

*Was the extended rainy winter of  
2018/2019 over the Middle and Lower  
reaches of the Yangtze River driven by  
anthropogenic forcing?*

Article

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## Anthropogenic influence on the extended wet and overcast winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China

--Manuscript Draft--

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<b>Author Comments:</b>	

1           **Was the extended rainy winter 2018/2019 over the Middle and Lower**  
2           **reaches of the Yangtze River driven by anthropogenic forcing?**

3

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27

28 **Abstract**

29 Anthropogenic forcing has reduced the probability of rainfall amount in the extended  
30 rainy winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China by  
31 ~19%, but exerted no influence on the excessive rainy days, based on HadGEM3-GA6-N216  
32 ensembles. Instead the natural variability played a large and important role in this event.

33

## 34 **Introduction**

35        During December 2018 to February 2019, the Middle and Lower reaches of the Yangtze  
36 River Valley (MLYRV) experienced an unprecedentedly extended rainy extreme weather  
37 event. This extreme event had more than 50 rainy days over the MLYRV in 2018/2019 winter,  
38 resulting in a dramatic decrease in sunshine hours. According to the records from China  
39 Meteorological Administration (CMA), daily-mean sunshine duration was less than 2 hours  
40 during this event in many stations, reaching the lowest record in historical observations since  
41 1961. This has led to severe impacts on natural systems, such as reduced agriculture  
42 productivity and increased load on power system supplies and transportations, and on human  
43 health (Liu et al. 2020). As such, this extended rainy event was defined as one of the top 10  
44 extreme weather and climate events over China in 2019 by the CMA  
45 ([http://www.cma.gov.cn/2011xwzx/2011xqxxw/2011xqxyw/202001/t20200103\\_543940.htm](http://www.cma.gov.cn/2011xwzx/2011xqxxw/2011xqxyw/202001/t20200103_543940.htm)  
46 [l](#)).

47        Before this extreme event occurred (about September 2018), the tropical Pacific entered  
48 into a weak El Niño state (Fig. S1a), which favors a westward shift of the Western Pacific  
49 Subtropical High (WPSH) and excessive rainfall over the MLYRV (Wang et al. 2000; Wu et  
50 al. 2003; Zhou and Wu 2010). Anthropogenic warming since preindustrial times has been  
51 found to have affected extreme rainfall over East Asia, intensifying particularly short-term  
52 extreme rainfall (Burke et al. 2016; Zhang et al. 2007, 2017; Min et al. 2011; Westra et al. 2014;  
53 Dong et al. 2020). The aim of this study is to investigate whether anthropogenic warming has  
54 changed the likelihood of the extended rainy winter of 2018/2019.

55

## 56 **Data and methods**

57 Daily rainfall observations for the period of 1961–2019 from ~2400 stations are obtained  
58 from CMA, and interpolated into  $0.5^\circ \times 0.5^\circ$  grid cells with the thin plate spline method (Shen  
59 et al. 2010). To analyze circulation fields associated with this event, monthly wind and  
60 geopotential height datasets from the NCEP/NCAR Reanalysis (Kalnay et al. 1996) were used.

61 Simulations at  $0.56^\circ \times 0.83^\circ$  horizontal resolution with 85 vertical levels from the Met  
62 Office HadGEM3-GA6-N216 model (Ciavarella et al. 2018) are employed to assess  
63 anthropogenic influences on the probability of this extreme event. These simulations are driven  
64 by observed monthly sea-surface temperature (SST) and sea ice concentration (SIC) from the  
65 Hadley Centre Sea Ice and Sea Surface Temperature dataset (Rayner et al. 2003) with both  
66 natural and anthropogenic forcings (HistoricalExt), and with natural forcing only for which  
67 anthropogenic contributions to the observed SST and SIC are removed (HistoricalNatExt).  
68 More details about the forcings used can be found in Christidis et al (2013). Each experiment  
69 comprises an ensemble of 15 initial-condition simulation members for the period of 1960–2013  
70 from which 525 members are extended up to 2019. This study particularly uses the 2018/2019  
71 winter simulations. Extreme rainfall events at local to regional spatial scales can be influenced  
72 greatly by internal climate variability, and the large ensemble of initial-condition simulations  
73 helps obtain reliable attribution results by providing a more adequate sampling of internal  
74 variability (Li et al. 2019).

75 The 2018/2019 winter rainfall event is concentrated in  $27^\circ\text{--}32^\circ\text{N}$ ,  $112^\circ\text{--}122^\circ\text{E}$  (Fig. 1a)  
76 and so, this region is the focus of the analysis. Both the number of days with rainfall as well as  
77 the cumulative rainfall amount are considered. A rainy day is a day with more than 1 mm  
78 precipitation, including rain and snow. The total number of rainy days and accumulated rainfall  
79 amount are computed for each winter (December to February) during 1961/1962–2018/2019,  
80 and are expressed as anomalies relative to the 1961/1962–2010/2011 climatology for both  
81 observations and simulations.

82 To test the reliability of model simulations, a Kolmogorov–Smirnov (K–S) test  
83 comparing the distributions of observed and simulated anomalies of the number of rainy days  
84 and rainfall amount is used. As both the number of rainy day and rainfall amount anomaly  
85 follow closely a normal distribution according to the F–test for variances and K-S test (Fig.  
86 S1d, e), Gaussian fits are used to quantify the occurrence probabilities and return periods of  
87 the number of rainy days and rainfall amount for 2018/2019 in both observations and  
88 simulations with and without anthropogenic influence. Then, the risk ratio comparing the  
89 occurrence probability of the extended rainy event is computed, and the corresponding 5–95%  
90 confidence interval are estimated via a bootstrapping procedure for 1000 times, in which 525  
91 samples are drawn from the 525 ensemble members with each time replacement.

92

## 93 **Results**

94 The observations show significant positive anomalies in rainy days (Fig. 1a) and rainfall  
95 amount (Fig. 1b) over the MLYRV during 2018/2019 winter. The regional-mean rainy days  
96 anomaly is more than 19 days relative to the 1961/1962–2010/2011 climatology, approaching  
97 1.5 times the long-term mean value and breaking the historical record since 1961/1962 (Fig.  
98 1c). The regional-mean rainfall amount anomaly observed over the MLYRV exceeds 140 mm  
99 (Fig. 1b), which is the third wettest event during the whole period (Fig. 1d). In terms of return  
100 periods, rainy days and rainfall amount anomalies greater than 100 years (Fig. 1e) and 20 years  
101 (Fig. 1f) respectively, indicating the unusual rareness of an extended rainy event like the  
102 2018/2019 winter.

103 Although this extreme rainfall event occurred during a weak El Niño event, it is primarily  
104 driven by a persistent northwestward shift of the WPSH, as evidenced by the geopotential  
105 height contours of 5860 gpm at 500 hPa extending to Southern China (~22°N), about 5–8



106 degrees north of its climatological mean position (Fig. 1g). The associated low-level  
107 southwesterly winds over the northwest side of WPSH carry warm moist air which converges  
108 over the MLYRV, producing more-than-normal rainy days and rainfall amount in this region.  
109 Correspondingly, the positive 500-hPa height anomalies over the northwestern Pacific are  
110 obvious in 2018/2019 winter, as supported by the regional-mean ( $20^{\circ}$ – $40^{\circ}$ N,  $120^{\circ}$ – $150^{\circ}$ E)  
111 height anomaly that is as high as +24 gpm (Fig. 1h). The magnitude of the 500-hPa height  
112 anomalies over the northwestern Pacific in 2018/2019 winter is about two times larger than  
113 that in regression pattern for 1961/1962–2010/2011, consistent with the record-breaking rainy  
114 day anomaly in this winter (Fig. 1a).

115 The HadGEM3-A-N216 model simulations for 1961/1962–2012/2013 reasonably capture  
116 the observed rainy day and rainfall amount variabilities (Fig. 2a, b). The distributions of rainy  
117 day and rainfall amount anomalies are comparable in model simulations and observations.  
118 Further, the observations fall within the range of model simulations. A K-S test reveals that the  
119 distributions of simulated and observed anomalies during 1961/1962–2012/2013 are  
120 statistically indistinguishable at 95% confidence level (P-value = 0.39 for rainy day; P-value  
121 = 0.31 for rainfall amount). Overall, the model provides reasonably well simulations of rainy  
122 day and rainfall amount over the MLYR that enable a reliable attribution analysis.

123 Although distributions of rainy day anomalies exhibit a small drying shift from  
124 HistoricalNatExt to HistoricalExt, they are very close in the upper tails where the number of  
125 rainy days in 2018/2019 winter is observed. In particular, 7 of 525 ensemble members exceeds  
126 the observed anomaly of 19 days in both HistoricalNatExt and HistoricalExt. Correspondingly,  
127 the occurrence probability is 0.12 for both HistoricalNatExt (0.001–0.025) and HistoricalExt  
128 (0.002–0.024), with a risk ratio of 1.00 (0.90–1.18). The associated return period is estimated  
129 to be about 86 years (56–131 years; 5<sup>th</sup>–95<sup>th</sup>) in both ensembles, indicating that the

130 anthropogenic forcing has relatively little influence on the rainy day anomaly (Fig. 2e), which  
131 might be a manifestation of the large local-to-regional internal variability.

132 Although the observed rainfall anomaly of 145 mm is slightly more likely without  
133 anthropogenic warming, the changed distribution between HistoricalNatExt and HistoricalExt  
134 is similar to that for rainy day anomalies (Fig. 2d). Correspondingly, the anthropogenic forcing  
135 is estimated to have decreased the occurrence probability from 0.16 (0.09–0.19) in  
136 HistoricalNatExt to 0.13 (0.07–0.18) in HistoricalExt, with a risk ratio of 0.81 (0.75–0.99).  
137 Compared to observations, the return period (~10 years) in rainfall amount anomalies is  
138 significantly decreased in model simulations (Fig. 1f vs. Fig. 2f). The obviously different return  
139 period for rainfall amount anomaly between the simulations and observations is associated with  
140 the overestimated rainfall interannual variability in simulations (Fig. S1d, e). Moreover, the  
141 circulation pattern anomalies are consistent regardless of the presence of anthropogenic  
142 warming (Fig. S1b, c). These different lines of evidence suggest that the natural variability  
143 played a large and important role in the extended rainy event in 2018/2019 winter over  
144 MLYRV.

## 145 **Conclusion and discussion**

146 In 2018/2019 winter, an unprecedented extended rainy event occurred over the Middle  
147 and Lower reaches of the Yangtze River Valley, with more than 50 rainy days breaking the  
148 historical record since 1961/1962. This event is primarily driven by persistent northwestward  
149 shift of the WPSH, where the associated low-level southwesterly winds carry warm moist air  
150 which converges over the region. By analyzing two large ensemble simulations with and  
151 without the influence of anthropogenic warming from the HadGEM3-A-N216 model, we found  
152 that anthropogenic forcing has reduced the probability of rainfall amount in this event by ~19%,

153 but exerted no influence on the excessive rainy days. Instead the natural variability played a  
154 large and important role in this event.

155 Generally, the extratropical land precipitation at monthly to seasonal time scales is  
156 dominated by atmospheric internal processes with external forcings (SST, SIC, etc) played a  
157 secondary role (Hu et al. 2020). The shift of the PDF in 2018/2019 winter, relative to the mean  
158 climatology, to wetter conditions for both rainy day and rainfall amount anomalies in both  
159 ensembles (Fig. 2b vs. Fig. S1d; Fig. 2c vs. Fig. S1e) suggests that this event is driven by the  
160 external forcings. This conclusion is consistent with the study of Liu et al. (2020), which further  
161 indicates that tropical Atlantic warming, interdecadal variation, and central tropical Pacific  
162 warming are three major factors leading to this extended rainy winter. Also, a drying shift of  
163 the probability density functions for anomalies of rainfall amount in HistoricalExt compared  
164 HistoricalNatExt suggests the anthropogenic signal is detected to some extent, and thus more  
165 work is necessary to separate the human influences on this shift (Power et al. 2013; Balan et  
166 al. 2016).

167 Additionally, our conclusions are only based on daily observed rainfall from CMA and  
168 ensembles from a single atmospheric model forced by observed SST or SIC with and without  
169 anthropogenic warming. Multiple observational datasets (Hegerl et al. 2015) and a comparison  
170 with estimates from fully coupled models (Sun et al. 2014; Massey et al. 2015; Ren et al. 2020)  
171 are needed to test our results, as ocean-atmosphere interaction is important for East Asian  
172 climate (Wang et al. 2005).

173

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186

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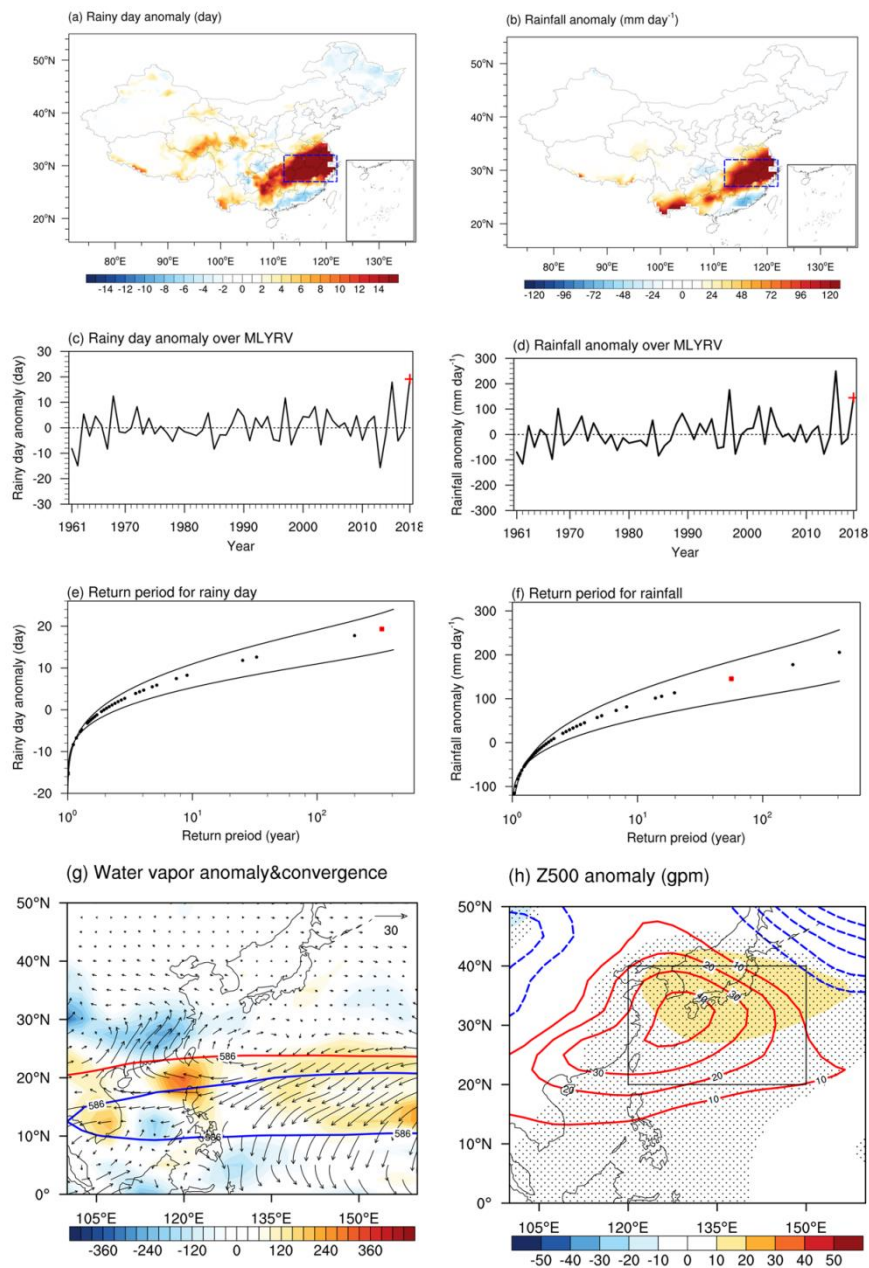
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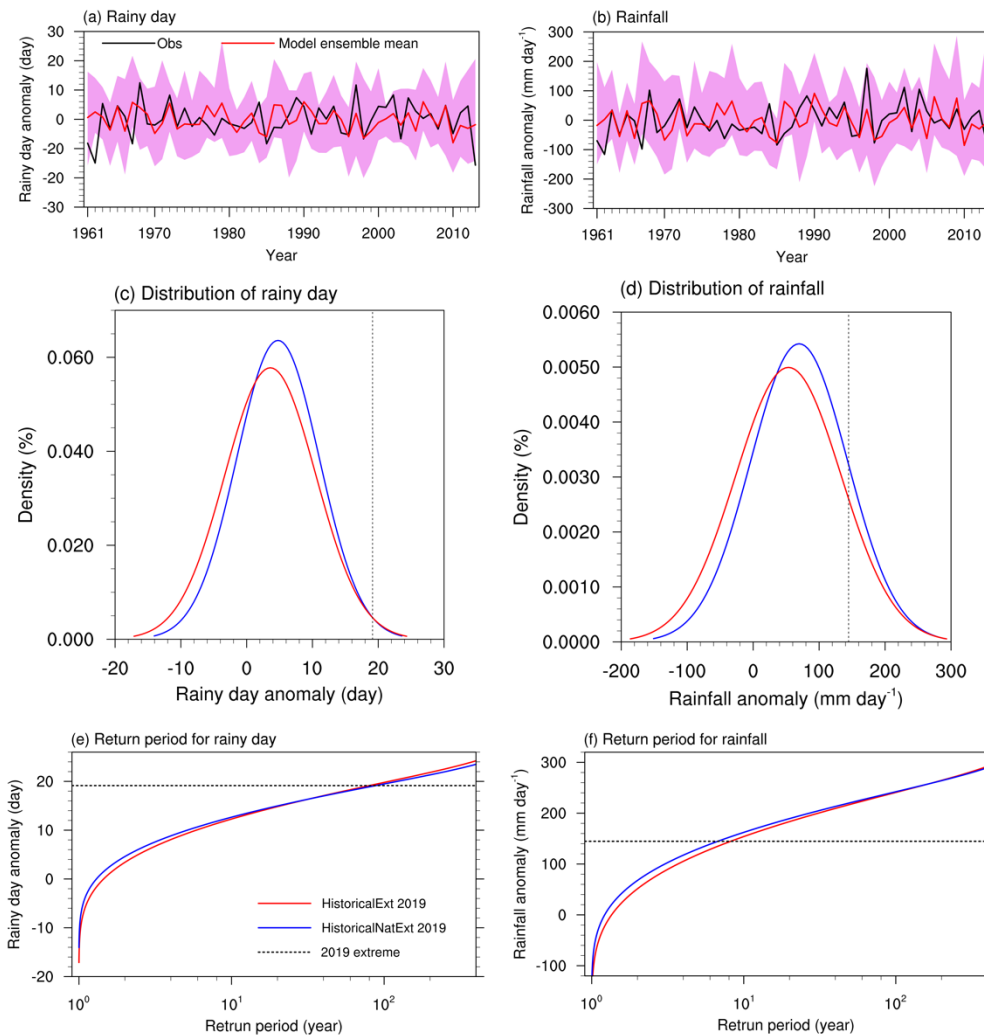
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270 Fig. 1. (a)–(b) Observed rainy days anomaly and rainfall amount anomaly in 2018/2019 winter  
 271 relative to the 1961/1962–2010/2011 climatology. (c)–(d) Observed regional-mean rainy day  
 272 anomaly and rainfall amount anomaly over the MLYRV in each winter for 1961/1962–  
 273 2018/2019. (e)–(f) Return periods and associated 95% confidence intervals for anomalies of  
 274 regional-mean rainy days and rainfall amount, where the red dot denotes the value in  
 275 2018/2019 winter. (g) 2018/19 winter 850-hPa moisture flux anomaly (arrows;  $\text{g m}^{-1} \text{s}^{-1} \text{Pa}^{-1}$ )  
 276 and convergence (shaded;  $10^{-7} \text{g m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$ ) 5860 gpm contours of 500-hPa height for  
 277 2018/2019 winter (red line) and climatology (blue line). (h) 500-hPa height anomalies in

278 2018/2019 winter (contours; gpm). The regression of 500-hPa height anomalies onto the  
 279 standardized rainy day number anomaly for 1961/1962–2010/2011 is also shown (shaded;  
 280 gpm), where the dotted area is the region exceeding the 95% confidence level.



281

282 Fig. 2. (a)–(b) Time series of observed (blue line) and simulated ensemble mean (red line) of  
 283 rainy day anomaly and rainfall amount anomaly over the MLYRV in each winter for  
 284 1961/1962–2012/2013, with 15 member spread shown as light pink shading. (c)–(d)  
 285 Probability density function, using Gaussian-fits, of rainy days anomaly and rainfall amount  
 286 anomaly in 2018/2019 winter with 525-member HistoricalExt (red line) and HistoricalNatExt  
 287 (blue line) simulations. The dashed line denotes the observed 2018/2019 winter. (e)–(f) As in  
 288 (c)–(d), but for return periods.





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**Supplemental Material**

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## Anonymous Referee #1

**Response:** We would like to thank the anonymous reviewer for spending valuable time to read the manuscript and provide constructive feedbacks. We have revised the manuscript according to your comments.

1. *I appreciate the clarification by the authors to address my previous concerns regard to the role of anthropogenic forcing. I think it would need to be made very clear in the Abstract and Conclusion that ""Both the number of days with rainfall as well as the cumulative rainfall amount are considered" (as in Line 79), and there is no influence on the former but there is influence on the latter. The authors keep saying there is a slight change on the rainfall amount, but I do not think 20% is a slight influence to be ignored.*

**Response:** Thank you for raising the question. We had changed the content of the Abstract to “*Anthropogenic forcing has reduced the probability of rainfall amount in the extended rainy winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China by ~19%, but exerted no influence on the excessive rainy days, based on HadGEM3-GA6-N216 ensembles. Instead the natural variability played a large and important role in this event.*”. Also, we have changed the sentence in the Conclusion (line 153-157) to “*By analyzing two large ensemble simulations with and without the influence of anthropogenic warming from the HadGEM3-A-N216 model, we found that anthropogenic forcing has reduced the probability of rainfall amount in this event by ~19%, but exerted no influence on the excessive rainy days. Instead the natural variability played a large and important role in this event.*”.

2. *I welcome the changes of the title to be a more engaging one : "Was the extended rainy and wet winter 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?" But I think rainy and wet here mean the same thing , right? If so, please delete wet, because it can mean totally different*

*things such as soil moisture or atmospheric water vapor content. On the same token, the authors responded to my minor Comment 11 by saying "Rainy and snowy are both in this paper." Please double check before in the Response and in the Revision, it gives a strong impression that the paper is about rainy days and rain amount.*

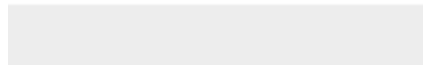
**Response:** Thank you for your suggestion. We have deleted the “wet” and changed the title to “*“Was the extended rainy winter 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?”*”. Also, we have delete the “wet” throughout the whole manuscript.

In order to make the text of "rainy" clear in this manuscript, we have add the “, *including rain and snow*” in line 79.



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**Non-Rendered Figure**  
Fig. 1.png





