

1                   **Half a century of global decline in oceanic sharks and rays**  
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41 **Summary: Overfishing is the primary cause of marine defaunation, yet individual**  
42 **species' declines and rising extinction risk are difficult to measure, particularly for the**  
43 **largest predators found in the high seas<sup>1-3</sup>. We calculate two well-established indicators**  
44 **to track progress towards Aichi Biodiversity Targets and Sustainable Development**  
45 **Goals<sup>4,5</sup>: the Living Planet Index (a measure of changes in abundance aggregating 57**  
46 **abundance time-series for 18 oceanic shark and ray species), and the Red List Index (a**  
47 **measure of change in extinction risk calculated for all 31 oceanic species). We find that,**  
48 **since 1970, the global abundance of oceanic sharks and rays has declined by 71% due to**  
49 **an 18-fold increase in Relative Fishing Pressure. This depletion elevated global**  
50 **extinction risk to the point where three-quarters of this functionally important**  
51 **assemblage are threatened with extinction. Strict prohibitions and precautionary**  
52 **science-based catch limits are urgently needed to avert population collapse<sup>6,7</sup>, avoid**  
53 **disruption of ecological function, and promote species recovery<sup>8,9</sup>.**

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56 Over the United Nations 'Decade of Biodiversity' from 2011–2020, governments committed  
57 to improve human well-being and food security by safeguarding ecosystem services and  
58 halting biodiversity loss<sup>10</sup>. The Sustainable Development Goals, adopted by all United  
59 Nations Member States, and the 20 Aichi Biodiversity Targets of the Convention on  
60 Biological Diversity, provide a framework to track progress towards the 2020 deadline<sup>4,5,10</sup>.  
61 Seafood sustainability is an integral part of these commitments, and wild capture fisheries are  
62 essential nutritional and economic resources for millions of people globally<sup>11,12</sup>. Yet beneath  
63 the ocean surface, it is difficult to assess changes in the state of biodiversity and ecosystem  
64 structure, function, and services<sup>13</sup>.

65 Elasmobranchs (sharks and rays, hereafter ‘sharks’) offer a unique window into the state of  
66 the oceans. Sharks are one of the most evolutionarily distinct and functionally diverse  
67 vertebrate radiations<sup>14,15</sup>. The first International Union for Conservation of Nature (IUCN)  
68 global assessment estimated that one-quarter of sharks were Threatened with extinction  
69 (classified as Critically Endangered, Endangered, or Vulnerable according to IUCN Red List  
70 criteria)<sup>16</sup>, making sharks the most threatened vertebrate lineage after amphibians<sup>16–18</sup>. Long  
71 generation times and low intrinsic population growth rates of many sharks make them  
72 inherently susceptible to overexploitation<sup>1,7,19</sup>. Globally, sharks are landed for their meat,  
73 fins, gill plates, and liver oil<sup>20,21</sup> and catches rose to an estimated peak of 63–273 million  
74 individuals in the early 2000s before declining due to overfishing<sup>6</sup>. The first warnings of the  
75 dire status of sharks were based on boom and bust catch patterns and rising international  
76 trade in shark fins<sup>22,23</sup>. Subsequently, serious declines in many oceanic and coastal shark  
77 populations were documented, both in the Gulf of Mexico and Northwest Atlantic<sup>24,25</sup>, and  
78 also in South Africa<sup>26</sup> and Australia<sup>27</sup>. Shark population assessments for many other regions  
79 have since become increasingly robust<sup>8,28,29</sup>. Until now, however, these have not yet been  
80 synthesised to provide a global perspective on shark population trends.

81 Here, we calculate for oceanic sharks two Biodiversity Indicators established by the  
82 Convention on Biological Diversity: the Living Planet Index (LPI)<sup>5,30</sup> on global population  
83 changes since 1970 and the Red List Index (RLI)<sup>5,31</sup>, which tracks changes in the relative  
84 extinction risk of taxa. These indicators quantify progress toward Aichi Targets 6 (manage  
85 marine resources for sustainability) and 12 (prevent extinction), and UN Sustainable  
86 Development Goal 14 (conserve and sustainably use the oceans). First, we used a Bayesian  
87 state-space framework<sup>32,33</sup> to estimate trends in relative abundance of 18 species from 57  
88 time-series compiled and reviewed at an expert workshop convened by the IUCN Species  
89 Survival Commission’s Shark Specialist Group (IUCN SSC SSG). Using these trends, we

90 calculated the global LPI for oceanic sharks from the reference year 1970 (which was set at  
91 1) to 2018 — and then extrapolated each time-series to 2020 to encompass the Aichi Target  
92 assessment year — by hierarchically aggregating the annual rates of change from each time-  
93 series for a species by region, then globally (see Extended Data Figure [EDF] 1 and 2a).  
94 Second, we combined a retrospective Red List assessment (1980) with two recent  
95 assessments (~2005 and 2018) from the IUCN Red List of Threatened Species for all 31  
96 species of oceanic sharks to build the RLI (see EDF 1). The RLI provides standardized  
97 assessments of species' extinction risk, comparable across taxa, that is particularly useful  
98 when robust trend data are missing. Comparing the RLI over time, among different taxa,  
99 reveals the common trends in extinction risk among groups, despite differences in habitat, life  
100 history, and threats. Such cross-taxon comparisons are useful to ensure appropriate allocation  
101 of global conservation resources across terrestrial, freshwater, and marine biomes.

102 Finally, we develop three lines of evidence to attribute decreasing abundance (shown by the  
103 LPI) and rising extinction risk (shown by the RLI) of oceanic sharks to overfishing: (i)  
104 increasing Relative Fishing Pressure over time (measured as changes in catch relative to the  
105 changes in the LPI), (ii) increasing proportion, over time, of oceanic sharks that are  
106 overfished below biomass or abundance levels that could produce Maximum Sustainable  
107 Yield (MSY, the equilibrium state of the exploited population sustaining the greatest yield  
108 [catch] over long time periods<sup>34</sup>), and (iii) the near-absence of significant threats other than  
109 fishing reported in each species' IUCN Red List assessment.

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### 111 **Declining abundance index**

112 We find that, globally, abundance of oceanic sharks declined by 71.1% (95% credible  
113 interval [CI]: 63.2–78.4%; Fig. 1) from 1970 to 2018, at a steady rate averaging 18.2% per  
114 decade (see EDF 2c). Over the half-century from 1970–2020, the projected LPI estimates that

115 abundance declined by 70.1% (CI: 62.8–77.2%, see EDF 2b). The declining trend of the LPI  
116 trajectory is robust to the exclusion of any individual species (EDF 3). There are three  
117 reasons why the true abundance trend index values are likely to be lower (and calculated  
118 percent declines worse) than estimated here (see Supplementary Discussion 1): (i) fishing  
119 levels were already unsustainable half a century ago, (ii) unreported catches (including  
120 discards) are not included in our time-series, and (iii) traditional stock assessments could  
121 underestimate fishing mortality.

122 The global trend index can be disaggregated into trajectories for each ocean and species, as  
123 well as for functional groups with similar ecological or life-history traits. In the Atlantic  
124 Ocean, following a long period of decline since 1970, abundance began to stabilize at low  
125 levels after 2000 (overall decline of 46.1%; CI: 30.7–61.1%; Fig. 2a). In the Pacific Ocean,  
126 abundance decreased steeply prior to 1990, and then declined at a slower rate (overall decline  
127 of 67.0%; CI: 53.6–79.4%; Fig. 2c). In the Indian Ocean, shark abundances have declined  
128 steeply since 1970 (overall decline of 84.7%; CI: 75.9–92.1%; Fig. 2b). Despite more  
129 resilient life histories, tropical sharks declined more steeply than temperate species (overall  
130 declines of 87.8%; CI: 79.8–94.3% versus 40.9%; CI: 30.4–50.5%, Fig. 2d). Overfishing of  
131 sharks followed a classic pattern of serial depletion, starting with the largest species, which  
132 dropped steeply prior to the 1980s, followed by declines of medium-sized species and  
133 eventually relatively small species (including some devil rays, *Mobula* spp.; Fig. 2e). Long  
134 lived, late-maturing species initially declined faster than those with shorter generation times,  
135 but two of these species (White Shark *Carcharodon carcharias* and Porbeagle *Lamna nasus*)  
136 have shown signs of population rebuilding since the early 2000s (Fig. 2f; EDF 7). All species,  
137 apart from the Smooth Hammerhead (*Sphyrna zygaena*), decreased in abundance over the last  
138 half-century (Fig. 2g). Devil ray abundance has declined by at least 85% in the past 15 years  
139 in the Southwest Indian Ocean (Fig. 2g). Although sparse, the available data for devil rays are

140 representative of the repeated, rapid depletions and local extinctions suspected due to  
141 overfishing driven by target fisheries in many parts of their historical range (see  
142 Supplementary Discussion 2).

### 143 **Rising extinction risk**

144 For all 31 oceanic shark species, the risk of extinction, indicated by IUCN Red List category,  
145 has substantially worsened since 1980. The RLI declined from a retrospective estimate of  
146 0.86 (range: 0.74–0.90) in 1980 to 0.56 in 2018, comparable to cycads (palm-like plants), the  
147 most threatened group of completely assessed species on Earth<sup>35</sup> (Fig. 3a). We estimate that  
148 in 1980, two-thirds ( $n=20$ ) of oceanic shark species fell into the IUCN Red List category of  
149 Least Concern, and only nine were Threatened. The Basking Shark (*Cetorhinus maximus*)  
150 was the only species retrospectively classified as Endangered. More than three-quarters  
151 ( $n=24$ ) of these species are Threatened now based on steep population reductions (IUCN Red  
152 List Criterion A). Some formerly abundant, wide-ranging sharks have declined so steeply that  
153 they are now classified in the two highest IUCN Red List categories: three are Critically  
154 Endangered (Oceanic Whitetip Shark *Carcharhinus longimanus*, Scalloped and Great  
155 Hammerhead *Sphyrna lewini* and *S. mokarran*), and four are Endangered (Pelagic Thresher  
156 *Alopias pelagicus*, Dusky Shark *Carcharhinus obscurus*, Shortfin and Longfin Mako *Isurus*  
157 *oxyrinchus* and *I. paucus*; Fig. 3b). In total, half (15 of 31) of oceanic shark species are now  
158 Critically Endangered ( $n=3$ ;  $\geq 80\%$  population reduction over three generations) or  
159 Endangered ( $n=12$ ; 50–79% population reduction).

### 160 **Attributing declines and rising extinction risk to overfishing**

161 We attribute oceanic shark population declines and elevated extinction risk to overfishing  
162 based on three lines of evidence. First, the last half-century has seen more than a two-fold  
163 increase in fishing with longlines and seine nets, the gears that catch the most oceanic  
164 sharks<sup>36</sup> (Fig. 4a; black lines; data corrected for technological creep, see Supplementary

165 Methods 1). Concomitantly, oceanic shark catch has risen three-fold since 1970 (Fig. 4a; grey  
166 line and polygons), resulting in an 18-fold increase in Relative Fishing Pressure (Fig 4b).  
167 This correlation suggests fishing drove declines in abundance with a striking breakpoint in  
168 1990 that we hypothesize coincides with increasing retention of sharks to meet new market  
169 demands (specifically for fins)<sup>37</sup> (Fig. 4c). Second, the role of fisheries in driving declines is  
170 thoroughly addressed in the growing number of robust fisheries stock assessments (EDF 9b).  
171 The declining LPI is consistent with a rising proportion of populations and species assessed  
172 as overfished over time (21%; Fig. 4d); 6 of the 8 assessed species and over half of the  
173 populations (9 of 15) are below MSY (EDF 9c). Third, we compiled the causes of declines  
174 reported in Red List assessments, which are classified into 11 categories ranging from  
175 ‘Human Intrusions and Disturbance’, to ‘Climate and Severe Weather’<sup>38</sup>. While there are  
176 numerous pressures acting on sharks, every Red List assessment for the 31 oceanic sharks  
177 concluded that the major threat was ‘Biological Resource Use’ and, more specifically,  
178 ‘Fishing and Harvesting Aquatic Resources’. Other threats are reported for only two species  
179 (EDF 10).

180

## 181 **Discussion**

182 We document an alarming, ongoing, worldwide decline of oceanic shark populations across  
183 the world’s largest ecosystem over the past half-century, resulting in an unprecedented  
184 increase in the risk of extinction of these species. The tremendous increase in Relative  
185 Fishing Pressure is mirrored by the general consistency in the rate and extent of declines  
186 across species of differing body sizes and generation times. The low reproductive output of  
187 these slow-growing species is clearly no match for the intense fishing pressure they currently  
188 encounter.

189 Overfishing of oceanic shark populations has far outpaced the implementation of fisheries  
190 management and trade regulations<sup>39</sup>. Despite great strides in conservation commitments in  
191 recent decades, relatively few countries impose catch limits specific to oceanic sharks, and  
192 fewer still can demonstrate population rebuilding or sustainable fisheries for these species.  
193 Obligations under international wildlife treaties (see <sup>7</sup>) to prohibit retention or restrict  
194 international trade of select species have not yet been effectively implemented<sup>40</sup>. The world's  
195 four major Regional Fishery Management Organizations focused on tunas (tRFMOs) have, to  
196 varying degrees, prohibited retention of inherently sensitive oceanic shark species that are  
197 also of relatively low value to the associated pelagic fisheries. However, tRFMOs' efforts to  
198 manage sharks using Ecosystem-Based Fisheries Management have been inadequate with  
199 respect to scientific advice and implementation<sup>41,42</sup> (see Supplementary Discussion 3).

200 There are some encouraging findings. We note that the White Shark historically declined by  
201 an estimated 70% worldwide over the last half-century, but is now recovering in several  
202 regions, aided by retention bans<sup>43</sup>. Hammerhead shark populations are rebuilding in the  
203 Northwest Atlantic, owing to strictly enforced quotas throughout their U.S. range. The Blue  
204 Shark has declined less than other species, despite being reported to be at significantly greater  
205 risk due to its high distributional overlap with heavily fished areas<sup>44</sup>. This is likely due to its  
206 relatively high reproductive rate (compared to other pelagic sharks), but nevertheless its  
207 management is warranted on a global scale as market interest and targeted fishing increase. It  
208 is possible to reverse shark population declines, even for slow-growing species, if  
209 precautionary, science-based management is implemented throughout a species' range<sup>8,45</sup>  
210 before depletion reaches a point of no return.

211 We can use IUCN Red List status and trends as a heuristic to guide the conservation priorities  
212 of countries with limited capacity to assess, manage, and conserve oceanic species. This  
213 guidance will be less relevant to nations with the capacity to undertake stock assessments and



214 ensure compliance with management<sup>8</sup>, reflecting that a species' global Red List Status and  
215 local status may differ. It has been previously recommended that sharks assessed globally as  
216 Near Threatened or even some assessed as Vulnerable may still be able to sustain modest  
217 levels of fishing, if managed immediately and carefully throughout their range<sup>7,16</sup>. Species  
218 classified as Critically Endangered or Endangered cannot support fisheries. In these cases,  
219 policy recommendations based on stock assessments or on global Red List Status will be  
220 congruent<sup>46</sup>; strict measures to prohibit landings and minimize bycatch mortality (by avoiding  
221 hotspots, modifying gear, and improving release practices) are urgently needed to halt  
222 declines and rebuild populations.

223 The ecosystem consequences of oceanic shark declines are uncertain because of the  
224 complexity and scale of marine food webs<sup>47</sup>. Nevertheless, profound effects of depleting  
225 predatory species are becoming apparent. For example, the decline of predatory sharks and  
226 tunas is associated with increases in mesopredators, including teleosts and smaller-bodied  
227 shark species<sup>48</sup>, indicating fundamental functional changes to marine food webs<sup>15</sup>. Of further  
228 concern is the associated threat to food security and income in many poor and developing  
229 nations<sup>7</sup>, many of which have fished sharks for generations<sup>49</sup>. Alternative livelihood and  
230 income options are needed to ease transitions to sustainability.

231

## 232 **Conclusion**

233 We demonstrate that — despite ranging farther from land than most species — oceanic  
234 sharks are exceptionally threatened by overexploitation. It is clear that the Sustainable  
235 Development Goals and specific Aichi targets (to reverse population declines and use marine  
236 resources sustainably) have not been met by 2020 for these species. Action is needed  
237 immediately to prevent shark population collapses and myriad negative consequences for  
238 associated economic and ecological systems. Specifically, there is a clear and urgent need for

239 governments to adopt, implement, and enforce — at domestic and regional levels — science-  
240 based catch limits for oceanic sharks that are capable of supporting sustainable fisheries, and  
241 retention prohibitions, along with bycatch mitigation, for the others<sup>7,8</sup>. These steps are  
242 imperative for long-term sustainability, including potentially increased catch once  
243 populations are rebuilt<sup>9,50</sup>, and a brighter future for some of the most iconic and functionally  
244 important animals in our oceans.

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337

338 **Figure legend:**

339 **Figure 1.** Global Living Planet Index (LPI) for 18 oceanic sharks estimated from 1970 to  
340 2018. The global percentage (%) of decline was calculated from the posteriors of the LPI  
341 around the final assessment year relative to the posteriors for 1970. The black line denotes the  
342 mean, the white lines the 95% credible intervals and the grey lines each iteration.

343

344 **Figure 2.** Living Planet Index for 18 oceanic sharks from 1970 to 2018 disaggregated by  
345 Oceans (a, b, c), and the traits (d) geographical zone, (e) body size (maximum total length), (f)  
346 generation time (GT), and (g) species (species' time-series are in Extended Data Figure 4 to  
347 8). Lines denote the mean and shaded regions the 95% credible intervals.

348

349 **Figure 3.** (a) Global Red List Index (RLI) for the 31 oceanic shark species (black line)  
350 estimated in 1980, 2005, and 2018, and for mammals, birds, amphibians, reef-forming corals,  
351 and cycads (in grey), and global chondrichthyans (sharks, rays, and chimaeras; point labelled

352 ‘Global sharks’)<sup>16</sup>. The error bar denotes the uncertainty around the retrospective 1980 IUCN  
353 status (see Methods). A RLI value of 1.0 equates to all species qualifying as Least Concern  
354 (i.e., not expected to become Extinct in the near future), while a RLI value of 0 equates to all  
355 species having gone Extinct. (b) Change in Red List status of oceanic sharks from 1980 to  
356 2018.

357

358 **Figure 4.** (a) Global catch data of 14 oceanic sharks and fishing effort of longline and seine  
359 gears. SAU: Sea Around Us project. FAO: Food and Agriculture Organization of the United  
360 Nations. Longline and Seine effort are effective corrected fishing effort<sup>36</sup>. (b) Fishing  
361 pressure (catch) encountered by oceanic sharks relative to the fishing pressure (catch) in 1970  
362 and to their abundance from 1970 to 2014. The black line denotes the mean, the white lines  
363 the 95% credible intervals and the grey lines each iteration. (c) Living Planet Index (LPI) as a  
364 function of Relative Fishing Pressure (RFP,  $n=14$  species) from 1970 (the initial state where  
365 LPI and RFP = 1) to 2014 for oceanic sharks ( $n=18$  species). Light-grey, grey, and dark-grey  
366 polygons denote the 50%, 80%, and 95% 2D kernel density estimate of the iterations of LPI  
367 vs RFP for the last year (2014). (d) Proportion over time of oceanic sharks with stock  
368 assessments that are at a level of biomass or abundance equal or greater than that which  
369 would achieve Maximum Sustainable Yield.

370

371 **Extended Data Figure legend:**

372 **Extended Data Figure 1.** Hierarchical building of the global Living Planet Index and Red  
373 List Index.

374 **Extended Data Figure 2.** (a) Schematic example of constructing the observed (black) and  
375 projected (blue) Living Planet Index. First, year-to-year rates of change, abbreviated  $yyrc$   
376 thereafter, ( $d_t$ ) are averaged between species in the same region (e.g., in *Region 1*, species *A*

377 with  $d_{A_t}$  and species  $B$  with  $d_{B_t}$  averaged in  $d_{R1_t}$ ). In a second step, yyrc are averaged  
378 between regions *Region 1*, *2* and *3* to give the global yyrc. The observed LPI builds on yyrc  
379 calculated from the estimated abundance index from the state-space population model. The  
380 projected LPI builds on yyrc calculated from the estimated and projected abundance index  
381 from the state-space population model. Projections are from the last data point to 2020. (b)  
382 Global Living Planet Index for oceanic sharks and rays estimated from 1970 to 2018 in black  
383 and extrapolated to 2020 in blue. The black and the thick blue lines denote respectively the  
384 mean of the estimated and extrapolated LPI. The white and thin blues lines denote  
385 respectively, the 95% credible intervals of the estimated and extrapolated LPI and the grey  
386 lines each iteration of the estimated LPI. (c) The annual percentage change was calculated  
387 from the posteriors of the estimated LPI (in grey) and extrapolated LPI (in blue) around the  
388 final-assessment year relative to the posteriors for 1970. Vertical bars on the 1970–2018  
389 period denote the median of the estimated and extrapolated LPI.

390 **Extended Data Figure 3.** Mean global Living Planet Index (LPI) for oceanic sharks and rays  
391 from 1970 to 2018 (black line). Faint gray lines show the effect of excluding all data for a  
392 single species at a time and recalculating the mean global LPI for all other species. No means  
393 from jackknife species trends fall outside the 95% credible Interval from the run with all the  
394 datasets included, suggesting our selection of species did not unduly influence the overall LPI  
395 result.

396 **Extended Data Figure 4.** Observed (black or empty points, and stars indicate different time-  
397 series) and modeled (black line) abundance index for (a) Silky Shark (*Carcharhinus*  
398 *falciformis*), (b) Oceanic Whitetip Shark (*Carcharhinus longimanus*), (c) Dusky Shark  
399 (*Carcharhinus obscurus*) and (d) Blue Shark (*Prionace glauca*) obtained from the state-space  
400 population model. The thick black line denotes the mean of the estimated abundance index  
401 and the shaded regions denote 95% credible intervals.

402 **Extended Data Figure 5.** Observed (black or empty points, and stars indicate different time-  
403 series) and modeled (black line) abundance index for (a) Scalloped Hammerhead (*Sphyrna*  
404 *lewini*), (b) Great Hammerhead (*Sphyrna mokarran*), and (c) Smooth Hammerhead (*Sphyrna*  
405 *zygaena*) obtained from the state-space population model. The thick black line denotes the  
406 mean of the estimated abundance index and the shaded regions denote 95% credible intervals.

407 **Extended Data Figure 6.** Observed (points) and modeled (black line) abundance index for  
408 (a) Pelagic Thresher (*Alopias pelagicus*), (b) Bigeye Thresher (*Alopias superciliosus*), and (c)  
409 Common Thresher (*Alopias vulpinus*) obtained from the state-space population model. The  
410 thick black line denotes the mean of the estimated abundance index and the shaded regions  
411 denote 95% credible intervals.

412 **Extended Data Figure 7.** Observed (black or empty points, and stars indicate different time-  
413 series) and modeled (black line) abundance index for (a) White Shark (*Carcharodon*  
414 *carcharias*), (b) Shortfin Mako (*Isurus oxyrinchus*), (c) Longfin Mako (*Isurus paucus*), and  
415 (d) Porbeagle (*Lamna nasus*) obtained from the state-space population model. The thick  
416 black line denotes the mean of the estimated abundance index and the shaded regions denote  
417 95% credible intervals.

418 **Extended Data Figure 8.** Observed (points) and modeled (black line) abundance index for  
419 (a) Pelagic Stingray (*Pteroplatytrygon violacea*), (b) Reef Manta Ray (*Mobula alfredi*), (c)  
420 Giant Manta Ray (*Mobula birostris*), and (d) Shortfin Devilray (*Mobula kuhlii*) obtained  
421 from the state-space population model. The thick black line denotes the mean of the  
422 estimated abundance index and the shaded regions denote 95% credible intervals.

423 **Extended Data Figure 9.** (a) Oceanic shark stock status — over time — being at levels of  
424 biomass or abundance above Maximum Sustainable Yield (MSY) (green lines) or below  
425 MSY (red lines). Dotted lines indicate that a stock is above or below MSY following the last  
426 stock assessment value. (b) Number of published stock assessments for oceanic sharks and



427 rays over time. (c) Presentation of 14 stocks of oceanic sharks (no available stock  
428 assessments for oceanic rays) status (biomass or abundance over value at MSY) versus  
429 pressure ( $F/F_{MSY}$ ) in a *Kobe plot style*, for the last year with available data. Circles represent  
430 the species' unique values if only one stock exists and represent the mean of the values of the  
431 different stocks (diamonds) when the species has multiple stocks. The plot is divided into  
432 four panels: red panel (upper left) with 4 stocks and 3 species, corresponds to stocks that are  
433 being overfished and where overfishing is occurring; orange panel (upper right) with 1 stock  
434 and 1 species, corresponds to stocks that are not overfished but where overfishing is  
435 occurring; yellow panel (bottom left) with 4 stocks and 3 species, corresponds to stocks that  
436 are overfished but where overfishing is not occurring; and green panel (bottom right) with 5  
437 stocks and 1 species, corresponds to stocks that are not overfished and where overfishing is  
438 not occurring.

439 **Extended Data Figure 10.** Percentage of reported threat categories in the 31 oceanic shark  
440 IUCN Red List assessments.

441

## 442 **Materials and methods**

### 443 Data collection and expert selection of oceanic shark time-series

444 Time-series data on relative abundance ( $n=57$ ) for 18 species (see Supplementary Table S1)  
445 were gathered from peer-reviewed publications and the grey literature, including government  
446 reports. Relative abundance indices, and associated uncertainty estimates when available,  
447 included formal stock assessment outputs (trends in biomass), as well as standardized or  
448 nominal catch per unit effort (CPUE) or sightings per unit effort (SPUE) from scientific  
449 surveys, fisheries data, or bather protection nets (see Supplementary Table S1 and EDF 4 to  
450 8). Entry of original time-series (in the database available at [www.sharkipedia.org](http://www.sharkipedia.org)) was  
451 conducted by J.S.Y. and N.K.D. and subsequently independently checked by C.L.R. and N.P.

452 All datasets underwent extensive checks prior to analyses; their reliability was reviewed and  
453 assigned to ocean regions (North, South Atlantic Ocean; Indian Ocean; North, South Pacific  
454 Ocean) by experts during an IUCN SSC SSG workshop (Dallas, Texas, USA, 5–9 November  
455 2018). Stock assessment outputs were preferred over standardized, then nominal CPUE or  
456 SPUE time-series when multiple data sets were available for the same species and region.  
457 Stock assessment models integrate the catch history, abundance trends and life-history  
458 information to infer population dynamics, whereas CPUE or SPUE represents the trend in  
459 relative abundance of the sampled fraction of the population. The details and rationale for the  
460 selection of datasets, where pertinent, are presented in the Population section of the relevant  
461 Red List assessment ([www.iucnredlist.org](http://www.iucnredlist.org)). Two stock assessments were updated<sup>25,51</sup> after  
462 the workshop and are thus included in our analysis.

#### 463 Data collation and calculation of ecological and life-history traits

464 Estimates of shark age and maximum size can vary regionally, as well as between studies and  
465 across regions. Where possible, estimates of generation time (GT) were based on observed  
466 rather than theoretical maximum age. Within regions, preference was given to studies that  
467 used: validated ages; the widest size range; and, age estimates that included repeat readers,  
468 measuring precision, and bias. The validated age estimates from the closest region were used  
469 in cases where there was not a published age and growth study for a region, or validated ages  
470 from a region<sup>52–54</sup>. Generation time is defined as the median age of parents in the current  
471 cohort<sup>55</sup>. Species- and regionally-specific GT (Supplementary Table S1) were calculated  
472 from female median age at maturity ( $A_{mat}$ ) and maximum age ( $A_{max}$ ) as  $GT = ((A_{max} -$   
473  $A_{mat}) * z) + A_{mat}$ . The constant  $z$  depends on the mortality rate of adults and is typically  
474 around 0.3 for mammals<sup>55,56</sup>. We chose to assume a more conservative value of  $z=0.5$  to  
475 account for the likelihood that age structure had already been truncated by overfishing by the  
476 time it was measured<sup>26,27</sup> and that ages of sharks have been systematically underestimated<sup>54</sup>.

477 The details of GT were presented to the workshop for review and the final choices were used  
478 in the published IUCN Red List assessments and associated supplementary material for each  
479 species (see also Supplementary Methods 2).

#### 480 Modeling population dynamics

481 To analyze oceanic shark trend data, we used a Bayesian population state-space model  
482 designed for IUCN Red List assessments (Just Another Red List Assessment, JARA<sup>33,57</sup>),  
483 which builds on the Bayesian state-space tool for averaging relative abundance indices by  
484 Winker et al.<sup>32</sup> and is available open-source on GitHub ([www.github.com/henning-  
485 winker/JARA](http://www.github.com/henning-winker/JARA)). Each relative abundance index (or time-series) was assumed to follow an  
486 exponential growth defined through the state process equation:

$$\mu_{t+1} = \mu_t + r_t$$

487 where  $\mu_t$  is the logarithm of the expected abundance in year  $t$ , and  $r_t$  is the normally  
488 distributed annual rate of change with mean  $\hat{r}$ , the estimable mean rate of change for a time-  
489 series, and process variance  $\sigma^2$ . We linked the logarithm of the observed relative abundance  
490 indices to the logarithm of the true expected population trend using the observation equation:

$$\log(y_t) = \mu_t + \varepsilon_t$$

491 where  $y_t$  denotes the abundance value for year  $t$ ,  $\varepsilon_t$  is observation residual for year  $t$ , which is  
492 assumed to be normally distributed on log-scale  $\varepsilon_t \sim N(0, \sigma_\varepsilon^2)$  as a function of the observation  
493 variance  $\sigma_\varepsilon^2$ .

494 Multiple time-series for a species in a same region (North, South Atlantic Ocean; Indian  
495 Ocean; North, South Pacific Ocean) were analysed in a single run and treated as indices  
496 following<sup>32</sup>. We used vague normal prior for  $\hat{r} \sim N(0, 1000)$  and vague inverse-gamma prior  
497 for the process variance  $\sigma^2 \sim IG(0.001, 0.001)$ .

498 For each time-series, we also projected model estimates from the last data point to 2020 to be  
499 able to estimate trajectories for the LPI up to the final year of assessment for progress

500 towards the Aichi Targets. These projections were based on the posteriors of the estimated  
501 changes across all years in the observed time-series (see <sup>57</sup> for details):

$$\bar{r} = \frac{1}{n} \sum_{t=1}^n r_t$$

502 Three Monte Carlo Markov chains were run for each dataset with different initial values.  
503 Each Markov chain was initiated by assuming an initial population size in the first year  
504 drawn in log-space from a normal distribution with the mean equal to the log of the first  
505 available count ( $y_1$ ) and a standard deviation of 1000. In each chain, the first 5,000 iterations  
506 were discarded ('burn-in'), and of the remaining 50,000 iterations, 10,000 were selected for  
507 posterior inference ('thinning rate' = 5). Thus, posterior distributions were estimated from  
508 30,000 iterations. Convergence of each parameter was checked with the Gelman and Rubin  
509 diagnostics<sup>58</sup>. Every model comes with four diagnostic plots: the unscaled input data and  
510 uncertainty estimates around each observation in the form 95% Confidence Intervals, the  
511 observed and predicted abundance values for each time-series together with the 95%  
512 posterior predictive credibility intervals, individual fits on the log-scale, as well as the 95%  
513 Bayesian credible intervals derived from the observation variance, and residual plot (see <sup>57</sup>  
514 for detailed description and examples). We conducted posterior predictive checks (drawing  
515 simulated values from the joint posterior predictive distribution of replicated data and  
516 compare these samples to the observed data) by checking that the credible Interval of the fit  
517 of the models fall each time within the posterior predictive distribution limits and that  
518 Bayesian p-value were around 0.5 (using Pearson residuals)<sup>59,60</sup>. Analyses were performed  
519 using R Statistical Software v3.5.2<sup>61</sup> and via the interface from R ('R2jags' package v0.5-  
520 7;<sup>62</sup>) to JAGS ('Just Another Gibbs Sampler' v4.3.0;<sup>63</sup>). The Highest Posterior Density  
521 interval was used as the interval estimator of 95% credible intervals.

## 522 Calculation of Living Planet Index

523 The LPI for oceanic sharks is a quantitative mean index of year-to-year rate of change of all

524 species that occur in a given region and finally aggregated to a global scale (see EDF 1). The  
525 annual rate of change  $d_t$  for each species in a region is the logarithm of the growth rate of the  
526 time-series in a given year ( $t$ ):

$$d_t = \log_{10} \left( \frac{I_t}{I_{t-1}} \right)$$

527 where  $I_t$  denotes the posteriors of the estimated abundance trend in a given year ( $t$ ) obtained  
528 from the Bayesian state-space model outputs.

529 To calculate the global LPI, the annual rates of change  $d_t$  for each species in a region were  
530 then aggregated to provide a single annual rate of change for each region (see EDF 1a for an  
531 example), and the same procedure was applied across regions in the same Ocean (if  
532 subdivided in south and north regions), and finally across the three Oceans to generate a  
533 global year-to-year rate of change. We also computed a global LPI for each species  
534 separately, by Oceans and by time-series with similar ecological lifestyle or life-history traits:  
535 geographical zone (temperate or tropical), body size (maximum total length), and generation  
536 time (following IUCN definition<sup>55</sup>, see Supplementary Table S1). We back-transformed the  
537 log values to the linear scale to generate index values for the range of scales (global, by  
538 Ocean, by species or trait-groupings of time-series):

$$LPI_t = LPI_{t-1} \times 10^{\bar{d}_t}$$

539 where  $LPI_t$  is the Living Planet Index at a given year ( $t$ ), with  $LPI_{t=1} = 1$ .

540 The global index started in 1970 and was modelled until 2018 using each year-to-year rate of  
541 change for the available time-series. In a second step, the global index was extrapolated  
542 through to 2020 using each year-to-year rate of change for the available time-series, and their  
543 projections after their last data point (see EDF 2a for an example).

544 Although the overall extent of change in the LPI is an indicator of status and trends in  
545 biodiversity, the trend may be driven by the data-rich species in our dataset. We evaluated the  
546 sensitivity of the LPI to the subset of species, using a jackknife procedure in which we

547 sequentially dropped individual species and recalculated the index (see EDF 3).

#### 548 Calculation of Relative Fishing Pressure

549 To investigate the underlying drivers of the abundance trend decline, we calculated the  
550 Relative Fishing Pressure, the changes in catch from 1970 to 2014 (end of the available data),  
551 relative to abundance (LPI) over the same time period, and scaled by the Relative Fishing  
552 Pressure in 1970. First, we extracted the total Sea Around Us Project reconstructed reported  
553 and unreported catch data<sup>64</sup> by species for 14 of our 18 focal species — catch data were not  
554 available for 4 of the species: *A. pelagicus*, Reef Manta Ray *M. alfredi*, Shortfin Devilray *M.*  
555 *kuhlii*, Pelagic Stingray *P. violacea*, and thus were not included in this analysis. To account  
556 for the disproportionately high catch of some species (e.g., Blue Shark) in the total catch that  
557 could affect the overall pattern, we scaled the catch data at the species level (*sp*) to the first  
558 catch value in each time-series before summing across species. The Relative Fishing Pressure  
559 (RFP) was then calculated as:

$$RFP_t = \frac{\frac{\sum_{sp} catch_t}{LPI_t}}{\frac{\sum_{sp} catch_{t=1970}}{LPI_{t=1970}}}$$

560 with  $LPI_t$  being the LPI of the 18 oceanic sharks in year  $t$ . We also calculated the RFP with  
561 the  $LPI_t$  of only the 14 species for which catch data were available and this was not credibly  
562 different from the RFP for all 18 species.

#### 563 Calculation of Red List Index

564 We calculated the RLI based on the proportion of the 31 oceanic shark species in each IUCN  
565 Red List category in 1980, 2005, and 2018 (see Supplementary Table S2). The categories  
566 used in the assessments were Critically Endangered (CR), Endangered (EN), Vulnerable  
567 (VU), Near Threatened (NT), and Least Concern (LC). No species of oceanic shark were  
568 assessed in the categories Extinct (EX), Extinct in the Wild (EW), or Not Evaluated (NE).  
569 The statuses in 2018 were assigned by the IUCN SSC SSG (Dallas, Texas, USA, 5–9

570 November 2018). For the RLI of 2005, we used the assessments published between 2000 and  
571 2010. Red List assessments for ~2005 and 2018 are published on the IUCN Red List of  
572 Threatened Species website<sup>65</sup>. Following the recommended IUCN methodology, species  
573 previously assessed as Data Deficient (DD) were retrospectively assigned a data-sufficient  
574 category (see Table S2). No assessment was available in the 1980s and experts involved in  
575 the IUCN SSC SSG workshop (Dallas, Texas, USA, 5–9 November 2018) retrospectively  
576 determined Red List statuses for 1980, as well as missing statuses in ~2005, as per<sup>31</sup>. To  
577 account for uncertainty around a retrospective assessment, we used a bootstrap-like method  
578 to iteratively resample 10,000 times each species' status from its retrospective assigned status  
579 or one category better, or one category worse, denoted by the error bar (the range of  
580 bootstrap-like results) in Fig. 3a around the retrospective RLI in 1980 (black dot).

581 The RLI value of a particular year ( $t$ ) is calculated by multiplying the number of species ( $s$ ) in  
582 each Red List category by the category weight ( $W$ ) (0 for LC, 1 for NT, 2 for VU, 3 for EN, 4  
583 for CR, and 5 for EX), then summing the product and dividing by the maximum possible  
584 product (number of species ( $N$ ) multiplied by the maximum weight 5), and subtracted from 1  
585 to have an index between 0 (where all species are EX) and 1 (where all species are LC)<sup>31</sup>:

$$RLI_t = 1 - \frac{\sum_s W_{c(t,s)}}{W_{EX} * N}$$

586 To make the RLI in 2018 spatially explicit, we calculated 100,000 km<sup>2</sup> hexagonal cells in the  
587 world's oceans<sup>66</sup> using the IUCN Red List status of species that are distributed in each unique  
588 cell (based on IUCN distribution maps for each species, see Red List assessments). We  
589 analyse the difference of RLI between 1980 and 2018 in the same way, assuming the  
590 distribution of species did not change in between those years. All spatial data described were  
591 processed using ESRI ArcGIS v10.7<sup>67</sup> and R Statistical Software v3.5.2<sup>61</sup> in Eckert IV equal-  
592 area Projection.

593 The stand-alone point labelled 'Global sharks' in Figure 3a indicates the starting point for the

594 global chondrichthyan (sharks, rays, and chimaeras) Red List Index calculated from the Red  
595 List status as reported in 2006 (the median date of available Red List assessments at this  
596 time)<sup>16</sup>.

#### 597 Sustainability of stocks of oceanic sharks

598 In order to represent the status of stocks (populations) of oceanic sharks, we compiled total  
599 biomass or abundance, relative to Maximum Sustainable Yield (MSY), provided by authors  
600 or extracted from the latest available stock assessment reports (the reference of the source and  
601 the trajectory used are in Supplementary Table S3). A stock assessment is the process of  
602 employing statistical models to quantify the population dynamics of a fished stock in  
603 response to fishing based on the best available catch, abundance, and life-history information.  
604 No stock assessment exists for any of the oceanic rays and one of the Blue Shark stock  
605 assessments could not be included because no estimates of MSY-related quantities were  
606 available<sup>68</sup>. We thus used the eight species (Oceanic Whitetip Shark, Dusky Shark, Shortfin  
607 Mako, Porbeagle, Scalloped Hammerhead, Great Hammerhead, Smooth Hammerhead, and  
608 Blue Shark) with published biomass or abundance trajectories relative to MSY (15 stocks in  
609 total) to produce the global proportion — over time — that these species were at levels above  
610 the biomass or abundance achieving the MSY (i.e.,  $p(B > B_{MSY})$ ), and thus not overfished  
611 (Figure 4d). Each stock's biomass or abundance relative to MSY was transformed into a  
612 binary variable, indicating if the stock was above (1) or below (0) MSY. To represent the  
613 status of species with several stocks, we calculated the proportion — over time — of stocks  
614 above or below MSY. We then calculated the global proportion — over time — that these  
615 species were at levels above the biomass or abundance achieving the MSY by averaging  
616 species' status proportion that were above MSY for each year.

617 In a stock assessment, scientists attempt to estimate the amount of fishing mortality (F) over  
618 time, and the fishing mortality that will achieve MSY ( $F_{MSY}$ ). Using available stock



619 assessments, we compiled the latest value of fishing mortality relative to the fishing mortality  
620 at MSY ( $F/F_{MSY}$ ) and plotted them against the latest value of biomass or abundance  
621 trajectories relative to the MSY, in the ‘four quadrant, red-yellow-green’ Kobe plot style  
622 (EDF 9c).

623

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655

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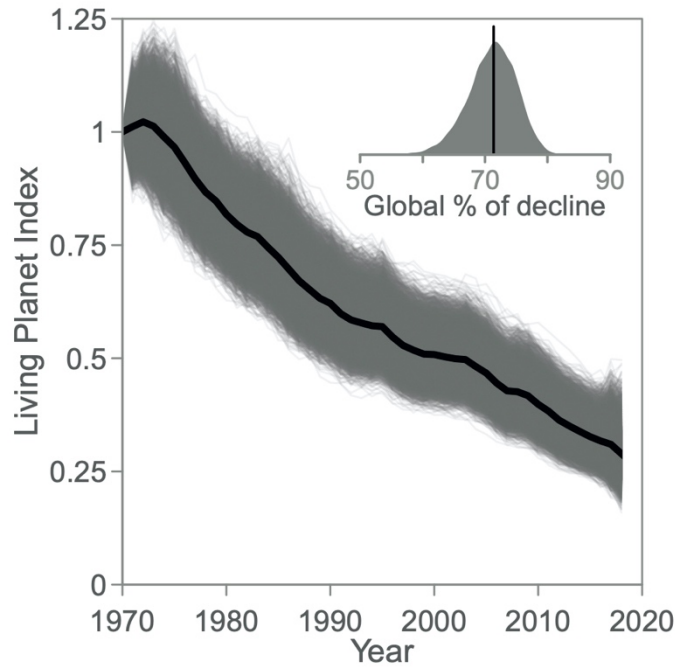
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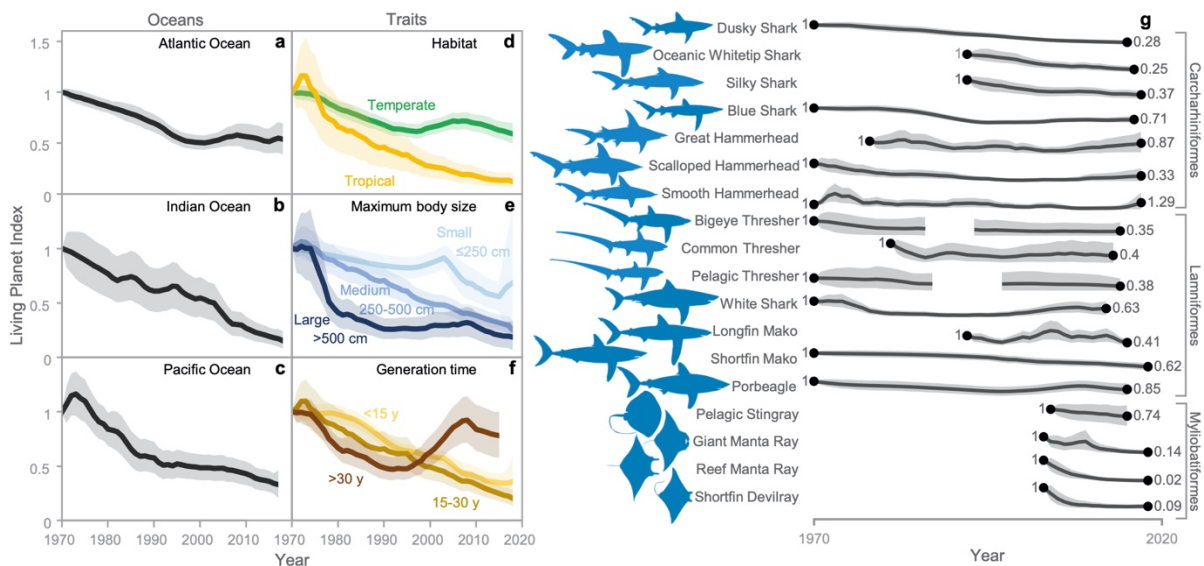
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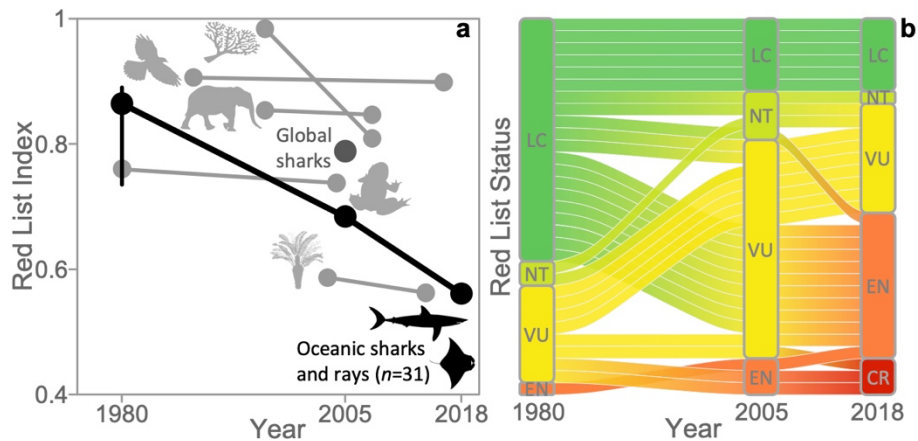
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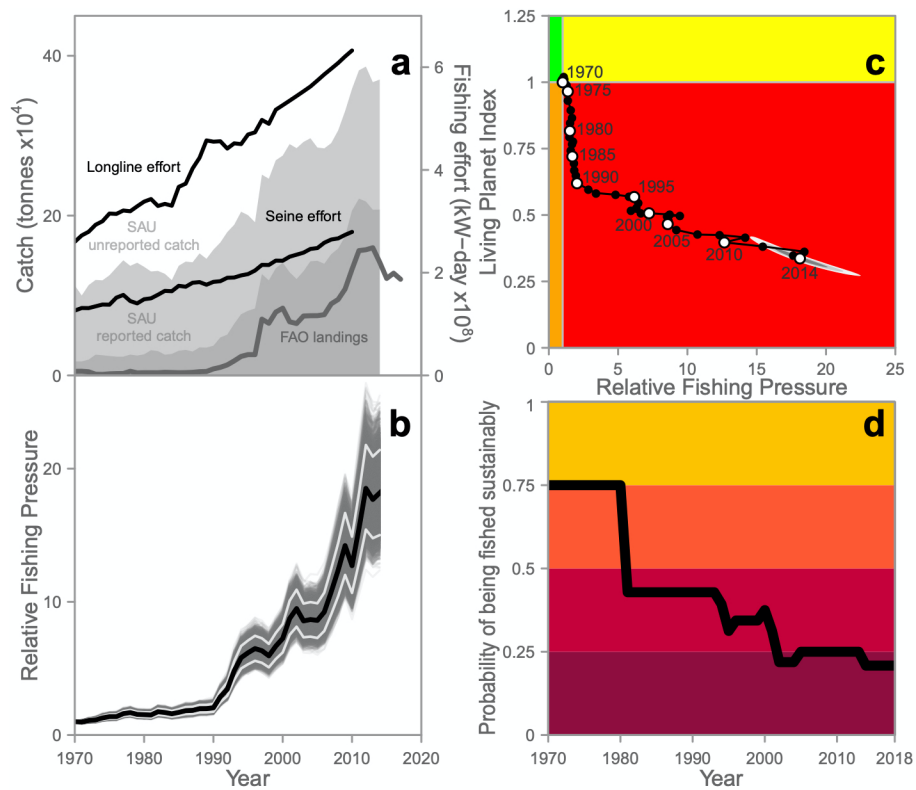
**Figure 1.** Global Living Planet Index (LPI) for 18 oceanic sharks estimated from 1970 to 2018. The global percentage (%) of decline was calculated from the posteriors of the LPI around the final assessment year relative to the posteriors for 1970. The black line denotes the mean, the white lines the 95% credible intervals and the grey lines each iteration.



**Figure 2.** Living Planet Index for 18 oceanic sharks from 1970 to 2018 disaggregated by Oceans (a, b, c), and the traits (d) geographical zone, (e) body size (maximum total length), (f) generation time (GT), and (g) species (species' time-series are in Extended Data Figure 4 to 8). Lines denote the mean and shaded regions the 95% credible intervals.



**Figure 3.** (a) Global Red List Index (RLI) for the 31 oceanic shark species (black line) estimated in 1980, 2005, and 2018, and for mammals, birds, amphibians, reef-forming corals, and cycads (in grey), and global chondrichthyans (sharks, rays, and chimaeras; point labelled ‘Global sharks’)<sup>16</sup>. The error bar denotes the uncertainty around the retrospective 1980 IUCN status (see Methods). A RLI value of 1.0 equates to all species qualifying as Least Concern (i.e., not expected to become Extinct in the near future), while a RLI value of 0 equates to all species having gone Extinct. (b) Change in Red List status of oceanic sharks from 1980 to 2018.



**Figure 4.** (a) Global catch data of 14 oceanic sharks and fishing effort of longline and seine gears. SAU: Sea Around Us project. FAO: Food and Agriculture Organization of the United Nations. Longline and Seine effort are effective corrected fishing effort<sup>36</sup>. (b) Fishing pressure (catch) encountered by oceanic sharks relative to the fishing pressure (catch) in 1970 and to their abundance from 1970 to 2014. The black line denotes the mean, the white lines the 95% credible intervals and the grey lines each iteration. (c) Living Planet Index (LPI) as a function of Relative Fishing Pressure (RFP, n=14 species) from 1970 (the initial state where LPI and RFP = 1) to 2014 for oceanic sharks (n=18 species). Light-grey, grey, and dark-grey polygons denote the 50%, 80%, and 95% 2D kernel density estimate of the iterations of LPI vs RFP for the last year (2014). (d)

Proportion over time of oceanic sharks with stock assessments that are at a level of biomass or abundance equal or greater than that which would achieve Maximum Sustainable Yield.