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### **Abstract**

 In temperate climates, soil water repellency (SWR) has been documented to develop with land-use change from native forest to pine plantations. In the tropics a sparse evidence base has been documented for the observation of SWR, but no investigation has been conducted to determine the consequences of changing land-use from native forest to pine plantations with regard to SWR. In our research we broaden the evidence base for tropical SWR by comparing the SWR behavior of seven tropical pine plantations in Trinidad with co- located native forest. We found that SWR occurred under both pine and native forest, but was more persistent and less heterogeneous under pine. The SWR was water content dependent 11 with a threshold  $\sim 0.2 \text{ m}^3 \text{m}^{-3}$ , it showed a linear dependence with litter depth, and it was also found to be higher in more acidic soils. The forest floor pH, contrary to convention for temperate climates, was observed to increase under some pine plantations, as compared with native tropical forest. This only occurred in the very acidic tropical soils (pH<4), but may have important biogeochemical consequences with regard to soil and water quality.

#### **Introduction**

 Soil water repellency (SWR) has been observed and studied for many years (Wander, 1949; Krammes and DeBano, 1965; Watson and Letey, 1970). However it has attracted more attention in the last 20 years because of increased awareness of the impact of SWR on hydrological and ecological systems (Ritsema and Dekker, 2003; Dekker et al., 2005), leading to a broad evidence base for temperate ecosystems (Doerr et al., 2000). Conversely the evidence base for tropical ecosystems is sparse with observations reported from, S. Africa (Scott and Van Wyk, 1990), Australia (Roberts and Carbon, 1971), Japan (Nakaya, 1982), Mali (Rietveld, 1978), and India (Das and Das, 1972). However, no evidence of causal links to tropical soil properties is provided. SWR has been well documented in forest ecosystems in temperate regions (Doerr et al., 1998; Doerr et al., 2000; Doerr and Thomas, 2000; Doerr et al., 2009); however, few studies have been conducted for tropical forest ecosystems. Jaramillo et al. (2000) presented one study for a humid tropical watershed in Colombia, with the focus of measurements being on pine (*pinus patula*) stands. They also observed some SWR under native tropical vegetation. Again, no data was presented to indicate soil factors that might be linked to the SWR.

 In temperate environments studies of SWR indicate that a number of important soil properties correlate, or contribute to the development of SWR, including organic carbon (litter 19 depth), water content ( $\theta$ ), pH and temperature.  $\theta$  has been shown to have a strong impact on SWR (Doerr and Thomas, 2000). Both Doerr and Thomas (2000) and Buczko et al., (2007), 21 studied SWR under pine vegetation and found the development of a  $\theta$  threshold of ~0.2 m<sup>3</sup>m<sup>-</sup> , perhaps consistent with field capacity. In addition, Lebron et al. (2007) demonstrated an almost linear dependence of SWR on litter depth under juniper in Utah. SWR has also been

 observed to decrease as a function of temperature (Graber et al., 2009). Given that temperatures generally experience smaller fluctuations and range in the tropics, temperature is considered less of an issue for tropical SWR. The actual mechanisms leading to the development of SWR are poorly understood but considered to be due to the accumulation of hydrophobic organic acids released as root exudates (Dekker and Ritsema, 1996; Doerr et al., 1998), fungal and/or microbial by-products (Savage et al., 1969; Jex et al., 1985), or from the decomposition of organic matter (McGhie and Posner, 1981). More recently several studies have identified polar organic compounds as responsible for SWR in sandy soils (Mainwaring et al. 2004; Morley et al., 2005) and in loam and sandy loam textured soils (de Blas et al., 2010). Graber et al (2009) endeavored to provide a more mechanistic understanding of SWR and identified fatty acids as the main components responsible for SWR. Moreover, given that the structure of fatty acids, and polar organic compounds in general, is pH dependent provides a possible causal link between soil pH and the development of SWR.

 The role of soil pH in the development of SWR has not been widely studied. The effect of pH on SWR is likely to be complex, but is critical for our improved understanding of feedback processes in tropical ecosystems which can experience a broad range of soil pH. In temperate systems researchers have found that SWR is more persistent in acid soils than alkaline (Mataix-Solera and Doerr, 2004; Mataix-Solera et al., 2007), and that repellency increases as pH reduces. Mataix-Solera et al. (2007) studying a number of vegetation types on alkaline soils found that SWR was pH dependent under some vegetation, including pine, but not under others. They found that SWR tended to increase with a reduction in pH, and SWR levels were lower in soils with pH values higher than 7, as compared with the SWR observed on acidic soils. In a more comprehensive study, Dielhl et al. (2010) found that the relationship

 between pH changes and SWR was dependent on the availability and relative abundance of proton active sites at the mineral surface and at the organic matter functional groups for 14 soil samples from Europe and Australia. Given that globally, pine plantations have been linked to reducing soil pH (Jackson et al., 2005), an unintended consequence of changing native forest to pine in the Tropics could be to enhance SWR and alter hydrological and biogeochemical processes.

 SWR, measured using the water drop penetration time (WDPT) test (Letey et al., 2000), provides a useful and important hydrological process indicator, signifying whether piston flow, or bypass / finger flow will be the dominant infiltration process over a landscape. SWR can reduce infiltration, especially when associated with fire, which often leads to surface runoff and erosion of hillslopes (Doerr et al., 2000); therefore, tropical environments with steep hillslopes are expected to be particularly vulnerable to SWR. Measurements of SWR can also provide a qualitative indicator of the likely behavior of a watershed during storm flow. Given that SWR enhances runoff from dry soils more than from wet soils (Zehe et al., 2007), the effects are expected to be most noticeable during the transition from dry season to wet season in the tropics. Therefore, developing an evidence base for SWR in tropical environments prior to further large scale modification of land-use would be advantageous.

 Change of land-use in the tropics, especially to tree plantations, is of increasing interest to corporations and multinational companies, as not only is carbon sequestered, in compliance with the carbon credit trading system in Europe (Boemare and Quirion, 2002; Schultz and Williamson, 2005), but it also develops a future natural resource (Cacho *et al*., 2003). The locations in demand for new plantations are often in the tropics, where fast growing rates can be easily achieved (Laurance, 2007). The planting of fast growing pine is

 becoming common practice in many tropical countries, Lamb (1973) described the use of Caribbean pine as an exotic plantation species in about 50 countries or regions of the world. Plantations of >40,000 ha have been reported in China (Wang et al., 1999), 90,000 ha in Belize (Anon 2002) and 200,000+ ha in Brazil (Lilienfeina et al., 2000) for example. Jackson et al., (2005) pointed out that reforestation policy has greater implications for the functioning of the earth system, due to impacts on both hydrology and biogeochemistry. In their research for the mainland USA they indicated that one consequence of developing plantations is a reduction in base flow in rivers, as well as increased possibility of soil salinization and acidification. Whilst this may be a problem in temperate / Mediterranean climates, reduced base flow may be considered a benefit in many flood prone tropical countries.

 This increasing interest in reforestation and aforestation in the tropics with non-native plantation species creates three main concerns: first, the development of SWR, which in temperate systems has been linked to the modification of hydrological processes (Doerr et al., 2000); second, the possible increase in forest fires, which exacerbate SWR further (Certini, 2005); and third the likely change of soil pH which may impact biogeochemical cycling and plant fitness. It is known that reducing the acidity of the soil has a range of beneficial factors for plants such as improved physiological response, especially roots, and improved nutrition (Berthong et al. 2009); conversely, acidification will inhibit plant development and often leads to toxic levels of aluminium in soils (Rowell, 1988).

 The aim of our study was to strengthen the evidence base for SWR in tropical forest ecosystems, with the objectives of I) determining the influence of soil water content on SWR, II) investigating the relationship between litter depth and SWR under tropical pine plantations

 and native forest, and III) comparing the impact of land-use change on soil pH levels under native forest and introduced pine.

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## **Methods**

*Study Sites*

 The island of Trinidad in the Republic of Trinidad and Tobago has an area of 4,768 9 km<sup>2</sup> and is located between, 10<sup>o</sup>3'N 60<sup>o</sup>55'W and 10<sup>o</sup>50'N 61<sup>o</sup>55'W, ~11km from the NE coast of Venezuela near the out flow of the Orinoco River. Its proximity to Venezuela, and the presence of a land bridge thousands of years ago, give Trinidad a tremendous biodiversity. This makes the island a unique observatory for monitoring land management impacts on tropical ecosystems. The island has a wet and dry season and a noticeable rainfall gradient 14 from ~2.5 m/yr in the east to ~1.5 m/yr in the west (Beard, 1946). The landscape is dominated 15 by acidic alluvial soils, often high in solution  $Al^{3+}$  (Dalal, 1975).

 Caribbean pine (*pinus caribaea var, hondurensis*) was first planted in Trinidad on an experimental scale in 1948 (Lackhan, 1976) in the Arena forest. The first large scale planting of ~40 ha was made in 1956 and by 1976 ~3640 acres had been planted. By 2001 Pine accounted for about 4200 ha of plantation land, from a total of ~15,400 ha (Anon, 2003). The rate of establishment of pine is relatively slow at 71ha per yr, but this is still 10 times greater than that for teak. The plantations were normally undertaken on nutrient poor soils, largely either on sands, or sand and clay mixtures. The plantations chosen for this study and some of the soil characteristics are shown in Table 1, Mt. St. Benedict and Lopinot plantations were

 established on the southern slopes of the Northern Range to restore lands degraded by frequent dry season fires. The original ecosystems in these areas were dry deciduous forests (Beard 1946) with a high proportion of deciduous trees in the canopy. The Cumuto and Arena Forest sites where established on the eastern part of the Caroni Plain where extensive rainfall supported evergreen seasonal rainforests (Beard 1946) with a dry season of three months (< 50 mm rainfall per month). The majority of trees in these ecosystems were evergreen with a few facultative deciduous trees. The Aripo Savannas and the Erin Savannas are edaphic savannas and the pine plantations largely replaced forests surrounding the savannas. These forests were seasonally inundated Marsh forest in the case of the Aripo savanna plantations and seasonal evergreen forests in the case of the Erin Savannas (Beard 1946). The Melajo plantations were established where seasonal evergreen forests once grew (Beard 1946).

 Our interest was to capture changes in soil pH and SWR over a short distance. We selected areas where natural forest and pine plantations were next to each other. We adopted a systematic survey, by delineating a ~120 m transect perpendicular to the boundary. Half of the transect (50 m) was located on the pine plantation and the other half on the native forest (NF), sampling was established every 10 m with a total of 5 locations in the pine plantation and 5 in the NF. We kept a 10 m distance from the boundary before the first sampling location on each side of the transect to minimize mixed effects. 10 m is considered to be an appropriate distance, beyond which, the tree would not exert a major influence on the soil properties (Kuuluvaainen and Linkosalo, 1998).

*Soil Measurements*

 SWR measurements were carried out during the dry season in the months of February to April in 2009 using the water drop penetration time test (WDPT) with ~5mm diameter drops, Krammes and Debano (1965). After carefully clearing the litter from the soil surface, and measuring the litter depth, twelve individual drops of water of approximately 0.05 mL were applied to the soil using a dropper in each location, the average of the penetration times noted. 12 repetitions were made at each location and the average penetration time used to represent the penetration time for the location. The WDPT test groups soils into classes according to the time taken for the water to penetrate into the soil (Dekker et al., 2001). A soil is considered to be wettable, if the penetration time is under five seconds, and increasingly water repellent above this, with anything above 1 hr considered severely water repellent. We 11 limited our measurements to a maximum of 3 hr. At the same time we measured the soil water content using a Delta-T theta probe, type ML2x (Delta-T Devices, Cambridge, England). Sensor voltage output was converted to apparent permittivity, and consecutively to volumetric 14 soil water content  $(\theta_v)$  using the relationship given in Blonquist et al. (2005). This procedure is suitable for sandy and loamy soils.

 Measurements in forest soils indicate that pH is generally consistent with depth; however differences arise between litter and mineral layers (Frankland et al., 1963; Sollins, 1998). SWR occurs in the mineral soil but in the tropics the boundary between the litter and mineral layer is not always distinct, to ensure consistency among our pH measurements we collected samples from 7.5 – 10 cm deep in accordance with previous work in the literature (Frankland et al., 1963; Bayer and Schaumann, 2007). Soil pH was measured in the field using a portable pH meter (IQ 150, Spectrum technologies Inc., Illinois, USA). We used the standard 1:1 measurement method in de-ionized water (USDA-NRCS, 2004). The soil sample

1 ( $\approx$  3g of soil) was shaken with 3 mL of deionized water (DIW) and the pH measured after 30 2 minutes. Separate samples collected from the top 10 cm were taken back to the laboratory and tested for solution electrical conductivity using a 1:2 water solution extract (USDA-NRCS, 2004). *Statistical analysis* 

 The pH values for each location along the transect were grouped together for each plantation site, the average and standard deviation were determined. The significance of the difference between the forest and pine plantation means was determined using a two-sample *t*- test, assuming unequal variance (Moore and McCabe, 2003). The significance at the 95% level was determined from P values which are reported (Table 2).

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## **Results**

 Results for the SWR at the seven sites are presented as boxplots in Figure 1. The results represent the bulking of the 60 measurements for each site at each location and show a large degree of variability. The results for the Erin Savanna showed the highest degree of water repellency. The mean values of repellency are consistently higher in the pine forest, other than at the Erin Savanna site where the native forest was more repellent.

 Results for the SWR dependency on soil water content for the 7 pine plantations and corresponding native forest (NF) are presented in Figure 2. The results show SWR under both pine and NF at low soil water contents and a threshold type behavior with SWR disappearing

1 above  $0.2 \text{ m}^3 \text{m}^{-3}$ . This behavior has been previously observed, and the water content threshold is consistent with previous findings for pine in temperate ecosystems (Doerr and Thomas, 2000; Buczko et al., 2007).

 Concurrently, data was collected for litter depth at each of the sites. Extreme values were removed then regression lines were fitted through the data, which indicated significant 6 linear trends between WDPT and litter depth (NF  $r^2$ =0.25 p (>F)=0.002 slope =586; pine  $\overline{7}$  $^{2}$ =0.25 p (>F)=0.004 slope=265). The NF showed higher SWR than the pine for the same litter depth though the litter depth under the NF was generally thinner than under pine. The results are compared with results from measurements in temperate evergreen ecosystems (Lebron et al., 2007) in Figure 3. Presented on a log plot, the data show a strong dependence on litter depth. Comparison between the results for the tropical and temperate evergreen species, which represent data from humid and arid climates, show similar trends. The juniper and pinyon pine from the arid climate showed significant linear trends for WDPT as a 14 function of litter depth (juniper  $r^2=0.68$  p (>F)=4.8E-15 slope=236; pinyon pine  $r^2=0.22$  p (>F)=1.2E-05 slope=86). However, one difference we observed was that the SWR at our tropical sites was more confined to the soil surface and did not generally go deeper than ~1 cm below the mineral soil surface, whereas in the temperate data SWR was observed to occur to depths of 20 cm+ down the profile. This has also been observed by others comparing dry and humid climates (Jaramillo et al., 2000). Electrical conductivity (EC) of the soils in NF was higher than in the pine plantations (Table 2), this observation agrees with meta-data analyses (Jackson et al, 2005), no relationship was found between EC and SWR.

 Figure 4 presents' SWR (WDPT) data, as a function of soil pH. In addition to the data from the tropical systems, we collected metadata from the literature, where possible, that was

 consistent with pine vegetation for acidic soils (Doerr et al., 2000); data for alkaline calcareous soils comes from Graber et al. (2009) and Miralles et al. (2007). The synthesis of this data shows the paucity of data in the literature with regard to SWR and soil pH and that data sets are required that span the pH spectrum to draw firm conclusions about any potential relationship between pH and SWR. However, our results indicate the intriguing possibility of a bimodal distribution of SWR as a function of pH which is likely to be species dependent. SWR for the tropical data showed a maximum repellency around pH 4. SWR was negligible at soil pH values between pH 5.5 and 7, but very few data were observed to be in this pH range. This finding is consistent with the findings of others who also show higher levels of water repellency under more acidic conditions and lower repellency near neutrality (Mataix- Solera et al., 2007). Comparison with the literature data for alkaline soils indicates that the persistence of SWR in these tropical soils is greater than has generally been observed in the more alkaline soils (Figure 4).

 Comparison of soil pH change was made between the pine plantation and the adjacent NF (change= pH pine - pH NF).The difference is presented in Figure 5 with negative numbers indicating a reduction in soil pH for the pine forest floor as compared with the soil pH in the NF, and positive numbers indicating an increase in pH under pine compared with NF. It also includes meta-data collected from the literature for pine on tropical soils. The meta-data indicates larger decreases in pH between NF and pine when the initial pH, assumed to be that of the NF, was higher than 4; the higher the initial pH the higher the decrease. For the 21 plantations in this study we found a similar trend and when the pH in the NF was  $\geq$  4 the pH 22 in the pine plantation decreased; however when the native vegetation had a soil pH  $\leq$  4 the soils in pine plantations showed an increase. Anecdotal reports from Los Gavitos in Colombia



 linearly when litter depth as there was more organic matter on the forest floor. An interesting finding was that the SWR dependency with litter depth was strongest under the native tropical forest, and weakest under the pinyon pine from an arid environment according to the slopes of the relationships; the juniper, also from an arid environment, showed the most consistent 5 behavior with the highest  $r^2$  where as the NF, tropical pine and pinyon pine were all very similar explaining ~25% of the variance. This perhaps indicates species, soil type and climate dependence and may be linked to both the type of litter and the microbial and fungal communities that develop in association with these communities.

 In our tropical soils pH is acidic and likely to limit bacterial growth with fungus being dominant, Hallett and Ritz. (2001) demonstrated that suppression of bacteria caused a significant increase in the SWR while when fungal activity was suppressed soils did not reach severe levels of SWR. Fungal:bacteria activity, in turn, has been also associated with the soil C:N ratio, with fungal:bacteria activity increasing when soil C:N ratio increases (Kuijper et al., 2005). In a separate study and using meta-analysis at the global scale Berthrong et al. (2009) showed that soils under pine plantations had the highest C:N ratios when compared with four other biomes. A synthesis of the information contained in these studies indicates that pine plantations increase fungal abundance in soils, and with time may lead to higher levels of SWR than found naturally under native forest; this increase in SWR is supported by our findings for these tropical sites.

 SWR is a complex phenomenon, with multiple contributing factors at the molecular scale, so that in order to develop a more mechanistic understanding of the pH dependence of SWR we must relate it to surface and solution chemistry. A survey of the wider literature indicates broad interest in water repellency in a number of fields of research, including

 medical (Cistola et al., 1988), geochemical (Rezaei Gomari and Hamouda, 2006) and soils (Graber et al., 2009). This research identifies a range of organic compounds like aliphatic hydrocarbons, amphiphilic and long-chained fatty acids (Wander, 1949; Horne and McIntosh, 2000; Graber et al., 2009) as potential contributors to water repellent behavior. However, Graber et al. (2009), for soil environments, attributes the hydrophobic properties to fatty acids; which in the case of pine are known to occur in both litter (Li, 1978; Wolff et al., 1997; Fries et al., 1985) and root exudates (Fries et al., 1985).

 The conceptual model for SWR proposed by Graber et al. (2009) suggests that repellency develops as fatty acids become ionized and the hydrophilic acid head groups attach to the surface, either through physi-sorption or chemi-sorption, leaving the hydrophobic tails pointing out from the surface. Graber also found that SWR increased with increasing cation 12 concentration. They noted from the literature that the pKa can drop in the presence of  $Al^{3+}$  (pKa ~3.8 (Aveyard et al., 1990)) causing an increase in the SWR. Given the strong impact of  $3+$  on the pKa, tropical soils, with  $Al^{3+}$  saturated exchange complexes (Dalal, 1975) are likely to exhibit strong SWR at low pH values, which is consistent with our findings (Fig 4). It is clear from the literature that pH contributes to water repellency in thin films (Langmuir, 1938; Peng et al., 2001), but how this translates to soils is not well understood. Further study should be focused on improving our understanding of the role of pH on SWR development and persistence.

### *Soil pH change from native to pine plantation*

 

 Soil pH has been proposed as the most useful single indicator for soil function and processes (Borggaard, 2000), in recent years several meta-analyses have been published

 synthesizing the effects of forestation and aforestation on fundamental soil properties at the continental and global scales (Jackson et al. 2005; Fierer and Jackson, 2006; Berthrong et al., 2009). However, there is still little evidence to suggest any consistent effect of tree plantation on soil pH in the tropics (Evans, 2002). Most of these studies report a decrease in soil pH when using pinus or other conifers for plantation schemes, this pH decrease has been linked to 6 an uptake of cations by the trees, leaving behind  $Na^+$  and  $H^+$  in the soil solution (Jobbagy and Jackson 2003 and 2004; Berthrong et al., 2009), production of organic acids, and to an enrichment of CO<sup>2</sup> in the soil solution from higher rates of autotrophic respiration (Richter and Markewitz, 1995). Liao et al. (2010) showed also with meta-analysis data that plantations had lower aboveground litter mass than native forest indicating that plantations might have less amount of litter K, Ca, Mg, and nutrients returning to soils than native forests, causing an 12 accumulation of H<sup>+</sup> concentration and the consequent increase in soil acidity below plantations (Jobbagy and Jackson, 2003). The majority of studies reviewed by Jackson et al. (2005) support the generally accepted understanding that soils from the same climatic region tend to be more acidic below forest than non-forested lands. However, Feller (2007) reported that for many years anecdotal reports have come from Los Gavitos in Colombia that suggest that soil pH increased when pine was planted on native tropical acid grasslands. Our data, combined with metadata (Fig. 5) indicate that the initial pH of the native forest may be important in determining the future pH change for landuse change to a pine plantation. Given an initial soil pH that is very acidic (pH≤ 4) it seems likely, from our data, that a pine plantation may increase the soil pH, however, the lack of data in the literature for the tropics prevents us from making this a broader, more definite conclusion for tropical soil in general. However, it does disprove the generic hypothesis that a switch from native vegetation to a

 pine plantation will always result in a soil pH decrease. In the tropics soil acidity values of 2 3.5-4.0 are not uncommon due to mineral weathering and the prevalence of aluminium in the soil solution (Rowell, 1988), so this improvement of soil pH with pine plantations potentially 4 could be a broadly applicable result. However, when the initial soil  $pH > 4.5$  a change to pine generally resulted in the soil pH decreasing, consistent with the findings of Jackson et al. (2005) in their meta analysis; if the soils have highly buffered parent materials like limestone, pH values will most likely be maintained (Jackson et al, 2005). An additional problem with changes in soil pH is that the dependence of SWR on pH is poorly understood and not well documented in the literature. Most available results from the literature indicate that SWR increases with acidification (Mataix-Solera et al., 2007, Doerr et al., 2009; Martínez-Zavala and Jordán-López, 2009), with which our results are consistent. Furthermore, SWR magnitude in acid, compared with alkaline soils, indicates much greater SWR development in acidic soils (e.g. Dekker and Jungerius 1990; Doerr et al., 1998; Benito et al., 2003; Mataix-Solera and Doerr, 2004). Undoubtely, SWR is an emerging soil property as a result of complex phenomena and more studies are needed to elucidate the effect of plantation schemes on the biogeochemistry of the soils of the tropics, in particular with reference to controls such as pH.

## **Conclusions**

 We find strong soil water repellency under both tropical pine and native forest in the tropical soils of Trinidad. SWR dependence on soil water content is similar to other 5 observations with a threshold of  $\sim 0.2 \text{ m}^3 \text{m}^{-3}$ . The dependence of SWR on litter depth is also found to be consistent with similar observations in semi-arid evergreen woodland. In the acid environment of the soil tropical forest in this study we found maximum SWR in the interval 8 4 <pH> 4.5 and we did not find any SWR above pH 5.2. In addition, we found that changes in pH between native forest and pine plantations is larger when the initial pH was closer to neutral and reduced as the soil became more acidic. At very low pH <4, we also observed statistically significant increases in soil pH under pine compared with native vegetation. This supports anecdotal findings from foresters in Colombia who have claimed that pine plantations can ameliorate acidic tropical soils.

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Figure captions



- Table captions
- Table 1. Soil characteristics according to the soil survey of Trinidad and Tobago (Smith,

1983).

 Table 2. 1:2 solution extract electrical conductivity (dS/m), standard deviation shown in brackets. pH measured in 1:1 soil:water ratio in native forest (NF) and pine forest (P) with standard deviation in brackets.

2



3



 $\begin{array}{c} 1 \\ 2 \\ 3 \end{array}$ 1<br>2<br>3



 $\overline{4}$ 

 $\overline{1}$ 

# $\overline{c}$



1<br>2<br>4<br>5  $\overline{5}$ 



 $\overline{4}$ 



1<br>2<br>3  $\overline{c}$  $\overline{3}$ 

