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# Variants in *PCSK7*, *PNPLA3* and *TM6SF2* are risk factors for the development of cirrhosis in hereditary haemochromatosis

Short title: The genetics of haemochromatosis-related cirrhosis

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## **Conflicting Interests**

None of the authors has any conflict of interest in relation to the contents of this manuscript.

## Summary

**Background:** Cirrhosis develops in <10% of individuals homozygous for the C282Y variant in the *homeostatic iron regulator* (*HFE*) gene. Carriage of *PCSK7:*rs236918 is associated with an increased risk of cirrhosis in this population.

**Aim:** To determine if genetic variants significantly associated with the risk of alcohol- and NAFLD-related cirrhosis also modulate the cirrhosis risk in C282Y homozygotes.

**Methods:** Variants in *PCSK7, PNPLA3, TM6SF2, MBOAT7* and *HSD17B13* were genotyped in 1319 C282Y homozygotes, from six European countries, of whom 171 (13.0%) had cirrhosis. Genotypic and allelic associations with the risk for developing cirrhosis were assessed, adjusting for age and sex. Fixed effects meta-analyses of the adjusted summary data for each country were performed. Post-hoc association testing was undertaken in the 131 (76.6%) cases and 299 (26.0%) controls with available liver histology.

**Results:** Significant associations were observed between *PCSK7*:rs236918 (OR=1.52 [95% CI 1.06-2.19]; *P*=0.022;  $I^2$ =0%); *PNPLA3*:rs738409 (OR=1.60 [95% CI 1.22-2.11]; *P*=7.37x10<sup>-4</sup>;  $I^2$ =45.5%) and *TM6SF2*:rs58542926 (OR=1.94 [95% CI 1.28-2.95]; *P*=1.86 x10<sup>-3</sup>;  $I^2$ =0%) and the cirrhosis risk in C282Y homozygotes. These findings remained significant in the subpopulation with available liver histology. The population-attributable fractions were 5.6% for *PCSK7*:rs236918; 13.8% for *PNPLA3*:rs738409, 6.5% for *TM6SF2*:rs58542926, and 24.0% for carriage of all three variants combined.

**Conclusion:** The risk of cirrhosis associated with carriage of *PCSK7*:rs236918 was confirmed in this much larger population of C282Y homozygotes. In addition, *PNPLA3*:rs738409 and *TM6SF2*:rs58542926 were established as significant additional risk factors. More detailed genetic testing of C282Y homozygotes would allow risk stratification and help guide future management.

Key words: Candidate genes; fibrosis; genetic risk association; genetic susceptibility; host genetics

## **1 INTRODUCTION**

Hereditary haemochromatosis (HH) is an autosomal recessive disorder of dysregulated iron metabolism characterized by elevation of serum ferritin concentrations and transferrin saturations and the accumulation of iron in the liver, and other organs.<sup>1</sup>

HH is predominantly attributable to a cysteine to tyrosine substitution at position 282 (p.Cys282Tyr) within the *homeostatic iron regulator (HFE*) gene and is the most common autosomal recessive disorder in adults of northern European origin.<sup>2,3</sup> It has an allele frequency of 6.2% and a homozygosity frequency of 0.38% or 1:260, but there is considerable variation across Europe with a decreasing gradient from North-West to South-East. Thus, the allele frequency ranges from 10 to 12.5% in Ireland to 0 to 3% in Southern Europe; homozygosity rates range, along the same divide, from 1:150 to < 1:3000.<sup>1,3-6</sup>

Although common, this genetic polymorphism is not always phenotypically expressed. Thus, there is considerable variability in the proportion of C282Y homozygotes who develop iron overload.<sup>7</sup> In a large individual patient meta-analysis of C282Y homozygotes, increased serum ferritin concentrations were found in 32% of men and 26% of women while excess liver iron concentrations were found in 42% of men and 19% of women.<sup>3</sup> Equally, there is considerable variability in the proportion of C282Y homozygotes with iron overload who develop a clinical phenotype; it is estimated that approximately 10 to 33% will eventually develop HH-associated morbidity.<sup>7</sup> Penetrance is generally higher in men than in women. Menstruation and pregnancy may counter excess iron absorption, at least until the menopause, but are not entirely protective.<sup>8</sup> Overall the clinical expressivity of C282Y homozygosity, using strict definitions of both iron overload and iron-related organ damage, ranges from 22 to 28% in men and from 1% to 10% in women.<sup>4,9,10</sup>

Thus, C282Y homozygosity is a necessary but not sufficient condition for the development of HH-associated phenotypes, which strongly suggests that constitutional, environmental and genetic modifiers may play a role in gene expression.<sup>11</sup> Daily consumption of > 60g of alcohol, for example, increases the risk of people with HH developing cirrhosis by a factor of nine.<sup>12,13</sup> Likewise the presence of obesity, diabetes and chronic viral hepatitis all augment the risk for developing cirrhosis in this population.<sup>14-17</sup>

The role played by additional genetic risk modulators is now attracting considerable attention. In 2012, Valenti and coworkers,<sup>18</sup> identified a significant association between carriage of rs738409 in the *patatin-like phospholipase domain containing-3 (PNPLA3)* gene and the development of severe hepatic fibrosis/cirrhosis in Italian C282Y homozygotes, which was independent of the degree of iron overload. This *PNPLA3* variant had previously been identified as a significant risk factor for the development of cirrhosis in individuals misusing alcohol or with non-alcoholic fatty liver disease (NAFLD).<sup>19,20</sup>

In 2014, Stickel and colleagues,<sup>21</sup> reported a significant association between rs236918 in the *proprotein convertase subtilisin/kexin type 7* (*PCSK7*) and the risk for developing cirrhosis in C282Y homozygotes in a German-Austrian-Swiss cohort. These findings were later confirmed by Pelucchi and coworkers in an Italian cohort.<sup>22</sup> No significant association was observed between *PCSK7*:rs236918 and the risk for developing alcohol-related cirrhosis.<sup>21</sup>

Several genome-wide association studies (GWAS) have been undertaken in patients with liver disease, almost exclusively European, which have identified rs58542926 in *transmembrane 6 superfamily member 2 (TM6SF2)* and rs641738 in *membrane bound Oacyltransferase domain containing protein* 7 (*MBOAT7*) as risk loci for alcohol-related and NAFLD-related cirrhosis.<sup>19,23-26</sup> Additionally, Abul-Husn and colleagues,<sup>27</sup> have identified a splice variant rs72613567 in *hydroxysteroid 17-beta dehydrogenase 13 (HSD17B13)*, which

appears to protect against the development of alcohol- and NAFLD-related chronic liver injury in people of European ancestry, and to attenuate the risk associated with carriage of *PNPLA3*:rs738409. The findings in relation to alcohol-related liver disease have been confirmed by others.<sup>28</sup>

Thus, the aim of this study was to further evaluate the role of modifier genes in determining the risk for developing cirrhosis in homozygous carriers of C282Y in *HFE*.

#### 2 METHODS

#### 2.1 Study population

Unrelated individuals who were homozygous for C282Y in *HFE* were recruited from centres across Europe (Table S1). All were Caucasian and of self-reported German/Austrian/Swiss/ Italian/British or Irish ancestry.

Potential participants were excluded if they had a history of prolonged, sustained alcohol intake of a minimum of 40 g/day in women and 60 g/day in men, or if they had any potential cause of liver injury other than iron overload, specifically if they were positive for hepatitis B surface antigen, anti-hepatitis C immunoglobulin G, anti-nuclear antibodies (titer > 1:80) or anti-mitochondrial antibodies (titer > 1:40). Patients with a serum caeruloplasmin of <20 mg/dl (0.2 g/dl) or a serum alpha-1 antitrypsin of <70 mg/dL (13 µmol/L) were further investigated and excluded if diagnosed with Wilson's disease or alpha1 antitrypsin deficiency. Patients were also excluded if they were morbidly obese i.e. BMI >40. The presence of diabetes was not an exclusion criterion.

For the purpose of this study, C282Y homozygotes were classified as *cases* if they had histological evidence of cirrhosis evidenced by a METAVIR score of F4,<sup>29</sup> or unequivocal evidence of significant liver damage based on a combination of *two* or more of the following sets of conditions (i) compatible laboratory abnormalities viz. hyperbilirubinaemia, transaminitis, hypo-albuminaemia, impaired coagulation and thrombocytopaenia; (ii) a history or evidence of hepatic decompensation manifest as jaundice, ascites, variceal haemorrhage or hepatic encephalopathy; (iii) evidence on imaging of chronic liver disease including changes in liver outline and texture, splenomegaly and the presence of a collateral circulation; (iv) a liver stiffness measurement (Fibroscan, Echosens, Paris) of >12 kPa (IQR<20%); (v) the presence of gastro-oesophageal varices on upper gastrointestinal endoscopy.

*Controls* were defined as C282Y homozygotes who had no historical, clinical or laboratory evidence of advanced fibrosis confirmed either by a liver stiffness measurement (Fibroscan, Echosens, Paris) of < 8 kPa (IQR<20%) or by a histological METAVIR score of F0 to F2.<sup>29</sup>

Patients with advanced fibrosis evidenced by a METAVIR score of F3 (n=15) were not assigned as either cases or controls and were excluded from the main association analyses.

## 2.2 Genotyping

Genomic DNA was extracted from the British and Irish peripheral blood samples using the Illustra Nucleon® Genomic Kit (GE Healthcare Life Sciences, Little Chalfont, UK) and from the German, Austrian, Swiss and Italian samples using the Invisorb Blood Giga Kit (Invitec, Berlin, Germany). All DNA samples were quality-checked on agarose gels. Genomic DNA (10 ng) was used for direct genotyping in the UK whereas DNA (1  $\mu$ l) from the German, Austrian, Swiss and Italian samples was amplified using the GenomiPhi whole-genome amplification kit before genotyping (GE Healthcare, Life Sciences, Little Chalfont, UK). Genotyping of the five single nucleotide polymorphisms (SNPs) of interest viz: PCSK7:rs236918, PNPLA3:rs738409, TM6SF2:rs58542926; MBOAT7:rs641738 and HSD17B13:rs76213567 was performed using either the TaqMan<sup>®</sup> SNP Genotyping Assays and chemistries (Applied Biosystems, Foster City, CA,USA) on an automated platform with TECAN Freedom EVO and 384 well TEMO liquidhandling robots (TECAN, Männedorf, Switzerland), or TaqMan 5'-nuclease assays (Life Technologies, Carlsbad, CA) or the K-Biosciences Competitive Allele Specific PCR (LGC Genomics, Hoddesdon, UK) platform with amplification and detection undertaken using a LightCycler<sup>®</sup> 480 real-time PCR system (Roche Molecular Diagnostics). The sequences of the primers used for each SNP are detailed in Table S2.

Approximately 12% of the samples for each SNP were randomly selected and regenotyped to verify the original genotyping calls.

## 2.3 Statistical analysis

Statistical analyses were performed using IBM SPSS Statistics for Windows, Version 25 (IBM Corp, Armonk, NY, USA), the PLINK 2.0 genome analysis toolset,<sup>30,31</sup> and R Version 1.18.1. (CRAN.R-project.org/doc/FAQ/R-FAQ.html). The genotypic data in each cohort were analysed for departures from Hardy-Weinberg equilibrium (HWE) in the entire sample and separately in the *cases* and *controls* using exact test statistics. The association between each genetic variant and the risk for developing cirrhosis was estimated from multivariate logistic regression analysis, adjusted for age and sex, assuming a multiplicative (log-additive) genetic model. Results, expressed as odds ratios (OR) with their 95% confidence intervals (CI), were derived from beta coefficients and their standard deviations. Nominal two-sided asymptotic *P*-values are reported for all tests.

A power analysis based on the 171 cases and 1148 controls available for study, was performed using PS-Power (<u>https://vbiostatps.app.vumc.org/ps/</u>), adopting various allelic odds ratio between 1 and 2, and a nominal significance level of 0.05.

Both fixed effects and random effects models were used to meta-analyse the adjusted summary data, for each SNP, in each of the six country cohorts. Inter-cohort heterogeneity was quantified using the I<sup>2</sup> statistic which was calculated using the formula:

$$I^2 = 100\% \times (Q - df)/Q$$

where, Q is Cochran's homogeneity test statistic and df the degrees of freedom.

The DerSimonian-Laird random effects model was used if the degree of heterogeneity was significant (P < 0.05); otherwise, an inverse variance weighted fixed effects model was

used to achieve pooled effect sizes of the adjusted summary data using the Metafor package in R  $^{32}$ . Sensitivity analyses were undertaken to assess the robustness of the association findings when the observed heterogeneity between studies was high (Q-statistics P < 0.05) using MetaXL software (<u>http://www.epigear.com/index\_files/metaxl.html</u>). The effect of excluding studies in which genotype distribution in the controls deviated significantly (P < 0.05) from HWE was also assessed. Data are expressed as odd ratios (OR) with 95% confidence intervals (CI).

Post-hoc meta-analyses of the data were undertaken in three sub-cohorts *viz*: (i) men only, adjusted for age (ii) participants with histological confirmation of their case/control status, adjusted for age and sex; and, (iii) participants with histological confirmation of their case/control status, adjusted for age, sex and obesity.

Co-carriage of significantly associated risk variants and genotype proportions in groups defined by increasing fibrosis stage were investigated in the cases and controls with available histology using a linear-by-linear test for ordered contingency tables in R.<sup>33</sup> This test is similar to a Chi-square test, and is used to test the association among variables in a contingency table with ordered categories. All available subjects were included and were evaluate according to single or grouped METAVIR fibrosis staging scores as follows: absent (stage: F0), mild-moderate fibrosis (stages: F1 and F2), and severe fibrosis/cirrhosis (stages: F3 and F4).

Possible interactions between any significantly associated SNPs were examined pair-wise by logistic regression adjusted for sex, age and country as categorical variables, including both main allelic SNP effects and the allelic SNP\*SNP interaction term.

The population-attributable fraction (PAF) provides an epidemiological estimate of the proportion of the disorder that is attributable to a given risk factor. Thus, in this instance it is

an estimate of how much lower the frequency of cirrhosis would be in C282Y homozygotes, if any risk genotypes were eliminated from the population. Calculation of PAF for each of the significantly associated risk allele was based on the pooled adjusted allelic OR from the fixedeffects meta-analysis and risk genotype frequency of the SNP using the formula:<sup>34</sup>

$$\text{%PAF} = P_E x (RR-1) / [1+ P_E (RR-1)] x 100$$

where,  $P_E$  is the proportion of exposed controls (risk allele frequency in the C282Y homozygotes without cirrhosis) and RR is the relative risk. The RR is approximated by the ORs obtained from the meta-analysis of the six country cohorts adjusted by sex and age.

Combined PAF estimates were calculated based on the individual PAFs for each associated SNP, assuming no multiplicative interaction between them.<sup>35</sup>

## 2.4 Ethics

The study protocol was approved by the ethics committees of the participating institutions; all included subjects provided written informed consent prior to inclusion into the study.

## **3 RESULTS**

## 3.1 Study population

The study population comprised of 1319 unselected C282Y homozygotes recruited from six countries across Europe (Table S1). Participants were predominantly middle-aged and approximately two-thirds were male; these findings were consistent across cohorts except that there were proportionately more men in the Swiss cohort (Table 1). A total of 171 (13%) participants were classified as *cases;* the proportions varied from 6% in the Irish cohort to 30% in the Swiss cohort. The *cases* were, on average, a decade older than the *controls;* proportionately more were male (88% *cf.* 62%; *P*<0.0001) and proportionately more were diabetic (25% *cf.* 7%; p<0.0001) (Table 1).

*Case-control* status was confirmed by histological examination of liver biopsy tissue in 131 (76.6%) of the 171 *cases* and in 299 (26.0%) of the 1148 *controls* (Figure 1). The characteristics of this subpopulation closely mirrored those of the total population (Table S3).

## 3.2 Genotyping

Genotyping for all five SNPs was completed with call rates greater than 99% except for *HSD17B13*:rs72613567 which had a call rate of 93.6%. All markers followed HWE (cutoff *P* > 0.05) with the exception of *PCSK7*:rs236918 in the Irish control population (HWE *P*=0.026) (Table S4). The minor allele frequencies (MAFs) of three of the five SNPs *viz*: *PCSK7*:rs236918, *PNPLA3*:rs738409 and *HSD17B13*:rs72613567 showed moderate heterogeneity in controls populations across the cohorts but the observed heterogeneity was comparable with that of European populations in public databases <sup>36</sup> (Table S5), and in previous publications.<sup>18-21,23,27,28</sup> (Table 2)

## 3.3 Association analyses

The systematic power calculation based on the 171 cases and 1148 controls included in the study indicated that risk associations with an odds ratios > 1.6 would be detectable with a power >80% for allele frequencies  $\geq$  0.3 (Figure S1).

The associations between the five SNPs of interest and the risk of developing cirrhosis were not significant in all cohorts (Table 2; Figure 2). However, fixed effects meta-analysis, on the pooled age-and sex–adjusted data, confirmed significant associations between the risk for developing cirrhosis, in C282Y homozygotes, and carriage of *PCSK7*:rs236918 (OR = 1.52 [95% CI 1.06 - 2.19]; *P* = 0.022; I<sup>2</sup>=0%); *PNPLA3*:rs738409 (OR = 1.60 [95% CI 1.22 - 2.11]; *P* = 7.37 x  $10^{-4}$ ; I<sup>2</sup> = 45.5%); and, *TM6SF2*:rs58542926 (OR = 1.94 [95% CI 1.28 - 2.95]; *P* = 1.86 x $10^{-3}$ ; I<sup>2</sup> = 0%). (Figure 2, Table S5) The associations with *MBOAT7*:rs641738 (OR=1.17 [95% CI 0.91-1.51]; *P* = 0.219; I<sup>2</sup> = 31.8%) and *HSD17B13*:rs72613567 (OR=0.94 [95% CI 0.69-1.27]; *P* =0.685; I<sup>2</sup> = 16.8%) were not significant. (Figure 2, Table S6). There was no significant heterogeneity between the cohorts. The association results obtained utilizing a random effects model were confirmatory (Figure 2, Table S6).

The Irish control population did not follow HWE for *PCSK7:rs236918* (*P*=0.026). However, exclusion of the Irish cohort from the associational analysis for this SNP did not affect the significance of the association with cirrhosis risk (OR = 1.72 [95% CI 1.15 - 2.58]; *P* = 0.008; I<sup>2</sup>=0%) (Table S7).

A post hoc fixed effects meta-analysis confined to male cases and controls confirmed the significance of the associations between the risk for developing cirrhosis and *PCSK7*:rs236918 (OR = 1.65 [95% CI 1.12 - 2.41]; *P* = 0.011; I<sup>2</sup> = 0%); *PNPLA3*:rs738409 (OR = 1.51 [95% CI 1.12 - 2.03]; *P* = 6.14x10<sup>-3</sup>; I<sup>2</sup> = 54.4%) and *TM6SF2*:rs58542926 (OR = 1.98 [95% CI 1.25 - 3.12]; *P* = 3.35 x 10<sup>3</sup>; I<sup>2</sup> = 0%) (Table S8). The significance of the association with *PNPLA3*:rs738409 was

lost if a random effects model was applied reflecting the moderate degree of inter-cohort heterogeneity for this SNP (Table S8)

A post-hoc fixed effects meta-analysis confined to homozygous C282Y cases and controls, of both sexes, whose status had been confirmed by histological examination of liver biopsy material, confirmed the presence of significant associations between *PCSK7*:rs236918 (OR = 2.13 [95% CI 1.25 - 3.66]; *P* = 5.80×10<sup>-3</sup>; I<sup>2</sup> = 18.6%); *PNPLA3*:rs738409 (OR = 1.80 [95% CI 1.20 - 2.68]; *P* = 4.09×10<sup>-3</sup>; I<sup>2</sup> = 75.6%); and *TM6SF2*:rs58542926 (OR = 2.06 [95% CI 1.18 - 3.61]; *P* = 0.011; I<sup>2</sup> = 0%) and the risk for developing cirrhosis (Figure 3, Table S8). However, significant inter-cohort heterogeneity was observed for*PNPLA3*:rs738409; hence the significance of this association was lost with the random effects model *viz. PNPLA3*:rs738409 (OR = 1.59 [95% CI 0.70 - 3.65]; *P* = 0.271).

A sensitivity analysis applied to this subpopulation identified the German cohort (23 cases, 23 controls) as the major source of the substantial inter-cohort heterogeneity; its removal did not change the direction of the effect but reduced the heterogeneity ( $I^2 = 57.2\%$ ; *P*=0.053) and resulted in retention of the significant association between *PNPLA3*:rs738409 and cirrhosis risk with both the fixed effects (OR 2.32 [95% CI 1.52, 3.56]; P = 1.10x10<sup>-4</sup>. I<sup>2</sup> 52.8%) and random effects models (OR = 2.21 [95% CI 1.13 - 4.34]; *P* = 0.021, I<sup>2</sup> 57.2%) (Table S10).

A post-hoc fixed effects analysis in the population whose case-control status had been confirmed by histological examination, with additional adjustment for obesity, confirmed the significant associations with cirrhosis risk for *PCSK7*:rs236918 (OR = 3.65 [95% CI 1.18 – 11.23]; P = 0.024; I<sup>2</sup> = 0%) and *PNPLA3*:rs738409 (OR = 2.78 [95% CI 1.25 – 6.20]; P = 0.012; I<sup>2</sup> = 38.9%). (Table S10). The significance of the association with *PNPLA3*:rs738409 was not retained when the random effects model was applied but the same caveats in relation to the German cohort

also pertained; exclusion of this cohort, as above, maintained the significance of the association with *PNPLA3*:rs738409 irrespective of which effects model was applied (data not shown).

In the combined case–control sample of homozygous C282Y homozygotes with available liver histology the proportion of subjects carrying the risk-associated alleles significantly increased with increasing fibrosis stage depending on the groupings used. Thus, significant trends were observed between carriage of both *PNPLA3*:rs738409:G (P = 0.009) and *TM6SF2*:rs58542926:T (P = 0.01) and increasing fibrosis when comparisons were made between F0 vs F1-2 vs F3-4 and also between *PNPLA3*:rs738409:G (P = 0.045) and *PCSK7*:rs236918:C (P = 0.01) when comparisons were made between F0-F2 vs F3-4. (Figure S2).

## 3.4 Population attributable fractions

In the population overall the PAF% for *PCSK7*:rs236918 was 5.6%, for *PNPLA3*:rs738409, 13.8% and for *TM6SF2*:rs585429265, 6.5%; the combined PAF% for the three loci was 24.0% (Table 3). The PAFs in the sub-population in whom case/control status was verified by examination of liver histology were higher (Table 3); the combined PAF% in this sub-population was 36.8%.

The proportion of cases and controls carrying either none of the variants of interest in *PCSK7, PNPLA3* and *TM6SF2*, or one of three, two of three or all three was used to determine the aggregated risk of co-carriage of the risk loci (Figure 4). Thus, while only 14.9% and 0.9% of the controls carried either two or three of the variants these proportions increased significantly to 31.1% and 2.4% in the cases ( $P = 9.98 \times 10^{-8}$ , linear-by-linear test for trend).

## 3.5 SNP: SNP interactions

Significant association was observed between the *PNPLA3*:rs738409 by *TM6SF2*:rs58542926 interaction term and the risk for developing cirrhosis in C282Y homozygotes (P = 0.042; OR

1.94 [1.02 – 3.67]). (Table S12) No other pair-wise SNP\*SNP interaction was significant in the applied model.

#### 4 DISCUSSION

HH is a complex disorder of iron dysregulation predominantly attributable to a C282Y substitution in *HFE*. It has a very wide phenotypic spectrum. Thus, only 30% to 50% of C282Y homozygotes develop significant iron overload,<sup>6</sup> and only a minority of these develop significant liver injury.<sup>35</sup> A variety of constitutional and environmental factors are known to increase the risk for developing cirrhosis and HCC in these individuals but the nature and extent of any additional genetic modulation is largely unknown. Identification of these additional genetic elements is important as it will enable an individual's risk for developing progressive liver damage to be assessed more precisely, and will allow greater insight into currently unknown molecular aspects of the underlying liver injury.

## 4.1 Study findings

The present study provides further confirmation that carriage of *PCSK7*:rs236918,<sup>21,22</sup> and *PNPLA3*:rs7384093 <sup>18</sup> are associated with the risk for developing cirrhosis in C282Y homozygotes, attesting to the robustness of the associations. In addition, *TM6SF2*:rs58542926, which is a known risk factor for the development of alcohol- and NAFLD-related cirrhosis,<sup>19,23-25</sup> was also shown to be a risk factor for cirrhosis development in this patient population, with ORs and attributable fractions comparable to those of *PCSK7*:rs236918. These associations remained significant in sub-populations of men only, and in men and women with available liver histology, indicating that the findings are generally applicable.

Carriage of these three genetic risk modulators between them account for 24.0% of the excess risk for developing cirrhosis in C282Y homozygotes. However, this is probably an underestimate as the PAF in those homozygotes in whom the presence of cirrhosis was confirmed or excluded by examination of liver histology was almost 37.0%.

The association with *MBOAT7*:rs641738, a risk factor for the development of alcohol and NAFLD-related cirrhosis was not significant.<sup>19,24,26</sup> There are no studies with which to compare these results but a recent candidate gene study in people with chronic hepatitis C showed that the influence of this variant is restricted to the transition from absence of fibrosis to mild fibrosis.<sup>38</sup> However, the allelic effect size of *MBOAT7*:rs641738, in the present study, was below the significance threshold identified in the power analysis; this suggests that the study may be underpowered to detect a modulating effect for this SNP on cirrhosis risk in HH

Likewise, there was no significant association with *HSD17B13*: rs72613567, which confers protection against the development of NAFLD-related and alcohol-related cirrhosis and the subsequent development of HCC.<sup>27,28</sup> However, the study may also be underpowered to detect a significant modulating effect in HH. Less is known about the genetic associations of this locus with chronic liver disease of other aetiologies, although carriage of rs72613567: TA appears to protect against the development of severe hepatic fibrosis in people with chronic hepatitis C infection.<sup>39</sup>

#### 4.2 Mechanisms of liver injury

Several genetic mutations, primarily of HFE, are associated with the risk for developing HH, although the mechanism(s) which these genetic variants by disrupt iron metabolism/regulation/homeostasis is not entirely understood.<sup>40</sup> However, hepcidin deficiency is believed to play a central role as it results in enhanced intestinal iron absorption and release of iron from reticuloendothelial cells. The C282Y variant in HFE alters a key amino acid, which results in impairment of HFE protein signalling; this leads to reduced expression of hepcidin mRNA and decreased plasma hepcidin levels resulting in excessive systemic iron accumulation.

A number of hypotheses link the protein product of *PCSK7* to iron metabolism and liver fibrosis. PCSK7 is a convertase, which is thought to function in iron homeostasis by generating soluble hemojuvelin,<sup>41</sup> a protein which plays a crucial role in the regulation of hepcidin, and also processes prohepcidin.<sup>42</sup> In zebrafish, PCSK7 has been shown to contribute to mRNA expression and proteolytic cleavage of transforming growth factor beta 1a (TGFβ), a cytokine key for the activation of hepatic stellate cells/myofibroblasts.<sup>43</sup> Carriage of the risk allele has been shown to increase PCSK7 expression in humans, while in human hepatocytes PCSK7 downregulation has been shown to facilitate intracellular fat accumulation and reduce (TGFβ) activation.<sup>44</sup> Thus, genetic modulation of PCSK7 expression or protein function may impact on hepatic fibrosis development.

*PNPLA3*:rs738409 and *TM6SF2*:rs58542926 are established genetic risk factors for the development of cirrhosis in response to a variety of insults including alcohol misuse and NAFLD. The gene products of *PNPLA3* and *TM6SF2* are enzymes which play a role in lipid/fatty acid turnover and are expressed in liver tissue. PNPLA3 has both triacylglycerol lipase and acylglycerol O-acyltransferase activities. The loss of function variant rs738409 is associated with a reduction in triglyceride hydrolysis,<sup>45</sup> and hence impaired triglyceride mobilization.<sup>46</sup> *TM6SF2* is incompletely characterized, but the risk variant rs58542926:T encodes a loss of function protein which impairs very low density lipoprotein export resulting in increased hepatocellular lipid content.<sup>47</sup>

It is possible that HFE-mediated hepatic iron overload may interact adversely with the lipid accumulation in hepatocytes, engendered by carriage of *PNPLA3*:rs738409 and *TM6SF2*:rs58542926, resulting in increased production of lipid peroxides via iron-mediated oxidation of fatty acids such as 4-hydroxynonenal and/or malondialdehyde, which are well-known drivers of liver fibrogenesis.<sup>48,49</sup> This proposition will need further exploration.

## 4.3 Strengths and limitations

This study has a number of strengths. First, it is the largest single study, to date, designed to identify genetic modulators of the risk for developing cirrhosis amongst C282Y homozygotes. Second, the participants were unselected and unrelated and were recruited from centres across Europe. As such they are likely to be representative of the broader HH population. Third, the cohorts were all assembled using a consistent study/data collection protocol with predetermined inclusion/exclusion criteria. Fourth, the selection of cases was based on rigorous criteria, which not only robustly identified the presence of significant liver disease but also ensured that no cause for the development of cirrhosis, other than HH, was likely. Finally, the data analyses were stringent and comprehensive; the heterogeneity, observed within the much smaller cohorts with available histological confirmation of their case/control status, was explored and most likely results from sampling error rather than genuine differences in effect sizes between cohorts.

The study also has its limitations. First, the data were collected retrospectively although missing data were obtained, where possible, during subsequent routine clinic visits. The study therefore lacked the granularity that would be available if the data had been collected prospectively. Thus , for example, a high proportion of the cases had 'diabetes' but it is uncertain whether this reflected pancreatic involvement in the disease process, disturbances of glucose homeostasis secondary to cirrhosis or co-morbid type 2 diabetes, although, given the low prevalence of obesity, the latter is less likely. Second, the criteria for case selection were so stringent that it is likely that some people with incipient or asymptomatic cirrhosis may have been misclassified as controls. It is also likely that a proportion of the controls might develop cirrhosis over time if left untreated. The net result is that the control group may have contained individuals predisposed to develop cirrhosis. This, however, strengthens the

findings of the identified associations ensuring that they are real and robust. Third, the study participants were European which means that the findings may not be applicable to populations of non - European ancestry. However, C282Y allele frequencies in people of non-European ancestry are likely to be very low.<sup>50</sup> Fourth, considerable inter-cohort heterogeneity was observed in the association analyses for *PNPLA3*:rs738409 which hampered the assessment of its association with cirrhosis risk in the subgroup analyses. Sensitivity analysis determined that the major source of this heterogeneity was the German cohort and its exclusion allowed for a robust assessment of disease modulation.

## 4.4 Clinical and public consequences

The diagnosis of HH is often made at a stage when advanced or decompensated liver disease and HCC are already established. A better understanding of the genetic risk factors associated with the development of cirrhosis in C282Y homozygotes could lead to a more comprehensive approach to risk stratification. This study has provided evidence for risk associations with carriage of specific variants in three genes *viz PCSK7, PNPLA3,* and *TM6SF2*. However, it is likely that further genetic risk factors, at present unrecognised, also exist.

A GWAS of unrelated patients with HH, undertaken in 2015, involving 474 C282Y homozygotes identified an association between rs3811647 in the transferrin gene and serum transferrin and iron levels, but only indirectly with fibrosis.<sup>51</sup> However, only 72 (15%) of the 474 participants had evidence of hepatic fibrosis and so the study was under-powered to study liver phenotypes. A large well-conducted GWAS would be the best way to identify other possible genetic modulators not only associated with the susceptibility to develop cirrhosis but also other HH disease phenotypes.

More comprehensive genetic testing at the time of diagnosis would allow necessary adjustments to be made to the standard approach to treatment to accommodate any additional risks, for example, early modification of any risky lifestyle behaviours such as excess calorie and alcohol ingestion, adopting a more rigorous approach to depletion of iron stores and placing more emphasis on screening for the development of liver injury.

Further understanding of the mechanisms by which genetic variants confer the risk for developing cirrhosis could pave the way for the development of new treatments if the risk loci and their corresponding gene products were potential drug targets.

In conclusion: approximately 30% of the variance in susceptibility of C282Y homozygotes to develop cirrhosis can be explained by carriage of *PCSK7*:rs236918, *PNPLA3*:rs738409, and *TM6SF2*:rs58542926. More comprehensive genetic testing will identify individuals at particular risk and a better understanding of the modulating effects of these gene variants may lead to the development of alternative therapeutic approaches.

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| Cabort                |                  |               |               | <b>Cases</b><br>(n=171)     |                     |                  |                   |              |              |                 | <b>Controls</b><br>(n=1148) |                     |                  |                   |
|-----------------------|------------------|---------------|---------------|-----------------------------|---------------------|------------------|-------------------|--------------|--------------|-----------------|-----------------------------|---------------------|------------------|-------------------|
| Cohort<br>(n)         | Total<br>(n: %)) | Men<br>(n: %) | Age<br>(yr)   | Serum<br>ferritin<br>(µg/l) | BMI                 | Obese†<br>(n: %) | Diabetes<br>(n:%) | Total<br>(n) | Men<br>(n:%) | Age<br>(yr)     | Serum<br>ferritin<br>(µg/I) | BMI                 | Obese†<br>(n: %) | Diabetes<br>(n:%) |
| Austria<br>(392)      | 56 (14%)         | 52 (93%)      | 62<br>(52-68) | 2720<br>(1525-4105)         | 26.1<br>(23.9-27.5) | 3/26             | 1/10              | 336          | 211 (63%)    | 49<br>(38-60)   | 917<br>(534-1457)           | 25.9<br>(24.1-28.3) | 11/87            | 8/68              |
| England<br>(148)      | 22 (15%)         | 19 (86%)      | 55<br>(47-65) | 2628<br>(1280-4255)         | <30                 | 0/22             | 10/22             | 126          | 74 (59%)     | 54<br>(42-63)   | 800<br>(444-1368)           | <30                 | 0/126            | 9/106             |
| Germany<br>(163)      | 25 (15%)         | 22 (88%)      | 59<br>(53-63) | 2776<br>(2000-5250)         | 27.3<br>(25.3-31.9) | 3/10             | 6/11              | 138          | 90 (65%)     | 51<br>(41-61)   | 1096<br>(600-2200)          | 25.9<br>(23.5-28.4) | 15/106           | 8/117             |
| lreland<br>(372)      | 22 (6%)          | 18 (82%)      | 49<br>(45-55) | 1518<br>(1069-2148)         | n/a                 | n/a              | 5/16              | 350          | 215 (61%)    | 47<br>(39-55)   | 538<br>(317-800)            | n/a                 | n/a              | 10/184            |
| <b>Italy</b><br>(174) | 25 (14%)         | 21 (84%)      | 48<br>(43-57) | 2353<br>(1570-4000)         | 24.0<br>(22.5-25.0) | 0/6              | 9/29              | 149          | 88 (59%)     | 45<br>(34-59)   | 800<br>(471-1071)           | 25.0<br>(22.0-27.0) | 7/58             | 8/145             |
| Switzerland<br>(70)   | 21 (30%)         | 19 (90%)      | 57<br>(50-63) | 3313<br>(2280-4940)         | n/a                 | n/a              | 5/21              | 49           | 34 (69%)     | 50<br>(41-58)   | 1088<br>(671-2114)          | n/a                 | n/a              | 4/49              |
| TOTAL<br>1319         | 171 (13%)        | 151<br>(88%)  | 56<br>(48-64) | 2560<br>(1570-4000)         | 26.0<br>(24.0-28.3) | 9%<br>(6/70)⁺    | 25%<br>(36/145)   | 1148         | 712 (62%)*   | 48**<br>(39-59) | 748**<br>(437-1216)         | 25.7<br>(23.8-28.3) | 8%<br>(33/410)   | 7% **<br>(47/716) |

TABLE 1 Demographic, clinical and laboratory information on the entire study population of C282Y homozygotes, at the time of diagnosis

The study population comprised of 1319 unselected, unrelated C282Y homozygotes recruited from six countries across Europe. Of these 171 participants with histological or unequivocal surrogate evidence of cirrhosis were classified as CASES. While the remaining 1148 participants with no historical, or surrogate evidence cirrhosis were classified as CONTROLS

Data expressed as median (interquartile [IQR: Q1-Q3] range)

BMI: body mass index; + based on a BMI  $\geq$  30 but <40; n/a: not available;

Significance of the differences between cases and controls \*P < 0.01; \*\*P < 0.0001

| uevelop                   |             | Cas                  |         | adjusted for ag<br>Contr |         |                           | +Odds Ratio                   |
|---------------------------|-------------|----------------------|---------|--------------------------|---------|---------------------------|-------------------------------|
| Gene: SNP                 | Cohort      | Genotype<br>count    | MAF (%) | Genotype<br>count        | MAF (%) | Significance<br>(p value) | (95 % CI)                     |
|                           | Austria     | GG/GC/CC<br>40/13/2  | 15.5    | GG/GC/CC<br>275/58/2     | 9.3     | 0.143                     | 1.60<br>(0.85 - 3.02)         |
|                           | England     | GG/GC/CC<br>15/7/0   | 15.9    | GG/GC/CC<br>97/28/0      | 11.2    | 0.468                     | 1.46<br>(0.52 – 4.07)         |
| PCSK7                     | Germany     | GG/GC/CC<br>15/9/1   | 22.0    | GG/GC/CC<br>117/17/3     | 8.4     | 0.061                     | 2.22<br>(0.97 – 5.09)         |
| rs236918                  | Ireland     | GG/GC/CC<br>16/4/1   | 14.3    | GG/GC/CC<br>252/82/15    | 16.0    | 0.811                     | 0.90<br>(0.39-2.08)           |
|                           | Italy       | GG/GC/CC<br>17/8/0   | 16.0    | GG/GC/CC<br>125/24/0     | 8.1     | 0.324                     | 1.93<br>(0.52 – 7.11)         |
|                           | Switzerland | GG/GC/CC<br>15/6/0   | 14.3    | GG/GC/CC<br>41/7/1       | 9.2     | 0.503                     | 1.50<br>(0.46-4.96)           |
|                           | Austria     | CC/CG/GG<br>20/25/10 | 40.9    | CC/CG/GG<br>172/130/29   | 28.4    | 0.004                     | 1.98<br>(1.24 – 3.14)         |
|                           | England     | CC/CG/GG<br>8/12/2   | 36.4    | CC/CG/GG<br>69/48/8      | 25.8    | 0.110                     | 1.86<br>(0.87 – 3.98)         |
| PNPLA3                    | Germany     | CC/CG/GG<br>13/11/1  | 26.0    | CC/CG/GG<br>63/66/9      | 30.4    | 0.447                     | 0.75<br>(0.35 - 1.59)         |
| rs738409                  | Ireland     | CC/CG/GG<br>7/11/3   | 40.5    | CC/CG/GG<br>224/111/14   | 19.9    | 0.006                     | 2.67<br>(1.38 – 5.16)         |
|                           | Italy       | CC/CG/GG<br>10/9/6   | 42.0    | CC/CG/GG<br>60/64/24     | 37.8    | 0.842                     | 0.92<br>(0.43 – 2.01)         |
|                           | Switzerland | CC/CG/GG<br>8/10/2   | 35.0    | CC/CG/GG<br>26/21/2      | 25.5    | 0.444                     | 1.42<br>(0.58 - 3.48)         |
|                           | Austria     | CC/CT/TT<br>41/14/0  | 12.7    | CC/CT/TT<br>289/45/1     | 7.0     | 0.040                     | 2.17<br>(1.04 – 4.55)         |
|                           | England     | CC/CT/TT<br>18/4/0   | 9.1     | CC/CT/TT<br>101/24/0     | 9.6     | 0.871                     | 0.90<br>(0.27 – 3.07)         |
| TM6SF2                    | Germany     | CC/CT/TT<br>19/2/1   | 9.1     | CC/CT/TT<br>112/24/0     | 8.8     | 0.691                     | 1.27<br>(0.39 – 4.09)         |
| rs58542926                | Ireland     | CC/CT/TT<br>13/5/2   | 22.5    | CC/CT/TT<br>298/48/2     | 7.5     | 0.004                     | 3.31<br>(1.47 – 7.44)         |
|                           | Italy       | CC/CT/TT<br>22/3/0   | 6.0     | CC/CT/TT<br>134/15/0     | 5.0     | 0.228                     | 2.47<br>(0.57 – 10.76)        |
|                           | Switzerland | CC/CT/TT<br>17/4/0   | 9.5     | CC/CT/TT<br>40/9/0       | 9.2     | 0.906                     | 1.09<br>(0.274.38)            |
|                           | Austria     | CC/CT/TT<br>19/25/11 | 42.7    | CC/CT/TT<br>107/172/53   | 41.9    | 0.995                     | 1.00<br>(0.63 - 1.58)         |
|                           | England     | CC/CT/TT<br>9/10/3   | 36.4    | CC/CT/TT<br>42/67/16     | 39.6    | 0.690                     | 0.86<br>(0.40 - 1.83)         |
| <i>MBOAT7</i><br>rs641738 | Germany     | CC/CT/TT<br>10/9/5   | 39.6    | CC/CT/TT<br>47/60/31     | 44.2    | 0.910                     | 0.97<br>(0.52 - 1.78)         |
|                           | Ireland     | CC/CT/TT<br>6/8/7    | 52.4    | CC/CT/TT<br>136/150/63   | 39.5    | 0.097                     | 1.66<br>(0.91 - 3.00)<br>2.99 |
|                           | Italy       | CC/CT/TT<br>2/15/7   | 60.4    | CC/CT/TT<br>52/66/30     | 42.6    | 0.015                     | 2.99<br>(1.23 - 7.25)         |

TABLE 2 Raw genotype counts and association analyses for the five SNPs of interest and the risk for developing cirrhosis in C282Y homozygotes, adjusted for age and sex, by individual study cohort

|            | Switzerland | CC/CT/TT<br>7/9/5 | 45.2 | CC/CT/TT<br>15/22/12 | 46.9 | 0.948 | 0.98<br>(0.48 - 1.99) |
|------------|-------------|-------------------|------|----------------------|------|-------|-----------------------|
|            | Austria     | /-A/AA<br>32/16/4 | 23.1 | /-A/AA<br>203/104/19 | 21.8 | 0.736 | 0.92<br>(0.55 - 1.53) |
|            | England     | /-A/AA<br>8/9/2   | 34.2 | /-A/AA<br>59/40/7    | 25.5 | 0.375 | 1.42<br>(0.65 - 3.11) |
| HSD17B13   | Germany     | /-A/AA<br>10/8/2  | 30.0 | /-A/AA<br>71/53/14   | 29.3 | 0.931 | 0.97<br>(0.46 - 2.05) |
| rs72613567 | Ireland     | /-A/AA<br>11/6/2  | 26.3 | /-A/AA<br>166/129/21 | 27.1 | 0.827 | 0.92<br>(0.43 - 1.97) |
|            | Italy       | /-A/AA<br>14/10/1 | 24.0 | /-A/AA<br>97/37/9    | 19.2 | 0.747 | 1.15<br>(0.49 - 2.70) |
|            | Switzerland | /-A/AA<br>17/4/0  | 9.5  | /-A/AA<br>25/21/3    | 27.6 | 0.024 | 0.23<br>(0.07 -0.82)  |

Genotype counts for the five investigated SNPs by case/control designation in the 1319 C282Y homozygotes from six European countries --listed alphabetically.

MAF: mean allele frequency; CI: confidence interval

<sup>+</sup> Odds ratios show the increase in risk of developing cirrhosis in C282Y homozygotes associated with carriage of each copy of the minor allele

Significance values in bold indicate *P* < 0.05

TABLE 3 Population-attributable fraction\* of each variant relating to the genetic risk of developing cirrhosis in C282Y homozygotes assessed both individually, and in combination in the entire population and in those in whom the case-control status was verified histologically

| Genetic variant                              | <sup>+</sup> Population Attributable Fraction<br>% [95% CI] |  |  |  |  |  |
|--|---|--|--|--|--|--|
| Entire population                            |   |  |  |  |  |  |
| <i>PCSK7:</i> rs236918                       | 5.6 [0.7-11.9]  |  |  |  |  |  |
| PNPLA3:rs738409                              | 13.8 [5.6–22.9]   |  |  |  |  |  |
| <i>TM6SF2</i> :rs58542926                    | 6.5 [2.0–12.7]  |  |  |  |  |  |
| <i>PCSK7 + PNPLA3 + TM6SF2</i><br>(combined) | 24.0 [8.1–40.7]   |  |  |  |  |  |
| Cohort with available liver histology^       |   |  |  |  |  |  |
| PCSK7:rs236918                               | 7.5 [0.3–18.3]  |  |  |  |  |  |
| PNPLA3:rs738409                              | 24.1 [3.3–46.6]   |  |  |  |  |  |
| <i>TM6SF2</i> :rs58542926                    | 10.1 [1.8–22.1]   |  |  |  |  |  |
| <i>PCSK7 + PNPLA3 + TM6SF2</i><br>(combined) | 36.8 [4.5–62.6]   |  |  |  |  |  |

\*Population-attributable fraction is an estimate of the proportion of cirrhosis cases in the population of C282Y that can be attributed to the exposure to the risk variants.

 \*Estimated from the pooled adjusted allelic odds ratio from the random-effects meta-analyses and the risk genotype frequency of the SNP
\*German cohort excluded from the risk calculation

#### LEGENDS TO FIGURES

- FIGURE 1 Proportion of C282Y homozygotes in whom case-control status was confirmed by examination of liver histology, by country cohort
- FIGURE 2 Forest plots of the fixed effects meta-analyses of the associations between the five SNPs of interest and the risk of developing cirrhosis in C282Y homozygotes, adjusted for age and sex, by country listed alphabetically

Abbreviations: OR: odds ratio; CI: confidence interval; weights %: relative cohort weight/importance;  $I^2$ : between cohort heterogeneity index;  $P_{het}$ .: P value of the Cochran's Q test for heterogeneity between cohorts

Weights %: is based on the variance in scores within a cohort; it closely relates to the sample size and is reflected in the size of the squares on the plot; weights are assigned under fixed effects and random effects models;  $I^2$  describes the percentage of variation across studies due to heterogeneity rather than chance. In the absence of inter-cohort heterogeneity ( $I^2 = 0$ ) the weights will be identical for both models

FIGURE 3 Forest plots of the fixed effects meta-analyses of the associations between the five SNPs of interest and the risk of developing cirrhosis in C282Y homozygotes with histological confirmation of their case-control status, adjusted for age and sex, by country listed alphabetically Abbreviations: OR: odds ratio; CI confidence interval; weights % relative cohort weight/importance;  $I^2$ : between cohort heterogeneity index;  $P_{het}$ .: P value of the Cochran's Q test for heterogeneity between cohorts

Weights %: is based on the variance in scores within a cohort; it closely relates to the sample size and is reflected in the size of the squares on the plot; weights are assigned under fixed effect and random effect models;  $I^2$  describes the percentage of variation across studies due to heterogeneity rather than chance. In the absence of inter-cohort heterogeneity ( $I^2 = 0$ ) the weights will be identical for both models

FIGURE 4 Carriage and co-carriage of the *PCSK7/PNPLA3/TM6SF2* risk variants in the 164 C282Y homozygotes cases with cirrhosis and the 1139 C282Y homozygotes controls without significant liver disease in whom complete genotypic information was available The proportion of cases and controls carrying either none of the variants of interest in *PCSK7, PNPLA3* and *TM6SF2*, or one of three, two of three or all three. Only 14.9% and 0.9% of the controls carried either two or three of the variants while these proportions increased significantly to 31.1% and 2.4% in the cases (P = 9.08 x 10<sup>-8</sup>, linear–by–linear trend test).