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## **Predictive processing during a naturalistic statistical learning task in ASD**

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1 **Predictive processing during a naturalistic statistical learning task in ASD**

2 2. Language Segmentation in ASD

3

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### Abstract

Children’s sensitivity to regularities within the linguistic stream, such as the likelihood that syllables co-occur, is foundational to speech segmentation and language acquisition. Yet, little is known about the neurocognitive mechanisms underlying speech segmentation in typical development and in neurodevelopmental disorders that impact language acquisition such as Autism Spectrum Disorder (ASD). Here, we investigate the neural signals of statistical learning in 15 human participants (children ages 8-12) with a clinical diagnosis of ASD and 14 age- and gender-matched typically developing peers. We tracked the evoked neural responses to syllable sequences in a naturalistic statistical learning corpus using magnetoencephalography (MEG) in the left primary auditory cortex, posterior superior temporal gyrus, and inferior frontal gyrus, across three repetitions of the passage. In typically developing children, we observed a *neural index of learning* in all three regions of interest, measured by the change in evoked response amplitude as a function of syllable *surprisal* across passage repetitions. As surprisal increased, the amplitude of the neural response increased; this sensitivity emerged after repeated exposure to the corpus. Children with ASD did not show this pattern of learning in all three regions. We discuss two possible hypotheses related to children’s sensitivity to bottom-up sensory deficits and difficulty with top-down incremental processing.

70 **Significance Statement**

71 Language acquisition involves segmenting the continuous speech stream into sounds, syllables,  
72 and words. Learning these units relies on both the properties of the input, as well as emerging  
73 high-order cognitive mechanisms that guide learning from the top-down. We examined the  
74 neurobiology underlying the integration of top-down and bottom-up information in statistical  
75 speech segmentation in children with and without ASD. We offer evidence of neural and  
76 behavioral effects of syllable-to-syllable processing in speech segmentation that differ in  
77 typically developing children from children with a clinical diagnosis of ASD. Our findings  
78 inform developmental and cognitive theories of language acquisition by examining the  
79 computational nature of speech segmentation across different populations of learners.

80

**Introduction**

81 Language acquisition involves segmenting continuous speech into sounds, syllables, and  
82 words. By detecting statistical regularities in the input, learners can incrementally anticipate  
83 upcoming information for subsequent word learning. For instance, after two minutes of exposure  
84 to a foreign language, infants begin to identify statistically frequent syllable sequences and treat  
85 those as labels for novel objects (e.g. Hay et al., 2011). Learning the linguistic units relies on the  
86 properties of the input; it is a bottom-up driven cognitive process. In parallel, experience and  
87 high-order cognitive mechanisms also guide this learning process from the top-down (Kuhl,  
88 2004; Werker, 2018). However, little is known about the neurobiology underlying the integration  
89 of bottom-up and top-down information in statistical speech segmentation. This is an important  
90 knowledge gap that impedes our understanding of acquisition in typical development and  
91 neurodevelopmental disorders that impact language acquisition, such as Autism Spectrum  
92 Disorder (ASD; Tager-Flusberg, Paul, & Lord, 2005). We investigate neural signals underlying  
93 statistical learning in children with and without ASD using Magnetoencephalography (MEG).

94 Behavioral work suggests that children with ASD may be as equally equipped as their  
95 neurotypically developing (NT) peers to use statistical patterns to find words in speech (Obeid et  
96 al., 2016). For example, Mayo and Eigsti (2012) varied the likelihood that syllables co-occur  
97 (transitional probability, or TP) in a 21-minute long corpus and found similar segmentation  
98 outcomes for children with and without ASD. Scott-van Zeeland et al. (2010) also found  
99 comparable learning performance between NT and ASD children after exposure to a continuous  
100 speech stream. Importantly, the groups differed in their neural responses. With increased  
101 exposure to the input, NT children showed reduced activation in a fronto-temporal-parietal  
102 network while children with ASD did not show task related changes in brain activity.

103 Both prior studies used artificial language materials which lacked varying prosodic and  
104 stress patterns integral to every-day speech (Johnson & Jusczyk, 2001), thus, leaving open the  
105 question of how individuals would perform given more natural language input. Indeed, children  
106 with ASD may struggle to find words in natural speech for at least two reasons. MEG studies  
107 show that children with ASD have a delayed mismatch response to speech and non-speech  
108 sounds (Roberts et al., 2011) and demonstrate atypical responses to irregular speech sound  
109 sequences (Brennan et al., 2016; Galilee, et al., 2017). This may indicate potential deficits in  
110 bottom-up early sensory processing of speech. We label this the *sensory-differences* hypothesis.

111 In addition, children with ASD have difficulty extracting global regularities (“weak  
112 central coherence”; Frith, 1989) and allocating attention within sound sequences (Whitehouse &  
113 Bishop, 2008), which may be a disadvantage in the types of top-down processing necessary for  
114 statistical learning. Such differences are supported by reduced patterns of activation in a network  
115 of fronto-temporal regions associated with typical language acquisition (Redcay & Courchesne,  
116 2008) which are more pronounced in children who have poor language learning outcomes  
117 (Lombardo et al., 2015). We label this the *prediction-differences* hypothesis. We propose that  
118 early sensory deficits *and/or* atypical predictive processing may lead to difficulties in extracting  
119 statistical regularities from fluent speech.

120 We asked children to listen to naturally spoken passages in Italian with a range of TPs  
121 between syllables. We quantify TP using the information processing metric of *surprisal*, defined  
122 as the inverse-log of conditional probability between two syllables (see Method for details; Hale,  
123 2016). We apply this metric for the first time to measure syllable-to-syllable prediction in natural  
124 speech with a focus on children with and without ASD. To tease apart the hypotheses, we track  
125 evoked neural responses for syllables in left hemisphere regions implicated in key steps of



126 speech processing (Hickok & Poeppel, 2007): early perception in the primary auditory cortex  
127 (LAC), mapping percepts to linguistic units in the posterior superior temporal gyrus (pSTG), and  
128 higher-order analysis of linguistic regularities in the inferior frontal gyrus (IFG). Passages were  
129 repeated three times to capture a *neural index of learning*, defined as change in the evoked  
130 amplitude as a function of surprisal across repetitions. In NT children, we expect to see the index  
131 of learning across all three regions of interest. As surprisal increases, amplitude of the evoked  
132 neural response should increase; this sensitivity should emerge after repeated exposure to the  
133 passages. Crucially, this effect may differ between the NT and ASD groups. The sensory deficit  
134 hypothesis holds that ASD individuals will show reduced sensitivity to surprisal in early sensory  
135 regions, such as the left LAC and pSTG. The prediction hypothesis holds that children will show  
136 reduced sensitivity to surprisal within higher order regions like the left IFG.

### 137 **Materials & Methods**

#### 138 **Participants**

139 Fifteen children with ASD (1 female,  $M_{age} = 10.00$ ,  $SD = 1.16$ ) and fourteen age and  
140 gender matched neurotypically developing children ( $M_{age} = 10.06$ ,  $SD = 1.46$ ) participated in the  
141 study. All children (age range = 8-12 years) were pre-screened for eligibility through a phone  
142 interview with a parent or caregiver and were monolingual English speakers. The study was  
143 approved by all participating institutional review boards, as part of a larger project assessing  
144 language and communication in ASD using MEG (Brennan et al., 2016; Brennan et al., 2019;  
145 Lajiness-O'Neill et al., 2018). Parents and children provided informed consent and assent and  
146 received monetary compensation for their participation.

147

148

**149 Inclusion and Exclusion Criteria**

150 Participants were recruited through local clinics and communities in southeast Michigan.  
151 During pre-screening, caregivers completed the Social Communication Questionnaire (SCQ;  
152 Rutter, Bailey, & Lord, 2003). The SCQ is a 40-item caregiver screening to assess  
153 communication and social functioning in individuals who may have an autism spectrum disorder.  
154 Items referenced across the symptomology domains of ASD are totaled for a single score and a  
155 cut-off classification score of 11 is often used for research purposes (Rutter et al., 2003). To  
156 participate in the current study, ASD-likely candidates required a  $SCQ \geq 11$  (Corsello et al.,  
157 2007) and NT participants required a  $SCQ < 11$ .

158 The Behavior Assessment System for Children (BASC; Reynolds & Kamphaus, 2002)  
159 and the Wechsler Abbreviated Scale of Intelligence-2 (WASI-2; Wechsler, 2011) were  
160 administered to rule out adaptive and intellectual deficits consistent with intellectual disability.  
161 The BASC measures general behaviors and emotions of children such as hyperactivity,  
162 aggression, and conduct problems. The WASI-2 is a brief and reliable measure of intellectual  
163 functioning and includes subtests tapping into verbal, nonverbal, and general cognition. Inclusion  
164 criteria for all participants included at least Low Average intelligence (Full-Scale IQ (FSIQ)  $\geq$   
165 80; Wechsler, 2011).

166 A formal diagnosis of all ASD-likely participants was based on the Diagnostic and  
167 Statistical Manual of Mental Disorders – Fifth Edition (DSM-5; American Psychiatric  
168 Association, 2013) diagnostic criteria and the Autism Diagnostic Observation Schedule (ADOS),  
169 administered by a clinical and research reliable psychologist (Lord et al., 2012). The ADOS is a  
170 semi-structured standardized assessment of communication, play, social interaction, and  
171 restricted and repetitive behaviors. To confirm the diagnosis of ASD, the ADOS Module 3 was

172 administered. The revised algorithm (see Gotham, Risi, Pickles, & Lord, 2007) was used to  
173 compute individual and a combined total score for sub-domains of social interaction,  
174 communication, and stereotyped behaviors/circumscribed interests. Participants with ASD had a  
175 combined total score above the clinical cut-off suggestive for autism (Gotham et al., 2007).

176 Exclusionary criteria for ASD and NTs included any known history of head injury with  
177 loss of consciousness, other neurological disorders including active epilepsy/seizures,  
178 environmental deprivation, anxiety disorders or other forms of psychopathology, and anything  
179 that might interfere with the MEG procedure (e.g. dental braces). Additional exclusion criteria  
180 for NTs included any history of developmental delay or a first-degree relative with an ASD  
181 diagnosis. Two NT participants were excluded from analyses due to equipment error during  
182 MEG data acquisition and one ASD participant was excluded due to an inability to comply with  
183 the task demands and tolerate the assessment procedures. The final group of 29 did not  
184 significantly differ in age or gender (see Table 1).

### 185 **Experimental Design**

186 Participants passively listened to approximately six minutes of a naturally produced  
187 passage in a foreign language (Italian) modeled after stimuli previously used by Hay et al. (2011;  
188 see Figure 1). The Italian passage consisted of grammatically plausible but semantically  
189 nonsensical sentences made up of legal Italian words. To ensure natural production of Italian  
190 pronunciations, a female native Italian speaker recorded three different instances of the passage.  
191 Each participant listened to all three versions (three repetitions) presented via E-Prime Software  
192 2.0 (Schneider, Eschman, & Zuccolotto, 2002). Of interest were the relative distributions and  
193 occurrences of eight key target syllables (*fu, ga, me, lo, ca, ne, bi, ci*) presented throughout the

194 passage. A trigger signal marking the onset of each passage segment was used to pinpoint the time  
195 signature of these syllables, which was then aligned with the continuous MEG signal.

196 We tracked the exact timing of the syllable occurrences and the resulting brain responses  
197 given the following methodological manipulation. For each occurrence of a target syllable, the  
198 forward internal transitional probability between its preceding syllable and the target syllable  
199 was calculated (i.e. frequency of target syllable given frequency of preceding syllable;  $TP =$   
200  $P(\sigma_2|\sigma_1)$ ). TP for all target syllables ranged from 0.028 to 1.00. These TP values were converted  
201 to *surprisal* ( $surprisal = -\log_2(TP)$ ) as prior work on phonological and lexical processing has  
202 shown that linguistic frequencies affect processing on a logarithmic scale (Hale, 2001, 2016).  
203 This yielded a total of 576 surprisal values for each presentation of the target syllables across the  
204 three repetitions of the passage for each participant (see Figure 2 for distributions of surprisal).  
205 This metric of surprisal allows us to measure, in a continuous way, the information conveyed by  
206 a linguistic event, such as the likelihood of a particular syllable, based on its given context. Thus,  
207 a context of low syllable-to-syllable TP yields high surprisal and high syllable-to-syllable TP  
208 yields low surprisal.

209 The surprisal metric taps into the brain's sensitivity to statistical regularities at multiple  
210 levels of representation (Hale, 2001; Levy, 2008). Prior work with surprisal has documented  
211 behavioral and neurobiological measures on adults at syntactic (Brennan et al. 2016a; Frank,  
212 Otten, Galli, & Vigliocco, 2013; Gwilliams, Linze, Poeppel, & Marantz,; Gwilliams & Marantz,  
213 2015; Lopopolo et al., 2017; Monsalve, Frank, & Vigliocco, 2012; Willems et al., 2015) and  
214 lexical or phonemic levels of processing (Gwilliams & Marantz, 2015; Gwilliams et al., 2018;  
215 Lopopolo et al., 2017).

216 The target syllables were drawn from four target words (*fuga, melo, cane, bici*) that were  
217 systematically placed throughout the stimuli. The component syllables of *fuga* and *melo* (*fu, ga,*  
218 *me, lo*) appeared nowhere else in the passage, giving these words a high TP = 1.0 (surprisal =  
219 0.0). In contrast, the component syllables of *cane* and *bici* (*ca, ne, bi, ci*) appeared within the  
220 passage another 24 times each (e.g. *taCI, CAro*), thus giving them a lower TP = 0.33 (surprisal =  
221 1.585). The syllables of these words appeared 36 times within the passage, only 12 of which  
222 were in the target words and the others as initial (e.g. *CAdi*), medial (e.g. *sindaCAto*), or final  
223 (e.g. *spreCA*) syllables. The inclusion of these four legal Italian words, comprised of the key  
224 target syllables, allowed us to control and test for statistical learning effects of relatively  
225 moderate and highly predictive syllable sequences within a continuous and varied range of  
226 syllable probabilities.

### 227 **Behavioral Measures**

228 After listening to the Italian passages, a statistical learning post-test was given outside the  
229 scanner to explicitly measure children's ability to distinguish words with transitional probability  
230 of 1.0 and 0.33 from novel Italian words that did not occur within the corpus. Children listened  
231 to a pair of words presented via E-Prime Software 2.0. One of the two words was a bi-syllabic  
232 target word from the passages and the other word (non-target) was one of four bi-syllabic Italian  
233 words comprised of syllable combinations that were not included in the Italian corpus (e.g.  
234 *mugo, azza, pipa, zebu*). However, the component syllables of these novel words did appear in  
235 the Italian passages (e.g. *mu*). Children were tested using a two-alternative forced-choice task by  
236 asking, "Which of the following two words could be a possible word in the language you just  
237 heard?" Participants were instructed to press the '1' key if the first word could be a possible  
238 word in the foreign language, and similarly, to press the '2' key if the second word could be a

239 possible word in the foreign language. Children completed four practice trials using common  
240 English words (e.g. *teacher*) vs. nonsense, phonotactically illegal words (e.g. *pmfkin*) followed  
241 by sixteen trials of the Italian target and non-target pairs of words.

242         Standardized measures of language and attention were also obtained as part of the larger  
243 project investigating language and communication in children with ASD (see Table 1). For the  
244 purpose of this particular study, we simply report descriptive statistics on a subset of these  
245 measures to note the language and communication skills of the ASD group studied here, and for  
246 discussion in relation to the previous studies of statistical learning in children with ASD (Mayo  
247 & Eigsti, 2012; Scott-van Zeeland et al., 2010). Measures of language include the  
248 Comprehensive Test of Phonological Processing (CTOPP; Wagner, Torgesen, & Rashotte,  
249 1999), Clinical Evaluation of Language Fundamentals (CELF-5; Semel, Wiig, & Secord, 2006),  
250 and Test of Problem Solving (TOPS 3; Bowers, Huisingsh, & LoGiudice, 2005) to assess  
251 phonological, syntactical, grammatical, and pragmatic competence, respectively. A test of  
252 auditory attention included the Auditory Attention subtests of the NEPSY Developmental  
253 Neuropsychological Assessment (NEPSY-II; Korkman, Kirk, & Kemp, 2007).

#### 254 **Procedure**

255         Participants completed the neuroimaging portion (~10 minutes), immediately followed by  
256 the behavioral statistical learning test, and lastly, the behavioral battery of language and  
257 cognitive assessments (60-90 minutes). Participants laid supine on a bed with a helmet-shaped  
258 dewar containing 148 Magnetometer MEG sensors placed around their head (4D Neuroimaging).  
259 Children were instructed to keep their eyes open (monitored via video) and listen to the foreign  
260 language while remaining as still as possible. During scanning, the stimuli were delivered via  
261 computer speakers placed at an aperture in the shielded room; loudness was set at a comfortable

262 level for each participant.

### 263 **Data Acquisition and Processing**

264 Three small electrode coils, used to transmit head location information to the  
265 neuromagnetometer probe, was affixed to each participant's forehead with two-sided tape.  
266 Additional localization coils were attached to each preauricular point (PA), anterior to the tragus  
267 of the ear on the two sides of the head. Standard automatic probe position routines (4D  
268 Neuroimaging Hardware, San Diego CA) were used to locate the five coils placed on the head  
269 with respect to the neuromagnetometer detector coils and to digitize the shape of the head for co-  
270 registration to a standard MRI. Neuromagnetic fields were recorded with a whole-head 148-  
271 channel magnetometer (WH 2400, 4D Neuroimaging system). During acquisition, the data was  
272 band-pass filtered between 0.1 - 100 Hz and digitally sampled at 508.63 Hz. Data was recorded  
273 continuously for later analyses. The onset of each repetition of the Italian passage was recorded  
274 as pulse codes whose strength indicated the type of stimulus on a trigger channel collected  
275 simultaneously with the MEG data. The location of events on the trigger and response channels  
276 were used to select epochs from -0.3 to 1 s of MEG data around each target syllable for each 2-  
277 minute repetition of the passage. Data analysis was performed using the Fieldtrip toolbox for  
278 EEG/MEG-analysis (Oostenveld, Fries, Maris, & Schoffelen, 2010).

279 Extra-cranial sources of interference were attenuated by subtracting signals recorded by 5  
280 gradiometer and 6 magnetometer reference channels placed approximately 15-20 cm from the  
281 head. Epochs were filtered using a discrete Fourier transform filter at 60Hz, 120Hz, and 180Hz  
282 with a 2 second padding and a high pass filter at 0.5 to attenuate line noise. Trials and channels  
283 containing artifacts were removed based on visual inspection. No more than 23 channels of 148  
284 and 106 trials of 576 were removed during artifact rejection (mean trials removed ASD = 50, NT

285 = 58). The two groups did not significantly differ on the total number of channels ( $t(27) = 0.11$ ,  $p$   
286 = 0.74) or trials ( $t(27) = 2.07$ ,  $p = 0.16$ ) removed.

### 287 **Regions of Interest (ROIs) Analysis**

288 Source time-courses were reconstructed on to a 7 to 11-year-old pediatric template brain  
289 (Fonov et al., 2011) at four regions of interest using Montreal Neurological Institute (MNI)  
290 coordinates. Three ROIs were selected a-priori based on previously reported findings on  
291 statistical learning paradigms in the speech domain with adults (Karuza et al., 2013), which  
292 included left primary auditory cortex ( $x = -48$ ,  $y = 18$ ,  $z = 2$ ), posterior region of the left superior  
293 temporal gyrus ( $x = -64$ ,  $y = -12$ ,  $z = 4$ ), and left inferior frontal gyrus (BA 44;  $x = -52$ ,  $y = 26$ ,  $z$   
294 =  $-6$ ). We also included a right superior parietal region ( $x = 24$ ,  $y = -46$ ,  $z = 60$ ) as a control  
295 region of interest.

296 Single-trial source-localized time-courses were estimated using a Linear Constrained  
297 Minimum Variance (LCMV) beamformer (Van Veen, Van Drongelen, Yuchtman, & Suzuki,  
298 1997). The LCMV beamformer forms a linear combination of the external field measurements to  
299 monitor the activity at a single brain location, while optimally suppressing all other noise and  
300 other source contributions to the MEG data. The beamformer filter was estimated using a sensor  
301 covariance matrix based on the average of all epochs per participant. MEG sensor averages were  
302 then projected through the filter for each location, yielding source time-courses in three  
303 dimensions for each ROIs. The root-mean-square (RMS) time-course within three 100 ms time-  
304 bins (Teinonen et al., 2009): 200-300 ms, 250-350 ms, and 300-400 ms following syllable onset,  
305 at each location, per participant, per trial, for each repetition of the passage was entered into the  
306 statistical analysis. Time windows of interest were chosen based on two related accounts: first,  
307 prior work shows consistent modulation of the evoked response between 200-500ms during



308 statistical segmentation of a syllable stream (Cunillera, Toro, Sebastián-Gallés, & Rodríguez-  
309 Fornells, 2006; Sanders, Newport, & Neville, 2002); second, theoretical frameworks of speech  
310 perception suggest that temporal sampling of the speech stream for syllables occurs over longer  
311 intervals, roughly 150–300ms, and that this time window carries syllable-boundary and syllabic-  
312 rate cues, as well as, other prosodic and stress cues relevant for the type of perceptual processing  
313 assessed here (Giraud & Poeppel, 2012; Hickok & Poeppel, 2007; Näätänen & Picton, 1987;  
314 Poeppel, 2003).

### 315 **Statistical Analysis**

316       To test for a *neural index of learning*, we measured the relative change in evoked  
317 response amplitude as a function of surprisal across the repeated passages. A linear mixed-effects  
318 model was fit using the lmer function in the lme4 package in R (Bates, Mächler, Bolker, &  
319 Walker, 2015) with passage repetition, ROI, group, and time window as categorical variables  
320 and surprisal as a continuous variable (all as fixed effects). Variation among participants was  
321 taken into account by including individuals as a random effect intercept. *p*-values were computed  
322 via the Satterthwaite approximation using the lmerTest package in R. Statistical inference was  
323 based on *F*-tests of main effects and higher order interactions using the anova function in R. We  
324 excluded 54 trials from statistical analyses corresponding to target syllables with only one  
325 occurrence (i.e. a trivial case of TP = 1.0, surprisal = 0).

326       Additionally, a Bayesian multilevel model was fit using the brms package (Bürkner,  
327 2017) with the same parameters as mentioned above. Models were fit using two chains of 1000  
328 warm-up iterations and 2000 sampling iterations. Prior distributions on all terms were the default  
329 values from brm(). To report on the key manipulations of interest (e.g. change in evoked  
330 response as a function of surprisal for third repetition between NT and ASD groups), we

331 extracted the mean  $\beta$  coefficient and the 95% credible interval (CI) for the slope of the amplitude  
332 over surprisal as sampled from the posterior distribution of the model. All model terms had a R-  
333 hat value  $\leq 1.01$ .

334 For behavioral responses on the statistical learning task, the proportion of correct  
335 responses was calculated out of 16 trials from 14 NT and a subset of 12 ASD participants who  
336 completed the task (three ASD children did not complete the post-scan behavioral test due to  
337 computer error and/or inability to comply with the task demands).

### 338 **Code Accessibility**

339 The brms model output described in the paper is freely available online at Open Science  
340 Framework, <https://osf.io/zbvhc/>.

## 341 **Results**

### 342 **Statistical Learning Behavioral Results**

343 Performance on the Italian behavioral test is shown in Figure 3. A two-way ANOVA  
344 [Group (NT, ASD) x Transitional Probability (high, low)] revealed there was a significant main  
345 effect of group ( $F(1, 48) = 24.3, p < .001, \eta_p^2 = .34$ ). Neurotypically developing children  
346 outperformed children with ASD in correctly identifying both the high TP ( $t(24) = 2.78, p =$   
347  $.002$ , Cohen's  $d = .97$ ) and low TP ( $t(23) = 4.33, p = .001, d = 1.28$ ) words from novel Italian  
348 words, as revealed by independent sample  $t$ -tests. There was no group by TP interaction effect  
349 ( $F(1, 48) = .95, p = .33, \eta_p^2 = .02$ ). In both groups, there were no differences in accurately  
350 identifying high TP from low TP words in comparison to novel Italian words (no main effect of  
351 condition;  $F(1, 48) = .02, p = .89, \eta_p^2 = .00$ ). Therefore, accuracy on all trials were averaged as  
352 one and counted as total proportion of correct responses for each group and tested against chance  
353 (i.e. 0.5). One-sample  $t$ -tests showed that NT children had above-chance accuracy in identifying

354 the target-words ( $M (SD) = 0.68 (0.17)$ ;  $t(13) = 3.93, p = .002, d = .13$ ), whereas children with  
355 ASD performed below chance in accurately identifying the target words ( $M (SD) = 0.40 (0.14)$ ,  
356  $t(11) = -2.71, p = .02, d = -1.23$ ).

### 357 **MEG Results**

358 Figure 4 shows the linear effect of evoked response amplitude as a function of syllable  
359 surprisal for each group, region of interest, and passage repetition. These plots are averaged  
360 across time-windows for ease of visualization (the statistical results, summarized below, showed  
361 no higher-order interactions with time). ANOVA results are reported in Table 2.

362 A neural index of learning would be reflected by an increase in the amplitude of the evoked  
363 response as a function of surprisal and passage repetition. We tested whether this interaction  
364 effect differed across groups, ROIs, and time-windows. We found a key four-way interaction  
365 showing surprisal by passage repetition varied by group and ROI ( $p = .001, \eta_p^2 = .95$ ). This  
366 interaction reflects the fact that a positive slope for the effect of surprisal emerged in the third  
367 repetition for NT participants but not for ASD participants. The pattern of positive slope in the  
368 third repetition in the NT group is consistent across the left LAC, pSTG, and IFG regions and  
369 differs for the right parietal region.

370 We further break-down this interaction effect. In LAC (Figure 4a), for the NT group, the  
371 effect of evoked response amplitude across surprisal (slope of blue lines) shows a positive incline  
372 in the third passage repetition relative to the first two passage repetitions ( $\beta = 4.53, CI_{95\%} = [2.85,$   
373  $6.21]$ ). This pattern of data differs for the ASD group where we observe a flat trend in passage  
374 repetition three in the LAC ( $\beta = -1.66, CI_{95\%} = [-2.86, -0.44]$ ), relative to the first two passage  
375 repetitions. In LSTG (Figure 4b), for the NT group, the blue line is overall flat for the first two  
376 repetitions and shows a positive trend in the third passage repetition. Meanwhile, the ASD

377 group's blue lines reflect a slight negative trend in the first and third repetitions and a positive  
378 trend in the second repetition. In the LIFG (Figure 4c), we again observe overall flat blue line for  
379 the NT group in passage repetition one and a positive trend in the second and third repetitions;  
380 no such pattern is observed for ASD across all three repetitions. In the right superior parietal, as  
381 expected, we observe no learning response across passage repetitions in both NT and ASD  
382 groups (Figure 4d).

383 The ANOVA showed a marginally significant three-way interaction of surprisal by  
384 repetition by group effect ( $p = .046$ ,  $\eta_p^2 = .82$ ). Additionally, we observed several significant  
385 two-way interactions: the effect of surprisal varied across ROI ( $p < .001$ ,  $\eta_p^2 = .96$ ), brain activity  
386 across the three repetitions varied by ROIs ( $p = .001$ ,  $\eta_p^2 = .95$ ), the effect of surprisal varied by  
387 group ( $p = .032$ ,  $\eta_p^2 = .77$ ), and brain activity at the three ROIs varied by group ( $p = .001$ ,  $\eta_p^2 =$   
388  $.94$ ). We also observed several lower-order significant effects including main effects of surprisal  
389 ( $\eta_p^2 = .94$ ), passage repetition ( $\eta_p^2 = .94$ ) and regions of interest ( $\eta_p^2 = 1.0$ ; all  $p < .001$ ). The  
390 main effect of time window ( $\eta_p^2 = .64$ ) and group ( $\eta_p^2 = .01$ ) were not significant. The five-way  
391 interaction between surprisal, time window, repetition, ROIs, and group was not significant.

### 392 Discussion

393 The present study used *surprisal* to investigate the neural mechanisms underlying speech  
394 segmentation in typical development and in children with ASD. Speech segmentation,  
395 foundational to language acquisition, requires the integration of top-down and bottom-up  
396 cognitive processes. To this end, we proposed two possible hypotheses as to why children with  
397 ASD might struggle to use distributional cues to find words in speech: a sensory-differences  
398 hypothesis that suggests potential deficits in the bottom-up early sensory processing of auditory  
399 input, and a prediction-differences hypothesis related to potential deficits in the high-order

400 analysis of concatenated input. To investigate these two hypotheses, we used MEG to examine  
401 the functionality of the left primary auditory cortex, left posterior STG, and left IFG region  
402 during a passive language listening paradigm. Our key interest was a *neural index of learning*,  
403 measured as an increase in the amplitude of the evoked response as a function of surprisal. We  
404 expected this interaction to emerge with repeated exposure to the language paradigm. Critically,  
405 we tested whether neural responses differed across groups and regions of interest. We observed  
406 the neural index of learning in typically developing children, but not in the children with ASD,  
407 across all three regions of interest. These data speak to two competing hypotheses.

408       First, prior literature on speech and sound processing have shown that children with ASD  
409 present with low-level auditory processing deficits, such as disruptions or delays in early neural  
410 responses to both verbal and non-verbal acoustic stimuli (Bomba & Pang, 2004; Edgar et al.,  
411 2014; 2015; Jeste & Nelson, 2009). In fact, the set of children with ASD in this sample  
412 previously showed atypical responses to phototactically illegal, in comparison to legal,  
413 sequences (Brennan et al., 2016). Our LAC and pSTG results are consistent with the sensory-  
414 differences hypothesis that suggests a possible disruption in initial acoustic processing may have  
415 led to difficulties in extracting speech sound patterns from natural fluent speech (Roberts et al.,  
416 2010, 2011).

417       Second, research into the development of auditory pathways in ASD show atypical  
418 development of white matter and cortical function within the auditory and language systems  
419 (Berman et al., 2016), such as delayed STG auditory 100 ms responses (Roberts et al., 2010) and  
420 atypical hemispheric lateralization of auditory responses (Stroganova et al., 2013). These  
421 patterns of responses in auditory processing may be due to the documented deficits of orienting  
422 attention (Whitehouse & Bishop, 2008). ASD children in this study showed a varied pattern of

423 neural responses to syllable sequences as compared to neurotypical peers, within and across all  
424 three regions of interest. Specifically, the IFG results are in line with the prediction-differences  
425 hypothesis. Prior work has suggested that the language network's feed-forward mechanisms of  
426 higher-order computations might be particularly impaired in those with ASD and poor language  
427 learning outcomes (Courchesne & Pierce, 2005; Redcay, Haist, & Courchesne, 2008). While  
428 speculative, such impairments have the potential to propagate extraction and integration learning  
429 deficits in ASD, especially in the beginning phases of learning.

430 Behavioral measures of statistical learning suggest that ASD children could be as  
431 sensitive to statistical regularities as their typically developing peers (Haebig, Saffran, &  
432 Weismer, 2017), across paradigms with (Scott-van Zeeland et al., 2010) and without (Mayo &  
433 Eigsti, 2012) additional cues to segmentation. In the present study, most of the ASD children  
434 were unable to identify the target syllable pairs heard within the novel fluent speech relative to a  
435 foil. Performance for this group of children with ASD was significantly below chance,  
436 suggesting that some learning may be happening within the six-minute exposure. The pattern of  
437 data suggests that children with ASD were able to recognize some syllable components that were  
438 part of words used in the post-scan behavioral test, but not the syllable sequences that formed the  
439 target words. One interpretation of these findings is consistent with to our second hypothesis  
440 relating to higher-order analysis of linguistic events. Children with ASD may have been sensitive  
441 to the frequency of syllables presented but failed in the appropriate grouping of syllable  
442 sequences given the distributional cues. This is an interesting finding that warrants further  
443 investigation.

444 Our NT and ASD children did not differ in their phonological competence, though they  
445 differed on measures of attention, syntax, and pragmatics. Children with ASD showed normative

446 performance on the phonological awareness tasks that ask children to segment and manipulate  
447 word sounds (e.g. Elision, CTOPP), but poorer performance on syntax tasks (e.g. Formulating  
448 Sentences, CELF-4) that tap into children's knowledge of language structure. Observed  
449 differences in neural learning patterns within left hemisphere regions and poor statistical learning  
450 performance in ASD may be revealing of ASD children's underlying difficulty in extracting  
451 linguistic structure or sequence learning that extends beyond processing of single speech sounds.  
452 However, exploratory bivariate correlations between language and attention measures with  
453 experimental task performance indicated no meaningful trends ( $r = .01 - .37$ ). The sample size  
454 significantly limits our ability to examine the links between the current paradigm and children's  
455 language or cognitive skills. In future work, we aim to take a closer look at defining sub-  
456 populations of children with ASD and their learning outcomes.

457       The Italian statistical learning paradigm, adapted from Hay et al. (2011), maintained  
458 virtually all complexities found in natural speech with the exception that the transitional  
459 probabilities between syllable sequences were precisely manipulated in a subset of words. By  
460 specifically examining prediction-based processing demands with the measure of surprisal, we  
461 were able to assess the computational nature of statistical learning across a range of  
462 unexpectedness values. This allowed us to control and test for statistical learning effects of  
463 relatively moderate and highly predictive syllable sequences within a continuous and varied  
464 range of syllable probabilities. Prediction has been implicated as an important component of  
465 early learning (Romberg & Saffran, 2013) and some suggest prediction plays a major role in the  
466 underlying impairments observed in ASD (Sinha et al., 2014). This hypothesis suggests that  
467 tracking of statistical regularities in ASD might compare to neurotypical peers when the  
468 environment is relatively stable, and perhaps with longer exposure time (e.g. 21-minutes in Mayo

469 & Eigsti, 2012). However, when tasks involve varying distribution of events (e.g. range of  
470 probabilities), integration of new events with prior experiences may be more difficult for  
471 children with ASD, resulting in learning differences between the two groups.

472         The use of a naturalistic language paradigm, combined with MEG imaging, is one of the  
473 key innovations of this study. Previous studies of speech segmentation that vary the type and  
474 number of speech cues available to learners have found differences in the neural activity across  
475 manipulations, despite participants' inability to behaviorally detect differences between  
476 conditions. This has been documented in a sample with typically developing children (McNealy,  
477 Mazziotta & Dapretto, 2010; Scott-van Zeeland et al., 2010) and adults (McNealy, Mazziotta &  
478 Dapretto, 2006) using fMRI. Scott-van Zeeland et al. (2010) found that both children with and  
479 without ASD were at chance in their behavioral learning performance. Importantly, they differed  
480 in their neural responses. First, the authors found that patterns of brain activity in the fronto-  
481 temporo-parietal network changed with the increase in the number of cues to word boundaries,  
482 but only in the group of typically developing children. Second, the authors observed a lack of  
483 frontal lobe engagement during task of speech processing in children with ASD. Lastly, children  
484 with more severe communicative deficits showed fewer changes in brain activity with increased  
485 exposure to speech. Our results parallel these findings and provide corroborating support for the  
486 hypotheses that integration of top-down and bottom-up cognitive processes are involved in  
487 successful speech segmentation, which may be impaired in children with ASD. In the present  
488 study, we found no evidence of a timing effect in relation to early speech processing in the  
489 auditory cortex and later analysis in higher-level auditory and speech processing regions. This an  
490 interesting null result that warrants further investigation with a more granular experimental  
491 design.



492           The use of the beamforming method for localization introduces some limitations, such as  
493 possible differences in the quality of fit between ASD and NT groups. Thus, we cannot rule out  
494 an anatomical-based explanation of our results. However, we have two reasons to think such an  
495 explanation is not likely. First, potential anatomical differences in ASD and NT may be smaller  
496 than the spatial specificity of the beamformer. Second, the anatomical differences in the left  
497 hemisphere between ASD and NT groups pointed out by Berman et al. (2016) emerge at later  
498 ages than the 8- to 12-year-old range studied in our sample. To test this reasoning in future  
499 studies, we could measure the statistical fit of the beamforming method across the two groups or  
500 acquire individual MRI anatomical scans for each participant to estimate source localizations  
501 with more precision.

502           In sum, the present study offers first time evidence investigating the neural mechanisms  
503 underlying statistical learning using a naturalistic language paradigm, in typical development and  
504 in children with ASD. Results show neural and behavioral effects of speech segmentation  
505 specific to syllable-level surprisal, extending previous work by examining statistical learning  
506 from two perspectives – input-driven auditory processing and higher-order predictive processing.  
507 These findings offer insight into the cognitive mechanisms foundational for language acquisition  
508 and helps inform our understanding of development across different populations of learners.

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 0.6 3.7 1.9 1.6 3.7 0  
 uandovideun|ca|negio|careconunbam|binoeduna|biglia...

| = time locked syllable event with *surprisal* value below

$\sigma_1|\sigma_2$  = non-controlled syllable pairs

$\sigma_1|\sigma_2$  = controlled syllable pairs

684

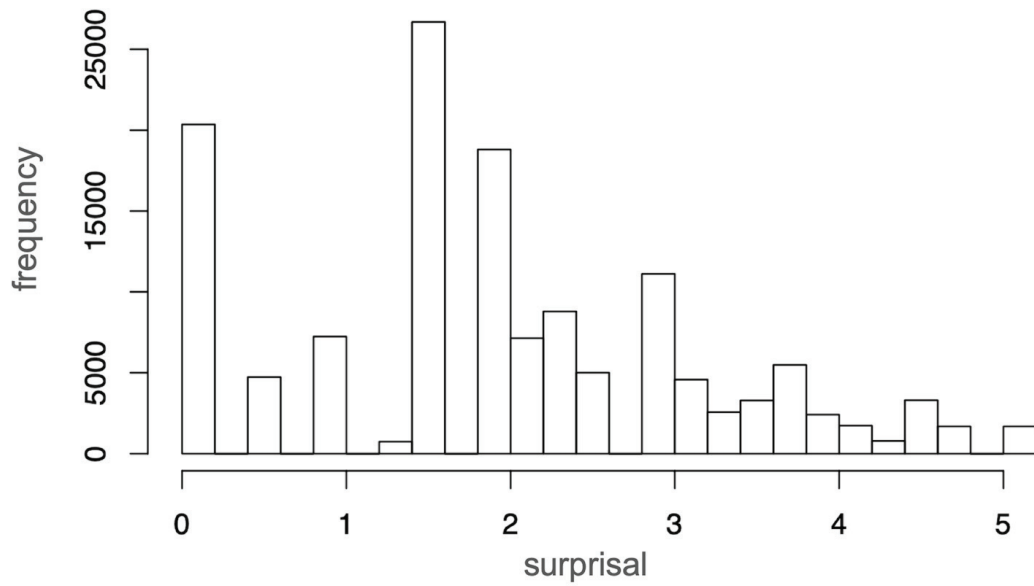
685 *Figure 1.* Schematic of the experimental stimuli as adapted from Hay et al. (2011). An excerpt of

686 the ~2-minute-long Italian passage showing key target (controlled) syllables (red) and non-

687 controlled syllables (green) pairs. The passage was repeated three times for a total duration of ~6

688 minutes.

689

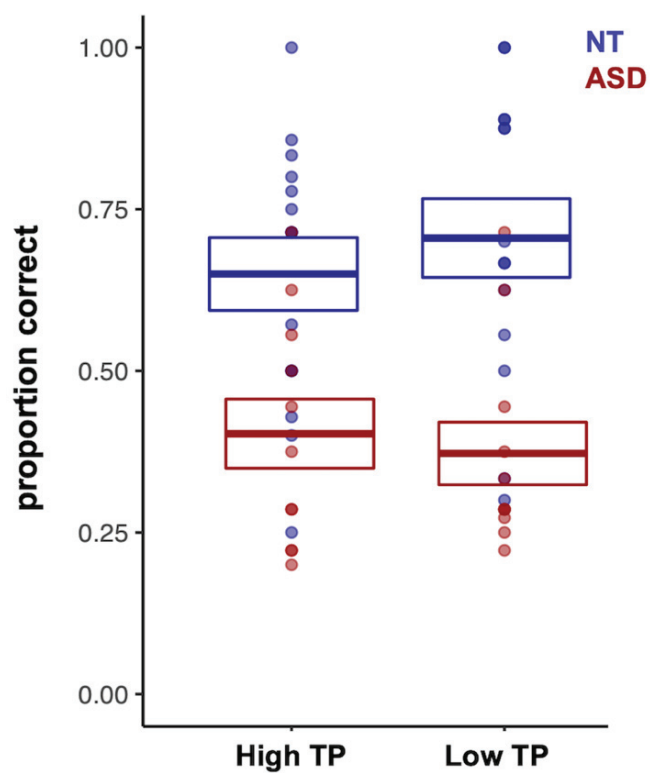


690

691 *Figure 2.* Histogram of the range of surprisal distributions of surprisal values across all target

692 syllables.

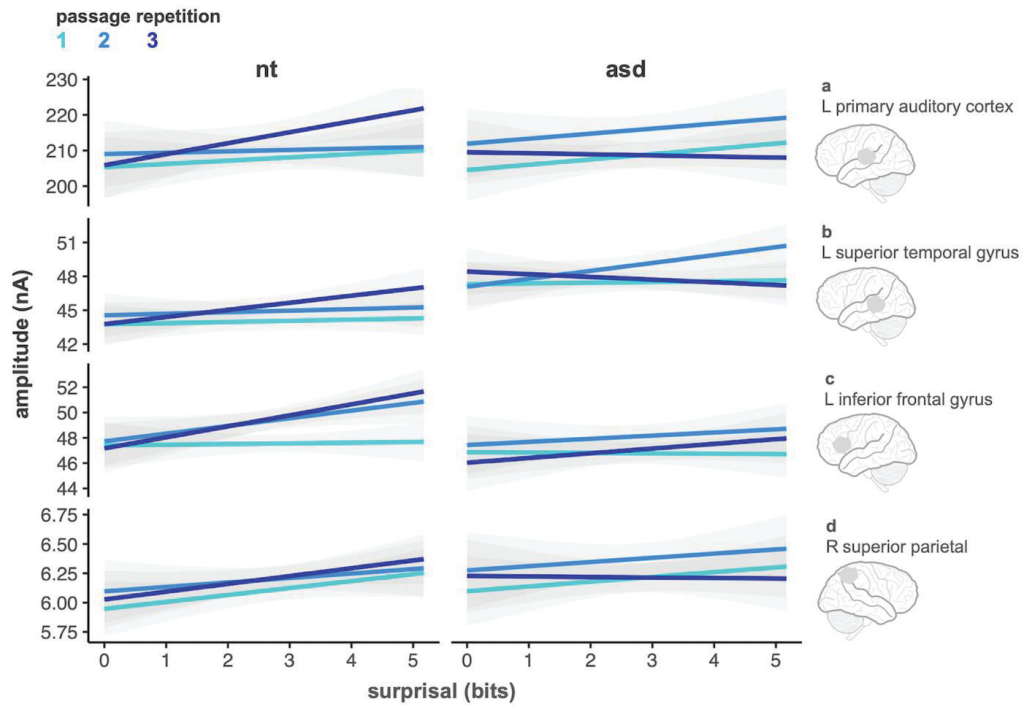
693



694

695 *Figure 3.* Proportion of correct responses to high and low transitional probability target words in  
696 comparison to novel Italian words, calculated out of 16 trials from 14 NT and a subset of 12  
697 ASD children who completed the behavioral learning test. Error bars represent standard error.

698



699 *Figure 4.* Linear effect of evoked response amplitude (averaged across time windows) as a  
 700 function of syllable surprisal for each group and region of interest across the first, second, and  
 701  
 702 third passage repetitions (light blue to dark blue lines). Grey shading represents standard error.

703

704 **Table 1.** Mean (standard deviations) of standardized assessments. T-statistic and *p*-values are reported for

	NT		ASD		<i>t</i>	<i>p</i>	<i>g</i>
	N	Mean (SD)	N	Mean (SD)			
Gender (M:F)		13:1		14:1			
Age (years)		10.00 (1.64)		10.06 (1.47)	-.10		
CTOPP Phonological Awareness (std. score)	14	91.00 (13.36)	15	94.07 (18.99)	-0.51	.617	-.18
TOPS Inferences	14	103.86 (8.57)	11	86.00 (20.07)	2.86	.012	1.15
TOPS Predicting	14	104.07 (11.85)	13	80.54 (17.25)	4.10	<.001	1.55
CELF Formulating Sentences (scaled score)	10	14.60 (1.26)	7	8.86 (5.27)	2.83	.028	1.56
CELF Concepts & Following Directions	13	10.69 (2.29)	13	7.62 (4.77)	2.10	.051	.77
NEPSY Auditory Attention	14	11.14 (1.96)	15	7.47 (4.66)	2.80	.011	.98
WASI FSIQ (t-score)	13	114.62 (8.17)	13	97.54 (19.23)	2.95	.009	1.11
BASC (std. score)	14	44.29 (5.47)	15	61.8 (4.57)	-9.32	<.001	-3.38
SCQ (total score)	14	1.43 (1.95)	15	18.60 (7.53)	-8.53	<.001	-2.98
ADOS Total			15	7.90 (2.85)			

705 a two-tailed independent samples test. Effect sizes are reported using Hedges' *g*.

706

707 *Note.* FSIQ, Full Scale IQ measure from the Wechsler Abbreviated Scale of Intelligence-2; CTOPP, Comprehensive

708 Test of Phonological Processing; TOPS, Test of Problem Solving; CELF, Clinical Evaluation of Language

709 Fundamentals; NEPSY, Developmental Neuropsychological Assessment; BASC, Behavior Assessment System for

710 Children; SCQ, Social Communication Questionnaire.

711 **Table 2.** Results of an ANOVA comparing mean amplitude across group (ASD and NT), syllable  
 712 surprisal, passage repetitions, regions of interest, and time-windows.  
 713

<b>Main Effects</b>	df, residual	<i>F</i>	<i>p</i>
Surprisal	1,137925	21.89	.000
Time Window	2	1.21	.298
Repetition	2	11.44	.000
ROI	3	64184	.000
Group	1, 27	0.01	.921
<b>Two-Way Interaction</b>			
Surprisal x Time Window	2	0.19	.823
Surprisal x Repetition	2	0.70	.494
Time Window x Repetition	4	0.13	.971
Surprisal x ROI	3	9.82	.000
Time Window x ROI	6	0.47	.827
Repetition x ROI	6	4.32	.001
Surprisal x Group	1	4.59	.032
Time Window x Group	2	0.03	.966
Repetition x Group	2	1.81	.164
ROI x Group	3	6.95	.001
<b>Three-Way Interaction</b>			
Surprisal x Time Window x Repetition	4	0.13	.972
Surprisal x Time Window x ROI	6	0.06	.999
Surprisal x Repetition x ROI	6	0.85	.531
Time Window x Repetition x ROI	12	0.07	.999
Surprisal x Time Window x Group	2	0.29	.752
Surprisal x Repetition x Group	2	3.09	.046
Time Window x Repetition x Group	4	0.22	.926
Surprisal x ROI x Group	3	0.68	.566
Time Window x ROI x Group	6	0.02	.999
Repetition x ROI x Group	6	0.44	.853
<b>Four-Way Interaction</b>			
Surprisal x Time Window x Repetition x ROI	12	0.09	.999
Surprisal x Time Window x Repetition x Group	4	0.17	.955
Surprisal x Time Window x ROI x Group	6	0.13	.992
Surprisal x Repetition x ROI x Group	6	4.32	.001
Time Window x Repetition x ROI x Group	12	0.04	.999
<b>Five-Way Interaction</b>			
Surprisal x Time Window x Repetition x ROI x Group	12	0.11	.999

714