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1 **Linking Antarctic krill larval supply and recruitment along**
2 **the Antarctic Peninsula**

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19 **Abstract**

20 Antarctic krill (*Euphausia superba*) larval production and overwinter survival drive
21 recruitment variability, which in turn determines abundance trends. The Antarctic
22 Peninsula has been described as a recruitment hot spot and as a potentially important
23 source region for larval and juvenile krill dispersal. However, there has been no analysis
24 to spatially resolve regional-scale krill population dynamics across life stages. We
25 assessed spatiotemporal patterns in krill demography using two decades of austral
26 summer data collected along the North and West Antarctic Peninsula since 1993. We
27 identified persistent spatial segregation in the summer distribution of euphausiid larvae
28 (*E. superba* plus other species), which were concentrated in oceanic waters along the
29 continental slope, and *E. superba* recruits, which were concentrated in shelf and coastal
30 waters. Mature female *E. superba* were more abundant over the continental shelf than the
31 slope or coast. Euphausiid larval abundance was relatively localized and weakly
32 correlated between the North and West Antarctic Peninsula, while *E. superba* recruitment
33 was generally synchronized throughout the entire region. Euphausiid larval abundance
34 along the West Antarctic Peninsula slope explained *E. superba* recruitment in shelf and
35 coastal waters the next year. Given the localized nature of krill productivity, it is critical
36 to evaluate the connectivity between upstream and downstream areas of the Antarctic
37 Peninsula and beyond. Krill fishery catch distributions and population projections in the
38 context of a changing climate should account for ontogenetic habitat partitioning,
39 regional population connectivity, and highly variable recruitment.

40 **Introduction**

41 The Antarctic Peninsula (AP) is a key region for understanding population
42 dynamics of the Antarctic krill (*Euphausia superba*), a dominant species in the Southern
43 Ocean food web and in biogeochemical cycles (Trathan and Hill 2016; Cavan et al. 2019).
44 A recent study indicates that Antarctic krill distribution in the Southwest Atlantic sector
45 shifted southward from the Scotia Sea to the AP shelf from 1976 to 2016 (Atkinson et al.
46 2019). This range contraction coincided with regional warming and reduced seasonal sea
47 ice coverage during the second half of the 20th century (Meredith and King 2005;
48 Stammerjohn et al. 2008b; Henley et al. 2019). Further twenty-first century ocean
49 warming, acidification, and sea ice decline will likely impair recruitment by reducing
50 larval survival over winter (Flores et al. 2012; but see Melbourne-Thomas et al. 2016).
51 Although previously distributed throughout the Southern Ocean, commercial krill fishing
52 effort has focused on the North Antarctic Peninsula (NAP) in the last two decades (Nicol
53 and Foster 2016). Understanding krill demographic patterns will improve population
54 dynamic studies in the context of AP climate change and fishing pressure.

55 It is well-established that *E. superba* recruitment is episodic throughout the
56 Southwest Atlantic sector. From 1991 to 2013, 4- to 6-year cycles in *E. superba* post-
57 larval abundance were apparent along the West Antarctic Peninsula (WAP) (Ross et al.
58 2014; Steinberg et al. 2015), NAP (Reiss 2016; Kinzey et al., 2019), and at South
59 Georgia (Fielding et al. 2014). Larval abundance is relatively less studied despite its key
60 role in mechanistic hypotheses explaining *E. superba* recruitment patterns (Siegel and
61 Loeb 1995; Saba et al. 2014). Larvae spawned during summer develop to late stages and
62 then overwinter before recruiting to the post-larval population the following summer.

63 While feeding larvae are most abundant in oceanic waters during summer, recruits are
64 instead concentrated on the continental shelf (Siegel and Watkins 2016; Perry et al. 2019).
65 Therefore, linking larval supply with recruitment must account for spatial segregation
66 between these life stages.

67 Uncertainties in regional-scale connectivity further complicate Antarctic krill
68 population dynamics. Physical model simulations suggest larvae spawned at the WAP
69 and NAP can both be retained locally or advected northeast towards the Scotia Sea (Fach
70 and Klinck 2006; Thorpe et al. 2007; Piñones et al. 2013). A mechanistic population
71 model reproduced observed Antarctic krill biomass cycles at the WAP, NAP, and South
72 Georgia only after allowing for transport among study areas (Wiedenmann et al. 2009).
73 Available data suggest recruitment was positively correlated across these sites between
74 1983 and 2011 (Siegel et al. 2003; Reiss 2016), but larval abundance time series have not
75 been compared across the region.

76 In this study we analyzed spatiotemporal patterns in larval euphausiid abundance
77 as well as *E. superba* recruit and mature female abundance along the AP since 1993.
78 Austral summer net sampling surveys were conducted along the WAP by the Palmer
79 Antarctica Long-Term Ecological Research (PAL LTER) program and at the NAP by the
80 United States Antarctic Marine Living Resources (U.S. AMLR) program. While *E.*
81 *superba* recruitment was generally synchronized across the NAP and WAP, larval
82 euphausiid abundance correlations were weaker between the two areas. The WAP
83 continental slope was identified as a key larval supply region supporting *E. superba*
84 recruitment the following summer on the continental shelf. Population connectivity must

85 be considered to understand how krill will respond to climate change and to effectively
86 distribute fishing effort.

87

88 **Materials and Methods**

89 *Sample collection*

90 *U.S. AMLR – NAP*

91 The U.S. AMLR Program conducted shipboard oceanographic and biological
92 surveys in the NAP ecosystem during austral summer from 1993-2011, sampling an area
93 of more than 124,000 km². The oceanographic survey usually consisted of two repeat
94 legs. Leg-1 was typically conducted in mid-January and Leg-2 started several weeks later
95 in February. The survey area extends from the southern end of Bransfield Strait to the tip
96 of the AP at Joinville Island, and offshore into the Antarctic Circumpolar Current (ACC)
97 on the north side of the South Shetland and Elephant Islands (Fig. 1). The number and
98 location of sampling stations varied as the grid expanded throughout the time series. In
99 general, 40–60 stations either 20 or 40 km apart along predefined transects were sampled
100 during each leg.

101 For this analysis, the U.S. AMLR sampling grid was divided into Slope, Shelf,
102 and Coast sub-regions (Fig. 1). The NAP Slope sub-region included sampling stations
103 offshore of the 750 m isobath along the continental shelf break, following the definition
104 used by PAL LTER at the WAP (Martinson et al., 2008). The NAP shelf was defined as
105 the area bounded to the northwest by the shelf break and to the southeast by the South
106 Shetland Islands and another 750 m isobath along the edge of a submarine canyon. The
107 NAP Coast sub-region included stations southeast of the South Shetland Islands and the

108 canyon edge and was restricted to the east by the Antarctic Peninsula and the continental
109 shelf break.

110 At each sampling station a tow was made using a 1.8 m Isaacs-Kidd Midwater
111 Trawl (IKMT) equipped with a 505 μm mesh net. The net frame was also equipped with
112 a General Oceanics flowmeter to determine the volume of water filtered during each tow.
113 The IKMT was fished obliquely to either 170 m depth or 20 m above the bottom at
114 stations <190 m deep using an attached pressure-sonde to determine net depth.

115 Aboard ship, zooplankton were sorted to species and enumerated. *Euphausia*
116 *superba* post-larvae were identified by sex and maturity stage following Makarov and
117 Denys (1981) and measured to the nearest 0.1 mm using Standard Length 1 (SL1)
118 (Mauchline 1980). The SL1 measurement is taken from the anterior tip of the rostrum to
119 the posterior tip of the uropod. From 1995 to 2011, euphausiid larvae were identified to
120 species, staged and enumerated for each tow. Total euphausiid larvae (*E. superba* plus
121 other species) were used for the analysis in this study, because larvae collected in the
122 WAP were not identified to species. Annual *E. superba* and *Thysanoessa macrura*
123 (another abundant euphausiid) larval abundances were compared to total euphausiid
124 larval abundance using the available species-specific data from the NAP.

125

126 *PAL LTER – WAP*

127 The historical PAL LTER study region extends 400 km along the WAP from
128 Anvers Island to Marguerite Bay and from coastal to slope waters approximately 200 km
129 offshore (Ducklow et al. 2012) (Fig. 1). Sampling grid lines are spaced 100 km apart,
130 with grid stations every 20 km along each grid line (Waters and Smith 1992).

131 Zooplankton were collected on PAL LTER annual research cruises during austral
132 summer (approx. 01 January – 10 February) since 1993. From 1993-2008 tows were
133 conducted at each PAL LTER grid station from the 600 to 200 lines, and since 2009 on
134 an extended grid an additional 300 km further south with decreased sampling frequency
135 (Steinberg et al. 2015). The sampling grid is divided into North, South, and Far South
136 latitudinal sub-regions as well as Coast, Shelf, and Slope sub-regions (Steinberg et al.,
137 2015). For this study, only data from the consistently sampled North and South sub-
138 regions (600 to 200 lines) are included (Fig. 1).

139 Zooplankton were collected with two gear types on PAL LTER research cruises.
140 Post-larval *E. superba* were collected with oblique net tows from 0-120 m using a 2x2 m
141 square frame net with 700 μm mesh. Euphausiid larvae were collected with oblique net
142 tows from 0-300 m using a 1x1 m square frame net with 333 μm mesh. Net depth was
143 determined real time with a depth sensor attached to the bottom of the conducting hydro
144 wire. Both nets were equipped with a General Oceanics flowmeter to record the volume
145 of water filtered.

146 Post-larval *E. superba* were enumerated onboard, and a subsample of at least 100
147 randomly selected individuals were measured using SL1. Juvenile *E. superba* recruits
148 belonging to age class 1 were defined as post-larval individuals < 31 mm in length (Saba
149 et al. 2014; Atkinson et al. 2019). This same recruit definition was used for the WAP and
150 NAP. Post-larval *E. superba* individuals with a visibly red thelycum were classified as
151 mature females.

152 Once onboard, the 333 μm mesh net samples were preserved in 4% buffered
153 formaldehyde and stored for subsequent laboratory analysis. A subset of samples was

154 analyzed for larval euphausiid abundance: one Coast, one Shelf, and one Slope station on
155 each of the 600, 400, and 200 grid lines from 1993-2013. The stations sampled on each
156 grid line varied year-to-year within a 40-km radius. Preserved samples were sieved into
157 three size fractions (> 5 mm, 1-5 mm, and 0.33-1 mm). The entire > 5 mm size fraction
158 was enumerated, and the two smaller size fractions were subsampled as follows: the 1-5
159 mm size fraction was split with a Folsom Plankton Splitter (1-1/64 sample enumerated),
160 and the 0.33-1 mm size fraction was subsampled using a Stempel pipet (1-1/180 sample
161 enumerated; Postel et al. 2000). Samples were analyzed using an Olympus SZX10
162 dissecting microscope with dark/bright field illumination at 8-20x magnification. Larval
163 euphausiids in this analysis include the calyptopis and furcilia stages. Euphausiid larvae
164 collected at the WAP were not identified to species. Most samples analyzed were from
165 daytime tows (hours of darkness are limited to < 4 h during our sampling period in
166 January); there was no significant difference between the abundance of calyptopis or
167 furcilia larvae collected in day ($n = 185$) compared to night tows ($n = 18$; Wilcoxon rank-
168 sum $p > 0.05$).

169

170 *Statistical analysis*

171 WAP sampling locations were rounded to the nearest grid line and grid station.
172 Abundance values were averaged when the same PAL LTER station was sampled
173 multiple times in a given year. The following data processing steps were applied to NAP
174 and WAP sampling sub-regions: When a life stage was absent at a sampling station, zeros
175 were replaced with one-half the lowest non-zero abundance value in a given sub-region
176 data set (O'Brien 2013). Sampling station abundance values were then log₁₀-transformed

177 prior to calculating annual mean abundance for the sub-region. Abundance anomalies
178 were calculated by subtracting annual mean abundance from the climatological mean of
179 the given time series (Mackas and Beaugrand 2010; O'Brien 2013; Thibodeau et al. in
180 review). A difference of 1.0 in annual mean abundance or abundance anomaly indicates
181 an order of magnitude difference. Although annual mean abundance cannot be compared
182 across different gear types, temporal comparisons of abundance anomalies are
183 appropriate. All statistical analysis was conducted with R version 3.5.1 (R Core Team
184 2018), and the significance level was set at 0.05 unless otherwise noted.

185 Spatial differences in annual mean abundance were examined among sub-regions
186 within the NAP and WAP areas each. Linear mixed models (LMMs) were fitted using the
187 nlme package by maximizing the restricted log-likelihood (Pinheiro et al. 2018). Annual
188 mean abundance was the response variable, sub-region was included as a fixed effect,
189 and year was included as a random effect to account for repeated sampling. This analysis
190 was also conducted separately using *E. superba* larvae data available for the NAP.
191 Tukey's honest significant differences tested for pairwise differences among individual
192 sub-region means using the multcomp package (Hothorn et al. 2008).

193 Temporal synchrony in euphausiid larvae and *E. superba* recruit abundance
194 across the study region was tested by calculating pairwise Pearson's correlations for the
195 NAP Slope, Shelf, and Coast sub-regions as well as the WAP Coast, Shelf, and Slope.
196 The same analysis was performed using available *E. superba* larvae data for the NAP.
197 The significance level was set at 0.003 for this analysis according to the Bonferroni
198 adjustment for multiple comparisons. The function 'acf' was used to test for

199 autocorrelation in individual time series at the 0.05 significance level. This temporal
200 analysis and all others described below were performed with data in anomaly form.

201 The relationship between total euphausiid larvae abundance and species-specific
202 larvae abundance was investigated in each of the NAP sub-regions. Total euphausiid
203 larvae abundance was compared to *T. macrura* larval abundance and to *E. superba* larval
204 abundance using linear regression.

205 An information-theoretic approach was used to identify potential larval source
206 areas impacting *E. superba* recruitment. This analysis was restricted to years with
207 complete data coverage (1995-2010 for euphausiid larvae and 1996-2011 for *E. superba*
208 recruits). All six individual sampling sub-regions were included as candidate larval
209 source areas. The combined Shelf and Coast sub-regions for both the NAP and WAP
210 were the recruitment areas of interest based on elevated *E. superba* recruit abundance in
211 the LMM analysis described above. Separate linear regressions were fitted for each
212 combination of larval source and recruitment area, with *E. superba* recruit abundance as
213 the response variable and the previous year's euphausiid larvae abundance as the
214 explanatory variable. Comparable linear regressions were also repeated for the NAP
215 using available *E. superba* larvae data. The corrected Akaike Information Criterion
216 (AICc) was used to assess support for individual linear regression models (Hurvich and
217 Tsai 1989; Burnham et al. 2011) and calculated with the MuMIn package (Bartoń 2019).
218 Models with $\Delta\text{AICc} < 2$ were considered to have substantial statistical support. AICc
219 weight approximates the probability that each candidate model is the best option given
220 the set of models considered (Symonds and Moussalli 2011).

221 The functional relationship between larval euphausiid abundance and the
222 following year's *E. superba* recruitment was assessed with thin plate regression splines.
223 This analysis focused on larval abundance at the WAP Slope based on the above model
224 selection procedure and our ecological interpretation of those results. Five additional
225 years of larval abundance data were available from the WAP Slope for this analysis
226 (1993-2013). *Euphausia superba* recruit abundance at the combined Shelf and Coast for
227 both the NAP and WAP were the response variables in separate models. The previous
228 year's larval euphausiid abundance at the WAP Slope was the only explanatory variable
229 in each regression. Thin plate regression splines were fitted using restricted maximum
230 likelihood with the gam function in the mgcv package (Wood, 2003). This non-linear
231 technique alleviated problematic patterns in the residuals and reduced AICc compared to
232 linear regression when using all available data. The basis dimension of the smoother term
233 was set at 4 to avoid overfitting and confirmed to be adequate using the gam.check
234 function. Model assumptions were verified by plotting residuals against fitted values,
235 sampling year, and the explanatory variable (Zuur and Ieno, 2016).

236

237 **Results**

238 *Spatial distribution of life stages*

239 Larval euphausiid abundance was highest along the continental slope in both the
240 NAP and WAP study areas. Annual mean larval euphausiid abundance was significantly
241 different among sub-regions (LMM; NAP $p < 0.0001$; WAP $p < 0.0001$) and was
242 higher on the Slope than on the Shelf and Coast in both the NAP and WAP (Fig. 2A-B)
243 (Tukey's test; $p < 0.0001$). Mean *E. superba* larval abundance was also different among

244 NAP sub-regions (Supplemental Fig. 1) (LMM; $p = 0.011$). With species-level data, *E.*
245 *superba* larvae were significantly more abundant at the Slope than Shelf (Tukey's test; p
246 = 0.004), while the Slope and Coast were not significantly different ($p = 0.10$). However,
247 *E. superba* larvae were less abundant at the Coast on average, and two anomalous years
248 drove the lack of a statistical difference (Supplemental Fig. 1).

249 In contrast to the larval distribution, *E. superba* recruit abundance was highest
250 over the continental shelf in both the NAP and WAP study areas. Annual mean *E.*
251 *superba* recruit abundance was significantly different among sub-regions (LMM; NAP p
252 < 0.0001; WAP $p < 0.0001$), and within the NAP was higher on both the Shelf and Coast
253 than on the Slope (Fig. 2C) (Tukey's test; $p < 0.00001$), and within the WAP
254 progressively increased from Slope to Shelf to Coast (Fig. 2D) (Tukey's test; $p < 0.005$).

255 Mature female *E. superba* distribution was also consistent across the offshore
256 sampling gradient in the NAP and WAP study areas. Annual mean *E. superba* mature
257 female abundance differed among sub-regions (LMM; NAP $p = 0.0002$; WAP $p =$
258 0.0008) and was highest on the Shelf in both the NAP and WAP (Fig. 2E-F) (Tukey's
259 test; $p < 0.02$).

260

261 *Regional coherence*

262 Larval euphausiid abundance was positively, significantly correlated between
263 neighboring sub-regions from 1995-2011, but these correlations did not hold throughout
264 the entire AP study region. There was a relatively weak positive correlation in euphausiid
265 larvae abundance between the full WAP study area and the full NAP study area (Fig. 3A).
266 Pairwise comparisons of individual sub-regions revealed larval abundance was strongly

267 correlated between the adjacent Coast and Shelf sub-regions as well as the Shelf and
268 Slope within both the NAP and WAP study areas (Table 1). The same result was found
269 using *E. superba* larvae data for the NAP (Supplemental Table 1). There were no
270 similarly strong cross-correlations between NAP and WAP sub-regions. Larval
271 euphausiid abundance did not exhibit positive autocorrelation ($p > 0.05$).

272 Unlike larval euphausiid abundance, *E. superba* recruitment cycles were coherent
273 across the AP study region. There was a relatively strong positive correlation in *E.*
274 *superba* recruit abundance between the full WAP and NAP study areas compared to the
275 euphausiid larvae time series (Fig. 3B). Recruit abundance in the WAP Coast sub-region
276 was only significantly correlated with the WAP Shelf, an apparent exception to the
277 pattern of synchronized recruitment throughout the AP study region (Table 1). Two to
278 three successive years of positive *E. superba* recruit abundance anomalies were typically
279 followed by two to three successive years of negative anomalies (Fig. 3B). Significant,
280 positive autocorrelation at 5 to 6 years was identified in recruit abundance time series
281 from all NAP sub-regions and the WAP Coast ($p < 0.05$).

282

283 *Euphausiid larvae composition*

284 The annual abundance of larval *E. superba* and *T. macrura* were both
285 significantly, positively related to total euphausiid larvae abundance in all three NAP
286 sub-regions ($p < 0.02$) (Fig. 4). Total euphausiid abundance was more strongly related to
287 *T. macrura* larvae abundance than *E. superba* larvae abundance at the NAP Slope and
288 Shelf.

289 Calyptopis stage larvae were numerically dominant compared to furcilia in the
290 WAP samples. Calytopes comprised 68% of larvae on average (median = 82%) in
291 individual samples.

292

293 *Larvae-recruit relationships*

294 The NAP and WAP Slope had substantial statistical support for impacting
295 subsequent *E. superba* recruitment on the continental shelf in both the NAP and WAP
296 (Table 2). According to AICc, the WAP Slope model was preferable to the NAP Slope
297 model in both cases. The importance of remote larval supply to the NAP was further
298 supported by the lack of statistical relationships between *E. superba* larvae abundance
299 and subsequent recruitment in this area (Supplemental Fig. 2) (linear regression; $p >$
300 0.31). Larval abundance at the NAP Shelf was identified as the best model for
301 recruitment at the combined WAP Shelf and Coast (Table 2), but it is unlikely that larvae
302 follow this transport path given regional circulation patterns. There was not support for
303 larval abundance at the NAP Coast, WAP Coast, or WAP Shelf driving subsequent krill
304 recruitment (Table 2).

305 The functional relationships between larval abundance in the WAP Slope sub-
306 region and subsequent *E. superba* recruitment on the continental shelf differed between
307 the NAP and WAP. WAP Slope larval abundance was positively, linearly related with
308 next year's *E. superba* recruit abundance at the combined NAP Coast and Shelf ($n = 18;$
309 $p = 0.006;$ deviance explained = 45%) (Fig. 5A). The larvae-recruit relationship at the
310 WAP Coast and Shelf suggested a threshold response ($n = 21;$ $p = 0.011;$ deviance
311 explained = 44%) (Fig. 5B). When larval abundance at the WAP Slope was below

312 average (ranging two orders of magnitude), *E. superba* recruit abundance anomaly the
313 following year was also negative but relatively stable. Following years with a positive
314 larval abundance anomaly, *E. superba* recruitment increased with larval abundance.

315

316 **Discussion**

317 *Spatial distribution of life stages*

318 Two decades of time-series data confirm the importance of oceanic slope waters
319 for larval euphausiid supply along the AP. Combined calyptopis and furcilia-stage
320 abundance was elevated in the NAP and WAP Slope sub-regions. A January 2011 survey
321 along the AP found *E. superba* calyptopes and furcilia were most abundant at the WAP
322 continental slope, with a secondary peak at the NAP Slope (Siegel et al. 2013). A spatial
323 analysis compiling *E. superba* data collected across the Southwest Atlantic sector from
324 1976-2011 showed calyptopes and furcilia were concentrated in waters deeper than 1000
325 m (Perry et al. 2019). A typical explanation for the offshore, oceanic distribution of *E.*
326 *superba* feeding stage larvae is that embryos must sink to depths of roughly 1000 m
327 without reaching the seafloor to successfully hatch (Hofmann et al. 1992). However, it is
328 possible embryos can still hatch after reaching the benthos and it is uncertain where
329 collected larvae were spawned.

330 Unlike larvae, *E. superba* recruits were concentrated in AP coastal and shelf
331 waters, indicating persistent habitat partitioning. At the NAP and WAP, elevated *E.*
332 *superba* recruit abundance coincided with low larval abundance in Coast and Shelf sub-
333 regions. Concentration of juvenile *E. superba* in shelf and coastal waters is common
334 along the AP and is consistent across the southwest Atlantic sector (Lascara et al. 1999;

335 Siegel et al. 2013; Perry et al. 2019). Elevated summer phytoplankton biomass along the
336 coastal AP (Reiss et al. 2017, their Fig. 4A; Brown et al. 2019) promotes faster krill
337 growth rates (Atkinson et al. 2006; Shelton et al. 2013) and makes these areas favorable
338 juvenile habitat. Additionally, ontogenetic habitat partitioning may reduce food
339 competition between krill larvae and recruits under limiting conditions (Siegel 1988;
340 Ryabov et al. 2017), although we note adult *E. superba* are not phytoplankton food
341 limited during summer at the WAP (Bernard et al. 2012).

342 Antarctic krill mature females were consistently most abundant in Shelf sub-
343 regions along the AP. This distribution is consistent with the highest densities of krill
344 embryos being concentrated on continental shelves (further inshore than feeding larvae)
345 (Perry et al. 2019). The spatial mis-match between mature females and larvae suggests
346 large numbers of embryos spawned over the continental shelf may fail to develop into
347 feeding larvae. High mortality rates are likely in shallow shelf waters where embryos
348 reach the seafloor before hatching (Hofmann et al. 1992; Thorpe et al. 2019). However,
349 not all mature females are active spawners (Quetin and Ross 2001), and we did not
350 distinguish by size or ovarian development stage. Adult krill on the AP shelf during
351 summer are typically smaller than those further offshore (Lascara et al. 1999; Siegel et al.
352 2013; Siegel and Watkins 2016), and larger females typically produce larger embryo
353 batches, although spawning output varies among individuals and years (Quetin and Ross
354 2001; Tarling et al. 2007). Therefore, the spatial distribution of mature females does not
355 necessarily indicate the spatial distribution of reproductive output.

356

357 *Regional coherence*

358 Asynchrony in larval euphausiid abundance across the AP supports the existence
359 of multiple localized *E. superba* spawning areas. The locations of these spawning areas
360 are consistent with regional circulation patterns (reviewed in Moffat and Meredith 2018).
361 The WAP's inner shelf is an isolated spawning area, and larvae that successfully develop
362 in this area are likely retained locally by ocean currents and a longer sea ice season
363 (Stammerjohn et al. 2008a; Piñones et al. 2013, Meyer et al., 2017). Larval abundance in
364 the NAP is decoupled from the WAP further south, likely because the northern tip of the
365 AP is influenced by water flowing from the Weddell Sea (Thompson et al. 2009) (Fig. 6).
366 Inflow from the Antarctic Coastal Current and a cyclonic gyre appear to generally isolate
367 NAP Coast, although its degree of exchange with the WAP remains unclear (Sangrà et al.
368 2017; Moffat and Meredith 2018). Larval abundance at the NAP may be coupled with
369 downstream areas in the ACC as larvae are transported out of our study region and into
370 the Scotia Sea (Thorpe et al. 2004; Fach and Klinck 2006) (Fig. 6). Differences in
371 seasonal spawn timing (Spiridonov 1995) may also contribute to the lack of strong
372 correlations between NAP and WAP summer larval abundances.

373 Unlike larval abundance, Antarctic krill recruit abundance was generally
374 synchronized along the AP, indicating recruitment is a regional-scale process. A notable
375 exception was the WAP Coast where *E. superba* recruits were abundant. Comparison of
376 annual krill recruitment indices from the WAP, NAP, and South Georgia showed positive
377 correlations among sampling areas from 1983 to 2000 (non-continuous time series)
378 (Siegel et al. 2003). Although some studies suggest recruitment cycles became decoupled
379 between the WAP and NAP from 2000 to 2008 (Loeb et al. 2010; Ross et al. 2014), our
380 analysis shows recruitment remained synchronized until at least 2011, in agreement with

381 recent work (Reiss 2016). Climate-scale environmental controls such as the El Niño
382 Southern Oscillation (ENSO) and the Southern Annular Mode (SAM) that affect sea ice
383 and primary production (Stammerjohn et al. 2008b; Loeb et al. 2009; Saba et al. 2014;
384 Kim et al. 2016) likely synchronize krill recruitment throughout the region. ENSO and
385 SAM indices are linked to krill recruitment success at the AP and throughout the
386 southwest Atlantic sector (Loeb et al. 2009; Ross et al. 2014; Atkinson et al. 2019).

387 The Antarctic krill life span (~6 years) coincides roughly with the period of its
388 synchronized population cycles. One hypothesis suggests this long lifespan is an adaptive
389 trait to deal with the environmental variability mentioned above, and it follows that
390 successful *E. superba* recruitment would occur more frequently if environmental
391 conditions were continuously favorable (Fraser and Hofmann 2003; Saba et al. 2014). An
392 alternate view suggests *E. superba*'s relatively long lifespan causes periodic recruitment
393 via intraspecific competition cycles that are independent of environmental variability
394 (Ryabov et al. 2017). Regardless of the underlying mechanism driving periodic krill
395 recruitment, climate-scale environmental forcing and larval dispersal are certainly
396 important for its synchronization at the 1000-km scale of our study (Ripa 2000; Koenig
397 2002; Ryabov et al. 2017).

398

399 *Euphausiid larvae composition*

400 The grouping of all euphausiid larvae together is a limitation of our study.
401 However, as our data analysis and interpretation depend upon relative temporal and
402 spatial patterns, and are largely based on order of magnitude abundance differences
403 between sampling years and sub-regions, we posit use of aggregated larval abundance is

404 adequate. Larval euphausiid samples collected in autumn and winter 2001 at the WAP
405 were numerically dominated by *E. superba* (typically > 95%) (Ashjian et al. 2008). The
406 positive relationships between *E. superba* larvae and total euphausiid larvae abundance
407 anomalies in all NAP sub-regions also support our use of aggregated euphausiid data to
408 understand *E. superba* population dynamics. Contamination from *T. macrura* due to its
409 high numerical abundance (Loeb and Santora 2015; Steinberg et al. 2015) is the most
410 likely source of error. *Euphausia superba* larvae were present in 50%, and *T. macrura*
411 larvae in 60%, of NAP samples from 1995 to 2009, but mean abundance was 37% greater
412 for *E. superba* larvae compared to *T. macrura* (Loeb and Santora 2015). Larval *E.*
413 *superba* and *T. macrura* abundance was positively correlated within and between years
414 (Loeb and Santora 2015). The strong relationship between total euphausiid and *T.*
415 *macrura* larvae abundance supports previous work showing both species are highly
416 positively correlated with phytoplankton productivity (Steinberg et al. 2015).

417 The numerical dominance of calyptopes in the WAP larvae samples also supports
418 their use in the study of *E. superba* population dynamics. Antarctic krill calyptopes were
419 an order of magnitude more abundant than furcilia at the AP during January (Siegel et al.
420 2013) when *T. macrura* larvae are typically in furcilia stages (Makarov 1979;
421 Nordhausen 1992).

422

423 *Larvae-recruit relationships*

424 The statistical link between larval abundance at the WAP continental slope and *E.*
425 *superba* recruitment on the shelf suggests offshore larval production drives recruitment.
426 Larvae are produced within our AP study area and further southwest in the

427 Bellingshausen Sea (upstream in the ACC) (Fig. 6). Above average phytoplankton
428 biomass in the Bellingshausen Sea was associated with high larval euphausiid abundance
429 at the WAP shelf break in autumn and strong *E. superba* recruitment the following
430 summer (Marrari et al. 2008). Phytoplankton biomass and primary productivity are strong
431 predictors of subsequent *E. superba* recruitment and post-larval abundance along the
432 NAP (Loeb et al., 2009) and WAP (Saba et al., 2014; Steinberg et al. 2015). Importantly,
433 early spawn timing also contributes to successful recruitment (Siegel and Loeb 1995).
434 Additional time for larval development under high phytoplankton conditions likely
435 increases overwinter survival (Ross and Quetin 1989).

436 The shelf is key habitat for later stage *E. superba* larvae despite lower numerical
437 abundance compared to the slope. In autumn 2001 and 2002, *E. superba* larvae were
438 more developmentally advanced and had improved body condition on the shelf where
439 phytoplankton biomass is typically higher than offshore waters (Pakhomov et al. 2004;
440 Daly et al. 2004). Therefore, the proportion of larvae that reach shelf waters may be more
441 developed going into winter and thus have greater recruitment success (Ross and Quetin
442 1989; Daly et al. 2004). Shelf waters may include a mix of larvae spawned locally and
443 offshore (Wiebe et al. 2011; Piñones et al. 2013), but our data show larval abundance is
444 an order of magnitude lower on the shelf compared to the slope and suggest the
445 importance of shoreward transport to drive subsequent recruitment.

446 Coupling between larval euphausiid abundance at the WAP slope and recruitment
447 at the NAP suggests meridional links along the AP are direct and important (Fig. 6).
448 These areas are connected by northeastward flow of the ACC, and larval transport onto
449 the shelf is likely common at canyons (Orsi et al. 1995; Martinson et al. 2008; Martinson

450 and McKee 2012; Piñones et al. 2013). From 1980 to 2004, *E. superba* larvae abundance
451 at Elephant Island was positively correlated with the following summer's proportional
452 recruitment (Loeb et al. 2009). In contrast, our analysis suggests local larval production
453 was not driving periodic recruitment in the NAP from 1995-2011.

454 Regional warming has had divergent impacts on AP ecosystems. Phytoplankton
455 biomass and diatom proportion decreased at the NAP but increased further south along
456 the WAP from the 1980s to 2000s (Montes-Hugo et al. 2009), likely having localized
457 impacts on larval production and survival. While the WAP has shifted from perennial sea
458 ice coverage to seasonal sea ice coverage, the NAP is now ice-free for most of the year
459 (Stammerjohn et al. 2008b; Montes-Hugo et al. 2009; Reiss et al. 2017). This latitudinal
460 gradient in the ecosystem may have increased the importance of larvae from the WAP
461 recruiting at the NAP during this period of rapid environmental change. One study found
462 that *E. superba* abundance declined at the NAP while remaining stable or increasing
463 along the WAP from the 1970s to 2010s (Atkinson et al. 2019). However, another study
464 using the same database found no substantial decline in krill abundance (Cox et al., 2018),
465 and an integrated model also showed variability but no directional trend in krill spawning
466 biomass or recruit abundance at the NAP over the same time period (Kinzey et al. 2019).
467 Recent winter surveys do suggest krill recruitment at the NAP is decoupled from local
468 larval abundance (Walsh et al. 2020) and support the importance of remote larval supply.

469 Our results suggest krill recruitment fails following years of below average
470 offshore larval abundance. Recruitment increases with larval abundance following above-
471 average larvae years. Krill year-class failure is well-documented at the AP (Reiss et al.
472 2008; Ross et al. 2014), but the spawner-recruit relationship remains uncertain (Kinzey et

473 al. 2019). Given that larval abundance, but not spawning biomass, has a clear one-year
474 lagged relationship with recruitment, it appears individual spawning output and timing
475 are key drivers of krill recruitment (Siegel and Loeb 1995; Saba et al. 2014). Our findings
476 suggest total egg production or larval abundance estimates may provide valuable
477 information about krill reproductive potential within the fishery management framework
478 (Murawski et al. 2001; Kell et al. 2016).

479

480 *Implications for fishery management and climate-driven change*

481 Commercial krill catch at the NAP reached at least 94% of the 155,000 ton limit
482 for this subarea of the Southwest Atlantic each year from 2013-2018 (CCAMLR 2018;
483 Cavan et al. 2019). The Commission for the Conservation of Antarctic Marine Living
484 Resources, which manages the krill fishery, has determined that the catch limit may only
485 be increased further if the catch is spatially subdivided (see Hewitt et al. 2004 for
486 example allocation strategies) to limit the potential that the fishery takes the entire
487 interim catch limit of 620,000 tons from a single location. Strategies to distribute the
488 catch spatially may result in increased fishing pressure in critical areas of recruitment or
489 larval production and should be carefully considered. Other spatial management
490 frameworks are also being considered for the AP region, and these include the delineation
491 of a marine protected area (MPA) (Hindell et al. 2020). Implementing effective spatial
492 management requires careful consideration of the target species' life history, distribution,
493 and larval dispersal (Hilborn et al. 2004; Manel et al. 2019). Understanding whether
494 upstream production of krill larvae in the WAP is responsible for recruitment and

495 population dynamics in other areas is critical to understand in order to develop
496 appropriate protected areas in a changing environment.

497 Our findings emphasize the importance of considering cross-shelf and alongshore
498 krill population connectivity for MPAs or spatial management frameworks to achieve
499 their conservation goals. In agreement with current krill life history models, recruitment
500 in shelf and coastal waters along the AP is likely the product of larval production over the
501 continental slope. The consistent relationship between krill larval abundance at the WAP
502 and subsequent recruitment at the NAP suggests the ACC and other regional current
503 flows play an important role in larval dispersal (Fig. 6). Clarifying the impact of larval
504 production in the Bellingshausen and Weddell Seas remains a challenge. Additionally,
505 recruits from the AP likely source the krill population near South Georgia where local
506 recruitment is unsuccessful (Fach and Klink 2006; Tarling et al. 2007; Thorpe et al. 2007;
507 Reid et al. 2010). Importantly, spatial catch distribution and MPA design should
508 anticipate the impacts of continued climate-driven ecosystem shifts (Montes-Hugo et al.
509 2009; Flores et al. 2012; Atkinson et al. 2019; Hindell et al. 2020).

510 A management approach that distinguishes between successful and failed krill
511 recruitment years may help achieve precautionary harvest rates and support ecosystem-
512 based management goals. If held constant, the same fishery catch at the NAP is more
513 detrimental to penguin performance during years of failed krill recruitment compared to
514 successful recruitment years (Watters et al. 2020). Larval abundance estimates and
515 length-based recruit abundance estimates effectively capture synchronized regional
516 population cycles. Predator diet sampling as well as autonomous platforms equipped with
517 optical and acoustic sensors can provide valuable information if ship-based surveys are

518 not feasible. Fishery-independent time series provide the backbone for understanding
519 krill ecology and population dynamics in a changing Southern Ocean.

520

521 **Data availability statement**

522 All post-larval krill abundance and length data up to 2016 are available in KRILLBASE
523 at <https://doi.org/10.5285/8b00a915-94e3-4a04-a903-dd4956346439>. Additional U.S.

524 AMLR data will be shared on reasonable request to the Director, U.S. AMLR Program,

525 NOAA Fisheries, Southwest Fisheries Science Center, La Jolla, California. Post-larval

526 krill data from PAL LTER are available in the Environmental Data Initiative Portal at

527 <https://doi.org/10.6073/pasta/03e6d72a78bc2512ef5bb327e686f8fa> (abundance, 1993-

528 2008), <https://doi.org/10.6073/pasta/434b2f73803b9d3d8088cd094cf46cca> (abundance,

529 2009-2019), and <https://doi.org/10.6073/pasta/be42bb841e696b7bcad9957aed33db5e>

530 (length, 2009-2019). Larval euphausiid abundance data from PAL LTER (1993-2013) are

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532

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539

540

541 **Conflict of interest declaration**

542 The authors declare no conflicts of interest.

543

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552

553

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Table 1. Pearson's correlation coefficients across study sub-regions for annual euphausiid larvae abundance from 1995-2011 ($n = 17$ years) (above the diagonal) and annual *Euphausia superba* recruit abundance from 1993-2011 ($n = 19$ years) (below the diagonal). Values in italics indicate $p < 0.003$.

	WAP Coast	WAP Shelf	WAP Slope	NAP Coast	NAP Shelf	NAP Slope
WAP Coast	-	<i>0.68</i>	0.61	0.15	0.38	0.31
WAP Shelf	<i>0.68</i>	-	<i>0.81</i>	0.08	0.39	0.50
WAP Slope	0.26	<i>0.75</i>	-	0.20	0.40	0.56
NAP Coast	0.47	<i>0.76</i>	<i>0.66</i>	-	<i>0.69</i>	0.57
NAP Shelf	0.50	<i>0.84</i>	<i>0.79</i>	<i>0.89</i>	-	<i>0.88</i>
NAP Slope	0.38	<i>0.65</i>	0.54	<i>0.83</i>	<i>0.86</i>	-

Table 2. Summary of model selection statistics from linear regression models assessing the relationship between euphausiid larvae abundance (1995-2010) and *Euphausia superba* recruit abundance (1996-2011) in the following year ($n = 16$ years). AICc: corrected Akaike Information Criterion; Δ AICc: difference from lowest AICc; AICc weight – relative model support or probability. Italics indicate models with Δ AICc < 2.

Explanatory variable (1-yr lag)	NAP Coast & Shelf <i>Euphausia superba</i> recruits			WAP Coast & Shelf <i>Euphausia superba</i> recruits		
	AICc	Δ AICc	AICc weight	AICc	Δ AICc	AICc weight
NAP Slope euphausiid larvae	25.9	1.39	0.28	36.1	1.37	0.19
WAP Slope euphausiid larvae	24.5	0.00	0.56	35.5	0.78	0.26
NAP Shelf euphausiid larvae	28.1	3.56	0.09	34.7	0.00	0.38
WAP Shelf euphausiid larvae	30.0	5.50	0.04	38.2	3.52	0.07
NAP Coast euphausiid larvae	32.2	7.74	0.01	37.6	2.87	0.09
WAP Coast euphausiid larvae	31.5	6.97	0.02	40.4	5.73	0.02

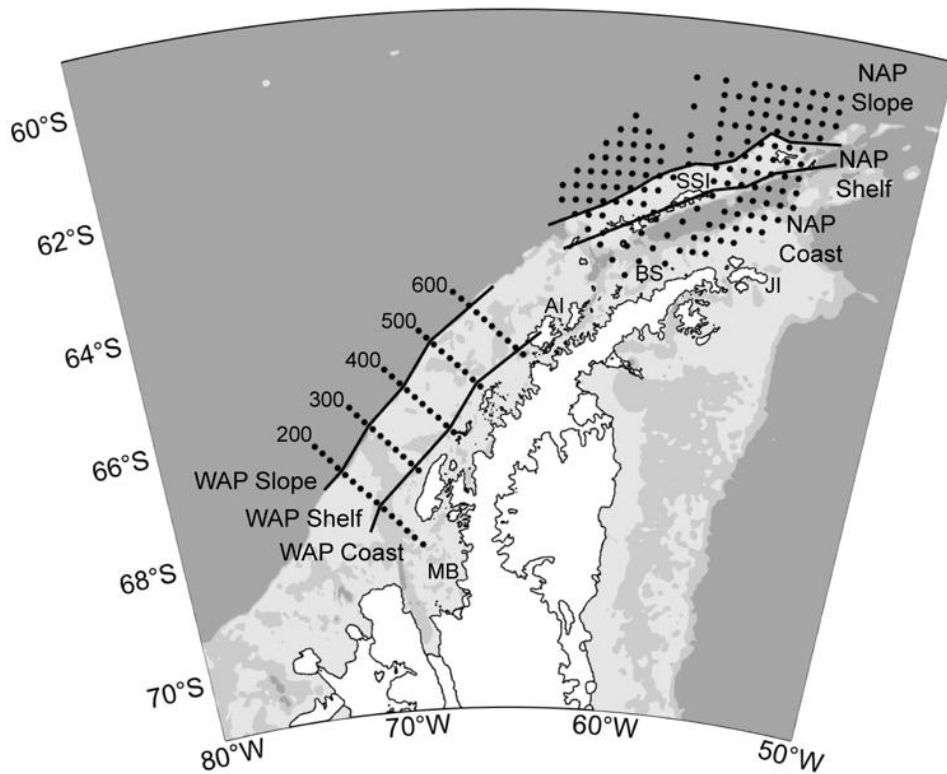


Figure 1. Map of the study area indicating U.S. AMLR sampling sub-regions in the North Antarctic Peninsula (NAP) and PAL LTER sampling sub-regions in the West Antarctic Peninsula (WAP). Bathymetric shading indicates 0-500, 500-1000, and > 1000 m depth intervals. Black dots indicate sampling stations, the occupation of which varied through time. SSI: South Shetland Islands, JI: Joinville Island, BS: Bransfield Strait, AI: Anvers Island, MB: Marguerite Bay, 600-200: PAL LTER sampling grid lines.

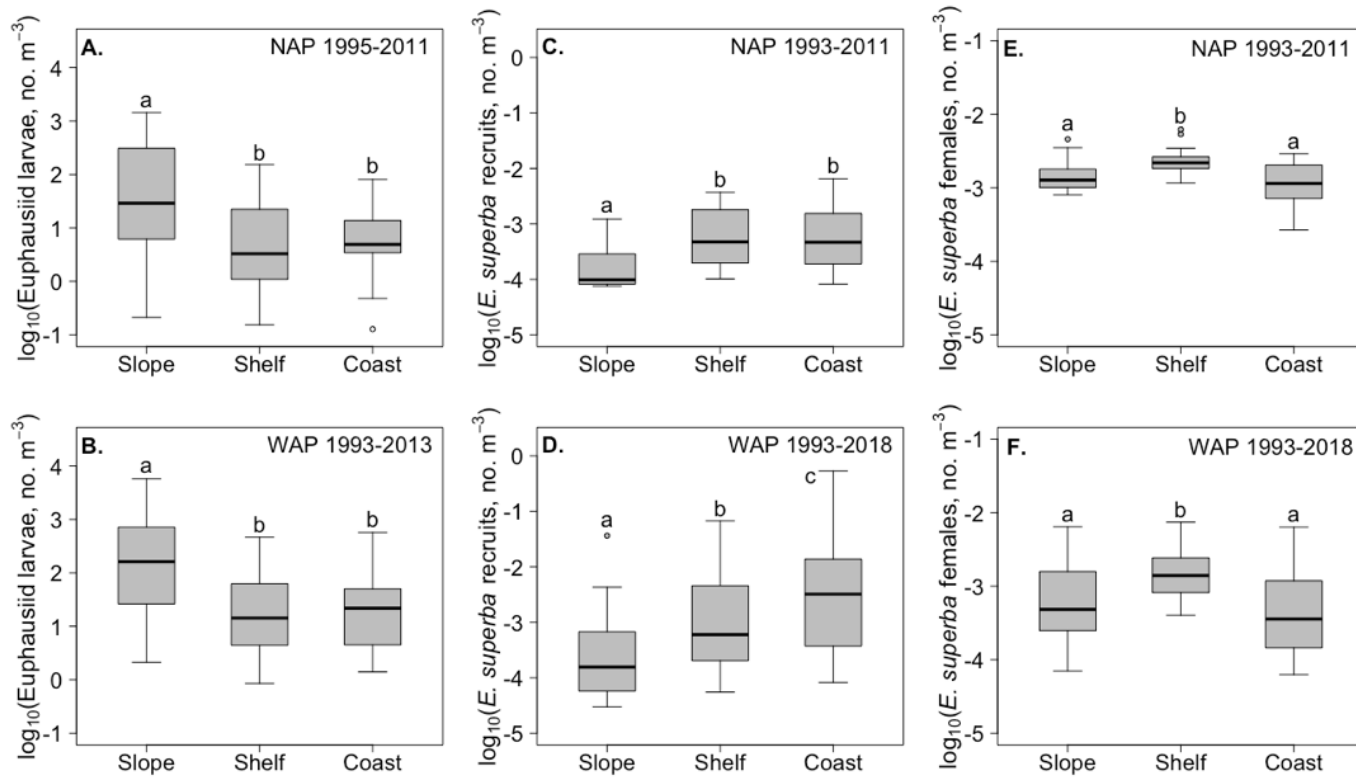


Figure 2. Spatial abundance comparisons. Annual mean \log_{10} -adjusted abundance for (A-B) euphausiid larvae, (C-D) *Euphausia superba* recruits, and (E-F) *E. superba* mature females at the North Antarctic Peninsula (NAP) Slope, Shelf, and Coast sub-regions (A, C, E) and West Antarctic Peninsula (WAP) Slope, Shelf, and Coast sub-regions (B, D, F). Thick black line indicates the median, gray box indicates the interquartile range, and whiskers indicate the range excluding outlier values indicated as points. Different lowercase letters indicate statistically different group means. Note different scales although abundance is not directly comparable across plots due to different sampling methods. Sample size $n = 17$ -26 years.

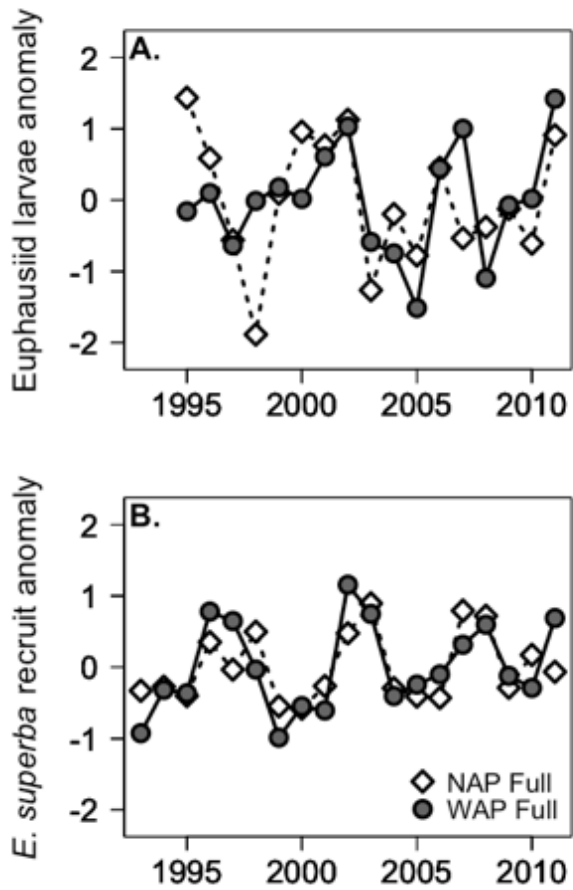


Figure 3. Annual abundance anomaly time series. (A) Euphausiid larvae abundance anomalies in the full NAP sampling area (dashed line, white diamonds) and the full WAP sampling area from 1995 to 2011 (solid line, gray circles) ($n = 17$; $p = 0.05$; Pearson's $r = 0.47$). (B) *Euphausia superba* recruit abundance anomalies for the same study areas from 1993 to 2011 ($n = 19$; $p = 0.0004$; Pearson's $r = 0.72$).

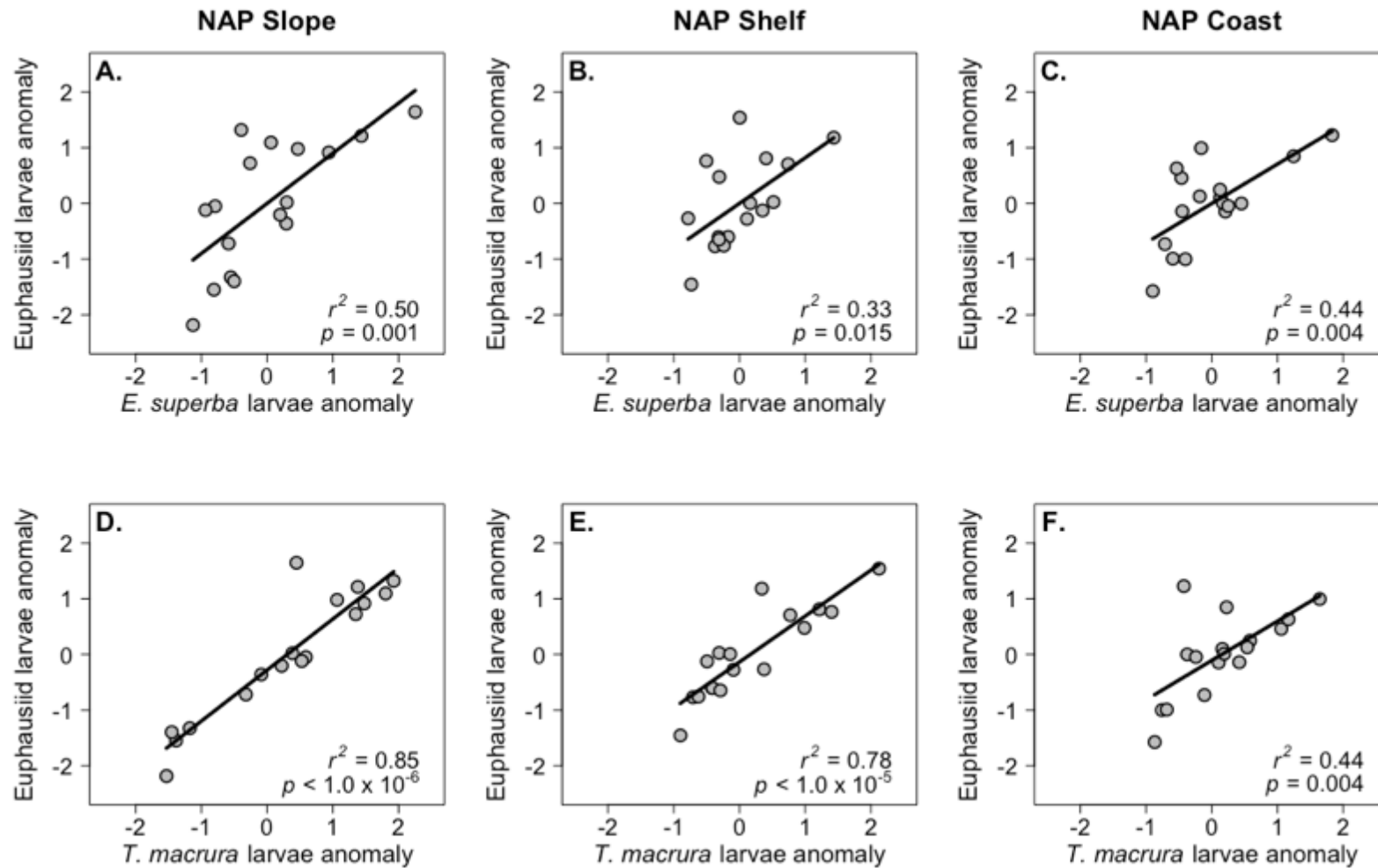


Figure 4. *Euphausia superba* larvae abundance anomaly (A-C) and *Thysanoessa macrura* larvae abundance anomaly (D-F) versus total euphausiid larvae abundance anomaly in the NAP Slope (A, D), Shelf (B, E), and Coast (C, F) sub-regions from 1995 to 2011 ($n = 17$ years). Black line indicates linear regression fit.

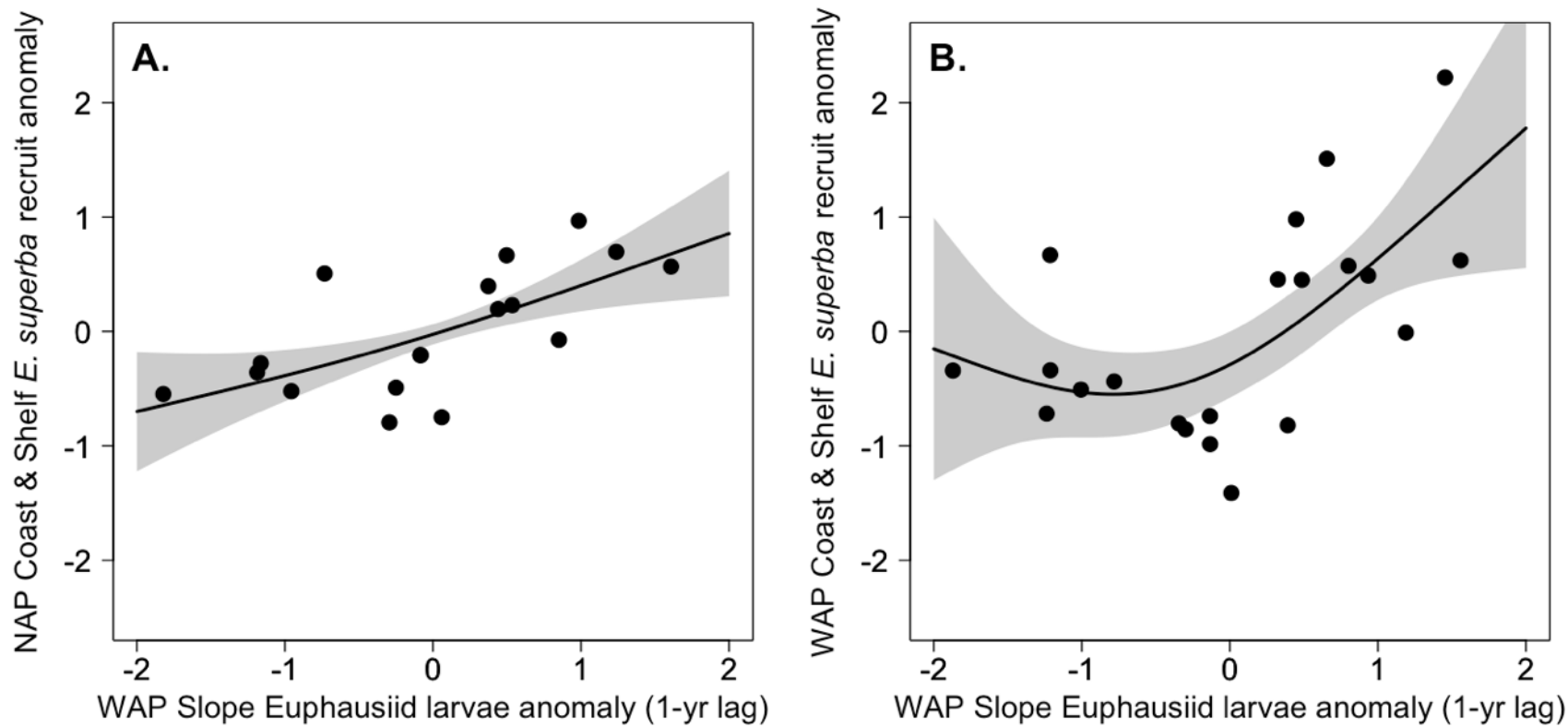


Figure 5. WAP Slope euphausiid larvae abundance anomaly versus the following year's *Euphausia superba* recruit abundance anomaly in the (A) combined NAP Coast and Shelf sub-regions from 1994 to 2011 ($n = 18$ years), and (B) combined WAP Coast and Shelf sub-regions from 1994 to 2014 ($n = 21$ years). Black line indicates the mean regression spline fit, and gray shading indicates the 95% confidence interval.

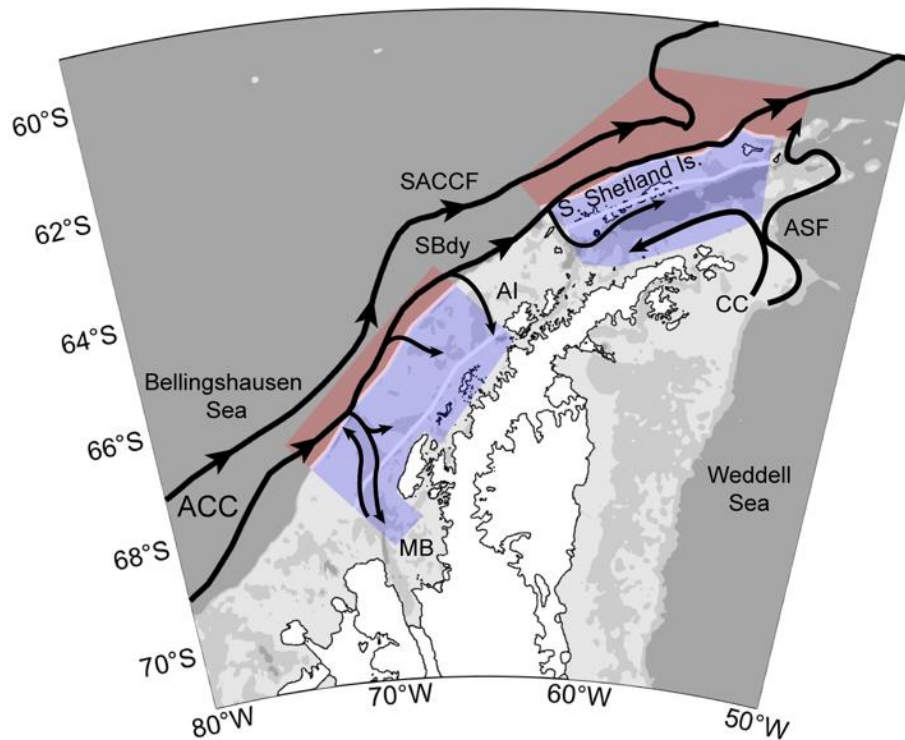


Figure 6. Conceptual diagram illustrating how regional ocean circulation relates to areas of relatively high krill larval abundance (red sub-regions) and recruit abundance (blue sub-regions) along the Antarctic Peninsula. The Antarctic Circumpolar Current (ACC) flows from the West Antarctic Peninsula to the North Antarctic Peninsula. Cross-shelf transport connects oceanic waters of the ACC to the Antarctic Peninsula shelf. Although not sampled in this study, the Bellingshausen and Weddell Seas likely influence krill population dynamics at the Antarctic Peninsula. Similarly, krill reproduction and recruitment at the Antarctic Peninsula likely impact abundance to the northeast in the Scotia Sea (not shown). See Fig. 1 for sampling sub-regions shown in this diagram. Ocean current locations and

illustrations are from Orsi et al. 1995, and Moffatt and Meredith 2018. SACCF: Southern ACC Front, SBdy: Southern ACC Boundary, ASF: Antarctic Slope Front, CC: Antarctic Coastal Current, AI: Anvers Island, MB: Marguerite Bay.