



Title	Quantitative analysis of fiber tractography in cervical spondylotic myelopathy
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Fiber tracking and CSM

1	Title: Quantitative Analysis of Fiber Tractography in Cervical Spondylotic Myelopathy
2	Running Title: Fiber Tractography and CSM
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23	

24 Abstract

BACKGROUND AND CONTEXT: Diffusion tensor fiber tractography is an emerging tool 25 for visualization of spinal cord microstructure. However, there are few quantitative analyses 26 of the damage in the nerve fiber tracts of the myelopathic spinal cord. 27 PURPOSE The aim of this study was to develop a quantitative approach for fiber 28 tractography analysis in cervical spondylotic myelopathy (CSM). 29 STUDY DESIGN/SETTING: Prospective study on a series patients. 30 MATERIALS AND METHODS A total of 22 volunteers were recruited with informed 31 consent, including 15 healthy subjects and 7 CSM patients. The clinical severity of CSM was 32 evaluated using modified JOA score. The microstructure of myelopathic cervical cord wee 33 34 analyzed using diffusion tensor imaging (DTI). DTI was performed with a 3.0-Tesla MRI scanner using pulsed gradient, spin-echo-echo-planar imaging (SE-EPI) sequence. Fiber 35 tractography was generated via TrackVis with fractional anisotropy threshold set at 0.2 and 36 angle threshold at 40 degree. Region of interest (ROI) was defined to cover C4 level only or 37 the whole-length cervical spinal cord from C1 to C7 for analysis. The length and density of 38 39 tracked nerve bundles were measured for comparison between healthy subjects and CSM patients. 40 **RESULTS** The length of tracked nerve bundles significantly shortened in CSM patients as 41 compared with healthy subjects (healthy: 6.85-77.90 mm; CSM: 0.68-62.53 mm). The density 42

43 of the tracked nerve bundles was also lower in CSM patients (healthy: 086±0.03, CSM: 0.80±

44	0.06, p<0.05). Although the definition of ROI covering C4 only or whole cervical cord
45	appeared not to affect the trend of the disparity between healthy and myelopathic cervical
46	cords, the density of the tracked nerve bundle through whole myelopathic cords was in an
47	association with the modified JOA score in CSM cases ($r=0.949$, $p=0.015$), yet not found
48	with ROI at C4 only (<i>r</i> =0.316, p=0.684).
49	CONCLUSION The quantitative analysis of fiber tractography is a reliable approach to
50	detect cervical spondylotic myelopathic lesions compared to healthy spinal cords. It could be
51	employed to delineate the severity of CSM.
52	Key words: Diffusion tensor imaging, Fiber tractography, Cervical spondylotic myelopathy,
53	Spinal cord.

55 Introduction

Cervical spondylotic myelopathy (CSM) is the most common type of spinal cord 56 dysfunction in patients older than 55 years [1-3]. However, the exact pathophysiology of 57 CSM remains poorly understood. The natural history of CSM is known to be associated with 58 the enclosure of thespinal cord in a narrowed canal as a result of degenerative disc and 59 spondylosis [4]. Nevertheless, the severity of the spinal cord compression does not necessarily 60 61 correlate with the signs and symptoms of CSM patients [5-7]. For example, cases with significant cord compression may not exhibit any neurological signs, while cases with mild 62 compression of the cord may develop neurological signs [4, 8]. Thus, the changes in gross 63 morphology of the spinal cord as revealed by anatomical magnetic resonance imaging 64 (T1-weighted or T2-weighted images) may not reflect the exact pathophysiological changes in 65 spinal cord [4]. 66

There is growing interest in the use of diffusion tensor imaging (DTI) and fiber 67 tractography (FT) as imaging tools for evaluation of the microstructural changes in spinal 68 cord trauma and degeneration [9-20]. All such studies have reported a decrease in fractional 69 anisotropy (FA) of injured or degenerated spinal cord. FA is a commonly used parameter 70 71 derived from the eigenvalues of the diffusion matrix [21]; however, eigenvector data have not been reported. As the principal eigenvector tends to be parallel with the orientation of white 72 matter fiber bundles, fiber tractography can be generated through integration of 73 three-dimensional white matter trajectories based on the principal diffusion directions [22]. 74

75	The advantage of fiber tractography is that it provides integrated eigenvalue and eigenvector
76	data of the diffusion matrix. Several studies [14, 18] have applied visual assessment of FT and
77	proposed its prognostic value in CSM. However, it is mainly used as a visualization tool for
78	evaluation of spinal cord lesions [18, 23-26].
79	In validation experiments, microstructural data from fiber tractography images were
80	compared with histological details [27], providing a foundation for the potential clinical
81	application of quantitative FT analysis of the spinal cord. Quantitative FT analysis was
82	recently performed in an attempt to correlate with clinical findings in patients with acute
83	spinal cord injury [28]. However, to our knowledge there are no studies examining
84	quantitative FT analysis in CSM, a chronically compressive spinal cord injury.
85	Recently, the quantitative FT analysis was proposed to evaluate chronic compressive
86	spinal cord lesion, i.e. CSM [18, 19]. There was no agreement yet on the selection of ROI and
87	morphometric parameters in the quantitative FT analyses for CSM patients. As previous
88	reported, the maximal compression level (MCL) at Sagittal T2 images of myelopathic cord
89	was chosen as ROI, while C2 as a reference [19]. The ratio of tracked nerve bundles at MCL
90	over C2 was measured to correlate the prognosis of CSM after surgical decompression. In
91	another study, fiber tractography was performed at the selected level as well as C1 and C7.
92	Only the pattern of fiber tractography was described to correlate the status of neurological
93	deficit in CSM patients [18]. In this study, we aimed to (1) develop a quantitative FT analysis

approach to delineate myelopathic lesions and to correlate it with clinical severity of CSM,
and then (2) investigate the influence of ROI selection and curvature of cervical cord on the
results of FT analyses.

97

98 Materials and Methods

99 Subjects

The institutional review board of research ethics approved all experimental procedures in 100 101 this study. All volunteers were screened to confirm their eligibility. The inclusion criteria for 102 healthy subjects were those having intact sensory and motor function evaluated, and negative Hoffman's sign under physical examination. Subjects having any neurological signs and 103 symptoms or any past history of neurological injury, disease, or operations were excluded. 104 CSM patients were recruited in authors' institute. Experienced spine surgeons made a clinical 105 106 diagnosis of CSM based on the patient's symptoms and signs, as well as radiological findings. The neurological deficits of CSM patients were systemically evaluated using the Japanese 107 Orthopaedic Association (JOA) scoring system. The demographic, clinical, radiological and 108 electrophysiological characteristics of CSM patients were listed in Table 1, which unveiled 109 the extent of cervical cord involved and the severity of neurological functional impairment. 110

111

112 MRI Scanning

All images were taken via a 3.0 Tesla MRI scanner (Philips Achieva, Best, the Netherlands). Pulse sequence programming was performed prior to scanning to optimize the image quality. During the acquisition process, the subject was placed in a supine position with the sense neuro-vascular (SNV) head and neck coil enclosing the cervical region, and was instructed not to swallow to minimize motion artifacts. The subject was then scanned with anatomical T1-weighted (T1W) and T2-weighted (T2W) images, as well as by diffusion
tensor images (DTI).

Sagittal and axial T1W and T2W images were acquired for each subject. A fast spin echo 120 (FSE) sequence was employed. For sagittal imaging, the imaging parameters were as follows: 121 field of view (FOV) = 250×250 mm, slice thickness = 3 mm, slice gap = 0.3 mm, fold-over 122 direction = Feet/Head (FH), number of excitations (NEX) = 2, resolution = $0.92 \times 1.16 \times 3.0$ 123 mm³ (T1W) and $0.78 \times 1.01 \times 3.0$ mm³ (T2W), recon resolution = $0.49 \times 0.49 \times 3.0$ mm³, and 124 time of echo (TE) / time of repetition (TR) = 7.2 / 530 ms (T1W) and 120 / 3314 ms (T2W). A 125 total of 11 sagittal images covering the whole cervical spinal cord were acquired. For axial 126 imaging, the imaging parameters were as follow: FOV $=80 \times 80$ mm, slice thickness = 7 mm, 127 slice gap = 2.2 mm, fold-over direction = anterior/posterior (AP), NEX = 3, resolution = 128 $0.63 \times 0.68 \times 7.0$ mm³ (T1W) and $0.63 \times 0.67 \times 7.0$ mm³ (T2W), recon resolution = 129 $0.56 \times 0.55 \times 3.0 \text{ mm}^3$ (T1W) and $0.63 \times 0.63 \times 7.0 \text{ mm}^3$ (T2W), and TE / TR = 8 / 1000 ms (T1W) 130 and 120 / 4000 ms (T2W). Cardiac vectorcardiogram (VCG) triggering was applied to 131 minimize the pulsation artifact from CSF. 132

A total of 12 axial images covering the whole cervical spinal cord from C1 to C7 were 133 acquired. Diffusion MRI images were acquired using pulsed sequences: single-shot spin-echo 134 echo-planar imaging (SE-EPI). Diffusion encoding was in 15 non-collinear and non-coplanar 135 diffusion directions with a b-value = 600 s/mm^2 . The imaging parameters were as follows: 136 $FOV = 80 \times 80$ mm, slice thickness = 7 mm, slice gap = 2.2 mm, fold-over direction = AP, 137 NEX = 3, resolution = $1.00 \times 1.26 \times 7.0$ mm³, recon resolution = $0.63 \times 0.64 \times 7.0$ mm³, and TE / 138 TR = 60 ms / 5 heartbeats. The image slice planning was the same as the anatomical axial 139 140 T1W and T2W images, with 12 slices covering the cervical spinal cord from C1 to C7. The 141 average duration of diffusion tensor imaging (DTI) was 24 min per subject, with an average heart rate of 60 beats per min. Spatial saturation with Spectral Presaturation with Inversion 142 Recovery (SPIR) was applied to suppress the fold-over effect. To alleviate the EPI distortion 143

- 144 problem caused by increased magnetic susceptibility at 3.0 T, the distortion correction method
- based on the reversed gradient polarity and parallel imaging was employed [29-31].
- 146

147 Post-processing of Diffusion Tensor Fiber Tractography

Fiber tractography was generated via Diffusion Toolkit v0.6 with interpolated streamline 148 algorithm and visualized using TrackVis v 0.6 (www.trackvis.org, Harvard Medical School, 149 Boston, MA, USA). The threshold for fiber tracking termination was set at a voxel with the 150 151 fractional anisotropy value lower than 0.20 and/or the angle of principal eigenvectors larger than 40 degree. The region of interests (ROIs) was defined to cover the whole length of the 152 cervical spinal cord from C1 to C7 or C4 only (Fig.1.). The ROIs were drawn manually to 153 cover whole spinal cord with the reference to the b0 image [32]. The track and voxel count, 154 and the length of tracked fiber in ROIs were automatically calculated via the built-in program 155 156 of TrackVis. The density of tracked fibers was calculated as the ratio of the track over the voxel count in the ROIs. The length of the tracked fiber indicated the fiber connectivity. 157 Fractional anisotropy (FA) and the trace values (sum of diffusivities) of healthy and 158 myelopathic spinal cord were also measured accordingly [32, 33]. 159

160

161 Measurement of Spine Cord Curvature

The measurement of spinal cord curvature was performed on T2W sagittal images using Image J (National Institute of Health, Bethesda, MD, USA). A total of seven lines were drawn parallel to the intervertebral discs from C1/2 to C7/T1. The angles formed between the line at C4/5 and the other disc levels were measured, and the summation of the angles showed the curvature of the cervical spine column as an indicator of spinal cord curvature (Fig. 2). The healthy spinal cords were categorized as straight (HS) or curved (HC) based on their curvature below or above the average angle.

170 Statistical Analysis

171 Comparisons of FA, trace, the track or voxel count, fiber density, and fiber length were 172 performed between healthy and CSM patients using the student *t* test. The Spearman 173 correlation was conducted to analyze the link between clinical and DTI findings. Further 174 analyses were performed after classification by healthy spinal cord curvature using one-way 175 ANOVA and *post-hoc* test. The level of significance was set at p<0.05.All data analyses were 176 performed using SPSS 15.0 analysis software (SPSS Inc, Chicago, IL, USA).

177

178 **Results**

A total of 22 volunteers, including 15 adult healthy subjects (42 ± 6 years old) and 7 CSM patients (56 ± 10 years old), met with the inclusive criteria and were recruited in this study. As well as presenting with lower JOA scores (CSM: 10 ± 2 vs full score: 17), CSM patients showed a decrease in FA (healthy: 0.67 ± 0.08 vs. CSM: 0.56 ± 0.10) and an increase trace values (sum of diffusivities) (healthy: 3.21 ± 0.22 *E-03 vs. CSM: 4.42 ± 1.15 *E-03). Fiber tractography also revealed that the tracked fibers were loosely organized in myelopathic spinal cords, with short or disoriented fibers (Fig. 3), when compared to normal spinal cords.

186

187 Influence of ROI definition on parameters of quantitative fiber tractography

For both the healthy and the CSM groups, the track count and voxel count in fiber 188 tractography were significantly higher when the ROI was set C1 to C7 as compared with the 189 ROI at C4 only (Track Count – healthy: C4 1187.40±442.06 vs. C1-C7 3064.40±482.38; 190 CSM: C4 367.43±125.32 vs. C1-C7 2282.71±293.80; Voxel Count - healthy: C4 191 192 1727.47±521.81 vs. C1-C7 3564.33±526.65; CSM: C4 702.57±232.43 vs. C1-C7 2860.71±487.76). Further, there was a significant difference in both track and voxel count 193 between the healthy and CSM group regardless of ROIs definition at either C1 to C7 or C4 194 only (Track Count - C4: p=0.0001, C1-C7: p=0.0008; Voxel Count: C4: p=0.0001, C1-C7: 195

196 p=0.0074) (Fig. 4a-b).

For both the healthy and the CSM groups, the densities of the tracked fibers were also significantly higher when the ROI was set C1 to C7 as compared with the ROI at C4 only (healthy: C4 0.68 ± 0.07 *vs*. C1-C7 086 ± 0.03 ; CSM: C4 0.53 ± 0.07 *vs*. C1-C7 0.80 ± 0.06). Further, there was a significant difference in the densities of the tracked fibers between the healthy and CSM group regardless of ROIs definition at either C1 to C7 or C4 only (C4: p=0.0001, C1-C7: p=0.0064) (Fig. 4c).

The length of tracked fibers was reduced when changing the ROI from C4 only to the whole length of spinal cord (from C1 to C7) (healthy: C4 43.09 \pm 16.65 *vs*. C1-C7 27.11 \pm 18.33 mm; CSM: C4 25.67 \pm 8.36 *vs*. C1-C7 17.30 \pm 6.06 mm) (Fig. 4d). The length of fiber track was significantly shorter in CSM patients compared with healthy subjects (C4: p=0.0012, C1-C7: p=0.0088). The distribution of the length of the tracked fiber is shown in Figure 5. The long fiber tracks were obviously lost in CSM (healthy: C4 1.95-76.68 *vs*. C1-C7 6.85-77.90 mm; CSM: 0.68-57.91 *vs*. C1-C7 0.68-62.53 mm).

Although the definition of ROI covering C4 only or whole cervical cord appeared not to affect the trend of the disparity between healthy and myelopathic cervical cords, the density of the tracked nerve bundle through whole myelopathic cords was in an association with the modified JOA score in CSM cases (r=0.949, p=0.015), yet not found with ROI at C4 only (r=0.316, p=0.684).

215

216 Influence of spinal cord curvature on parameters of quantitative fiber tractography

There was an obvious difference in the curvature of the cervical spine between individuals observed when placing for MRI scanning. The average angle for the curvature of the cervical spine was 20.4° in healthy subjects. A total of six healthy subjects had a 'straight' spinal cord (HS group), ranging from 10.1° to 18.5° , while nine healthy subjects had a 'curved' spinal cord (HC), ranging from 20.4° to 30.4° . The mean curvature of myelopathic spinal cord was 43.6°, ranging from 42.4° to 44.47°. In the ROI from C1 to C7, the track and voxel count was significantly lower in the curved compared with the straight spinal cord (Track count – HS: 3236.33 ± 537.23 *vs*. HC: 2805.38 ± 813.26 ; Voxel count – HS: 3730.17 ± 525.04 *vs*. HC: 3295.02 ± 995.32). In comparison with the straight or curved healthy spinal cord, both track and voxel count were decrease in CSM (Track count: HS *vs*. CSM, p=0.002; HC *vs*. CSM, p=0.003; Voxel count: HS *vs*. CSM, p=0.01; HC *vs*. CSM, p=0.03) (Fig. 6a-b).

There was no significant effect of spinal cord curvature on the density and length of the tracked fibers (HS: $30.33\pm8.69 vs$. HC: 25.32 ± 8.77). There was a marginal difference detected in the density under the sub-group analysis according to the curvature of spinal cord (HS *vs*. CM, p=0.046; HC *vs*. CM, p=0.054) (Fig. 6c). The length of the fiber track remained lower in the CSM than in healthy subjects regardless of presence of a straight or curved spinal cord (HS *vs*. CSM, p=0.001; HC *vs*. CSM, C1-C7, p=0.028) (Fig. 6d).

235

236 Discussion and Conclusion

Cervical spondylotic myelopathy is a clinical diagnosis based on the description of a 237 238 chronic compression of spinal cord in a stenotic canal as a result of spondylosis and/or disc 239 degeneration with subsequent neurological deficit [1]. However, the wide range of clinical signs and symptoms and non-specific information of anatomical MRI have made it difficult to 240 make a precise diagnosis of CSM (e.g., the delineation of the extent or severity of 241 myelopathic lesion) [1]. In the present study, using diffusion tensor fiber tractography, we 242 developed a direct quantitative approach to quantify the organization and connectivity of fiber 243 bundles in the spinal cord in the living human body in a non-invasive manner. We found that 244 quantitative analysis of fiber tractography (FT) could reliably detect the microstructural 245 difference between healthy and myelopathic spinal cord, regardless of ROI selection and 246 spinal cord curvature. Importantly, we used the fiber length parameter to indicate the 247

connectivity of fiber bundles in the spinal cord, which was sensitive for detection of poor fiber bundle organization in CSM. By contrast, fiber density exhibited poor sensitivity. The poor fiber organization in CSM was reflected by a decrease in FA and an increase in diffusivity.

Chang Y et al. previously examined the number of passing fibers in the spinal cord in 252 cases of cervical spinal cord trauma using a C4 level ROI [28]. Recently, the quantitative FT 253 analyses were also employed in CSM cases [18, 19]. However, the selection of ROI and 254 255 parameters varied among these studies. The maximal compression level of myelopathic cervical cord was chosen with C2 as a reference to calculate the ratio of tracks in fiber 256 tractography [19]. In the other study, the selected level was analyzed with C1 and C7 as the 257 reference [18]. Importantly, the prognostic value of quantitative FT analyses was implied in 258 surgical management of CSM patients. It was shown that the ratio or pattern of tracked nerve 259 260 fibers appeared to correlate with the postoperative improvement of CSM patients after surgical decompression. However, they did not examine the influence of ROI selection on the 261 outcome of the quantitative FT analysis. As shown in the present study, ROI selection can 262 influence the exact values generated from quantitative FT analysis. For example, the track and 263 voxel count and the density of tracked fibers were higher when the whole length spinal cord 264 was selected rather than the C4 level only. Although this did not change the trend of 265 CSM-related changes, the three-dimensional image of fiber tractography with the C1 to C7 266 ROI selection was more close to the actual volume and structure of the spinal cord (Fig.1). 267 Importantly, we found that the density of tracked nerve bundles correlated well with the 268 clinical severity of CSM when the myelopathic cervical cord from C1 to C7 was analyzed as a 269 270 whole. Our findings suggested that the ROI from C1 to C7 should be taken, rather than MCL only, to reflect the overall status of myelopathic cervical cord. 271

We also explored the influence of the curvature of the spinal cord on FT analysis, and found that spinal cord curvature did not alter the trend of CSM-related changes. However, the

data from subjects with a curved spinal cord exhibited a large variation, and analyses failed to 274 detect a significant difference in the fiber density between healthy and myelopathic spinal 275 276 cord after taking spinal cord curvature into consideration, particularly when choosing C1-7 as an ROI. Nevertheless, we did detect a statistically significant difference in the parameters of 277 FT analysis (i.e., length of tracked fibers). A recent report used the fiber tract (FT) ratio as a 278 quantitative assessment of the spinal cord, and found a significant correlation with the 279 recovery rates in CSM patients [19]. However, in that study the FT ratio did not reflect the 280 severity of neurological deficit. 281

We found that the track count (i.e., the number of fiber projections) and the voxel count 282 (i.e., the number of voxels) that passed through the tracked fibers in the ROIs were 283 significantly lower in the injured spinal cord as compared with the healthy spinal cord. This 284 may be explained by reduction in the seed points for fiber bundles from the basic principle of 285 286 fiber tractography [34]. As each seed point was screened by the values of FA, the low FA in CSM indicated loss of anisotropy of the diffusion ellipsoid of water molecules, as previously 287 reported [9-17]. As such, less water molecules could be used as the seed points for the 288 subsequent fiber tracking, resulting in loss of track count and track density. The length of the 289 290 tracked fibers was statistically different between healthy and diseased groups. Although the 291 tracking did not originate from the actual anatomical structure of the nerve bundles in the spinal cord, these data indicate a continuity of intact nerve fibers, as the anisotropy of water 292 molecules occurs preferentially along the fiber bundle. The longer the fiber tracking, the 293 better integrity and connectivity of a real nerve bundle. Importantly, all these findings were in 294 agreement with histopathological findings of CSM, including axon damage and/or 295 demyelination [35]. 296

There are several limitations of our study. First, we used relatively thicker axial slices (7 mm) for diffusion MR imaging when compared with the previous studies (3 or 5 mm). The gap of 2.2 mm adopted between slices would lead to loss of information during the process of

three-dimensional reconstruction of fiber tractography. Further, we only used a single 300 orientation for one voxel in fiber tractography, resulting in a potential for incorrect (false 301 positive) or ineffective tracking (false negative) in voxels with more than one orientation of 302 fiber bundles. Finally, CSM is a result of age-related degeneration of the cervical spine with 303 abnormal curvature in elderly persons. However, for the small number of the subjects 304 recruited in our study, the age of healthy subjects (42 years) was younger than in the patient 305 group (approximately 56 years). Further, the subjects showed disparity in cervical spine 306 307 curvature (HS: 10.1-18.5; HC: 20.4-30.4; CSM: 42.4-44.47). Thus, these potentially 308 confounding factors should be controlled in a future large-scale population study.

In summary, we introduced a reliable quantitative analysis approach for diffusion tensor fiber tractography to delineate the extent and severity of spinal tract damages in CSM. We also identified the confounding factors, i.e. ROI selection and curvature of cervical spine, which could affect the outcome of the quantitative FT analyses for CSM patients.

314	References
514	References

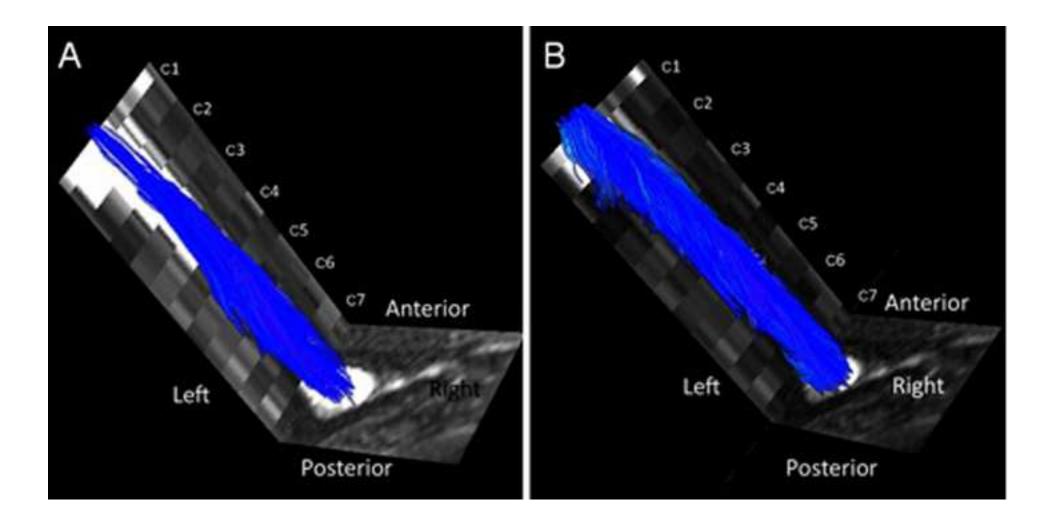
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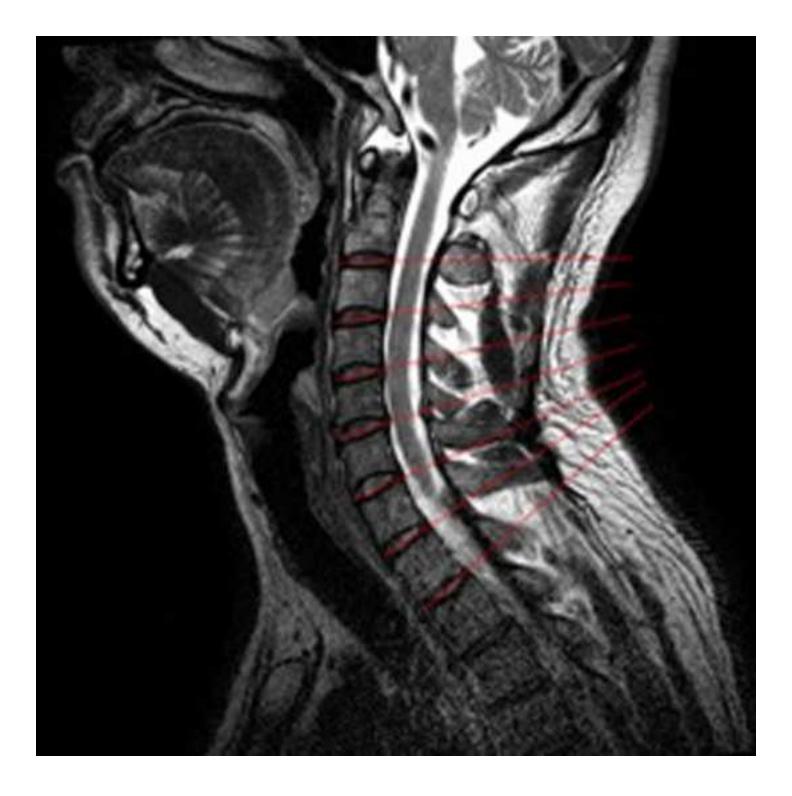
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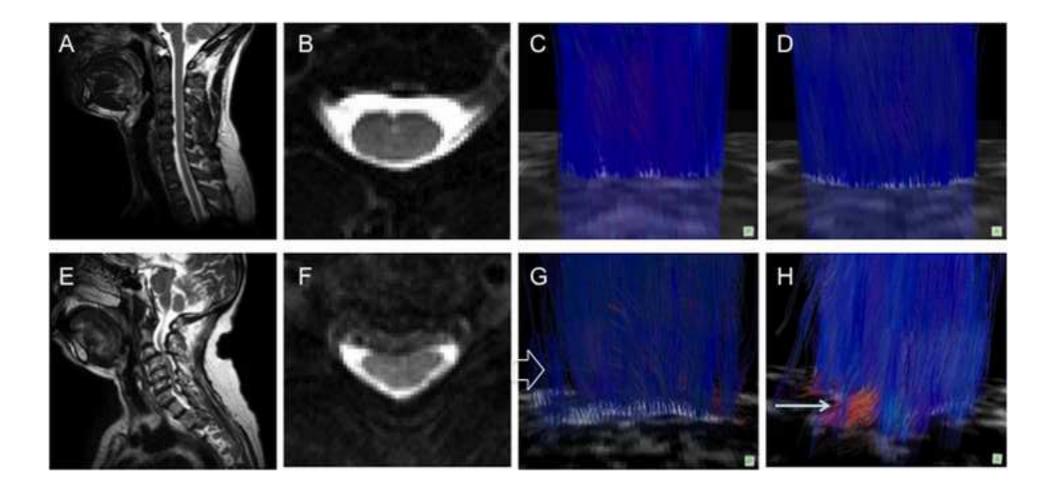
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	Cases	1	2	3	4	5	6	7
Age		45	57	46	57	67	56	62
Gender		М	F	М	F	М	F	F
Diagnosis		CSM	CSM	CSM	CSM	CSM	CSM	CSM
Clinical signs	Hoffman Sign	+	-	+	+	+	+	+
	Finger Escape	4	0	1	2	0	0	2
	Babinski Sign	+	+	-	+	N.T.	-	+
	Ankle Clonus	-	+	-	-	+	-	-
	mJOAsum	7.5	13	11	11	13	11	10
	mJOAmotion	3	5	6	5	5.5	6	2
	mJOA sensory	1.5	5	3	5	5	4.5	5
mJOA score	mJOA bladder	3	3	2	1	3	1	3
	mJOA upperlimb	1	6	4	4	4	2.5	2.5
	mJOA lowerlimb	3	2.5	4	4	4.5	6	2.5
	Offending Levels	c3/4	c5/6	c4/5	c5/6, 6/7	c4/5, 5/6	c3/4, 4/5, 5/6, 6/7	c3/4,4/5,5 /6
MRI	Maximal compression level	c3/4	c5/6	c4/5	c5/6	c4/5	c3/4	c5/6
	T2 HSI	-	-	+	-	+	+	-
SEP	Amplitude	decreased	normal	decreased	normal	normal	normal	decreased
SEF	Latency	prolonged	normal	normal	normal	normal	normal	prolonged

Table 1 Summary of clinical and radiological evaluations of cervical spondylotic myelopathy patients







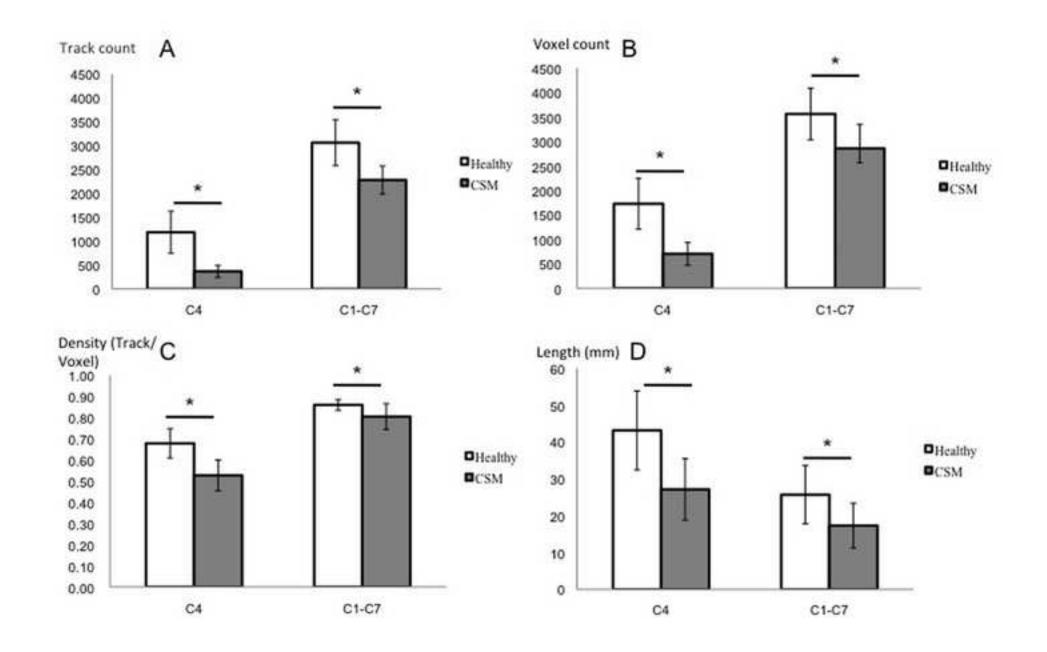


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