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Immunotargeting of the xCT Cystine/Glutamate Antiporter Potentiates the Efficacy of HER2-Targeted Immunotherapies in Breast Cancer

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39

40 Abstract

Although the introduction of Her2-targeted therapies improved the outcome of Her2⁺ breast cancer, many patients experience resistance and metastatic progression despite treatment. Since cancer stem cell (CSCs) play a role in this mechanism, the development of therapies combining Her2-targeting with CSC inhibition could improve the management of Her2⁺ breast cancer. We previously demonstrated that the cystine-glutamate antiporter xCT is overexpressed in mammary CSCs and is crucial for their redox balance, self-renewal and resistance to therapies, representing a promising target for breast cancer immunotherapy.

Here, we developed a combined immunotherapy targeting Her2 and xCT using the Bovine Herpes 48 virus (BoHV)-4 vector, a safe vaccine that can confer immunogenicity to tumor antigens. Mammary 49 cancer-prone BALB-neuT mice, transgenic for rat Her2, were immunized with the single or combined 50 vaccines. Anti-Her2 vaccination mostly affected primary tumor by significantly slowing down 51 mammary cancer growth, while anti-xCT vaccination primarily prevented metastasis formation. The 52 combination of the two vaccines exerted a complementary effect. These activities were mediated by 53 54 the induction of cytotoxic T cells and of specific anti-Her2 and anti-xCT antibodies that induce 55 antibody-dependent cell cytotoxicity and hinder cancer cell proliferation. Antibodies targeting xCT, but not those targeting Her2, directly affected viability and self-renewal of CSCs as well as cell 56 57 migration, inducing the anti-metastatic effect of xCT immunotargeting.

58 Our findings open new perspectives in the management of Her2⁺ breast cancer, demonstrating that 59 CSC immunotargeting through anti-xCT vaccination, by inhibiting metastasis formation that is not 60 directly affected by Her2 immunotargeting, synergizes with Her2-directed immunotherapy.

61 Introduction

Breast cancer is, together with colon and lung cancers, one of the three most frequent cancers worldwide, and the most common in women. In the last years, its prognosis has improved, thanks to the progresses achieved in its early detection and therapy. However, it still represents the second cause of cancer deaths in developed countries, and its incidence and mortality are progressively increasing in Asia, Africa and South America (1).

Roughly 20% of breast cancers worldwide show overexpression or amplification of the Her2 67 oncogene. Although Her2 positivity is associated with poor prognosis and poor response to standard 68 chemotherapies, the introduction of anti-Her2 monoclonal antibodies and inhibitors has improved 69 disease free and overall survival of Her2⁺ breast cancer patients (2). However, most patients affected 70 by metastatic disease display primary or secondary resistance to Her2-targeted therapies. This 71 eventually leads to disease progression despite treatment, and advanced Her2⁺ breast cancer remains 72 73 almost incurable (3). Therefore, the development of new therapeutic approaches able to increase the efficacy of Her2-targeting drugs is urgently needed. 74

Breast cancer stem cells (CSCs) have been identified as one of the causes for primary resistance to Her2-targeted therapies, and their presence is a negative prognostic factor for sensitivity to the anti-Her2 monoclonal antibody Trastuzumab (4). Therefore, CSC targeting may co-operate with Her2directed therapies and prevent the development of secondary resistance, resulting in improved patients' outcome. In the last two decades, a huge effort has been devoted to identify novel CSC molecular targets and to develop new treatments able to eradicate these cells (5,6).

We have previously demonstrated that xCT, a multipass transmembrane protein encoded by the gene SLC7A11, is overexpressed in breast CSCs and plays a key role in their self-renewal and resistance to therapy (7). xCT is the light chain of the antiporter system x_c^- , which mediates the cell uptake of cystine in exchange with glutamate. On one hand, glutamate released in the tumor microenvironment by xCT activates the suppressive function of T regulatory cells (Tregs) and promotes breast cancer cell invasion (8,9). On the other hand, imported cystine is reduced to cysteine, the rate-limiting
precursor in the synthesis of glutathione (GSH). GSH is the main intracellular anti-oxidant molecule
that protects cells from ferroptosis, differentiation, autophagy, senescence and toxicity induced by
xenobiotics such as chemotherapeutic drugs (10).

90 xCT is poorly expressed in healthy tissues while overexpressed in different tumor types, including 91 breast cancer of different histological subtypes, where its expression is particularly increased in CSCs 92 (7,10). Indeed, the stem cell markers CD44v and Mucin-1 interact with xCT and stabilize it at the cell 93 membrane (11). In breast cancer, xCT is overexpressed in atypical hyperplasia and invasive ductal 94 carcinoma of different histological subtypes (7), and is linked to poor prognosis in triple negative 95 breast cancer patients (12). Therefore, xCT is a promising target candidate for the development of 96 combined therapies for breast cancer.

97 Many authors have endorsed the pharmacological inhibition of xCT as a therapeutic strategy, and a variety of compounds have been investigated. Among them erastin (13) and the FDA-approved drugs 98 sulfasalazine (SAS) (14) and sorafenib (13). However, erastin and SAS are insoluble under 99 100 physiological conditions, have poor metabolic stability and pharmacokinetics, precluding their 101 reliable use in vivo. In addition, SAS and sorafenib display low specificity for xCT, and are known for their ability to inhibit NF-kB and various kinases, respectively, and to induce important side 102 103 effects (10,13,15). Therefore, new ways to specifically target xCT need to be developed for clinical 104 use.

Since immunotherapy represents a promising strategy for cancer treatment (16), we have previously developed different vaccine platforms to specifically target xCT-expressing breast CSCs. Using these vaccines we have demonstrated that xCT immunotargeting effectively impairs cancer growth and metastatic dissemination in syngeneic transplantable mouse models of breast cancer (7,17,18).

As a proof of concept that xCT immunotargeting may ameliorate the efficacy of Her2-targeted
 therapies, here we develop a combined immunotherapy administering Bovine Herpes virus 4 (BoHV-

4)-based vaccines targeting Her2 (BoHV-4-Her2) and xCT (BoHV-4-xCT) in a preclinical model of 111 Her2⁺ mammary carcinogenesis, the BALB-neuT mice (19). BoHV-4 represents a promising 112 vaccination strategy endowed with a high potential for clinical translatability, since it is a safe vector 113 able to induce a strong immune response to heterologous antigens, breaking the immune tolerance 114 towards cancer-associated antigens (17,20). Indeed, we have previously demonstrated that BoHV-4-115 xCT is superior to other anti-xCT vaccines in inducing a specific immune response, since it is the 116 only vector able to break the CD8⁺ T cell tolerance and induce xCT-specific cytotoxic T lymphocytes 117 (7,10,17,18). We here demonstrate that the combination of Her2 and xCT-targeting synergistically 118 impairs breast cancer progression, with anti-Her2 vaccine inducing an immune response able to 119 hinder the growth of primary tumors, and anti-xCT immunotargeting impairing CSC survival and 120 metastatic dissemination. 121

122

123 Materials and Methods

124 Cell and tumorsphere cultures

SKBR3 and 4T1 cells were purchased from ATCC and cultured, respectively, in McCoy's 5A Modified Medium or RPMI (ThermoFisher Scientific) with 10% FBS (Sigma-Aldrich). TUBO cells were derived from BALB-neuT primary tumors (21) and cultured in DMEM (ThermoFisher Scientific) 20% FBS (21). All cells were tested negative for mycoplasma (22). Tumorspheres were generated and maintained as in (23).

130 Vaccine generation and *in vivo* treatment

131 BoHV-4-Her2 (*alias* BoHV-4-RHuT-gD), BoHV-4-xCT and the control vector BoHV-4-ctrl (*alias*

BoHV-4-A29) were generate as previously described, and TCID₅₀ determined by limiting dilution on

133 BEK cells (17,20). Female BALB-neuT mice were generated and maintained at the Molecular

134 Biotechnology Center, University of Torino, and treated in accordance with the University Ethical

Committee and European guidelines under Directive 2010/63. In vivo treatments were approved by 135 the Italian Ministry of Health, authorizations N° 237/2015-PR and 500/2017-PR. Mice were 136 vaccinated six times by intraperitoneus (i.p.) injection of 10⁶ TCID₅₀ of BoHV-4-ctrl, BoHV-4-xCT, 137 and/or BoHV-4-Her2 at 2-week intervals, starting from 6 weeks of age; sera were collected at week 138 14 and 18 (Fig. 1 B). Mice were inspected weekly and progressively growing masses with a mean 139 diameter >1 mm were regarded as tumors. Growth was monitored until all 10 mammary glands 140 displayed a tumor or a tumor exceeded a mean diameter of 10 mm, then mice were culled, and lungs, 141 tumors, spleens and blood collected and processed for FACS analysis or storage. Superficial lung 142 metastases were counted using a Zeiss SEMI DV4 Spot stereomicroscope. 143

144 FACS analysis

Tumorsphere-derived cells were incubated with sera from vaccinated mice for 5 days, then dissociated cells were stained with anti-Sca1-AlexaFluor647, anti-CD44-PE and anti-mouse CD24-PE/Cy7 or anti-human CD24-FITC (Biolegend). To measure intracellular reactive oxygen species (ROS), cells were stained with 2',7'-dihydrochlorofluorescein diacetate (Sigma-Aldrich) (7). Apoptosis was evaluated with the AnnexinV Apoptosis Kit APC (eBioscience) (24).

To evaluate xCT expression, cells were fixed/permeabilized with BD Cytofix/Cytoperm kit and stained with anti-xCT rabbit antibody (PA1-16775, ThermoFisher) followed by FITC-anti-rabbit Ig (Dako).

To analyze peripheral Tregs, heparinized blood was incubated in erythrocytes lysis buffer (155 mM
NH₄Cl, 15.8 mM Na₂CO₃, 1 mM EDTA, pH 7.3) 10 minutes R.T., treated with Fc receptor blocker
(anti-CD16/CD32; BD Biosciences) and stained with anti-mouse-CD45-VioGreen (Miltenyi Biotec),
CD4-PE/Cy7, GITR-PE and CD25-APC (Biolegend). Cells were washed, fixed/permeabilized and
stained with anti-FoxP3-FITC (eBioscience) as in (25).

Single cell suspensions obtained from lungs and tumors were treated with Fc receptor blocker (26), 158 and stained with the following Abs: anti-mouse CD45-VioGreen, CD3-FITC, CD4-APC/Vio770, 159 CD8-VioBlue, PD-1-APC, CD49b-PE (Miltenyi Biotec), CD69-PE/Cy7, CD44-PE, CD24-PE/Cy7 160 and Sca1-AlexaFluor647 as in (17). Samples were acquired on a BD FACSVerse and analyzed with 161 FlowJO10.5.3. tSNE analysis of immune infiltrates was run on 5 samples per group. FCS3 files were 162 downsampled to 5000 CD45⁺ live events, then combined into a single FCS3 file using the 163 concatenation tool. tSNE analysis was run (perplexity, 30; iterations, 1,000), then samples were 164 grouped according to experimental groups, and visualized in the dot plots. 165

166 ELISA

Mouse sera (1:50) were incubated on plates coated with either recombinant mouse xCT (Cloud-Clone Corp., 40 ng/well), extracellular rat or human Her2 (Sino Biological, 100 ng/well) proteins or mouse xCT extracellular loop peptides (GenScript, 1 μ g/well), and binding detected with HRP-conjugated anti-mouse IgG Ab (17,20).

171 Cytotoxicity

172 1×10^4 4T1 or TUBO target cells stained with 2 μ M CFSE (Molecular Probes) were cultured with 173 splenocytes (SPC) at effector:target (E:T) ratios of 200:1, 100:1, and 50:1 for 48 h, stained with 1 174 μ g/ml 7-Amino-ActinomycinD (7-AAD, BD Biosciences), and analyzed by FACS (17). For 175 antibody-dependent cell-mediated cytotoxicity (ADCC), E:T incubation was performed overnight in 176 the presence of sera from vaccinated mice (1:50). % ADCC was calculated as in (17).

177 Immune sera effect on tumorsphere

TUBO and SKBR3-derived P1 tumorspheres were dissociated and cultured with or without sera from
immunized mice (1:50) for 5 days. Spheres were counted, then processed for FACS. To measure
ferroptosis, the iron chelator deferoxamine mesylate (DFO; 50 μM, Sigma-Aldrich) was added. After
24 h, cells were stained with Annexin-V-APC kit and analyzed by FACS.

182 Cell migration assay

TUBO and SKBR3 cells were treated for 1 h at 37°C in 100 µl of serum-free medium with or without 183 sera (1:20) from vaccinated mice, then seeded (1×10^5 and 5×10^4 , respectively, per well) in the top 184 chamber of 24-Transwell plates (8-µm pore size; Corning). Medium with 10% FBS was inserted in 185 bottom chambers, and cells were incubated at 37°C for 48 h. Cells on the top side of the filter were 186 detached by brushing twice with cotton tipped swab. Cells migrated on the bottom side of the filter 187 were fixed with 2.5% glutaraldehyde and stained with 0.2% crystal violet (Sigma-Aldrich). Four 188 images per well were captured with an Olympus BX41 microscope and cells counted using Fiji and 189 ImageJ softwares. 190

191 MTT assay

192 1×10^4 TUBO and SKBR3 cells were let adhere overnight in complete medium in 96-well plates. Sera 193 (1:50) were then added, and cells incubated for 24, 48 or 72 h. MTT (0.5 mg/ml) was added for 4 h at 194 37° C, then the supernatant was removed and 150 µl of dimethyl sulfoxide (Sigma Aldrich) added to 195 dissolve formazan crystals. Absorbance was measured on a 680XR microplate reader (BioRad) at 196 570 nm and 650 nm (background subtraction).

197 Meta-analysis on patient databases

For prognostic analyses, the Kaplan-Meier Plotter free software (<u>http://kmplot.com/analysis/</u>) (27) was used. Overall, relapse free and distant metastasis free survival data were presented as Kaplan – Meier plots and tested for significance using log-rank tests. Patients were stratified by expression of xCT (SLC7A11). To define the cutoff between high and low expression, all percentiles between the lower and upper quartiles were computed, and the best performing threshold was used as a cutoff through "auto select best cutoff" function. Analysis was restricted to Her2⁺ breast cancer samples. Statistical analysis Statistical significance was evaluated using GraphPad8 software (GraphPad Inc.). Differences in tumor free mice were analyzed with Mantel-Cox log-rank test. Differences in sphere formation, FACS and ELISA data, metastasis and tumor multiplicity, cell migration and survival using twotailed unpaired Student's *t*-test. Values of P < 0.05 were considered significant.

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210

211 **Results**

212 xCT expression is linked to poor prognosis in Her2⁺ breast cancer patients

High levels of xCT expression are linked to poor prognosis in triple negative breast cancer patients 213 (12). To analyze if the same was true for $Her2^+$ breast cancer, we ran a meta-analysis on a set of 214 publicly available microarray data from breast cancer patients (http://kmplot.com/analysis/) (27). 215 Patients with high levels of xCT displayed a significantly lower overall and relapse-free survival as 216 217 compared to patients displaying low levels of xCT expression (Fig. 1A, B), and a trend of decrease in distant metastasis-free survival (Fig. 1C). These results suggest that xCT plays a role in breast 218 cancer progression, relapse and metastatic spreading, and prompted us to test whether its targeting 219 may improve the outcome of anti-Her2 immunotherapies. 220

221 xCT immunotargeting potentiates the effectiveness of anti-Her2 vaccination

222 We have previously demonstrated that BoHV-4-xCT impairs breast CSCs and efficiently protects mice from cancer metastases in transplantable mammary cancer models (17). We therefore 223 hypothesized that this vaccine may improve the outcome of Her2-targeted immunotherapies. To test 224 this hypothesis, we selected the BALB-neuT mouse model, whose rat Her2/neu⁺ mammary tumors 225 express xCT (Fig. 1D). BoHV-4-Her2, coding for a chimeric rat/human Her2, was used as Her2-226 227 targeting immunotherapy, since we previously demonstrated that it can break BALB-neuT immune tolerance to Her2 (20). Female BALB-neuT mice were immunized by i.p. injections of 10⁶ TCID₅₀ 228 229 of BoHV-4-xCT, BoHV-4-Her2 and control vector (BoHV-4-ctrl), alone or in combination. All mice received 6 immunizations. Each vaccine was administered 4 times, and vaccination was performed 230 every 2 weeks (Fig. 1E). Preliminary data showed that xCT expression is higher in 6 week-old BALB-231 neuT mammary glands than in tumors at later stages (Supplementary Fig. S1). Furthermore, xCT is 232 a key player both in the synthesis of GSH, which is necessary for cancer initiation but dispensable 233 for its subsequent growth (28), and in the maintenance of CSCs, which are involved in tumor onset 234

(5). For these reasons, xCT immunotargeting was started in the early stages of tumor development
(week 6), while Her2 immunotargeting was started at week 10, as previously reported (20).

All vaccinated mice showed a significantly longer tumor-free survival (Fig. 1F) and a slower increase 237 238 in tumor multiplicity than control mice (Fig. 1G). This effect was more pronounced in mice vaccinated with Her2, alone or in combination with xCT. Of note, xCT immunotargeting protected 239 BALB-neuT mice from the development of lung metastases (Fig. 1H). Indeed, while all control and 240 241 Her2 vaccinated mice displayed lung metastases, these were observed only in 50% and 54.5% of in mice vaccinated with Her2 + xCT or xCT alone, respectively. (Fig. 1H). Moreover, the number of 242 lung metastases was significantly reduced by anti-xCT vaccination, alone or combined with Her2 243 244 (Fig. 1I). Overall, these results demonstrate that xCT immunotargeting potentiates the effectiveness of anti-Her2 immunization by significantly hindering the development of metastases. 245

246 xCT immunotargeting decreases CSC frequency in the tumors and lungs affected by metastases

Since CSCs contribute to metastatic dissemination (5), we assessed the frequency of Sca1⁺ and CD44⁺ 247 CD24⁻ mammary CSCs in tumors and lungs from control and immunized mice. Sca1⁺ CSCs (29) 248 were detected in both tumors and lungs of control mice. While their frequency was not reduced by 249 anti-Her2 vaccination, it resulted significantly reduced by xCT immunotargeting, alone or combined 250 with anti-Her2 vaccination (Fig. 2A, B). A different scenario was observed for the CD44⁺ CD24⁻ CSC 251 population, endowed with mesenchymal-like properties (30). A lower percentage of CD44⁺ CD24⁻ 252 CSCs was observed in the tumors of control and vaccinated mice as compared to the lungs (Fig. 2C, 253 254 D). Moreover, while in the tumors no changes in the percentages of these cells were observed in vaccinated animals independently from the vaccine used (Fig. 2C), a strong decrease of CD44⁺CD24⁻ 255 cells was observed in the lungs from mice vaccinated with Her2, xCT or both (Fig. 2D). These results 256 confirm that xCT immunotargeting decreases CSC frequency and suggest a different sensitivity of 257 the various CSC populations to Her2 targeting. 258

259 Her2 and xCT combined immunotargeting activates tumor infiltrating T lymphocytes

To characterize the immune mechanisms that mediate the anti-tumor and anti-metastatic potential of 260 anti-Her2 and anti-xCT combined immunotherapies, the immune cell infiltrate in the tumors and 261 lungs of vaccinated mice was analyzed. None of the vaccines significantly increased the percentages 262 of tumor infiltrating NK, NKT, and CD4⁺ T lymphocytes as compared to controls (Fig. 3A. B). 263 However, all vaccines induced a significant increase in tumor infiltrating CD8⁺ T cells (black 264 population in tSNE plots), which was more enhanced in mice vaccinated with Her2 + xCT (Fig. 3A, 265 B). Moreover, all vaccines induced T cell activation in the tumors, as suggested by the significant 266 increase in CD69⁺ cells in the total CD3⁺ T cell population. Of note, the combination of Her2 and 267 xCT immunotargeting significantly increased T cell activation as compared to anti-Her2 vaccine 268 alone. Activation was observed in both CD4⁺ (violet population in tSNE plots), and CD8⁺ (blue 269 population in tSNE plots) T cells. Moreover, anti-xCT immunotargeting induced the activation of NK 270 cells (Fig. 3A, C). On the contrary, the expression of PD-1 in NK and T cells was not significantly 271 272 altered by any vaccine, although a trend of decrease in PD1⁺ CD4⁺ T cells (yellow population in tSNE plot) was observed in mice vaccinated with Her2 + xCT or xCT alone (Fig. 3A, D). 273

None of the vaccines altered the frequency of NK, NKT or $CD4^+$ T cells in lungs, while the combination of Her2 and xCT immunotargeting induced a significant increase in $CD8^+$ T lymphocytes (Fig. 3E, F). All vaccination strategies induced the activation of NK, total $CD3^+$ T and $CD4^+$ T cells (violet population in tSNE plots), while $CD8^+$ T cells were activated only by xCT immunotargeting, alone or in combination with anti-Her2 vaccination (Fig. 3E, G; blue population in tSNE plots). As for primary tumors, the treatments did not induce significant variations in the amount of PD-1⁺ NK or T cells (Fig. 3E, H).

Overall, these data indicate that all the vaccines induce the activation of NK and CD4⁺ T cells in primary tumors and lungs, and of tumor-infiltrating CD8⁺ T lymphocytes, but xCT immunotargeting is the only one able to increase the frequency and the activation of CD8⁺ T lymphocytes in the lungs, suggesting a possible explanation for its anti-metastatic activity.

Anti-xCT vaccination induces xCT⁺ breast cancer cell cytotoxicity and decreases circulating Tregs

To assess whether anti-Her2 and anti-xCT vaccination generates T lymphocytes able to kill breast 287 288 cancer cells expressing Her2 and/or xCT antigens, we performed an in vitro cytotoxicity assay. SPC from the various groups were incubated with rat Her2⁺ TUBO, or triple negative 4T1 mammary 289 cancer cells, at different E:T ratios. Both TUBO and 4T1 cells express xCT (Supplementary Fig. S2), 290 291 while only TUBO cells express Her2. In accordance with the lack of high avidity Her2-specific CD8⁺ T cell clones in BALB-neuT mice due to central tolerance (31), SPC from Her2-vaccinated mice did 292 293 not induce a significant cytotoxicity on TUBO cells and, as expected, on control 4T1 cells (Fig. 4A). 294 On the contrary, anti-xCT vaccination induced a significant cytotoxicity of both cell lines, as previously observed in BALB/c mice (17). This effect was maintained when anti-xCT was associated 295 with anti-Her2 vaccination (Fig. 4A), and was accompanied by a reduction of circulating Tregs, as 296 compared to control mice (Fig. 4B). 297

Her2 and xCT immunotargeting induces a specific antibody response that mediates ADCC

The presence of Her2- and xCT-specific antibodies in the sera of mice two weeks after the last 299 vaccination (18-week-old mice) was evaluated. Anti-xCT immunization induced the production of 300 anti-xCT antibodies that were barely detectable in the sera from control and Her2-vaccinated mice 301 (Fig. 5A). Of note, these antibodies were able to bind the xCT extracellular loops (Fig. 5B-F), 302 suggesting that they can play a therapeutic role *in vivo* by directly binding to xCT on cancer cell 303 304 surface. No differences were observed in the antibody titers between mice vaccinated with xCT alone 305 or in combination with Her2, demonstrating that Her2 immunotargeting did not affect the response to xCT (Fig. 5A-F). Likewise, Her2 immunotargeting using the rat/human chimeric construct induced 306 307 the generation of antibodies able to bind both the rat and human Her2 proteins (Fig. 5G, H). Surprisingly, anti-rat and human Her2 antibodies (Fig. 5G, H) were abundantly detected in the sera 308 of mice vaccinated with xCT alone as well, suggesting that xCT immunotargeting in BALB-neuT 309

mice may induce immunogenic cancer cell death and epitope spreading. Similar results were obtained 310 analyzing sera collected from 14-week-old mice (Supplementary Fig. S3). While the few anti-xCT 311 antibodies present in control mice sera mainly belonged to the IgG1 isotype, vaccination induced the 312 expansion of specific IgG2a antibodies (Fig. 5I). Similarly, the proportion of anti-Her2 IgG2a was 313 increased by anti-Her2 vaccination as compared to control mice, with a concomitant reduction of the 314 percentage of IgM and IgG3 (Fig. 5L). As IgG2a are the main responsible for ADCC in mice, vaccine-315 induced anti-Her2 and anti-xCT antibodies were able to mediate ADCC of tumor cells by SPC from 316 syngeneic mice. As expected, sera from Her2-immunized mice induced ADCC of TUBO but not of 317 4T1 cells, while sera from xCT-vaccinated mice mediated ADCC of both cell lines. Of note, the 318 combination of Her2 and xCT immunotargeting gave the best results on TUBO cells, since sera from 319 mice vaccinated with Her2 + xCT induced a significantly higher ADCC than sera from mice treated 320 with the single vaccines (Fig. 5M, N). 321

322 Anti-Her2 and anti-xCT antibodies differentially impair cancer cell viability and migration

Given that both anti-Her2 and anti-xCT vaccination induced a strong specific antibody response, we 323 324 evaluated the direct effect that sera from vaccinated mice may exert on mammary cancer cells. TUBO cell viability was decreased after 48 and 72 h of incubation with sera from all groups of vaccinated 325 mice, as compared to sera from control mice (Fig. 6A). To verify the possible translatability of this 326 approach, sera were incubated with Her2⁺ human breast cancer SKBR3 cells, which express xCT 327 (Supplementary Fig. S2). SKBR3 viability was impaired at a similar extent by incubation with the 328 sera from Her2, Her2 + xCT and xCT vaccinated mice (Fig. 6B). These data demonstrate that 329 vaccination induces antibodies that exert a direct impairment of cancer cell viability, contributing to 330 the therapeutic effects observed in vivo. 331

Since metastatic spreading was decreased in mice vaccinated with xCT, alone or in combination with Her2, the ability of vaccine-induced antibodies to inhibit cancer cell migration was assessed by transwell assays. As shown in Fig. 6C, sera from xCT or Her2 + xCT immunized mice significantly impaired the migration of both Her2⁺ murine TUBO and human SKBR3 cells *in vitro*, as confirmed
by the decreased number of migrating cells (Fig. 6D, F) and area covered by migrated cells (Fig. 6E,
G). No effect was exerted by sera of mice vaccinated with Her2 alone (Fig. 6C-G), indicating that
xCT and Her2 immunotargeting act with different mechanisms in hampering breast cancer
progression, and supporting the anti-metastatic role exerted by xCT immunotargeting *in vivo*.

340 Vaccine-induced xCT targeting antibodies halt CSCs increasing their ROS content, apoptosis 341 and ferroptosis

To further investigate the mechanisms underlying the different effects induced by anti-Her2 and anti-342 xCT immunization on primary cancer growth and metastasis, we evaluated the ability of sera from 343 immunized mice to target CSCs, which are considered as the main responsible for metastatic 344 spreading. Cells derived from TUBO and SKBR3 CSC-enriched tumorspheres (23) were incubated 345 346 with the sera. Sera from control mice did not alter second-generation tumorsphere formation, which was decreased by sera from Her2-vaccinated mice. A greater impairment of tumorsphere generation 347 348 was induced by sera from xCT vaccinated mice and, even more, by sera from mice vaccinated with 349 Her2 + xCT (Fig. 7A, B). This decreased CSC self-renewal potential in cells treated with sera from Her2 + xCT and xCT vaccinated mice was accompanied by a reduction in cells expressing the TUBO 350 CSC marker Sca1⁺ (32) (Fig. 7C). Similar results were obtained in SKBR3 tumorspheres, where sera 351 from Her2 and, even more, from Her2 + xCT or xCT immunized mice significantly reduced the ratio 352 between the percentage of CD44⁺ and CD24⁺ cells (Fig. 7D), which correlates with stemness in this 353 cell line (33). Since xCT plays a key role in the maintenance of CSC redox balance (10), intracellular 354 ROS content was evaluated. While ROS content of either TUBO or SKBR3 tumorspheres was not 355 altered by control or Her2-vaccinated mice sera, ROS were significantly increased in tumorspheres 356 357 treated with sera from mice vaccinated against Her2 + xCT or xCT alone (Fig. 7E and F). Moreover, these sera were able to induce apoptosis in CSCs from both cell lines, while sera from control or 358 Her2-immunized mice had no effect (Fig. 7G, H). Sera from Her2 + xCT- or xCT-vaccinated mice 359

were also able to induce ferroptosis in both TUBO and SKBR3 CSCs, as demonstrated by the ability of the iron chelating agent deferoxamine (DFO) of rescuing cells from the cytotoxic effect induced by these sera. On the contrary, sera from control or Her2-vaccinated mice did not induce cell death either in the absence or presence of DFO (Fig. 7I, L).

364 Overall, these data demonstrate that anti-xCT vaccination is more effective than Her2 365 immunotargeting in reducing the CSC population, making their combination of great interest for 366 breast cancer treatment.

367

368 Discussion

The introduction of Her2-targeted therapies in the clinical practice has significantly improved the 369 outcome of Her2⁺ breast cancer patients. However, most patients display primary resistance or relapse 370 after treatment, and metastatic breast cancer is considered incurable with current therapies (34). 371 Therefore, the setup of combined therapeutic protocols able to target the mechanisms and cell 372 populations involved in the resistance to Her2-targeted therapies and prevent metastatic spreading is 373 urgently needed to improve treatment efficacy. Therapies able to eradicate CSCs, which are implied 374 in the resistance to most current therapies, including Her2-targeted therapies (4,5), may thus synergize 375 376 with Her2-directed drugs and reinstate cancer sensitivity to treatment.

To this end, we have developed a combined vaccination approach targeting both Her2 and the CSCassociated antigen xCT, using BoHV-4-based viral vectors. We tested this immunotherapy in BALBneuT mice, which represent an ideal model to study new therapies for Her2⁺ breast cancer in virtue of the high homology of their tumors to the human counterpart (19) and of the fact that their tumors contain cells that can disseminate to the bone marrow early after the activation of Her2 transcription, and then move to the lungs where they give rise to overt metastasis (35,36).

Her2 and xCT represent self-antigens in BALB-neuT mice. Nevertheless, BoHV-4-based vaccination 383 was able to induce a strong and specific immune response to both of them. As expected, Her2 384 immunotargeting induced mainly a humoral response, along with the activation of NK and T helper 385 cells in both primary tumors and lung metastases. However, no increase of activation was observed 386 in lung-infiltrating CD8⁺ T lymphocytes. On the contrary, anti-xCT vaccination was able to induce 387 both a polyclonal antibody response and the activation of tumor- and lung-infiltrating NK, CD4⁺ and 388 CD8⁺ T cells. In accordance to this pattern of immune response, anti-xCT but not anti-Her2 389 390 vaccination induced cytotoxic T cells able to kill breast cancer cells. The lack of Her2-directed cytotoxicity is due to the thymic deletion of high avidity Her2-specific CD8⁺ T cell clones in BALB-391 neuT mice (31). A CD4⁺ T cell repertoire is instead generated and escapes central tolerance (31), thus 392 allowing the induction of an anti-Her2 antibody response that is necessary for protection from 393 autochthonous tumor development (19,20,37). BoHV-4-based anti-xCT vaccination, instead, is able 394 395 to break immune tolerance and induce a T cytotoxic response, which we previously demonstrated to exert a key role in vivo in transplantable models of mammary cancer (17). While naive T lymphocytes 396 397 lack xCT and rely on the uptake of cysteine released from antigen presenting cells for the synthesis 398 of GSH, xCT expression is induced on T lymphocytes upon activation and is necessary for their proliferation in vitro (38). However, in vivo compensative mechanisms make xCT dispensable for T 399 cell proliferation and anti-tumoral responses (39), allowing the induction of T cell responses in xCT-400 401 vaccinated mice. Of note, the induction of xCT-specific cytotoxic T cells is exclusive of BoHV-4based vaccine, since neither DNA nor Virus-like particle-based vaccines exert the same effect (7,18), 402 indicating that this vaccine formulation is superior in breaking immune tolerance to self-antigens. 403 This is likely due to viral vectors' intrinsic immunogenicity, which creates an inflammatory 404 environment that potentiates T lymphocyte activation (40). Moreover, xCT immunotargeting 405 406 decreased Tregs frequency. This may be due to the reduction in glutamate export from xCT expressing cells, which would prevent the proliferative effect exerted by metabotropic glutamate 407

receptor 1 on Tregs (8), although further studies are needed to evaluate possible direct effects of xCT
targeting on this cell population.

410 Her2 and xCT immunotargeting induced a strong antibody response. Of note, anti-xCT vaccination 411 induced epitope spreading, with the production of antibodies able to recognize rat Her2 and react with human Her2. A similar phenomenon was previously observed using a DNA vaccine coding for the 412 extracellular and transmembrane domain of rat Her2, which was able to induce an early spread of the 413 immune response to Her2 intracellular epitopes (31) and antibodies able to recognize human Her2 414 (37). Vaccine-induced antibodies exerted both direct and indirect effects on cancer cells, inducing 415 ADCC and directly affecting their viability. The induction of an antibody response is particularly 416 417 important for the elimination of CSCs, which downregulate MHC class I and become therefore less sensitive to T cell killing (32). Indeed, sera from vaccinated mice, and in particular from mice 418 vaccinated with xCT alone or in combination with Her2, strongly inhibited CSC self-renewal in vitro 419 and altered their redox balance, inducing ROS accumulation and ferroptosis. 420

Anti-Her2 immunotargeting was effective in inhibiting primary tumor growth, with limited effects 421 422 on metastasis. Instead, anti-xCT vaccination strongly impaired CSC self-renewal, decreased cancer cell survival and migration, thus preventing metastasis formation. Although the complex mechanisms 423 underpinning cancer metastasis formation have not been completely dissected, recent data showed 424 425 that metastatic dissemination often occurs during the early phases of cancer progression, when the frequency of CSCs in primary lesions is higher than in more advanced tumors (35). In transgenic 426 Her2⁺ mammary cancer mouse models, 80% of metastases derive from early disseminated cancer 427 cells, which display moderate levels of Her2 and high progesterone receptor expression. These cells 428 possess CSC features, such as Wnt pathway activation, inhibition of p38 activation and of E-cadherin-429 and β-catenin-mediated junction formation, thus allowing for an epithelial-to-mesenchymal transition 430 (EMT)-like invasive program (35,41). Of note, by decreasing intracellular ROS content, xCT inhibits 431 p38 activation, thus diminishing caveolin-1 and the subsequent recruitment of β-catenin to the plasma 432

membrane, suggesting that xCT may contribute to the invasive properties of disseminating cells (42). 433 Indeed, we have preliminary data showing that early disseminated cancer cells present in the bone 434 marrow of 7-week-old BALB-neuT mice express xCT. Therefore, xCT immunotargeting may hinder 435 metastasis formation by inducing the eradication of early disseminated cancer cells and CSCs that are 436 responsible for tumor spreading to distal organs. On the contrary, Her2 expression is low in early 437 lesions and in early disseminated cancer cells, while it increases during cancer growth, and Her2 438 activation seems to be more involved in the proliferation of disseminated cells than in their migration 439 (35). Moreover, despite several reports indicating that Her2 promotes breast CSC self-renewal (43), 440 different groups have demonstrated that Her2 inhibition leads to Notch1 activation, thus promoting 441 442 CSC expansion and tumor recurrence and metastasis (44,45). Indeed, CSCs from SKBR3 cells are resistant to Her2-targeted therapies (46), in accordance with our data showing that antibodies from 443 Her2-vaccinated mice exert only a mild inhibitory effect on SKBR3 tumorspheres, which are 444 445 effectively inhibited by antibodies from xCT-vaccinated mice. Overall, our data and evidences from the literature suggest that Her2 and xCT immunotargeting may act synergistically, with anti-Her2 446 447 immune response mainly affecting cancer growth, and anti-xCT immune reaction hindering 448 metastatic spreading.

While Her2-directed immunotherapy are currently used in the clinical practice, the clinical 449 translatability of xCT immunotargeting may be questioned by its nature of cancer-associated antigen 450 also expressed on astrocytes, some myeloid cell types and activated T cells (10). However, the safety 451 of xCT targeting is supported by the lack of organ alterations, developmental and immunological 452 defects in xCT knockout mice (39,47) and in Subtle grey mice harboring a spontaneous protein null 453 mutation in the xCT allele (48). Indeed, the xCT inhibitor SAS is widely used for the treatment of 454 455 inflammatory diseases such as Crohn's disease and ulcerative colitis (49). Moreover, no adverse events were observed here in xCT-vaccinated BALB-neuT mice or previously in xCT-vaccinated 456 457 BALB/c mice (7,17), and no alterations or immune infiltration were observed in the central nervous

458 system of mice vaccinated with xCT-targeting virus-like particles (18). Therefore, the possibility of
459 targeting xCT for cancer treatment has recently attracted the attention of pharmaceutical companies
460 (39).

461 The recent clinical successes of checkpoint blocking antibodies and of chimeric antigen receptor T cells has opened a new golden age for cancer immunotherapy, leading many researchers to focus on 462 these new immunotherapies. However, we believe that vaccination still represents a promising 463 464 approach, since the activation of antigen-specific anti-tumor responses may effectively hamper cancer progression (3). In this article, we chose to target both Her2 and xCT with vaccination. Although we 465 466 think that anti-xCT vaccination can improve the effectiveness of any of the current Her2-targeted 467 therapies, and we are conscious that monoclonal antibodies and molecular inhibitors currently play a major role in Her2-targeted therapies, we think that anti-Her2 vaccination should still be kept in high 468 consideration for cancer treatment. Indeed, vaccination is a cost-effective strategy as compared to 469 more expensive monoclonal antibodies and lymphocyte adoptive therapies. Breast cancer incidence 470 is rapidly increasing in low- and middle-income countries, where the lack of resources and 471 472 investments makes breast cancer a neglected disease, causing high mortality rates and health inequity (1). The development of effective anti-Her2 vaccines might provide more affordable and accessible 473 therapies able to improve life expectancy of hundreds of thousands of women that currently have to 474 475 face disability and premature death from breast cancer. In this view, BoHV-4 represents a promising vector in virtue of its absence of pathogenicity or oncogenic activity, the possibility of performing 476 repeated boosts without inducing virus neutralizing antibodies, and its ability to induce a strong 477 immune response able to break tolerance to cancer-associated antigens (50). 478

In conclusion, our findings demonstrate that xCT immunotargeting synergizes with Her2-directed immunotherapy in hampering breast cancer progression and metastasis. Indeed, while Her2 immunotargeting mainly impairs the growth of primary tumor, anti-xCT vaccination strongly affects CSCs and inhibits the generation of lung metastases. This combinatorial immunotherapeutic approach 483 could be combined with treatments such as immune checkpoint inhibitors or chemotherapy to further
484 induce anti-cancer immune responses, opening new perspectives in the management of Her2⁺ breast
485 cancer.

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Figure 1. xCT is related to poor prognosis in Her2⁺ breast cancer patients, and its immunotargeting potentiates anti-Her2 vaccination in BALB-neuT mice.

(A-C) Kaplan – Meier plots displaying overall (A), relapse-free (B) and distant metastasis-free (C) survival in Her2⁺ breast cancer patients stratified according to xCT mRNA expression. (D) Representative FACS analysis of xCT (red) or control Ab (grey) on a mammary tumor explanted from a BALB-neuT mouse. (E) Schematic representation of the immunization protocol of control BALB-neuT mice or mice vaccinated 6 times, every 2 weeks, with 10⁶ TCID₅₀ of BoHV-4-ctrl and BoHV-4-Her2 (Her2); BoHV-4-xCT and BoHV-4-Her2 (Her2 + xCT); BoHV-4-xCT and BoHV-4-trl (xCT). (N=11 per group). (F) Tumor-free survival and (G) tumor multiplicity in control or immunized BALB-neuT mice. (H) Relative percentage of mice that developed (black) or not (grey) lung metastases. (I) Mean \pm SEM of the number of superficial lung metastases observed in control or immunized mice. Each dot represents a single mouse. *, P < 0.05; **, P < 0.01, ***, P < 0.001; (A-C, F) Log-rank Mantel-Cox or (G, I) Student's *t* tests.



Figure 2. xCT immunotargeting decreases CSC frequency in BALB-neuT tumors and lungs. FACS analysis of (A, B) Sca1⁺ and (C, D) CD44⁺ CD24⁻ CSC populations in (A, C) tumors and (B, D) lungs from control mice or mice treated with anti-Her2, anti-Her2 + anti-xCT, or anti-xCT vaccines. Representative dot plots and graphs showing the mean \pm SEM of the percentage of CD45⁻ cells expressing the CSC markers are shown. In graphs, each dot represents a single mouse. At least 6 mice per group were analyzed. *, P < 0.05; **, P < 0.01, Student's *t* test.



Figure 3. Her2 and xCT immunotargeting induces the activation of T lymphocytes in the tumors and lungs of vaccinated mice. FACS analysis of immune infiltrates in (A-D) tumors and (E-H) lungs of control BALB-neuT mice or mice immunized with anti-Her2, anti-Her2 + anti-xCT, or anti-xCT vaccines. (A, E) tSNE analysis run on 5000 live CD45⁺ single cells per sample, on 5 samples per group. tSNE maps show concatenated FCS3 files for each group of mice, with the overlay of manually gated cell populations. (B, F) Graphs showing the percentage \pm SEM of CD45⁺ cells expressing the markers of NK (CD3⁻ CD49b⁺), NKT (CD3⁺ CD49b⁺), CD4⁺ (CD3⁺ CD49b⁻ CD4⁺) and CD8⁺ (CD3⁺ CD49b⁻ CD8⁺) T cell populations. Percentage \pm SEM of (C, G) CD69⁺ and (D, H) PD1⁺ cells among T and NK cell populations. Each dot represents a single mouse. *, P < 0.05; **, P < 0.01, ***, P < 0.001, Student's t test.



Figure 4. Anti-xCT vaccination induces T cell cytotoxicity of xCT⁺ breast cancer cells and decreases circulating Treg cells. (A) SPC from control or vaccinated mice (N=4 per group) were co-cultured at different E:T ratios (200, 100 or 50:1) with CFSE⁺ TUBO or 4T1 cells for 48 h. The percentage of 7-AAD⁺ dead cells among CFSE⁺ cells was analyzed by FACS. Graphs show means \pm SEM of the percentages of specific lysis. *, P < 0.05, **, P < 0.01: Her2 + xCT vs Her2 or control. °, P < 0.05, °°, P < 0.01: xCT vs Her2 or control. ⁺, P < 0.05; ⁺⁺, P < 0.01, Her2 vs control; Student's *t*-test. (B) Means \pm SEM of the percentage of Tregs (CD3⁺ CD4⁺ CD25^{high} GITR⁺ Foxp3⁺) among CD4⁺ T lymphocytes analyzed at sacrifice in the peripheral blood of BALB-neuT mice (N ≥ 5 mice per group) Each dot represents a single mouse. *, P < 0.05; **, P < 0.01, Student's *t*-test.



Figure 5. xCT and Her2 immunotargeting induces specific humoral responses that induce ADCC. Pooled sera from control or vaccinated BALB-neuT mice were tested by ELISA on (A) mouse xCT protein; peptides representing mouse xCT extracellular loops (B) 1, (C) 2, (D) 3, (E) 4 or (F) 6; the recombinant extracellular domains of (G) rat or (H) human Her2 proteins. Graphs show means \pm SEM of two pools analyzed from 3 independent experiments. *, P < 0.05; **, P < 0.01, ***, P < 0.001, Student's *t* test. (I, L) Proportion of (I) anti-xCT and (L) anti-Her2 Ig isotypes analyzed by ELISA in the sera of control or vaccinated mice. (M, N) ADCC analyzed *in vitro* on CFSE⁺ (M) TUBO or (N) 4T1 target cells incubated with a 1:50 dilution of sera pooled from control or vaccinated mice and SPC from naive mice at different E:T ratios (200, 100, and 50:1). Results shown are the mean \pm SEM of the percentage of ADCC. Statistical analysis was performed with Student's *t* test as follows; ⁺, Control vs Her2. *, Control vs Her2 + xCT. °, Control vs xCT. [§], Her2 vs Her2 + xCT. [§], xCT vs Her2 + xCT. #, xCT vs Her2 + xCT. 1 symbol, P < 0.05; 2 symbols, P < 0.01; 3 symbols, P < 0.001; 4 symbols, P < 0.001.







Figure 6. Anti-Her2 and anti-xCT antibodies differentially impair cancer cell viability and migration. MTT analysis of cell viability of (A) TUBO and (B) SKBR3 cells incubated for 24, 48 and 72 h with a 1:50 dilution of sera pooled from control or vaccinated BALB-neuT mice. Results are shown as means \pm SEM of the percentage of cell viability compared to cells incubated with control sera, from 3 independent experiments. (C-G) Effect of sera on cell migration assessed using the Transwell migration assay on TUBO and SKBR3 cells. (C) Representative images of cells migrated to the lower surface of the transwell filter and stained with crystal violet. Graphs show the means \pm SEM of (D, F) the number of migrated cells and (E, G) the % of area covered by migrated cells, counted in five different fields. A representative experiment out of three is shown. *, P < 0.05; **, P < 0.01, ***, P < 0.001; ****, P < 0.0001, Student's *t* tests.



Figure 7. Vaccination-induced anti-xCT antibodies target CSCs by increasing ROS, apoptosis and ferroptosis. (A-H) Cells dissociated from tumorspheres generated from TUBO or SKBR3 cells were incubated for 5 days with medium, or with a 1:50 dilution of sera pooled from control or vaccinated mice. (A, B) Sphere generating ability reported as number of second passage tumorspheres generated every 10³ plated cells. (C) Means ± SEM of the percentage of Sca1⁺ cells analyzed by FACS in TUBO tumorspheres. (D) Means ± SEM of the ratio between the percentage of CD44⁺ and CD24⁻ cells quantified by FACS in SKBR3 tumorspheres. (E, F) FACS analysis of intracellular ROS content, reported as means ± SEM of DCF MFI. (G-H) FACS analysis of apoptosis, reported as mean ± SEM of the fold increase of Annexin V⁺ cells compared to tumorspheres cultured in medium alone. (I-L) Analysis of ferroptosis in cells dissociated from tumorspheres generated from TUBO or SKBR3 cells and incubated for 24 h with medium, or with sera from control or vaccinated mice (1:50), in the absence or presence of the iron chelator DFO (50 μ M). Means ± SEM of the percentage of Annexin V⁺ /propidium iodide (PI)⁺ cells is reported. Each dot represents an independent experiment. *, *P* < 0.05; **, *P* < 0.01, ***, *P* < 0.001, Student's *t* test.