- **1** Implementing bridge model updating for operation and maintenance
- 2 purposes: examination based on UK practitioners' views
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13 Implementing bridge model updating for operation and maintenance 14 purposes: examination based on UK practitioners' views

15 There has been a vision of creating bridge digital twins as virtual simulation 16 models of bridge assets to facilitate remote management. Bridge model updating 17 is one digital twin technology which can enable the continuous updating of the 18 structural model as new monitoring data is collected. This paper examines why 19 there is currently little industry uptake of monitoring, modelling and model 20 updating for the operation and maintenance of bridges despite over two decades 21 of research in these fields. The study analyses the findings from a series of semi-22 structured industry interviews with expert bridge professionals in the U.K. and 23 from an extensive literature survey of bridge model updating studies to examine 24 the disconnects between research and practice and the practical issues of 25 implementing bridge model updating. In particular, the study found that localised 26 damage resulting in local reduction in structural stiffness, a key assumption made 27 in the majority of research, is subject to question by practitioners as many 28 common types of bridge damage may not induce noticeable change in structural 29 stiffness that existing model updating techniques would identify. Key 30 recommendations for future research are proposed to drive adoption of bridge 31 monitoring, modelling and model updating and thus realise their industrial value.

Keywords: structural model updating; structural health monitoring; digital twin
 technology; bridge operation and maintenance; industry practice

34 **1. Introduction**

35 Bridges are critical components of infrastructure systems, acting as points of

36 interdependency in transportation networks. Their performance is critical to the

37 resilience of our urban environment. However, with the growing challenges of 'asset

38 time bomb' (i.e. a large number of assets approaching their end-of-life state at the same

- time) (Thurlby, 2013) and minimising carbon emissions from the built environment,
- 40 there is a pressing need for better maintenance of bridge assets. In the U.S., as of 2019,

41 47,000 out of its 616,000 bridges (21%) were rated as structurally deficient and the pace

42 of repairs of these bridges has been slow (American Road & Transportation Builders

Association, 2019). In the U.K., as of 2018, 3,177 council-maintained road bridges were
rated as sub-standard and the budget for necessary repair works has been limited (RAC
Foundation, 2019). Both the American Association of State Highway and
Transportation Officials (AASHTO) and the U.K. Bridge Owners Forum (BOF) have
identified bridge operation and maintenance (O&M) related issues as the top of their
grand challenges for bridge engineering and management, as shown in Table 1 (Bridge
Owners Forum, 2020; Mertz, 2013).

50

[Table 1 near here]

51 To improve bridge O&M, new materials, technologies and processes have been 52 developed. One technology is structural health monitoring (SHM), which aims to 53 improve asset performance by measuring and learning from in-service structural 54 behaviour. To investigate the manner in which bridge monitoring systems are currently 55 utilised, Webb, Vardanega and Middleton (2015) conducted a comprehensive literature 56 survey and developed a classification framework with five categories defining the 57 reasons why a bridge monitoring system is deployed. These are: (i) Sensor Deployment 58 Studies, (ii) Anomaly Detection, (iii) Model Validation, (iv) Threshold Check, and (v) 59 Damage Detection. The study found that of the 45 installations examined, only five 60 demonstrated clear benefit to the bridge owners. Realising the practical value of bridge 61 SHM to bridge O&M remains a key challenge to both researchers and practitioners. 62 Of these five categories, Model Validation was found to have the largest number 63 of deployments but none of these installations demonstrated clear benefit to bridge 64 O&M. In general, monitoring and modelling represent two sources of information 65 which engineers use to better understand the real performance of bridges. The former 66 aims to capture the in-field structural response, operational loading, environmental 67 conditions and physical properties; while the latter, most commonly finite element (FE)

68 modelling or grillage modelling, aims to capture the underlying engineering physics 69 such as material behaviour, structural mechanics and soil-structure interaction. How to 70 relate these two sources of information together to explain the observed structural 71 behaviour or change of behaviour remains a key challenge for bridge applications. In 72 research, model updating is commonly used as part of the Model Validation process to 73 address this challenge. Model updating is a process by which an 'as-is' structural 74 analysis model is created to closely represent the real performance of the engineering 75 structure. It is essentially an inverse problem which updates the model parameters and 76 sometimes other modelling assumptions by matching model predictions with sensor 77 measurements. The fundamental concept is not new, as researchers have been 78 conducting structural model updating and validation using experimental data for 79 decades (Ashraf, Gardner, & Nethercot, 2006; Theofanous & Gardner, 2009; Xu, 80 Butler, & Elshafie, 2019; Kariyawasam, Middleton, Madabhushi, Haigh, & Talbot, 81 2020). The key challenges lie in the complexities and uncertainties of bridges in 82 operation (e.g. structural and material imperfections, uncontrolled environmental and 83 operational conditions, uncertain boundary conditions), which make both their 84 monitoring and modelling susceptible to numerous sources of uncertainty. 85 More recently, there has been a vision of developing bridge digital twins which 86 have the following key characteristics: 87 (i) They serve as virtual simulation models which can be updated continuously 88 as new measurement data (e.g. monitoring data) becomes available; 89 (ii) They are connected to the physical assets to provide real time information 90 (e.g. structural condition) and enable remote management; 91 (iii) They may be used to perform 'what-if' scenarios for predicting asset 92 performance and facilitating proactive maintenance.

Bridge model updating may be used as part of the digital twinning process to create
virtual simulation models that closely represent the physical bridge assets.

95 While there has been a large amount of research on bridge model updating over 96 the past two decades, there is little sign of industry uptake by bridge practitioners 97 (owners, operators and consultants) to support bridge O&M related activities. The aim 98 of this work is to address the following research question: "What additional research is 99 needed in order to enable industry implementation of bridge model updating?". This 100 research firstly identifies and examines the current challenges of implementing bridge 101 monitoring, modelling and model updating in the U.K. based on expert practitioners' 102 views. In particular, two types of disconnects between research and practice were 103 investigated: (i) Disconnects between research outputs from bridge model updating 104 studies and industry needs in bridge O&M; and (ii) Disconnects between research 105 methodologies of bridge model updating and the industry's approach to bridge 106 condition appraisal. Finally, the results and findings reported in this study are used to 107 propose key recommendations for future research in order to drive future 108 implementation of bridge model updating as a digital twin technology to improve bridge 109 O&M.

110 2. Methodology

The methodology adopted for this study consists of: (i) a series of industry interviews on bridge monitoring, modelling and model updating under the broad context of bridge O&M; and (ii) an extensive literature survey on bridge model updating studies. In order to identify the disconnects between research and practice and the challenges of implementing bridge model updating: (i) research outputs were compared with industry needs; and (ii) research methodologies were compared with industry practice. The findings were then used to examine what is missing in existing research based on practitioners' views and make recommendations for future research in order to enableindustry implementation. Figure 1 provides a summary of the overall workflow and

120 logic flow of the methodology in this study.

121 [Figure 1 near here]

122 2.1. Industry interviews

123 Seventeen face-to-face semi-structured interviews were conducted with nineteen expert 124 bridge professionals in the U.K. (10 bridge owners/operators and 9 bridge consultants). 125 The interviewees were carefully selected to be representative of those involved in 126 bridge O&M activities in the U.K. The interviewed group sampled all typical bridge 127 O&M scenarios, including all roles (e.g. owner, operator and consultant), all transport 128 modes (e.g. highways and rail) and all levels of operation scope (based on level of 129 authority: e.g. national, regional/county and local authority). All interviewees had 130 technical background in civil and structural engineering and at least ten years' 131 experience in bridge O&M activities. This was to ensure that the interviewees had 132 sufficient expertise and experience to provide insightful answers to the interview 133 questions. Details of the interviewees are presented in Table 2.

134 [Table 2 near here]

The adopted methodology was consistent to those of similar studies in built environment research where semi-structured interviews were used (Baker, Moncaster, & Al-Tabbaa, 2017; Bennetts, Vardanega, Taylor, & Denton, 2019; Dadzie, Runeson, Ding, & Bondinuba, 2018; Gardner, Lark, Jefferson, & Davies, 2018). The interviews were chosen to be semi-structured in this study to allow for targeted and in-depth analysis of how bridge monitoring, modelling and model updating could be implemented in practice for better maintenance of bridges, under the broad context of 142 the day-to-day practice and decision making in bridge O&M. Specifically, the

143 interviews examined the following six themes:

144 i. Key structural components and issues that keep bridge practitioners awake at145 night

146 ii. Current practice for bridge damage detection and structural assessment

147 iii. Current practice for bridge monitoring and modelling

148 iv. Barriers and incentives to using bridge monitoring and modelling in practice

149 v. Industry perspectives on bridge model updating

150 vi. Key gaps in capability in bridge condition appraisal

151 The main interview questions used are presented in the Supplemental Material. The

152 digitally recorded interviews were transcribed and then analysed by 'coding' against

153 these six themes, which consisted of highlighting snippets of each interview that are

related to each theme (Saunders, Lewis, & Thornhill, 2009).

155 The validation of the interviews followed the principles and methods in 156 Brinkmann & Kvale (2014) for qualitative data analysis. Firstly, the representativeness 157 of the interviewees was checked as described previously. Secondly, for five of the six 158 themes (Themes i to iv and vi) examined, consensus or majority views among the 159 interviewees were distilled (refer to Section 3) to ensure sufficient degree of reliability 160 of the interview findings. Where there was a major difference in opinion on an 161 important issue (which mainly applies to damage detection under Theme v – refer to 162 Section 3.6), this difference was highlighted and all opinions were included. The 163 objective of Theme v (Industry perspectives on bridge model updating) is to gather 164 valid comments, issues or questions raised by the expert bridge professionals (refer to 165 Section 3.5 for more details). Although these views may not be exhaustive, they are 166 valid and may warrant additional investigation in future research. Thirdly, the distilled

167 interview findings were sent back to a few interviewees for checking and feedback. 168 Finally, it should also be noted that while this qualitative research was set in the U.K. 169 context of bridge O&M practice, the findings of this interview study may be transferred 170 to similar bridge O&M situations around the world. Specifically, the study provided 171 insights into the types of questions and issues that can be raised by bridge practitioners 172 worldwide as well as their perspectives on bridge model updating. Rich and specific 173 descriptions of the context of this interview study (Themes ii and iii) are provided (refer 174 to Sections 3.2 and 3.3) to enable the reader to judge to what degree the findings may be 175 generalised in a new situation.

176 2.2. Literature survey

177 The adopted methodology was consistent to those of similar literature survey studies 178 related to built environment research (Li, Yi, Chi, Wang, & Chan, 2018; Vagnoli, 179 Remenyte-Prescott, & Andrews, 2018; Wang & Kim, 2019; Webb, Vardanega, Fidler, 180 & Middleton, 2014). To systematically search and select the literature for review, a 181 content analysis-based review method was adopted (Seuring & Gold, 2012). A number 182 of input keywords were identified to define the scope of relevant literature, which 183 included model updating, structural identification, bridge monitoring and finite element 184 modelling. It was decided to focus only on case studies published as technical journal 185 articles because their contents have been properly peer-reviewed. The literature search 186 was facilitated through the use of Scopus and Google Scholar. 187 Two key selection criteria were used:

188 (i) The above-mentioned keywords or their synonyms should be included in the
189 title or abstract. A brief examination of the content was conducted for each
190 paper to assess the level of relevance.

191 (ii) The monitoring data utilised should be field measurement data from bridges
192 in operation, rather than test data of scaled bridges in the laboratory or
193 simulated data.

Both the model updating methodologies and outputs (in particular, informationextracted from the updated model) were examined.

196 **3. Industry interviews**

197 3.1. Key structural components and issues that keep bridge practitioners awake 198 at night

Four overarching root causes that 'keep bridge practitioners awake at night' have been
identified based on the majority of interviewees' responses. These are presented and
explained as follows.

202 i. The bridge component or issue is safety critical 203 Safety critical issues can be considered from two perspectives: (a) structural 204 integrity of the bridge; and (b) safety of people within the vicinity of the 205 bridge (e.g. general public, inspectors or labourers on site). The former 206 includes bridge scour, corrosion of concrete reinforcement or prestressing 207 tendons, bearing and joint seizure, and bridge strike. The latter includes 208 concrete spalling and insufficient load bearing capacity of bridge parapets. 209 ii. The bridge component or issue is difficult to inspect 210 This is commonly referred to as 'hidden defects' in the U.K., which includes 211 two types of defects: (a) those which are difficult to access; and (b) those 212 which are difficult to detect visually, even though they may be easy to 213 access. The former includes any defects inside box girders (e.g. fatigue 214 cracks, section loss due to corrosion), bridge scour, corrosion of concrete

215 reinforcement or prestressing tendons, and half-joint defects. The latter216 includes fatigue cracks in welded sections.

217 iii. **The bridge component or issue is difficult to manage**

- Water management related issues, such as joint leakage, were highlighted by the majority of interviewees as a key challenge in bridge O&M for two reasons: (a) it is the primary source of material degradation and structural deterioration (e.g. concrete corrosion, steel corrosion, bearing and joint seizure); and (b) waterproofing measures have often failed to perform as specified due to improper manufacturing and installation (e.g. bad detailing)
- or poor management and maintenance (e.g. application of de-icing salts).
- iv. There is a large degree of uncertainty in ascertaining the actual
- behaviour related to the bridge component or issue, which may result in
 the risk of sudden and unexpected failure modes
- This is often due to limited forewarning of certain structural failure modes or insufficient engineering understanding of how certain parts of the structure behave. The former includes sudden or brittle failure modes such as bucking and shear. The latter includes bridge scour, unexpected expansion joint
- failure, and half joint and hinge behaviour.

In addition, the most critical bridge components and structural issues in the U.K.

- bridge O&M activities have been identified. These are summarised in Table 3.
- 235 [Table 3 near here]

236 **3.2.** Current practice for damage detection and structural assessment

237 Overall, there are two major types of bridge condition appraisal activities: (i) Damage

- 238 Detection: detection and evaluation of bridge damage and deterioration by means of
- 239 inspection, testing or monitoring, and (ii) Structural Assessment: evaluation of reserve

240 load capacity by means of structural assessment of bridges, which typically involves

some type of structural analysis and modelling. According to the majority of

interviewees, the decision of whether or not to close or partially close a bridge is

243 governed by concern for the safety of people within the vicinity of the bridge, which is

244 mainly determined by whether the bridge has sufficient reserve load capacity.

245 *3.2.1. Damage detection*

According to all interviewees' responses, currently there are two main ways in which damage and deterioration of a bridge can be notified in practice. These are summarised and described in Table 4. The use of testing and monitoring are mostly reactive rather than proactive. They are undertaken in a targeted manner to investigate and examine a known issue picked up by inspections rather than to detect new damage.

251 [Table 4 near here]

252 3.2.2. Structural assessment

Compared with repair work and inspection, structural assessment is currently not a high priority for many bridge owners and operators in the U.K. and it is conducted only when required (e.g. driven by immediate and targeted concerns) according to the majority of interviewees. In the U.K., it is typically conducted once every 18 years and is mainly for the purpose of load capacity assessment (Griffin & Patro, 2018; Highways England, 2019). An extensive program of bridge assessment was carried out in the 1990s when

259 40 tonne trucks were first introduced.

260 Overall, there are three levels of assessment for both highway and railway

- bridges in the U.K. (Highways England, 2019; Network Rail, 2018). These are
- summarised in Table 5. Most bridge assessment follows a similar procedure, which
- starts from Level I assessment and then proceeds to higher levels of assessment (e.g.

line beam method to grillage method to finite element method) until the evaluated
bridge capacity is satisfactory or else actions are deemed necessary to ensure structural
safety of the bridge. According to those interviewees with relevant experience, other
factors to consider in bridge assessment include age of the bridge structure, original
design loading, current bridge behaviour and potential failure modes.

269 [Table 5 near here]

270 Three main sources of information may be used to justify engineering

assumptions made in a bridge assessment: codes and standards, inspection, and testing.

272 Less conservative values may be used for Level III assessment based on measurements

and condition survey. Frequently mentioned examples in the interviews are summarised

274 in Table 6.

275 [Table 6 near here]

276 3.3. Current practice for bridge monitoring and modelling

277 3.3.1. Bridge monitoring

278 All interviewees agreed that overall, very few bridges have real time SHM systems in

279 place in current practice of the U.K. Of these limited number of installations, the

280 majority of them was put on existing bridges as a tool for further investigation and

281 examination of a known defect or issue. Before each bridge SHM system is installed in

282 practice, a value case needs to be made to justify the associated cost and effort.

283 Examples of most common and useful type of bridge monitoring installation in the U.K.

- 284 practice have been identified based on most interviewees' responses. These are
- summarised in Table 7. One key issue for SHM of existing bridges, as raised by the
- 286 majority of interviewees, is the understanding of the pre-existing conditions when the

287 monitoring is first deployed (e.g. existing stress, existing number of wire breaks,

288 cumulative displacement of bearings).

289 [Table 7 near here]

290 *3.3.2.* Bridge modelling

291 Structural modelling, particularly FE modelling, is rarely used for bridge O&M

292 purposes in the U.K. According to the majority of interviewees, it is predominantly a

293 one-off exercise after an issue has been raised, typically regarding concerns of bridge

294 capacity deficiency due to either damage and deterioration or increased bridge loading.

295 In certain limited cases mentioned by some interviewees, an FE model may also be used

to investigate more detailed stress profiles (e.g. stress fields at critical connections),

297 complex structural behaviour (e.g. torsional effects, live load distribution, soil-structure

interaction) or the effects of key strengthening actions. Bridge FE models were typically

299 not kept and maintained by an asset owner on a permanent basis, unless the bridge was

300 a landmark structure of strategic importance. No examples were noted where FE models

301 were used proactively to detect new problems (e.g. damage).

302 **3.4.** Barriers and incentives to using bridge monitoring and modelling

303 All interviewees were familiar with the concepts of SHM and FE modelling, and

304 therefore they were able to provide their thoughts and comments on the use of bridge

305 monitoring and modelling for O&M purposes.

306 *3.4.1. Bridge monitoring*

307 There are two types of monitoring. One is reactive monitoring for the purposes of

308 further investigation and examination after specific issues are identified by other means

309 such as visual inspection. Most bridge monitoring activities in practice fall under this

310 category. The other is proactive monitoring to detect anomalous behaviour or structural 311 damage in near real time and therefore to enable more proactive maintenance. 312 The most highlighted and frequently mentioned barriers to using bridge 313 monitoring (i.e. the views shared by the majority of interviewees) are summarised as 314 follows: 315 i. Cost 316 Budgets are limited for bridge O&M. Most of the budget is currently taken 317 by condition improvement measures such as repair and replacement (e.g. 318 bearing and joint replacement, concrete repair, strengthening against impact) 319 to ensure structural safety and extend service life. Compared with physical 320 repair, since bridge SHM does not directly improve bridge condition and its 321 benefits are often unclear, it is often difficult to justify its deployment, 322 particularly when the budget is tight. In addition to the cost of the bridge 323 SHM system, there are also ongoing costs of maintaining the installed SHM 324 system and employing consultants to perform data post-processing and 325 interpretation. Another issue related to cost is the financing model, 326 specifically, who should be paying for the bridge SHM system? 327 ii. Value case for monitoring: reactive and targeted monitoring vs. 328 proactive and untargeted monitoring 329 Currently there is a dilemma between reactive monitoring and proactive 330 monitoring. 331 (a) The issue with proactive monitoring is that it is difficult to envisage what 332 could go wrong with a bridge structure as there are a large number of

could go wrong with a bridge structure as there are a rarge number of
potential issues that might arise during its service life. It is also
challenging to identify at the start of a bridge's service life where the

335		critical and vulnerable parts of the bridge are, often due to insufficient
336		knowledge of real structural behaviour and operating conditions. In
337		addition, it is very difficult to address the cost-benefit of untargeted
338		monitoring where a large number of sensors may be needed (with some
339		built-in redundancies to account for sensor failures), as the end
340		objectives and benefits are often less clearly defined. Two main
341		questions raised by the interviewees were: (1) Which bridge(s) and what
342		part(s) of a bridge should be monitored when there is a large portfolio of
343		bridge assets to manage? (2) Most bridge assets are in good condition
344		and may not have any issues for a long period of time (e.g. 30 to 50
345		years) from the start of their service life, in which case what is the
346		monitoring data used for?
347		(b) On the other hand, there are two main issues with reactive monitoring:
348		(1) the structural issue (e.g. damage) needs to be picked up first by other
349		means such as visual inspection, and (2) it is difficult to determine the
350		pre-existing condition of the bridge or bridge component, as sensors
351		often measure changes of state rather than the absolute state (e.g. strain,
352		displacement, number of wire breaks).
353		Currently, it is much easier to establish the value case for reactive and
354		targeted monitoring in practice as it directly addresses the specific issues of
355		concern, particularly for existing bridges.
356	iii.	Processing of SHM data
357		There are two overarching data challenges for bridge SHM: (1) How to
358		extract useful information from bridge SHM data? (2) How to manage and
359		process large and heterogeneous bridge SHM datasets? Most interviewees

raised the issue that bridge monitoring data has often not been exploited 360 361 satisfactorily due to the above-mentioned two challenges. Not much SHM 362 data collected has been directly useful to bridge O&M. More often it is a 363 case of *'measuring things just for the sake of it'*. In addition, there are many 364 challenges for data processing such as data cleansing and data de-trending 365 (i.e. removal of environmental trends in SHM data); and there is generally a 366 lack of 'sense making' and engineering interpretation of SHM data to 367 explain the underlying structural behaviour.

368 iv. Reliability and futureproofing of bridge SHM system

369 The most commonly raised practical issue is the reliability of the SHM 370 system. Data quality has been found to be a common problem (e.g. due to 371 cabling, power supply, sensor failure). False positives are not uncommon 372 (e.g. false detection of wire breaks, false detection of over-weight vehicles). 373 More significantly, the lifetime of sensors and sensor systems is often much 374 shorter than that of a bridge. SHM systems have often been found to 375 deteriorate and fail more quickly than the monitored bridges in practice, 376 particularly for long term monitoring. Other practical issues include 377 adaptability to future computer systems and data management platforms as 378 well as who should manage and maintain the bridge SHM system. 379 Due to insufficient knowledge and appreciation of the benefits, it was difficult 380 for the interviewees to come up with clear incentives for using bridge SHM systems as 381 part of their bridge management processes. Most interviewees mentioned that the 382 incentives were the opposite of the barriers if the latter could be properly addressed. A 383 few valid incentives were raised by some interviewees and these are summarised as 384 follows. It should be noted that this is not intended to be an exhaustive list.

385 i. Cost reduction by reducing risks and uncertainties

386 One common question raised by the interviewees was: Can a bridge SHM 387 system enable more targeted and meaningful spending on maintenance and 388 refurbishment? In other words, 'spend the right amount of money in the right 389 *place at the right time*'. For example, it is costly and sometimes physically 390 impossible to replace all bridge bearings, and many bearings have similar 391 appearance from the outside even though some may have deteriorated and 392 could cause detrimental effects to the bridge. One potential use case of 393 bridge SHM data is to provide evidence regarding which bearings should be 394 replaced.

395 ii. Better knowledge of real structural behaviour

- Many interviewees mentioned that it would be good to have better insight
 and engineering understanding of the real structural behaviour, such as load
 path and load sharing behaviour of their bridges.
- 399 iii. Remote management of bridges
- 400 Remote management is particularly useful when the bridge owner has a large
 401 portfolio of bridge assets to manage and maintain and these bridge assets are
 402 often difficult to access, i.e. at remote sites.

403 *3.4.3. Bridge modelling*

404 The most highlighted and frequently mentioned barriers to using bridge modelling for
405 O&M purposes (i.e. the views shared by the majority of interviewees) are summarised
406 as follows:

407 i. **Model type**

408 One key question raised by many interviewees was what type of analysis 409 model should be used, especially if it were to be kept with the bridge. 410 Different use cases require different model fidelities. In addition, it may not
411 be realistic in practice to model everything and capture every damage
412 scenario in a model.

413 ii. End benefits

- 414 Many interviewees raised the fact that FE modelling had rarely been needed 415 so far and it was unclear to them why there is a need to keep an FE model 416 with a bridge and for what purposes. The most common use case for an FE 417 model was when there is an increase in bridge loading and the model was 418 created for bridge assessment purposes.
- 419 iii. **Practical issues**

420 There are three major practical issues raised by the interviewees.

- 421 (a) <u>Cost-benefit</u>: It is costly to model and analyse a large number of bridges
 422 and employ expensive consultants. It is also unclear who should keep the
 423 FE model for tens of years when the bridge remains in good condition
 424 and there appears to be no clearly defined use case.
- (b) *Liability*: There is a liability issue when using analysis models created by
 other people or organisations. In the U.K., if the owner keeps a model, it
 has the obligation to check the model to ensure there is no error. The
 owner then needs to take legal responsibility for this model if anything
 goes wrong. Bridge owners in the U.K. tend to keep the drawings and
 technical approval documents but not the calculations and analysis
 models due to this liability issue.
- 432 (c) *Software package*: FE software packages have evolved over the years. If
 433 an FE model is to be kept with the bridge asset, the issue of adaptation to

new software packages and computer systems needs to be addressed. The
alternative is to build an FE model from scratch every time it is needed.
As for incentives to using FE modelling for bridge O&M, especially on a more
frequent basis and if the model is to be kept with the bridge asset, it was generally very
difficult for the majority of interviewees to come up with clear incentives due to
insufficient knowledge and appreciation of its benefits and the above-mentioned
barriers.

441 3.5. Industry perspectives on bridge model updating

In the current U.K. industry practice, the generation of a more realistic analysis model is
not achieved through solving an 'inverse problem' by back calculating model
parameters and modifying modelling assumptions based on sensor measurements of
structural response. Rather, a direct approach is adopted by gathering as much
information as possible about the physical properties of the bridge, typically through
condition surveys (refer to Section 3.2.2 regarding Level III assessment). An example of
this approach is provided in O'Donnell et al. (2017).

449 During each interview, the general research approach of solving an 'inverse 450 problem' for bridge model updating and the common research goal of performing 451 damage detection through detecting a local reduction in structural stiffness were 452 described to each interviewee. Only eight out of the nineteen interviewees had heard of 453 the research approach before the interview (They are C2, C11, C12, C13, C14, C15, 454 C16, C18 – refer to Table 11). Unlike other parts of the interview where the majority or 455 the most common views are presented, the purpose for this part of the interview was to 456 gather valid comments and questions raised by the expert bridge practitioners, 457 especially those who have extensive experience in bridge modelling and have

458 familiarity with the bridge model updating concept. The gathered industry perspectives459 on bridge model updating are summarised as follows.

460	i.	On bridge model updating research methodologies
461		(a) One commonly raised issue is reliability. Specifically, there seems to be
462		a lack of further verification and validation as well as additional
463		engineering interpretation and evidence if the model updating results
464		were to be fully relied on in practice. Some interviewees (C11, C12,
465		C13, C18) raised the issue that in general, it is easy to justify the
466		measurements by adjusting model parameters but difficult to make
467		predictions as past predictions have often been found to be incorrect or
468		unreliable.
469		(b) In addition, the model updating approach of solving an inverse problem
470		to detect structural damage is currently outside the framework of what
471		most engineers would operate in terms of signing off the capacity of a
472		bridge structure. To some interviewees (C1, C2, C13, C14, C18), it also
473		seems to involve much more work and effort compared with the existing
474		industry approach of demonstrating that a bridge is safe and perform
475		satisfactorily.
476	ii.	On bridge model updating research outputs
477		One of the main goals of bridge model updating in current research is to
478		perform damage detection through detecting a local reduction in structural
479		stiffness.

480 (a) Regarding the performance of damage detection using the model
481 updating approach (i.e. detecting a local stiffness reduction by solving an
482 'inverse problem'), one key feedback raised by some expert bridge

483	professionals (C2, C6, C11, C13 and C14) was that there is a doubt on
484	whether this approach can detect any actual damage of concern in a
485	reliable and adoptable manner. Take corrosion of steel reinforcement
486	bars as an example. This common type of damage mainly affects yield
487	strength of steel rather than stiffness of the section. If this approach is to
488	detect early stages of corrosion (e.g. 5% loss of section), the effect of
489	reinforcement corrosion on reduction in structural stiffness may be
490	negligible and therefore the damage may not be detected. The level of
491	sensitivity of the sensor data to structural damage was also cast in doubt
492	by some interviewees. On the other hand, if this approach is to detect
493	more severe concrete corrosion and section losses, these are likely to be
494	detected first from visual signs (e.g. signs of rust staining on the soffit of
495	the structure) before any detectable change from bridge SHM and model
496	updating occurs, so visual inspection may be a much more cost-effective
497	method in this scenario based on the practitioners' views.
498	(b) In addition, bridge modelling in current practice is largely, if not solely,
499	driven by capacity assessment rather than damage detection. The
500	majority of interviewees are more interested in the actual capacity of
501	their bridge assets and how structural damage affects bridge capacity,
502	rather than damage detection alone.
503	(c) Other areas of interest mentioned by some interviewees include: (i)
504	better understanding of real structural behaviour and the underlying
505	causes of any structural damage or anomalous structural behaviour (C4,
506	C9, C14); (ii) the use of reduced safety factors or load models in bridge

507	assessment (C2, C11, C16) (an example of an industry approach is
508	provided in Enevoldsen, 2001); and (iii) boundary condition (C2, C11).
509	3.6. Key gaps in capability in bridge condition appraisal
510	Overall, based on all interviewees' responses, bridge owners and operators in the U.K.
511	are mostly interested in four areas:
512	i. Is the bridge safe? (i.e. margin of safety)
513	ii. How long will the bridge or bridge component remain safe? (i.e. remaining
514	service life)
515	iii. What is happening with the bridge? (i.e. real structural behaviour and
516	performance)
517	iv. When and how to intervene? (i.e. optimal maintenance routines)
518	Based on all interviewees' responses, five categories of capabilities in bridge condition
519	appraisal were derived, which can be useful to bridge O&M. These are: (i) Damage
520	detection, (ii) Damage criticality evaluation, (iii) Reserve load capacity assessment, (iv)
521	Remaining service life prediction, and (v) 'What-if' scenarios simulation. These are
522	summarised and described in more details in Table 8. It should be noted that while these
523	are some common areas of interest, the specific capabilities required often depend
524	heavily on the individual bridge structures and specific cases.
525	[Table 8 near here]

526 **4. Literature survey**

527 4.1. Overview of academic research on model updating of bridges in operation

528 A total of 96 journal papers were identified using the methodology described in Section

529 2.2. It should be noted that while these may not provide full coverage of all relevant

530	papers, they provide a good representation of existing research studies in this field.
531	Figure 2 shows the number of papers collected by year of publication. It can be seen
532	that as bridge SHM technologies and model updating techniques have developed, more
533	research papers have been published in this field over the years.
534	[Figure 2 near here]
535	Based on the surveyed literature and the issues and questions raised in the
536	industry interviews, six overarching questions for bridge model updating have been
537	identified. These are the decisions that need to be made when implementing bridge
538	model updating in practice.
539	i. How to construct an appropriate model for updating?
540	ii. What model properties should be updated?
541	iii. What monitoring data can be utilised?
542	iv. What model updating technique should be used?
543	v. How to verify and validate the updated model?
544	vi. What information can be extracted from the updated model?
545	The answers to these six questions may depend on the exact end applications, and
546	therefore there may not be a one-size-fits-all strategy for bridge model updating. Details
547	of the surveyed journal papers based on these six questions are provided in the
548	Supplemental Material. The findings of the literature survey are summarised as follows
549	under these six questions.
550	4.2. Bridge model updating methodologies

551 4.2.1. How to construct an appropriate model for updating?

552 A bridge design FE model is established under ideal and simplified conditions, e.g. rigid

553 joints, homogeneous material, perfect alignment. The idealised model may serve as a

554 baseline for engineering design. However, it has been found to be challenging to 555 generate an appropriate bridge model for the purposes of performing model updating 556 and supporting bridge O&M. On the one hand, the model needs to be sophisticated 557 enough to describe the structural behaviour or diagnose structural damage. On the other 558 hand, the model also needs to be sufficiently simple so that the model updating inverse problem is well-posed. To develop an appropriate model is a multiplex decision to make 559 560 and depends on many factors such as the monitoring data collected and the exact end 561 applications.

562 Overall, it has been found that this question is not often explicitly addressed in 563 the surveyed literature. Some early research on bridge model updating using 564 measurements of dynamic properties found that for the updated model parameters to be 565 physically meaningful, the fidelity of the initial model should be sufficiently high 566 (Brownjohn & Xia, 2000; Xu & Xia, 2012). Different types of model with different 567 model fidelities have been attempted in existing research, for example: 568 • 2D vs 3D (most research uses a 3D model; examples of using a 2D model: Bentz 569 & Hoult, 2017; Okasha, Frangopol, & Orcesi, 2012) 570 • linear vs nonlinear (most research uses a linear model; examples of using a 571 nonlinear model: Ding, Hao, Xia, & Deeks, 2012; Okasha et al., 2012) 572 multi-scale or hybrid model (e.g. Zhu, Xu, & Xiao, 2015) 573 surrogate model (e.g. Xiao, Xu, & Zhu, 2015) • 574 Meanwhile, there has been an increasing amount of research on the selection of model 575 class (Kontoroupi & Smyth, 2017; Yuen, Kuok, & Dong, 2019), although this has not 576 often been applied in the surveyed bridge model updating studies.

577 4.2.2. What model properties should be updated?

578 The discrepancy between model predictions and sensor measurements for a bridge may

579 be the result of a combination of different sources of uncertainty. These are discussed

and summarised in a number of papers (Goulet, Kripakaran, & Smith, 2010;

581 Mottershead, Link, & Friswell, 2011; Simoen, De Roeck, & Lombaert, 2015). Table 9

582 provides a summary of these uncertainties.

583 [Table 9 near here]

584 Based on the surveyed literature, it is common practice to minimise model 585 structure uncertainties first (specifically, select the appropriate model type or model 586 class: e.g. which structural components or details to be included, boundary condition, 587 element type, mesh size) to prepare the initial model for bridge model updating. Data 588 uncertainties also need to be addressed (e.g. data cleansing, data synchronisation, data 589 de-trending) before model updating. Currently, these are achieved primarily by manual 590 examination of design and modelling assumptions, initial data interpretation and 591 engineering judgement (e.g. Bentz & Hoult, 2017; Ding & Li, 2008; Goulet et al., 592 2010).

Existing model updating techniques mainly deal with uncertain model parameters. In terms of selecting which parameters to update, a large number of papers adopted the general principle given in Brownjohn, Xia, Hao and Xia (2001) which states that the selected parameters should satisfy two conditions: (i) their values must be uncertain; and (ii) changes of the monitored output response should be sufficiently sensitive to changes in these parameters. In many cases, a parametric study (i.e. sensitivity analysis) is performed to assist in the selection of updating parameters. 601 'What should be measured and why?' is a fundamental question raised by many bridge 602 practitioners for bridge SHM. The answer to this question depends on how the SHM 603 data would be interpreted to extract useful information once it is collected. 604 Overall, there are two types of measured bridge response or properties which are 605 most commonly used in the surveyed bridge model updating studies. One is to use 606 identified modal properties (e.g. modal frequency, mode shape) from the dynamic 607 response, typically obtained using accelerometer data, under ambient or forced vibration 608 tests (e.g. Brownjohn & Xia, 2000; Xu & Xia, 2012). Real time operational data may be 609 used under ambient vibration tests with minimal traffic disruption. However, as modal 610 properties represent the global condition of a structure, they have generally been found 611 to be relatively insensitive to localised structural change or damage (Xu & Xia, 2012). 612 The other is to use strain or displacement data under controlled load tests (e.g. Okasha 613 et al., 2012; Xiao et al., 2015) where the loading can be measured with relatively high 614 accuracy. However, controlled load tests would either require bridge closure and thus 615 cause traffic disruption or need to be performed prior to bridge opening. In addition, a 616 few studies use geometry-based model updating for masonry arch bridges. This uses 617 geometry measurement (e.g. laser scanning for arch geometry) to evaluate permanent 618 deformation and thus to inform the underlying deformation mechanism and detect 619 structural damage (e.g. Conde, Eguía, Stavroulakis, & Granada, 2018). 620 There are two additional challenges when interpreting bridge SHM data: (i) data 621 quality, and in particular, whether the sensor data is sufficiently sensitive to detect any 622 structural change or damage of interest; and (ii) it may be difficult to distinguish

623 between the effects due to changes of environmental or operational conditions and the

effects due to physical changes of the bridge (Farrar & Worden, 2012; Ni, Wang, Chen,
& Ko, 2007; Vagnoli et al., 2018).

Figure 3 shows the number of collected papers based on the monitoring data utilised. It can be seen that the majority of the existing research is based on modal properties under vibration tests, although recently there have been more attempts of using strain or displacement response under load tests.

630 [Figure 3 near here]

631 *4.2.4.* What model updating technique should be used?

Based on the surveyed literature, the model updating techniques can be categorised into
four main groups: manual tuning, residual minimisation, Bayesian model updating, and
error-domain model falsification. A brief description is provided for each group as
follows:

i. Manual tuning: This type of approach involves selecting and updating
model parameters based on engineering knowledge, judgement and
experience as well as in-field monitoring data. Other sources of information
may also be used, such as visual examination and material testing. Iterative
trial-and-error processes may often be involved to refine the model. Example
applications include Bentz and Hoult (2017) and Daniell and Macdonald
(2007).

ii. Residual minimisation: This type of approach involves framing the model
updating problem as a multi-variate deterministic optimisation problem to
optimise the model parameters. Constrained optimisation is often used to
ensure the updated model does not lose physical meaning. The objective
function is some measure of discrepancy between model predictions and
sensor measurements of structural response. In the case where more than one

649		type of structural response data is used, a weighted sum of the discrepancies
650		for these structural responses is commonly used. Detailed work flow and
651		example applications can be found in Brownjohn et al. (2001) and
652		Živanović, Pavic and Reynolds (2007).
653	iii.	Bayesian model updating: The updating procedure of the Bayesian
654		approach is developed based on Bayes' theorem: posterior probability
655		density function (pdf) = prior pdf \times likelihood function / (integral of prior
656		$pdf \times likelihood$ function over the entire parameter space). In the context of
657		model updating: $p(model \ parameter \ \ data) = p(model \ parameter) \times p(data)$
658		/ model parameter) $/ p(data)$. The prior probability density function shows
659		the prior information of the uncertain model parameters without using the
660		SHM data, and the likelihood function reflects the information extracted
661		from the SHM data. The Bayesian approach provides not only the optimal
662		estimates but also the quantification of estimation uncertainty in the form of
663		probability distribution. The theoretical framework and an example
664		application can be found in Beck and Katafygiotis (1998) and Jang and
665		Smyth (2017), respectively.
666	iv.	Error-domain model falsification : This type of approach involves first

iv. Error-domain model falsification: This type of approach involves first
generating a pool of candidate models with all possible input parameter
values and then falsifying the models from this pool by performing a
threshold check on the discrepancy between model predictions and sensor
measurements. The threshold value is set based on the sum of the effects
from multiple sources of modelling errors and data errors. The objective is to
narrow down the number of candidate models as new monitoring data

673	becomes available. Detailed work flow and example applications can be
674	found in Goulet et al. (2010) and Goulet & Smith (2013).
675	More recently, there has also been research involving the use of machine learning based
676	techniques (e.g. Gaussian processes, neural networks) in bridge model updating (e.g.
677	Gokce, Catbas, Gul, & Frangopol, 2013; Hasançebi & Dumlupınar, 2013; Soyoz &
678	Feng, 2009; Yin & Zhu, 2019) to identify model parameter values by incorporating a
679	data-driven approach. The data-driven approach is used to characterise the relationship
680	between output model response or properties of interest and relevant input model
681	parameters.
682	Figure 4 shows the number of collected papers based on the main model
683	updating technique used. Some research used a combination of more than one
684	technique, in which case the main technique used is chosen for categorisation purpose.
685	Manual tuning is sometimes applied as a prior step to automated model updating in
686	order to generate an appropriate initial model for further updating. A typical example is
687	the identification of appropriate boundary fixities (e.g. Bentz & Hoult, 2017; Okasha et
688	al., 2012; Robert-Nicoud, Raphael, Burdet, & Smith, 2005). Overall, it can be seen that
689	the majority of existing research is on automated model updating techniques. Of the
690	three automated techniques, residual minimisation is most commonly adopted, and
691	recently there has been more applications of other automated techniques for bridge
692	model updating.
693	[Figure 4 near here]

694 *4.2.5. How to verify and validate the updated model?*

Based on the surveyed literature, there are two main methods for verifying andvalidating the updated bridge model. One is to use other measurement data (e.g.

697 structural response at other locations, other types of structural response, material

698 properties from material testing) to test whether there is a close match between the 699 predictions of the updated model and these other measurements. The other is mainly 700 based on engineering interpretation and judgement (i.e. physical explanation) to 701 evaluate whether the associated structural changes based on the bridge model updating 702 make engineering sense. Other methods mentioned in the surveyed literature include 703 checking convergence by updating perturbed models (James M. W. Brownjohn et al., 704 2001) and comparison with results from other model updating techniques (Weng, Xia, 705 Xu, & Zhu, 2011).

706 One question which has not been properly addressed yet is whether the updated 707 model, if it were to be used for making predictions, is valid for other loading scenarios 708 and ambient conditions of interest. For example, a model updated using monitoring data 709 under small load cases (e.g. normal traffic loading, normal weather conditions) is not 710 necessarily valid for extreme load cases (e.g. severe wind loading, earthquake loading). 711 Figure 5 shows the percentage of each model verification and validation method 712 adopted in the surveyed literature. It can be seen that the majority of these studies have 713 not specifically mentioned model verification and validation. Around a third of the 714 papers used other measurement data, and engineering interpretation and judgement is 715 not very often used.

716 [Figure 5 near here]

717

4.3. Bridge model updating outputs

Based on the surveyed literature and industry interviews, five potential
capabilities, which are related to bridge monitoring and model updating and can be
useful to bridge O&M (particularly bridge condition appraisal), have been identified.
These are: (i) Damage detection; (ii) Damage criticality evaluation; (iii) Load capacity
assessment; (iv) Remaining service life prediction; and (v) 'What-if' scenarios

723 simulation. The surveyed papers were examined to identify the information extracted 724 from bridge model updating based on these five categories. Figure 6 shows the 725 percentage of each category of information extracted from the surveyed bridge model 726 updating exercises. Some research had more than one type of output information, in 727 which case the main type is chosen for categorisation purpose. It can be seen that of the 728 papers which specified the outputs of model updating for bridge O&M, the two most 729 common ones are damage detection and load capacity assessment. Some research 730 coupled model updating with other analyses such as reliability analysis (e.g. Gokce et 731 al., 2013; Okasha et al., 2012) and fragility functions (e.g. Li, Spencer, & Elnashai, 732 2013). The surveyed papers on remaining service life prediction and damage criticality 733 evaluation are based on fatigue analysis of critical bridge elements (e.g. Lee & Cho, 734 2016; Pasquier, Goulet, Acevedo, & Smith, 2014). 735 As for damage detection, the majority of the surveyed model updating studies on 736 this topic rely on the assumption that localised damage results in a local reduction in 737 stiffness, which can then be detected from sufficient change of structural behaviour. 738 Some research did not specify the exact type of damage that can be detected. Others 739 investigated specific types of bridge damage, which include: 740 Boundary condition: e.g. pier settlement (Teughels & De Roeck, 2004), support 741 stiffness reduction due to scour (Garcia-Palencia, Santini-Bell, Sipple, & 742 Sanayei, 2015) 743 Significant section loss: e.g. introduced torch cuts to girders (Perera & Ruiz, 744 2008), steel corrosion of steel truss bridges (Jang, Li, & Spencer, 2013) 745 Cable damage (e.g. cable slack) of cable-stayed bridges (Degrauwe, De Roeck, 746 & Lombaert, 2009) Crack pattern of masonry arch bridges (Conde et al., 2018) 747

748	The majority of the surveyed studies, especially early ones, used modal frequencies and
749	mode shapes to perform model updating and damage detection, which were generally
750	not sensitive to local damage. Recently, there have been attempts of using potentially
751	more damage sensitive features or measurements such as damping (Mustafa,
752	Matsumoto, & Yamaguchi, 2018), mid-span displacement and strain (Jesus et al., 2019).
753	As for capacity assessment, the surveyed studies investigated a number of
754	assumptions typically made in bridge assessment, which include:
755	• Boundary condition (Bentz & Hoult, 2017; Brownjohn, Moyo, Omenzetter, &
756	Lu, 2003; Gokce et al., 2013; Goulet et al., 2010)
757	• Contribution of secondary elements: e.g. guardrails and safety curbs (Brownjohn
758	et al., 2003; Goulet et al., 2010; Sanayei, Phelps, Sipple, Bell, & Brenner, 2012),
759	fill materials of masonry arch bridges (Conde, Ramos, Oliveira, Riveiro, &
760	Solla, 2017)
761	• Material stiffness (Bentz & Hoult, 2017; Conde et al., 2017; Goulet et al., 2010)
762	• Geometry of masonry arch bridges (Conde et al., 2017)
763	In addition, load testing is sometimes used to facilitate bridge assessment (Bentz &
764	Hoult, 2017; Sanayei et al., 2012; Zhou et al., 2012).
765	[Figure 6 near here]

766 **5. Key findings and recommendations**

767 5.1. Disconnects between research and practice

768 Based on the results of both industry interviews and literature survey, the disconnects

769 between research and practice were identified and examined under two categories: (i)

770 Disconnects between research outputs from bridge model updating studies and industry

needs in bridge O&M; and (ii) Disconnects between research methodologies of bridge
model updating and the industry's approach to bridge condition appraisal.

The disconnects between research outputs and industry needs are summarised asfollows.

775 i. **On damage detection**

One of the key end objectives in bridge model updating research is to
perform damage detection and assessment through detection of local
reduction in structural stiffness. By examining the research outputs or results
(refer to Section 4.3) based on the expert practitioners' views in this area, a
number of key issues have been identified.

781 (a) Existing bridge model updating research for damage detection often 782 assumes that localised damage results in a local reduction in stiffness, 783 which can then be detected from sufficient change of structural 784 behaviour. This may not be the case for many types of bridge damage 785 such as corrosion of reinforcement bars inside concrete, which mainly 786 affects yield strength of steel rather than elastic stiffness of the section 787 under normal operating conditions (refer to Section 3.5 for more details). 788 (b) Based on the research outputs or results from the surveyed research 789 studies (refer to Section 4.3), most bridge model updating research 790 methodologies may not be able to specify the exact types of bridge 791 damage that can be detected and whether there are certain types of bridge 792 damage that may not be detected. In particular, there is little research on 793 addressing those specific damage concerns that keep bridge practitioners 794 awake at night (refer to Section 3.1 for more details). Therefore, it is 795 difficult to evaluate the relative performance of damage detection by the

796 model updating approach in research compared with that by the current 797 visual inspection approach in industry. Key performance evaluation 798 criteria of damage detection, summarised based on the expert 799 practitioners' views (refer to Section 3.6), include: detection accuracy 800 and reliability, capability of early detection, capability of detecting 801 hidden defects cost-benefit analysis.

802 (c) In addition, based on the industry interviews, many bridge practitioners 803 are more interested in the underlying cause of any identified damage and 804 the criticality of each damage to structural integrity (i.e. how structural 805 damage affects structural capacity) (refer to Section 3.6 for more details). 806 These issues have not been adequately addressed in existing bridge 807 model updating research for damage detection, which are of greater 808 interest to many bridge practitioners than damage detection alone as they 809 are critical for optimising maintenance actions.

810 ii.

On capacity assessment

811 Based on the industry interviews, bridge practitioners are mainly interested 812 in the margin of safety and structural integrity of their bridge assets, which 813 are directly related to the actual bridge loading and load capacity. Moreover, 814 structural modelling of bridges in the current framework of the U.K. industry 815 is driven by capacity assessment (refer to Sections 3.2.2 and 3.3.2). A 816 limited amount of research in bridge model updating has so far been focused 817 on improving capacity assessment. Since bridge FE modelling for O&M 818 purposes is costly and involves a great amount of effort in practice, it may be 819 difficult to establish the value case for implementing bridge model updating 820 purely for the purpose of damage detection. Key areas of interest for

capacity assessment, based on the expert practitioners' feedback (refer to
Sections 3.5 and 3.6), include: (i) how structural damage affects load
capacity; (ii) real structural behaviour such as load path and load sharing
behaviour; (iii) boundary condition; and (iv) the use of reduced safety factors
or load models.

826 Regarding the disconnects between research methodologies (refer to Section 827 4.2) and industry practice (refer to Sections 3.2 and 3.3), there are many practical issues 828 involved when implementing the research methodologies of bridge model updating in 829 practice. These are described in more details in Section 3.4. In summary, these include: 830 liability issue of keeping FE models, adaptability to future upgrade of software 831 packages and computer system, reliability and future proofing of the installed SHM 832 system, FE model and SHM system ownership, cost-benefit analysis. In addition, FE 833 modelling is rarely used in current bridge O&M practice and it is predominantly used in 834 a reactive and one-off manner to address specific and known issues, while the academic 835 vision of bridge 'digital twinning', which updates the model in near real time as new 836 monitoring data becomes available, requires more frequent and proactive use of the 837 analysis model in order to realise its value.

838 5.2. Recommendations for future research and deployment

839 In light of the identified disconnects between research and practice as well as key gaps

840 in capability in bridge condition appraisal, recommended 'Research Questions' (RQs)

- 841 are posed where additional research is needed in order to enable industry
- 842 implementation of bridge model updating. These RQs are grouped under four
- 843 categories: (i) confidence and interpretability, (ii) use case and usefulness, (iii)
- 844 efficiency, and (iv) practicality. (i) and (ii) mainly address the disconnects between

845 research outputs and industry needs, while (iii) and (iv) mainly address the disconnects 846 between research methodologies and industry practice.

- 847 i. **On confidence and interpretability**
- 848 **RQ1**: *How can bridge model updating results be validated and presented in* 849 a way more intuitive and interpretable to bridge engineers (e.g. by 850 improving the engineering knowledge and understanding of real structural 851 behaviour)?
- 852 Ultimately, better models give better predictions. The key difficulty lies in 853 evaluating how much better the updated model is, and more specifically, the 854 level of confidence in the updated model and its predictions. In order for 855 bridge engineers to understand and appreciate the model updating results, 856 more structural engineering interpretation (in particular, the underlying 857 structural behaviour and the reasons behind change of structural behaviour) 858 are needed in the model verification and validation processes. The issue of 859 whether the updated model remains valid in a loading scenario different to 860 the one used for the model updating process also needs to be addressed. In 861 addition, machine learning based techniques may assist engineers with 862 interpreting large and heterogeneous monitoring datasets by identifying 863 patterns and correlations within these datasets.
- 864 ii.

On use case and usefulness

865 **RQ2**: *How can bridge model updating be used to improve damage detection* 866 by addressing specific damage concerns which keep bridge practitioners 867 awake at night (e.g. corrosion, fatigue, bearing and joint seizure, scour)?

868		RQ3 : How can bridge model updating be used to improve capacity
869		assessment by enabling less conservative assumptions in bridge assessment
870		and/or addressing how structural damage affects load capacity?
871		Based on the literature survey, it is not often clear what specific types of
872		structural damage can be detected using the model updating approach and to
873		what degree of sensitivity and reliability. The majority of bridge model
874		updating research for damage detection has not been focused on addressing
875		specific damage concerns of the bridge practitioners. More case studies on
876		detection of specific bridge damage would be helpful in providing real
877		evidence and thus improving confidence in the model updating approach.
878		Future research should focus more on addressing specific structural issues
879		and concerns (e.g. those identified in Section 3.1) as well as gaps in
880		capability in bridge condition appraisal (refer to Section 3.6). Further
881		investigation on relating detected structural damage to its impact on bridge
882		capacity would also help establish the value case for implementing bridge
883		model updating.
884	iii.	On efficiency
885		RQ4 : How can bridge model updating be automated without losing
886		engineering insight?
887		Many bridge practitioners consider bridge modelling and model updating
888		exercises as involving too much cost and effort and thus would rather not
889		include it as part of their standard bridge management routine. Advanced
890		computational tools, such as machine learning based techniques, may be

891 used to improve automation and thus efficiency of data processing. The key

892 challenge lies in achieving automation without losing engineering

knowledge and interpretation, which needs to be addressed in futureresearch.

895	iv.	On practicality
896		RQ5 : How to address the identified practical issues (refer to Section 3.4 for
897		more details) and thus establish the value case of implementing bridge
898		model updating in industry practice?
899		RQ6 : How to incorporate the academic research outcome of bridge model
900		updating into practice to assist bridge engineers for more efficient and
901		informed decision making in bridge O&M?
902		There are many practical issues related to implementing bridge model
903		updating for O&M purposes. These are identified and explained in Section
904		3.4 and need to be addressed in future research and deployment. In addition,
905		recent technological developments such as the Internet of Things (IoT),
906		cloud data storage and cloud-based analysis platforms may improve the
907		integration of different sources of information to enable bridge engineers to
908		make more informed decisions in an efficient manner. It may be realistic to
909		ensure that, at least as the first step, the adoption of bridge model updating
910		and 'digital twinning' is compatible with the existing bridge O&M practice
911		by mapping out where it can assist and contribute to the current practice and
912		address current issues and concerns.

913 **6.** Conclusions

914 With a growing need for better maintenance of bridges and growing research interests in 915 developing bridge digital twins as digital representation of these bridges, it is important 916 to examine existing research on bridge model updating and investigate how it can be 917 implemented in practice to deliver value to industry. This paper identifies and examines the disconnects between academic research on bridge model updating and industry
practice of bridge condition appraisal in bridge O&M. It consists of an extensive
literature survey of bridge model updating research studies and a series of industry
interviews with expert bridge professionals to enable targeted and in-depth analysis of
implementing bridge monitoring, modelling and model updating for better maintenance
of bridges.

In summary, the literature survey and industry interviews have revealed two
overarching disconnects between research and practice in this field. These disconnects
include:

i. 927 Disconnects between research outputs from bridge model updating and 928 industry needs in bridge O&M: The assumption that localised damage 929 results in local reduction in stiffness is subject to question, as many common 930 types of bridge damage may not induce noticeable change in structural 931 stiffness that existing model updating techniques would identify. In addition, 932 compared with damage detection, many bridge practitioners are more 933 interested in bridge capacity assessment as well as real structural behaviour. 934 ii. Disconnects between research methodologies of bridge model updating and 935 the industry's approach to bridge condition appraisal: Bridge model updating 936 is outside the current framework in which bridge practitioners operate. 937 Structural modelling for bridge O&M in practice is driven by capacity 938 assessment, and it is mostly a one-off exercise rather than a routine practice. 939 There are also many practical issues, including cost, liability of keeping FE 940 models and adaptability to future system upgrade. 941 Research questions are posed in this study for future research to address the

following issues: (i) validation and interpretability of bridge model updating results, (ii)

943	use cases for addressing specific damage concerns, (iii) relating structural damage to
944	structural capacity, (iv) automation without losing engineering insight, (v) practical
945	issues with implementing bridge model updating, and (vi) incorporation of bridge
946	model updating into bridge O&M decision making process. It is recommended that
947	these issues need to be addressed in order to foster future implementation of bridge
948	model updating and 'digital twinning' and thus realise its potential value to industry.
949	
950 951 952 953 954	Acknowledgement: The authors would like to thank the 19 bridge professionals for participating in the industry facing interviews. The first author would like to thank the EPSRC Centre for Doctoral Training in Future Infrastructure and Built Environment (EPSRC grant reference number EP/L016095/1) for providing travel fund for the interviews.
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- Table 1. Top grand challenges of bridge engineering and management identified by
- AASHTO and BOF.

	Identified by AASHTO	Identified by BOF
1	Extend bridge service life	Prevent bridge failures
2	Assess bridge condition	Extend the life of existing structures

	Interview	Role	Sector	Scope
C1	1	Highway sector lead	Highways	National
C2	2	Senior bridge engineer	Highways & Rail	Regional
C3	3	Principal structures advisor	Highways	National
C4	4	Principal engineer	Rail	National
C5	5	Head of bridge engineering	Highways & Rail	National
C6	6	Head of profession – bridges & structures	Highways	Local authority
C7	6	Project manager	Highways	Local authority
C8	7	Major bridge manager	Highways	Regional
C9	8	Independent consultant	Highways & Rail	Regional
C10	9	Independent consultant	Highways & Rail	Regional
C11	10	Professor (independent consultant)	Highways & Rail	National
C12	11	Professor (independent consultant)	Highways & Rail	National
C13	12	Head of profession	Highways & Rail	National
C14	13	Head of structures policy	Highways	Regional
C15	14	Instrumentation and monitoring lead	Highways	National
C16	15	Head of profession – structures	Highways	Regional
C17	16	Bridge master	Highways	Local authority
C18	17	Major bridges manager	Highways	Regional
C19	17	Technical director	Highways	Regional

1210 Table 2. Details of the interviewees.

- 1214 Table 3. Most critical bridge components and structural issues identified in the U.K.
- 1215 bridge O&M activities.

	Description	Examples and comments
1	Material degradation,	(a) Corrosion of reinforcement bars or prestressing tendons embedded
	such as corrosion and	within concrete
	fatigue	• Currently very difficult to detect and quantify satisfactorily
		• No satisfactory remedial measures to treat concrete bridge
		corrosion in current practice
		(b) <u>Determination of yield strength of reinforcement bars in concrete</u>
		<u>bridges</u>
		• Directly related to structural integrity
		• Existing non-destructive testing (NDT) techniques are limited in
		their accuracy and reliability in estimating this property
		(c) <u>Fatigue prone steel structures</u>
		• The internal condition of steel box type structures is difficult to
		inspect
		• The length of weld to inspect on large bridges is significant
2	Joints and bearings	Joints and bearings are directly influenced by water management. It has
	-	been found that they often fail unexpectedly and well before the specifie
		design service life in practice.
		(a) <u>Half-joints (for some bridges)</u>
		Critical to structural integrity
		Difficult to access and inspect
		(b) <u>Expansion joints</u>
		• Often fail unexpectedly
		• Its failure can induce build-up of local stresses and bending
		moments
		(c) <u>Bearing seizure</u>
		• Reduce the capacity for accommodating temperature and traffic
		load variations, and thus accelerate the failure of bearing
		components
		• Could lead to structural failure if the adjacent components are
		not originally designed for the induced local stresses and
		bending moments
3	Bridge scour	Scour is one of the most common causes of bridge failure worldwide
		(Wardhana & Hadipriono, 2003).
		Currently difficult and sometimes dangerous to inspect for scou
		• There is often limited forewarning of impending failure and the
		consequence can be catastrophic (e.g. bridge collapse)
4	Other key issues	(a) <u>Concrete spalling</u>
		• Pose safety threats to people and live traffic underneath the
		bridge
		(b) <u>Bridge strike</u>
		Pose immediate concern to structural integrity
		• Difficult to assess quickly and satisfactorily the structural
		condition after a bridge strike

	Method	Description and comments
1	Bridge inspection	Ongoing damage and deterioration of bridges are predominantly notified through standard inspection regimes and very rarely from monitoring systems. Routine visual inspection records should observe bridge defects typically in terms of type, location, extent, severity, and possibly cause (based on engineering judgement and investigation) (Highways England, 2017). These current practices are not automated and do not provide notification of damage in real time. In addition, they rely on bridge inspectors to be competent and consistent in carrying out their inspections. Visual inspections have been found to be subjective and inconsistent (Highways England, 2011; Lea & Middleton, 2002), and hidden defects are particularly difficult to inspect.
2	Public reporting and other reporting	Another main source of bridge condition information comes from the general public, police or managing agents. For example, every single bridge of Network Rail in the U.K. has a telephone number for the public to call and report any observed damage or incidents (e.g. pieces of loose concrete, concrete falling off from the bridge, bridge strike).

1219	Table 4. Two main ways for bridge damage detection in the U.K. practice.

 Level
 Description

 I
 Simple structural analysis methods, with conservative assumptions for material properties (i.e. using code values)

 II
 Refined structural analysis methods, such as non-linear or plastic analysis methods

 III
 Less conservative assumptions for material properties and bridge loading are used, based on measurements (e.g. material properties from testing samples, live traffic loading data)

1223	Table 5. Three levels of bridge assessment in the U.K. practice.

1227 Table 6. Examples of less conservative assumption used for Level III bridge assessment

1228 in the U.K.

Assumption	Examples and comments
Material properties	• These are obtained from material testing: e.g. compressive testing of concrete cores.
Section geometry	• This is obtained from measurement of dimensions: e.g. web thickness by electronic thickness gauge, arch barrel thickness by drawing cores and taking measurements, concrete cover by covermeters.
	• One key issue raised by many interviewees is the quantification of concrete corrosion, in particular, corrosion of reinforcement bars or prestressing tendons inside concrete. This is an important piece of information in bridge assessment and is difficult to obtain. The current practice for determining the remaining amount of reinforcement bars or prestressing tendons is by exposing them, performing visual inspection and where possible, measuring loss of section on specific sample areas.
Boundary conditions	• This is determined by visual examination of bearing and joint conditions. However, it is very difficult to quantify the stiffness and restraining effec of these components on the structural performance of the bridge.
Bridge loading	 Bridge-specific assessment live loading models (BSALL) are derived from load measurement data: e.g. weigh-in-motion (WIM) data. Load testing may also be performed for some bridges.

1229

- 1231 Table 7. Examples of most common and useful type of bridge monitoring installation in
- 1232 the U.K. practice.

	Area of interest	Examples and comments
l	Wind and flooding	 (a) Wind speed and direction are monitored using anemometers. Wind speed is used as a parameter for a threshold check, particularly for long span bridges. Certain actions (e.g. bridge closure, traffic restriction, special investigation) are triggered when the wind speed is above certain threshold value. However, threshold values are usually set based on historical experience and maintenance manual rather than scientific reasoning. (b) Flood level is monitored and used as another parameter for a threshold check where certain actions are triggered (e.g. bridge closure, scour assessment, assessment of impact of debris or water pressure uplift) when the flood level is above certain threshold value
2	Bearing and joint movement	The main area of concern is to check whether the bearings or joints (e.g. expansion joints, saddles and anchorages of a suspension bridge) have their full range of movement as intended to accommodate the effects of variations in temperature and live load. Restricted movement indicates lock-up or seizure, which could have detrimental effects on the bridge structure. Temperature is often also monitored and correlated with bearing and joint movement data, which can then be used to investigate bearing and joint fixity (Webb et al., 2014).
3	Dynamic response	Monitoring of bridge dynamic response, such as global vibrations and bridge cable vibrations, has been used in some cases as a means of checking whether sufficient damping is in place to reduce fatigue problems and ensure serviceability, and thus inform whether extra damping is needed.
4	Wire breaks	Acoustic emission (AE) sensors have been used in certain limited cases to detect number of wire breaks in prestressing tendons or suspension bridge tendons. The data is used to perform a threshold check for maximum permissible number of wire breaks to maintain structural integrity and sustain traffic loading. The key challenge is to understand the pre-existing condition (i.e. number of wires left) before the monitoring system is installed.
5	Bridge loading	Weigh-in-motion sensors are used in some cases to monitor traffic loading for a threshold check (i.e. detecting over-weight vehicles) and for bridge assessment purposes (e.g. generation of a realistic live load model).
6	Others	Other useful monitoring activities mentioned by some interviewees include: tell-tales for monitoring crack width, extensometers for monitoring foundation movement, CCTV cameras for traffic monitoring, strain gauges at fatigue critical locations for assessing fatigue risks, and corrosion sensors for measuring corrosion status.

Capability type	Comments and examples		
Damage detection	Some interviewees were not particularly interested in developing new capabilities for damage detection itself but are more interested in how damage affects capacity. Others were interested in targeted damage detection where there are specific issues with inspection. Specifically:		
	 Early warning and detection of damage, particularly hidden defects Real time detection of critical damage, particularly when the bridges are a remote sites and the bridge owner/operator has a large portfolio of bridge assets to manage 		
	In terms of specific damage types, these are summarised in Section 3.1.		
Damage criticality evaluation	Evaluation of damage criticality is of great interest to many interviewees as it directly informs which damage should be intervened first from a large list of damage recorded. Examples include:		
	• Which bearings should be replaced first when they may have similar appearance from the outside?		
	• Which cracks should be refurbished first when there are numerous cracks on a bridge?		
	• Which bridge components or details are most critical from a fatigue sensitivity point of view?		
	• How to measure concrete durability of a bridge in a non-destructive manner?		
	It has been found that it is often a key challenge for many bridge practitioners to identify the critical parts and the critical damage of their bridge structures.		
Reserve load capacity estimation	The primary concern for all interviewees is structural safety, which depends directly on both bridge loading and load capacity. Load testing is sometimes used in practice to evaluate load capacity.		
	• Some interviewees raised the issue that a stronger link between condition (e.g. damage and deterioration) and capacity needs to be established, as currently it is difficult to understand exactly how condition affects capacity.		
Remaining service life prediction	Remaining service life is another key area of interest as it is particularly useful for optimising maintenance and refurbishment routines (specifically, ' <i>when does a bridge component reach a state when intervention is needed and what sort of intervention is needed?</i> '). For example, many interviewees raised the issue that some bridge components, especially bearings and joints, tend to fail well before their specified design life and often in an unexpected manner.		
	Commonly raised examples include:Propagation of cracks over time		
	 Durability model for concrete 		
	• Durability model for sliding materials such as bearings		
'What-if' scenarios simulation	Some useful 'what-if' scenarios identified by the interviewees include:Change of loading: e.g. additional traffic loading		
omunutuu	 Extreme events: e.g. extreme winds, successive extreme heat 		
	• Hypothetical damage scenarios: e.g. bearing seizure, bridge strike, concrete corrosion		

1235 Table 8. Key gaps in capability in bridge condition appraisal in the U.K.

1238 Table 9. Key model and data uncertainties.

Model uncertainties	Data uncertainties	
Model parameter uncertainties	Model structure uncertainties	
 Material properties Section geometry Boundary and continuity conditions Operational and environmental loading 	 Modelling assumptions and simplifications Discretisation and approximations 	 Random measurement noise Systematic error due to faulty sensors, improper sensor installation or data transmission Systematic error in data pre-processing Validity of indirect measurement

Industry interviews

Industry practice <

Current practice for bridge damage detection and structural assessment

• Current practice for bridge monitoring and modelling

Compare

Compare

Industry needs <

• Key structural components and issues that keep bridge practitioners awake at night

• Key gaps in capability in bridge condition appraisal

Industry views on bridge monitoring, modelling and model updating

Barriers and incentives to using bridge monitoring and modelling in practice

• Industry perspectives on bridge model updating

Literature survey

Research methodologies

• Existing research methodologies for bridge model updating: model type, model properties to update, monitoring data utilised, model updating techniques, verification and validation

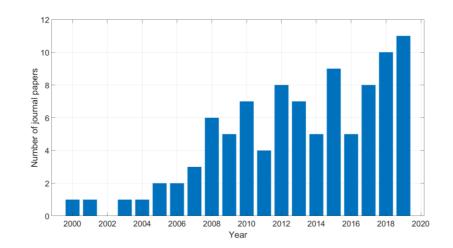
Research outputs or results

• Information extracted from the updated model to improve bridge O&M

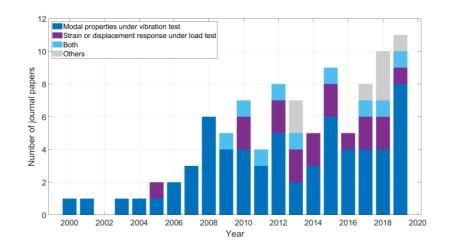
Disconnects between research and practice

What additional research is needed in order to enable industry implementation?

- 1242
- 1243 Figure 1. Overall workflow of this study.
- 1244

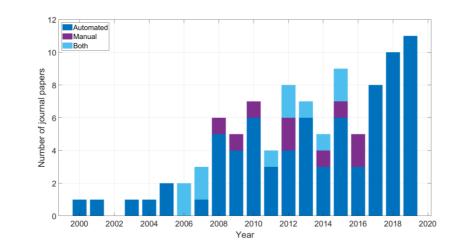


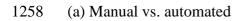
1247 Figure 2. Number of collected journal papers per year on bridge model updating studies.

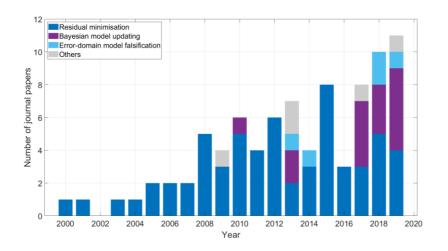


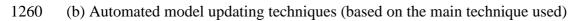
1252 Figure 3. Number of collected papers per year based on the type of monitoring data

- 1253 utilised.

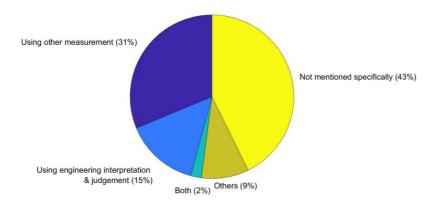






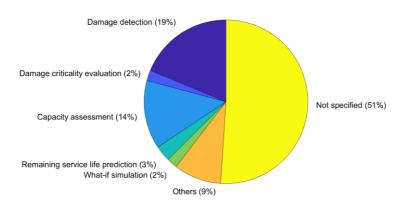


1261 Figure 4. Number of collected papers per year based on the model updating technique.





- 1266 Figure 5. Percentage of each model verification and validation method adopted in the
- 1267 collected papers.
- 1268
- 1269
- 1270





- 1272 Figure 6. Percentage of each category of intended or actual bridge O&M related output
- 1273 from bridge model updating exercise in the collected papers.
- 1274
- 1275