

1 Title

2 **Use of routine death and illness surveillance data to provide insight for UK pandemic**
3 **planning: lessons from COVID-19**

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29 **ABSTRACT**

30

31 **Objectives**

32 Reporting of COVID-19 cases, deaths, and testing has often lacked context for appropriate
33 assessment of disease burden within risk groups. The research considers how routine
34 surveillance data might provide initial insights and identify risk factors, setting COVID-19 deaths
35 early in the pandemic into context. This will facilitate the understanding of wider consequences
36 of a pandemic from the earliest stage, reducing fear, aiding in accurately assessing disease
37 burden and in ensuring appropriate disease mitigation.

38

39 **Setting**

40 United Kingdom, 2020.

41

42 **Participants**

43 The study is a secondary analysis of routine, public-domain, surveillance data and information
44 from Office for National Statistics (ONS), NHS111 and Public Health England (PHE) on deaths and
45 disease.

46

47 **Primary and secondary outcome measures**

48 Our principal focus is ONS data on deaths mentioning COVID-19 on the death certificate. We
49 also consider information provided in NHS111 and PHE data summaries.

50

51 **Results**

52 Deaths with COVID-19 significantly contributed to, yet do not entirely explain, abnormally
53 elevated all-cause mortality in the UK from weeks 12-18 of 2020. Early in the UK epidemic
54 COVID-19 was the greatest threat to those with underlying illness, rarely endangering people
55 aged under 40 years. COVID-19 related death rates differed by region, possibly reflecting
56 underlying population structure. Risk of COVID-19-related death was greater for health and
57 social care staff and BAME individuals, having allowed for documented risk factors.

58

59 **Conclusion**

60 Early contextualisation of public health data is critical to recognising who gets sick, when, and
61 why. Understanding at-risk groups facilitates a targeted response considering indirect
62 consequences of society's reaction to a pandemic alongside disease-related impacts. COVID-19-
63 related deaths mainly mirror historical patterns, and excess non-COVID-19 related deaths partly
64 reflect reduced access to and uptake of healthcare during lockdown. Future outbreak response

65 will improve through better understanding of connectivity between disease monitoring systems
66 to aid interpretation of disease risk patterns, facilitating nuanced mitigation measures.

67

68 **Article summary**

69 **Strengths and limitations**

- 70 • The study shows how routine, public domain data can be used to provide pertinent
71 insight into a pandemic in its earliest stages
- 72 • The use of imaginative approaches to graphical display and numerical commentary
73 ensures that the work can be understood by readers without a statistical specialism
- 74 • This study uses a freely available statistics package to explore public domain data sets,
75 ensuring that results are both transparent and repeatable
- 76 • Insight is limited by problems in identifying raw data from some sources: improving ease
77 of access will strengthen this process and improve the relevance of future inferences
- 78 • Inference is currently restricted to the UK but the same process could be applied in other
79 countries.

80

81 **Keywords**

82 Statistics and research methods; epidemiology; COVID-19; public health

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87 INTRODUCTION

88 Intense media reporting during the COVID-19 pandemic has focused on presenting daily data on
89 cases, deaths and testing associated with the virus. The pandemic has undoubtedly changed our
90 world - governments have employed unprecedented (in our times) lockdown methods to
91 reduce transmission. These measures have greatly impacted society. The regular reporting of
92 daily COVID-19 infections and deaths has alarmed the public, particularly when understanding
93 of risk factors dictating severity of COVID-19 symptoms is only slowly emerging. The global
94 population is immunologically naïve to this emerging pathogen, and society, at the time, had no
95 available specific mitigation measures including immunological therapies, other than hand
96 washing, social distancing, mask wearing and isolation when ill. Clinical and support staff in
97 hospitals, health and social care staff in care homes and other settings, and key workers in
98 transport and infrastructure industries were at increased risk of contracting the disease[1] due
99 to frequent contact with people with high viral loads and the high aerosolised and fomite
100 transmission potential of this virus[2]. Early analyses in England and Wales identified main risk
101 factors for death from COVID-19 including older age, deprivation, and comorbidities[3], but did
102 not consider how this risk differed from 'typical' all-cause mortality among these groups. This
103 baseline comparison is vital to understanding what additional risk is posed by COVID-19, and to
104 whom. Excess mortality from COVID-19 in the UK has been modelled, controlling for underlying
105 conditions and age[4], and some conditions such as uncontrolled diabetes and severe asthma
106 are associated with death[3]. However, understanding of the health loss impacts of COVID-19 is
107 still limited by a lack of contextualising information, reducing our ability to respond to the
108 challenges this disease poses, both directly and indirectly, in a proportionate, targeted manner.

109

110 We provide context for deaths and disease from COVID-19, by comparing these against a
111 historical benchmark of when, who, and how people become ill and died pre-COVID-19.
112 Examining associations between poor COVID-19 outcomes, demographic and socio-economic
113 differences, age, sex and comorbidities in the context of 'usual' population health structures,
114 enhances understanding of specific risk groups and hence has a role to play in maximising the
115 effectiveness of risk mitigation strategies whilst minimising the likelihood of unnecessary and
116 undesirable impacts. Examining excess deaths (above that normally expected at a time-point) is,
117 furthermore, important for interpreting the total impact of a pandemic. Syndromic surveillance
118 describing clinical symptoms and healthcare use is scrutinised to supplement clinical
119 surveillance information used to populate the COVID-19 epidemic curve.

120

121

122 **METHODS**

123 **Data sources**

124 The principal data source was the Office for National Statistics (ONS); dashboards from Public
125 Health England (PHE) and syndromic surveillance in England via NHS 111 were additionally
126 consulted. Primary focus for the analyses presented was the ONS data, which provide gold-
127 standard confirmed recorded causes of death for UK residents. Use of ONS data is licensed
128 under the Open Government Licence v.3.0.

129

130 **Statistical methods**

131 All data must be viewed in their proper context before patterns can be inferred, and in this
132 setting, against a historical baseline. In each case, profiles for COVID-19 deaths were considered
133 against systematic differences in historical disease rates from appropriate comparison
134 populations, to identify when disease was in excess of expected rates.

135

136 Causes of death were defined using the International Classification of Diseases, 10th Revision
137 (ICD-10)[5]. Deaths involving COVID-19 were defined as those with an underlying cause, or any
138 mention, of ICD-10 codes U07.1 (COVID-19, virus identified) or U07.2 (COVID-19, virus not
139 identified) on the death certificate. All causes of death is the total number of deaths registered
140 during the same time period including those involving COVID-19.

141

142 The baseline comparison group to examine weekly temporal variation in COVID-19 deaths was
143 deaths from respiratory disease across a historical five-year period (2015 to 2019 inclusive). The
144 mean number of respiratory deaths in weeks 1 to 16 of the year, together with an empirical 95%
145 confidence interval, was calculated and plotted against the numbers of COVID deaths across this
146 same time period in 2020.

147

148 When considering data regionally, rates of death per million population was the primary focus:
149 this allowed for different population sizes within regions and hence created a metric which is
150 comparable across geographies. Here, the mean number of deaths per million population across
151 the previous 5-year period was used as the baseline comparison. Deaths associated with COVID-
152 19 and excess deaths (deaths which do not attribute COVID-19 on the death certificate) were
153 both reported.

154

155 Rates were again used to compare the risks associated with different Standard Occupational
156 Categories (SOCs) for individuals between 20 and 64 years of age. Age-standardised rates per
157 100,000 population, standardised to the 2013 European Standard Population, were used in each
158 category to correct for different numbers of people from different age groups working in each
159 group, to ensure comparability between groups. Again the focus is upon the early part of the
160 pandemic, with deaths registered up to and including 20th April 2020 constituting the data.
161 Comparison with deaths from all causes occurring in these categories within the same
162 timeframe creates a natural baseline for deciding how the rate of people dying with COVID-19 in
163 a certain SOC compares with the rate of death in general in that SOC, and helps to distinguish
164 specific COVID-related effects from more subtle societal impacts which might be influencing
165 death rates more generally. Empirical 95% confidence intervals were provided to facilitate
166 comparisons.

167

168 To examine the effects in black and minority ethnic (BAME) groups, again early pandemic data
169 from 2nd March to 10th April 2020 inclusive were considered. Odds ratios are used to compare
170 categories; these were calculated by the ONS using logistic regression models which correct for
171 age (in 5 year categories); rural or urban inhabitants; IMD decile; socio-economic status; and
172 self-reported health and activity status. Forest plots were used to show the estimated odds
173 ratios for dying in each category; 95% confidence intervals were also represented.

174

175 Finally, the representation of co-morbidities amongst COVID-19 deaths in March and April 2020
176 was explored graphically using a stacked bar chart of the proportion of males and females
177 separately reporting each co-morbidity across age categories (including 0-44 years; 45 to 49
178 years; 50 to 54 years; 55 to 59 years; 60 to 64 years; 65 to 69 years; 70 to 74 years; 75 to 79
179 years; 80 to 84 years; 85 to 89 years; and 90 years plus). This allows immediate comparison of
180 how the profile of co-morbidities changes in general by age; whether different comorbidities are
181 more readily apparent in males and females; and whether the evolution of comorbidities as age
182 increases, differs for the two sexes.

183

184 All statistical analyses were conducted in Microsoft Excel and the R statistical software package
185 (<http://www.r-project.org>)[6], making use of the graphics package ggplot2[7].

186

187

189 **Temporal variation in COVID-19 deaths**

190 The number of deaths from all causes varies annually and seasonally, peaking in winter.
191 Typically, respiratory deaths range from 10% to 22% of all deaths and are seasonal, peaking
192 annually in January; the 2015 peak was high (16,237 deaths in week two compared to an
193 average of 12,277 deaths that week over the previous five years) due to a severe influenza
194 season, and 2018 similarly had a severe influenza season resulting in a high death count. The
195 minimum number of weekly deaths over this five year period was 6,606 (week 54, 2013). In
196 2020 deaths from respiratory infections were lower than the mean in the previous five years
197 until early April (week 14), after which they became higher than historical rates when including
198 deaths from COVID-19 (Figure 1a) (in week 14 observed respiratory deaths exceeded the 5-year
199 historical upper 95% confidence interval limit by 146). An excess of unexplained deaths
200 becomes clear from week 14 onwards (Figure 1b). Following a period of excess deaths, in week
201 25 of 2020, for the first time there were fewer deaths than the equivalent previous 5 year
202 average (65 fewer deaths), and similarly in weeks 26 through to 28 there were 917 fewer deaths
203 than the total of the averages across years for those weeks in the previous five years[8].

204

205 **FIGURE 1a AND 1b HERE**

206

207 **Regional differences in COVID-19 deaths**

208 Regions of England and Wales experience different death rates and this pattern is true for
209 deaths from COVID-19 (Figure 2) e.g. rates were highest and peaked in week 17 in London (204
210 per million), the North-West (185 per million), the North-East (179 per million), and the West
211 Midlands (169 per million). Peak rates were lowest in the South-West (95 per million) and East
212 Midlands (116 per million). From weeks 13-18 (March 23-May 3), all regions of England and
213 Wales experienced excess non-COVID-19 related deaths. This was most apparent in the West
214 Midlands in week 17 (starting April 20), with a peak of approximately 91 deaths per million.
215 Between weeks 13-18 (March 23- May 3) there were 46,594 excess deaths in England and
216 Wales, 13,399 of which were listed as non-COVID-19-related. In week 25 (19th June) the total
217 deaths dipped below the 5-year historical average for the first time (9,339 compared to 9,404)
218 and this pattern continued until 10th July.

219

220 **FIGURE 2 HERE.**

221

222 **Occupational differences in COVID-19 deaths**

223 After age-standardisation (rates per 100,000 population, standardised to the 2013 European
 224 Standard Population), men employed in lower skilled occupations (21.4, 95% CI 18.6-24.2)
 225 (Figure 3a) were more likely to die of COVID-related illness (k=225 deaths from n=1321 deaths
 226 in total across occupations for men[9]), as was true for all-cause mortality (Figure 3b, k=915
 227 deaths out of n=5627 deaths). This differs for women, where those employed as carers in health
 228 and social care, leisure and other service operations (Figure 3a) were most likely to die from
 229 COVID-related illness (k=130 deaths out of n=531 deaths in total across occupations for
 230 women), but not more likely to die if examining all-cause mortality (Figure 3b, k=651 deaths
 231 from n=3003 deaths). For both men and women, the less technical and more manual their
 232 occupation (using ONS SOC 2020 categories), the greater the risk of dying from any cause
 233 including COVID-19-related disease. In addition, when occupations are more manual, variation
 234 in age-standardised mortality rates is higher, particularly for men or women in certain SOC
 235 categories e.g. men undertaking administrative and secretarial roles; women in skilled trades;
 236 men in caring, leisure and other service occupations; men in sales and customer service roles;
 237 women working as process, plant and machine operatives; and men undertaking low skilled
 238 elementary roles.

239

240 **FIGURE 3a AND 3b HERE.**

241

242 A crude comparison suggests that age-standardised mortality rates for most occupations are
 243 reduced by COVID-19 relative to deaths from any cause (Table 1), with the rate only increased
 244 (for both sexes) in caring, leisure and other service occupations.

245

246 **Table 1: Ratio of estimated age-standardised mortality rates comparing occupational**
 247 **categories with a baseline of managerial workers (SOC group 1) for (a) COVID-19 associated**
 248 **male and female deaths; and (b) all-cause male and female deaths (including COVID-19). Note**
 249 **caution must be exercised in interpreting the values in Table 1 as they do not contain**
 250 **measures of uncertainty**

251

252

SOC	Group	Females		Males	
		COVID	All	COVID	All

1	Managers, directors and senior officials (baseline)	-	-	-	-
2	Professional occupations	1.05	1.02	0.67	0.72
3	Associate professional & technical occupations	0.85	0.87	0.89	1.07
4	Administrative & secretarial occupations	0.95	1.14	1.65	1.61
5	Skilled trades occupations	1.60	2.06	1.39	2.18
6	Caring, leisure & other service occupations	1.88	1.77	2.13	1.98
7	Sales & customer service occupations	1.35	1.37	1.70	1.49
8	Process, plant & machine operatives	1.43	2.36	1.85	1.97
9	Low skilled elementary occupations	1.53	1.66	2.55	2.77

253
254

255 **Ethnic associations with COVID-19 deaths**

256 As previously reported by the ONS[10] in data from 2nd March to 10th April 2020, there were
257 increased odds of dying from COVID-19 for Bangladeshi/Pakistani (386 deaths), Black (766
258 deaths) and Indian (483 deaths) ethnic groups (for both sexes) when compared with a baseline
259 white group and adjusted for age, region, rural/urban, Index of Multiple Deprivation decile,
260 household composition, socio-economic status and underlying health Conditions (Figure 4a and
261 4b). In total across all groups, in this time period 12,805 deaths occurred. For Chinese and mixed
262 ethnic groups the odds ratio was not statistically significantly different from one, perhaps due to
263 small sample size (59 deaths in total observed in Chinese ethnic groups in this time period, from
264 a total of 12,805 across all categories).

265

266 **FIGURE 4a AND 4b HERE.**

267

268 **Impact of comorbidities upon COVID-19 deaths**

269 Deaths related to COVID-19 reflect broad underlying patterns, with more reported in men
270 (61.3%, n=6,342) and older people (at week 15, 87% (n=8,985) of deaths were in those aged
271 over 65, 69% (n=7,135) were in people aged over 75). Data from the ONS across 2019 show an
272 increased proportion of health conditions (chest and breathing issues and heart/blood
273 pressure/circulatory problems) related to age. The percentages of people with heart, blood
274 pressure, or circulatory problems were 0.48% (16-19 years); 6.31% (20-39 years); 31.35% (40-59
275 years); and 61.86% (60+ years). Similarly, the percentages of people with chest and breathing
276 problems were 4.85% (16-19 years); 26.96% (20-39 years); 32.23% (40-59 years); and 35.96%
277 (60+ years)[11]. Long-term comorbidities such as ischaemic heart disease and hypertensive
278 disease are commonly present in men dying with COVID-19 (Figure 5a), particularly in higher
279 age groups; a similar pattern was observed for cerebrovascular diseases in women (Figure 5b).

280 As people reach very advanced age, for both sexes the predominant comorbidities are dementia
281 and Alzheimer's (Figures 5a and 5b).

282

283 **FIGURE 5a AND 5b HERE.**

284

285 **Impacts of our response to COVID-19**

286 Numerous other resources can provide information about the impacts of the human response
287 to the pandemic. The response to COVID-19 appears to indirectly increase non-COVID-19
288 mortality by reducing healthcare-seeking behaviour: a big reduction in the number of visits to
289 accident and emergency units (from 120,356 in the week commencing 16th March to 89,584 in
290 the week commencing 23rd March)[12,13] coincides with the increase of both COVID-19 and
291 non-COVID-19-related UK deaths. There are wide impacts on a range of non-communicable
292 diseases: for example, Cancer Research UK have estimated that for every week that routine
293 screening is paused, 7,000 people miss referrals for further tests, and 380 cancers are not
294 diagnosed using routine screening programmes[14]: they additionally estimate that 290,000
295 people fewer than usual have been referred for further tests.

296

297 Data suggests that routine preventive screenings, cancer treatments, dental visits, and
298 vaccinations have all been paused to some extent during the lockdown. Evidence for this is
299 provided in a report to the NHS by Medefer, reported in the Times (10th May 2020). It suggests
300 that by October 2020, approximately 7.2 million people will be on NHS waiting lists. The report
301 estimates that 1.3 million people may already have been added to a lengthy waiting list which
302 stood at 4.4 million people in February 2020.

303

304 **DISCUSSION**

305

306 This analysis characterises the COVID-19 pandemic in England and Wales in the context of
307 excess death over time, by region, and risk factor. Increases in mortality in April were
308 predominantly driven by COVID-19, but non-COVID-19 excess deaths also increased in April-May
309 2020 across all regions. Compared to historical rates of death amongst occupational groups,
310 COVID-19 related deaths generally followed normal patterns, excepting individuals among
311 caring, leisure, and other service occupations who were more likely to die from COVID-related
312 illness than die from any illness. Rates of death from COVID-19 related illness are higher among
313 Black and Ethnic Minority (BAME) populations, but small sample sizes preclude all-cause

314 mortality comparisons. Finally, pre-existing comorbidities are a strong risk factor for COVID-19
315 related death and are more common among men and the elderly, explaining why these groups
316 appear to be at excess risk of death related to COVID-19. Thus, patterns of death and excess
317 death from COVID-19 mirror historical trends in mortality. This contextualisation of COVID-19 is
318 critical to inform plans to protect the vulnerable while helping low risk populations in society to
319 resume more normal lifestyle patterns.

320

321 The lower-than-expected death toll from week 25 onwards may be suggestive of a mortality
322 displacement ('harvesting') impact; a proportion of the population who died at the epidemic
323 peak (weeks 13 to 18) may have died in the shorter-term in other circumstances. The complete
324 picture is likely to be far more complex, but the harvesting phenomenon is previously described
325 e.g. due to impacts of heatwaves and cold spells[15] and influenza in 1918/19 (compared to
326 deaths from tuberculosis)[16]. Such population readjustments need to be taken into account in
327 planning processes as the overall health loss may be relatively small compared to a disease or
328 health problem that kills people who are healthy.

329 **Context to age and gender**

330 Much age and gender-related health risk is more appropriately attributable to increased
331 prevalence of underlying comorbidities. We are more likely to die as we age, with 84% of annual
332 deaths in people over 65 years, and 66% in those over 75 years[17]. Men also die earlier in most
333 age groups and have lower life expectancies (79.2 years) than women (82.9 years)[18]. As we
334 age our likelihood of having long-term illness increases as has been discussed. Though the
335 burden of risk from COVID-19 lies with older age groups, more thorough epidemiological
336 analysis may identify some subpopulations that could be classified as lower (or higher) risk. Such
337 analysis would inform better risk management strategies, allowing mobility and economic
338 activity amongst some low-risk older populations, as well as intrinsically low risk groups such as
339 young people.

340 **Context to comorbidities**

341 Patterns of co-morbidities for COVID-19-related deaths mirror the increase in these diseases
342 with age (in non-COVID circumstances) e.g. ischaemic heart disease is more frequently
343 experienced with age by men than women[19]. It is unclear whether an increasing
344 representation of dementia and Alzheimer's as comorbidities is seen because they are genuine
345 comorbidities in their own right or due to data biases. The most important other comorbidities
346 are chronic lower respiratory disease in females and ischaemic heart disease in males. A role for

347 specific genes linked to dementia and Alzheimer's and poor COVID-19 response has recently
348 been suggested[20] and warrants further investigation. It has not been possible to locate for
349 2020, the numbers of deaths by each comorbidity in its own right: these would be useful for
350 comparison and establishment of any excess, but whether an excess of deaths with COVID-19 by
351 any of the comorbidities will occur is unclear at the time of writing.

352 **Context to ethnicity and occupation**

353 Ethnicity and occupation are common risk factors for morbidity and mortality from infectious
354 disease, but are not often reported in surveillance data[21]. Heightened reported risks among
355 specific ethnic and occupational groups are alarming, and COVID-19 has brought renewed
356 attention to health disparities inherent in the UK population, but excepting care, service, and
357 leisure workers, the precise nature and drivers of excess COVID-19 risk in different groups
358 remains unclear. When considering occupational risk for example, age-standardised mortality
359 ratios (ASMRs) in different occupational categories for COVID-19 mortality must be considered
360 alongside ASMRs for all-cause mortality. For example, when the COVID-19-associated ASMR in
361 an occupational category is high relative to deaths from all causes, this suggests COVID-19-
362 associated impacts should be considered in managing return to work.

363 **Consequences of COVID-19 and our response to its presence**

364 The reduction in accident and emergency consultations is inconsistent with the pattern
365 observed in 2019; it suggests a reluctance or inability of the public to access healthcare during
366 lockdown. Unfortunately, comparisons against a longer range of historical data are not possible
367 since the surveillance system changed in 2018, with greater numbers of hospitals reporting to
368 the system from this point onwards. The reasons for this reduction may be multi-factorial
369 reflecting reluctance, fear of the virus, and logistical difficulties for GPs. This pattern of reduced
370 healthcare uptake foreshadows an increased health burden as a result of the combination of
371 delays introduced into the system by aspects of both the health services and individuals'
372 responses to COVID-19. However, in the immediate future, a dip in mortality is occurring,
373 compared to baseline. In Wales, where the median age is higher than in any other UK nation or
374 region of England[22], the rate of death per million returned to at, or below, historical levels
375 before any other region in England. This suggests that for high-risk populations (e.g. the elderly),
376 deaths have been compressed within the time window of the pandemic. This phenomenon was
377 previously observed among tuberculosis patients in the months and years following the 1918
378 Spanish Flu[16]. Thus, continued contextualisation of deaths is critical to accurately assess the

379 long-term impact of COVID-19 on health in the UK - volatility of demand should be considered in
380 resource planning.

381 **Solutions: Role of surveillance, need for better data reporting**

382 What tools do we have to look at whether changes in illness patterns might be helpful in
383 planning a response to an emerging situation such as COVID-19? ONS data are among the most
384 accurate but have limited usefulness for real-time analysis. It is crucial that information from
385 multiple sources is synthesised and scrutinised simultaneously, balancing timeliness against
386 accuracy. Many readily available sources can be used in combination to inform the evidence-
387 base. In other illnesses such as influenza[23], a primary circulation in children may precede a
388 secondary epidemic in the wider population. Of relevance to COVID-19 is syndromic surveillance
389 reporting, which illustrated a spike in consultations for influenza-like illness in the under 15s
390 above baseline for weeks 49 to 51 of 2019[24]. This, considered in tandem with other syndromic
391 surveillance data, which indicated increased trips for influenza-like illness to accident and
392 emergency in the same period[12], have the potential to alert society to anomalies earlier than
393 the documented timescale for the COVID-19 pandemic. Combined scrutiny of such sources is
394 useful to identify anomalous patterns, triggering a public health response. For example,
395 coincident with the first reported case of COVID-19 in the UK, calls reporting cough or cold/flu
396 and diarrhea spiked, then fell when the NHS 111 changed their call triage system[24]. Ensuring
397 the comparability of age categories across reporting systems, and reporting data openly at the
398 highest resolution which respects patient anonymity, aids rapid responsive production of
399 understanding from research. On the international stage, authors in the US have identified
400 analogous issues with non-integrated reporting systems; they developed an 'App' that attempts
401 to address some of the issues[25]. In Europe, two surveillance strands are followed and both are
402 restricted access: EU/EEA Member States and the UK report for every 24 hour period the
403 number of laboratory-confirmed cases of COVID-19 using their Early Warning and Response
404 System (EWRS). Enhanced surveillance has also been put in place via The European Surveillance
405 System – TESSy[26]. The restricted access nature of these resources limits their real-time
406 applicability for parties other than those with permitted access. A full consideration of the
407 international picture is beyond the scope of this paper, but the process described herein could
408 be repeated for other populations.

409 **Solutions: A model for success**

410 Taiwan provides perhaps the best example of success in rapidly containing and controlling
411 COVID-19; they eliminated the virus by April 2020 without going into lockdown, with minimal

412 economic damage and few deaths[27]. Taiwan's plan for success against COVID-19 can be
413 summarised in four points[28]. 1) In response to previous experience with SARS in 2003 and
414 influenza H1N1 in 2009, Taiwan had developed highly functional pandemic response plans and
415 infrastructure that were immediately operationalised in early 2019, including a Central Epidemic
416 Command Centre and community surveillance system. 2) Taiwanese officials were quick to
417 respond to the earliest whistle-blower reports from China with significant travel restrictions and
418 activation of pandemic response plans. 3) The Taiwanese government is trusted and was able to
419 successfully balance government oversight with regional autonomy. Localities and private
420 establishments were trusted to run their own track and trace systems, which were designed to
421 be easily linked up to provide national coverage. Privacy concerns are acknowledged and
422 managed, but the proven results obtained drive high levels of participation. 4) High buy-in from
423 civilians across all aspects of disease control. Civilians are given space to provide suggestions
424 and concerns in online town-halls. Civilians are provided with adequate monetary support while
425 quarantining, but also face large fines, leading to high compliance. While there are cultural,
426 social, and geographic differences between the UK and Taiwan, many of these actions could be
427 successfully deployed in the UK.

428
429 CDC specify a series of steps to be followed in investigating and responding to an outbreak
430 Figure 6 outlines where this research contributes to that process, and how it feeds into the
431 wider process of outbreak management. It is clear from this figure how timely data from a
432 variety of sources, at closely aligned degrees of temporal and spatial resolution, would
433 streamline public health processes, significantly enhancing capacity to respond to future
434 pandemics.

435
436 **FIGURE 6 HERE.**

437
438 **Methodological limitations**

439 Any analysis based upon surveillance data is subject to limitations. Biases in surveillance data
440 are well-known and well-documented[29]. Data on cases of disease is informative but can be
441 heavily biased by who appears in the system, and why. For example, any estimate of the case
442 fatality ratio for COVID-19 from the early part of the pandemic would potentially be over-
443 estimated as a consequence of the likely huge under-ascertainment of disease in the early
444 stages, when knowledge about COVID-19 was evolving, and testing was largely limited to
445 hospital cases of disease (the most severe manifestations). It is for this reason that the research

446 in this paper has focused on data from the ONS, which records conclusive cause of death and is
447 the most complete and accurate resource for UK deaths which should ensure that any biases of
448 reporting are minimised.

449

450 The analysis presented here is largely descriptive, and as such it is not possible to make any
451 statements about, for example, statistical significance of observations. This approach is
452 deliberate: it is the authors' intention to demonstrate how a well-chosen graphical display can
453 provide valuable insight, which can be readily interpreted by those without specialist
454 knowledge.

455 **Conclusions**

456

457 Policy makers have relied on models in the early phase of COVID-19. These must be supported
458 by data-driven evidence on when, where, who and why people get sick and die. Timely
459 emergence and analysis of this information should be used to calibrate social, cultural and
460 economic assessments of the impact of COVID-19 versus our actions to control it, if we are to
461 return to a cautious normality.

462

463 To our knowledge this is the first study to consider reported numbers of COVID-19 illnesses and
464 deaths in England and Wales against their historical disease context. Our research identifies and
465 combines important, open-access data to inform a more nuanced response to emerging
466 disease. Many openly available resources could improve response planning for emerging
467 disease situations such as COVID-19, and could be used to anticipate wider consequences than
468 immediate infection-related impacts. Syndromic surveillance data combined with real-time
469 surveillance would supplement and strengthen the mathematical models informing emerging
470 disease responses. Our analysis highlights the importance of calibrating social, cultural and
471 economic assessments of the direct impact of COVID-19 against potential control actions.

472

473 **Data sharing statement**

474 Data and reports consulted in this study are in the public domain. The principal data source was
475 the Office for National Statistics (ONS), which provides gold-standard confirmed causes of death
476 for UK residents; dashboards from Public Health England (PHE) and syndromic surveillance in
477 England via NHS 111 were additionally consulted. Use of ONS data is licensed under the Open
478 Government Licence v.3.0, and data are available from <https://www.ons.gov.uk/>; Public Health

479 England dashboard is located at <https://coronavirus.data.gov.uk/>; and NHS 111 reports are
480 available via <https://digital.nhs.uk>.

481

482 **Contributorship statement**

483 All authors (HEC, KMM, GEP, JPH, JR) contributed equally to the planning and development of
484 the research including its conception and design, data acquisition, analysis and interpretation of
485 data. HEC and GEP led the writing of the manuscript. Several authors wrote and implemented
486 the R code to produce analysis and figures: HEC (Figures 1, 3, 4, 6), GEP (Figure 2) and KMM
487 (Figure 5, 6).

488

489 **Conflict of interest statement**

490 There are no conflicts of interest.

491

492 **Ethics statement**

493 Ethical approval was not required for this study as it is an analysis of public domain data.

494

495 **Patient and Public Involvement statement**

496 No patients involved.

497

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592 **Figure captions**

Figure 1: Time series plots representing (a) Number of respiratory deaths per week in the first 16 weeks of 2020, by comparison with a temporally equivalent 5 year historic baseline mean (with 95% confidence intervals); and (b) Number of deaths; respiratory deaths; deaths with COVID-19 on the death certificate; and deaths without COVID-19 on the death certificate, across the first 16 weeks of 2020.

Figure 2: All-cause and COVID-19 deaths by region between weeks 12 and 20 of 2020.

Figure 3: Age-standardised mortality rate by Standard Occupational Category (SOC) for (a) deaths mentioning COVID-19 on the death certificate and (b) all deaths registered up to and including 20th April 2020.

Figure 4: Odds ratios by ethnic category for deaths between 2nd March to 10th April 2020 which mention COVID-19 on the death certificate.

Figure 5: Stacked barplot representing the main comorbidities for COVID-19 by age, for deaths occurring in March and April 2020. CerebVasc = cerebrovascular disease; ChrLRD = Chronic lower respiratory disease; CirrLD; Dem&Alt; Diabetes; DisUrS = Disease of the urinary system; Flu&pneu = Influenza and pneumonia ; HeartF = Heart failure; Hypert = Hypertension; IschHD = Ischaemic heart disease; MaNPbreast = malignant neoplasm of the breast; MaNPloWDigest = malignant neoplasm of the lower digestive tract;

MaINPLymph = malignant neoplasm of the lymphatic system; MaINPresp malignant neoplasm of the respiratory system; MaNPprost malignant neoplasm of the prostate; Nopreext no pre-existing condition; Obesity; OtherDegen = Other degenerative disease; Park = Parkinson's disease; Pulm = Pulmonary disease; SymptIll = Ill-defined symptoms.

Figure 6: Schematic describing the role of data, analysis and information generation in an iterative approach to pandemic management and infectious disease public health.