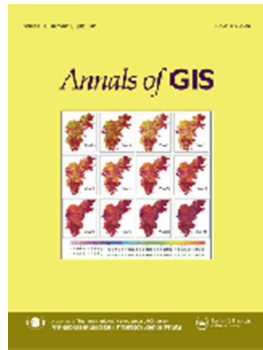




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UK Biobank Urban Morphometric Platform (UKBUMP) – A nationwide resource for evidence-based healthy city planning and public health interventions

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Abstract

The built environment (BE) has emerged as one of the 'first causes' of chronic disease, capable of explaining its socio-spatial variation. There is an increasing need for objective, detailed and precise measurements of attributes of BE that may influence our lifestyle, behaviour and hence physical and mental health.

In this paper, we report the UK Biobank Urban Morphometric Platform (UKBUMP), the first ever very large sample size high resolution spatial database of urban morphological metrics (*morphometrics*), being developed for half-a-million participants of the UK Biobank Prospective study spatially distributed across 22 UK cities. Large scale objective assessment of the BE was conducted employing *state-of-the-art* spatial and network analyses upon multiple national-level spatial datasets.

Prospective large scale objective assessment of the BE enables development of BE-health modelling studies that have the potential to identify causal pathways from specific attributes of the BE to various complex chronic health outcomes as well as well-being. The UKBUMP will act as a national resource, providing a *platform* for evidence-based healthy city planning and interventions for the first half of the 21st century.

1.0 The impending challenge

Health is a result of a complex interplay between contextual socio- economic, built and natural environmental as well as individual- and population- level factors; and this complexity is tricky to unravel (Marmot 2010, Rydin 2012, Rydin et al. 2012, Sarkar, Webster, and Gallacher 2014). With increasing urbanization scale and density, the *built environment* (BE) has emerged as one of the 'first causes' of chronic diseases, capable of explaining their socio-spatial variations.

Although, there has been a growing body of international evidence linking BE to health and wellbeing, there has been increasing consensus that most BE-Health studies are plagued by significant conceptual and methodological challenges. Most studies and reports lack sufficient robustness and reliability to address causality (Diez Roux 2004a, Diez Roux 2004b). A majority of studies are small in size and hence unable to decipher disease aetiology or garner sufficient social support and political will towards generalizing specific findings to larger population and regions (Gallacher 2007). This constrains translation into convincing urban planning and public health policies. Being cross-sectional in design, most studies conducted thus far fail to capture time-related effects. Lack of prospective longitudinal data on health outcomes and attributes of BE means that the impacts of

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3 sustained exposures over time (Ben-Shlomo and Kuh 2002) to specific configurations of the
4 BE as well as the effects of urban regeneration and retrofitting cannot be evaluated with any
5 degree of precision. Many studies, especially from urban planning researchers, have more of
6 a qualitative flavour, and many well-designed quantitative studies lack sufficiently
7 sophisticated objective measures of BE attributes. Study design and subjective
8 measurements hinder the transfer of knowledge into practice. Another bottleneck in BE-
9 health studies arises from the lack of standard definition of the 'functional neighbourhood'
10 (ie. the precise area around an individual's home or workplace considered appropriate for
11 measuring BE attributes that might impact individual health). Studies have employed many
12 kinds of census-defined boundaries as a proxy frame for neighbourhood BE effects and
13 suffer from arbitrariness in the selection of the areal unit of analysis. This aggravates the
14 modifiable areal unit problem (Kwan 2012, 2009, Clark and Scott 2014) besetting these
15 studies. Census-based aggregates are not related to behavioural patterns and cannot
16 accurately capture the health-defining socio-economic, political, cultural, environmental and
17 institutional processes active within them. Aggregate-level analyses are often misleading in
18 guiding specific policy on account of ecological fallacy and on account of the detail that they
19 obscure (Diez-Roux 1998, Pearce 2000, Robinson 2011). From a public health planning
20 perspective, many BE-health models fail to statistically adjust for potentially confounding
21 compositional socio-demographic, lifestyle and morbidity variables and consequently lack
22 reliability in predicting strength and significance of associations. In the light of insufficient and
23 sometimes conflicting evidence, it becomes almost impossible to formulate specific urban
24 planning and management policies to guide targeted health interventions.
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37 With these weaknesses of previous studies in mind, we embarked on a large-scale
38 research project that emphasises (a) individual not aggregate health data; (b) objective not
39 subjective BE metrics; (c) large N studies; (d) network distance measurements; (e)
40 longitudinal panel data.
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44 In this paper, we report the development of a seminal UK-wide baseline spatial database
45 that will function as a *platform for evidence-based healthy city planning and interventions* for
46 the first half of the 21st century. The platform will facilitate the construction of suite of models
47 that will explicitly decipher the health impacts from the genetic to micro BE scales for half-a-
48 million Britons.
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52 **2.0 The transformative idea and its operationalization**

53 *2.1 Conceptual framework and hypothesis:*

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3 We introduce the *urban health niche paradigm*, as a holistic and multi-disciplinary approach
4 to studying healthy city dynamics. We conceptualize the urban system as a *supra-organism*
5 with its component spatial road networks as the vascular system channelling the positive
6 and negative externalities of urban agglomeration to individuals. The benefits and costs of
7 co-habitation in a city are transmitted in economic, social, environmental and health domains
8 and we view these as flowing through the principal channel of urban communication: the
9 road network. An individual in the urban system and the production of his/her health at a
10 given moment of time is conceptualized by a ubiquitous *urban health niche* – a hypothetical
11 hypervolume encompassing the epidemiologic triad of person, place and time. The urban
12 health niche is, in essence, a spatio-temporal manifestation of the causal agents and
13 processes functioning at the micro-, meso- and macro-level urban scales at which the health
14 defining processes function. Details of our model are elaborated elsewhere (Sarkar,
15 Webster, and Gallacher 2014). As an example, Figure 1 illustrates the potential pathways
16 through which gene-environment may interact to produce a functional niche for
17 cardiovascular diseases in a city. This conceptual model forms the descriptive and
18 prescriptive basis for guiding multidisciplinary empirical research by specifying a data model
19 across multiple and multi-level health-defining factors active throughout the human life
20 course. Together, the conceptual and empirical data models provide a basis for multi-level
21 urban planning and health policies and intervention strategies. Such a bottom-up planning
22 model (in the sense of being guided by individual effects and outcomes) allows us to analyse
23 the multiple-level spatio-temporal health determinants that exist at the different levels of a
24 city's functional and organizational hierarchies. This, we suggest, should allow for a much
25 greater accuracy of evidence-base policymaking and detailed urban plan making than has
26 hitherto been possible. It also makes it possible to devise health-promoting intervention
27 strategies at both individual and population levels, with appropriate levels of confidence
28 placed on the anticipated results.

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44 **[Insert Figure 1]**

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46 With this conceptual model and associated empirical models, we wish to examine whether,
47 and to what degree, spatial configurational attributes at the levels of household, surrounding
48 neighbourhood, city and overall region, have an impact upon an individual's lifestyle,
49 behaviour and health. The association between the structure of configured urban space
50 (layout of roads, open/green spaces, location of health promoting/inhibiting facilities and so
51 on) and individual behaviour is becoming better understood, but there have been too few
52 studies of a size capable of delivering reliable generalisations (Sarkar, Webster, and
53 Gallacher 2014). We hypothesize that the configuration and design of urban space around
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3 the dwelling locations of half-a-million UK Biobank cohort members affects the quality of
4 physical, social, economic and built environments and configure the neighbourhood physical
5 and social activity space in a way that affects measurable health outcomes. Urban
6 configuration includes land use density, distribution and mix; accessibility to health
7 promoting/inhibiting resources; community severance; congestion and pollution; employment
8 density and mix; social capital and so on. The quality and extent of local environmental
9 exposures influences individual physical activity behaviour, lifestyle and social interactions,
10 general wellbeing and consequently, specific health outcomes including weight outcomes,
11 stress levels, cardio-metabolic and mental health risks. With such a large study and wide
12 range of objectively measured data about both an individual's health and the configuration of
13 the BE around an individual's home, we can unpack these complex relationships in a way
14 that has never been done before and put a health 'impact' measure on a large number of
15 urban planning variables (such as housing density, street pattern, green space accessibility,
16 land-use mix and so on).
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24 25 *2.3 Methodology:*

26 27 *2.3.1 The pilot project:*

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30 Based on our health niche methodology and a systematic meta-analysis of literature
31 connecting BE and health, we were able, in a pilot study, to model and operationalize a high
32 resolution and sophisticated GIS database of health promoting/inhibiting BE morphological
33 metrics (morphometrics) for a small city with unusually good public health data (Sarkar,
34 Webster, and Gallacher 2014). *State-of-the-art* spatial and network analyses were performed
35 upon diverse spatial datasets to investigate fine-scale associations between urban
36 configuration and individual health in Caerphilly, South Wales, using health data from the
37 Caerphilly Prospective Study, one of the longest standing epidemiological prospective
38 cohorts, set up in 1979. Linking individual-level health data to corresponding urban
39 morphometrics measured at multiple spatial scales enabled development of a series of multi-
40 level cross-sectional and longitudinal models to decipher fine-scale associations between BE
41 configuration and individual level obesity and psychological health outcomes Sarkar,
42 Gallacher, and Webster 2013a, b). These studies provided an opportunity to optimize and
43 refine our geocoding, spatial modelling and data linkage operations enabling scaling-up and
44 replication of the project across the entire UK.
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54 *2.3.2 Whose BE are we measuring and whose health are we studying? - The UK Biobank*

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3 The UK Biobank¹ (UKB) is the flagship large-scale prospective cohort study of 503,325
4 participants aged 40-69 years, registered with the National Health Service (NHS) and
5 residing within 25 miles of one of the 22 assessment centres across major cities of Scotland,
6 England and Wales (see Figure 2). The spatial scale of 25 miles encompasses well beyond
7 the urban fringes of major cities of UK so that a fair proportion of UKB cohort participants
8 reside in rural areas. The participants, recruited over the period 2006-2010, provided
9 extensive baseline data on socio-demographics, lifestyle (including diet and physical
10 activity), environment, medical history, anthropometrics and biological samples (blood, urine
11 and saliva) and hospital related outcomes. Measurements on biomarkers of cardio-metabolic
12 diseases are currently ongoing and so are the repeat assessments for the second phase
13 (UK Biobank. 2007, Allen et al. 2012). The objective of the UKB is to investigate the genetic,
14 lifestyle and environmental causes of a range of diseases. Our research adds a BE
15 dimension to this path-breaking national study, enabling capacity to investigate health effects
16 of various attributes of BE.
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25 **[Insert Figure 2]**

26 *2.3.3 The UK Biobank Urban Morphometric Platform (UKBUMP) – Nation-wide health niche* 27 *database for the UK Biobank*

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31 UKBUMP is, therefore, a high resolution spatial database of morphometrics being developed
32 for half-a-million UKB participants across 22 cities of the UKB Prospective cohort. The aim is
33 to generate robust evidence of the links between attributes of BE and health and wellbeing,
34 thereby functioning as a nationwide resource for evidence-based healthy city planning and
35 public health interventions. Morphometrics generation involved a series of sophisticated
36 spatial and network analyses performed upon diverse UK-wide spatial databases. These
37 included UK Ordnance Survey Mastermap (OSM) and AddressBase Premium databases,
38 UKMap, UK Land Registry, colour infrared imageries, digital terrain models, National Public
39 Transport Access Node, among others. The resultant health niche database led to the
40 modelling and compilation of more than 750 health-specific individual level urban
41 morphometrics linked to each of the half a million subjects in the geocoded UKB health
42 database. Sarkar, Gallacher, and Webster (2014) reports the Welsh part of the study.
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51 The OSM Topography Layer contains information on detailed surface features of the
52 landscape, categorized under nine themes including building footprints, while the Address
53 Base Premium data provides the most detailed view of an address and its life cycle. It
54 comprises of local authority, Ordnance Survey and Royal Mail addresses, current (approved)
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58 ¹ <http://www.ukbiobank.ac.uk/>
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3 addresses, and alternatives for current addresses (reflecting differences in versions of
4 addresses in current use), provisional addresses (proposed planning developments) and
5 historic information for each address, where available, plus OWPAs and cross references to
6 the OS MasterMap layer's TOIDs. The licence for the UK-wide Address Base Premium data
7 procured from UK Ordnance survey comprised approximately 36 million valid address point
8 features with an uncompressed file size of 29 GB. The component layers of the Address
9 Base Premium data were joined together through the unique field – Unique Property
10 Reference Number (UPRN). Thereafter, the geo-referenced grid coordinates; land use
11 classifications and full address for each valid address point surveyed were extracted. The
12 same land use classification scheme as employed by the Ordnance Survey AddressBase
13 Premium has been used in UKBUMP. The polygon-based OSM Topography Layer and
14 Address Base Premium were connected together through spatial GIS queries to provide us
15 with exact dwelling footprints and point locations. The OSM Integrated Transport Network
16 (ITN) layer provides a topologically structured representation of the road network with
17 respect to geometry of road links, road type (motorway, A road, alleyway, etc.), junctions,
18 grade separation, road names and numbers and information about the nature of road that
19 the link represents (single carriageway, dual carriageway, slip road etc). These formed the
20 basis for the development of land use and street network morphometrics. All land use
21 addresses within 10-Km buffer of a polygon enveloping all geocoded UKB dwelling locations
22 in an assessment centre were extracted from the AddressBase Premium data.
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34 To operationalize our research, dwelling addresses of anonymized UKB respondents were
35 geocoded in British Coordinate System up to an accuracy of +/-1.0 metres by matching with
36 the Ordnance Survey AddressBase database. The aim is to create an objective assessment
37 of the built environment around the immediate neighbourhood of the half million participants
38 of the cohort. This results in the modelling and compilation of corresponding individual-level
39 spatial database of BE and their eventual linkage with the UKB health datasets. Given the
40 existing complexities associated in delineating neighbourhoods for conducting BE-health
41 studies (Kwan 2012a, b), we created BE measures for neighbourhoods around a UKB
42 subject's home at five spatial scales: of 500, 1000, 1500, 2000m and LSOAs of residence for
43 the development of land use morphometrics. The buffer sizes were selected based on the
44 scientific literature on evidences of effects of various attributes of built environment on
45 specific physical and mental health outcomes as well as physical activity behaviour.
46 Network neighbourhoods were created at each scale using vehicle-accessed street
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3 catchments delineated around each UKB dwelling address by ArcGIS 10.2 Network Analyst.
4 The LSOA² within which a dwelling is situated is has also been used.
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7 Land use densities of more than 200 health-promoting/-inhibiting land use destinations
8 were measured within census-defined LSOAs and within 500, 1000, 1500 and 2000 metres
9 street catchments of each UKB respondent's dwelling. Destination accessibility was
10 operationalized in terms of street network distance to *nearest* health promoting/inhibiting
11 destinations for more than 35 destination types (clinics, GP surgeries, parks etc). This was
12 done with the origin-destination cost matrix algorithm within ArcGIS 10.2 Network Analyst.
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16 Physical accessibility of street network was modelled through spatial Design Network
17 Analysis (sDNA). sDNA is a sophisticated technique of urban network analysis that has
18 evolved from conventional network analyses and employs street network *link* as the
19 fundamental unit of computation (Chiaradia et al. 2012, Sarkar, Webster, and Gallacher
20 2014). The OSM ITN was subjected to automated cleaning in *sDNA Prepare Tool* and
21 subsequent modelling produced a suite of twenty different indices of street network
22 accessibility. These measure centrality, detour, shape and efficiency, link characteristics of
23 urban morphology captured at micro (neighbourhood), meso (city) and macro (regional) level
24 encompassing 19 different catchment radii (400 – 50,000 metres). These are generated in
25 sDNA for all links in the urban road network covering the entire UKB cohort members and
26 the metrics for a street links containing a UKB respondent's dwelling were added to the
27 respondent's BE profile.
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36 Physical environment measures comprised greenness and terrain. Greenness was
37 expressed in terms of 0.5 m x 0.5m grid resolution Normalized Difference Vegetation Index,
38 generated from 0.5m Blue Sky colour infrared imagery. They were aggregated to 500 metres
39 Euclidean buffer of each UKB respondent's dwelling. Terrain was derived from 5m resolution
40 Blue Sky digital terrain model through slope analysis in ArGIS 10.2 Spatial Analyst. Slope
41 and its variability (in degrees) were aggregated to 500 and 1000 metres Euclidean buffer of
42 each UKB respondent's dwelling.
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47 A foodscape data layer consisted of accessibility variables to detailed food environments
48 for 3 UKB centres within Greater London Authority comprising more than 50,000
49 participants. UKMAP, one of the most detailed and feature-rich databases for London was
50 employed for preparing the detailed GIS layer of food outlets. Densities of 19 different
51 typologies of food outlets were measured within census-defined LSOAs and 500, 1000
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58 ² UK Office of National Statistics defines Lower Super Output Areas (LSOAs) as relatively stable, compact geographical units
59 with reasonable degrees of homogeneity in shape and social composition.
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3 metre Euclidean and street catchment buffers of each UKB respondent's dwelling. Analyses
4 of street network distance to *nearest* were also conducted for each typology of food outlets.
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8 Among the other data layers, the Cities Revealed database formed the source of building
9 class GIS data. Building class were extracted for the area of interest and the building
10 footprints were subsequently linked with the geocoded UK Biobank participants' residences
11 through a spatial query so that each UK Biobank participant's dwellings fell within one of the
12 6 building age categories and 12 building type categories. Area-level deprivation was
13 expressed in terms of composite index of multiple deprivation (Welsh, Scottish and English
14 versions) and their domain scores at the LSOA neighbourhood level of each UKB
15 respondent's dwelling. The composite Welsh Index of Multiple Deprivation score (WIMD)
16 comprises from eight unitless indicators of disadvantage (so-called domain indices) for
17 income, employment, health, education, access to services, community safety, housing and
18 physical environment having domain weights of 23.5%, 23.5%, 14%, 14%, 10%, 5%, 5%
19 and 5% respectively (Welsh Government 2011). The Scottish Index of Multiple Deprivation is
20 composed of the first seven domain indices of WIMD (The Scottish Government 2012). The
21 corresponding English version called the Index of Deprivation (Communities and Local
22 Government 2011) is also measured across seven distinct domains of income, employment,
23 health deprivation and disability, education skills and training (children and young people
24 and skills sub-domains), barriers to housing and services (geographical barriers and wider
25 barriers sub-domains), living Environment (indoor and outdoor sub-domains), and crime. It
26 has two supplementary indices; namely Income Deprivation Affecting Children Index and
27 Income Deprivation Affecting Older People Index. A property price database (only for three
28 UKB assessment centres of Wales) was constructed by geocoding land registry data and
29 linking to UKB addresses.
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42 Given the extensive spatial extent encompassing several thousand square kilometres,
43 spatial modelling of each set of morphometrics involved several weeks of intensive
44 uninterrupted geocomputations for each of the UKB assessment areas. Some of the
45 geoprocessing required development of a series of scripts in Python to enable automation.
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47 The complete list of BE morphological metrics in UKBUMP is summarized in Table 1.
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51 **[Insert Table 1]**
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53 **3.0 Discussion and conclusion**

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56 The baseline *UK Biobank Urban Morphometric Platform*, a nation-wide urban morphometric
57 database for half-a-million Britons of the UKB prospective cohort will provide a national
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3 resource that can be accessed by urban planning and public health research communities
4 for evidence-informed interventions. UKBUMP is housed within the centralized UK Biobank
5 data repository, hosted at Oxford University and will be made open-access on completion of
6 the developmental phase of the project (anticipated to be June 2016). As per UKB's access
7 protocols³, data will be available for researchers without any exclusive/preferential access
8 and with extensive safeguards in place to ensure anonymity and confidentiality of
9 participants' data and samples (UK Biobank). The four-step procedure requires the
10 researchers to register, submit a preliminary application, a main application upon approval of
11 the former and subsequently sign a material transfer agreement for an approved research
12 project. We hope that the empirical studies supported by this platform will help achieve a
13 step-change in our understanding of associations and causality in the links between BE,
14 behaviour, health and wellbeing. Such evidence is needed for more effective cross-
15 professional sharing of knowledge and innovations between the disciplines of urban
16 planning and public health (Webster 2014, Sarkar, Gallacher, and Webster 2014).
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25 Traditionally, national-level health surveys such as the CDC's Behavioural Risk factor
26 Surveillance System⁴ (the largest telephonic interview , with more than 400,000 US adults),
27 Canadian Community Health Survey⁵ (a cross sectional survey of 65,000 participants in 110
28 health regions across Canada) Dutch National Survey of General Practice (Westert et al.,
29 2005) can provide large scale evidence of socio-spatial distribution of health. However, most
30 BE-health studies based on these survey data tend to be at the aggregated level of census
31 based neighbourhood units and are cross-sectional in nature. In recent years, large scale
32 prospective cohort studies have emerged that comprise centralized individual-level data
33 infrastructures and have the potential to model an evidence-base of health impacts of spatio-
34 temporal risk exposures. The European Prospective Investigation into Cancer and Nutrition⁶
35 is a classic example with more than half a million participants recruited across 10 European
36 countries and followed for 15 years. Other examples include The Japan Collaborative Cohort
37 Study for Evaluation of Cancer Risk (Ohno, Tamakoshi, and Group 2001), a cohort study
38 110,792 participants from 45 communities of Japan and followed-up for 20 years; China
39 Kadoorie Biobank⁷, a cohort of 510,000 adults from 10 geographically defined regions of
40 China, and the Hong Kong FAMILY Cohort, a prospective cohort of 46,001 participants in
41 Hong Kong (Leung et al. 2015). To our knowledge none of these large scale epidemiological
42 cohorts presently have dedicated individual-level linked BE data infrastructures (we are
43 currently replicating our UKBUMP methodology with the HK FAMILY cohort. There have
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55 ³ <http://www.ukbiobank.ac.uk/scientists-3/>

56 ⁴ http://www.cdc.gov/brfss/about/about_brfss.htm

57 ⁵ <http://www23.statcan.gc.ca/imdb/p2SV.pl?Function=getSurvey&SDDS=3226&lang=en&db=imdb&adm=8&dis=2>

58 ⁶ <http://epic.iarc.fr/>

59 ⁷ <http://www.ckbiobank.org/site/>

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3 been several classic studies specific on environment-health (although at a much smaller
4 scale) such as Multi-Ethnic Study of Atherosclerosis⁸ (medical research study on the
5 characteristics and risk factors of CVD involving N = 6,814 men and women from six
6 communities) and the Neighbourhood Quality of Life Study⁹ (National Institute of Health
7 funded study on environmental correlates of physical activity for 2,200 participants of King
8 County, WA and Baltimore, MD) in the US. Other studies include the Belgian Environmental
9 Physical Activity Study in Europe (Van Dyck et al. 2010) and the Physical Activity in
10 Localities and Community Environments study in Australia (Owen et al. 2007). UKBUMP will
11 be the first BE-health study to model and automate detailed and objective individual-level
12 measures of BE and link them to an existing national-level prospective health cohort. Our
13 vision is to replicate the methodology to other existing large scale prospective health cohorts
14 and thereby open up avenues for cross country BE-health studies.

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22 The very large sample size in the UKB cohort is representative of the UK-wide population
23 and will lead to a step-change in rigour via significant increments in explanatory power of the
24 models as well as causal inference (Collins 2012, Manolio et al. 2012). Prospective health
25 datasets of the UK Biobank cohort further compliments causal inference enabling the
26 measurement of health impacts of sustained exposure to specific stable attributes of the BE
27 over the life course. More specifically, in any prospective epidemiological cohort studies, it is
28 expected that both prevalence rates of a specific chronic disease as well as frequency and
29 distribution of risk exposures are rare per unit time of follow-up. A large sample size and
30 increased time span of follow-up in essence helps achieve optimized accumulation of
31 person-time experience. In other words, a large sample size is synonymous with statistical
32 power with significant increments in robustness and reliability of associations of disease
33 prevalence with risk exposures. Assessment of a large population with different contextual
34 exposures will enable the establishment of generalizable associations of BE risk exposures
35 with behaviour and chronic disease.

44 Our current efforts will establish the baseline phase. Follow-up, updates and linkage of
45 dynamic BE records over time will help develop a longitudinal BE database linked to
46 subsequent waves of UK Biobank. So the aetiology of a specific disease can be established
47 with confidence via longitudinal modelling.

51 The large spatial extent of the study area implies significant contextual heterogeneity. This
52 opens up opportunities to construct and test specific causal hypothesis upon sub-samples of
53 the data. One example we plan to work on in the first phase of analysis will be to test the

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57 ⁸ <http://www.mesa-nhlbi.org/>

58 ⁹ <http://www.nqls.org/>

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3 specific health impacts of varied exposures to BE risk factors in rural and urban sub-sets of
4 the UK Biobank participants and at different population densities.
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7 Large-scale automation of objective and precise measures of individual-level BE
8 morphometrics mean that BE metrics can be updated periodically, helping to unravel the
9 *black box of causality* and identifying pathways through which BE in conjunction with the
10 social and natural environment, acts as a fundamental determinant of individual behaviour,
11 physical and mental health, both directly and indirectly.
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15 With extensive multi-scale health datasets, detailed measures of BE and statistical
16 adjustments for confounders, UKBUMP has the potential to demonstrate the value of big
17 data spatial modelling, automation and data linkage in the domain of health technology. Big
18 data modelling performed upon multiple interlinked national-level spatial datasets is not only
19 cost effective but is also objective and unbiased compared to conventional survey-based
20 measures that are often prone to the evaluator's/participants' subjective perceptions of the
21 BE. Measurement of BE at multiple spatial scales (five neighbourhoods for land use
22 morphometrics and nineteen radii encompassing micro- via meso- to macro-scales for urban
23 network morphometrics) enable the analyst to explore hypotheses about size and scale of
24 functional neighbourhoods within which the various health-defining processes are active.
25 Densities of health-promoting/-inhibiting services have been measured at street catchments
26 ranging from 500-2000 metres as well as within the socially homogeneous census-defined
27 LSOAs. This permits hypothesis testing about the sensitivity of models to areal unit
28 definition. Similarly, physical accessibility metrics based on a graph-theoretic model of the
29 road network and measured at multiple catchments ranging from 400-50,000 metres,
30 enables us to decipher the effects of differential accessibility at multiple spatial scales
31 ranging from micro- (neighbourhood) to meso- (city) up to macro- (regional) scales. The
32 inclusion of more conventional spatial accessibility metrics also allows the analyst to
33 compare the efficacy of network and straight-line measures in epidemiology models.
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46 Other strengths of UKBUMP as a research platform for health geography and spatial
47 epidemiology include currency, accuracy and resolution of data. The UK Ordnance Survey,
48 the flagship national mapping agency of Britain, enabled easy access to standardized clean
49 UK-wide spatial data for the project at a level of building footprints (as opposed to land
50 parcels). The Ordnance Survey Mastermap and AddressBase products are updated every
51 six-week interval. Both Ordnance Survey AddressBase as well as UKMAP ensures
52 geocoding accuracy of up to +/-1 metre. The use of high resolution Colour Infrared Image
53 (50 cm) for NDVI calculations meant accurate delineation of objective urban greenness. The
54 slope metrics were derived from a 5m DTM. Standardized automation for generating
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3 morphometrics ensured validity and reliability of the metrics developed. Data access to
4 multiple agencies was facilitated by a set of licences procured over the duration of the
5 project, with various legal arrangements put in place for secondary and derivative data use.
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9 Amongst the challenges faced in this project, the extensive spatial scale of means
10 intensive geoprocessing and computation lasting several weeks at a time to develop specific
11 components of the platform for any single UKB collection centre. As with any interdisciplinary
12 large scale research project, other challenges included issues of logistics such as procuring
13 licences for sustained use of large scale spatial data from multiple national level agencies as
14 well as effective coordination between our partners and collaborators. Sustained funding is
15 another challenge. As of now we have been funded to develop the baseline BE platform for
16 only the first wave of the UK Biobank study. This included an ESRC Transformative
17 Research Grant of £250,000 and a UK Biobank Grant of £50,000 towards spatial analyses
18 and individual-level morphometric data development for UKBUMP. Grants for the first wave
19 of studies to use the data came from the ESRC and The University of Hong Kong (UDF and
20 PDF).
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28 To enable reliable life-course studies of BE and health, prospective data on health and
29 socio- demographic variables must be complimented with retrospective spatial data on the
30 built and natural environment compiled and integrated at individual and neighbourhood
31 levels. In this regard, we will seek future funding opportunities to enable us to update and
32 develop the UKBUMP platform for subsequent waves of UK Biobank study. The first wave of
33 the UK Biobank study ranged between 2006 and 2010 when baseline data was collected.
34 Spatial data employed in the development of UKBUMP were collected as close as possible
35 to the end of the baseline wave to avoid temporal mismatch.
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41 A series of preliminary proof of concept and validation studies, approved by the UKB, are
42 currently ongoing to identify specific associations between attributes of urban design and
43 configuration and specific outcomes of behaviour, health risks, health and wellbeing after
44 adjusting for a range of individual-level covariates including prevalent disease. Figure 3
45 provides illustrative flow diagram of specific applications of the UKBUMP database for large-
46 scale BE-Health research. Each experiment will support a specific cause-effects hypothesis,
47 thereby explicitly identifying associations and causal pathways from BE to health. These
48 include association between:
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54 ▪ Multi-scalar BE morphometrics (physical accessibility to service destinations, green space
55 and street network accessibility) with physical activity, body mass index, mental health
56 and wellbeing.
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- BE morphometrics of physical accessibility to service destinations and cardiovascular risks.
- Physical access to unhealthy food environments, diet and prevalence of obesity and cardiovascular risks.
- Individual and neighbourhood wealth (captured by household income and property price) and cardiovascular risks.
- Green space and respiratory health.
- Individual mental and physical health and urban settlement policy such as settlement size, density, regeneration and municipal expenditure.

[Insert Figure 3]

Statistical methods in these studies comprise conventional ordinary regression, logistic regression, Cox regression; hierarchical multi-level models exploring variances within space and time (Goldstein 2003) as well as spatial models exploring spatial autocorrelations including geographically weighted regression (Brunsdon, Fotheringham, and Charlton 1998, Fotheringham, Brunsdon, and Charlton 2003) and LISA (Anselin 1995). As repeat assessment data on health become available over the course of the UKB project, longitudinal BE-health models will be developed to measure the impacts of sustained exposure to specific stable and variable attributes of the BE. These will have the potential to capture the sustained health-effects of urban migration and planning interventions over a person's life course (including the influences of urban regeneration and retrofitting projects, infrastructure development projects such as the HS2, as well as fluctuations in property price and wealth-influencing economic cycles). Prospective studies of urban migration, neighbourhood-level churn in property prices and individual health outcomes are planned. As data on genetic biomarkers become available for UKBiobank subjects, gene-environment studies such as associations between biomarkers of physiological and psychological stress and neighbourhood contexts, including individual and neighbourhood wealth and access to urban green will be tested to causal evidence. The large scale evidences produced from these studies will be generalizable and hence have significant policy relevance for guiding urban and public health policies as well as devising holistic and efficient preventive intervention mechanisms for health promotion. Large-scale evidence of impacts of urban form and density upon unhealthy activity behaviours will inform public health policy directed at fighting chronic diseases including obesity and cardio-metabolic risks. As an example, the repeated diet-recall questionnaire in the UK Biobank when combined with our spatial database of accessibility to food outlets (for London) will inform national level food policy via city-wide (N=50,000) evidence of differential exposures to healthy/unhealthy food. Clinicians,

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3 nutritionists and health economists will have the opportunity to use the data to model
4 potential reductions in mortality from chronic disease associated with unhealthy
5 neighbourhood foodscapes. The data allow identification of the spatial scales at which urban
6 form, density and accessibility influence specific health outcomes, providing evidence to
7 urban designers. Health economists will be able to use the data to monetize healthy urban
8 configuration and design by modelling reduced health costs accruing over a period of time
9 among people living in activity-friendly communities. UKBUMP thus offers the possibility of
10 fine-tuning existing urban policies with respect to density, mix, master planning and zoning,
11 density and distribution of urban green, active travel. Looking at the associations and causal
12 links the other way around, UKBUMP could be used in Bayesian model to explore the
13 discriminating power of BE configuration in clinicians' diagnosis and prognosis.
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21 Responding to the urgent need of rebuilding bridges between the disciplines of urban
22 planning and public health and epidemiology, UKBUMP was created by a strong multi-
23 disciplinary collaborative partnership, include the core research team (The University of
24 Hong Kong HKUrbanLab, Cardiff University Medical School and School of City and Regional
25 Planning, Oxford University Department of Psychiatry, University of Cambridge Department
26 of Land Economy); the central UK Biobank based in Oxford University; national-level spatial
27 data providers including UK Ordnance Survey and MIMAS; local authorities as well as
28 research collaborators in multiple partner universities such as The University of Cambridge
29 Centre for Diet and Activity Research. The project would not have been feasible without the
30 pooling of expertise in such an intensive interdisciplinary collaboration.
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38
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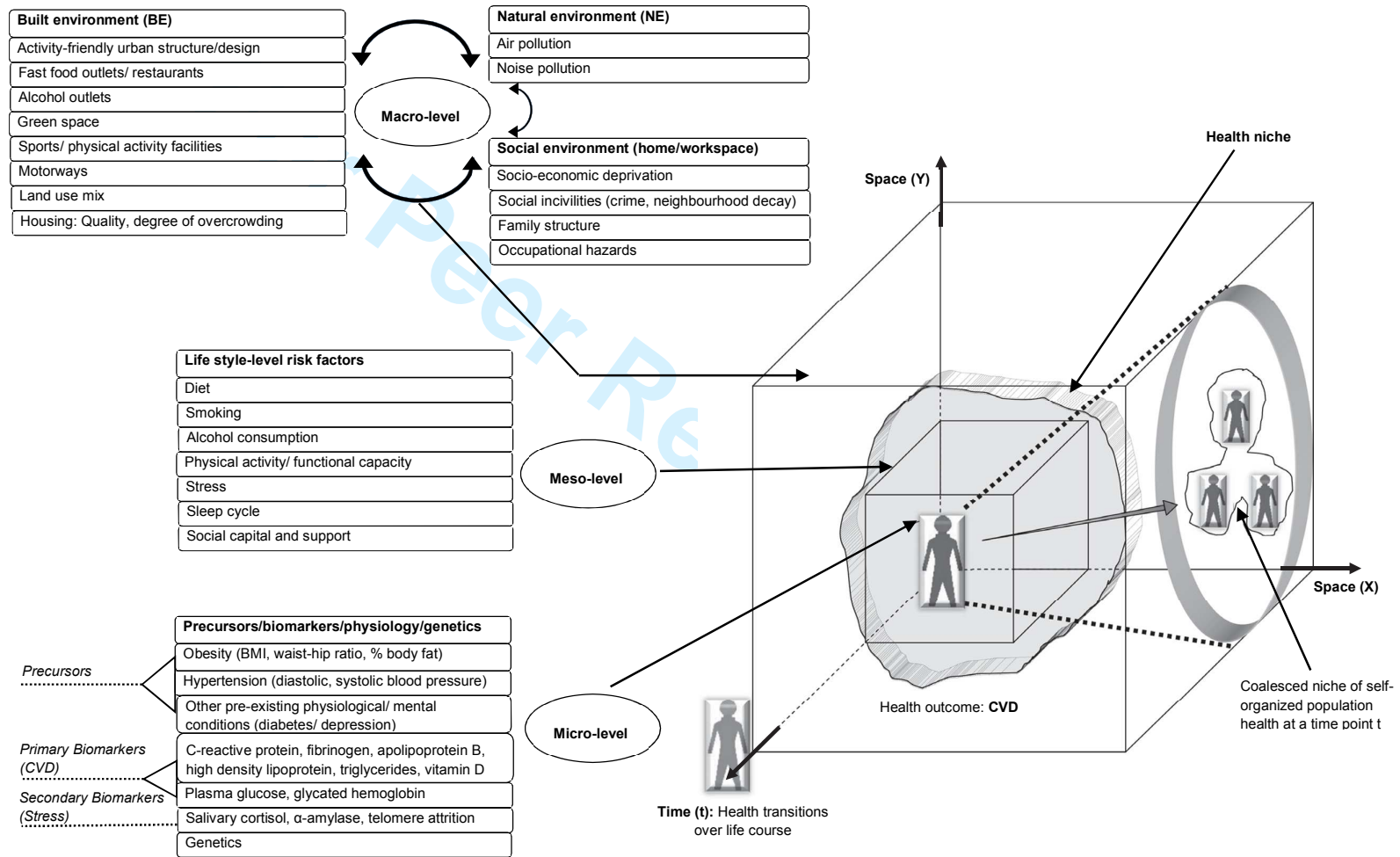


Figure 1. Gene, environment and the corresponding urban health niche for cardiovascular disease.

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Figure 2. Spatial locations of 22 UK Biobank assessment centres with number of participants.

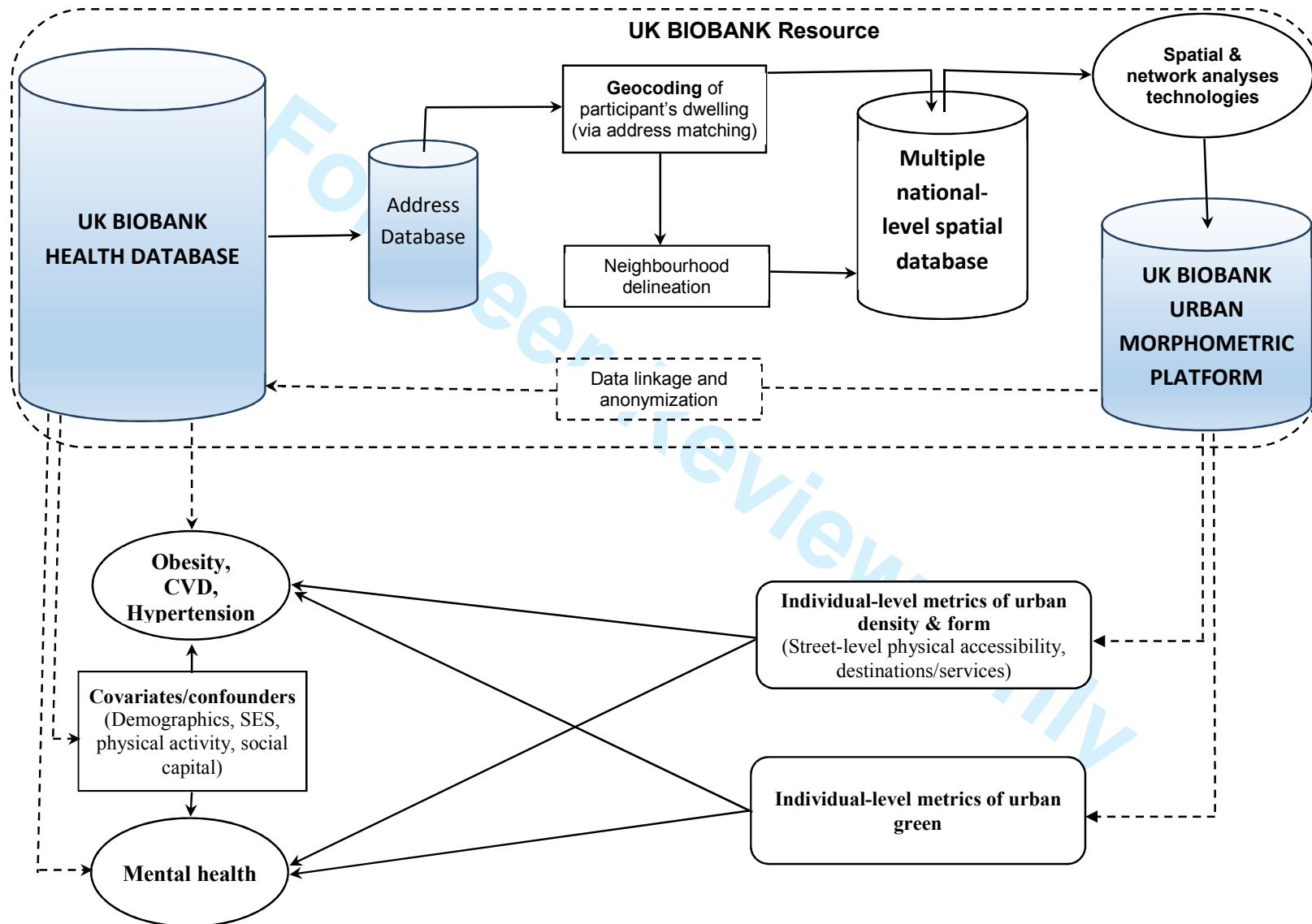


Figure 3. Illustration of potential applications of UKBUMP database in BE-Health studies.

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Table 1. Description of built environment morphological metrics of the UK Biobank Urban Morphometric Platform (UKBUMP).

Urban morphometrics	Data source	Description	Spatial analyses conducted	Spatial scale
Land use morphometrics				
Density of health-promoting/-inhibiting destinations	UK Ordnance Survey Address Base Premium ^{1,2} , National Public Transport Access Nodes ³ (NaPTAN) dataset.	Densities of more than 200 categories of potential health-promoting/-inhibiting destinations were measured within pre-defined street catchment area. These included agriculture (4)*, community services (12), education (17), hotels (4), industry (8), leisure facilities (24), medical facilities (10), animal centre (6), offices (2), retail (10), transport services (14), bus stops (1), utilities (10), emergency and rescue services (7), information centre (4), allotment (1), amenity land (5), parks (5), unused land (2), water body (4), military sites (6), parking (1), garage (2), house in multiple occupancy (4), residential institutions (4), monuments (6), underground features (2), places of worship (9).	Component layers of OS Address Base Premium data were joined together through the unique field – Unique Property Reference Number (UPRN). The exact location (geo-referenced grid coordinates), land use classifications and full address of each class of health promoting/-inhibiting destinations were extracted. Density was calculated as the number of units divided by the participant's pre-defined catchment area.	500, 1000, 1500 and 2000 metres street catchment of an UKB participants' dwelling and census-defined LSOA. Developed for all UKB assessment centres.
Health-specific destination accessibility	UK Ordnance Survey Address Base Premium.	Network proximity to 36 health-specific destinations including public/village hall/community facility, job centre, college, children's nursery/creche, preparatory /first/primary/infant/junior/middle school, secondary/high school, university, factory/manufacturing, mineral/ore working/quarry/mine, workshop/light industrial, warehouse/store/storage depot, library, bingo hall/cinema/ conference/exhibition centre/theatre/concert hall, dentist, GP practice surgery/clinic, hospital/hospice, central government service, local government service, bank/financial service, retail service agent,	Street network distance (in metres) between the UKB participants' dwelling and the nearest health-specific destination was calculated using <i>closest facility analysis</i> in Network Analyst, ArcGIS 10.2.	UKB participants' dwelling unit. Developed for all UKB assessment centres.

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6			post office, public house/bar/night club,	
7			restaurant/cafeteria, fast food outlet/takeaway	
8			(hot/cold), bus stops, car/coach/commercial	
9			vehicle/taxi parking/park and ride site,	
10			station/interchange/ terminal/halt, electricity	
11			sub-station, landfill, power station/energy	
12			production, water/waste water/sewage	
13			treatment, recycling, police/ transport police	
14			station, fire station, ambulance station, places	
15			of worship.	
16	Accessibility to food	UKMap data ⁴ for	Densities and street network distance to	7 layers of UKMap were linked
17	environments	London.	nearest were measured for 19 different	together through a unique
18			typologies of food-related destinations	Geographic entity type sequential
19			including supermarket, general convenience	number (GTN) to extract location
20			store/grocer/mini market, butcher,	(geo-referenced grid coordinates),
21			greengrocer, fishmonger, baker,	addresses and precise land use
22			delicatessen/specialist, confectionary, off-	classes. Food-related land use
23			licence, restaurant, take-away, coffee	destinations extracted. Density
24			shop/internet café, sandwich bar, public	was expressed as the number of
25			house/wine bar, hotels/guest houses/bed &	units divided by the participant's
26			breakfast, department store, general store	pre-defined catchment area, while
27			(WHSmith, Poundstretcher etc), newsagent,	network distance (in metres)
28			markets/mixed bazaar.	between the UKB participants'
29				dwelling and the nearest was in
30				Network Analyst, ArcGIS 10.2.
31	Urban network morphometrics			
32	Street-level	UK Ordnance Survey	Urban network analysis model called spatial	The OS ITN layer was extracted
33	accessibility	Integrated Transport	Design Network Analysis (sDNA) was	and subjected to automated
34		Network (ITN) ⁵ .	employed to measure the street-level physical	cleaning including the initial
35			accessibility. A suite 20 accessibility indices	processes of removal of traffic
36			including network centrality (closeness and	islands as well as repairing of split
37			betweenness centrality), network detour (sum	links. sDNA modelling enabled
38			of crow flight, mean diversion ratio and	measurement of physical
39			diversion ratio), network shape and efficiency	accessibility indices at pre-defined
40			(convex hull area, perimeter and bearing, and	catchments for each street link in
41			network shape index), link characteristics	the urban network of the study
42			(length, angular curvature and connectivity)	area. Spatial linkages in ArcGIS
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and radius-based indices (number of links, total network length, total angular distance, total and mean geodesic length and number of junctions within a defined catchment radius) were measured.

between the sDNA network metrics and the dwelling location of the UK Biobank participant enabled measurement of physical accessibility indices of the street network link *closest to, within a 25 metres buffer and within a 50 metres buffer* of the UK Biobank respondent's dwelling.

(regional) levels. Developed for all UKB assessment centres.

Dwelling-level morphometrics

Residential density UK Ordnance Survey Address Base Premium.

Densities of 9 categories of dwellings (including caravan, detached, semi-detached, terraced, self-contained flats, sheltered accommodation etc) were measured within pre-defined street catchment area.

The exact location (geo-referenced grid coordinates); land use classifications and full address of units under each dwelling category were extracted from OS Address Base Premium data. Density was calculated as the number of units divided by the participant's pre-defined catchment area.

500, 1000, 1500 and 2000 metres street catchment of an UKB participants' dwelling and census-defined LSOA. Developed for all UKB assessment centres.

Building age and type *Cities Revealed* building class dataset⁶.

6 building age categories comprised of late Victorian/Edwardian (1870-1914), world war 1-2 (1914-1946), post war regeneration (1946-1964), sixties/seventies (1964-1978) and modern (1978-1988).
12 building type categories comprised of very tall flats, tall flats (6-16 stories), lower 3-4 stories and smaller flats, tall terraces (3-4 storeys), lower terraces (2 storeys with T-rear extension), lower terraces (small), linked and step linked houses, planned balanced mixed estates, standard size semis, semis in multiples of 4/6/8, large property – semis, smaller detached houses, large detached houses.

The building class data was extracted and the corresponding footprints were linked with the geocoded UK Biobank participants' residences. Building age and type was extracted through a spatial query.

UKB participants' dwelling unit. Developed for all UKB assessment centres.

Physical environment

Greenness 0.5-metre resolution colour infrared image⁷

Degree of greenness was calculated as the Normalized Difference Vegetation Index (for

A collection CIR data chunks were merged together, area of

500 metres Euclidean buffer of an UKB

	(CIR) licensed by Blue Sky.	0.5m x 0.5m) raster cells.	interest extracted. NDVI index was calculated in Raster Calculator – Spatial Analyst, ArcGIS 10.2. Greenness was calculated in terms of mean, minimum, maximum and standard deviation in the NDVI values within a predefined catchment area.	participants' dwelling. Developed for all UKB assessment centres.
Terrain	5-metre resolution digital terrain model ⁷ licensed by Blue Sky.	Terrain and degree of slope variability	A series of Blue Sky digital terrain model data chunks were mosaicked together and the area of interest extracted. Slope analysis was conducted in Spatial Analyst, ArcGIS 10.2. Terrain and degree of slope variability (in degrees) were expressed in terms of mean, minimum, maximum and standard deviation values within a predefined catchment area.	500 and 1000 metres Euclidean buffer of an UKB participants' dwelling. Developed for all UKB assessment centres.
Area-level deprivation				
Index of multiple deprivation (IMD)	English/Scottish/Welsh index of multiple deprivation.	Degree of deprivation expressed as an overall deprivation score as well as with respect to deprivation sub-domain scores for income, employment, health and disability, education, access to services, community safety/crime, physical environment and housing) for 2008 and 2010/11.	The IMD data was spatially linked to the LSOA boundaries obtained from the Office of National Statistics. They were subsequently linked to geocoded UKB participants' dwelling to extract the LSOA-level IMD scores.	LSOA of an UKB participants' dwelling. Developed for all UKB assessment centres.
Individual and neighbourhood wealth				
Property price	UK land registry data for Wales.	Property price in pound sterling and average property price within the catchment.	Property price data was geocoded and linked to geocoded UKB participants' dwelling and individual and neighbourhood wealth calculated.	UKB participants' dwelling unit and postcode of residence for participants of UK Biobank assessment centres of Cardiff, Swansea and Wrexham.

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* Values with bracket indicate the number of land use categories within each class.

¹ Ordnance Survey UK (2013) AddressBase Products: User Guide, v1.3 – 06/2013, available at: <http://www.ordnancesurvey.co.uk/docs/user-guides/addressbase-products-user-guide.pdf>.

² Ordnance Survey UK (2012) AddressBase Products: Classification scheme, v 1.1 – 04/2012, available at: <http://www.ordnancesurvey.co.uk/docs/user-guides/addressbase-pluspremium-classification-codes.zip>.

³ Department of Transport (2012) NPTG and NaPTAN Schema Guide, 2.4-v0.57, available at: <http://www.dft.gov.uk/naptan/schema/schemas.htm#2.4guide>.

⁴ The GeoInformation Group Limited (2012) UKMap User Guide. Version 5.

⁵ Ordnance Survey UK (2012) OS MasterMap Integrated Transport Network Layer: User Guide and Technical Specification, v2.0 – 12/2010, available at: <http://www.ordnancesurvey.co.uk/docs/technical-specifications/os-mastermap-itn-technical-specification.pdf>

⁶ GeoInformation Group (2012) Image to information: Building class reference sheet, available at: http://www.landmap.ac.uk/images/stories/datasets/building_class100/Building_Class_Reference_Sheet_v6_Sep_12.pdf.

⁷ The Blue Sky colour infrared images and digital terrain models were procured under an academic licensed through LandMap Services of MIMAS at the University of Manchester within the Open Geospatial Consortium (OGC) standards.

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