

## Research Space

Journal article

### **Conceptual model of sport-specific classification for para-athletes with intellectual impairment**

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1 Title: *Conceptual model of sport-specific classification for para-athletes with intellectual*  
2 *impairment*

3

4 Abstract:

5 The present paper describes the conceptual basis of evidence-based classification of para-  
6 athletes with intellectual impairment (II). An extensive description of the theoretical and  
7 conceptual foundation of the system as currently conceived is provided, as are examples of its  
8 applications in the three sports included in the Paralympic program for II-athletes in 2020  
9 (i.e., athletics, swimming and table tennis). Evidence-based classification for II-athletes is  
10 driven by two central questions: i. How can intellectual impairment be substantiated in a valid  
11 and reliable way, and ii. How does intellectual impairment limit optimal sport proficiency?  
12 Evolution of the system and current best practice for addressing these questions are described,  
13 and suggestions for future research and development are provided. Challenges of  
14 understanding and assessing a complex (multifaceted and intersectional) impairment in the  
15 context of sport also are considered.

16

17 Keywords: intellectual disability, Paralympic Sport, evidence-based classification, cognition,  
18 sport proficiency

19

## 20 **Introduction**

21 In today's highly complex world of sport, efforts to promote participation and fairness  
22 in competition are as important and fundamental as ever. Segmenting competitors by gender,  
23 age or weight are examples of approaches commonly used to achieve this aim. Within the  
24 Paralympic movement, classification is the vehicle intended to promote participation by  
25 minimizing the impact of eligible types of impairment on the outcome of competition  
26 (Tweedy and Vanlandewijck, 2011; IPC Classification Code art. 2.2). As para-athletes gain  
27 global recognition in international sporting communities and garner greater public attention,  
28 the need for transparent, defensible and equitable classification has intensified.

29 In the early days of the Paralympic movement medical (based on diagnosis) and  
30 functional (implications for physical performance) classification systems predominated.  
31 Mostly relying on expert judgement these systems were largely atheoretical and lacked  
32 evidence of the underlying relationship between impairment and sport proficiency, which  
33 over time raised substantive concerns about the appropriateness of these approaches (Tweedy,  
34 2002). These concerns were addressed in the development of the International Paralympic  
35 Committee's (IPC) Athlete Classification Code, first published in 2007 and revised to its  
36 current version in 2015 (IPC, 2015). The IPC Athlete Classification Code introduced the  
37 requirement for all-para sports to initiate multidisciplinary research to develop their own  
38 sport-specific system of classification, and the need for these systems to be evidence-based.  
39 An evidence-based system of classification requires substantiation of the sport specific effects  
40 of impairment and the minimum level of impairment at which this occurs as the criteria for  
41 eligibility (i.e., minimum impairment criteria).

42 Central to an evidence-based approach is the classification of athletes with eligible  
43 impairments according to scientific data demonstrating the resultant activity limitations in the  
44 sport being contested. This is to ensure a competitive structure in which athletic prowess (i.e.,  
45 the optimal combination of physical, psychological, technical, and tactical attributes), honed  
46 through high performance training, determines success—not underlying differences in  
47 degrees of impairment between competitors (Tweedy, Mann, & Vanlandewijck, 2017). To  
48 achieve these aims requires greater understanding of the relationship between impairment  
49 specific activity limitations across various sports and impairment types. Hence the impetus for  
50 research and development of evidence-based sport specific classification in contemporary  
51 Paralympic sport (Tweedy, Mann, & Vanlandewijck, 2017; Tweedy, 2002).

52 To facilitate understanding and consistent application of the core tenets of evidence-  
53 based classification, the International Paralympic Committee endorsed a Position Stand,  
54 written by Tweedy & Vanlandewijck in 2011. As the Position Stand was largely based on  
55 experience in classification of athletes with physical impairment, a new Position Stand on  
56 sport-specific classification of athletes with vision impairment was published in 2018 that  
57 addressed issues specific to athletes with vision impairment (Mann & Ravensbergen, 2018).  
58 Intellectual impairment (II), the third eligible impairment type within the Paralympic  
59 movement, is the focus of the current paper.

60 While ‘intellectual disability’ is the term commonly used internationally to denote the  
61 complexities of the impairment in interaction/intersection with environmental demands, we  
62 use ‘intellectual impairment’ to be consistent with the IPC’s evidence-based classification  
63 approach and the World Health Organisation’s International Classification of Functioning,  
64 Disability and Health (ICF). The ICF is the globally recognised framework for defining and  
65 measuring disability and health (WHO: ICF, 2001). The close taxonomic relationship between  
66 the ICF and Paralympic classification is described in the Position Stand by Tweedy and  
67 Vanlandewijck (2011), and adopted in the IPC Classification Code (IPC, 2015). Within the  
68 ICF framework a distinction is made between impairment and disability, with impairment  
69 being ‘a loss or abnormality of psychological, physiological, or anatomical structure or  
70 function’ and disability being ‘any restriction or lack (resulting from an impairment) of the  
71 ability to perform an activity in the manner or within the range considered normal for a  
72 human being’ (WHO, 2001).

73 At present, athletes with II participating in IPC sanctioned events, are limited to three  
74 Paralympic sports (i.e., athletics, swimming and table tennis). This is the artifact of the 2000  
75 Paralympic Games controversy in which a basketball team that included members without II  
76 won gold (Brittain, 2016; Burns, 2018). A resultant investigation revealed weakness in the  
77 overall eligibility system that prompted exclusion of the entire intellectual impairment group  
78 from IPC competition until two conditions were satisfied: (1) the eligible impairment  
79 governance procedures were proven valid and reliable; and (2) sport-specific criteria for the  
80 assessment of minimum impairment were developed and implemented in the sports targeted  
81 for re-inclusion. To achieve these requirements INAS (now re-branded VIRTUS) and the IPC  
82 established a joint research group comprised of researchers from a variety of disciplines and  
83 sport representatives with relevant expertise. The collective efforts of this group produced a

84 conceptual framework for a revised II classification system that was approved by the IPC  
85 General Assembly in Kuala Lumpur in 2009.

86 One of the major differences that distinguish II athletes from most other impairment  
87 groups in IPC sanctioned events is that they compete within a single class structure. This was  
88 a governance decision taken at the time to delimit the research group's scope and to  
89 accommodate practical games management issues (e.g., limited number of athlete slots  
90 available in the Paralympic Games). Consequently, classification of athletes with II is based  
91 on satisfying the eligibility requirements with no segmentation by severity of impairment  
92 currently. Researchers are, however, actively exploring whether the broad range of severity of  
93 intellectual impairment and its implications in the context of sport may substantiate the need  
94 for additional classes (see Gilderthorp, Burns & Jones, 2018; and Lemmy, Burns & Jones,  
95 2020 further on in this issue) . Intellectual impairment is associated with multifaceted  
96 complexities, apart from the impaired intellectual functioning, such as limitations in adaptive  
97 behavior, the high prevalence of co-morbidity (autism, attention-deficit-hyperactivity-  
98 disorder), and the psychological vulnerability of the II-population. Furthering knowledge in  
99 these areas and others that will be addressed in this paper reflect the ongoing evolution of II  
100 classification.

101 The theoretical and conceptual foundation of the II classification system as currently  
102 conceived, and examples of its applications in selected sports is the main focus in the present  
103 paper. We also reflect on questions requiring further inquiry and the challenges of applying  
104 evidence-based sport specific classification, which by definition must be dynamic and  
105 receptive to change, to an athlete group in which the impact of impairment is heavily  
106 contingent on context (e.g., their higher dependence on external support) and interactions of  
107 multiple influences (e.g., mental health issues and physical comorbidities).

108

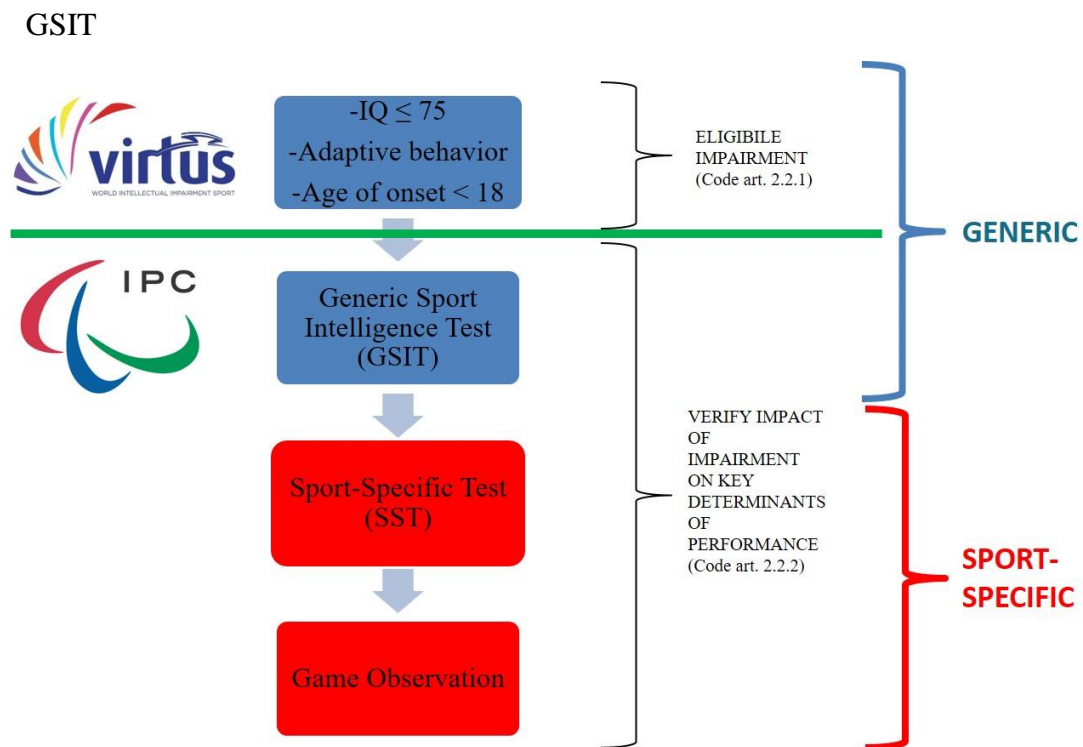
### 109 **The process of II-classification**

110 Determining eligibility of an athlete with II to compete in IPC sanctioned events,  
111 requires resolution of two fundamental questions: 1. Does the athlete have intellectual  
112 impairment according to international standards of assessment (see Figure 1 on top of the  
113 green line, i.e., eligible impairment), and 2. Does intellectual impairment impact on the  
114 athlete's proficiency in the contested sport (see Figure 1 below the green line, i.e., verify the

115 impact of impairment on key determinants of performance)? The conceptual approach for  
 116 resolving these two questions follows the four-phase process demonstrating eligibility for IPC  
 117 sanctioned events depicted in Figure 1.

118

119



120

121 *Figure 1. Four phases of the evidence-based system to demonstrate eligibility of athletes with*  
 122 *intellectual impairment in IPC sanctioned events, Code (IPC Athlete Classification Code).*

123

124 The first phase of the process (i.e., Eligible Impairment) concerns verification of the  
 125 athlete's impairment (i.e., does the athlete have an intellectual impairment?). This is required  
 126 by the IPC Athlete Classification Code (2015), which explicitly states (article 2.2.1) that an  
 127 athlete must have an eligible impairment to compete in the sport. There are ten impairments  
 128 recognized by the International Standard of Eligible Impairments of which II is one.

129 Additionally, all International Federations offering II sport recognize that the International  
 130 Organization for Sport for the Disabled (IOSD) responsible for governing the first phase of  
 131 the eligibility verification is VIRTUS (i.e., the IOSD for II athletes). Complying with phase 1  
 132 allows athletes to compete in VIRTUS sanctioned events. Competing in IPC sanctioned  
 133 events also requires evidence in response to the second question (i.e., whether intellectual

134 impairment impacts proficiency in the contested sport), which is the focus of the next three  
135 phases of the process, which are governed by the respective International Sport Federation.  
136 What follows is a detailed description of the four phases, including the contribution of each to  
137 addressing the questions of interest, and their interconnectedness. Strengths and limitations of  
138 this approach are presented along with the need for further research.

139

## 140 **Eligible Impairment**

141 Evidence of Eligible Impairment is the first step in the IPC classification process for  
142 athletes with II. VIRTUS manages this process via a rigorous system introduced in 2009 for  
143 assessing and verifying each athlete's portfolio of diagnostic evidence (Virtus, 2020).  
144 Consistent with the diagnostic criteria for II, each portfolio must provide evidence of  
145 impairment in intellectual functioning, deficits in adaptive behaviors, and onset during the  
146 developmental period, i.e., age 18 or younger (AAIDD, 2010). Intellectual functioning is  
147 usually assessed through an IQ measure. Results from a recognized and approved IQ test (not  
148 older than five years, and selected from a closed list of valid and reliable assessment tools)  
149 with a full-scale IQ score of 75 or lower must be included. Adaptive behavior is the  
150 combination of conceptual (e.g., communication), social (e.g., following rules) and practical  
151 (e.g., daily living) skills essential for functioning in everyday life (Schalock et al., 2010).  
152 Deficits in adaptive functioning need to be substantiated by a validated scale such as the  
153 Vineland Adapted Behaviour Scale (Sparrow, Cicchetti, & Saulnier, 2016), or if none is  
154 available, clinical observation. Adaptive behavior is culturally dependent and some countries  
155 do not have measures validated and normed for their population. In these cases, a defined  
156 observational schedule is used to directly assess the individual across a range of functional  
157 domains, which is further complemented by additional information drawn from other sources  
158 such as caregivers (Newton & McGrew, 2010). A documented development history also is  
159 required to show the age of onset to be before the age of 18. Athletes' portfolios are examined  
160 by a VIRTUS eligibility panel (independent from the IPC classification panel in the  
161 subsequent phases), who are professionals qualified in the diagnosis of II (e.g., certified  
162 clinical psychologists) and trained in the VIRTUS and IPC eligibility requirements. Each  
163 portfolio is independently evaluated by at least two panel members who must concur that the  
164 evidence provided in relation to the diagnostic criteria is conclusive for the athlete to be  
165 deemed eligible and accepted onto the VIRTUS master list. Inclusion on the master list is a

166 prerequisite for possible entry into VIRTUS Regional and World Championships. For athletes  
 167 to compete in IPC sanctioned events, additional eligibility procedures are required (i.e.,  
 168 phases below the green line shown in Figure 1).

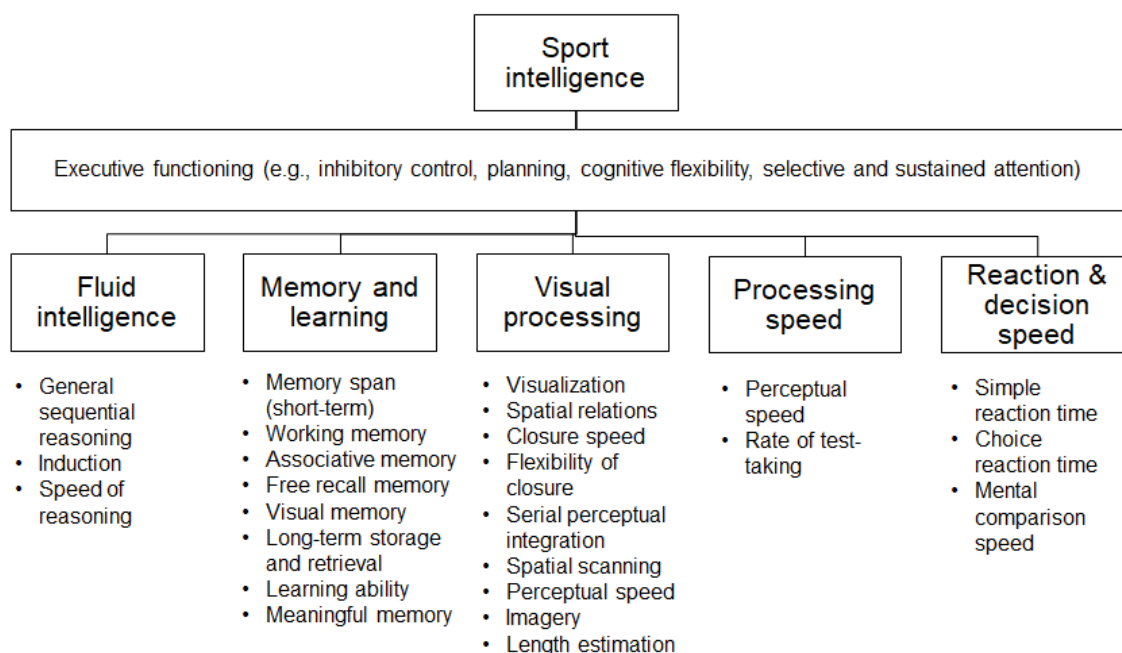
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## 170 **Minimum Impairment Criteria**

### 171 **Generic Sport Intelligence Test**

172 While IQ testing forms an essential part of the eligible impairment process for athletes  
 173 with II, the resultant IQ score is a general composite measure that lacks the precision needed  
 174 to clarify the relationship between cognition and activity limitations in sport. Hence, we  
 175 isolated components of IQ most likely to affect sport proficiency, which we have named  
 176 ‘Sport Intelligence’ (SI; see Figure 2). Our approach parallels calls in psychometric  
 177 intelligence research (McGrew, 2009; Newton & McGrew, 2010) to shift from reliance on  
 178 general IQ to an emphasis on discrete domains of cognitive functioning relevant to the area of  
 179 interest such as academic achievement (Newton & McGrew, 2010) or employee management  
 180 (Agnello, Ryan, & Yusko, 2015). In sport, van der Fels et al. (2015) applied a similar  
 181 approach to establish linkages between higher-order cognitive skills (e.g., fluid intelligence,  
 182 visual processing) and complex motor skills (e.g., bilateral body-coordination).

183



184



185 *Figure 2. Breakdown to conceptual framework of Sport Intelligence from the Cattell-Horn-*  
186 *Carroll Intelligence Framework*

187

188 The underlying framework we adopted to identify relevant categories of cognitive  
189 functioning, was the Cattell-Horn-Carroll (CHC) taxonomy (Schneider & McGrew, 2012),  
190 which is recognized as the most comprehensive and empirically supported psychological  
191 theory on the structure of human cognitive abilities (McGrew, 2009; Newton & McGrew,  
192 2010). According to CHC taxonomy, there are 10 broad domains of cognitive abilities, which  
193 range from Fluid Reasoning, defined as ‘the deliberate but flexible control of attention to  
194 solve novel problems that cannot be performed by relying exclusively on previously learned  
195 habits; to Reaction and Decision Speed, defined as ‘the speed of making very simple  
196 decisions or judgments when items are presented one at a time.’ (McGrew, 2009). From the  
197 10 broad domains in the CHC, five with major relevance to sport proficiency were identified  
198 through a rigorous literature review and extensive consultation with international expert  
199 panels comprised of leading authorities in contemporary intelligence research and II-sport  
200 (Van Biesen, Mactavish, McCulloch, Lenaerts, & Vanlandewijck, 2016). The five relevant  
201 cognitive ability domains included fluid intelligence, memory and learning, visual processing,  
202 processing speed and reaction and decision speed (see Figure 2 for an overview of the  
203 domains and cognitive abilities). Detailed information regarding the domains, including  
204 definitions for all components and subcomponents can be found in the paper by McGrew  
205 (2009). A similar investigation was performed independently by another team of researchers,  
206 which confirmed our results and provides support for the validity of our model (Van der  
207 Wardt, Bandelow, & Hogervorst, 2011).

208 From a neuropsychological viewpoint, executive functioning—a set of higher order  
209 cognitive skills that governs thinking—was added to the model as an important overarching  
210 concept that bridges cognitive abilities (Ardila, Pineda, & Rosselli, 2000). Examples of  
211 executive functioning include: problem solving, planning, sequencing, selective and sustained  
212 attention, inhibition, cognitive flexibility, and the ability to deal with novelty (Chaddock,  
213 Neider, Voss, Gaspar, & Kramer, 2011). Further support for this approach comes from the  
214 work of Vestberg, Gustafson, Maurex, Ingvar, and Petrovic (2012) showing that executive  
215 functioning has potential as a predictor of success in sport. They demonstrated that several  
216 executive functions (e.g., working memory, inhibition) are associated with success on the

217 pitch (e.g., goals scored, decisive passes) in elite soccer, even when other factors that could  
218 affect soccer performance (e.g., age, length, IQ) were controlled.

219 To operationalize and assess the concept of SI, a Generic Sport Intelligence Test (GSIT)  
220 was developed (Van Biesen, Mactavish, et al., 2016; Van Biesen, McCulloch, Janssens, &  
221 Vanlandewijck, 2017). As the name implies, the GSIT is a generic assessment that all athletes  
222 undergo as part of the eligibility verification process, no matter what sport they are competing  
223 in. As such, Generic Sport Intelligence is defined as “The impact of cognitive abilities on  
224 general sport performance, measured in a generic way, i.e., independent of the specific sport  
225 discipline”. The focus is on those cognitive abilities that are relevant in a broad sport-context.  
226 A generic test is essential in this context as generic performance is unlikely to be affected by  
227 high-volume sport training (i.e., not targeted by high-volume sport specific training).

228 The GSIT is currently comprised of seven subtests. Three are predominantly speed-  
229 based, with each subtest increasing the cognitive demand: simple reaction time test, choice  
230 reaction time test, and Flanker test. Four predominantly content-based subtests include the  
231 Corsi Block-Tapping Test (working memory), the Wasi Block Design test (Spatial Reasoning  
232 and Pattern Recognition), the Wasi Matrix Reasoning test (Fluid Reasoning and Visual  
233 Processing), and the Tower of London Test (Planning, Executive Functioning). The finger-  
234 tapping test was added to the GSIT as an additional test (on top of the seven main tests) to  
235 control for psychomotor speed and/or potential motor deficits. Detailed subtest descriptions,  
236 including psychometric properties, are available (Van Biesen, Mactavish, et al., 2016).  
237 Athletes are instructed to perform at the best of their ability for all subtests, with mechanisms  
238 in place to verify maximal effort. The GSIT is done twice on different occasions to search for  
239 consistency before a confirmed classification status can be given to the athlete. If classifiers  
240 suspect sub-optimal performance, the athlete and the coach are given a warning, and the  
241 classifier notes such performance issues to be considered in decision-making. Other  
242 mechanism to detect sub-optimal performance are discussed later in this paper.

243

## 244 **Sport-Specific Testing**

245 To fulfill the IPC requirement for sport-specific eligibility criteria, we shift to the third  
246 phase of the process depicted in Figure 1, sport specific testing (SST) of key determinants of  
247 sport proficiency that are cognitively driven. Identifying and selecting these determinants

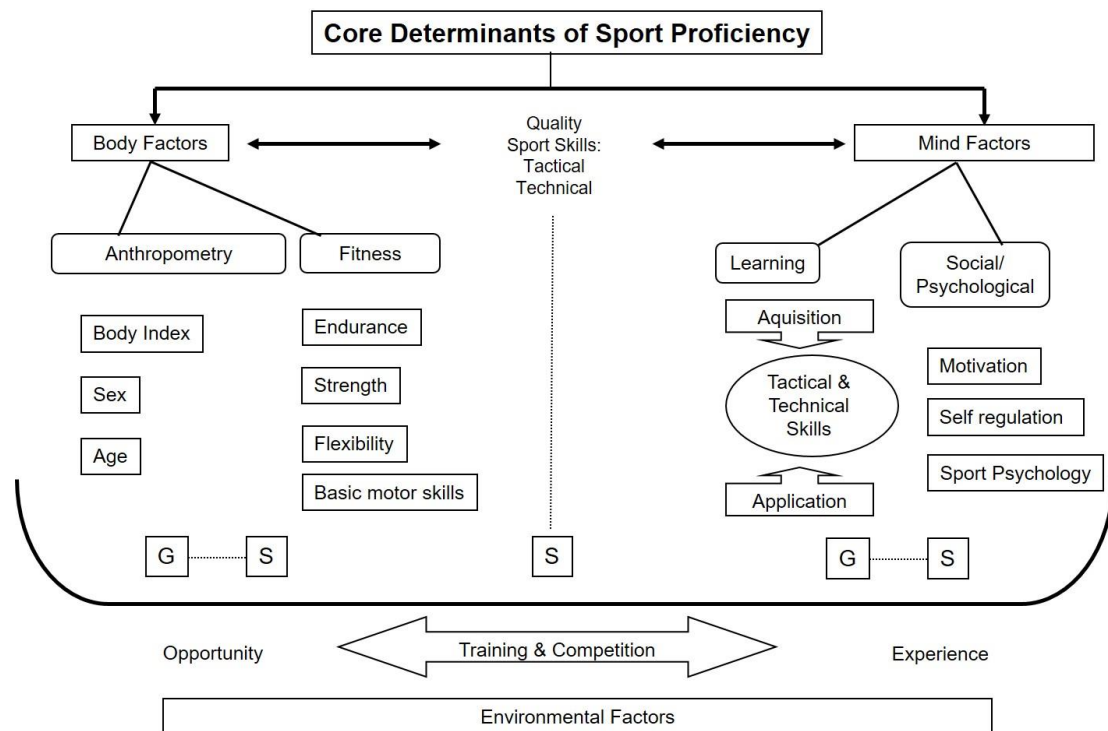
248 across a range of sports with varying cognitive demands is a major challenge as research in  
249 this area is limited (Burns, 2015). To guide this process, we developed a framework that  
250 builds on the work of Williams and Reilly (2000) and Philippaerts et al. (2001), by  
251 incorporating extant knowledge about the multidimensional factors that provide an  
252 interactional foundation for proficiency in sport (see Figure 3).

### 253 **Theoretical framework of key determinants of sport proficiency**

254 The core determinants of sport proficiency depicted in Figure 3 are segmented into two  
255 main components, i.e., body factors and mind factors. The body factors, shown on the left side  
256 of the model, represent the physical potential of the athletes, including their anthropometry  
257 and physical fitness. The mind factors, shown on the right side of the model, include key  
258 elements such as the cognitive ability to apply learning across different contexts, generally  
259 and in sport-specific high-performance games or race situations. In the middle of the model,  
260 “Quality Sports Skills: Tactical & Technical” signify the interaction of body and mind factors  
261 in executing the skills fundamental (technical and tactical) to sport proficiency. Technical  
262 proficiency is concerned with how well an athlete performs the skills needed for success and  
263 tactical proficiency includes competencies such as selection and use of appropriate strategy,  
264 and ability to make adjustments according to changing environmental demands. In the model,  
265 the distinction between the acquisition of skills and the application of skills is emphasized.  
266 For athletes with II, learning and applying knowledge across contexts (e.g., different sports,  
267 training versus competition) is often challenging and typically delayed when compared to  
268 age-matched peers without II (Peltopuro, Ahonen, Kaartinen, Seppälä, & Närhi, 2014). It is  
269 expected that deficits in higher order cognitive skills and impaired executive functions (e.g.,  
270 cognitive flexibility, response inhibition, planning) play a dominant role as well.

271

272



273

274 *Figure 3. Theoretical framework of the determinants of sport proficiency (adapted from*  
 275 *Williams and Reilly, 2000). (G = General, S= Sport Specific).*

276 This holistic framework of determinants of sport proficiency (Figure 3) clearly indicates  
 277 the multiplicity and complexity of sport proficiency that researchers need to take into account  
 278 when developing a classification system for their own sport or discipline. Before such a  
 279 system in any given sport or discipline can be developed, experts should be consulted to  
 280 identify key determinants of proficiency in their sport and the cognitive load of each. In a  
 281 sport like athletics, for example, fast twitch muscle fibers and explosive strength (body  
 282 factors) are crucial for reaching and maintaining maximal velocity in sprinting, whereas  
 283 pacing ability (mind factor) is more important in middle and long distance events (Abbiss &  
 284 Laursen, 2008). Several cognitive elements are crucial within pacing; these include the ability  
 285 to think and visualize race organisation in advance, to interpret and manage fatigue, and to  
 286 accurately judge and react (or not react) to the actions of opponents (Smits, Pepping, &  
 287 Hettinga, 2014).

288 The “G” and “S” boxes on both sides of the model illustrate our need to understand how  
 289 activity limitations of II apply in sport “generally” (G) and “specifically” (S). It is known for  
 290 example that II-athletes, even elite performers, are generally dealing with impaired motor  
 291 coordination, which can affect all life domains, including sport—hence it is considered a

292 general limitation (G). The significance of impaired motor coordination will vary by the  
293 demands of the sport (e.g., athletics running versus table tennis) and, as such needs to be  
294 considered in specific (S) applications to the sport being investigated. Further complexities  
295 are introduced when the sport is highly technical (e.g., rotational throws in shot put). As such,  
296 it is necessary to consider how activity limitations associated with the underlying impairment  
297 influence proficiency in general and in sport-specific ways.

298       Once the key determinants of proficiency in a specific sport are identified, the next step  
299 involves investigating how impairment impacts those determinants. When looking at athletes  
300 with II, this impact can be expressed in multiple ways. Basketball is an excellent sport for  
301 illustrating the direct impact of II on decision-making, which is critical to quick and accurate  
302 responses needed for success in dynamic and fast-paced games. Environmental factors  
303 (depicted at the bottom of Figure 3) are important considerations that reflect indirect  
304 challenges of the impairment on key determinants of sport proficiency. Examples of these  
305 contextual/external influences relevant for athletes with II are the opportunities for optimized  
306 quality and quantity of training, access to elite level coaches, and experience. According to  
307 the Position Stand (Tweedy & Vanlandewijck, 2011), evidence-based classification must  
308 isolate the direct effects of the underlying impairment and disentangle these from enhanced  
309 proficiency attributable to other sources (i.e., training quality, volume, intensity, duration).  
310 The minimum impairment criteria should be set likewise, with direct impact of impairment on  
311 activities fundamental to the sport being the only threshold acceptable for inclusion. While  
312 this is the strictly adhered to standard, this stance does not reflect the full spectrum of  
313 considerations required to optimize athlete development and achievement. This omission is  
314 problematic in II-sport, similar to VI-sport (Mann & Ravensbergen, 2018), as it fails to  
315 acknowledge the fundamental impact these types of impairment have on skill acquisition and  
316 maturation during training (Capiro, Poolton, Sit, Eguia, & Masters, 2013). In other words, the  
317 developmental nature of the II has a culminate and interactional impact on the acquisition of  
318 skills and problem solving abilities over time reducing the capability of the individual to  
319 optimize their learning capacity and ultimately the positive impact of training.

320

321

322 **Competition observation**

323 The IPC Athlete Classification Code (2015) requires all athletes, independent of  
324 impairment type, to be assessed using standardised methods, in a controlled, non-competitive  
325 environment that allows for the repeated observation of the key tasks and activities required  
326 for classification. When necessary, these observations may be cross-checked by classifiers  
327 during competition to confirm the standardised results before finalizing the classification  
328 outcome. In the context of II-classification, athletes' abilities in non-competitive and  
329 competitive contexts are compared as part of the standard procedure. This is done to enhance  
330 the sensitivity of the procedure, and as a mechanism for assessing maximal effort. The  
331 decision to adopt this approach was necessary as variations in proficiency across contexts is a  
332 common artifact of II (Van Biesen, Mactavish & Vanlandewijck, 2014b). Differences in  
333 competition versus pre-competition situations (e.g., presence and level of opponents,  
334 coaching, familiarity of environment) may exacerbate this variability as can a range of  
335 internal factors (e.g., stress, anxiety). Stress coping difficulties are commonly associated with  
336 II (Blasi, Elia, Buono, Ramakers, & Nuovo, 2007; Hartley & MacLean Jr, 2005), which can  
337 have significant negative effects on performance and problem-solving capacity of these  
338 athletes. Additionally, classifiers need to be aware of, and recognize how limitations in  
339 adaptive behavior (which is a defining element of II) maybe expressed in order to observe this  
340 during competition.

341 To verify pacing ability of athletes during competition, individual split-times and  
342 corresponding position in the competitive field can be registered. This approach enables  
343 assessment of how athletes allocate their energy during the race, and to compare this with  
344 optimal pacing profiles (i.e., comparison with Olympic or IAAF World championships final  
345 races and world-record races) (Van Biesen, Hettinga, McCulloch, & Vanlandewijck, 2016).  
346 An even more straightforward approach is taken in shot put and long jump, where the same  
347 observation protocols to assess maturity of the movement execution during the sport-specific  
348 field test are used to analyze and compare the execution during competition (Van Biesen,  
349 McCulloch, & Vanlandewijck, 2017).

350

### 351 **Intentional misrepresentation.**

352 Intentional misrepresentation is defined in the Classification Code (IPC, 2015) as a  
353 deliberate attempt to mislead the classifiers as to the existence or extent of skills relevant to  
354 the Sport, or the degree of Eligible Impairment. It is an on-going concern for all athlete

355 classification and remains so for athletes with II. Apart from observation as a control  
356 mechanism for maximal effort during sport-specific testing, there are several other ways  
357 within the II-eligibility process to account for the possibility of this behavior. Finger-tapping,  
358 one of the tests within the GSIT, has been used for detecting ‘malingering’ within clinical  
359 assessments (Axelrod, Meyers, & Davis, 2014). The finger-tapping test within the GSIT  
360 provides not only a highly sensitive measure of reactivity over time, but also comparative data  
361 between dominant and non-dominant hands. A pilot test in which students were instructed to  
362 underperform has demonstrated the potential of this test to detect purposeful  
363 misrepresentation (Ockerman & Van Hove, 2016). Further testing is required to confirm this  
364 utility among participants with II.

365

### 366 **Assessing key determinants of proficiency within specific II-sports**

367 As highlighted throughout the previous sections, the development of the sport-specific  
368 measures for II-eligibility primarily focus on cognitively driven factors (mind factors) of  
369 performance. For the sports currently included in the Paralympic program, sport-specific tests  
370 were developed with this approach in mind. For some sports, table tennis for example, the  
371 cognitive load is more readily apparent and testable than in other sports such as athletics  
372 (Elferink-Gemser et al., 2018), which is reflected in the amount of research that has informed  
373 test development to date.

374 During table tennis matches, players repeatedly make decisions about services and returns,  
375 spin control, velocity and ball placement. To perform well, a player needs to anticipate the  
376 actions of the opponent, and recognize the meaningful cues in the context of the game,  
377 deciding in a split second the action to take, and executing the appropriate response. These  
378 game attributes demand technical and tactical proficiency, which was the initial focus of  
379 research on sport-specific testing of II-players. A standardized tactical proficiency test that  
380 concentrated on service-return execution was developed because this was judged to be the  
381 central determinant of success by a panel of table tennis experts. The score on this test was a  
382 composite of return accuracy (where to place the ball), quality of decision (appropriate stroke  
383 selection), and return-effectiveness (direct or indirect winner following the return). When  
384 applicable (i.e., when no direct or indirect winner was scored), the variation during the rally  
385 was also taken into account (Van Biesen, Mactavish, & Vanlandewijck, 2014a). A technical  
386 observation protocol also was developed to assess the maturity level of the various types of

387 table tennis strokes (i.e., smash, topspin, backspin, etc.), expressed as a percentage of the fully  
388 mature execution. Controlling for technical proficiency was required to accurately interpret  
389 tactical proficiency as a table tennis player might know the correct response for the situation,  
390 but may lack the technical proficiency to execute that response.

391 In athletics, identifying the cognitive determinants of proficiency across the various  
392 disciplines is more complex than in table tennis. In running events, it was hypothesised by  
393 experts that shorter distances (e.g., 100m sprint) would be less cognitively demanding than  
394 distance events (e.g., 1,500m) where tactical skills (impulse control, pacing) that are  
395 cognitively driven are essential for optimal performance. As such, the 1,500m was among the  
396 initial events selected for II-competitors, with pacing ability being the focus of sport-specific  
397 proficiency testing. A standardised field-test was developed that required trained runners with  
398 II to maintain a pre-determined submaximal running speed without external prompting (i.e.,  
399 self-regulation) (Van Biesen, Hettinga, McCulloch, & Vanlandewijck, 2017). In the field  
400 disciplines (e.g., shot put and long jump), identifying core determinants of proficiency that are  
401 directly cognitively driven was more challenging (Van Biesen, McCulloch, & Vanlandewijck,  
402 2017). Given the complex, dynamic and multi-sequenced nature of these events, technical  
403 proficiency was the object of assessment. In shot put and long jump, this was operationalized  
404 by evaluating how closely the technical execution approximated a fully ‘mature’ or optimal  
405 movement, and the consistency of replication across multiple, maximal field testing efforts.  
406 The observation protocols used in the field-testing were developed in collaboration with high  
407 level experts and coaches in athletics.

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#### 411 **Determining minimum impairment criteria based on evidence collected during the** 412 **classification process**

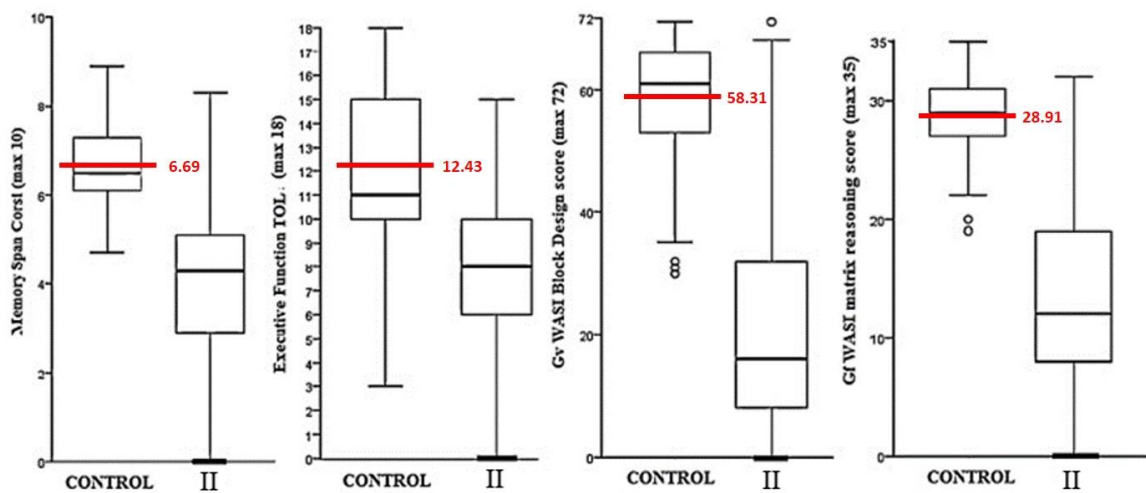
413 Once the measures for verifying the impact of impairment on relevant determinants of  
414 sport proficiency were validated, cut off thresholds were needed for determining inclusion in  
415 the II class. The cut off scores for the cognitive and executive function GSIT subtests were  
416 identified using comparison data, as shown in Figure 4 (Van Biesen, Mactavish, et al., 2016).



417 The box plots show how the data are distributed across 468 elite international athletes with II  
418 and a control group of 162 non-II athletes with similar sport, age, and training volume.

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422 *Figure 4. Box plot comparing athletes with II to non-II athletes for the four content-based*  
423 *subtests of the generic sports intelligence test; adapted with permission from Van Biesen et*  
424 *al., 2016.*

425 The cut-off thresholds were established by comparing the data distribution (mean and  
426 variation) of athletes with II to a large normative sample of equally well-trained athletes  
427 without II. The percentage of overlap was calculated between the II and non-II samples for  
428 each subtest, and the cut-offs were retrieved from that percentage of overlap. For the four  
429 subtests depicted in Figure 4, the cut-off score is indicated by means of a red horizontal line.

430 During the classification process, athletes receive a score of one or zero: 1 for scoring  
431 above the cutoff score for the subtest or zero if scoring below the cutoff. To allow for natural  
432 variance, which the comparison data sets shows to occur, a score above the cut off on one of  
433 the GSIT subtests was admissible, but beyond that would result in ineligibility based on the  
434 GSIT.

435 Five of the seven GSIT subtests are factored into decision-making (i.e., the four tests  
436 depicted in Figure 4 and the Flanker Test). Simple reaction time and choice reaction time, are  
437 used to familiarize the athletes with the equipment and to ease into the more complex tests.

438 Results of these two tests are not considered in the decision-making process as they lack  
439 sufficient sensitivity to discriminate between athletes with and without II (Van Biesen,  
440 Mactavish, et al., 2016). Ineligible athletes on the GSIT may complete the SST, to enable a  
441 complete assessment of the athlete's proficiency profile.

442 During SST thresholds for decision making also were established. For example, in the  
443 athletics pacing test target time thresholds were set at 80% of the athlete's personal best in the  
444 1500m race. The athlete's ability to pace was then tested over a number of trials and the  
445 deviation from the expected target measured. Statistical norms were set for this deviation and  
446 the athlete scores one or zero depending on whether they score within or outside of these  
447 norms. To be eligible an athlete must score within the expected ranges on the SST. The results  
448 of these tests are then verified by structured observations carried out in-competition. In table  
449 tennis, a similar approach is used, with standardised testing of technical and tactical skills pre-  
450 competition and verification of the results by structured observations carried out in-  
451 competition (Van Biesen et al., 2014b). The scores across the GSIT, the SST and the in-  
452 competition observation provides a profile of the athlete for these components of  
453 classification.

454 A Training History and Sport Activity Limitations (TSAL) questionnaire is completed  
455 for all athletes, and contains information on the training history and experience of the athlete.  
456 This information provides useful context that buffers highly proficient athletes from being  
457 penalised for years of dedicated training.

458 The classification panel considers the results and observations from all stages of the  
459 athlete evaluation process (Eligible Impairment, GSIT, SST, Competition Observation and  
460 TSAL) into their decision-making. This is done by following the procedures as written in the  
461 respective sport-specific manuals (e.g., World Para Athletics, 2019). Classification decisions  
462 (inclusion/exclusion) are built mainly, but not exclusively, on the empirical evidence collected  
463 through the GSIT and SST. The classification panel can access other sources of athlete data  
464 (e.g., TSAL, Eligible Impairment information and Competition Observation) to facilitate their  
465 decision-making. For example, if the GSIT results raise questions, the classification panel  
466 may consult the Eligible Impairment assessment information (e.g., subtests of the WASI and  
467 some subscores on the original IQ tests) as one would expect a relationship between some of  
468 these elements and the GSIT. The TSAL data also can be used in the process and while not  
469 sufficient for changing the status of a classification decision it can trigger a review when the

470 classifiers judge the training history (frequency, duration, intensity) insufficient to account for  
471 the athlete's current level of performance.

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### 473 **Enhancing the quality of evidence-based classification**

474 Evidence-based classification must continuously evolve as new knowledge emerges,  
475 and classification procedures reviewed as part of an on-going cycle of quality enhancement.  
476 Our original conceptual approach has morphed with on-going research, systems have been  
477 revised, and areas for future research, expansion and enhancement identified. This evolution  
478 was bolstered by the IPC's 2013 recognition of the Adapted Physical Activity unit at KU  
479 Leuven as the "International Classification Research and Development Centre for Athletes  
480 with Intellectual Impairments" as the coordinating catalyst for furthering research,  
481 development and optimisation of the II-classification system.

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483 One part of the eligibility procedure that has been closely scrutinised and revised over  
484 time is the GSIT. Presently available evidence supports the use of the current GSIT (for more  
485 details on psychometric see Van Biesen, Mactavish, et al. (2016); and Van Biesen,  
486 McCulloch, Janssens, et al. (2017)). All relevant aspects of the sport intelligence model are  
487 incorporated in the GSIT (see Figure 2), and each of the subtests have sound psychometric  
488 properties, and discriminate well between athletes with and without II. The current version is  
489 not the end point, however, as research is currently ongoing to further improve its validity,  
490 and ecological validity (i.e., more closely related to the dynamic and complex environment of  
491 sport). For example, we are exploring other potential executive functioning tests (e.g., color  
492 trail making test) and more dynamic visual search tests (e.g., multiple object tracking).

493

494 Another line of investigation related to the GSIT is refining how scores are factored  
495 into the classification decision-making process. The current cut-offs were established based  
496 on average scores from a large normative sample; which provided a reasonable starting point  
497 as the cognitive profiles of the norm-groups did not significantly vary across sports. With  
498 further research since that time and the availability of larger data sets, further analysis should  
499 be done to explore the sensitivity of the scores compared to a standard score, how these look  
500 in relation to sport-specific performance criteria, and whether the impact differs by sport (i.e.,  
501 sports with different cognitive loads)

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The possible use of cognitive-motor dual-task paradigms also is currently being investigated to replace some of the cognitive tests that are not sensitive enough to discriminate between samples of athletes with and without II when measured in isolation (single task). Cognitive-motor dual-tasking is a novel test-approach, in which researchers examine how athletes allocate their cognitive and attentional resources while performing two or more tasks at the same time. Dual-tasking creates a more realistic testing environment, as it resembles the actual context of sport, where two or more tasks are performed simultaneously at all times (e.g., maintaining optimal speed and proper technique while judging the appropriate time to initiate the turning point in swimming). While executing two or more task simultaneously, the brain needs to constantly decide how to allocate the available cognitive resources, and as individuals with II have limited cognitive resources, this is expected to be more challenging compared to athletes without II (Mikolajczyk, E., & Jankowicz-Szymanska, A., 2015).

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Another line of investigation to strengthen the current system is the work on adaptive behavior and its impact on sport proficiency. As mentioned earlier, adaptive behavior is one of the diagnostic criteria for II, and verified during the eligible impairment phase. However, during the subsequent phases of the process, the impact of adaptive behavior on key determinants of sport proficiency is not considered, and the focus is exclusively on the assessment of cognitive functions (i.e., generic and sport-specific sport intelligence). Paralleling our approach to identifying elements of intelligence specific to sport, efforts are currently underway to define ‘Sport Adaptive Behavior’ and approaches (generic and sport-specific) to measuring adaptive behavior and its impact in sport.

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Basketball has been mentioned in this paper as a sport with high cognitive demands. Despite II-basketball not being included in the Paralympic program, it is the sport with the longest and most complete history of evidence-based classification research (Arbex, Pérez-Tejero, & Van Biesen, 2017; Pinilla Arbex et al., 2016; Pinilla, Pérez-Tejero, Van Biesen, & Vanlandewijck, 2015, 2016; Polo, Pérez-Tejero, Pinilla, & Coterón, 2017). As the high cognitive demands of team-sports such as basketball are apparent, and because basketball is a very popular sport among people with II, with high participation numbers, it has been used as an example sport to guide the research towards the development of sport-specific measures of tactical proficiency. On-court (real game play) and off-court (computerised) decision-making

535 tests were developed to assess basketball-specific speed and accuracy of decision-making.  
536 The high-level adult II-basketball players performed below the decision-making level of  
537 young basketball players (under 12 years old) playing in regular (able-bodied) basketball  
538 competitions (Pinilla et al., 2020, in press).

539 Various other sports have shown interest in developing their own evidence-based  
540 systems of classification for II-athletes (e.g., taekwondo, equestrian, rowing, hockey)  
541 (Vivaracho, Vanlandewijck, & Van Biesen, 2018). Some are interested in future inclusion in  
542 the Paralympic movement, and others in VIRTUS. In winter sport, for example, cross-country  
543 skiing is currently being considered for potential inclusion in the Paralympic Winter Games.  
544 In a pilot study, Blomqvist, Van Biesen, and Vanlandewijck (2018) demonstrated that  
545 impaired cognition constrains the ability to select the optimal gear (i.e., skiing technique)  
546 according to the characteristics of the slope, which is a key determinant of cross-country  
547 skiing proficiency. More research is needed, to evaluate other key determinants of cross-  
548 country proficiency such as pacing, but the preliminary results of the studies look promising  
549 for the development of a solid cross-country classification system.

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## 551 **Discussion**

552 In their recent paper addressing the evolution and development of best practice in  
553 Paralympic classification, Connick et al. (2018) concluded that not only should a system be  
554 scientifically valid, but that it should a) be successfully translated into practice, b) that these  
555 practices be acceptable and feasible and that c) Paralympic stakeholders support and  
556 understand the system. The system developed for demonstrating eligibility of para-athletes  
557 with II has a growing body of supporting scientific evidence. It has been translated into  
558 practice and is supported by ongoing research leading to further refinement and enhancement.  
559 Some areas require further research and some require a means of balancing the time needed to  
560 establish scientifically credible systems and the practical interests and demands of  
561 organizations to advance sport participation and competitive opportunities. Aligning these  
562 priorities with the way that research priorities evolve and are funded remains an area of  
563 tension that needs to be acknowledged and solutions sought.

564 Working with athletes of diverse cognitive abilities, verbal competencies, linguistic  
565 and cultural backgrounds places added demands to selecting the best scientifically available

566 tests and instruments. The resulting classification system we believe is a good fit between  
567 these demands, but also raises additional research questions, of relevance wider than  
568 Paralympics classification, such as the influence of western and eastern forms of written  
569 language on neurological skills such as pattern recognition. In terms of feasibility,  
570 classification takes place around the world, usually at sporting events, within tight time  
571 frames and financial constraints, and requiring immediate results. These practical realities  
572 again necessitate a compromise between scientific best practice and feasibility. The II  
573 classification system developed is portable, immediate, efficient and trainable in terms of  
574 recruiting classifiers with appropriate levels of expertise. In general, the Paralympic  
575 stakeholders have been very supportive of the approach taken to II classification, however,  
576 one area which perhaps needs further development is the translation of this work to be fully  
577 comprehensible by every athlete with II. Currently there is no real procedure in place to  
578 provide a simple introduction to the entire process and its implications in easy and plain  
579 language for the athletes.

580         There are many positives to engaging in evidence-based classification research over  
581 and above the resulting robust classification system and the further inclusion of athletes with  
582 II in high level sports competition. One specific gain is the advancement of knowledge  
583 through bringing together interdisciplinary research and practice expertise. Classification for  
584 II athletes has acted as a focal point between disciplines such as sports science, sports  
585 psychology, neuropsychology, and clinical psychology, together with coaching expertise in  
586 different sports. A second gain has been to potentially contribute to knowledge and scientific  
587 enquiry outside of Paralympic classification to areas such as talent identification and  
588 enhancing performance. Insights originating from the work in II-classification can generate  
589 understanding of how sport expertise is linked to cognition and how superior cognitive and  
590 executive functions might contribute to excelling in sport.

591

## 592 **Conclusion**

593         The current best practice regarding sport specific classification for para-athletes is  
594 based on an original conceptual model set out in this paper. The system has its own  
595 distinctiveness related to the specific impairment group under investigation. There is a  
596 growing body of research substantiating each element of the process. As research and practice

597 is an iterative process, we believe that as new evidence emerges maintaining quality requires  
598 continuous review and improvement of the system in place.

599 Evidence-based is the only way forward for classification, if we want to meet the  
600 moral obligations to the athletes for fair and transparent processes and systems. Classification  
601 procedures should be the result of an on-going cycle of quality enhancement, to meet these  
602 requirements and also meet the needs of a disadvantaged population that have limited  
603 opportunities to speak with its own voice, whilst demonstrating world class sporting  
604 performance.

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775

776 Figure Captions

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778 *Figure 1. Four phases of the evidence-based system to demonstrate eligibility of athletes with*  
779 *intellectual impairment in IPC sanctioned events.*

780 *Figure 2. Breakdown to conceptual framework of Sport Intelligence from the CHC*  
781 *Framework*

782 *Figure 3. Theoretical framework of the determinants of sport proficiency (adapted from*  
783 *Williams and Reilly, 2000). (G = General, S= Sport Specific).*

784 *Figure 4. Comparison data for the four content-based subtests of the generic sports*  
785 *intelligence tests, reprinted with permission from Van Biesen et al., 2016.*

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