

1 **VCP/p97 regulates Beclin-1-dependent autophagy**

2 **initiation**

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24 25 26 **Abstract**

27 Autophagy is an essential cellular process that removes harmful protein species, and
28 autophagy upregulation may be able to protect against neurodegeneration and
29 various pathogens. Here, we have identified the essential protein VCP/p97 as a
30 novel regulator of autophagosome biogenesis, where VCP regulates autophagy
31 induction in two ways, both dependent on Beclin-1. Utilizing small-molecule inhibitors
32 of VCP ATPase activity, we show that VCP stabilizes Beclin-1 levels by promoting
33 the deubiquitinase activity of Ataxin-3 towards Beclin-1. VCP also regulates the
34 assembly and activity of the Beclin-1-containing phosphatidylinositol-3-kinase (PI3K)
35 complex I, thus regulating the production of PI(3)P, a key signaling lipid responsible
36 for the recruitment of downstream autophagy factors. Decreased levels of VCP, or
37 inhibition of its ATPase activity impairs starvation-induced production of PI(3)P and

38 limits downstream recruitment of WIPI2, ATG16L and LC3, thereby decreasing
39 autophagosome formation, illustrating an important role for VCP in early autophagy
40 initiation.

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42

43 **Keywords**

44 Autophagy, VCP, Beclin-1, Ataxin-3, Neurodegeneration

45

46 **Introduction**

47 Macroautophagy (henceforth autophagy) is an essential cellular process for
48 degradation and recycling of cytosolic material, including entire organelles. These
49 substrates are engulfed by double-membrane cup-shaped structures called
50 phagophores. After the edges of the phagophores close, they become known as
51 autophagosomes, which ultimately fuse to lysosomes to enable their degradation.
52 Autophagy protects against the accumulation of damaged and dysfunctional
53 components. The ability to upregulate autophagy is desirable from a therapeutic
54 perspective as it protects against several metabolic and neurodegenerative diseases,
55 as well as against cancer initiation ¹.

56

57 During autophagy induction, the sites of phagophore formation are determined by a
58 confined enrichment of the lipid phosphatidylinositol-3-phosphate (PI(3)P) on
59 precursor membranes ²⁻⁴. The PI(3)P is produced by the phosphatidylinositol-3-kinase
60 (PI3K) complex I, consisting of the kinase VPS34 together with the regulatory
61 proteins VPS15, Beclin-1 and ATG14L. Many upstream signaling pathways converge
62 to regulate this kinase complex and the levels of its components in order to modulate
63 autophagy ⁵. PI3K kinase activity results in the recruitment of PI(3)P-binding proteins,
64 which eventually mediate the conjugation of ATG8 family proteins, such as LC3, to
65 phosphatidylethanolamine in phagophore membranes ^{6,7}. Lipid-conjugated LC3
66 levels (LC3-II) correlate with autophagic load and LC3-II levels in the presence of
67 lysosomal inhibitors (like Bafilomycin A1) reflect rates of LC3-II/autophagosome
68 formation ⁸.

69

70 Here we have identified Valosin-containing protein (VCP, also called p97), an
71 essential and evolutionary conserved ATPase associated with diverse cellular
72 activities ⁹, as a novel regulator of autophagy initiation. Our findings are distinct from
73 previous studies that have observed that cells expressing dysfunctional versions of

74 VCP accumulate immature autophagic vesicles, positive for LC3 and p62¹⁰⁻¹², and
75 display defects in autophagic clearance¹³⁻¹⁶, indicative of a role in autophagosome
76 maturation and fusion with the lysosome.

77

78 The role of VCP in autophagosome maturation has been attributed to VCP affecting
79 multiple steps of endocytosis. This may affect autophagic flux, as autophagosome-
80 endosome fusion precedes autophagosome-lysosome fusion¹⁷. VCP interacts with
81 the endocytic coat protein Clathrin, and regulate caveolin trafficking through the
82 endosomal system^{15,18}. Furthermore, VCP has been suggested to regulate the
83 oligomeric assembly of EEA1 oligomers, thereby governing the size and trafficking
84 rate of early endosomes¹⁴. In addition, VCP has been implicated in the autophagic
85 removal of lysosomes themselves (lysophagy)¹³, by modulating ubiquitin linkages on
86 damaged lysosomes to enable LC3 binding and recruitment to autophagosomes.
87 Thus, the mechanisms for VCP regulation of autophagosome maturation involves
88 both endosomal transport as well as maintenance of a healthy pool of lysosomes.

89

90 Here, we exploit the ability to acutely inhibit VCP activity with small-molecule
91 inhibitors to show that VCP regulates autophagy induction and the formation of
92 autophagosomes, in addition to regulating autophagosome maturation. We identify
93 VCP as a novel interactor of the key autophagy protein Beclin-1 and show that VCP
94 stimulates Ataxin-3-dependent stabilization of Beclin-1 levels. In addition, VCP acts
95 to enhance the assembly and activity of the Beclin-1-containing PI3K complex in a
96 manner independent of Ataxin-3. Through these two mechanisms, VCP stimulates
97 the production of PI(3)P, and governs the recruitment of early autophagosome
98 markers, thereby fulfilling an important role in early initiation of autophagy.

99

100

101 Results

102 VCP interacts with Beclin-1 and affects autophagy

103 PI(3)P formation in autophagy initiation is Beclin-1-dependent, and we have
104 previously shown that Ataxin-3 interacts with Beclin-1 and regulates the levels of this
105 protein, thereby influencing autophagy¹⁹. Other studies have showed that Ataxin-3
106 interacts with the major ATPase VCP²⁰⁻²³, and we thus hypothesized that VCP could
107 interact with Beclin-1, directly or indirectly, and possibly play a role in Beclin-1
108 dependent autophagy. We could indeed verify the endogenous interaction of these
109 two proteins in human cells (Fig. 1a), where VCP co-immunoprecipitated with Beclin-
110 1. To gain mechanistic insights into the interaction between VCP and Beclin-1 we
111 attempted to map the binding site using truncated versions of Beclin-1²⁴. While we
112 were able to confirm the interaction between VCP and full-length Beclin-1 *in vitro*, we
113 could not pinpoint a specific region of Beclin-1 responsible for VCP binding, as VCP
114 was found to bind all of the different truncated proteins of Beclin-1 (Extended Data
115 Fig. 1a-c). While this could indicate that VCP is able to interact with Beclin-1 at
116 several sites, this could also be a consequence of improper folding of the Beclin-1
117 truncations leading to recognition by the chaperone-like activity of VCP²⁵.

118
119 The interaction between the two proteins both *in vivo* and *in vitro* prompted us to test
120 if VCP regulated Beclin-1-dependent autophagosome biogenesis. This would
121 represent a new role for VCP in autophagy initiation, in addition to its reported
122 functions in autophagosome maturation/clearance¹⁰⁻¹². In order to distinguish
123 between effects on autophagosome formation and degradation, we acutely inhibited
124 VCP activity with the reversible inhibitor DBeQ and combined this with pre-treatment
125 using Bafilomycin A1 (BafA) to impair lysosome activity, which allowed us to assess
126 LC3-II/autophagosome formation. In both basal and starvation conditions (where
127 autophagy is induced), DBeQ caused an accumulation of LC3-II in the absence of
128 BafA, but a decrease in LC3-II levels in the presence of BafA, corresponding to a
129 combined phenotype where both autophagosome formation and degradation are
130 impaired (Fig. 1b-c). The impaired starvation response upon VCP inhibition with
131 DBeQ could be recapitulated in mouse primary cortical neurons (Extended Data Fig.
132 1d), and was further demonstrated by the absence of starvation-induced LC3 puncta
133 in cells (Fig. 1d-e). Inhibition of the starvation response was further recapitulated
134 using additional commercial VCP inhibitors, where both the allosteric inhibitor
135 NMS873²⁶, and the competitive DBeQ analogue CB-5083²⁷ impaired the increase of
136 LC3 puncta (autophagosome numbers) upon starvation (Extended Data Fig. 2a-c).

137

138 The loss of LC3 induction upon VCP inhibition was accompanied with a reduction in
139 the production of PI(3)P by the Beclin-1-containing PI3K complex, which is an early
140 signal for autophagy induction that acts upstream of LC3 recruitment to
141 autophagosomes⁵. We found that starvation-induced PI(3)P production was
142 impaired upon acute VCP inhibition with DBeQ (Fig. 1f and Extended data figure 2d)
143 as well as with the VCP inhibitors NMS873 and CB-5083 (Extended Data Fig. 2e-g).
144 The extent of reduction in starvation-induced PI3K activity for VCP inhibition was in
145 the same range as following direct PI3K kinase inhibition by Wortmannin or IN1
146 (Fig.1f and Extended Data Fig. 2d-g), indicating a strong dependence of VCP
147 activity for PI(3)P production. Additionally, VCP knockdown impaired ATG5-12
148 conjugation, an early step preceding LC3-II formation which is Beclin-1/PI(3)P-
149 dependent²⁸, further supporting a role for VCP in autophagy initiation (Extended data
150 Fig. 2h).

151

152 While loss of PI(3)P induction upon starvation could be seen also upon siRNA-
153 mediated knockdown of VCP (Extended Data Fig. 3a-b), the defect in LC3-II
154 (autophagosome formation) production seen with VCP inhibitors could not be
155 replicated using this genetic knockdown approach (Extended Data Fig 3c-d), possibly
156 due to the long-term accumulation of autophagosomes masking any visible effects
157 on formation enabled by 4 h BafA treatment at the end of the experiment, or
158 secondary effects arising during the prolonged depletion of an essential protein.
159 Indeed, we observed that knockdown of VCP even using low concentrations of
160 siRNA resulted in growth arrest and a large proportion of cell death (not shown), in
161 agreement with previously published studies^{29,30}. Thus, the acute inhibition of VCP
162 using available inhibitors provides us with a tool for studying its role in essential
163 cellular processes, while avoiding the detrimental effects of VCP knockdown.

164

165 **VCP governs the recruitment of early autophagy markers**

166 To further focus on the effects on VCP on early autophagy initiation, we studied the
167 appearance of early autophagy markers, which, unlike LC3 levels, would be
168 unaffected by a potential block in autophagosome fusion to the lysosome, thus
169 allowing us to discriminate between the role of VCP in autophagy induction versus its
170 role in autophagosome maturation. WD repeat domain phosphoinositide-interacting
171 protein 2 (WIPI2) is recruited to sites of autophagy initiation by binding to PI(3)P and,
172 in turn, recruits the ATG12-ATG5-ATG16L complex to enable downstream
173 recruitment and conjugation of LC3 to the forming autophagosome membranes³¹.

174 We found that inhibition of VCP with DBeQ significantly impaired starvation-induced
175 accumulation of both WIPI2 (Fig. 2a-b) and ATG16L puncta (Fig 2c-d), as would be
176 expected after the decreased production of PI(3)P. The same effect was seen using
177 additional VCP inhibitors NMS873 and CB-5083 (Extended Data Fig. 4a-b and 4d-e).
178 In addition to the observed impairment of starvation-induced autophagy, we also
179 found LC3 puncta accumulation upon treatment with the mTOR inhibitor, Torin 1
180 (which recapitulates the mTORC1 inhibition component of starvation-induced
181 autophagy), to be abolished by VCP inhibition (Extended Data Fig 4c and 4f). Thus,
182 VCP interacts with Beclin-1 and is important for PI(3)P production by the Beclin-1-
183 containing PI3K complex during multiple scenarios of autophagy induction.

184

185 **VCP stabilizes Beclin-1 levels via Ataxin-3**

186 Genetic knockdown of *VCP* expression or inhibition of VCP activity reduced Beclin-1
187 protein levels in both primary mouse neurons and in human cells (Fig. 3a, and
188 Extended Data Fig. 5a-b). VCP inhibition with DBeQ also reduced Beclin-1 levels in
189 the presence of the translational inhibitor cycloheximide (CHX), which was blocked
190 by the addition of the proteasome inhibitor MG132, suggesting that VCP inhibition
191 caused more rapid proteasomal degradation of Beclin-1 (Fig. 3b). Thus, loss of VCP
192 function leads to destabilization of Beclin-1 and a decreased production of PI(3)P
193 and LC3-II, compromising autophagy induction.

194

195 We have previously reported that the deubiquitinase Ataxin-3 stabilizes Beclin-1, and
196 that loss of Ataxin-3 reduces Beclin-1 levels and impairs autophagosome biogenesis
197 ¹⁹. VCP has been reported to bind Ataxin-3 and to stimulate its deubiquitinase activity
198 towards synthetic substrates *in vitro* ²⁰⁻²³, and Ataxin-3 together with VCP are
199 important for nematode survival during pro-longed starvation³². Hence, Ataxin-3-
200 dependent stabilization of Beclin-1 encompasses a potential mechanism to explain
201 the role of VCP in autophagy initiation. We found that knockdown and inhibition of
202 VCP decreased levels of both Ataxin-3 and Beclin-1 (Fig. 3a-b, Fig. 3c; input, and
203 Extended Data Fig. 5a-c) and postulated that a drop in protective deubiquitination by
204 Ataxin-3 destabilized Beclin-1 in VCP-inhibited cells. To investigate the role of VCP
205 in Ataxin-3-dependent regulation of Beclin-1, we utilized an Ataxin-3 mutant with
206 compromised binding ability to VCP (Ataxin-3_{ΔVCP}; Extended Data Fig. 5d) ³³. While
207 the interaction between Ataxin-3 and Beclin-1 is not dependent on VCP (Fig. 3c and
208 Extended Data Fig. 5e), the binding of Ataxin-3 to VCP seems to increase the ability
209 of Ataxin-3 to protect Beclin-1 from proteasomal degradation, as overexpression of
210 Ataxin3_{ΔVCP} was less able to stabilize Beclin-1 levels compared to overexpression of

211 the wildtype Ataxin-3 allele (Fig. 3d-e). These effects are likely proteasome-
212 dependent, as no significant differences were observed between these constructs in
213 cells treated with the proteasome inhibitor, MG132 (Fig. 3d-e). Similarly, rescue of
214 LC3 puncta formation (autophagosome numbers) in *ATAXIN-3* knockdown cells was
215 more efficiently accomplished by wild-type Ataxin-3, compared to Ataxin3 Δ VCP (Fig. 3f
216 and Extended Data Fig. 6a-b). Furthermore, we could show that in the presence of
217 ATP, VCP stimulates Ataxin-3 deubiquitination of Beclin-1 *in vitro* (Fig. 3g and
218 Extended Data Fig. 6c). A significant decrease in Beclin-1 ubiquitination was
219 observed when VCP or Ataxin-3 were added alone (Fig. 3g), but the combination of
220 the two proteins yielded an even more pronounced reduction in Beclin-1
221 ubiquitination, hence providing evidence for VCP regulation of Ataxin-3
222 deubiquitination towards a physiologically relevant substrate. Together, these data
223 indicate that VCP binding of Ataxin-3 is important to protect Beclin-1 from
224 proteasomal degradation, by stimulating the deubiquitinase activity of Ataxin-3
225 towards Beclin-1. Thus, stabilization of Beclin-1 via Ataxin-3 provides one possible
226 mechanism for VCP-dependent regulation of autophagosome formation.

227

228 **VCP interacts with PI3K complex**

229 Beclin-1 is a core component of the PI3K complex, which exists in at least three
230 different compositions to regulate autophagy at different steps, and endocytosis³⁴.
231 The core components VPS15, VPS34 and Beclin-1 form PI3K complex I together
232 with ATG14L to regulate autophagosome formation, while in complex II ATG14L is
233 replaced by UVRAG to positively regulate endocytosis^{35,36}. In complex III, Rubicon
234 binds UVRAG to negatively regulate the activity of the complex^{37,38}. We found that
235 endogenous VCP interacts with all three PI3K complexes (Fig. 4a), as it could be
236 identified in immunoprecipitations of endogenous ATG14L, UVRAG as well as
237 Rubicon. We therefore focused further studies on the ATG14L-containing complex I
238 to gain insights into the role of VCP in autophagosome formation. VCP interacted
239 with ATG14L even in cells where expression of *ATAXIN-3* or *BECLIN-1* had been
240 reduced by siRNA-mediated knockdown (Fig. 4b-c), and Ataxin-3 was found
241 associated with the ATG14L-containing PI3K complex even when Beclin-1 levels
242 were diminished (Fig. 4d). Thus, we considered roles for VCP in PI3K complex I
243 assembly, as a distinct role from its ability to stabilize Beclin-1 levels.

244

245 Knockdown of *VCP* reduced the amount of total ATG14L as well as the amount of
246 ATG14L associated with Ataxin-3 (Extended Data Fig. 7a). The reduced levels of
247 ATG14L could be an indirect effect of decreased Beclin-1 levels, as the PI3K

248 complex members are known to stabilize each other^{38,39}. Thus, while our previous
249 data showed no effect on the Ataxin-3 and Beclin-1 interaction upon VCP inhibition
250 (Fig. 3c), VCP might enhance the recruitment of Ataxin-3 to the pool of Beclin-1 in
251 complex with ATG14L. The interaction of VCP with the PI3K complex I seems
252 independent of Ataxin-3 and Beclin-1, suggesting that VCP can interact with
253 additional components of the PI3K complex. In agreement with this, we found that
254 VCP interacts directly with VPS34, ATG14L and Beclin-1 of the PI3K complex I *in*
255 *vitro* (Extended Data Fig. 7b-d; VPS15 was not included as it could not be
256 individually purified), further indicating that VCP could interact with the PI3K complex
257 in the absence of Ataxin-3. A mutated version of the VCP cofactor UFD1L,
258 UFD1L_{ΔVCP} (Δaa215-241; ⁴⁰), was used as a negative control in binding experiments.

259

260 **VCP regulates PI3K assembly *in vitro* and *in vivo***

261 Addition of VCP to pre-assembled PI3K complexes (Extended Data Fig. 8a)
262 increased the *in vitro* production of PI(3)P (Fig. 4e), suggesting that we should test if
263 VCP facilitates the assembly of the PI3K complex itself, in addition to bringing Ataxin-
264 3 close to Beclin-1. In agreement with a role for VCP in regulating PI3K assembly,
265 knockdown of *VCP* expression decreased the interaction between ATG14L (as a
266 surrogate for PI3K complex I) with the PI3K components Beclin-1 and VPS34
267 (Extended data Fig. 8b-c). Similarly, inhibition of VCP ATPase activity also interfered
268 with the interaction of ATG14L with the PI3K components VPS15, VPS34 and Beclin-
269 1 (Fig. 5a-b), as well as with the binding of VCP itself to ATG14L, indicating that the
270 presence and activity of VCP is aiding PI3K assembly. When analyzing the amount
271 of VCP pulled down by endogenous ATG14L after VCP inhibition, we detected two
272 bands for VCP: one around 100 kDa as expected for endogenous VCP and one
273 band slightly above. This band may be a post-translationally modified version of VCP
274 that is enriched in the interaction with PI3K, and since it could be detected also in the
275 input (Extended data Fig. 8d, long exposure) and was affected by siRNA against
276 VCP (Extended data Fig. 8e), both VCP bands were included in quantifications. Of
277 the VCP inhibitors used, CB-5083 was the only inhibitor that did not cause a clear
278 trend of decreased interactions of PI3K complex I components with ATG14L (Fig. 5a-
279 b), perhaps related to it only inhibiting the D2 ATPase activity of VCP, whereas the
280 other inhibitors affect both ATPase domains^{41,42}.

281

282 We further demonstrated that VCP directly acts to enhance the assembly of
283 individually purified components into complete PI3K complexes *in vitro*, as co-
284 purification with ATG14L was increased for all the PI3K components when VCP was

285 present (Fig 5c-d, Extended data Fig. 8f). Thus, the binding of VCP to PI3K
286 components enhances the assembly of fully formed complexes in a manner that
287 requires VCP ATPase activity.

288

289 **Discussion**

290 In this study, we identify two unforeseen functions for VCP in autophagy initiation.
291 First, VCP binds both Ataxin-3 and Beclin-1 in the PI3K complex, thereby enhancing
292 the deubiquitination and stabilization of Beclin-1 (Fig. 5e; left). Thus, inhibition or
293 knockdown of VCP decreases levels of Beclin-1 and inhibits autophagy. Second,
294 VCP interacts with multiple components of the Beclin-1-containing PI3K complex and
295 enhances complex assembly and resultant kinase activity, increasing the production
296 of the autophagy signaling lipid PI(3)P (Fig. 5e; right). Inhibition of VCP ATPase
297 activity blocks both functions, indicating that both roles of VCP require ATP
298 hydrolysis. As VCP interacts in a binary fashion with the components of the complex,
299 it may be simply acting as a scaffold to enhance complex formation. It is possible that
300 this process may be driven by ATP-dependent conformational changes (as it is
301 blocked by VCP inhibitors), or by bridging the kinase and substrate contact, as VCP
302 have been suggested to bind lipids such as phosphoinositols^{43,44}.

303

304 The link between VCP and Ataxin-3 activity had been previously established *in vitro*
305²³, and the two proteins have been implicated in promoting autophagy where Ataxin-3
306 was found also to interact with the autophagosome protein LC3³². While our data
307 provides a link between these two findings, and show that VCP and Ataxin-3 acts to
308 stimulate Beclin-1 levels to promote autophagy, it remains to be determined whether
309 VCP also influences the proposed interaction between Ataxin-3 and LC3 and if this is
310 yet another mechanism whereby VCP could influence autophagy initiation.

311

312 Studies on the role of VCP in autophagy have thus far been focused on its role in
313 autophagosome maturation, as the defect in autophagosome formation is masked by
314 the strong maturation phenotype seen when reducing VCP levels or activity. We
315 overcame this issue by combining an initial inhibition of lysosome fusion with a
316 subsequent acute inhibition of VCP activity using three different VCP specific
317 inhibitors (DBeQ, NMS873 and CB-5083) to detect a decrease in autophagosome
318 marker LC3. Initial disruption of lysosomal fusion before addition of VCP inhibitors
319 was crucial to avoid the consequences of VCP inhibition on lysosomal function. With
320 simultaneous administration of lysosome and VCP inhibitors, VCP inhibitors could

321 exert their function on autophagosome maturation before lysosomal inactivation
322 occurred, thus leading to an accumulation of LC3-positive autophagosomes ¹⁶.

323

324 Utilizing chemical VCP inhibitors, we were further able to see a decrease in PI(3)P
325 production and the subsequently reduced recruitment of early autophagy markers.
326 Thus, the acute inhibition of VCP provided us with a tool to characterize a role for
327 VCP in autophagy initiation which had previously gone unrecognized in genetic
328 knockdown experiments. The use of inhibitors also allows one to readily assess if
329 effects are simply due to inert protein or due to its ATPase activity. Our proposed
330 model for VCP regulating PI(3)P production could potentially comprise an additional
331 mechanism for the block in autophagosome maturation seen upon reduced VCP
332 activity. As PI(3)P also plays an important role in endosomal membrane fusion and
333 endocytic trafficking ⁴⁵, it provides a possible mechanism whereby VCP activity could
334 influence both autophagy initiation and maturation.

335

336 Mutations in the essential *VCP* gene have been linked to multisystem disorders
337 including Inclusion body myopathy with early-onset Paget disease and
338 frontotemporal dementia (IBMPFD) and neurodegenerative diseases such as
339 Parkinson's disease and Amyotrophic lateral sclerosis (ALS) ^{10,46,47}. It is possible that
340 the different aspects of these disorders are linked to the diverse functions of VCP,
341 and that some could be explained by a decreased ability for autophagy induction as
342 described by our data. For instance, mutations in VCP affecting ATPase activity has
343 been linked to an increased abundance of pathologic tau fibrils and the development
344 of frontotemporal degeneration ⁴⁸, and while this could be an effect of impaired
345 disaggregase activity of VCP ⁴⁹ it is also feasible that the accumulation of tau could
346 be due to a decline in VCP-dependent autophagic capacity, since tau is an
347 autophagy substrate.

348

349 It remains to be determined how the temporal and local recruitment of VCP to PI3K is
350 regulated. Our observation that VCP co-purified with ATG14L runs as two bands on
351 a western blot indicates the possibility of posttranslational regulation of VCP. ULK1
352 and ULK2 are two upstream kinases known to regulate autophagy that
353 phosphorylate VCP to regulate the disassembly of heat-induced stress-granules ⁵⁰,
354 and thus encompass potential candidates for upstream regulation, although this
355 needs to be further investigated in future studies. Furthermore, it remains to be
356 elucidated which (if any) of the known VCP cofactors are important for this proposed
357 role in autophagosome formation.

358

359 In conclusion, our findings add to the complexity of the cellular functions of VCP and
360 provides evidence for its role as an important regulator of autophagy initiation.

361

362 **Online Methods**

363

364 **EXPERIMENTAL MODELS**

365 **Cell Lines**

366 Human cervical epithelium HeLa (ATCC; #CCL-2; CVCL_0030), and human
367 embryonic kidney cell line HEK293 (ECACC; #85120602) were cultured in
368 Dulbecco's modified Eagle's medium (DMEM) (4.5 mg/L of glucose; Sigma)
369 supplemented with 10% FBS (Sigma), 2mM L- glutamine (Sigma) and 100 U/mL
370 penicillin and 100 mg/mL streptomycin (Sigma). Human embryonic suspension cells,
371 Expi293F (Gibco; #A14527), were grown in Expi293 Expression Medium (Gibco)

372 All cell lines were maintained at 37°C and 5% CO₂ and were regularly tested for
373 mycoplasma contamination. All cell lines are of female origin (HeLa, HEK293,
374 Expi293F). For starvation experiments using cell lines, cells were washed three times
375 in starvation media (Hank's balanced salt solution (HBSS, Invitrogen) or Earle's
376 balanced salt solution (EBSS, Sigma) and incubated for 2-4 h at 37°C.

377 **Mouse primary neurons**

378 All animal studies and procedures were performed with project licenses granted by
379 the UK Home Office and with the approval of the University of Cambridge committee
380 for animal studies. Primary cortical neurons were isolated from C57BL/6 mice
381 (Jackson Laboratories) embryos of mixed sex at E16.5 as previously described¹⁹.
382 Briefly, embryo brains were harvested and placed in PBS/glucose where the
383 meninges were removed, and the cerebral cortices were dissected. After mechanical
384 dissociation using sterile micropipette tips, dissociated neurons were resuspended in
385 PBS+glucose and collected by centrifugation. Viable cells were seeded on poly-
386 ornithine-coated 12-multiwell plates. Cells were cultured in Neurobasal medium
387 (Thermo Fisher Scientific) supplemented with 2 mM glutamine, 200 mM B27
388 supplement and 1% Penicillin-Streptomycin at 37°C in a humidified incubator with
389 5% CO₂. One half of the culture medium was changed every two days until

390 treatment/infection. After 5 days of *ex vivo* culturing, differentiated neurons were
391 treated with different drugs.

392

393 **METHODS DETAILS**

394 **Antibodies and Reagents**

395 The following primary antibodies have been used in this work: mouse anti-Flag M2
396 (1:1000), and rabbit anti-Actin (RRID AB_476693; 1:1000) from Sigma Aldrich; rabbit
397 anti-VCP (RRID: AB_259529; 1:2000), rabbit anti-LC3B (RRID: AB_10003146; 1:400
398 for IF), rabbit anti-GFP (RRID:AB_305564; 1:1000), mouse anti-GFP (RRID:
399 AB_298911; 1:1000), rabbit anti-RUBICON (RRID: AB_2827795; 1:1000), rabbit anti-
400 VPS15 (RRID: AB_11141464; 1:1000), Rabbit anti-VPS34 (RRID: AB_2827796;
401 1:1000), mouse-anti-GAPDH (RRID: AB_2107448; 1:1000), and mouse-anti-WIPI2
402 (RRID: AB_105459; 1:100 for IF) from Abcam; rabbit anti-LC3B (RRID:
403 AB_10003146; 1:1000) from Novus Biologicals; mouse anti-Ataxin-3 (RRID:
404 AB_2129339; 1:1000) from EMD Millipore; rabbit anti-Beclin-1 (RRID: AB_490837;
405 1:1000), rabbit anti-UVRAG (RRID: AB_2687988; 1:1000); rabbit anti-ATG12 (RRID:
406 AB_2059086; 1:1000) and rabbit anti-ATG16 (RRID: 8089s 1:100 for IF) from Cell
407 Signaling; rabbit anti-ATG14L (RRID: AB_1953054; 1:1000), mouse anti-ATG14L
408 (RRID: AB_10897331; 1:1000) from MBL. VCP inhibitors in DMSO was added to cell
409 culture media for denoted incubation times: DBeQ (Selleckchem) was used at 10 μ M,
410 NMS873 (Selleckchem) was used at 10 μ M, and CB-5083 (Selleckchem) was used
411 at 2 or 5 μ M. The concentrations used in this study was based on previously
412 established concentrations for cell culture demonstrating a measurable effect on
413 VCP activity in various cellular functions⁵¹⁻⁵⁷.

414 **DNA constructs**

415 The following DNA constructs were used in this study: pCMV6-FLAG-Myc
416 (PS100001) and pMyc-FLAG-UFD1L (RC202989) from Origene; p3XFLAG-Beclin-1
417 (#24388), p3XFLAG-Ataxin-3 (#22126), pUbiquitin-HA (#18712), pStrep-Strep-
418 FLAG-VPS15 (#99326), and pStrep-Strep-FLAG-VPS34 (#99327), pFLAG-Beclin1
419 (FL) (#24388), pFLAG-Beclin1 (1-150) (#24389), pFLAG-Beclin1 (151-241)
420 (#24390), pFLAG-Beclin1 -(151-241) (#24393), pFLAG-Beclin1 (1-242) (#24391),
421 pFLAG-Beclin1 (243-450) (#24392). p3XFLAG-ATG14L was a gift from Zhenyu Yue
422 and pGEX-VCP-GST was kindly shared by Rolf Schröder and Cristoph Clemen.

423 **Transfection**

424 Trans IT-2020 reagent (Mirus) was used for DNA transfection, while Lipofectamine
425 2000 (Invitrogen) was used for siRNA transfections, according to the manufacturer's
426 instructions. For protein production in HEK293 cells, TransIT-293 (Mirus) was used
427 according to the manufacturer's instruction. For protein production in suspension
428 Expi293F cells, transfection was performed with Polyethylenimine (PEI), at a ratio of
429 3:1 PEI:DNA. After transfection, cells were maintained in full medium. For
430 knockdown experiments, cells were transfected with either a single or double round
431 of 50 nM siRNA (Dharmacon; smartpool siRNA or single oligos). In single
432 transfections (*VCP* and *BECLIN-1* knockdown), cells were split 24-48 h after
433 transfection, and harvested 3 days post transfection. For knockdown of *VCP* for
434 immunofluorescence, cells were transfected with 25 nM siRNA in suspension and
435 plated at low density directly onto coverslips, followed by treatment and imaging after
436 48 h. For double transfections (*ATAXIN-3* knockdown), cells were transfected on day
437 1 and day 3. Cells were split twice, once after each transfection, and harvested 5
438 days after first transfection.

439 **Western Blot Analysis**

440 Cells were lysed in Laemmli sample buffer and boiled for 10 min at 100°C, separated
441 by SDS-PAGE, transferred to PVDF membranes and developed with primary and
442 secondary antibodies. Primary antibodies were used with overnight incubation at
443 4°C, unless otherwise stated, and the secondary antibodies are used at a
444 concentration of 1:2000 and incubated for 1 h at room temperature. For
445 immunoprecipitation experiments, light-chain specific secondary antibodies were
446 used at a 1:1000 dilution for 1 h at room temperature. Blots were developed using an
447 ECL enhanced chemiluminescence detection kit (GE Healthcare), or with direct
448 infrared fluorescence detection on an Odyssey Infrared Imaging System. Western
449 blots in main Figures 1a-c, 1h, 3a, 3c-e, 4a-c, and figures in extended data 1e, 3c,
450 5a, 5d, 5g, 5a and 6f were developed with chemiluminescence, while the other blots
451 were developed with fluorescence (LICOR Odyssey system). Densitometric analysis
452 on the immunoblots was performed using IMAGE STUDIO Lite software, which
453 enables quantitative analysis of blotting signals.

454 **Mutagenesis**

455 3X-FLAG-Ataxin-3 (addgene #22126) was used as a template to mutate the VCP
456 binding site and generate Ataxin-3_{ΔVCP} (282-285 RKRR/ANAA). Mutagenesis was

457 performed using QuikChange Multi Site-Directed MutagenesisKit (Agilent) and primer
458 SMH17:
459 (5'-CTTACTTCAGAAGAGCTTGCGAATGCAGCAGAAGCCTACTTTGAAAAG-3')
460 according to manufacturer's instructions. Myc-FLAG-UFD1L plasmid (Origene
461 RC213180) was used as template to mutate the VCP binding site and generate
462 UFD1L Δ VCP (Δ 215-241) using QuikChange Site-Directed Mutagenesis Kit (Agilent)
463 and the following primers: Fw: 5'-GTAGAGCCCAGCCCCTCC-3'; Rev: 5'-
464 GGCTTCACCTTCTGTCTGACTC-3'. All constructs were verified by sequencing.

465 **Immunofluorescence**

466 Staining of PI(3)P was performed as described previously⁵⁸. Briefly, cells on
467 coverslips were fixed in 2% paraformaldehyde and permeabilized with 20 μ M
468 digitonin in buffer A (20 mM Pipes pH 6.8, 137 mM NaCl, 2.7 mM KCl). Cells were
469 blocked with buffer A supplemented with 5% (v/v) FBS and 50 mM NH₄Cl. Mouse-
470 anti-PI(3)P antibody (1:400; 1 h at room temperature) (Echelon) and secondary
471 antibody (1:400; 30 min at room temperature) (goat-anti-mouse Alexa Fluor 555;
472 Thermo Scientific) were applied in buffer A with 5% FBS. Cells underwent post-
473 fixation for 5 min in 2% paraformaldehyde, followed by 2X washes in PBS containing
474 50 mM NH₄Cl, and 1X wash with water before being mounted on microscope slides
475 with ProLong Gold Antifade Mountant with DAPI (Thermo Scientific). For imaging of
476 LC3 puncta, cells were fixed in ice cold methanol for 5 min, blocked in 1% BSA at
477 room temperature for 1 h, permeabilized with 0,5% Triton X-100 for 5 min, then
478 incubated with rabbit-anti-LC3B (abcam) overnight, and with secondary goat-anti-
479 rabbit Alexa Fluor 647 for 1 h at room temperature. Imaging was conducted with
480 LSM880 Zeiss confocal with 63X oil-immersion lens. For WIPI2 and ATG16 staining,
481 cells were fixed in paraformaldehyde 4% for 10 minutes and permeabilized with 0.2%
482 Triton X-100 for 10 minutes, then incubated with rabbit-anti-ATG16 (Cell signaling)
483 and mouse-anti-WIPI2 (Abcam) for 1 h at room temperature, and with secondary
484 goat-anti-rabbit Alexa Fluor 647 and goat-anti-mouse Alexa Fluor 488 for 1 h at room
485 temperature. Imaging was conducted with LSM710 Zeiss confocal with 63X oil-
486 immersion lens.

487 **Protein Purification**

488 Purification of VCP-GST from *E. coli*: Expression vector was transformed into
489 bacterial strain Rosetta BL21 (DE3) (Novagen) according to instructions from
490 supplier. Cells from a 1 L culture were harvested after overnight induction of protein

491 expression with 0.2 mM IPTG at 18°C. Cell pellet was resuspended in 2XPBS
492 containing protease inhibitors and lysed by incubation with 0.5 mg/mL lysozyme for
493 30 min on ice, followed by sonication. Lysate was clarified by ultracentrifugation (100
494 000xg for 15 min at 4°C), and incubated with 1 mL glutathione sepharose resin
495 (Pierce) for 2 h at 4°C. Resin was washed in a gravity flow column: 5X in 2XPBS and
496 5X in 2XPBS containing 0,1% Triton X-100 and 1 mM ATP. Purified protein was
497 either cross-linked to beads for *in vitro* binding assays or eluted from beads by
498 cleaving GST-tag with PreScission Protease. For crosslinking, beads were washed
499 3X in 200 mM HEPES (pH 8.5), and incubated with crosslinking solution (20 mM
500 dimethyl pimelimidate in 200 mM HEPES pH 8.5) in RT for 60 min. Reaction was
501 stopped by incubating beads with 0.2 M ethanolamine-HCl (pH 8.2) for 60 min.
502 Beads were washed 3X in washing buffer (150 mM NaCl, 200 mM Glycine-HCl pH
503 2.0) and stored in binding buffer (25 mM HEPES pH 7.25, 200 mM NaCl, 0.01%
504 Triton X-100, and 5% Glycerol, 1 mM DTT) containing 0.05% sodium azide.

505 For removal of GST tag, beads were washed 5X with PreScission cleavage buffer
506 (50 mM Tris pH 7.0, 150 mM NaCl, 1mM EDTA, 1mM DTT, Triton X-100 0,01%), and
507 resuspended in 475 µL cleavage buffer. 25 µL of PreScission protease was added
508 and lysate was incubated overnight at 4°C, followed by collection of supernatant
509 containing purified VCP.

510 Purification of FLAG-tagged PI3K complexes from HEK293: 10 µg of each plasmid
511 (pStrep-Strep-FLAG-VPS15, p-Strep-Strep-FLAG-VPS34, 3X-FLAG-ATG14L and
512 3XFLAG-Beclin-1) and 75 µL of TransIT-293 used to transfect HEK293 cells in one 14
513 cm² poly-D-lysine coated dish. Cells were lysed 3 days post transfection in 1 mL lysis
514 buffer CelLytic M (Sigma). Clarified lysates were incubated with 100 µL of M2 FLAG
515 agarose resin (50% slurry) at 4°C for 2 h. Beads were added to a gravity flow column
516 and washed 1X with lysis buffer, 10X with TBS and eluted in 100 µL TBS with FLAG
517 peptides (150ng/µL) at 4°C 2 h. For purification of individual PI3K components, cells
518 were transfected with 30 µg of the individual expression plasmid and purified using
519 the same procedure with an additional washing step with high salt TBS (0.8 M NaCl)
520 to remove the other complex components.

521 Purification of FLAG-VPS15 and FLAG-VPS34 from suspension cells: Expi293F
522 suspension cells were grown to 2,5x10⁶ cells/mL in 500 mL of Expi293 Expression
523 Medium (Gibco). Cells were transfected with 0,9 mg of pStrep-Strep-FLAG-VPS15
524 (addgene #99326) and 0,6 mg pStrep-Strep-FLAG-VPS34 (addgene #99327) and
525 4.5 mg Polyethylenimine 40K (Polysciences, Inc). On the day after transfection,

526 culture was expanded to 1 L, and 3.3 mM valproic acid (Sigma) and 10 mg/mL
527 Penicillin/Streptomycin (Sigma) was added. Cells were lysed 3 days post transfection
528 by homogenization in 50 mL Buffer A (50 mM HEPES pH 7.5; 200 mM NaCl; 5%
529 glycerol; protease inhibitors) with 0.1 % CHAPS. Lysates were clarified by
530 centrifugation at 100 000xg and incubated with 1,5 mL packed agarose M2 anti-
531 FLAG resin (Sigma) for 2 h at 4°C. Resin was washed 3X with Buffer A, 3X with
532 Buffer A with higher salt concentration (800 mM), and additional 4X in buffer A (200
533 mM NaCl). Proteins were eluted in fractions by incubation (4x60 min) with buffer A
534 containing 150 ng/μl 3XFLAG peptides (Sigma). Purified proteins were analyzed on
535 gel together with BSA standard to determine protein concentration.

536 **Immunoprecipitation (IP)**

537 For immunoprecipitation of endogenous proteins, cells from one 14 cm² dish were
538 lysed in 0,2 mL of IP buffer (20 mM Tris pH 7.4; 2 mM MgCl₂; 200 mM NaCl;
539 protease inhibitors) with 0,5% NP40, cleared by centrifugation, diluted to 1 mL by
540 addition of IP lysis buffer (final 0,1% NP40). Lysates were pre-cleared for 1 h by
541 incubation with non-targeting IgG control antibody (mouse-anti-HA or rabbit-anti
542 GFP) and beads for 2 h at 4°C. Input samples were collected and lysates were then
543 incubated with primary antibodies overnight at 4°C, followed by the addition of 30 μL
544 of washed beads (50% slurry). Sepharose Protein A beads (GE healthcare) were
545 used for the immunoprecipitation of endogenous Beclin-1, Ataxin-3 and VPS34,
546 whereas endogenous ATG14L, UVRAG and Rubicon were immunoprecipitated using
547 magnetic Dynabeads Protein A (Invitrogen). Beads were washed 3X in lysis buffer
548 and proteins were eluted in Laemmli sample buffer by boiling and analyzed by
549 western blot. Endogenous immunoprecipitations were detected with light chain
550 specific antibodies to avoid interference of heavy chain signal, and ratios in
551 endogenous IPs were normalized to input to correct for any differences in input
552 levels. For immunoprecipitation of FLAG-tagged Ataxin-3, cells from 2 wells of a 6-
553 well plate were transfected with 1 μg of 3X-FLAG-Ataxin-3 plasmid (Addgene
554 #22126) each and lysed 1 day post transfection in 100 μL IP buffer with 0,5% NP40.
555 The pooled lysate was diluted and pre-cleared as described above and incubated
556 with 10 μL of magnetic anti-FLAG beads (Sigma) for 2 h at 4°C, followed by washing
557 and elution into sample buffer.

558 **In vitro binding assay with VCP-GST**

559 VCP-GST was purified from *E. coli* and cross-linked to glutathione sepharose beads
560 (Pierce). Empty glutathione beads were used as a control and buffer composition
561 and incubation conditions were optimized to minimize interaction with empty beads
562 and negative control (FLAG-UFD1 Δ VCP). 20 μ L of VCP-GST cross-linked to beads
563 was incubated with 150-250 ng of FLAG-tagged PI3K proteins in 500 μ L binding
564 buffer (25 mM HEPES pH 7.25, 200 mM NaCl, 0.01% Triton X-100, and 5% Glycerol,
565 1 mM DTT) for 4 h at 4°C. Beads were then washed 4X in binding buffer + 0,1%
566 Tween-20, and bound proteins were eluted in Laemmli sample buffer and analyzed
567 by western blot.

568 **In vitro PI3K assembly**

569 Individual FLAG-tagged proteins (ATG14L and Beclin-1 purified from HEK293;
570 VPS15 and VPS34 purified together from Expi293F suspension cells). 250 ng of
571 each protein was used per reaction, with the exception of VPS34 which was in 5-10X
572 excess compared to VPS15. PI3K proteins were incubated in 500 μ L binding buffer
573 in the presence or absence of 500 ng VCP (purified, GST tag removed by
574 PreScission cleavage) for 2 h at 4°C. Assembled PI3K complexes were
575 immunoprecipitated using mouse-anti-ATG14L antibody (MBL). 1,5 μ L of primary
576 antibody was added to the reactions, incubated for 1 h at 4°C followed by the
577 addition of 15 μ L Protein A Dynabeads. After 2 h, beads were washed 3X in binding
578 buffer and proteins were eluted in sample buffer.

579 **In vitro Deubiquitination assay**

580 Ubiquitinated Beclin-1 was purified from HeLa cells overexpressing 3X-FLAG-Beclin-
581 1 together with HA-Ubiquitin (1:3), treated with proteasome inhibitor MG132 at 10 μ M
582 and 10 μ M deubiquitinase inhibitor PR619 for 6 h, using FLAG M2 magnetic beads.
583 1/10 of the amount of Beclin-1-ubq purified from HeLa in a 14 cm² plate was mixed
584 with 55 ng of recombinant active VCP-GST (SignalChem) and 12.5 ng of Ataxin-3-
585 GST (BostonBiochem) in 21 μ L deubiquitination buffer (Tris pH 8.8 50 mM; NaCl 10
586 mM; EDTA 1mM; Glycerol 5%) with or without 4 mM of ATP and incubated at 37°C
587 for 5 h. The reaction was stopped by addition of 4 μ L 4X Laemmli sample buffer.
588 Ubiquitination was analyzed by western blot using antibody against ubiquitin-HA.
589 Levels of Beclin-1, VCP and Ataxin-3 were analyzed on a separate blot.

590

591 **Proteomic analysis of Beclin-1 interactors from brain lysate**

592 C57BL/6J mice (Jackson Laboratories) at the age of 6 weeks were sacrificed by a
593 schedule 1 method. The brain was collected and frozen for western blot analysis.
594 Brain tissues were dissected, homogenized and resuspended in tissue lysis buffer on
595 ice (50mM Tris pH 7.4, 0.5% Triton X-100 and protease inhibitor cocktail) and the
596 supernatant was centrifuged twice. Brain lysates were incubated with Beclin-1
597 antibody (Cell Signaling) or isogenic rabbit IgG control overnight at 4°C followed by 2
598 h incubation with protein A sepharose beads (GE Healthcare). The
599 immunocomplexes were then washed with lysis buffer three times. Samples were
600 resolved into a Novex pre-cast 4-12% Bis-Tris polyacrylamide gel (Thermo Fisher
601 Scientific). The lanes were excised and cut in 3 approximately equal chunks and the
602 proteins reduced, alkylated and digested in-gel. The resulting tryptic peptides
603 analyzed by LC-MSMS using a Q Exactive coupled to an RSLCnano3000 (Thermo
604 Scientific). Raw files were processed in Proteome Discoverer 1.4 using Sequest to
605 search a mouse Uniprot database. Peptides were filtered to high confidence (0.01
606 FDR) using Percolator.

607

608 ***In vitro* PI(3)P kinase assay**

609 FLAG-tagged PI3K components were co-expressed and purified from HEK293 cells
610 using M2 FLAG agarose beads (Sigma). PI3K complexes on agarose beads were
611 used in in vitro kinase reaction. In vitro kinase reaction and PI(3)P detection was
612 performed using Class III PI3K Elisa Kit (Echelon). 1/10 of PI3K complexes purified
613 from one 14cm² dish was used per kinase reaction. Complexes were added to tubes
614 containing kinase buffer (20 mM Tris, 20 mM NaCl, 5mM MgCl₂, 10 mM MnCl₂, 1 mM
615 EDTA, 50 μM DTT, 0,02% CHAPS) with 0.1 mg/mL sonicated PI substrate, in the
616 presence or absence of 10 ng purified VCP protein (50 μl final reaction volume).
617 Reactions were started by the addition of 50 μM ATP and allowed to continue for 2 h
618 at 37°C before being stopped by the addition of 5 μL of 100 mM EDTA and upon
619 centrifugation, supernatants were transferred to 96-well plates for detection of PI(3)P
620 using instructions for the Class III PI3K Elisa Kit with the following alterations:
621 incubation with PI(3)P detector was reduced to 50 min, and incubation with TMB
622 buffer for developing was extended to 45 min. Upon signal development, plates were
623 read at 450 nM using Spark microplate reader (Tecan).

624

625 **QUANTIFICATION AND STATISTICAL ANALYSIS**

626 **Image Analysis**

627 Puncta analysis (PI(3)P and LC3) was performed in ImageJ, with manual annotation
628 of cell boundaries using ROI and automatic analysis of number of puncta per cell
629 using particle analysis plugin, using the same cut-off for puncta identification in all
630 conditions. A minimum of 60 cells was examined for each condition and experiments
631 were repeated at least three times. For WIPI2 and ATG16L puncta analysis,
632 Cellprofiler software was used. Cell boundaries were determined based on the
633 fluorescence of the proteins analyzed. Automatic analysis of the number and area of
634 the puncta per cell were obtained using IdentifyPrimaryObjects. Same settings were
635 used for the analysis of the puncta in all conditions. Western blots images were
636 quantified by densitometry analysis using ImageStudio Lite software.

637 **Statistical analysis**

638 Significance levels for comparisons between groups were determined with unpaired
639 students t-test or one-way ANOVA for multiple comparisons using GraphPad Prism 8
640 (GraphPad Software) or Excel (Microsoft office). For western blots, protein levels
641 were normalized to total forms or a housekeeping protein, such as actin or GAPDH.
642 All data is expressed as means \pm standard deviation (SD), unless otherwise stated in
643 figure legends. P values of < 0.05 were considered statistically significant. The
644 experiments were appropriately randomized and blinded when possible. More
645 information on statistical analysis is given in figure legends.

646 **Data availability**

647 Authors can confirm that all relevant data are included in the paper and/or its
648 supplementary information files. Further information and requests for resources and
649 reagents should be directed to and will be fulfilled by the Lead Contact, David C.
650 Rubinsztein (dcr1000@cam.ac.uk).

651

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666

667 **Author contributions**

668 D.C.R conceptualized and supervised the project. Experiments were designed,
669 performed and analyzed by S.M.H, L.W, A.A and M.F-E. R.W.B. and K.T: A.A
670 performed initial experiments on VCP and Beclin-1 interaction, and analyzed effect of
671 VCP kd and inhibition on LC3 levels, L.W and M.F-E performed immunofluorescence
672 analysis of early autophagy factors WIPI2, ATG16L and LC3. S.M.H. performed all
673 the in cell and *in vitro* binding studies, PI(3)P detection, *in vitro* deubiquitination,
674 analysis of protein levels, and summarized and compiled all data for the manuscript.
675 R.W.B. and K.T contributed to the design and interpretation of experiments. S.M.H
676 and D.C.R wrote the manuscript with input from L.W. and all the other authors.

677

678 **Declaration of interests**

679 K.T. and R.W.B were employees of AstraZeneca when the experiments were
680 performed and are shareholders of AstraZeneca. R.W.B is currently employed by
681 Cerevance Ltd. DCR is a consultant for Aladdin Healthcare Technologies Ltd and
682 Nido Biosciences. None of the other authors have competing interests.

683

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879

880 **Figure legends**

881

882 **Figure 1. VCP interacts with Beclin-1 and regulates autophagy initiation**

883 (a) Immunoprecipitation of endogenous Beclin-1 from HeLa cells (two replicates, a
884 and b). (b, c) DBeQ increases LC3-II levels in DMSO conditions, but decreases
885 upon treatment with Bafilomycin A1 (BafA; 400 nM) in basal conditions (b; n=3, -BafA
886 $p=0.0009$, +BafA $p=0.0328$) and in HBSS starvation conditions (c; n=3, -BafA $p=$
887 0.0005 , +BafA $P=0.0005$). Cells were treated with BafA for 1 h prior to addition of 10
888 μM DBeQ (5 h) in basal media or starvation (HBSS). Graphs represent LC3-II/actin
889 ratios, normalized to DMSO control. (d, e) DBeQ impairs starvation-induced
890 autophagy. HeLa cells were pretreated with BafA (2 h), before transition to starvation
891 media (HBSS) with BafA, combined with addition of DMSO or 10 μM DBeQ (3 h);
892 n=4, number of cells counted: 30-65 per condition, DMSO vs HBSS $p=0.0201$,
893 HBSS vs HBSS+DBeQ $p=0.0474$. Representative images of immunofluorescence
894 analysis of LC3 puncta formation during starvation shown in (e). (f) VCP inhibition
895 impairs formation of PI(3)P puncta upon starvation. HeLa cells were pretreated with
896 10 μM DBeQ or with wortmannin (Wm; 1 μM) prior to starvation (HBSS 1 h); n=3,
897 number of cells counted: 40-60 per condition, DMEM vs HBSS $p=0.0025$, HBSS vs
898 HBSS+DBeQ $p=0.0026$, HBSS vs HBSS+Wm $p=0.0036$. Representative
899 microscopy frames shown in Extended data Fig. 2d. (b-d; f) Data in bar graphs
900 presented as normalized mean \pm SD, * $p < 0.05$, ** $p < 0.005$, *** $p < 0.0005$, unpaired
901 two-tailed Students t-test. Scale bar = 10 μm . See also Extended data Figures 1-3.

902

903 **Figure 2. VCP governs the recruitment of early autophagy markers**

904 (a, b) DBeQ reduced accumulation of early autophagy marker WIPI2 during
905 starvation. HeLa cells in basal media (DMEM) compared to cells subjected to
906 starvation (HBSS 2 h) in combination with DMSO or DBeQ (10 μM). Median area of
907 WIPI2 signal per cell quantified and normalized to control; n=3, number of cells
908 counted: 30-40 per condition, DMEM vs HBSS $p=0.0072$, HBSS vs HBSS+DBeQ $p=$
909 0.0076 . Representative microscopy frames shown in (b). (c, d) Inhibition of starvation
910 induced ATG16 puncta by VCP inhibition. HBSS 2 h, in combination with DMSO or
911 DBeQ (10 μM). Median area of ATG16 per cell quantified and normalized to control,
912 n=4, number of cells counted: 30-40 per condition, DMEM vs HBSS $p=0.0041$,
913 HBSS vs HBSS+DBeQ $p=0.02656$. Representative microscopy frames shown in (d).
914 (a, c) Bar graphs data presented as normalized mean \pm SD. * $p < 0.05$, ** $p < 0.005$,

915 unpaired two-tailed Students t-test. Scale bar = 10 μ m. See also Extended data
916 Figure 4.

917

918

919 **Figure 3. VCP regulates Beclin-1 levels by stabilization and activation of**
920 **Ataxin-3**

921 (a) Beclin-1 levels in primary mouse neurons after DBeQ (10 μ M) treatment for 5 h;
922 n=3, $p < 0.0001$. (b) Beclin-1 levels in HeLa cells after treatment with VCP inhibitor
923 DBeQ (10 μ M for 8 h). Cells were co-treated with cycloheximide (CHX, 50 μ g/ml) +/-
924 proteasome inhibitor MG132, n=4, $p = 0.0002$. (c) Immunoprecipitation of
925 endogenous Ataxin-3 from HeLa cells, treated with DBeQ (10 μ M, 5 h), ratio of
926 Beclin-1 to Ataxin-3 normalized to input levels displayed below graph. Experiment
927 was repeated twice. (d, e) Beclin-1 levels upon overexpression of Ataxin-3 $_{\Delta$ VCP or
928 wildtype Ataxin-3. Beclin-1-FLAG was overexpressed together with empty vector,
929 Ataxin-3-FLAG or Ataxin-3 $_{\Delta$ VCP-FLAG in the presence of CHX and MG132.
930 Quantification of Beclin-1 to Actin levels presented in (e); n=4, -MG132: FLAG vs
931 Ataxin-3 $p = 0.00011$, Ataxin-3 vs Ataxin-3 $_{\Delta$ VCP $p = 0.0014$. (f) HeLa cells are treated
932 with control siRNA or siRNA for *ATAXIN-3*, followed by reconstitution with Ataxin-3 or
933 Ataxin-3 $_{\Delta$ VCP and subjected to immunofluorescence microscopy. Number of LC3
934 puncta per cell quantified and normalized to wildtype Ataxin-3; n=3, $p = 0.0114$. See
935 also Extended data Fig. 6a-b for microscopy images and scatter plot from a
936 representative experiment. (g) *In vitro* deubiquitination of Beclin-1 upon addition of
937 purified Ataxin-3 with and without addition of purified VCP in the presence or
938 absence of ATP. Levels of ubiquitinated Beclin-1 were quantified; n=3, +ATP
939 samples: control vs Ataxin-3 $p = 0.01186$, control vs Ataxin-3+VCP $p = 0.000375$,
940 control vs VCP $p = 0.000218$, Ataxin-3 vs Ataxin-3+VCP $p = 0.02173$, Ataxin-3+VCP vs
941 VCP $p = 0.02836$. Representative gel image in Extended data Fig. 6c. (a, b, e-g) Bar
942 graphs data presented as normalized mean \pm SD. * $p < 0.05$, ** $p < 0.005$, *** $p <$
943 0.0005 , unpaired two-tailed Students t-test. See also Extended data Figure 5 and 6.

944

945 **Figure 4. Interaction of VCP with PI(3)P-producing Beclin-1 complexes**

946 (a) Endogenous UVRAG, ATG14L and Rubicon were immunoprecipitated from HeLa
947 cells. (b) Immunoprecipitation of endogenous ATG14L in cells treated with control
948 siRNA or siRNA against *ATAXIN-3*. (c) Immunoprecipitation of ATG14L in control
949 cells versus cells treated with siRNA against *BECLIN-1*. (d) Immunoprecipitation of
950 Ataxin-3-FLAG in cells treated with control siRNA, or siRNA against *BECLIN-1*. Cells

951 in (c-d) overexpress *ATG14L* (c,d) and *ATAXIN-3-FLAG* (d), and IP ratios have been
952 normalized to input ratios to account for difference in input protein levels. (e) *In vitro*
953 PI(3)P production by PI3K complexes in the presence of absence of purified VCP.
954 The VPS34 inhibitor IN1 (1 μ M) was used as a control. Bar graph data presented as
955 normalized mean \pm SD, n=3-4, * p < 0.05, ** p < 0.005, *** p < 0.0005, one-way
956 ANOVA with Fisher's LSD test, PI3K vs VCP p <0.001, PI3K vs PI3K+VCP p =0.005,
957 PI3K vs PI3K+IN1 p =0.012. See also Extended Data Fig. 7.

958

959 **Figure 5. VCP regulates the assembly of PI3K complexes**

960 (a) Immunoprecipitation of endogenous ATG14L from HeLa cells treated with DMSO,
961 10 μ M NMS873, 5 μ M CB-5083 or 10 μ M DBeQ for 6 h. (b) Amount of proteins co-
962 immunoprecipitated with ATG14L in the denoted conditions, ratioed to the amount in
963 input sample and normalized to DMSO control; n=4, for NMS873 sample: VCP p =
964 0.04275, VPS34 p = 0.00410, Beclin-1 p = 0.00263; for CB-5083: Beclin-1 p =
965 0.04861; for DBeQ: VCP p = 0.019, VPS15 p = 0.00172, VPS34 p = 0.04765, Beclin-
966 1 p = 0.006. (c) *In vitro* assembly of PI3K complexes. FLAG-tagged PI3K
967 components were added individually (VPS15 and VPS34 purified and added
968 together) and incubated alone or together with purified VCP, followed by
969 immunoprecipitation of ATG14L. (d) Ratios of proteins co-immunoprecipitated with
970 ATG14L in the denoted conditions *in vitro*, normalized to ratios in DMSO control;
971 n=7, VPS15 p = 0.006, VPS34 p = 0.004, Beclin-1 p = 0.025. (e) Schematic
972 illustrating the dual role of VCP in autophagy initiation. VCP binds Ataxin-3 and
973 Beclin-1 and stimulates deubiquitination of Beclin-1, and thereby stabilizes Beclin-1
974 protein levels. VCP also binds to other components of the PI3K complex and
975 enhances its assembly and activity. Inhibition of VCP with CB-5083, NMS873 or
976 DBeQ perturbs both functions. (b, d) Bar graphs data presented as normalized mean
977 \pm SD. * p < 0.05, ** p < 0.005, unpaired two-tailed Students t-test. See also Extended
978 Data Fig. 8.

979

980 **EXTENDED DATA**

981 **Extended Data Figure 1. VCP interacts with Beclin-1 *in vivo* and *in vitro*.**

982 (a) Schematic overview of Beclin-1 protein domains; with the N-terminal domain in
983 dark grey, coiled-coiled domain (CCD) in blue, and the beta-alpha repeated,
984 autophagy-specific (BARA) domain in light grey. Depicted below is the different
985 truncation mutants used for binding studies (all with N-terminal FLAG tag). (b) *In vitro*
986 binding of FLAG-tagged Beclin-1 truncation mutants to VCP-GST crosslinked to
987 beads. Empty Glutathione beads and mutated VCP interactor UFD1L (Δ aa215-241;
988 UFD1L _{Δ VCP}), were used as a negative control in binding experiments. Ratio of bound
989 protein bound to VCP-GST was quantified by detection of FLAG signal in bound
990 fraction divided by input signal and normalized to the full-length control; n=5,
991 UFD1L _{Δ VCP} $p = 0.0031$. (c) Representative gel of *in vitro* binding experiment quantified
992 in (b). (d) DBEq impairs autophagic flux and autophagosome formation during
993 starvation (HBSS, 4 h) in primary neurons, as demonstrated by LC3-II levels in
994 conditions without and with 1 h pre-treatment with Bafilomycin A1 (BafA; 400 nM);
995 n=3, -BafA $p = 0.00105$, +BafA $p = 0.00005$. (b, d) Bar graphs data presented as
996 normalized mean \pm SD, ** $p < 0.005$, *** $p < 0.0005$, unpaired two-tailed Students t-
997 test. See also main Figure 1.

998 **Extended Data Figure 2. VCP regulates early autophagy initiation.**

999 (a) LC3 puncta formation upon treatment with VCP inhibitors. HeLa cells were
1000 pretreated with BafA (2 h), before transition to HBSS (+ BafA), with addition of
1001 DMSO, 10 μ M NMS873 or 5 μ M CB-5083 for 3 h. Number of LC3 puncta per cell
1002 normalized to DMEM control; n=3, number of cells counted: 50-60 per condition, one-
1003 way ANOVA with Dunnett's correction for multiple comparisons, DMEM vs
1004 HBSS+DMSO $p = 0.049$, HBSS+DMSO vs HBSS+NMS873 $p = 0.009$, HBSS+DMSO
1005 vs HBSS+CB-5083 $p = 0.012$. (b) Size of LC3 puncta during starvation and VCP
1006 inhibition; n=3. (c) Representative images of LC3 puncta formation, as quantified in
1007 (a) and (b). (d) Representative images for PI(3)P puncta formation upon starvation
1008 (HBSS 1 h) in HeLa cells, with and without treatment with the VPS34 inhibitor Wm
1009 (1 μ M) as quantified in main Fig.1f. (e) Representative images for PI(3)P puncta
1010 formation upon starvation (HBSS 1 h) in HeLa cells, with and without treatment with
1011 10 μ M NMS873 or 5 μ M CB-5083 compared to DMSO in basal media (DMEM) as
1012 quantified in (f, g). (f) Quantification of number of PI(3)P puncta per cell during
1013 starvation and VCP inhibition normalized to DMEM control; n=4, number of cells

1014 counted: 40-50 per condition, one-way ANOVA with Dunnett's correction for multiple
1015 comparisons: DMEM vs HBSS $p= 0.003$, HBSS vs HBSS+NMS873 $p < 0,001$,
1016 HBSS vs HBSS+2 μM CB-5083 $p= 0.016$, HBSS vs HBSS+5 μM CB-5083 $p <$
1017 $0,001$, HBSS vs HBSS+IN1 $p= 0.002$. (g) Quantification of size of PI(3)P puncta per
1018 cell from experiment in (f); one-way ANOVA with Dunnett's correction for multiple
1019 comparisons: HBSS vs HBSS+NMS873 $p= 0.033$, HBSS vs HBSS+2 μM CB-5083
1020 $p= 0.049$, HBSS vs HBSS+5 μM CB-5083 $p=0.049$, HBSS vs HBSS+IN1 $p= 0.026$.
1021 (h) ATG5-ATG12 conjugation in HeLa cells treated with siRNA to knockdown
1022 expression of *VCP*; $n=3$, unpaired two-tailed Student t-test, *VCP* siRNA 11 $p=$
1023 0.0009 , *VCP* siRNA 12 $P= 0.0007$. (a, b, f-h) Data in bar graphs presented as
1024 normalized mean \pm SD. * $p<0.05$, ** $p<0.005$, *** $p < 0.0005$. Scale bar = 10 μm . See
1025 also main Figure 1.

1026

1027 **Extended Data Figure 3. Knockdown of *VCP* affects autophagy initiation**

1028 (a) Knockdown of *VCP* impairs PI(3)P production upon starvation. HeLa cells treated
1029 with control siRNA (ctrl) or siRNA targeting *VCP* were starved (HBSS 1 h) 48 h post
1030 transfection and stained for nuclei (DAPI, blue), *VCP* levels (green) and PI(3)P
1031 production (red). (b) Quantified data for experiment in (a), shown as normalized
1032 mean \pm SD, $n= 3$, number of cells counted: 40-50 per condition, unpaired two-tailed
1033 Students t-test, DMEM vs HBSS $p= 0.03855$, HBSS vs kd *VCP* $p= 0.04527$. (c) LC3-
1034 II levels upon *VCP* knockdown in the absence and presence of BafA (400 nM).
1035 Efficiency of *VCP* kd tested in separate blot, before cells were divided into -BafA and
1036 +BafA conditions. (d) Quantified data for LC3-II levels for experiment in (c), shown as
1037 normalized mean \pm SD, $n= 4$, -BafA *VCP* siRNA 11 $p= 0.00058$, -BafA *VCP* siRNA
1038 12 $p= 0.00046$. * $p<0.05$, ** $p < 0.005$, *** $p < 0.0005$. Scale bar = 10 μM . See also
1039 main Figure 1.

1040 **Extended Data Figure 4. *VCP* regulates the recruitment of early autophagy 1041 markers during starvation and mTOR inhibition.**

1042 (a) Immunofluorescent analysis of WIPI2 puncta formation upon starvation with *VCP*
1043 inhibition. HeLa cells in basal media (DMEM) compared to cells subjected to
1044 starvation (HBSS 2 h) in combination with DMSO, 10 μM NMS873 or 5 μM CB-5083.
1045 Median area of WIPI2 signal per cell normalized to control; $n=5$, number of cells
1046 counted: 30-40 per condition, DMEM+DMSO vs HBSS+DMSO $p=0.0076$,
1047 HBSS+DMSO vs HBSS+NMS873 $p=0.0223$, HBSS+DMSO vs HBSS+CB-5083
1048 $p=0.0253$. (b) Immunofluorescent analysis of ATG16 puncta formation upon

1049 starvation during VCP inhibition. HBSS 2 h, in combination with DMSO, 10 μ M
1050 NMS873 or 5 μ M CB-5083. Median area of ATG16 per cell normalized to control;
1051 $n=4$, number of cells counted: 30-40 per condition, DMEM+DMSO vs HBSS+DMSO
1052 $p=0.0036$, HBSS+DMSO vs HBSS+NMS873 $p=0.0033$, HBSS+DMSO vs
1053 HBSS+CB-5083 $p=0.0006$. (c) Immunofluorescent analysis of LC3 puncta induction
1054 upon mTOR inhibition by Torin 1. HeLa cells pre-treated with BafA (400 nM) together
1055 with DMSO, 10 μ M NMS873 or 5 μ M CB-5083 for 1 h, were subjected to Torin 1 (1
1056 μ M) treatment for 4 h. Median area of LC3 per cell normalized to control; $n=3$,
1057 number of cells counted: 30-40 per condition, DMSO vs Torin 1 $p=0.0021$, Torin 1 vs
1058 Torin 1+NMS873 $p=0.0035$, Torin 1 vs Torin 1+CB-5083 $p=0.0034$. (a-c) Bar graphs
1059 data presented as normalized mean \pm SD, * $p<0.05$, ** $p<0.005$, unpaired two-tailed
1060 students t-test. (d) Representative images of WIPI2 puncta formation upon
1061 starvation, as quantified in (a). (e) Representative images of ATG16 puncta
1062 formation, as quantified in (b). (f) LC3 puncta formation upon Torin 1 treatment, as
1063 quantified in (c). Scale bar = 10 μ m. See also main Figure 2.

1064

1065 **Extended Data Figure 5. VCP regulates levels of Beclin-1 via Ataxin-3.**

1066 (a) Beclin-1 levels in HeLa cells after knockdown of *VCP* compared to control cells
1067 transfected with non-targeting siRNA; $n=3$, VCP siRNA 11 $p=0.00158$, VCP siRNA
1068 12 $p<0.0001$. (b) Beclin-1 levels in HeLa cells upon treatment with DMSO, 10 μ M
1069 NMS873 or 5 μ M CB-5083 for 5 h; $n=7$, NMS873 $p=0.00013$, CB-5083 $p=0.00319$.
1070 (c) Ataxin-3 levels in HeLa cells upon treatment with DMSO, 10 μ M NMS873 or 5 μ M
1071 CB-5083 for 5 h; $n=3$, NMS873 $p=0.0002$, CB-5083 $p=0.0055$. (a-c) Bar graphs
1072 represent Beclin-1 or Ataxin-3 to loading control (Actin or GAPDH) ratios displayed
1073 as mean \pm SD normalized to control, * $p<0.05$, ** $p<0.005$, *** $p<0.0005$, unpaired
1074 two-tailed students t-test. (d) FLAG-tagged Ataxin-3 or mutated version Ataxin-3 $_{\Delta VCP}$
1075 were expressed together with VCP-HA, followed by FLAG immunoprecipitation. (e)
1076 Immunoprecipitation of FLAG proteins from cells expressing empty FLAG, FLAG-
1077 tagged Ataxin-3 or Ataxin-3 $_{\Delta VCP}$. See also main Figure 3.

1078

1079 **Extended Data Figure 6. VCP regulates Ataxin-3 deubiquitinase activity** 1080 **towards Beclin-1.**

1081 (a, b) Representative experiment from main Fig. 3f: LC3 puncta per cell in HeLa cells
1082 depleted of Ataxin-3 and reconstituted with wildtype Ataxin-3 or Ataxin-3 $_{\Delta VCP}$, $n=60$ -

1083 80 cells analyzed per condition. Scale bar = 10 μ m. (c) Representative gel image of
1084 *in vitro* deubiquitination assay, quantified in main Fig. 3g. See also main Figure 3.

1085 **Extended Data Figure 7. VCP interacts with PI3K complexes *in vitro*.**

1086 (a) Immunoprecipitation of Ataxin-3-FLAG in control and *VCP* knockdown cells,
1087 overexpressing FLAG-Ataxin-3 and ATG14L. IP ratios are normalized to input to
1088 account for differences in input protein levels. (b) Protein-stained gel with individually
1089 purified FLAG-tagged PI3K components. (c) Protein-stained gel of VCP-GST purified
1090 from *E. coli* and cross-linked to glutathione agarose beads. (d) *In vitro*
1091 immunoprecipitation with VCP-GST beads and individually purified PI3K
1092 components: VPS34, ATG14L, Beclin-1 and UFD1L $_{\Delta VCP}$ (Δ aa215-241) as a negative
1093 control. Experiment was repeated 6 times.

1094

1095 **Extended Data Figure 8. VCP interacts with PI3K complex I.**

1096

1097 (a) FLAG-tagged PI3K complexes purified from HEK293 cells. Protein-stained gel
1098 (left) and FLAG-probed western blot (right). (b) Quantification of immunoprecipitation
1099 of endogenous ATG14L in control cells and in *VCP* knockdown cells. IP ratios have
1100 been normalized to input ratios. Representative gel in (c); n=3, unpaired two-tailed
1101 student t-test, bar graph data presented as normalized mean \pm SD, kd *VCP* Beclin-1
1102 $p=0.0127$, kd *VCP* VPS34 $p=0.0041$.(d) *VCP* levels in input samples from
1103 endogenous immunoprecipitation of ATG14L with short and long exposure (SE and
1104 LE). *VCP* upper band denoted by red arrow. (e) Short and long exposures of *VCP*
1105 levels from *VCP* kd experiments. Quantifications shown below blots, normalized to
1106 levels in control sample. (f) Purification of FLAG-VPS15 and FLAG-VPS34 from
1107 293Expi cells. Purified proteins are visualized with protein stain (instant blue; left
1108 lane) and by western blot analysis using FLAG antibody (middle lane). The same
1109 membrane was cut and probed with individual antibodies for VPS15, VPS34,
1110 ATG14L and Beclin-1 (right lane). See also main Figures 4 and 5.

Figure 1. VCP interacts with Beclin-1 and regulates autophagy initiation

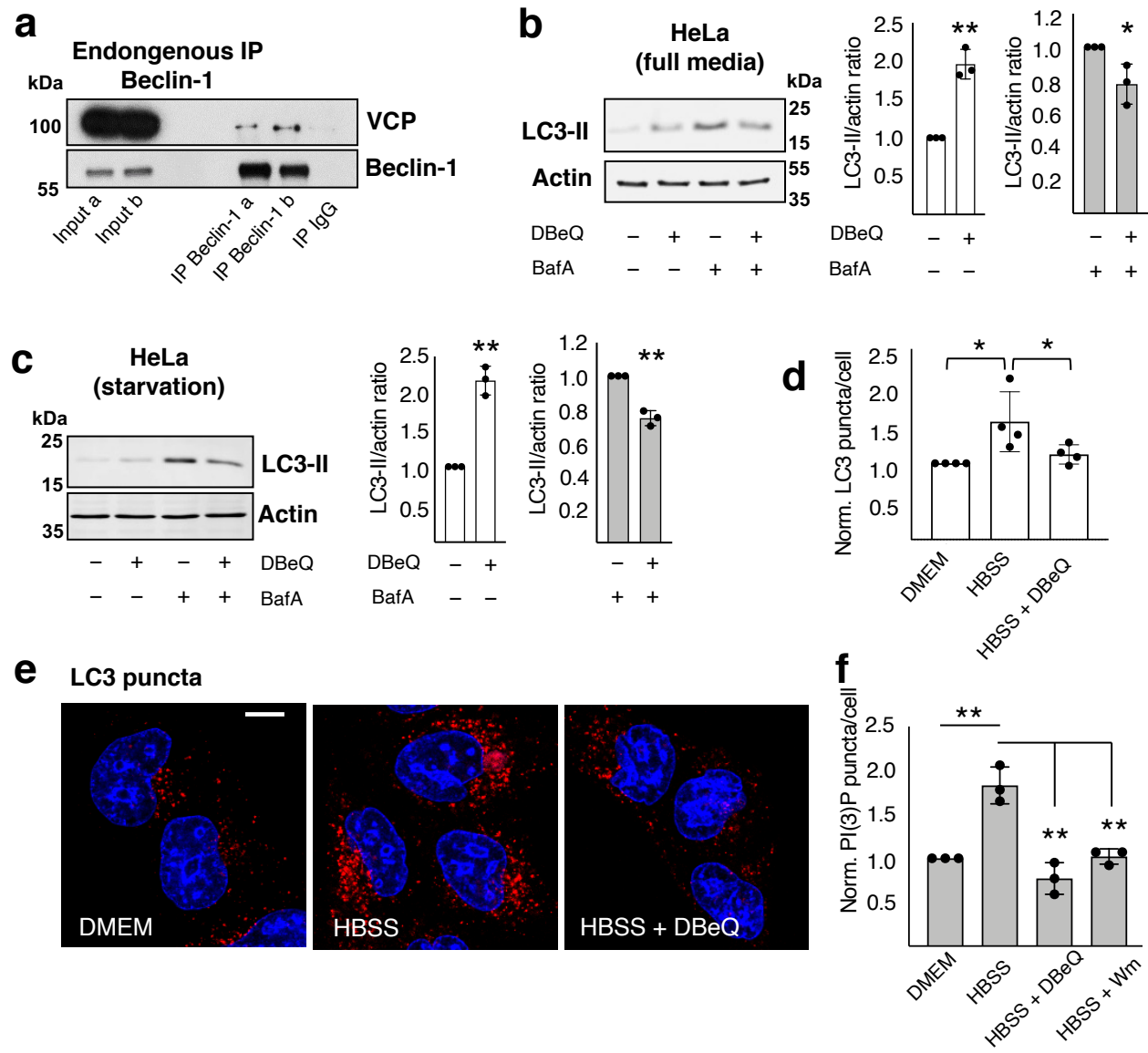


Figure 2. VCP governs the recruitment of early autophagy markers

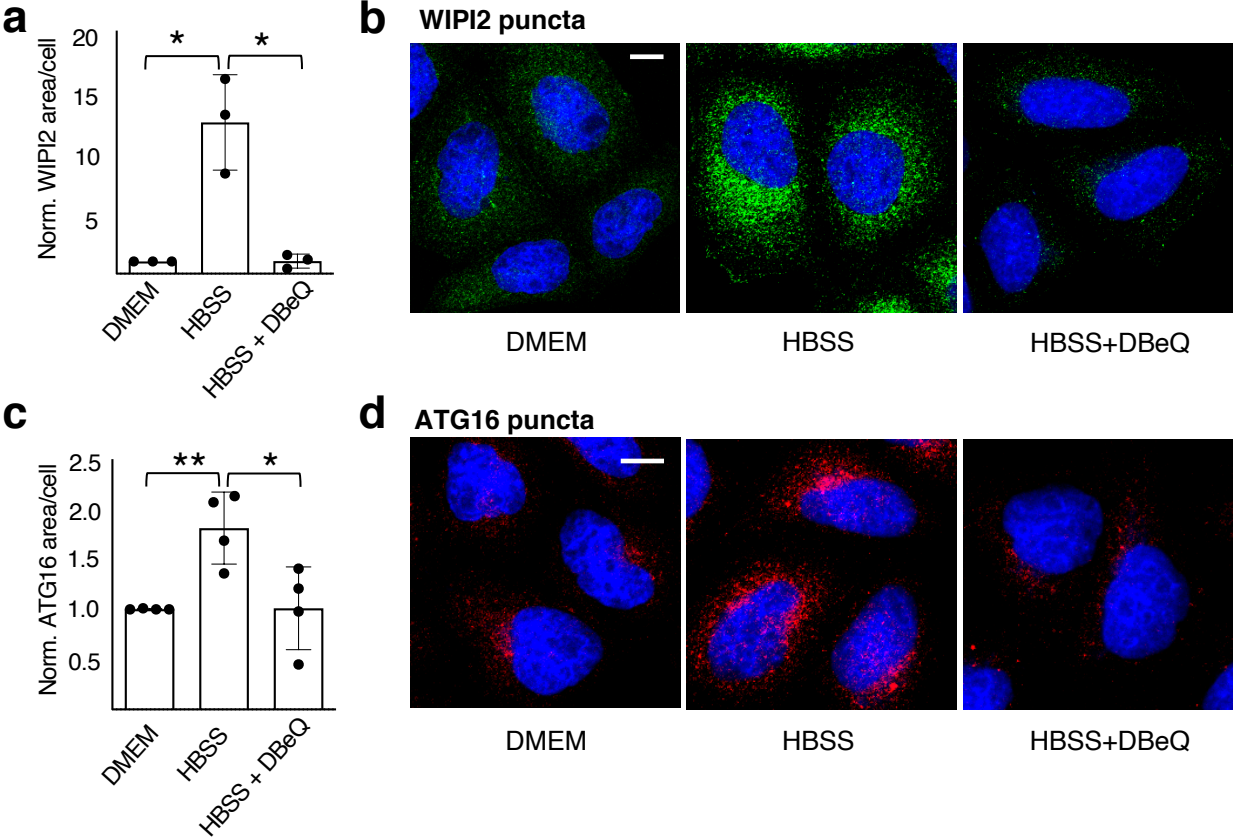


Figure 3. VCP regulates Beclin-1 levels by stabilization and activation of Ataxin-3

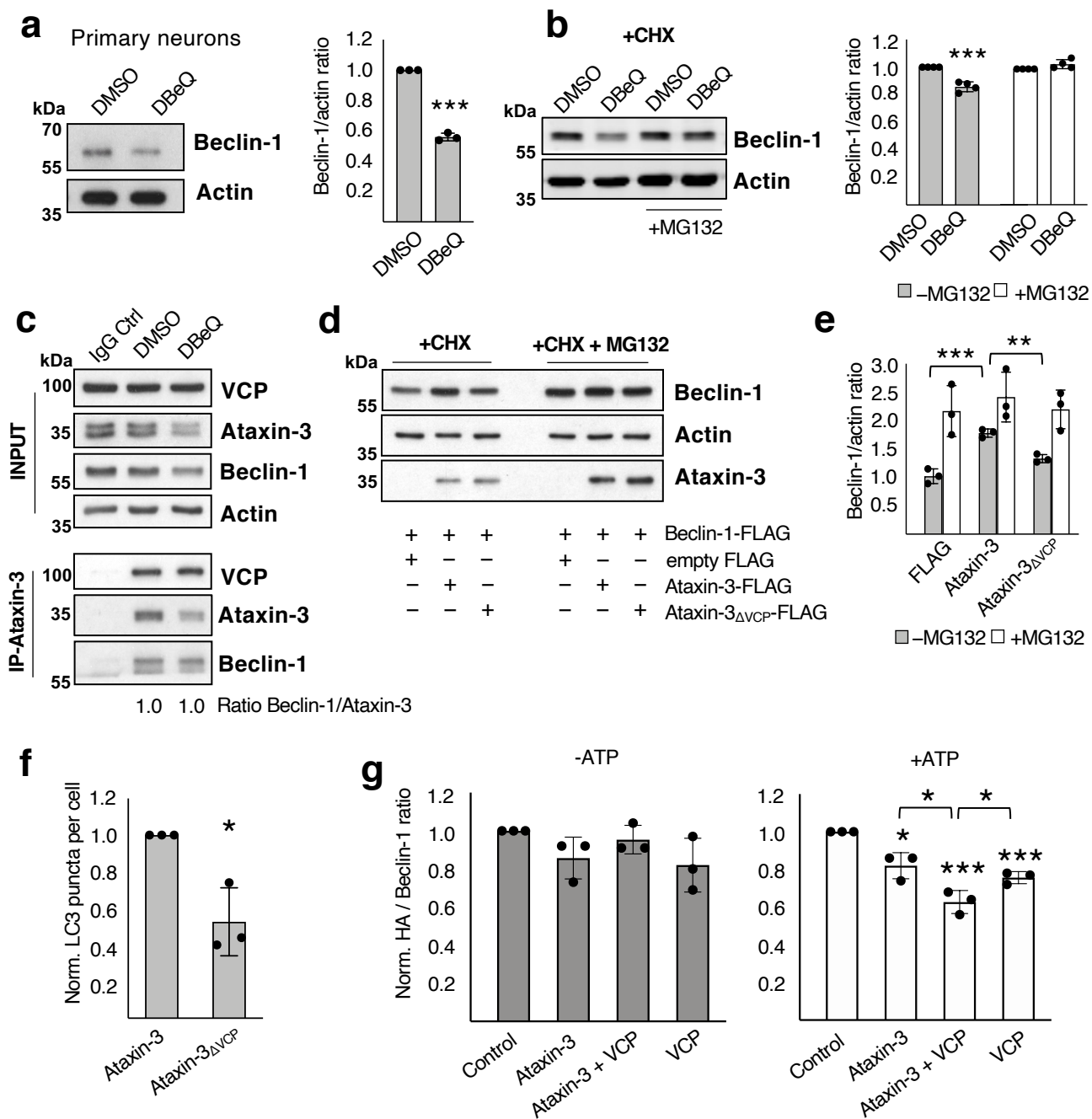


Figure 4. Interaction of VCP with PI(3)P-producing Beclin-1 complexes

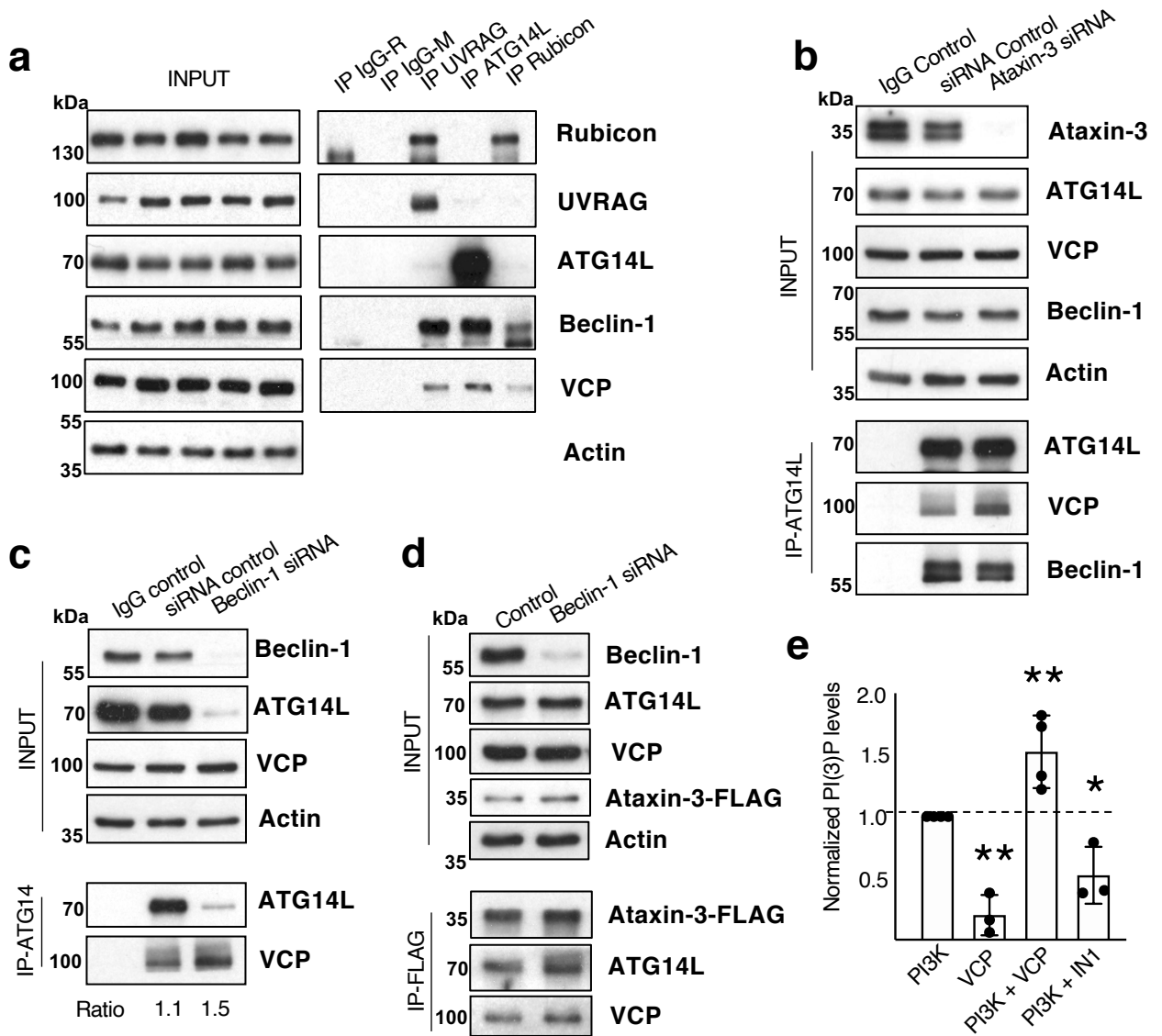
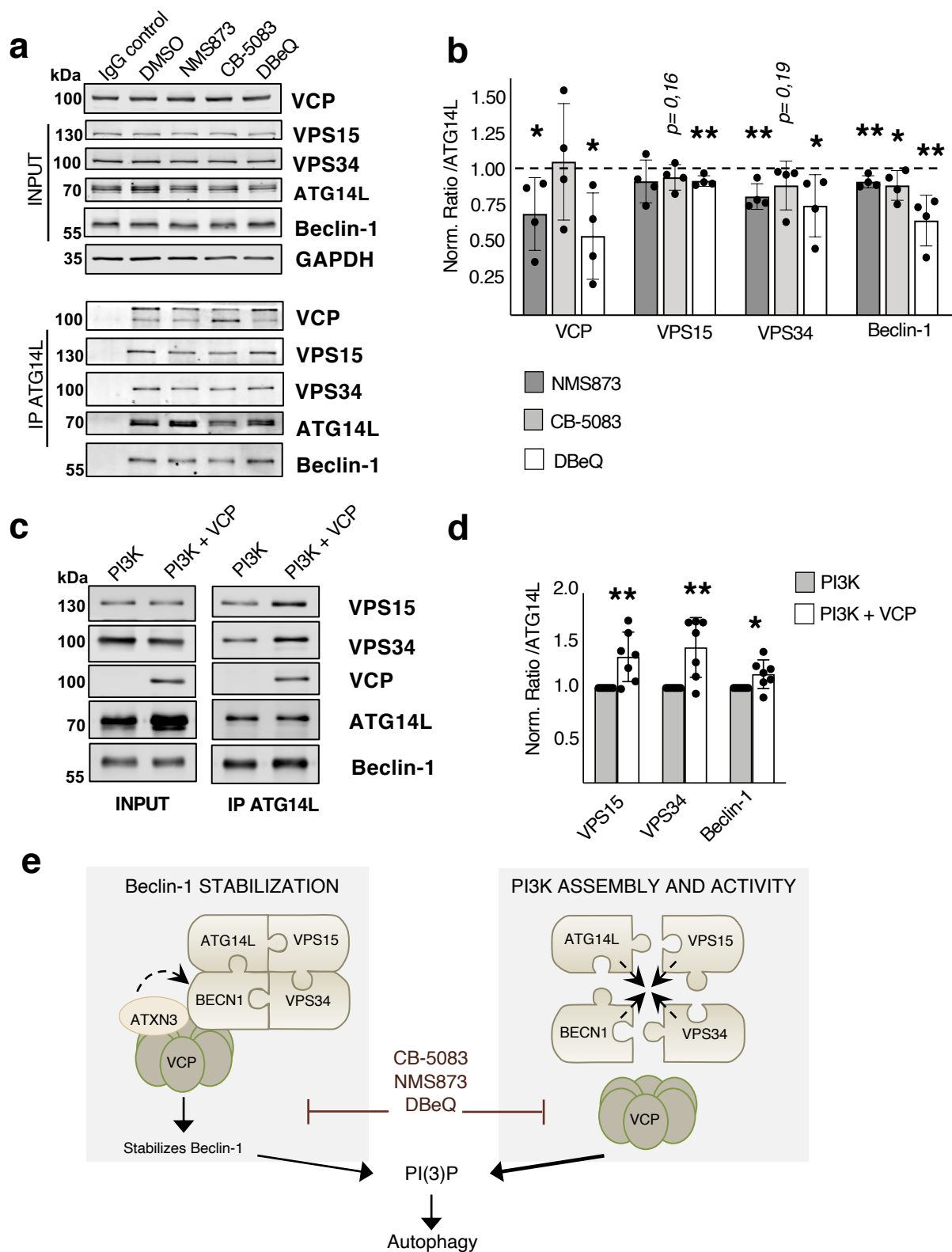
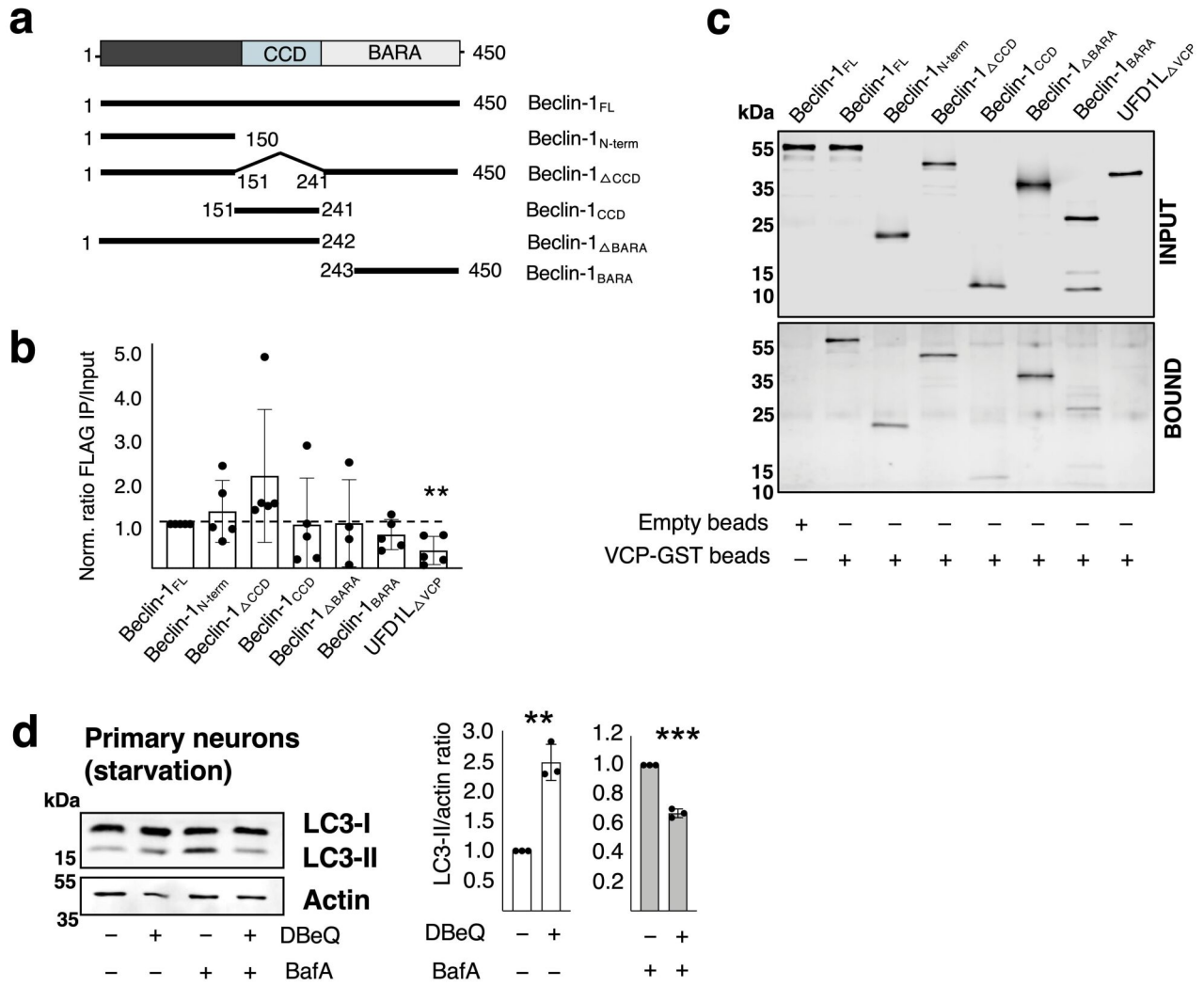


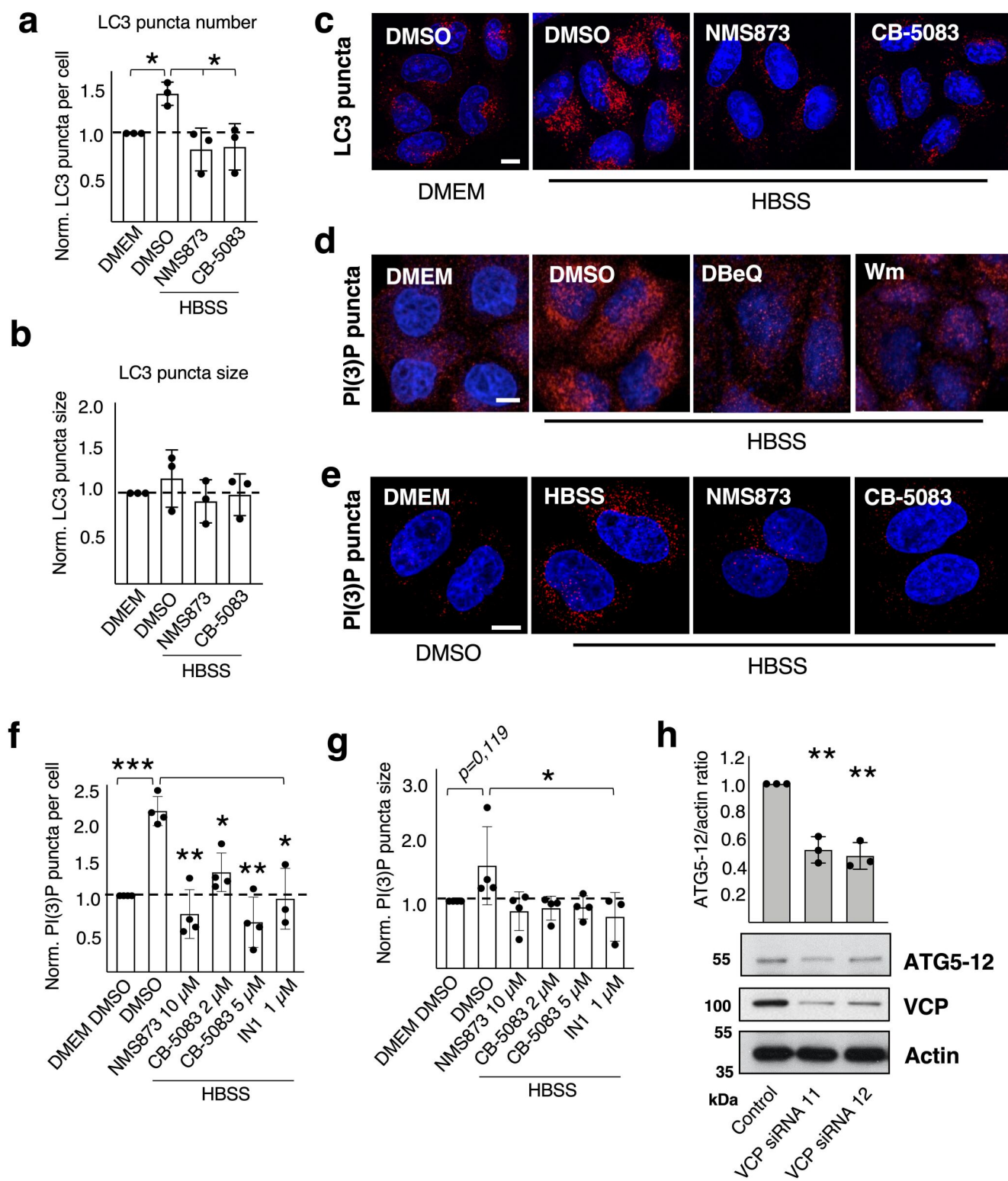
Figure 5. VCP regulates the assembly of PI3K complexes



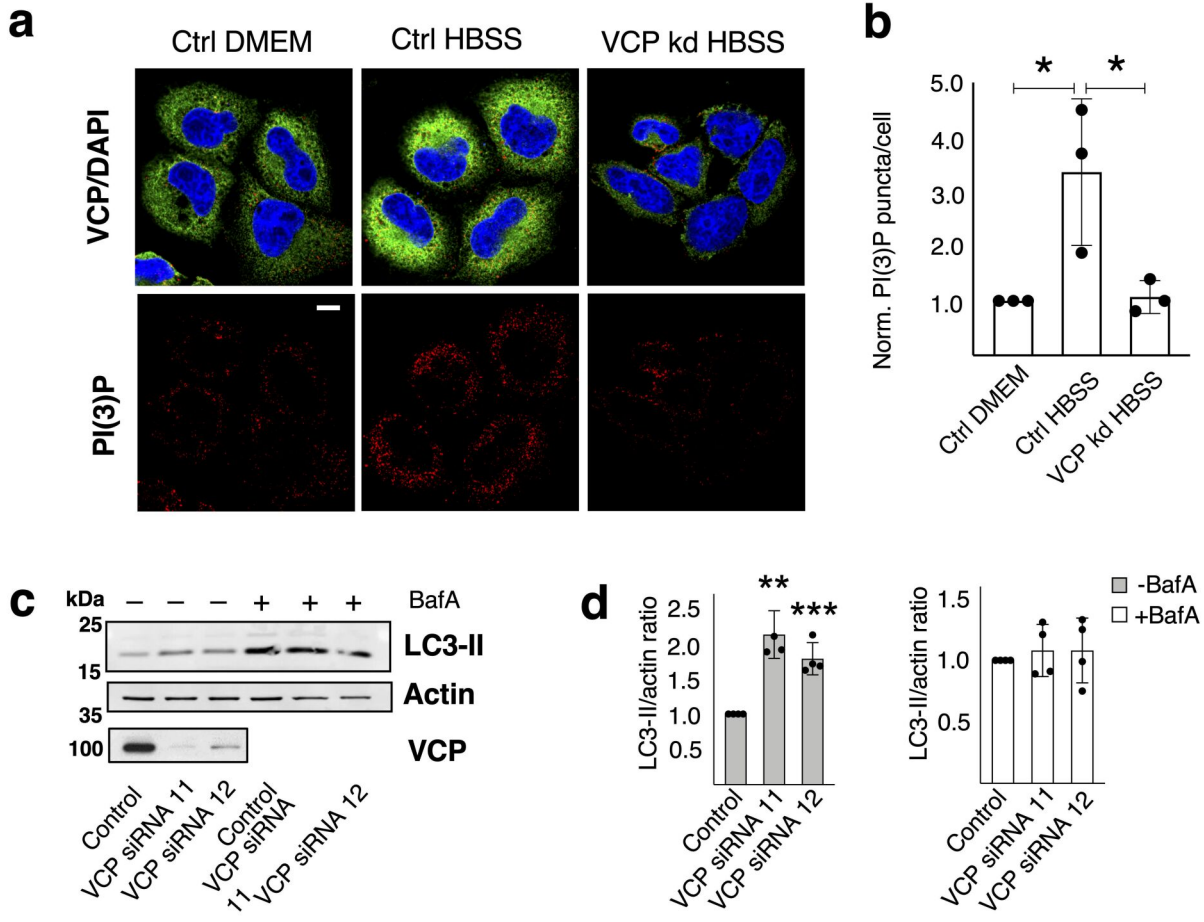
Extended Data Figure 1. VCP interacts with Beclin-1 *in vivo* and *in vitro*



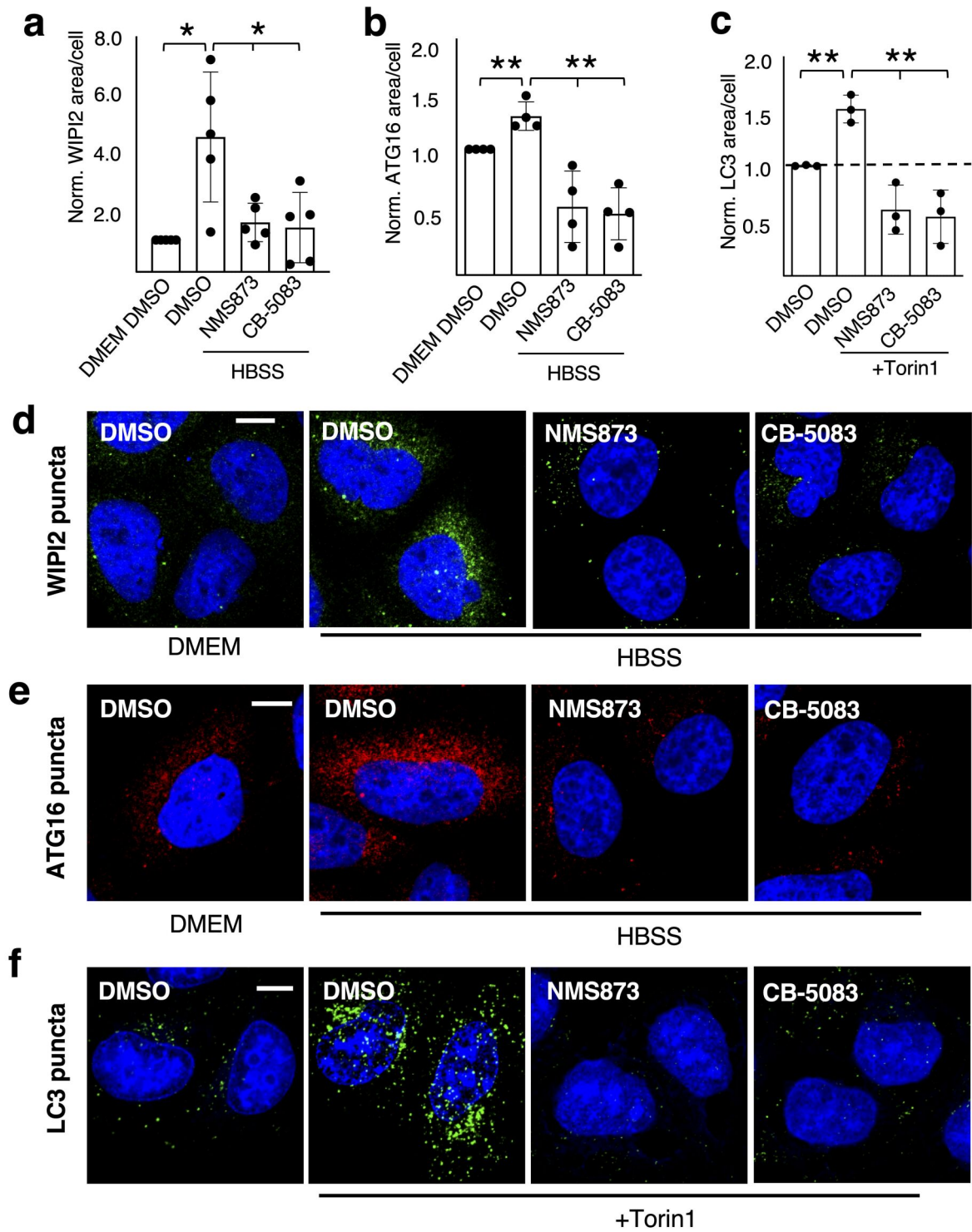
Extended Data Figure 2. VCP regulates early autophagy initiation



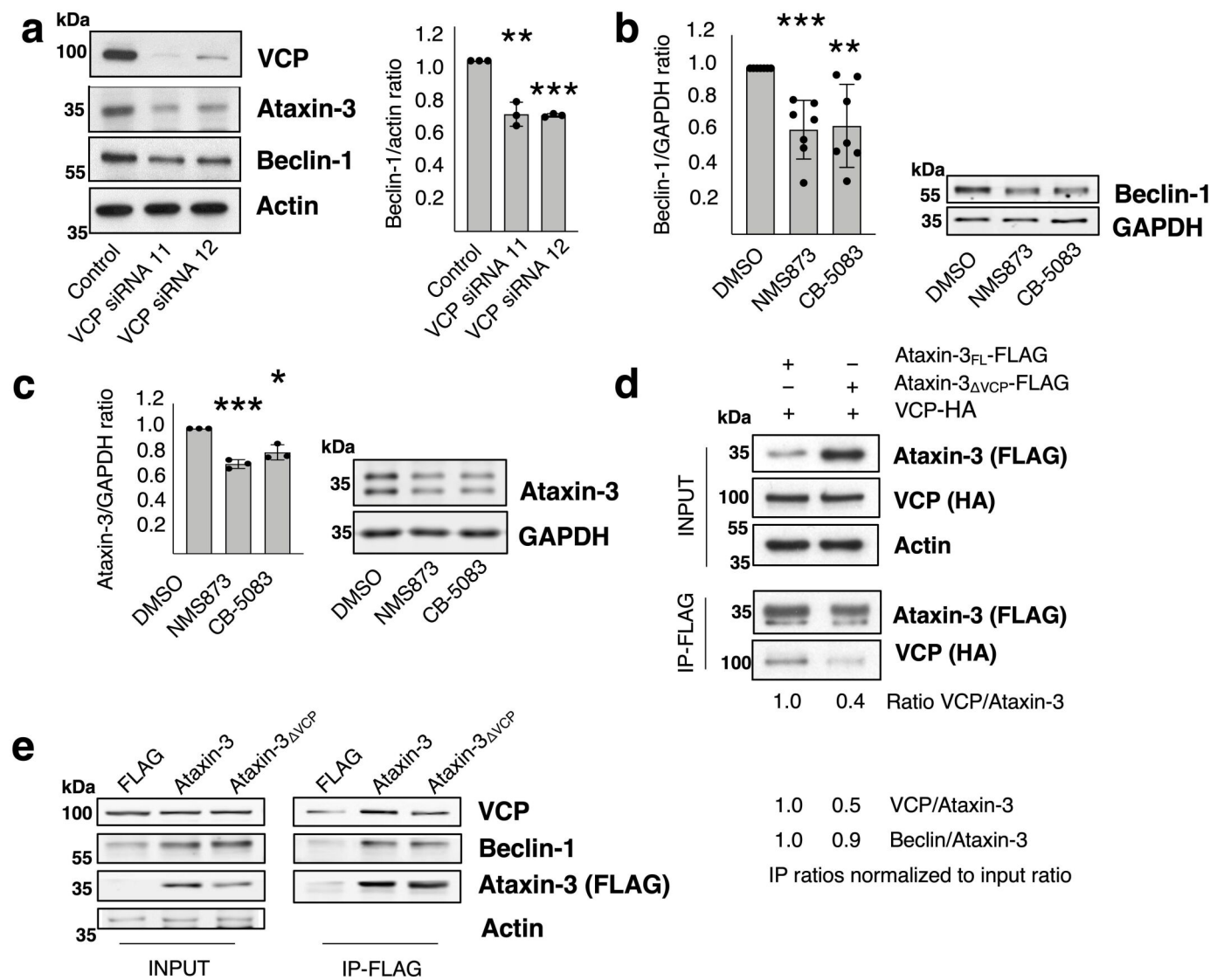
Extended Data Figure 3. Knockdown of *VCP* affects autophagy initiation



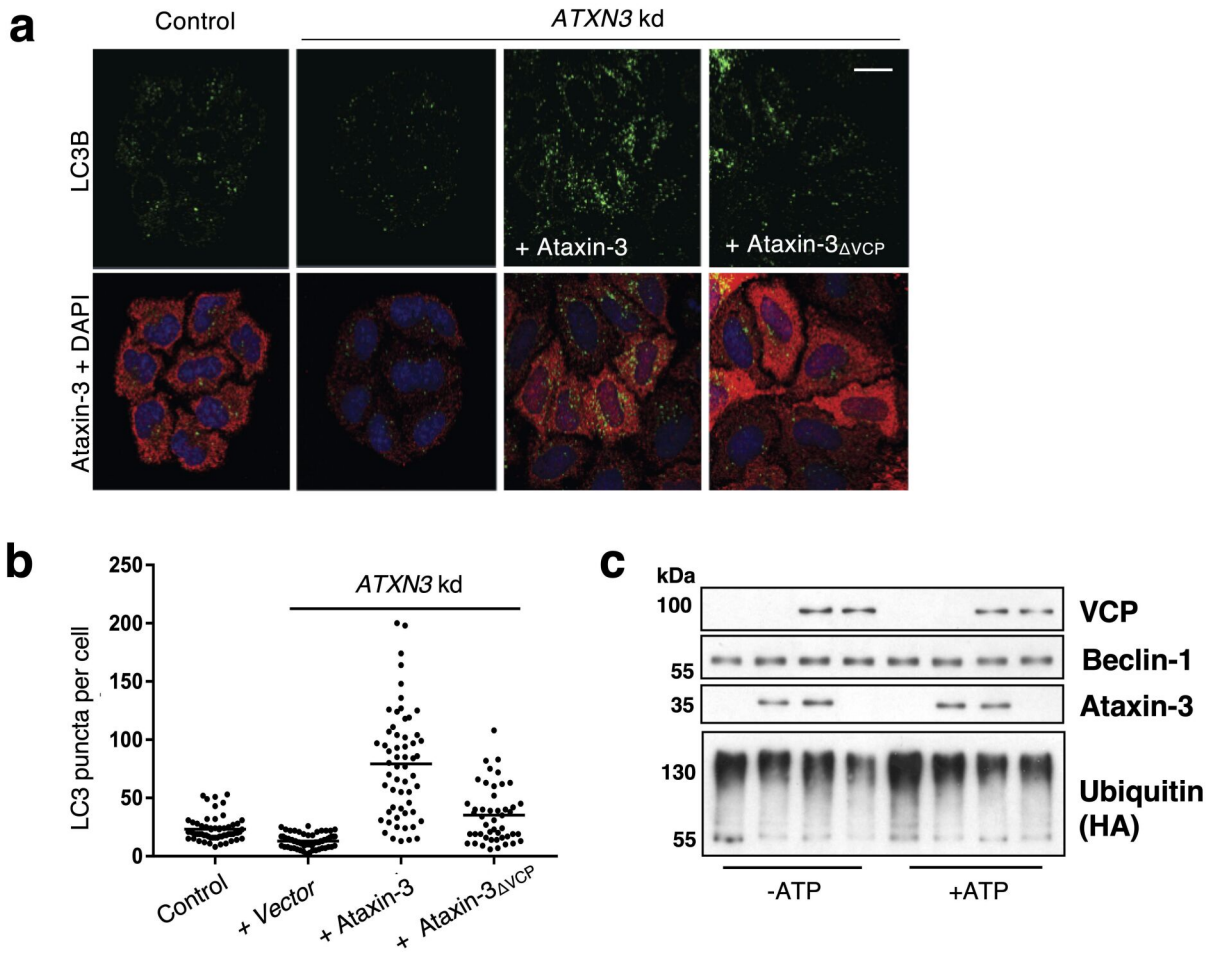
Extended Data Figure 4. VCP regulates the recruitment of early autophagy markers during starvation and mTOR inhibition



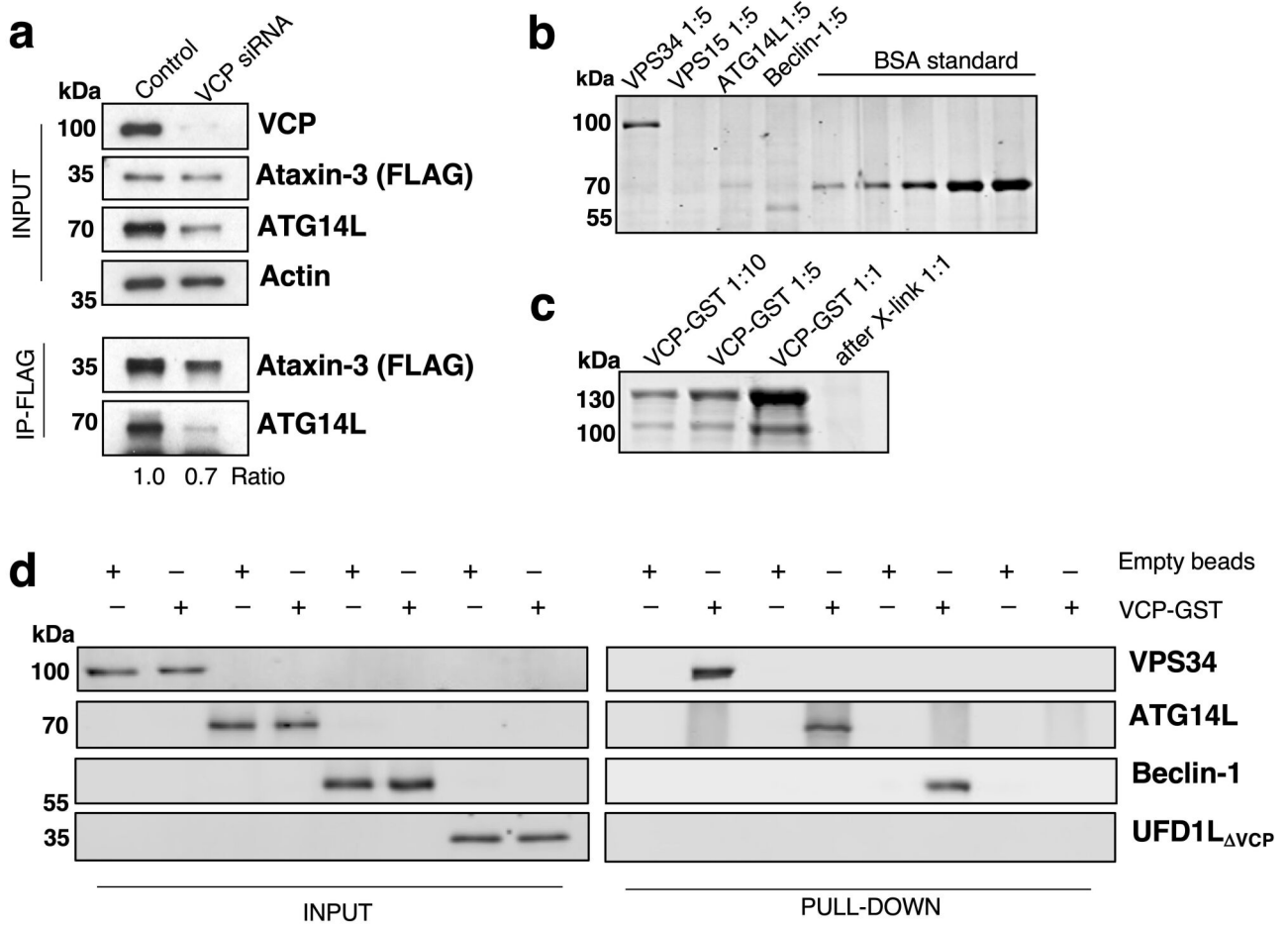
Extended Data Figure 5. VCP regulates levels of Beclin-1 and Ataxin-3



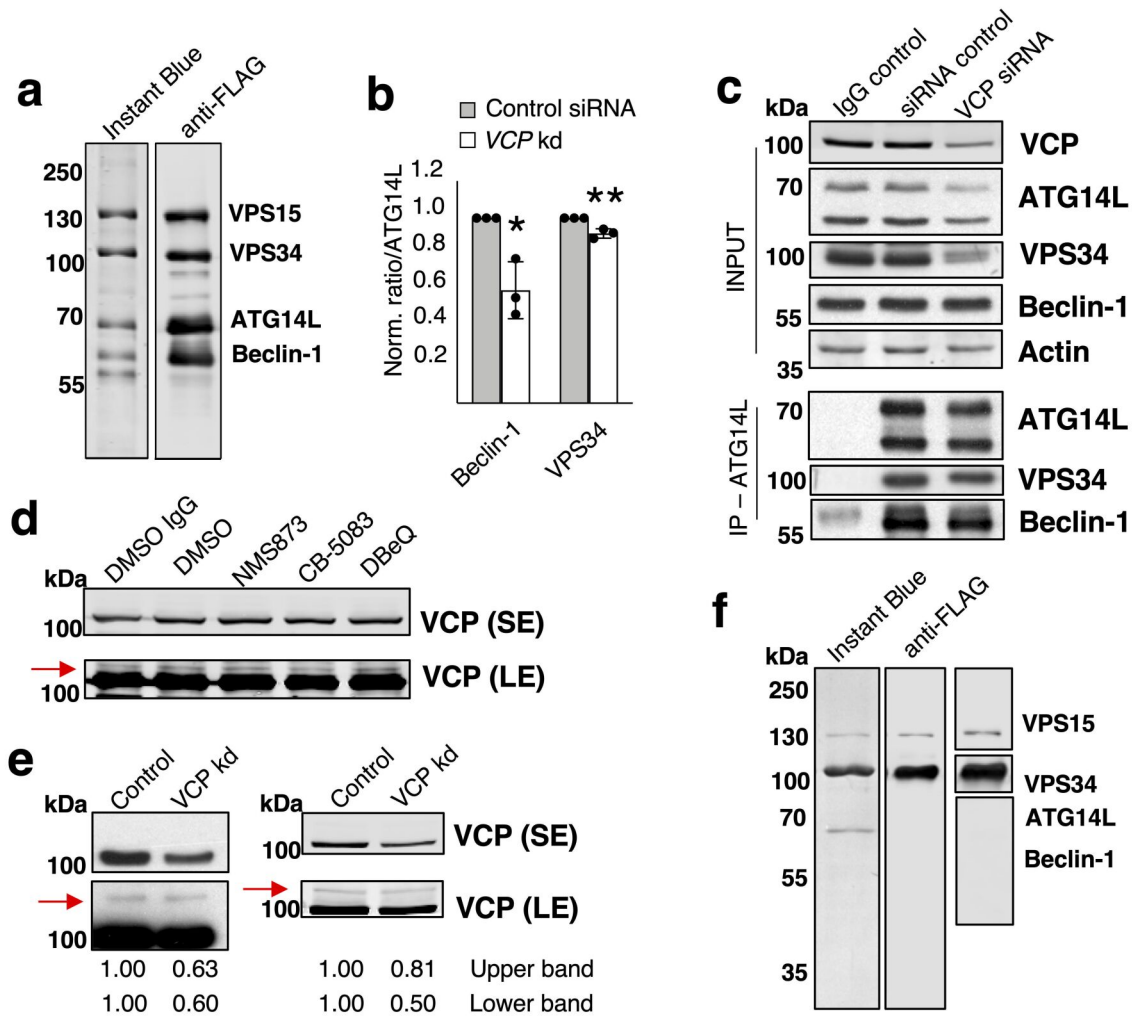
Extended Data Figure 6. VCP regulates Ataxin-3 deubiquitinase activity towards Beclin-1



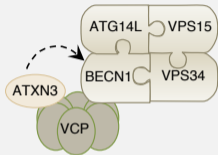
Extended Data Figure 7. VCP interacts with PI3K components *in vitro*



Extended Data Figure 8. VCP interacts with PI3K complex I

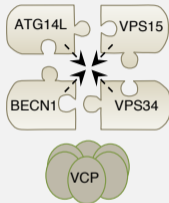


Beclin-1 STABILIZATION



Stabilizes Beclin-1

PI3K ASSEMBLY AND ACTIVITY



CB-8053
NMS-873
DBeQ

PI(3)P

Autophagy