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# **CHInese Medicine NeuroAiD Efficacy on Stroke Recovery – Extension Study (CHIMES-E):** A Multicenter Study of Long-Term Efficacy

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# **Kev Words**

Acute stroke · Traditional Chinese medicine · Stroke recovery · MLC601 · NeuroAiD · Clinical trial · Long-term outcome

# Abstract

Background: The CHInese Medicine NeuroAiD Efficacy on Stroke recovery (CHIMES) study was an international randomized double-blind placebo-controlled trial of MLC601 (NeuroAiD) in subjects with cerebral infarction of intermedi-

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ate severity within 72 h. CHIMES-E (Extension) aimed at evaluating the effects of the initial 3-month treatment with MLC601 on long-term outcome for up to 2 years. Methods: All subjects randomized in CHIMES were eligible for CHIMES-E. Inclusion criteria for CHIMES were age  $\geq$  18, baseline National Institute of Health Stroke Scale of 6–14, and pre-stroke modified Rankin Scale (mRS) ≤1. Initial CHIMES treatment allocation blinding was maintained, although no further study treatment was provided in CHIMES-E. Subjects received standard care and rehabilitation as prescribed by the treating physician. mRS, Barthel Index (BI), and occurrence of

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medical events were ascertained at months 6, 12, 18, and 24. The primary outcome was mRS at 24 months. Secondary outcomes were mRS and BI at other time points. Results: CHIMES-E included 880 subjects (mean age  $61.8 \pm 11.3$ ; 36%women). Adjusted OR for mRS ordinal analysis was 1.08 (95% CI 0.85–1.37, p = 0.543) and mRS dichotomy  $\le 1$  was 1.29 (95% CI 0.96-1.74, p = 0.093) at 24 months. However, the treatment effect was significantly in favor of MLC601 for mRS dichotomy ≤1 at 6 months (OR 1.49, 95% CI 1.11–2.01, p = 0.008), 12 months (OR 1.41, 95% CI 1.05–1.90, p = 0.023), and 18 months (OR 1.36, 95% CI 1.01–1.83, p = 0.045), and for BI dichotomy ≥95 at 6 months (OR 1.55, 95% CI 1.14–2.10, p = 0.005) but not at other time points. Subgroup analyses showed no treatment heterogeneity. Rates of death and occurrence of vascular and other medical events were similar between groups. Conclusions: While the benefits of a 3-month treatment with MLC601 did not reach statistical significance for the primary endpoint at 2 years, the odds of functional independence defined as mRS ≤1 was significantly increased at 6 months and persisted up to 18 months after a stroke. © 2015 S. Karger AG, Basel

# Introduction

Stroke is a major cause of death and disability with only a limited number of treatment options to improve functional outcome after stroke, including thrombolytic therapy, early use of aspirin, decompression craniectomy for malignant infarcts, stroke unit care, and constraintinduced movement therapy [1]. Strategies using neuroprotectants have failed in many clinical trials [2]. As many still remain disabled even after rehabilitation, stroke survivors have often turned to alternative and complementary therapies [3], most of which are of unproven value or have not been subjected to rigorous evaluation in wellconducted clinical trials.

MLC601 (NeuroAiD<sup>TM</sup>), a natural product that combines herbal extracts and non-herbal components in the capsule form, demonstrated both neuroprotective and neurorestorative properties in preclinical models of focal and global ischemia [4–6]. Clinical studies, which assessed the benefit and safety of MLC601 in non-acute stroke patients using different clinical outcomes [7–16], have been published.

More recently, MLC601 was evaluated in the CHInese Medicine NeuroAiD Efficacy on Stroke recovery (CHIMES) study, a large international, multicenter, randomized, double-blind, placebo-controlled clinical trial, which showed a statistically nonsignificant effect of MLC601 at 3 months among subjects with cerebral infarction in the preceding 72 h (ClinicalTrials.gov: NCT00554723) [17–19]. It was among the first to investigate the use of a product from natural substances that reduced the disability after an acute stroke in a rigorous manner to achieve a balance between 'uncritical enthusiasm' and 'uninformed skepticism'.

CHIMES-E is a planned extension study that assessed outcomes over the 21 months after the final CHIMES study assessment was made. The objective was to test the hypothesis that MLC601 (NeuroAiD<sup>™</sup>), given over the initial 3 months after a stroke, is superior to placebo in improving the functional outcome for up to 2 years among subjects with cerebral infarction of intermediate severity.

# Methods

# Study Design and Participants

The trial protocol was previously published [20]. Briefly, all subjects randomized to either MCL601 or placebo in CHIMES were eligible for inclusion in CHIMES-E: aged 18 or older who had an ischemic stroke of intermediate severity defined as National Institutes of Health Stroke Scale (NIHSS) of 6–14 in the preceding 72 h with neuroimaging findings compatible with cerebral infarction and a pre-stroke modified Rankin Scale (mRS)  $\leq$ 1. Subjects were excluded if they had withdrawn consent from all participation and follow-up in CHIMES. This study was approved by the respective institutional review board of study sites.

# Randomization, Treatment and Blinding

Subjects were randomly assigned in the CHIMES Study to receive a 3-month course of either MLC601 or placebo at a dose of 4 capsules 3 times daily. MLC601 and matching placebo were provided by Moleac (Singapore). Each 400 mg MLC601 capsule contained 9 herbal components (extracts derived from raw herbs consisting of Radix astragali, Radix salvia mitorrhizae, Radix paeoniae rubra, Rhizoma chuanxiong, Radix angelicae sinensis, Carthamus tinctorius, Prunus persica, Radix polygalae and Rhizoma acoritatarinowii) and 5 non-herbal components (Hirudo, Eupolyphaga seu steleophaga, Calculus bovis artifactus, Buthus martensii and Cornu saigaetataricae). Placebo included 4 constituents (barley, dried ripe fruit, noodle fish and citric acid) known to have no active effect but gave a similar appearance, smell, and taste as the active treatment [17, 18]. To avoid center and processof-care effects, subjects were entered into the trial using randomized blocks of 4 and 6 (stratified by center) based on a 1:1 treatment allocation. Blinding of the subjects, their caregivers, investigators, study staff, sponsor, and study project coordinators to treatment allocation was maintained into the CHIMES-E study phase.

Throughout the CHIMES and CHIMES-E studies, all subjects were allowed to receive standard stroke care, including antiplatelet therapy, control of vascular risk factors, and appropriate rehabili-

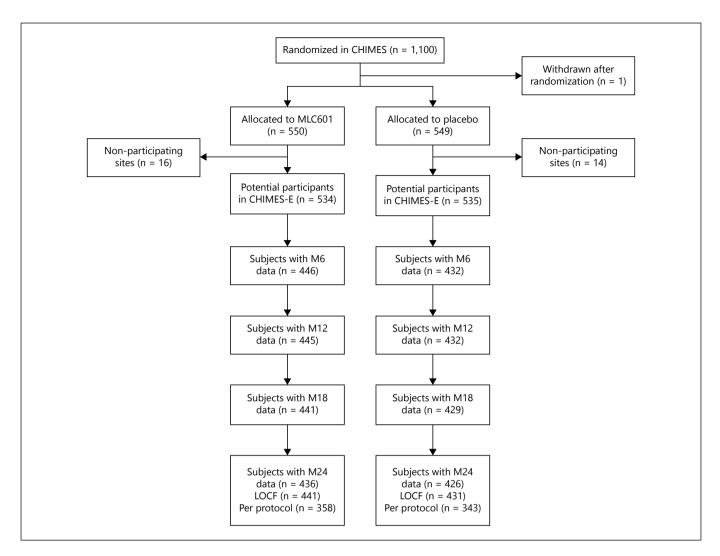


Fig. 1. Flow diagram of patients in CHIMES to the CHIMES-E Study. M = Month; LOCF = last observation carried forward.

tation as prescribed by the treating physician. We have previously demonstrated that post-stroke use of concomitant secondary prevention treatments and rehabilitation in CHIMES were high and similar between the two treatment groups [19].

#### Study Procedures

Eligible subjects were contacted by telephone. After explaining the nature of the study using a standardized telephone script, verbal consent was obtained prior to performing the assessments that were carried out at month 6 ( $\pm$ 1 month), month 12 ( $\pm$ 1 month), month 18 ( $\pm$ 1 month) and month 24 ( $\pm$ 1 month).

Assessments were performed using a questionnaire. Data collected included mRS, Barthel Index (BI), self-report of having received rehabilitation, self-report of having received traditional Chinese medicine (TCM), self-report of having suffered a new vascular event (e.g. stroke, myocardial infarction), self-report of developing other significant illnesses, and occurrence of death and its cause.

#### Power Calculation

We assumed that 35% of moderately severe stroke patients would be dead (mRS 6) and 25% would be dependent, distributed among mRS 2–5, at 2 years [21–23]. We expected an overall 30% dropout rate after 2 years follow-up of the 1,100 patients recruited into CHIMES. Having mRS data available in 770 subjects at year 2 would have a power of 89% with two-sided type I error of 5% in detecting a cumulative odds ratio (OR) of 1.5 for the MLC601 group. Even with a sample size of 606, we could ensure at least 80% power. Furthermore, a sample size of 816 would provide 80% power with 5% type 1 error in detecting a 10% increase in the proportion of subjects attaining mRS  $\leq 1$  in the treated group compared to 40% in the placebo group.

#### Study Endpoints and Efficacy Analyses

Efficacy analyses were based on the intention-to-treat principle. The primary endpoint was mRS at month 24. The difference in distribution of subjects within each range of mRS between plaTable 1. Baseline characteristics of CHIMES [18] and CHIMES-E cohorts

	Subjects include (n = 1,099)	ed in CHIMES	Subjects included in CHIMES-E (n = 880)	
	MLC601	placebo	MLC601	placebo
n	550	549	446	434
Age, years	61.3 (10.8)	61.5 (11.8)	61.4 (10.9)	62.2 (11.7)
Women, n (%)	210 (38.2)	196 (35.7)	167 (37.4)	151 (34.8)
Baseline NIHSS score; median [Q1, Q3]	8 [7, 10]	8 [6, 10]	8 [7, 10]	8 [6, 10]
Pre-stroke mRS, n (%)				
0	505 (91.8)	513 (93.4)	407 (91.3)	404 (93.1)
1	45 (8.2)	36 (6.6)	39 (8.7)	30 (6.9)
Stroke onset to first dose, h	48.5 (17.2)	47.4 (17.5)	48.4 (17.2)	47.8 (17.3)
Ethnicity, n (%)				
Chinese	181 (32.9)	182 (33.2)	166 (37.2)	163 (37.6)
Malay	35 (6.4)	38 (6.9)	33 (7.4)	27 (6.2)
Indian	12 (2.2)	11 (2.0)	11 (2.5)	10 (2.3)
Filipino	253 (46.0)	252 (45.9)	192 (43.0)	187 (43.1)
Thai	46 (8.4)	47 (8.6)	27 (6.1)	30 (6.9)
Others	23 (4.2)	19 (3.5)	17 (3.8)	17 (3.9)
Previous history of, n (%)				
Transient ischemic attack	17 (3.1)	14 (2.6)	13 (2.9)	13 (3.0)
Ischemic stroke	49 (8.9)	50 (9.1)	39 (8.7)	38 (8.8)
Hemorrhagic stroke	5 (0.9)	3 (0.6)	4 (0.9)	1 (0.2)
Myocardial infarction	14 (2.6)	20 (3.6)	13 (2.9)	17 (3.9)
Angina	13 (2.4)	23 (4.2)	12 (2.7)	22 (5.1)
Hypertension	448 (81.4)	444 (80.9)	358 (80.3)	346 (79.7)
Diabetes mellitus, insulin dependent	10 (1.8)	16 (2.9)	7 (1.6)	12 (2.8)
Diabetes mellitus, non-insulin dependent	161 (29.3)	164 (29.9)	144 (32.3)	133 (30.6)
Hyperlipidemia	264 (48.0)	267 (48.6)	226 (50.7)	218 (50.2)
Peripheral vascular disease	5 (0.9)	3 (0.6)	5 (1.1)	3 (0.7)
Smoking	255 (46.4)	247 (45.0)	204 (45.7)	195 (44.9)
Habitual alcohol intake	158 (28.7)	157 (28.6)	123 (27.6)	119 (27.4)

Data are number (%) or mean (standard deviation). NIHSS = National Institute of Health Stroke Scale; mRS = modified Rankin Scale.

cebo and MLC601 groups was tested using the Mann-Whitney U test with allowance for ties. Ordinal logistic regression using study groups as the independent variable was performed to provide an estimate of the OR and corresponding 95% confidence interval (CI) and further adjusted for potentially prognostic factors.

Secondary endpoint measures were functional independence defined on mRS as a score of  $\leq 1$  and on BI as a score of  $\geq 95$  at months 6, 12, 18 and 24. Outcomes were compared using the Chi-square test or Fisher's exact test. Logistic regression adjusting for potential prognostic factors was performed.

Sensitivity analysis based on the last observation carried forward (LOCF) method by imputing 18-month mRS and per-protocol analyses were carried out and compared to the results of the main analysis. Pre-specified subgroup analyses included age, sex, time from stroke onset, baseline NIHSS score, and presence of cortical signs on baseline NIHSS.

Even though there was no study treatment provided in CHIMES-E, the long-term safety of MLC601 was assessed by the occurrence of any new medical condition, including death and

vascular events defined as recurrent stroke or transient ischemic attack, acute coronary event, peripheral vascular disease, pulmonary embolism, and sudden death, over the study period.

# Results

Of the 1,069 potential subjects from the CHIMES Study, 880 subjects were included in CHIMES-E (fig. 1). The CHIMES-E cohort was comparable to the CHIMES cohort [18] as to demographics, stroke severity, stroke onset to treatment time, and risk factor profile (table 1). Month 3 mRS was similar between subjects who were included in CHIMES-E and those who were not (ordinal logistic regression p = 0.8568; table 2). The CHIMES-E study population had an overall mean age of  $61.8 \pm 11.3$  with 318 (36%) women and mean baseline NIHSS of

Month 3 mRS in	Subjects included in CHIMES-E			Subjects not included in CHIMES-E		
CHIMES study	total	MLC601	placebo	total	MLC601	placebo
Missing	42	20	22	42	22	20
0	131 (15.6)	66 (15.5)	65 (15.8)	24 (16.3)	11 (16.7)	13 (16.0)
1	264 (31.5)	146 (34.3)	118 (28.6)	49 (33.3)	20 (30.3)	29 (35.8)
2	194 (23.2)	96 (22.5)	98 (23.8)	30 (20.4)	11 (16.7)	19 (23.5)
3	138 (16.5)	62 (14.6)	76 (18.4)	26 (17.7)	16 (24.2)	10 (12.3)
4	76 (9.1)	40 (9.4)	36 (8.7)	17 (11.6)	8 (12.1)	9 (11.1)
5	8 (1.0)	3 (0.7)	5 (1.2)	1 (0.7)	0 (0.0)	1 (1.2)
6	27 (3.2)	13 (3.1)	14 (3.4)	0 (0.0)	0 (0.0)	0 (0.0)

**Table 2.** Comparison of month 3 mRS scores from the CHIMES study of subjects who were subsequently included in CHIMES-E (n = 880) and those who were not (n = 189)

Table 3. Rehabilitation, intake of any traditional Chinese medicine and placement among subjects in CHIMES-E

	MLC601 (n = 446)	Placebo (n = 434)		MLC601 (n = 446)	Placebo (n = 434)
Received rehabilitation sind	ce last contact at		18 months		
6 months	78 (17.5)	80 (18.4)	Acute unit	1 (0.2)	4 (0.9)
12 months	56 (12.6)	56 (12.9)	Rehabilitation unit	0 (0.0)	0 (0.0)
18 months	45 (10.1)	42 (9.7)	Nursing home	10 (2.2)	5 (1.2)
24 months	38 (8.5)	40 (9.2)	Home	397 (89.0)	389 (89.6)
			Other	31 (7.0)	29 (6.7)
Placement of subjects at			24 months		
6 months	1 (0.2)	2 (0 5)	Acute unit	4 (0.9)	3 (0.7)
Acute unit	1(0.2)	2(0.5)	Rehabilitation unit	0 (0.0)	2 (0.5)
Rehabilitation unit	1(0.2)	1(0.2)	Nursing home	12 (2.7)	6 (1.4)
Nursing home	7 (1.6)	5 (1.2)	Home	387 (86.8)	379 (87.3)
Home	409 (91.7)	395 (91.0)	Other	31 (7.0)	34 (7.8)
Other 12 months	26 (5.8)	27 (6.2)	Intake of traditional Chinese medicine since last contact at		
Acute unit	6 (1.3)	3 (0.7)	6 months	36 (8.1)	45 (10.4)
Rehabilitation	0 (0.0)	1(0.2)	12 months	33 (7.4)	40 (9.2)
unit	0 (0.0)	- (0)	18 months	24 (5.4)	29 (6.7)
Nursing home	9 (2.0)	7 (1.6)	24 months	25 (5.6)	25 (5.8)
Home	403 (90.4)	396 (91.2)		_== (0.0)	20 (0.0)
Other	24 (5.4)	23 (5.3)	Data are number (%).		

 $8.6 \pm 2.5$ . The treatment and placebo groups were balanced in baseline characteristics at the time of inclusion in CHIMES. They were likewise similar in terms of subsequent rehabilitation and intake of any TCM over the study period (table 3).

Unadjusted OR for the primary end-point for 24-month mRS by ordinal logistic regression was 1.09 (95% CI 0.86–1.39, p = 0.456). Multivariable ordinal logistic regression showed an OR of 1.08 (95% CI 0.85–1.37, p = 0.543) after

adjusting for prognostic factors, that is, age (p < 0.001), sex (p = 0.005), stroke onset to study treatment (p = 0.331), baseline NIHSS (p < 0.001), and pre-stroke mRS (p < 0.001). Analyses using LOCF and per protocol population showed qualitatively similar results (fig. 2).

Adjusted OR for achieving mRS of  $\leq 1$  at month 24 was in favor of MLC601 but it did not reach statistical significance. However, MLC601 was significantly associated with increased odds of attaining functional independence

Modified Rankin Scale	n	OR (95% CI)	I	
mRS shift at 24 months				
Unadjusted	862	1.09 (0.86, 1.39)		
Adjusted	862	1.08 (0.85, 1.37)		
LOCF	872	1.09 (0.86, 1.39)		
Per protocol	701	1.05 (0.80, 1.37)		
mRS dichotomy 0–1 vs. 2–6				
Month 6	878	1.49 (1.11, 2.01)		
Month 12	877	1.41 (1.05, 1.90)		
Month 18	870	1.36 (1.01, 1.83)		
Month 24	862	1.29 (0.96, 1.74)		
Barthel Index				
Barthel Index ≥95 vs. <95				
Month 6	878	1.55 (1.14, 2.10)		
Month 12	877	1.22 (0.89, 1.66)	+	
Month 18	870	1.31 (0.95, 1.79)		
Month 24	862	1.36 (0.99, 1.86)		
	002	1.50 (0.55, 1.60)		Interaction
Subgroups				p-value
Age ≤60 years	398	0.97 (0.68, 1.38)		0.241
Age >60 years	464	1.08 (0.78, 1.49)		0.241
Male	550	1.15 (0.85, 1.55)		0.527
Female	312	1.02 (0.69, 1.51)		0.527
Baseline NIHSS 6 to 9	507	1.04 (0.76, 1.42)		0.076
Baseline NIHSS 10 to 14	354	1.32 (0.91, 1.91)	+	
Stroke to first dose ≤48 h	447	0.98 (0.71, 1.37)		0.613
Stroke to first dose >48 h	415	1.23 (0.88, 1.74)		-
	200			
Cortical sign at baseline	296	1.23 (0.82, 1.84)		0.246
No cortical sign at baseline	566	1.10 (0.82, 1.47)		
		0.2	1.0	5.0
		Favors p		ors MLC601
		1 41013 p		

**Fig. 2.** Forest plot of outcomes and subgroups by intention-to-treat analyses. LOCF = Last observation carried forward.

defined as mRS of  $\leq 1$  at 6, 12, and 18 months as well as BI of  $\geq 95$  at 6 months (fig. 2). Subgroup analyses showed no treatment heterogeneity for the primary outcome. We performed a sensitivity analysis using 3 models: imputing CHIMES month 3 data for subjects with no CHIMES-E data, assuming all subjects with no CHIMES-E data as having the worst outcome, and assuming all subjects with no CHIMES-E data as having the were variations in statistical significance, but the trajectories of the point estimates over time were all qualitatively similar (table 4).

Long-term safety of a 3-month treatment course of MLC601 was assessed by the reporting of the occurrence of death and medical events over the study period. By month 24, rates of death and occurrence of any vascular event were similar between study groups. Other medical events classified according to organ system are presented in table 5. In particular, there was no difference in the rates of renal or hepatic adverse events. Neoplasm was reported in 4 subjects each for the MLC601-treated (gynecologic 1, lung 2, urinary 1) and placebo-treated (para-thyroid 1, lung 2, urinary 1) groups.

**Table 4.** Sensitivity analysis for mRS dichotomy 0–1 using 3 models: imputing CHIMES month 3 data for subjects with no CHIMES-E data, assuming all subjects with no CHIMES-E data as having the worst outcome, and assuming all subjects with no CHIMES-E data as having the best outcome

mRS 0–1	LOCF from CHIMES month 3 data	Assuming worst outcome for missing data	Assuming best outcome for missing data
Month 6	1.24 (0.97-1.58)	1.37 (1.07–1.76)	1.25 (0.97–1.60)
Month 12	1.20 (0.94–1.54)	1.33 (1.04–1.70)	1.22 (0.95–1.57)
Month 18	1.18 (0.92–1.50)	1.29 (1.00–1.65)	1.20 (0.93–1.54)
Month 24	1.13 (0.89–1.45)	1.23 (0.96–1.58)	1.16 (0.90–1.50)

**Table 5.** Number of subjects experiencing death and medicalevents in CHIMES-E

	NeuroAiD (n = 446)	Placebo (n = 434)
Death	28	29
Vascular event*	56	55
Central nervous system,		
non-vascular	24	19
Cardiac, non-vascular	5	3
Hepatobiliary	2	2
Renal	10	9
Hematologic	12	8
Dermatologic	2	0
Endocrine	9	5
Gastrointestinal	18	16
Gynecologic	2	0
Infection	23	26
Ophthalmologic	0	1
Orthopedic	7	7
Psychiatric	4	1
Pulmonary	3	6
Rheumatologic	6	7
Urinary	5	6

\* Recurrent stroke or transient ischemic attack, acute coronary event, pulmonary embolism, peripheral vascular disease, sudden death.

# Discussion

Our study is a planned follow-up of the largest randomized placebo-controlled clinical trial of traditional medicine in ischemic stroke. In this study, an initial 3-month treatment with MLC601 did not demonstrate a statistically significant benefit at 3 months [18] and similarly at 24 months. However, the odds of achieving functional independence as defined by an mRS  $\leq 1$  were significantly increased at 6 months and persisted up to 18 months after a stroke. The absolute benefit of achieving an independent functional outcome (mRS  $\leq 1$ ) was 80 per 1,000 treated patients at 6 months, 71 per 1,000 at 12 months, 64 per 1,000 at 18 months, and 53 per 1,000 at 24 months.

Precedents in stroke trials of treatments that showed 'delayed' statistical benefit beyond the period at which the effect was expected have been reported. Intravenous recombinant tissue plasminogen activator, although only administered once during the hyperacute phase of stroke as a revascularization procedure, failed to reach statistical significance on NIHSS at 24 h, but improved functional outcomes at 3 months [24]. MLC601 has been shown in tissue and animal models to enhance the self-reparative processes in the brain after an injury in addition to its neuroprotective effects [4, 5]. Such processes take time and thus it is plausible that effects may not be apparent very soon after the initiation of treatment. Benefits may accrue and appear only some time after the treatment has been started. In an earlier pilot study in which stroke patients were treated with MLC601 for only 1 month, improvement in motor recovery appeared at 2 months, although we have to admit that the sample size for that study was small [10].

Apart from efficacy, CHIMES-E provided further safety data on MLC601 even when combined with other stroke treatments used in the clinical setting, showing no increase in the rates of delayed adverse event that may occur even after treatment was discontinued, such as malignancies and chronic effects on renal or hepatic function. Such long-term efficacy and safety information are not commonly available in many stroke trials. While the use of natural products such as MLC601 may have greater acceptance in cultures already using herbal remedies, such as in Asia where there is a high stroke burden, the performance of this study according to international standards also have an impact on its use in other parts of the world.

Our study has some important implications for future stroke trials. It is well known that stroke patients spontaneously recover to a great extent during the first three months after a stroke, especially among the less severe cases [25]. Demonstrating effects on recovery during this period may be more difficult than at a later time when spontaneous improvement is less likely to confound the study. Recently, it has also been shown that transition from independence in activities of daily living to dependency between 3 and 12 months after a stroke is not insignificant [26]. A follow-up period of 3 months, as is the case in many acute stroke trials, may be of insufficient duration to demonstrate a treatment effect and may require strict patient selection as we have shown in our cohort [27, 28]. Longer assessments after the initial shortcourse therapy may help in further evaluating stroke treatments, such as those using neuroprotectants, previously thought to be ineffective. The transition between brain injury and repair is highly regulated and complex such that many therapeutic targets have temporal profiles [29, 30]. Treatment candidates should consider this transition by carefully defining the optimal time for administration as well as the time of assessment of effects.

Moreover, our findings emphasize the importance of using the most suitable statistical model for analyzing trial cohorts based on their predicted behavior in the study [31]. The functional gains seen in our study appear to be limited to a higher proportion of subjects attaining full independence as measured by mRS assuming the efficacy of the investigational compound. This is still clinically relevant to stroke patients and caregivers, with more subjects returning to normal living and less caregiver burden. The mRS shift analysis did not show significant differences in outcome between treatment groups, although the point estimates were in favor of MLC601 and could conceivably reach statistical significance with a much larger sample size. The inability to detect statistical significance on ordinal analysis was likely due to not having the expected effect size across the range of mRS and not taking into account possible misclassifications of outcomes in a categorical scale. Such errors have been shown to severely underestimate the sample size calculation [32, 33]. While using the full range of mRS is attractive, it may be fraught with uncertainty in assessments, making dichotomization more appealing as it leads to lower error rates [33].

As previously reported, patients included in CHIMES were relatively of milder severity many of whom have re-

covered well by three months even in the placebo group [18]. Having few patients in the more severe spectrum of mRS may have affected the power to detect significant 'shifts' across scores between treatment groups as previously alluded to by others [31]. On the other hand, the benefits in our cohort clustered at a single transition make dichotomy analysis more advantageous in demonstrating a difference in achieving near complete to complete recovery.

We previously hypothesized that a longer duration of treatment and follow-up of patients may improve the sensitivity of detecting the effects on long-term recovery [18]. We have also previously shown a reduction in early vascular events with MLC601 treatment for 3 months [19]. In CHIMES-E, we noted consistently favorable treatment effect for all assessment time points but the largest effect was seen at 6 months with a gradual decline at 24 months; however, no difference in rates of vascular events was observed. This suggests a wearing off of effect of the initial 3-month treatment course, both for functional recovery and even more so for secondary prevention, and suggests a need for longer treatment duration or continuous treatment.

There are limitations and possibly unrecognized confounders that could be potential sources of type I error in our study. We were able to achieve only 80% follow-up of the original subjects included in CHIMES. Nonetheless, this was more than expected in the power calculation and is no worse than other long-term cardiovascular studies, particularly when study treatment was no longer continued [34-39]. Furthermore, we investigated if attrition bias existed in our cohort. The baseline characteristics and month 3 mRS were comparable between subjects with and those without CHIMES-E data, while sensitivity analyses showed the same relationship between treatment and outcomes for all time points. Another possible limitation is that we performed telephone rather than inperson assessments. Face-to-face mRS assessment have been reported to be prone to bias and inter-rater variability, which may be improved by using structured interviews [40-42]. Telephone assessments of mRS and BI likely suffer from the same shortcomings, although some have shown them to be as reliable as face-to-face evaluations [43-46]. On the other hand, telephone-based assessments improve subject retention and minimize missing data in longitudinal studies [47]. Trial-related training for assessing mRS, BI, and NIHSS in the CHIMES-E study was not performed. It is possible that assessment or ascertainment bias may have occurred in our study, although investigators were experienced stroke trialists.

The main strength of CHIMES-E was that it was based on a well-conducted multicenter acute stroke trial with a large sample size and performed in a blinded, placebocontrolled manner, with a long-term follow-up. The endpoints were robust and were used in most stroke trials. The results were consistent at various time points and seen on 2 indices, that is, mRS and BI.

In conclusion, while the benefits of a 3-month treatment with MLC601 did not reach statistical significance for the primary endpoint at 2 years, the odds of achieving functional independence defined as mRS  $\leq$ 1 at 6 months was significantly increased and persisted up to 18 months after a stroke. A longer duration of treatment and followup beyond the conventional 3-month study period should be considered in stroke trials of agents with putative neuroprotective and restorative effects.

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