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Title: Cancer-related fatigue in breast cancer patients: Factor mixture models with continuous non-normal distributions

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Keywords: Brief Fatigue Inventory; breast cancer; mixture modeling; population heterogeneity; non-normal distribution

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Cancer-related fatigue in breast cancer patients: A factor mixture analysis

approach

Abstract

Objective: Fatigue is one of the most prevalent and significant symptoms experienced by breast cancer patients. This study aimed to investigate potential population heterogeneity in fatigue symptoms of the patients using the innovative non-normal mixture modeling.

Methods: A sample of 197 breast cancer patients completed the Brief Fatigue Inventory and other measures on cancer symptoms. Non-normal factor mixture models were analyzed and compared using the normal, t, skew-normal, and skew-t distributions. Selection of the number of latent classes was based on the Bayesian information criterion (BIC). The identified classes were validated by comparing their demographic profiles, clinical characteristics, and cancer symptoms using a stepwise distal outcome approach.

Results: The observed fatigue items displayed slight skewness but evident negative kurtosis. Factor mixture models using the normal distribution pointed to a 3-class solution. The t distribution mixture models showed the lowest BIC for the 2-class model. The restored class (52.5%) exhibited moderate severity (item mean = 2.8 – 3.2)

and low interference (item mean = 1.1 – 1.9). The exhausted class (47.5%) displayed high levels of fatigue severity and interference (item mean = 5.8 – 6.6). Compared to the restored class, the exhausted class reported significantly higher perceived stress, anxiety, depression, pain, and sleep disturbance, and lower quality of life.

Conclusions: The non-normal factor mixture models suggest two distinct subgroups of patients on their fatigue symptoms. The presence of the exhausted class with exacerbated symptoms calls for a proactive assessment of the symptoms and development of tailored interventions for this subgroup.

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Cancer-related fatigue is a subjective symptom experience that is rarely relieved by sleep or rest [1]. It is described as persistent feelings of exhaustion and lack of energy and is one of the most commonly reported symptoms among breast cancer patients [2]. It can occur during the course of the disease and persist for years after treatment completion [3]. An essential characteristic of cancer-related fatigue is the inability of the patient to maintain a prior level of physical functioning, thus affecting daily life and work performance. Rather than as an isolated symptom, fatigue often arises in symptom clusters [4] and has been associated with other symptoms such as pain, anxiety, depression, and sleep problems [5,6]. Given its prevalence and influence on breast cancer patients, valid and precise assessment of fatigue is necessary. A common goal of categorical analytic approach is to explore the cluster patterns in study samples. The conventional practice of artificial categorization of continuous variables (e.g. dichotomization on a median split) suffers from methodological drawbacks, namely, attenuation in correlations among variables, measurement precision, and statistical power [7].

Dirksen, Belyea, and Epstein [8] conducted a latent profile analysis to investigate

the cluster patterns of fatigue symptoms among 86 breast cancer survivors. Three latent classes, including exhausted (35%), tired (41%), and restored (24%) subgroups, were identified. The exhausted subgroup showed significantly higher levels of insomnia, anxiety, depression, and lower quality of life than the other subgroups.

Latent profile analysis is a categorical modeling technique that classifies individuals into unobserved subgroups with distinct profiles [9] and is methodologically more applicable than artificial categorization. Yet, this technique assumes conditional independence for the fatigue items and does not account for expected within-subgroup heterogeneity. Factor mixture analysis is a hybrid and flexible mixture modeling technique which simultaneously investigates the existence of unobserved subgroups while accounting for the underlying factor structure [10]. It explicitly models the heterogeneity both between and within the latent classes.

The aim of this study was to investigate potential population heterogeneity in fatigue symptoms of breast cancer patients via factor mixture modeling. However, it is well known that traditional mixture modeling relies heavily on the within-class normality assumption [11]. Violation of this assumption can result in formation of spurious classes, i.e. latent subgroups that exist only to accommodate the heavy tails of non-normal distributions [12]. That non-normality can lead to extraction of non-substantive latent classes in traditional mixture modeling could compromise the

authenticity of the results. The present study adopted the non-normal mixture modeling approach implemented recently in Mplus version 7.2 by Asparouhou and Muthén [13]. This pioneering approach explicitly allows the within-class distributions to be skewed and to have heavy tails, thereby preventing the formation of spurious classes that merely compensate for distributional deviations from standard normal distribution [14]. To our knowledge, this study is the first to apply non-normal mixture modeling in the context of fatigue symptoms.

Methods

Sample

A secondary analysis was conducted with data obtained from a randomized clinical trial that evaluated the efficacy of a movement-based psychotherapy program between January 2011 and December 2012. Study participants were female patients diagnosed with breast cancer and aged 18 years old or above. The exclusion criteria included metastases of breast cancer, a history of psychiatric illness, pregnancy, and inability to understand Chinese. A total of 370 breast cancer patients were invited via mail and telephone and 53 of them were not eligible to join the study. The data used in this analysis were obtained at baseline from 197 women who were recruited via convenience sampling from local hospitals and community cancer support centers in

Hong Kong. Ethical approval was obtained from the local institutional review board.

The participants provided written informed consents and completed a self-report questionnaire in a paper-and-pencil format. The participants reported a mean age of 49.4 years ($SD = 8.0$) and an average cancer duration of 23.1 months ($SD = 7.5$). Most of the participants were married (64.5%), had completed at least secondary education (77.7%), and were diagnosed with stage I (26.0%) or II (43.2%) breast cancer. The majority of the sample had received lumpectomy (56.4%), chemotherapy (78.1%), and was receiving adjuvant radiotherapy (70.1%).

Measures

Fatigue symptoms among the cancer patients were assessed by the 9-item, 11-point Brief Fatigue Inventory (BFI) [15]. The first three items inquire fatigue severity at the current, usual, and worst times during the past 24 hours. The following six items assess the interference caused by fatigue on general activity, mood, walking ability, normal work, relationships with other people, and enjoyment of life. Previous studies [15,16] suggest a unidimensional structure for the BFI. Nevertheless, none of these studies systematically verify the structure against alternative factor models. In the present study, the model fit of the one-factor model was statistically evaluated and compared with the two-factor model via confirmatory factor analysis.

The self-report questionnaire also included measurement instruments on several psychopathological variables. Perceived stress was measured by the 10-item, 5-point Perceived Stress Scale [17], with the scale score ranging from 0 to 40. Anxiety and depression were assessed using the 14-item, 4-point Hospital Anxiety and Depression Scale [18], with the scale scores for anxiety (7 items) and depression (7 items) ranging from 0 to 21. Pain was measured by the 11-item, 11-point Brief Pain Inventory [19], with the scale scores for pain severity (4 items) and pain interference (7 items) ranging from 0 to 10. Sleep disturbance was assessed by the 19-item Pittsburgh Sleep Quality Index [20]. The scale measures seven components on a 4-point format, with the scale score ranging from 0 to 21. Quality of life was measured by the 36-item, 5-point Functional Assessment of Cancer Therapy – Breast [21], with the scale score ranging from 0 to 144. In this study, satisfactory reliability was found for all of the measurement scales (Cronbach's $\alpha = .79 - .97$).

Data Analysis

Confirmatory factor analysis

The factor structure of the BFI was examined using confirmatory factor analyses on the one- and two-factor models. The two-factor model specified two factors on fatigue severity (measured by three items) and interference (measured by six items). The

model fit was evaluated via the following fit indices: comparative fit index (CFI), root mean square error of approximation (RMSEA), and standardized root mean square residuals (SRMR) [22]. Chi-square difference test was used to compare the model fit of the two models. All analyses were carried out in Mplus version 7.2 [23] using robust maximum likelihood estimator. Missing data were handled via full-information maximum likelihood under the missing-at-random assumption [24].

Factor mixture models

We first scrutinized the skewness, kurtosis, and distributions of the BFI items. For conventional mixture models, violations of within-class normality assumption can result extracting non-substantive latent classes that merely represent statistical features of the data [12]. Non-normal mixture modeling resolves this problem by facilitating specification of a mixture of non-normal distributions to the data [13]. In addition to normal distributions, a range of non-normal distributions, namely, skew-normal, t , and skew- t [25], can be specified. The skew-normal distribution accounts for excessive skewness by adding a skew parameter to the model [26,27]. The t distribution accounts for excessive kurtosis by adding a degree of freedom parameter. The skew- t distribution accounts for both excessive skewness and kurtosis by adding skew and degree of freedom parameters [14,28]. Essentially, non-normal

mixture models can fit the data considerably better than normal mixtures and reduce the risk of extracting spurious latent classes due to non-normality.

In the present study, non-normal mixture modeling was performed using the new DISTRIBUTION = TDIST/SKEWNORMAL/SKEWT command in Mplus version 7.2. We first estimated the one-class factor mixture model using default normal distributions and compared its model fit to the non-normal mixture counterparts using t, skew-normal, and skew-t distributions. Skew and degree of freedom parameters were incorporated in the mixture models when necessary to correct for non-normality of the variables. Factor mixture models with increasing classes were then specified subsequently to the data to determine the optimal number of class in the sample. The models were fitted with class-invariant factor loadings and residual variances and class-varying item intercepts, factor variances, and factor covariance. To avoid convergence on a local solution, all mixture models were estimated using 100 random starting values and 20 final stage optimizations to replicate the best log-likelihood.

Model selection was based on the Bayesian information criterion (BIC) [29,30]. The BIC balances the model loglikelihood by imposing a penalty term for the number of model parameters, with a lower value of BIC to be preferred. Differences of 2 to 6, 6 to 10, and greater than 10 denote positive, strong, and very strong evidence against the models with higher BIC, respectively. Average posterior class probabilities and

entropy of the models were reported [31]. Entropy is a measure of the model's classification accuracy with a value close to 1 denotes greater accuracy. Substantive checking of the latent classes were performed in relation to the demographic profiles, clinical characteristics, and psychopathological variables [32]. Equality of means or proportions across the classes were tested for continuous or categorical variables, respectively, using the stepwise distal outcome method [33].

Results

BFI items statistics

Table 1 displays the descriptive statistics of the BFI items. The participants reported moderate (≈ 5) mean levels of fatigue severity. On average, they showed low to moderate levels of fatigue interference, with a range of 3.3 (relationships with others) to 4.5 (normal work). The items showed small degree of skewness ($|\text{skew}| \approx 0.5$).

However, negative kurtosis was found for all items, in particular the six items assessing fatigue interference (kurtosis = -1.00 to -1.30). Figure 1 shows as an example the histogram for item 9 (enjoyment of life). Despite the overall mean of 4.0, only 6.1% of the participants showed a score of 4 for this item, with 24.5% scoring 3 or 5. Comparable proportions of participants showed either high (22.9% scoring 7 or 8) or low (26.6% scoring 0 or 1) levels of interference. The platykurtic distributions

found for the items revealed signs of bimodal distributions.

[Insert Table 1 about here]

[Insert Figure 1 about here]

Confirmatory factor analysis

The one-factor model fitted the data poorly, with $\chi^2(27) = 291.7, p < .001, CFI = .73,$
RMSEA = .22, and SRMR = .07. The two-factor model yielded an acceptable fit to
the data with $\chi^2(26) = 67.3, p < .001, CFI = .96, RMSEA = .09,$ and SRMR = .04 and
provided a significantly better fit than the one-factor model ($\Delta\chi^2(1) = 70.1, p < .001$).

As shown in Table 1, the factor loadings for the two factors (severity and interference)
were significant ($p < .001$) and salient ($\lambda \geq .74$). The two factors were positively and
strongly correlated ($r = .79, p < .001$).

Factor mixture models

For the factor mixture models, the two latent factors, fatigue severity and interference,
were modeled either as normal, t, skew-normal, or skew-t variables. The residuals of
the factor indicators were assumed normal. Fit statistics of the factor mixture models
under various distributions are presented in Table 2. Among the four 1-class models,
both the t and skew-t distribution models showed improvements in BIC over the

normally distributed model while the skew-normal distribution model resulted in a higher BIC. In the skew-t distribution model, the degree of freedom parameter ($df = 3.34, SE = 0.66, p < .001$) deviated considerably from that of the normal distribution (with $df \geq 30$). Insignificant skew parameters were found for fatigue severity (skew = $0.72, SE = 0.71, p = .31$) and interference (skew = $-0.45, SE = 0.80, p = .57$). These results suggest the need to account for excessive kurtosis but not excessive skewness in the present sample. Subsequent multi-class mixture models were estimated only under normal and t distributions. Under the normal distribution, the 3-class model displayed the lowest BIC (the 4-class model did not converge). Using the t distribution for the latent factors, however, showed the lowest BIC for the 2-class model. Importantly, the 2-class t distribution mixture model provided a substantially better BIC than the 3-class normal mixture model.

[Insert Table 2 about here]

The 2-class t distribution mixture model

Figure 2 displays the estimated overall and class-specific distributions for BFI item 9 in the 2-class t distribution mixture model. In the figure, the overall bimodal distribution (denoted by solid curve) was decomposed into two t-distributed classes

(denoted by the dotted and dashed lines) with $df = 2.77$ and 2.91 . Figure 3 displays the response profile plot for the BFI items in the 2-class t distribution mixture model. The first class ($N = 103, 52.5\%$) was labeled the ‘restored’ class and showed moderate severity (item mean = $2.8 - 3.2$) and low interference (item mean = $1.1 - 1.9$). The second class ($N = 94, 47.5\%$) was labeled the ‘exhausted’ class and showed a consistent pattern of high levels of fatigue severity and interference (item mean = $5.8 - 6.6$). Each of the item means in the exhausted class was above 5.0 and substantially higher than that in the restored class. The average posterior class probabilities (.92 and .93 for the restored and exhausted class, respectively), suggest good classification accuracy for the model.

[Insert Figure 2 about here]

[Insert Figure 3 about here]

Characteristics of the two latent classes

As shown in Table 3, the two classes did not differ significantly in age ($\chi^2(1) = 0.44, p = .51$), cancer duration ($\chi^2(1) = 0.51, p = .48$), education ($\chi^2(2) = 4.72, p = .09$), marital status ($\chi^2(1) = 0.23, p = .63$), stage at diagnosis ($\chi^2(3) = 1.38, p = .71$), surgery type ($\chi^2(1) = 1.00, p = .32$), chemotherapy ($\chi^2(1) = 0.01, p = .94$), and adjuvant radiotherapy ($\chi^2(1) = 1.49, p = .22$). The restored class was composed of a

significantly greater proportion of participants who received patient support service

($\chi^2(1) = 3.99, p = .046$).

[Insert Table 3 about here]

Table 4 shows the participant scores on the psychopathological variables for the two fatigue classes. The exhausted class reported significantly higher levels of perceived stress ($\chi^2(1) = 60.98, p < .001$), anxiety ($\chi^2(1) = 56.03, p < .001$), depression ($\chi^2(1) = 57.68, p < .001$), pain severity ($\chi^2(1) = 30.82, p < .001$), pain interference ($\chi^2(1) = 40.72, p < .001$), and sleep disturbance ($\chi^2(1) = 4.78, p = .029$) than the restored class. The exhausted class had a significantly lower level of quality of life ($\chi^2(1) = 84.11, p < .001$) than the restored class.

[Insert Table 4 about here]

Discussion

As Piper and Cella [34] remarked, it is clinically relevant to determine whether cancer patients can be classified into specific distinct and meaningful subgroups based on their fatigue symptoms. The present study investigated potential population heterogeneity in fatigue symptoms in a sample of breast cancer patients via an innovative use of non-normal mixture modeling. Regarding the latent structure of the BFI, the two-factor model composing of fatigue severity and fatigue interference was

found to be superior to the one-factor model. This finding suggests future research to differentiate the degrees of severity and interference caused by fatigue in various aspects of life for a deeper understanding of cancer-related fatigue.

Results of factor mixture modeling suggest the existence of two latent subgroups with distinct profiles of fatigue symptoms. Nearly half of the sample (47.5%) belonged to the exhausted class with consistently high levels of fatigue severity and interference. This class showed significantly greater perceived stress, anxiety, depression, pain severity, pain interference, and sleep disturbance than the restored class. In line with the literature on symptom clustering [35,36], these exacerbated symptoms may co-exist and interact in leading to a poor quality of life in the exhausted class. This finding informs clinicians to be aware of a comprehensive treatment of not only the fatigue symptoms, but also the comorbid symptoms of the patients for a holistic improvement. Active management of the associated symptoms in an earlier stage of treatment may facilitate prevention or amelioration of cancer-related fatigue in the patients.

The present results somewhat resemble the findings by Dirksen, Belyea, and Epstein [8], which pointed to a three-class model including the exhausted, restored, and tired classes. However, the tired class did not differ from the restored class in most of the symptoms, which weakens the discriminant validity and substantive

meanings of their model. The overextraction of latent class can be attributed to violations of the local independence assumption in latent profile analysis [10]. Our factor mixture model, which allows for both within-class covariation and non-normality of the variables, is likely a more realistic and parsimonious model of the fatigue symptoms.

Identifying the population heterogeneity in fatigue symptoms could provide future directions in providing quality care to the patients. From a substantive point of view, the present two-class two-factor mixture model may hold greater clinical utility over a regular two-factor model and facilitate diagnostic decision making [37]. The current results call for a proactive assessment of the heightened symptoms and development of tailored interventions for the exhausted class. Similar to the findings by Dirksen, Belyea, and Epstein [8], our two subgroups displayed similar demographic and clinical characteristics. An exception was that the restored class comprised a significantly greater proportion of patients who had received patient support service. This appears to suggest beneficial effects of patient support service for patients with breast cancer.

From an analytical perspective, non-normal factor mixture modeling is a flexible methodology that allows us to examine the potential unobserved heterogeneity and within-class item covariation simultaneously. This technique relaxes the usual

within-class normality assumption and accommodates skewed or heavy tails in the distributions. Mixture of skew-t distributions avoids the formation of spurious classes due to non-normality and skewness and is more likely to extract substantive latent classes that are of theoretical interest. In the present study, the non-normal mixture models resulted in largely insignificant skew parameters. This finding is consistent with the slight skewness of the BFI items. However, the items appeared to violate the normal distribution assumption with considerable negative kurtosis. The use of t distribution mixture model not only accounts for the observed kurtosis but also helps remove one of the classes found in the normally distributed model. In addition to eliminating the potentially spurious class that lack proper interpretation, a notably better fitting model was actually found via the non-normal mixture model. These findings support further use of non-normal mixture modeling in exploring potential underlying heterogeneity in the likely presence of non-normality of the variables.

The present study is the first of its kind to apply non-normal factor mixture modeling to evaluate the underlying population heterogeneity of cancer-related fatigue symptoms. The current findings may be limited in their generalizability due to the modest sample size and self-selection sampling bias. The present study was based on cross-sectional self-report measures and may be subject to common method bias. Despite these limitations, the results of non-normal mixture models demonstrate clear

support for a two-class two-factor structure for fatigue symptoms that has clinical implications. Further studies are recommended to investigate the potential unobserved heterogeneity in larger samples of cancer patients. It would be of particular interest to elucidate significant predictors of the fatigue classes and study the longitudinal change in the fatigue-based class membership in future studies.

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Table 1 Descriptive statistics of the BFI items and factor loading pattern for the two-factor model

Item	Mean (SD)	Skewness	Kurtosis	Severity	Interference
Current fatigue	5.0 (2.4)	-0.51	-0.64	.93	
Usual fatigue	5.0 (2.3)	-0.43	-0.57	.97	
Worst fatigue	5.6 (2.5)	-0.55	-0.47	.91	
General activity	4.0 (2.5)	-0.18	-1.04		.85
Mood	3.9 (2.5)	-0.12	-1.00		.85
Walking ability	4.0 (2.9)	0.05	-1.22		.74
Normal work	4.5 (2.9)	-0.26	-1.17		.86
Relationships with others	3.3 (2.7)	0.18	-1.30		.82
Enjoyment of life	4.0 (2.8)	0.03	-1.21		.85

All factor loadings are statistically significant ($p < .001$)

Table 2 Fit statistics of the factor mixture models under various distributions for the BFI

Model	Description	LL	#	BIC	Entropy
1-class, 2-factor mixture					
1	normal distribution	-3356.2	28	6860.3	-
2	t distribution	-3230.1	29	6613.3	-
3	skew-normal distribution	-3356.2	30	6870.9	-
4	skew-t distribution	-3222.7	31	6609.3	-
2-class, 2-factor mixture					
5	normal distribution	-3298.5	41	6813.7	.895
6	t distribution	-3160.0	43	6547.2	.738
3-class, 2-factor mixture					
7	normal distribution	-3246.9	54	6779.1	.906
8	t distribution	-3160.0	57	6621.2	.835

LL log-likelihood, # free parameters, *BIC* Bayesian information criterion,

Table 3 Demographic and clinical characteristics for the two fatigue classes

Characteristic	Restored class	Exhausted class	Statistics
	<i>n</i> = 103 (52.5%)	<i>n</i> = 94 (47.5%)	
	Mean (<i>SE</i>)	Mean (<i>SE</i>)	
Age (years)	49.7 (0.8)	49.0 (0.9)	$\chi^2 = 0.44, p = .51$
Cancer duration (months)	22.8 (0.7)	23.6 (0.8)	$\chi^2 = 0.51, p = .48$
Education	%	%	
Primary/Secondary/Tertiary	24.4/48.0/27.6	19.7/36.9/43.4	$\chi^2 = 4.72, p = .09$
Marital status			
Single/Married	37.1/62.9	33.6/66.4	$\chi^2 = 0.23, p = .63$
Stage at diagnosis			
0/I/II/III	7.1/23.3/46.6/22.9	6.3/29.8/38.6/25.4	$\chi^2 = 1.38, p = .71$
Surgery type			
Mastectomy/ Lumpectomy	40.3/59.7	47.9/52.1	$\chi^2 = 1.00, p = .32$
Chemotherapy			
Yes/No	77.8/22.2	78.4/21.6	$\chi^2 = 0.01, p = .94$
In adjuvant radiotherapy			
Yes/No	73.8/26.2	65.3/34.7	$\chi^2 = 1.49, p = .22$
Patient support service			
Yes/No	67.7/32.3	52.6/47.4	$\chi^2 = 3.99, p = .046$

SE Standard error; comparison was done using stepwise distal outcome method

Table 4 Scores on psychological distress, symptoms, and quality of life measures for the two fatigue classes

Variables	Restored class	Exhausted class	Statistics
	<i>n</i> = 109 (55.3%)	<i>n</i> = 88 (44.7%)	
	Mean (<i>SE</i>)	Mean (<i>SE</i>)	
Perceived stress	17.1 (0.5)	21.8 (0.4)	$\chi^2 = 60.98, p < .001$
Anxiety	5.0 (0.3)	8.4 (0.3)	$\chi^2 = 56.03, p < .001$
Depression	4.2 (0.3)	7.8 (0.4)	$\chi^2 = 57.68, p < .001$
Pain severity	2.0 (0.2)	3.6 (0.2)	$\chi^2 = 30.82, p < .001$
Pain interference	1.6 (0.2)	3.6 (0.3)	$\chi^2 = 40.72, p < .001$
Sleep disturbance	7.0 (0.4)	8.3 (0.5)	$\chi^2 = 4.78, p = .029$
Quality of life	107.4 (1.6)	85.5 (1.8)	$\chi^2 = 84.11, p < .001$

SE Standard error; comparison was done using stepwise distal outcome method

Figure 1
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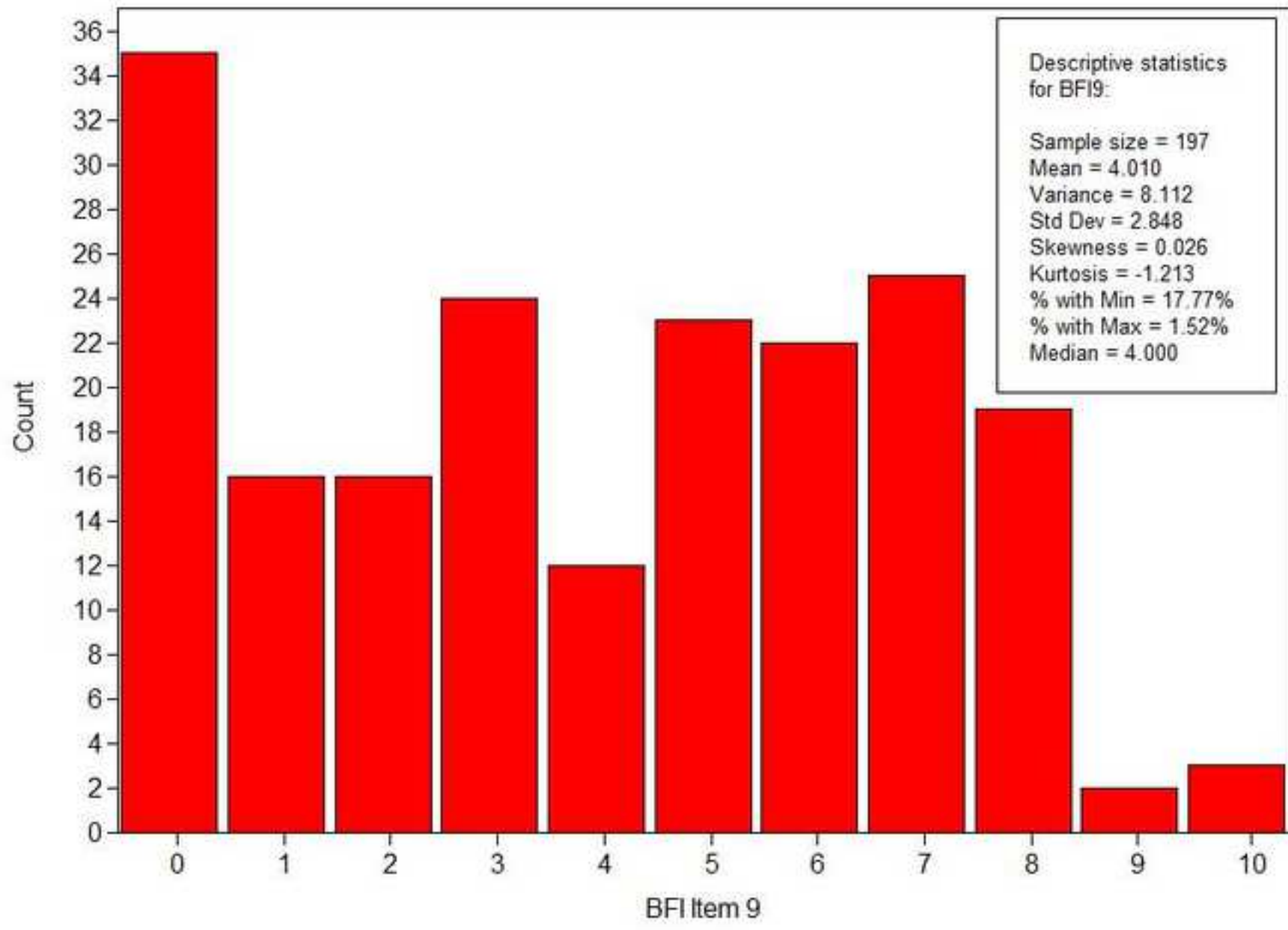


Figure 2
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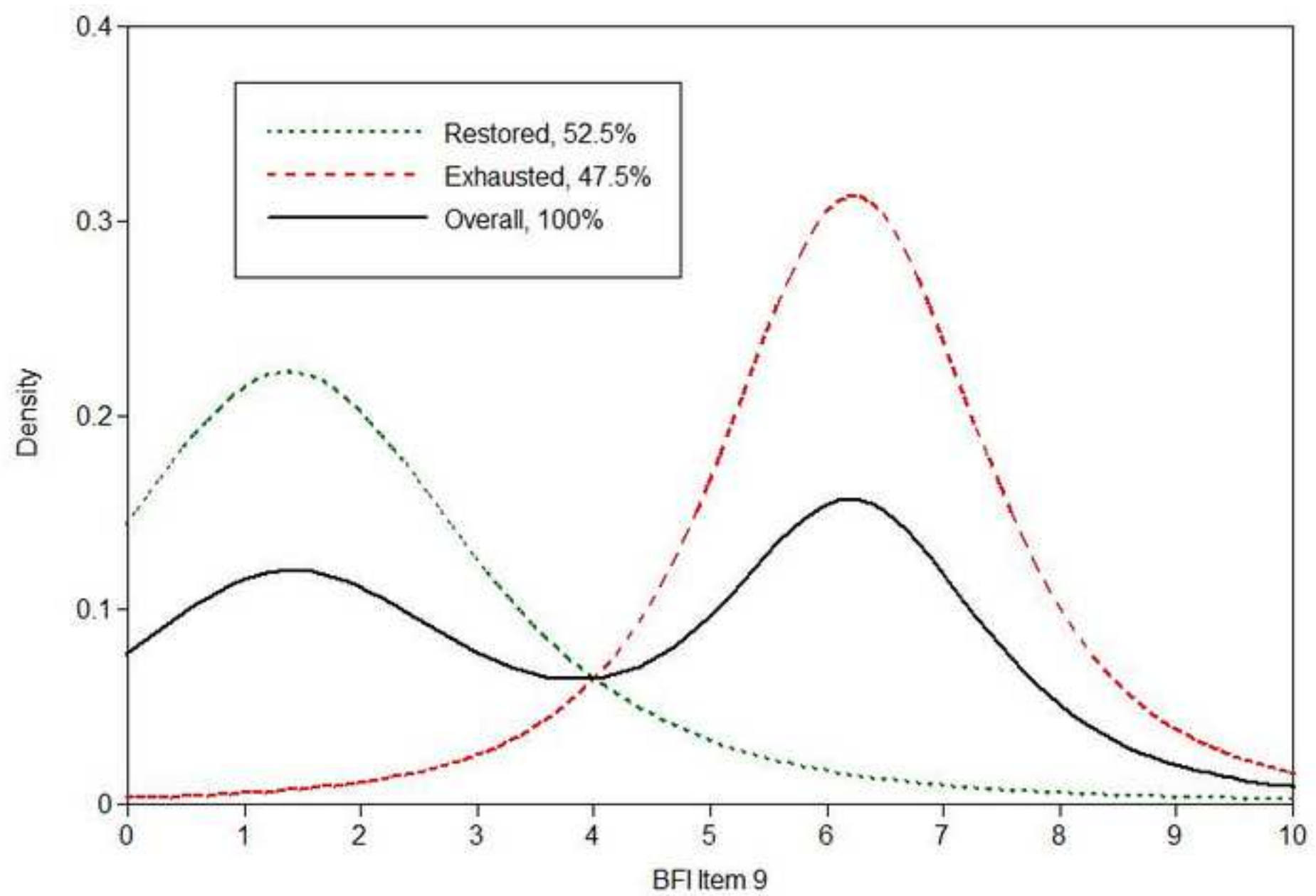


Figure 3
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