile				
Q1 (low income)	2.53 (1.96, 3.28)	2.43 (1.87, 3.17)	2.02 (1.54, 2.64)	2.10 (1.60, 2.75)
Q2	1.56 (1.18, 2.06)	1.44 (1.08, 1.91)	1.36 (1.02, 1.79)	1.32 (0.99, 1.76)
Q3	1.27 (0.94, 1.72)	1.19 (0.88, 1.61)	1.18 (0.88, 1.60)	1.15 (0.85, 1.55)
Q4	1.30 (0.95, 1.79)	1.32 (0.96, 1.82)	1.27 (0.92, 1.76)	1.29 (0.94, 1.79)
Q5 (high income)	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.98 (1.65, 2.37)	1.41 (1.16, 1.72)	1.58 (1.32, 1.89)	1.29 (1.06, 1.56)
At Least Some Post-Secondary	REF	REF	REF	REF
Food Security Status				
Food Insecure	1.26 (1.02, 1.54)	1.86 (1.47, 2.34)	1.19 (0.95, 1.48)	1.68 (1.33, 2.14)
Food Secure	REF	REF	REF	REF
Home Ownership				
Own Home	REF	REF	REF	REF
Rent Home	1.26 (1.07, 1.49)	1.56 (1.32, 1.85)	1.19 (1.01, 1.40)	1.43 (1.20, 1.69)
Urban/ Rural Residence				
Urban	REF	REF	REF	REF
Rural	1.06 (0.88, 1.27)	0.96 (0.79, 1.16)	1.02 (0.84, 1.24)	0.97 (0.80, 1.19)
Area-Level SES Factors				

1					
2	Income Quintile				
3	Q1 (low income)	1.32 (1.03, 1.69)	1.46 (1.14, 1.87)	1.19 (0.93, 1.53)	1.34 (1.04, 1.72)
4	Q2	1.23 (0.97, 1.57)	1.22 (0.95, 1.56)	1.15 (0.89, 1.48)	1.17 (0.91, 1.51)
5	Q3	1.13 (0.89, 1.43)	1.17 (0.92, 1.50)	1.10 (0.86, 1.41)	1.15 (0.89, 1.47)
6	Q4	1.01 (0.79, 1.30)	1.06 (0.82, 1.37)	1.03 (0.80, 1.33)	1.06 (0.81, 1.38)
7	Q5 (high income)	REF	REF	REF	REF
8					
9	Dependency Quintile				
10	Q1 (least marginalized)	REF	REF	REF	REF
11	Q2	1.06 (0.81, 1.38)	0.97 (0.74, 1.28)	1.02 (0.77, 1.34)	0.98 (0.74, 1.29)
12	Q3	1.47 (1.13, 1.91)	1.29 (0.98, 1.71)	1.36 (1.04, 1.78)	1.26 (0.96, 1.67)
13	Q4	1.61 (1.24, 2.08)	1.25 (0.96, 1.63)	1.44 (1.10, 1.88)	1.22 (0.93, 1.59)
14	Q5 (most marginalized)	1.88 (1.47, 2.40)	1.24 (0.97, 1.57)	1.59 (1.24, 2.03)	1.19 (0.94, 1.52)
15					
16	Material Deprivation Quintile				
17	Q1 (least marginalized)	REF	REF	REF	REF
18	Q2	0.97 (0.75, 1.25)	0.98 (0.76, 1.28)	0.98 (0.76, 1.27)	1.00 (0.77, 1.31)
19	Q3	0.91 (0.70, 1.18)	0.91 (0.70, 1.18)	0.89 (0.68, 1.15)	0.89 (0.68, 1.16)
20	Q4	1.23 (0.96, 1.58)	1.16 (0.90, 1.50)	1.16 (0.89, 1.50)	1.12 (0.86, 1.46)
21	Q5 (most marginalized)	1.47 (1.16, 1.85)	1.53 (1.20, 1.94)	1.29 (1.02, 1.64)	1.39 (1.09, 1.77)
22					
23	Residential Instability Quintile				
24	Q1 (least marginalized)	REF	REF	REF	REF
25	Q2	1.40 (1.05, 1.87)	1.36 (1.01, 1.82)	1.32 (0.98, 1.78)	1.31 (0.97, 1.76)
26	Q3	1.56 (1.18, 2.05)	1.50 (1.12, 2.00)	1.45 (1.09, 1.93)	1.42 (1.06, 1.91)
27	Q4	1.68 (1.29, 2.18)	1.53 (1.17, 2.01)	1.49 (1.13, 1.95)	1.43 (1.08, 1.88)
28	Q5 (most marginalized)	1.92 (1.49, 2.47)	1.64 (1.26, 2.13)	1.65 (1.27, 2.14)	1.51 (1.15, 1.97)
29					
30	Ethnic Concentration Quintile				
31	Q1 (least marginalized)	REF	REF	REF	REF
32	Q2	0.90 (0.73, 1.10)	0.93 (0.75, 1.16)	0.89 (0.71, 1.11)	0.92 (0.73, 1.15)
33	Q3	0.81 (0.64, 1.02)	0.81 (0.63, 1.04)	0.83 (0.65, 1.05)	0.81 (0.63, 1.05)
34	Q4	0.83 (0.66, 1.06)	0.88 (0.69, 1.13)	0.88 (0.68, 1.13)	0.89 (0.69, 1.14)
35	Q5 (most marginalized)	0.81 (0.63, 1.03)	0.88 (0.69, 1.14)	0.83 (0.65, 1.07)	0.88 (0.68, 1.13)
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Legend: SES – socioeconomic status; C.I. – confidence interval; ADG – Aggregated Diagnosis Groups; REF – reference case

Notes: Odds ratios based on logistic regression models weighted using bootstrap weights provided by Statistics Canada. Model 1 is unadjusted, Model 2 is adjusted for age, Model 3 is adjusted for age and the Johns Hopkins' Aggregated Diagnosis Groups Score and Model 4, (i.e., the Full model) is adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

Table 4. Absolute and relative inequality in 5-year high-cost patient (HCP) trajectories according to multiple (ordinal) SES factors

Variable	Top 5% HCP		Top 10% HCP	
	SII (95% C.I.)	RII (95% C.I.)	SII (95% C.I.)	RII (95% C.I.)
Individual-Level Factors				
Personal Income	-5.75 (-8.19, -3.32)	-0.80 (-1.14, -0.46)	-8.99 (-12.35, -5.63)	-0.66 (-0.91, -0.41)
Highest Level of Education	-3.78 (-6.27, -1.29)	-0.51 (-0.84, -0.17)	-6.27 (-9.32, -3.22)	-0.44 (-0.66, -0.23)
Household-Level Factors				
Equivalentized HH Income Quintile	-5.17 (-7.60, -2.74)	-0.69 (-1.02, -0.37)	-9.29 (-12.78, -5.80)	-0.66 (-0.91, -0.41)
Highest Level of Education	-1.76 (-4.65, 1.13)	-0.23 (-0.60, 0.15)	-5.59 (-9.38, -1.80)	-0.39 (-0.66, -0.13)
Area-Level Factors				
Income Quintile	-2.67 (-4.92, -0.42)	-0.35 (-0.65, -0.06)	-3.81 (-7.00, -0.62)	-0.27 (-0.49, -0.04)
Dependency Quintile	-2.33 (-4.52, -0.14)	-0.31 (-0.60, -0.02)	-3.09 (-6.11, -0.06)	-0.22 (-0.43, 0.00)
Material Deprivation Quintile	-1.05 (-3.30, 1.20)	-0.14 (-0.44, 0.16)	-4.74 (-7.87, -1.62)	-0.33 (-0.55, -0.11)
Residential Instability Quintile	-3.53 (-5.77, -1.29)	-0.47 (-0.77, -0.17)	-4.43 (-7.56, -1.30)	-0.31 (-0.53, -0.09)
Ethnic Concentration Quintile	1.38 (-0.60, 3.35)	0.18 (-0.08, 0.45)	1.41 (-1.67, 4.50)	0.10 (-0.12, 0.32)

Legend: SES – socioeconomic status; C.I. – confidence interval; HCP - high-cost patient; SII – slope index of inequality; RII – relative index of inequality

Notes: SII: slope index of inequality (absolute inequality), obtained from marginal effects following a logistic regression model of high-cost patient on the cumulative rank in SES position; RII: relative index of inequality (relative inequality), equal to the SII divided by the population mean outcome. Inequality measures are adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

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Table 1. Distribution of characteristics according to 5-year high-cost patient (HCP) trajectories (top 5% in costs) among adult Ontarians with prior mental health and/or addiction health care encounters

Variable	Overall (N, %)	Never HCP (N, %)	Became HCP (N, %)
N	13,861	12,437	1,424
Sex			
Females	9,261 (63.6%)	8,331 (63.7%)	930 (63.2%)
Males	4,600 (36.4%)	4,106 (36.3%)	494 (36.8%)
Age Group (years)			
18-34	3,081 (27.2%)	2,990 (28.6%)	91 (9.1%)
35-49	3,571 (32.0%)	3,408 (33.1%)	163 (17.8%)
50-64	4,155 (27.0%)	3,743 (26.8%)	412 (29.2%)
65-74	1,762 (8.4%)	1,437 (7.4%)	325 (20.2%)
75 and up	1,292 (5.5%)	859 (4.0%)	433 (23.7%)
Individual-Level Socio-Demographic and SES Factors			
Ethnic Origin			
Visible minority	1,031 (15.7%)	979 (16.5%)	52 (6.1%)
White	12,142 (80.0%)	10,823 (79.2%)	1,319 (90.2%)
Country of Birth			
Canada-born	11,118 (71.7%)	10,009 (71.9%)	1,109 (69.0%)
Immigrant	2,708 (27.8%)	2,399 (27.6%)	309 (29.9%)
Marital Status			
Married/ Common-Law	6,591 (55.6%)	5,998 (55.9%)	593 (51.5%)
Other	7,254 (44.3%)	6,423 (44.0%)	831 (48.5%)
Personal Income			
\$0 to \$14999	3,588 (25.8%)	3,173 (25.4%)	415 (30.5%)
\$15000 to \$29999	3,127 (19.2%)	2,713 (18.9%)	414 (23.1%)
\$30000 to \$49999	2,790 (18.3%)	2,535 (18.6%)	255 (15.2%)
\$50000 or more	3,048 (24.1%)	2,866 (24.9%)	182 (13.7%)
Highest Level of Education			
No Post-Secondary	4,855 (32.0%)	4,197 (30.9%)	658 (45.4%)
At Least Some Post-Secondary	8,931 (67.3%)	8,183 (68.5%)	748 (52.6%)
Household-Level SES Factors			
Equivalized HH Income Quintile			
Q1 (low income)	3,688 (23.1%)	3,155 (22.2%)	533 (34.2%)
Q2	2,604 (17.3%)	2,310 (17.2%)	294 (17.9%)
Q3	2,471 (17.7%)	2,254 (17.8%)	217 (16.6%)
Q4	2,184 (17.1%)	2,029 (17.5%)	155 (12.4%)
Q5 (high income)	1,995 (16.3%)	1,882 (16.9%)	113 (9.1%)
Highest Level of Education			
No Post-Secondary	3,265 (17.8%)	2,763 (16.9%)	502 (28.3%)
At Least Some Post-Secondary	10,021 (76.7%)	9,147 (77.4%)	874 (67.6%)
Food Security Status			

1				
2	Food Insecure	1,788 (12.6%)	1,603 (12.4%)	185 (15.3%)
3	Food Secure	11,942 (86.3%)	10,724 (86.7%)	1,218 (82.4%)
4	Home Ownership			
5	Own Home	9,697 (69.3%)	8,785 (69.7%)	912 (64.9%)
6	Rent Home	4,144 (30.4%)	3,635 (30.1%)	509 (34.3%)
7	Urban/ Rural Residence			
8	Urban	10,995 (87.1%)	9,853 (87.1%)	1,142 (87.5%)
9	Rural	2,866 (12.9%)	2,584 (12.9%)	282 (12.5%)
10				
11				
12	Area-Level SES Factors			
13	Income Quintile			
14	Q1 (low income)	3,068 (20.3%)	2,697 (20.0%)	371 (23.3%)
15	Q2	2,764 (18.5%)	2,458 (18.2%)	306 (22.7%)
16	Q3	2,717 (19.1%)	2,477 (19.2%)	240 (17.7%)
17	Q4	2,642 (19.9%)	2,397 (20.2%)	245 (15.9%)
18	Q5 (high income)	2,628 (22.0%)	2,370 (22.1%)	258 (20.1%)
19	Dependency Quintile			
20	Q1 (least marginalized)	2,163 (23.2%)	2,041 (24.0%)	122 (14.1%)
21	Q2	2,412 (20.4%)	2,189 (20.7%)	223 (16.9%)
22	Q3	2,531 (18.3%)	2,275 (18.3%)	256 (18.5%)
23	Q4	2,817 (17.3%)	2,523 (17.0%)	294 (21.0%)
24	Q5 (most marginalized)	3,709 (19.6%)	3,219 (19.0%)	490 (27.2%)
25	Material Deprivation Quintile			
26	Q1 (least marginalized)	2,536 (19.2%)	2,309 (19.2%)	227 (19.7%)
27	Q2	2,651 (19.2%)	2,418 (19.5%)	233 (15.3%)
28	Q3	2,683 (18.8%)	2,458 (19.2%)	225 (14.0%)
29	Q4	2,631 (18.1%)	2,314 (17.8%)	317 (21.5%)
30	Q5 (most marginalized)	3,131 (23.5%)	2,748 (23.2%)	383 (27.3%)
31	Residential Instability Quintile			
32	Q1 (least marginalized)	1,723 (17.6%)	1,586 (18.3%)	137 (10.2%)
33	Q2	2,359 (18.1%)	2,180 (18.3%)	179 (15.2%)
34	Q3	2,752 (18.4%)	2,489 (18.5%)	263 (18.2%)
35	Q4	3,079 (19.0%)	2,759 (18.9%)	320 (20.2%)
36	Q5 (most marginalized)	3,719 (25.7%)	3,233 (25.0%)	486 (34.0%)
37	Ethnic Concentration Quintile			
38	Q1 (least marginalized)	3,634 (16.2%)	3,261 (16.1%)	373 (17.1%)
39	Q2	3,479 (17.3%)	3,113 (17.3%)	366 (17.9%)
40	Q3	2,774 (20.9%)	2,484 (20.8%)	290 (22.4%)
41	Q4	2,075 (22.4%)	1,877 (22.4%)	198 (22.2%)
42	Q5 (most marginalized)	1,670 (22.1%)	1,512 (22.4%)	158 (18.2%)
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Legend: HCP – high-cost patient; SES – socioeconomic status

Notes: Sample N and weighted %, using weights provided by Statistics Canada. Other marital status: divorced, separated, widowed or single, Column percentages do not total 100% where missing values are not reported

Table 2. Associations (as odds ratios) of becoming high-cost – defined as being in the top 5% of health care spending – according to various SES measures

Variable	<u>Model 1</u>	<u>Model 2</u>	<u>Model 3</u>	<u>Model 4</u>
	Unadjusted 95% C.I.	Age-Adjusted 95% C.I.	ADG-Adjusted 95% C.I.	Fully Adjusted 95% C.I.
Individual-Level Socio-Demographic and SES Factors				
Ethnic Origin				
Visible minority	0.33 (0.21, 0.50)	0.42 (0.27, 0.66)	0.38 (0.24, 0.60)	0.44 (0.28, 0.70)
White	REF	REF	REF	REF
Country of Birth				
Canada-born	REF	REF	REF	REF
Immigrant	1.13 (0.90, 1.42)	0.79 (0.62, 1.00)	1.08 (0.86, 1.35)	0.83 (0.65, 1.05)
Marital Status				
Married/ Common-Law	REF	REF	REF	REF
Other	1.20 (1.00, 1.43)	1.40 (1.16, 1.69)	1.16 (0.96, 1.40)	1.33 (1.10, 1.62)
Personal Income				
\$0 to \$14,999	2.18 (1.63, 2.92)	2.43 (1.80, 3.28)	1.77 (1.30, 2.40)	2.11 (1.54, 2.88)
\$15,000 to \$29,999	2.22 (1.66, 2.97)	1.71 (1.26, 2.32)	1.71 (1.26, 2.32)	1.50 (1.10, 2.04)
\$30,000 to \$49,999	1.48 (1.05, 2.08)	1.40 (0.99, 1.98)	1.42 (0.99, 2.02)	1.36 (0.95, 1.95)
\$50,000 or more	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.91 (1.57, 2.33)	1.41 (1.14, 1.74)	1.60 (1.31, 1.96)	1.34 (1.09, 1.67)
At Least Some Post-Secondary	REF	REF	REF	REF
Household-Level SES Factors				
Equivalentized HH Income Quintile				
Q1 (low income)	2.86 (2.05, 3.98)	2.51 (1.78, 3.54)	2.08 (1.48, 2.93)	2.11 (1.49, 2.99)
Q2	1.93 (1.36, 2.73)	1.62 (1.14, 2.31)	1.58 (1.11, 2.26)	1.47 (1.02, 2.11)
Q3	1.73 (1.20, 2.51)	1.57 (1.08, 2.29)	1.63 (1.12, 2.37)	1.55 (1.06, 2.27)
Q4	1.33 (0.87, 2.02)	1.29 (0.85, 1.97)	1.31 (0.85, 2.00)	1.29 (0.84, 1.97)
Q5 (high income)	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.91 (1.56, 2.35)	1.26 (0.99, 1.59)	1.41 (1.14, 1.74)	1.12 (0.89, 1.42)
At Least Some Post-Secondary	REF	REF	REF	REF
Food Security Status				
Food Insecure	1.29 (0.98, 1.70)	2.15 (1.60, 2.89)	1.19 (0.88, 1.60)	1.87 (1.38, 2.55)
Food Secure	REF	REF	REF	REF
Home Ownership				
Own Home	REF	REF	REF	REF
Rent Home	1.22 (1.00, 1.50)	1.51 (1.22, 1.88)	1.11 (0.90, 1.37)	1.34 (1.08, 1.66)
Urban/ Rural Residence				
Urban	REF	REF	REF	REF
Rural	0.97 (0.77, 1.22)	0.88 (0.69, 1.12)	0.94 (0.74, 1.19)	0.91 (0.71, 1.16)
Area-Level SES Factors				

1					
2	Income Quintile				
3	Q1 (low income)	1.28 (0.96, 1.71)	1.41 (1.05, 1.89)	1.11 (0.83, 1.50)	1.27 (0.94, 1.72)
4	Q2	1.37 (1.00, 1.87)	1.37 (0.99, 1.88)	1.26 (0.92, 1.74)	1.31 (0.95, 1.81)
5	Q3	1.01 (0.73, 1.41)	1.05 (0.76, 1.45)	0.97 (0.70, 1.36)	1.02 (0.73, 1.42)
6	Q4	0.86 (0.64, 1.17)	0.89 (0.65, 1.22)	0.87 (0.64, 1.19)	0.88 (0.64, 1.21)
7	Q5 (high income)	REF	REF	REF	REF
8					
9	Dependency Quintile				
10	Q1 (least marginalized)	REF	REF	REF	REF
11	Q2	1.38 (0.95, 2.01)	1.28 (0.86, 1.89)	1.31 (0.90, 1.92)	1.29 (0.88, 1.90)
12	Q3	1.72 (1.19, 2.47)	1.44 (0.98, 2.10)	1.52 (1.06, 2.18)	1.38 (0.95, 2.00)
13	Q4	2.09 (1.47, 2.98)	1.54 (1.08, 2.21)	1.80 (1.26, 2.56)	1.49 (1.05, 2.12)
14	Q5 (most marginalized)	2.43 (1.74, 3.40)	1.44 (1.02, 2.03)	1.93 (1.37, 2.71)	1.39 (0.98, 1.96)
15					
16	Material Deprivation Quintile				
17	Q1 (least marginalized)	REF	REF	REF	REF
18	Q2	0.76 (0.55, 1.06)	0.75 (0.54, 1.05)	0.77 (0.55, 1.07)	0.76 (0.55, 1.06)
19	Q3	0.71 (0.50, 1.02)	0.70 (0.49, 1.01)	0.68 (0.47, 0.97)	0.67 (0.46, 0.97)
20	Q4	1.18 (0.86, 1.61)	1.07 (0.77, 1.47)	1.07 (0.77, 1.50)	1.01 (0.72, 1.41)
21	Q5 (most marginalized)	1.14 (0.85, 1.54)	1.14 (0.85, 1.55)	0.95 (0.71, 1.27)	1.01 (0.75, 1.35)
22					
23	Residential Instability Quintile				
24	Q1 (least marginalized)	REF	REF	REF	REF
25	Q2	1.48 (1.00, 2.19)	1.38 (0.93, 2.04)	1.34 (0.90, 2.01)	1.30 (0.88, 1.93)
26	Q3	1.77 (1.22, 2.56)	1.62 (1.10, 2.39)	1.58 (1.07, 2.32)	1.50 (1.02, 2.22)
27	Q4	1.92 (1.38, 2.66)	1.68 (1.20, 2.35)	1.58 (1.12, 2.23)	1.51 (1.07, 2.13)
28	Q5 (most marginalized)	2.44 (1.77, 3.35)	1.94 (1.40, 2.69)	1.95 (1.39, 2.73)	1.72 (1.23, 2.42)
29					
30	Ethnic Concentration Quintile				
31	Q1 (least marginalized)	REF	REF	REF	REF
32	Q2	0.97 (0.77, 1.24)	1.01 (0.79, 1.30)	0.97 (0.75, 1.24)	1.00 (0.77, 1.29)
33	Q3	1.01 (0.79, 1.30)	1.03 (0.79, 1.33)	1.04 (0.80, 1.36)	1.02 (0.78, 1.34)
34	Q4	0.93 (0.71, 1.24)	1.00 (0.75, 1.33)	0.99 (0.74, 1.34)	0.99 (0.74, 1.33)
35	Q5 (most marginalized)	0.76 (0.57, 1.02)	0.84 (0.62, 1.13)	0.78 (0.58, 1.04)	0.81 (0.60, 1.09)
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Legend: SES – socioeconomic status; C.I. – confidence interval; ADG – Aggregated Diagnosis Groups; REF – reference case

Notes: Odds ratios based on logistic regression models weighted using bootstrap weights provided by Statistics Canada. Model 1 is unadjusted, Model 2 is adjusted for age, Model 3 is adjusted for age and the Johns Hopkins' Aggregated Diagnosis Groups Score and Model 4, (i.e., the Full model) is adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

Table 3. Associations (as odds ratios) of becoming high-cost – defined as being in the top 10% of health care spending – according to various SES measures

Variable	Model 1 Unadjusted 95% C.I.	Model 2 Age-Adjusted 95% C.I.	Model 3 ADG-Adjusted 95% C.I.	Model 4 Fully Adjusted 95% C.I.
Individual-Level Socio-Demographic and SES Factors				
Ethnic Origin				
Visible minority	0.55 (0.41, 0.73)	0.70 (0.51, 0.95)	0.63 (0.46, 0.86)	0.74 (0.54, 1.01)
White	REF	REF	REF	REF
Country of Birth				
Canada-born	REF	REF	REF	REF
Immigrant	1.20 (1.00, 1.44)	0.88 (0.72, 1.07)	1.17 (0.97, 1.41)	0.92 (0.75, 1.12)
Marital Status				
Married/ Common-Law	REF	REF	REF	REF
Other	1.15 (0.98, 1.35)	1.42 (1.21, 1.67)	1.12 (0.95, 1.32)	1.34 (1.14, 1.59)
Personal Income				
\$0 to \$14,999	1.82 (1.45, 2.28)	2.11 (1.68, 2.65)	1.57 (1.24, 1.99)	1.89 (1.49, 2.39)
\$15,000 to \$29,999	2.12 (1.69, 2.66)	1.84 (1.45, 2.34)	1.76 (1.39, 2.23)	1.67 (1.30, 2.14)
\$30,000 to \$49,999	1.35 (1.04, 1.74)	1.33 (1.02, 1.73)	1.29 (0.98, 1.68)	1.29 (0.98, 1.69)
\$50,000 or more	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.66 (1.42, 1.94)	1.32 (1.13, 1.56)	1.44 (1.24, 1.69)	1.26 (1.08, 1.48)
At Least Some Post-Secondary	REF	REF	REF	REF
Household-Level SES Factors				
Equivalized HH Income Quintile				
Q1 (low income)	2.53 (1.96, 3.28)	2.43 (1.87, 3.17)	2.02 (1.54, 2.64)	2.10 (1.60, 2.75)
Q2	1.56 (1.18, 2.06)	1.44 (1.08, 1.91)	1.36 (1.02, 1.79)	1.32 (0.99, 1.76)
Q3	1.27 (0.94, 1.72)	1.19 (0.88, 1.61)	1.18 (0.88, 1.60)	1.15 (0.85, 1.55)
Q4	1.30 (0.95, 1.79)	1.32 (0.96, 1.82)	1.27 (0.92, 1.76)	1.29 (0.94, 1.79)
Q5 (high income)	REF	REF	REF	REF
Highest Level of Education				
No Post-Secondary	1.98 (1.65, 2.37)	1.41 (1.16, 1.72)	1.58 (1.32, 1.89)	1.29 (1.06, 1.56)
At Least Some Post-Secondary	REF	REF	REF	REF
Food Security Status				
Food Insecure	1.26 (1.02, 1.54)	1.86 (1.47, 2.34)	1.19 (0.95, 1.48)	1.68 (1.33, 2.14)
Food Secure	REF	REF	REF	REF
Home Ownership				
Own Home	REF	REF	REF	REF
Rent Home	1.26 (1.07, 1.49)	1.56 (1.32, 1.85)	1.19 (1.01, 1.40)	1.43 (1.20, 1.69)
Urban/ Rural Residence				
Urban	REF	REF	REF	REF
Rural	1.06 (0.88, 1.27)	0.96 (0.79, 1.16)	1.02 (0.84, 1.24)	0.97 (0.80, 1.19)
Area-Level SES Factors				

1					
2	Income Quintile				
3	Q1 (low income)	1.32 (1.03, 1.69)	1.46 (1.14, 1.87)	1.19 (0.93, 1.53)	1.34 (1.04, 1.72)
4	Q2	1.23 (0.97, 1.57)	1.22 (0.95, 1.56)	1.15 (0.89, 1.48)	1.17 (0.91, 1.51)
5	Q3	1.13 (0.89, 1.43)	1.17 (0.92, 1.50)	1.10 (0.86, 1.41)	1.15 (0.89, 1.47)
6	Q4	1.01 (0.79, 1.30)	1.06 (0.82, 1.37)	1.03 (0.80, 1.33)	1.06 (0.81, 1.38)
7	Q5 (high income)	REF	REF	REF	REF
8					
9	Dependency Quintile				
10	Q1 (least marginalized)	REF	REF	REF	REF
11	Q2	1.06 (0.81, 1.38)	0.97 (0.74, 1.28)	1.02 (0.77, 1.34)	0.98 (0.74, 1.29)
12	Q3	1.47 (1.13, 1.91)	1.29 (0.98, 1.71)	1.36 (1.04, 1.78)	1.26 (0.96, 1.67)
13	Q4	1.61 (1.24, 2.08)	1.25 (0.96, 1.63)	1.44 (1.10, 1.88)	1.22 (0.93, 1.59)
14	Q5 (most marginalized)	1.88 (1.47, 2.40)	1.24 (0.97, 1.57)	1.59 (1.24, 2.03)	1.19 (0.94, 1.52)
15					
16	Material Deprivation Quintile				
17	Q1 (least marginalized)	REF	REF	REF	REF
18	Q2	0.97 (0.75, 1.25)	0.98 (0.76, 1.28)	0.98 (0.76, 1.27)	1.00 (0.77, 1.31)
19	Q3	0.91 (0.70, 1.18)	0.91 (0.70, 1.18)	0.89 (0.68, 1.15)	0.89 (0.68, 1.16)
20	Q4	1.23 (0.96, 1.58)	1.16 (0.90, 1.50)	1.16 (0.89, 1.50)	1.12 (0.86, 1.46)
21	Q5 (most marginalized)	1.47 (1.16, 1.85)	1.53 (1.20, 1.94)	1.29 (1.02, 1.64)	1.39 (1.09, 1.77)
22					
23	Residential Instability Quintile				
24	Q1 (least marginalized)	REF	REF	REF	REF
25	Q2	1.40 (1.05, 1.87)	1.36 (1.01, 1.82)	1.32 (0.98, 1.78)	1.31 (0.97, 1.76)
26	Q3	1.56 (1.18, 2.05)	1.50 (1.12, 2.00)	1.45 (1.09, 1.93)	1.42 (1.06, 1.91)
27	Q4	1.68 (1.29, 2.18)	1.53 (1.17, 2.01)	1.49 (1.13, 1.95)	1.43 (1.08, 1.88)
28	Q5 (most marginalized)	1.92 (1.49, 2.47)	1.64 (1.26, 2.13)	1.65 (1.27, 2.14)	1.51 (1.15, 1.97)
29					
30	Ethnic Concentration Quintile				
31	Q1 (least marginalized)	REF	REF	REF	REF
32	Q2	0.90 (0.73, 1.10)	0.93 (0.75, 1.16)	0.89 (0.71, 1.11)	0.92 (0.73, 1.15)
33	Q3	0.81 (0.64, 1.02)	0.81 (0.63, 1.04)	0.83 (0.65, 1.05)	0.81 (0.63, 1.05)
34	Q4	0.83 (0.66, 1.06)	0.88 (0.69, 1.13)	0.88 (0.68, 1.13)	0.89 (0.69, 1.14)
35	Q5 (most marginalized)	0.81 (0.63, 1.03)	0.88 (0.69, 1.14)	0.83 (0.65, 1.07)	0.88 (0.68, 1.13)
36					
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Legend: SES – socioeconomic status; C.I. – confidence interval; ADG – Aggregated Diagnosis Groups; REF – reference case

Notes: Odds ratios based on logistic regression models weighted using bootstrap weights provided by Statistics Canada. Model 1 is unadjusted, Model 2 is adjusted for age, Model 3 is adjusted for age and the Johns Hopkins' Aggregated Diagnosis Groups Score and Model 4, (i.e., the Full model) is adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

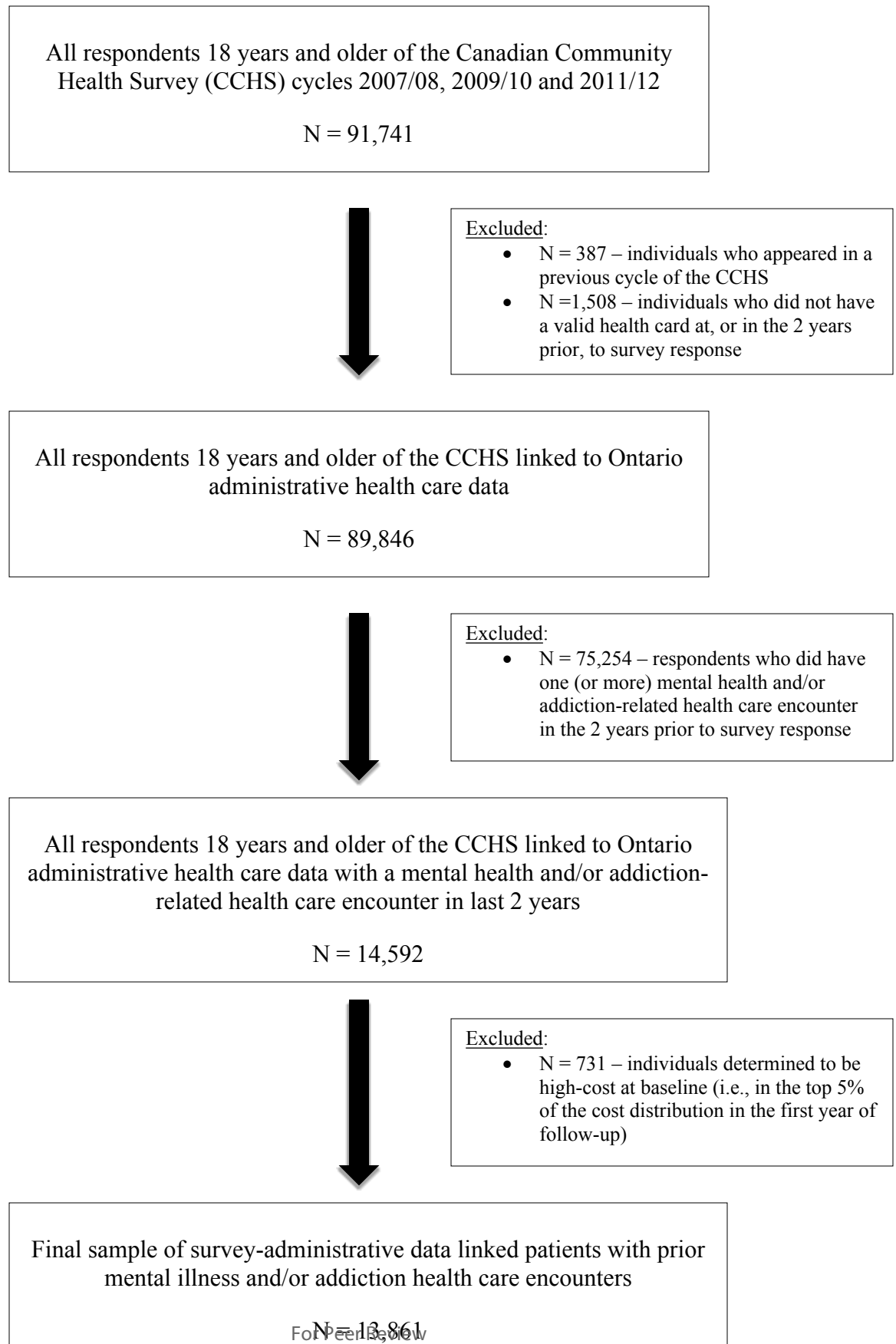
Table 4. Absolute and relative inequality in 5-year high-cost patient (HCP) trajectories according to multiple (ordinal) SES factors

Variable	Top 5% HCP		Top 10% HCP	
	SII (95% C.I.)	RII (95% C.I.)	SII (95% C.I.)	RII (95% C.I.)
Individual-Level Factors				
Personal Income	-5.75 (-8.19, -3.32)	-0.80 (-1.14, -0.46)	-8.99 (-12.35, -5.63)	-0.66 (-0.91, -0.41)
Highest Level of Education	-3.78 (-6.27, -1.29)	-0.51 (-0.84, -0.17)	-6.27 (-9.32, -3.22)	-0.44 (-0.66, -0.23)
Household-Level Factors				
Equivalentized HH Income Quintile	-5.17 (-7.60, -2.74)	-0.69 (-1.02, -0.37)	-9.29 (-12.78, -5.80)	-0.66 (-0.91, -0.41)
Highest Level of Education	-1.76 (-4.65, 1.13)	-0.23 (-0.60, 0.15)	-5.59 (-9.38, -1.80)	-0.39 (-0.66, -0.13)
Area-Level Factors				
Income Quintile	-2.67 (-4.92, -0.42)	-0.35 (-0.65, -0.06)	-3.81 (-7.00, -0.62)	-0.27 (-0.49, -0.04)
Dependency Quintile	-2.33 (-4.52, -0.14)	-0.31 (-0.60, -0.02)	-3.09 (-6.11, -0.06)	-0.22 (-0.43, 0.00)
Material Deprivation Quintile	-1.05 (-3.30, 1.20)	-0.14 (-0.44, 0.16)	-4.74 (-7.87, -1.62)	-0.33 (-0.55, -0.11)
Residential Instability Quintile	-3.53 (-5.77, -1.29)	-0.47 (-0.77, -0.17)	-4.43 (-7.56, -1.30)	-0.31 (-0.53, -0.09)
Ethnic Concentration Quintile	1.38 (-0.60, 3.35)	0.18 (-0.08, 0.45)	1.41 (-1.67, 4.50)	0.10 (-0.12, 0.32)

Legend: SES – socioeconomic status; C.I. – confidence interval; HCP - high-cost patient; SII – slope index of inequality; RII – relative index of inequality

Notes: SII: slope index of inequality (absolute inequality), obtained from marginal effects following a logistic regression model of high-cost patient on the cumulative rank in SES position; RII: relative index of inequality (relative inequality), equal to the SII divided by the population mean outcome. Inequality measures are adjusted for age, sex, and Johns Hopkins' Aggregated Diagnosis Groups Score.

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Figure 1. Patient cohort selection process

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Appendix

Contents:

Table A1. Administrative health care databases

Table A2. Codes used to define mental health and addiction from health administrative databases

Table A1. Administrative health care databases

Database	Setting	Description
Discharge Abstract Database	acute care hospitalisations	The Discharge Abstract Database is a national database, which contains demographic and clinical data on all acute care inpatient hospitalisations. It also includes psychiatric inpatient hospitalisations for children and adolescents and psychiatric inpatient hospitalisations, which occur in non-psychiatric designated beds.
Ontario Mental Health Reporting System	psychiatric hospitalisations	The Ontario Mental Health Reporting System collects demographic and clinical data on all adult psychiatric inpatient hospitalisations in Ontario.
Continuing Care Reporting System	complex continuing care, long-term care	The Continuing Care Reporting System contains demographic and clinical information on individuals receiving facility-based continuing care. These services include medical long-term care, rehabilitation, geriatric assessment, respite palliative care, and nursing home care.
National Rehabilitation Reporting System	rehabilitation	The National Rehabilitation Reporting System contains national data on rehabilitation facilities and clients, collected from participating adult inpatient rehabilitation facilities and programs.
National Ambulatory Care Reporting System	emergency department visits, day surgery and outpatient clinic visits	The National Ambulatory Care Reporting System contains data on all ambulatory care including emergency department visits, day surgery and outpatient clinic visits (for example, chemotherapy and dialysis).
Ontario Health Insurance Plan Claims Database	outpatient and physician services	The Ontario Health Insurance Plan Claims Database covers all services and procedures provided by health care providers who can claim under the Ontario Health Insurance Plan (such as, physician and laboratory/diagnostic services).
Ontario Drug Benefit Claims Database	outpatient prescription drugs	The Ontario Drug Benefit Claims Database includes data on all drugs dispensed in community pharmacies and long-term care/nursing facilities. The Ontario Drug Benefit program covers prescription drugs listed in the provincial formulary for all seniors (aged 65+) as well as those under the age of 65 on social assistance.

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Home Care Database	home care	The Home Care Database provides data on government-funded services coordinated by Ontario's Community Care Access Centres for individuals requiring home care.
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Table A2. Codes used to define mental health and addiction from administrative databases

Data Source	Relevant Codes used
Inpatient Hospitalisation	
Discharge Abstract Database	Include if most responsible diagnosis (ICD-10-CA) = F06-F99, or other diagnoses on discharge abstract include X60-X84, Y10-Y19, Y28 and the most responsible diagnosis is not equal to F06-F99. All suspect diagnoses are considered.
Ontario Mental Health Reporting System	Any OMHRS record except where primary diagnosis (ICD-9-CM) is 290.x or 294.x, or where primary diagnosis is missing and provisional = 2.
Emergency Department Visit	
National Ambulatory Care Reporting System	Include if most responsible diagnosis (ICD-10-CA) = F06-F99, or other diagnoses on discharge abstract include X60-X84, Y10-Y19, Y28 and the most responsible diagnosis is not equal to F06-F99. All suspect diagnoses are considered.
Outpatient Visit	
Ontario Health Insurance Claims Database	Include any outpatient (i.e., location in office, long-term care, or home) visit/ consult to a psychiatrist or any outpatient (i.e., location in office, long-term care, or home) visit/ consult to a family physician/ general physician with a mental health and addiction diagnostic code (ICD-9-CM) including: 291, 292, 295-299, 300-304, 306, 307, 309, 311, 313-315 897-902, 904-906, or 909. Include only those with two or more visits separated by no more than 2 years

Source: MHASEF Research Team. (2018). *Mental Health and Addictions System Performance in Ontario: A Baseline Scorecard*. Toronto, ON: ICES.