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# Advancement of the annual traffic census in Hong Kong

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**This paper summarises the process, findings and recommendations of a recently completed joint university consultancy project that reviewed the annual traffic census (ATC) in Hong Kong. The results of a survey that assessed the usefulness of the census report are presented, together with an overview of the existing traffic data collection process and traffic detection equipment. Areas for improvement are then identified, including the sampling strategies for the collection of vehicle classification and occupancy data, the procedure for the development of group scaling factors, the method for the selection of core and coverage stations, the approaches to developing growth factors and traffic flow estimation, the presentation method and database structure of the census framework, and the manpower requirements. Based on these identified areas, a new computer program is developed to integrate all of the tasks of the census report and to produce the results in CD-ROM format. Finally, concluding remarks are given together with recommendations for further study.**

## 1. INTRODUCTION

Hong Kong is a small city with a total land area of approximately 1100 km$^2$. Its topography is hilly and contains many islands. With a population that is approaching 7 million, and with urban development that is concentrated in around 16% of the land area, it has residential densities that are among the highest in the world.[1,2] In the past two decades the government has invested heavily in the highway and railway systems. A high-standard transportation system is provided to ensure the mobility of people and goods. However, owing to environmental concerns a recently published transport green paper recommended giving more priority to railway development. To maximise performance, the highway system must be closely monitored and effectively managed.[3]

### 1.1. Background

As the size of a highway network in a city is usually very large, it is generally impractical to measure the traffic flow on every link in the network. Therefore sampling techniques coupled with traffic flow prediction procedures are usually adopted to monitor the highway traffic volumes in the network. Two classes of technique are commonly used for the analysis of traffic volumes in networks: linear regression models[4,5] and time-series models.[6–11] In the United States, the measurement of traffic volume has been conducted in New

York,[12] Washington,[13] Delaware[14] and Minnesota.[15] Studies were also conducted in the UK in the 1970s,[16,17] upon which the proposed methodology in this paper is extended and developed.

In Hong Kong, automatic traffic counters for the measurement of traffic volume were first used in 1961. With gradual developments in the subsequent years, a comprehensive system was established in 1971. This system is known as the annual traffic census (ATC).[18] The existing system follows the methodology from the comprehensive review of the census system in 1988.[19] Over 1500 counting stations were used in 1999.[20] Owing to the continuous development and the rapid expansion of the highway system in Hong Kong over the past decade, there was a need to review the existing methodology of the census system and to explore more sophisticated hardware and software technologies for data collection and analysis.[21]

Against this background, the Civil and Structural Engineering Department of the Hong Kong Polytechnic University (PolyU), together with the Civil Engineering Departments at the University of Hong Kong (HKU) and the Hong Kong University of Science and Technology (HKUST), and the Department of Management Sciences at the City University of Hong Kong (CityU), were appointed to conduct a study that reviewed the census methodology and to develop new computer programs for all data analyses and the presentation of results.[22] This paper summarises the process, findings and recommendations of that study. The findings will be relevant to other Asian cities that have similar traffic patterns and share the need to monitor their highway systems effectively and efficiently.

### 1.2. Objectives
The objectives of the study were to

(a) review the census methodology and identify areas for improvement or formulate a new methodology so that the census could be conducted and reported in a more cost-effective manner
(b) develop a comprehensive, user-friendly computer package to perform the data analysis and the presentation of ad hoc and final reports for inquiries and the production of the census Report
(c) investigate the possibility of the digital publication of the Report.

### 1.3. Structure of the paper

Section 2 summarises the results of a questionnaire survey. The data collection techniques and methodology are described in section 3. The analysis of data is discussed in section 4. Section 5 describes the method of presentation and the development of a computer program. The manpower requirements and the likely savings with the enhanced system are discussed in section 6. Section 7 concludes the findings and suggests topics for further study.

### 2. QUESTIONNAIRE SURVEY

To achieve the objectives of the study, it was necessary to understand the structure of the census data and the users' requirements. To this end, a questionnaire survey of major census users was conducted in late December 1999.[23] A total of 133 questionnaires were distributed in both the public and private sectors, and a high return rate of 52% was achieved. The response breakdown by different sectors is listed in Table 1. Questions were asked about

(a) the purpose and frequency of using the annual census Report
(b) the overall easiness of using the Report and suggestions for improvements
(c) the usefulness of all chapters, tables, figures and appendices of the Report
(d) the overall content and presentation of the Report.

The census Report was generally used for traffic noise assessment, pavement design, traffic impact assessment and model calibration and report writing. The frequencies with which different organisations used the Report are shown in Table 2. The usage patterns of government and non-government organisations were quite similar. The census data in the current report format were generally easy to use, and the

overall content and presentation of the census Report were considered satisfactory. However, the assessment of the usefulness of each data category in the Report was slightly different for the three groups of respondents

(a) Transport Department/Transport Branch
(b) other government departments
(c) consulting firms/contractors/others.

Overall, about half of the respondents considered most types of census data to be very useful or useful, and the other half considered them to be not very useful/not at all useful. Usefulness may well depend on the purpose of usage.

In light of the survey findings, more detailed statistics should be provided in the census Report, and more stations should be installed to cover more areas, especially those with substantial traffic growth due to the opening of new roads. It is also strongly suggested that the report be made available in digital format, on CD-ROM or a website, which will allow the inclusion of more detailed information such as summary statistics for each counting station. In terms of presentation, figures and tables should be included in the Report, and the statistics of Lantau Island should be separated from those of the New Territories. All of these findings and recommendations have been taken into account in the development of the new methodologies for the census system and the working and reporting computer programs.

### 3. DATA COLLECTION

The census data collection processes in Hong Kong and overseas were reviewed.[24] Hong Kong uses the same approach as the United States in terms of equipment usage: pneumatic air-tubes are used for temporary counting stations, and inductive loop detectors are used for permanent counting

| User categories | Number of questionnaires distributed | Number of questionnaires returned | Response rate: % |
|---|---|---|---|
| Transport Department and Transport Bureau | 17 | 17 | 100 |
| Other government departments | 53 | 34 | 64 |
| Consulting firms, contractors and others | 63 | 18 | 29 |
| Overall | 133 | 69 | 52 |

Table 1. The breakdown of responses to questionnaire survey by different sectors

| Frequency | Government departments | | Non-government organisations | | Total | |
|---|---|---|---|---|---|---|
| | No. | % | No. | % | No. | % |
| ≥ 10 times per year | 11 | 22 | 7 | 39 | 18 | 26 |
| 6–10 times per year | 6 | 12 | 4 | 22 | 10 | 15 |
| 3–5 times per year | 9 | 17 | 3 | 16 | 12 | 17 |
| 1–2 times per year | 15 | 29 | 1 | 6 | 16 | 23 |
| No response | 4 | 8 | 1 | 6 | 5 | 7 |
| Others | 6 | 12 | 2 | 11 | 8 | 12 |
| Total | 51 | 100 | 18 | 100 | 69 | 100 |

Table 2. The frequencies of Report usage

stations. The distribution of the length of the trafficable roads that were included in the traffic census in 1998 is shown in Table 3. These counting stations are classified as: core (A); coverage (B), for those at a cordon/screenline; and coverage (C) for those not at a cordon/screenline. The sampling strategies for these counting stations are listed in Table 4. Traffic data are collected for one week in each month at core stations and for one week per year at coverage B stations. Coverage C stations are divided into five groups. Every year, a rotation of two groups is selected to collect one weekday of data. For example, in 1998, a total of 644 stations were surveyed, which was approximately 40% of the total number of counting stations in Hong Kong. The distribution of counting stations that were surveyed in 1998 is listed in Table 5. In addition to vehicle counts, both vehicle classification and occupancy data are collected at core and coverage B stations by manual methods, but only vehicle counts are collected at coverage C stations.

Hong Kong's data collection approach is in phase with those of developed countries. In many regards, Hong Kong's practice of producing an annual census that covers both vehicle classification and occupancy counts is more advanced than most overseas practices. However, the high cost of data collection can be reduced if a lower but reasonable level of accuracy in the estimates, on a par with those in advanced countries, is accepted in Hong Kong.

## 3.1. Traffic detection technology

The traffic detection technology was also reviewed in terms of six attributes: the ability to observe, installation, location, advantages, disadvantages, and reliability.[24] As detectors are long-term investments, it is important to keep abreast of both existing and emerging options. The analysis considered inductive loop, microwave radar, infrared, ultrasonic, magnetic and video image processing detectors, and compared their advantages and disadvantages. For collecting vehicle counts, loop detectors have proved to be effective and have the advantage of not being affected by adverse weather conditions. However, they are susceptible to damage by heavy vehicles, road repair and utilities, and their installation and repair cause

| District | Total in district: km | Total covered by census: km | Proportion covered: % |
|---|---|---|---|
| Hong Kong Island | 424·67 | 360·05 | 84·8 |
| Kowloon | 428·82 | 384·03 | 89·6 |
| New Territories | 1011·58 | 873·36 | 86·3 |
| Total | 1865·07 | 1617·44 | 86·7 |

Table 3. Distribution of the length of traffickable roads included in the traffic census in 1998

| Type of station | Type of counter used | Duration of measurement | Data obtained |
|---|---|---|---|
| Core (A) | Recording | 1 week in each of any 3 months | Daily and hourly directional flows |
| | | 1 week in each of the remaining 9 months | Daily and hourly non-directional flows |
| Coverage (B) at cordon/screenline | Recording | 1 week | Daily and hourly directional flows |
| Coverage (C) not at cordon/screenline | Recording or non-recording | 1 weekday (Monday to Friday) | Daily non-directional flows |

Table 4. Sampling strategies for counting stations

| District | Type of station | Road network | | Total |
|---|---|---|---|---|
| | | Major | Minor | |
| Hong Kong Island | Core | 27 | 6 | 33 |
| | Coverage | 118 | 6 | 124 |
| | Total | 145 | 12 | 157 |
| Kowloon | Core | 26 | 5 | 31 |
| | Coverage | 205 | 4 | 209 |
| | Total | 231 | 9 | 240 |
| New Territories | Core | 29 | 3 | 32 |
| | Coverage | 215 | 0 | 215 |
| | Total | 244 | 3 | 247 |
| Total | | 620 | 24 | 644 |

Table 5. Distribution of counting stations surveyed in 1998

traffic disruptions. Magnetic detectors provide an alternative means of traffic detection, but, like loop detectors, they are also embedded in the roadway and have similar drawbacks. New products, such as Nu-metrics detectors, however, can be either portable or buried to prolong their wear-and-tear period.

A common advantage of video image processing, infrared detectors and ultrasonic detectors is that they are mounted in overhead or side positions so that their installation and maintenance do not disrupt the traffic. However, their accuracy is susceptible to weather conditions and atmospheric obscurants. Nevertheless, they can often replace a couple of loop detectors in proximity, and hence could lead to cost savings. Moreover, video image processing can provide a live image of the detected area, which can be used for other purposes such as incidence validation. Similar to the above three types of detector, microwave radar detectors are also mounted at overhead or side positions, but they are less susceptible to adverse weather or atmospheric conditions.

Of the types of detector mentioned above, magnetic, microwave and video image detectors deserve a more in-depth study of their cost and performance. They represent emergent technologies, and their continuous development should be closely followed. Recent field experiments in Hong Kong show that the microwave detector can measure volume, lane occupancy, speed, highway and classification (long or short vehicle) information. When set up properly, the traffic counts were reported to be quite accurate, with a 5% margin for error. However, the accuracy of the side-fired mode was low at certain locations. It is believed that high fencing and narrow shoulders on highways will adversely affect its accuracy. For video imaging detectors, the measurement results show that, when compared with the counts obtained from loop detectors, the difference is around 6–8%. During the peak period from 4 to 7 p.m. the difference is around 6%, whereas the difference of 12-hour counts from noon to midnight is around 8%. One thing to note is that video imaging detectors seem to have consistently higher counts than do loop detectors. The above-mentioned experimental comparison results for magnetic, microwave and video image detectors are consistent with other international studies.[25, 26]

At present, it is premature to choose one technology for large-scale replacements. It is therefore recommended that a few operational tests be conducted at various sites to determine the lifespan, accuracy and ease and cost of installation of these detectors. It should be emphasised that this technology assessment is based purely on obtaining vehicle counts for census purposes. Many of these detectors can obtain data for other applications. Some provide images that are helpful for incident detection or traffic condition verification, and others provide multiple detection zones per detector, which would be cost-effective for intersection signal control where many detector zones are within close proximity.

In the nearer term, an examination of the existing traffic count collection procedure may bring in more immediate cost savings. Labour costs are a major part of the existing procedure owing to the extensive use of movable air-tube detectors. Owing to the relatively short durability of air-tubes (about half a week on average) and the use of standalone counters that require on-site data retrieval, the collection of data for one week from each air-tube station requires the crew to make at least three trips: one to set up the air-tube and counter, one to check and retrieve data, and one to dismount the tube. To reduce the labour costs, the air-tubes could be replaced with linked loop detectors, or wireless communication modems could be installed to reduce the number of crew trips. We conducted a cost analysis to investigate when and where these alternatives are cost-effective.

In the analysis, we designed a survey to collect the life-cycle costs of air-tubes and loops, with emphasis on fixed and material costs, installation costs, and operations and maintenance costs. Both material and labour costs were included in this consideration. All of these costs were accrued on an annual basis for comparison purposes. The fixed costs were the equipment costs for the expected year of service per counting station. The material costs were the cost of non-reusable materials that were associated with the use of air-tubes. Installation costs comprised mainly labour costs. Similarly, operations and maintenance costs were mainly labour costs. Based on the frequency of the service trips and crew size, we estimated the labour hours that were needed for each item. Assuming an average wage of HK$100 per hour (£1 ≈ HK$12), we converted the labour hours to a monetary amount for comparison purposes.

The cost of loop detectors was the same regardless of the station type. Air-tubes, however, owing to their short durability, require frequent checking and reinstallation. Such costs increase significantly with measurement duration and frequency.

For the set-up in Hong Kong as described at the beginning of this section, the cost analysis can be summarised as follows. For core A stations, though the fixed cost of loops is much higher than that of air-tubes, their lower installation and maintenance costs outweigh the difference. The installation of a loop for a core station amounts to HK$14 000 per year, whereas the installation of air-tubes requires HK$41 000 per year. This analysis shows that loop detectors are more cost-effective for core stations.

For coverage B and C stations, the lower frequency and duration of data collection cannot justify the use of loop detectors. Whereas the annual cost of loop detectors remains at HK$14 000, those of air-tubes for coverage B and C stations drop to HK$4000 and HK$2000 respectively. However, we emphasise that this is based on a cost analysis solely for the purpose of the census. Other factors that are not considered include the safety of the crew when installing and dismounting the air-tubes, and the fact that the traffic data that are collected can be used for other purposes such as area traffic control, incident detection, or, in the future, real-time traffic information systems. These factors should have an influence on the selection of the detector types.

The transmission of data from on-site counters to a central off-site computer via wireless modems can reduce the need for trips to check and retrieve data. Such an installation includes a modem-equipped base unit, to which the user's office-based computer is connected through the public telephone switch

network. The base unit then serves as a common point of entry between the user's management system and the detectors. The base unit performs as a store and forward data switch for the required information, and can transmit data in accordance with the specific period.

Each check requires 2 hours of labour. For standalone loop detectors, this translates into HK$2400 per core station every year and HK$200 per coverage B station every year. In the case of air-tubes, owing to the need for more service trips by the crew, the savings are much more substantial: HK$10 000 per core station every year and HK$800 per coverage B station every year. Coverage C stations are not considered in this analysis because they collect only one weekday of data, which does not require much maintenance checking.

This analysis examines the potential benefits of using wireless communication between the counters and a central computer. We searched for but could not identify commercial products that provide this wireless linkage in an independent manner. Most are bundled with a counter and detectors. An example is Groundhog Model G-1 from Nu-metrics. Nevertheless, this cost analysis provides an estimate of the upper bound value for such a device.

To summarise, in comparing the costs of loop detectors and air-tube detectors for census purposes only, it was found that the former were cost-effective for core stations, whereas the latter were cost-effective for coverage B and C stations. However, in view of the fact that coverage B stations are also cordon stations, traffic counts that are collected at such stations can be used for many other types of study, and inductive loop detectors should be installed for all core and coverage B stations and linked to a central computer. A large number of loop detectors at core stations are already linked. This effort should be extended to all core and coverage B stations. The analysis indicated that pneumatic air-tubes are cost-effective for coverage C stations. However, based on past records, at stations where air-tubes cannot last throughout the measurement period, where traffic volume is high or where the crew has safety concerns while mounting the air-tubes, it is advisable to install standalone loop detectors. The objective is to ensure two service trips to each coverage C station—one for mounting/setting up and one for dismounting/retrieving the counter.

In view of the emerging detection technologies, several alternatives should be field-tested to validate their accuracy, lifespan, portability and cost. These field results will form the basis for considering the potential of such technologies as replacements for loops and air-tubes. The alternatives include the following.

(a)  Nu-metrics detectors (e.g. Groundhog or Hi-star). It is believed that non-contact Nu-metrics detectors will not be worn out as easily as air-tubes.
(b)  Video image processing (e.g. Autoscope 2000). The Transport Department recently acquired this type of detector for field-testing. Its performance for Hong Kong scenarios and cost data should be collected and analysed for census purposes.
(c)  Microwave or ultrasonic detectors mounted on movable

platforms that can be transported to different stations with relative ease. This set-up would be suitable for coverage C stations with sufficient shoulders and clearance. To our knowledge, there is no readily available commercial set-up for this purpose. This is one concept that should be explored for the longer-term future.

## 3.2. Traffic counter technology

The traffic counter technology was also reviewed.[24] Recommendations are made as follows. Peek counters can satisfactorily fulfil the need of the census. Even the low-end Peek counters should be sufficient. The current Sarasota counters that are used by the Transport Department of Hong Kong should be phased out, given their lack of service and spare parts. Moreover, a set of Nu-metrics detector/counters with wireless transmission capability should be procured for evaluation purposes. The evaluation results would be useful in determining the suitability of this system and its cost-effectiveness for coverage C stations.

## 3.3. Vehicle classification and occupancy

The sampling strategies for vehicle classification (motorcycle, private car, taxi, passenger van, public light bus, light and heavy goods vehicles, and franchised and non-franchised buses) and passenger occupancy data that will lead to savings in labour costs were also studied.[24] Three types of station were considered: high flow (with average annual daily traffic (AADT) of around 123 000 vehicles), medium flow (with AADT of around 87 000 vehicles), and low flow (with AADT of around 11 000 vehicles). The following sampling durations were examined

(a)  franchised buses (FB): 20 min/h, 30 min/h, 60 min/h (existing scheme)
(b)  all but franchised buses (ABFB): 5 min/h, 10 min/h, 15 min/h (existing scheme)
(c)  once every two years compared with every year (existing scheme).

Each of these alternatives offers labour savings at the expense of larger estimation errors (hereafter, the term 'error' refers to the sampling error in statistical analysis, rather than the data collection error). Based on an extensive study on statistical errors, the sampling scheme of 10 min for ABFB and 30 min for FB has the most potential as a replacement for the existing scheme. This new scheme could reduce the current labour cost by one sixth. The resultant statistical errors for both medium- and high-flow stations are acceptable. However, more field data should be collected to validate the level of estimation accuracy of low-flow stations. Furthermore, for stations in established areas where the annual trends of vehicle composition and classification have stabilised, cross-year sampling methods that could cut the labour cost by half should be considered. Nevertheless, the disadvantage is that a complete set of vehicle composition and occupancy data is not available for every year.

## 4.  DATA ANALYSIS

This section outlines the approach to data analysis. Detailed mathematical formulae and expressions can be found in Lo et al.[27] and Tong et al.[28]

## 4.1. Group scaling factors

Owing to cost constraints, the traffic flows on coverage stations are surveyed for a short period, which is usually one or two days in a year. Hence scaling factors are needed to estimate the AADT of coverage stations. A total of 84 (7 days by 12 months) scaling factors are defined as

$$F_{K,D,M} = \frac{AADT_K}{x_{K,D,M}}$$

where $AADT_K$ is the average annual daily traffic at the $K$th road link, and $F_{K,D,M}$ and $x_{K,D,M}$ are the scaling factor and measured traffic flow for the $D$th day of the week in the $M$th month and at the $K$th road link.

The current approach of the census to the development of group scaling factors and the existing clusters of core stations was reviewed. Using census-1998 survey data and cluster analysis, new clusters of core stations were formed, based on geographical locations and road types. The new groupings were quite similar to the existing census groupings for Hong Kong Island and Kowloon, but substantially different for the New Territories. In general, the new groupings improved the accuracy of the estimates of the group scaling factors. Table 6 shows the group scaling factors of Hong Kong Island (Urban) for 1998. To obtain better estimates of group scaling factors and the AADT of coverage stations, the core stations should be regrouped by cluster analysis once a new set of census survey data is obtained. The variables to be used by the cluster analysis should include the 84 AADT ratios, road types and geographical locations.[27]

## 4.2. Growth factors

According to the original census rotation scheme, coverage C stations are only surveyed twice in every five years. Many coverage stations do not have survey data for both the current year and the previous year. Growth factors have to be applied at least twice to generate the AADT of these stations. This may lead to large and unacceptable variances of the estimators, and thus inaccurate AADT of the coverage C stations. Hence a group of four sets of growth factors should be developed, one for each of the previous four years. For example, if 1998 is the current year, then four sets of growth factors should be

developed from the ratios AADT98/AADT97, AADT98/AADT96, AADT98/AADT95 and AADT98/AADT94. With these four sets of growth factors, the AADT of coverage C stations with survey data on any one of the previous four years can be directly obtained by applying the appropriate growth factor. Hence the AADT of the past four years together with the AADT of the current year are used for the development of growth factors.

Not all core stations within a cluster are used in the calculation of the growth factors for a cluster. A 95% acceptance interval is used for checking the growth rate of the core and coverage stations for each cluster. If a station is found to have a growth factor outside the acceptance interval, then the station is excluded from the calculation of the growth factor to prevent this extreme growth rate from affecting the overall growth factor of the cluster. The 95% acceptance interval is defined as

$$\frac{AADT_t}{AADT_{t-n}} \pm S(0{\cdot}025,\, c-1) \times D\left(\frac{AADT_t}{AADT_{t-n}}\right)$$

where $t$ is year 1998, $n$ equals 1–4, $c$ is the number of the core or coverage stations in the cluster, $S(.)$ is the critical value from the Student $t$ distribution, and $D(.)$ is the standard derivation of the random variable.

## 4.3. Cluster analysis

Cluster analysis is a multivariate technique for classifying objects into natural groups or clusters so that the objects within groups are similar in some respects and unlike those in other groups.[29] The proposed methodology makes use of this technique to group the core stations in terms of their traffic patterns. The statistical software package SPSS is used to perform the proposed cluster analysis. Euclidean distance is used to build the objective function, and Ward's method is used to generate the clusters. Ward's method is widely used in cluster formation. The performance of several popular methods in terms of the clusters that are formed has been compared, and Ward's method has been found to be the most suitable. Milligan *et al.*[30] compare several popular agglomerative algorithms including single link, complete link, group average and Ward's method, and conclude that Ward's method performs best. The standardisation of variables is performed before the cluster analysis to reduce the effect of unusual

| | Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|---|---|---|---|---|---|---|---|
| Jan | 1·221 | 0·979 | 0·969 | 0·983 | 0·959 | 0·945 | 0·962 |
| Feb | 1·254 | 1·010 | 0·987 | 0·979 | 0·974 | 0·959 | 0·985 |
| Mar | 1·267 | 1·003 | 0·975 | 0·978 | 0·965 | 0·950 | 0·985 |
| Apr | 1·250 | 0·986 | 0·959 | 0·954 | 0·945 | 0·937 | 0·974 |
| May | 1·250 | 0·984 | 0·959 | 0·959 | 0·952 | 0·936 | 0·959 |
| June | 1·283 | 0·992 | 0·972 | 0·976 | 0·973 | 0·960 | 0·977 |
| July | 1·240 | 0·993 | 0·970 | 0·965 | 0·962 | 0·957 | 0·959 |
| Aug | 1·223 | 0·987 | 0·976 | 0·960 | 0·959 | 0·950 | 0·962 |
| Sept | 1·208 | 0·970 | 0·951 | 0·952 | 0·954 | 0·931 | 0·946 |
| Oct | 1·217 | 0·949 | 0·937 | 0·950 | 0·940 | 0·922 | 0·964 |
| Nov | 1·192 | 0·950 | 0·940 | 0·930 | 0·928 | 0·907 | 0·940 |
| Dec | 1·204 | 0·943 | 0·927 | 0·925 | 0·920 | 0·898 | 0·930 |

Table 6. Group scaling factors of Hong Kong Island (urban) for 1998

fluctuations in particular months of the year or days of the week, and to make the variables of different units more compatible. The results that were obtained are compared with the existing census cluster structures to check the appropriateness of the groupings of the core stations. Cluster analysis is applied separately to the core stations in Hong Kong, Kowloon and the New Territories. Different clusters are developed for both group scaling factor and growth factors.

*4.3.1. Variables used in the cluster analysis.* The initial choice of variables is itself a categorisation of the data with no mathematical or statistical guidelines, which reflects the investigator's judgement of relevance for the purpose of the classification. Obviously, the input variables determine the classification. To make the groupings more applicable, proper variables should be used in the cluster analysis.

*4.3.2. Group scaling factors.* As the main objective of the grouping of core stations is to develop group scaling factors, the applicability of the groupings in terms of group scaling factors must be considered. This implies that the groupings should not be too scattered or contain too many road types within each group so that coverage stations in the area can be classified accordingly. Dummy variables that represent road types and geographic locations (as reflected by previous groupings) are therefore included in the data analysis for group scaling factors. The road types include Expressway, Urban Trunk road, Primary Distributor, District Distributor, Local Distributor, Rural Trunk road and Rural Road A. Based on the clusters of the core stations, the whole territory is divided into groups. Within each group, core stations are more or less similar in traffic pattern, geographical location and/or road type. Coverage stations are then assigned to the appropriate groups according to location and/or road type.

*4·3·3. Growth factors.* As previously mentioned, the existing procedure of using the clusters that are developed for the group scaling factors to form groups for the estimation of growth factors may not be suitable. Thus clusters that are developed specifically for the development of growth factors are produced. The variables of the four ratios of AADT (AADT98/AADT97, AADT98/AADT96, AADT98/AADT95 and AADT98/AADT94), the $K$ factor, and the location of the station ($x$, $y$ coordinates) are used in the cluster analysis.

The $K$ factor is the proportion of daily traffic in the peak hour, which can be used as an indicator of the traffic pattern of a road link. The factor is defined as

$$3 \quad K = \frac{\text{peak hour flow}}{\text{24-hour daily flow}} \times 100\%$$

As with the group scaling factors, the applicability of the groupings in terms of growth factors must be considered. Geographic location variables are included in the data analysis of the growth factors. Instead of using dummy variables, $x$ and $y$ coordinates are used to represent the geographical locations of the core stations. These coordinates can be found on a map of the region with properly drawn horizontal and vertical grid lines.

## 4.4. Counting station network allocation strategy

One of the main objectives of the census is to determine the annual vehicle kilometrage (VKM) of the road network in Hong Kong. This requires AADT data from a large number of census stations. A major difficulty in achieving this objective is that the traffic volume in a road section varies from day to day throughout the year. Owing to resource constraints, long-duration traffic counts are conducted only at a small number of census stations, which are known as core stations. At other stations, which are known as coverage stations, traffic counts are conducted on one or two days in a year. Scaling factors are used to estimate the AADT of a coverage station based on a short-duration traffic count.

The cluster analysis has successfully grouped stations according to the similarity in their scaling factors. This allows the census data that are collected from core stations to be used to determine the scaling factors of the coverage stations. The results of the cluster analysis provide the basis for the development of a new methodology for the selection of census stations. Within each cluster, it is necessary only to select a sample of stations to be core stations to determine the characteristic scaling factors for that group. The number of core stations that are to be allocated to a group of stations can be determined according to the error bounds on the scaling factors that are set. In a year, only a portion of coverage stations are selected for the traffic census. The number of coverage stations that are allocated to a particular road category in a region is based on the required accuracy level of VKM that will be estimated for that road category in that region. The procedures for selecting the core and coverage stations are summarised as follows.

*4.4.1. Selection of core stations.* The selection procedure for core stations aims at minimising the number of core stations while maintaining adequate accuracy based on the errors of group scaling factors. If road segments are clustered according to their similarity in scaling factors, then it is necessary only to allocate a few core stations in each cluster for the estimation of average group scaling factors. The selection problem is subject to the following constraints

(*a*) the maximum number of core stations that can be surveyed in the next year, given the available resources
(*b*) the acceptable error bounds for different clusters
(*c*) the set of strategically determined core stations that cannot be removed.

The procedure for applying the selection methodology is described below.

(*a*) Based on the survey data in the previous year, compute the average scaling factors for each core station. Conduct the cluster analysis to group all of the core stations into a number of clusters (which may be different from the existing cluster system), and estimate the maximum error for each cluster.
(*b*) For any cluster that exceeds the specified error bound, randomly select a coverage station and add it to the set of core stations. Re-examine the maximum error for the cluster. If it falls below the error bound, then go to the

next cluster. Otherwise, repeat the procedure until the error becomes acceptable.

(c) For any cluster within the specified error bound, randomly remove a core station (excluding those in the set of strategically determined stations). Re-estimate the maximum error for the cluster. If it falls below the error bound, then repeat the procedure until it exceeds the error bound. Go to the next cluster.

(d) If, for the sake of continuity, it is not desirable to have a large sudden change in the allocation scheme for core stations, the error bounds can be moved upwards or downwards by 5% to minimise change.

(e) For any new roads that fall within the major road network, use the existing stratified sampling method to select additional core stations. The number of core stations that are to be added is dependent on the total new link length and the average flows in each road type stratum.

(f) Set up a core station in each new road link, with the major road network cut by a cordon/screenline.

(g) All other new links in the major road network that are not covered by core stations are covered by coverage C stations. All new coverage C stations are divided randomly into five groups and appended to the existing five groups of coverage C stations.

(h) For all new roads that fall within the minor road network, randomly select additional core and coverage C stations. The number of new core and coverage C stations that are to be added is dependent on the total new minor road length. Divide the new coverage stations randomly into five groups and append them to the existing five groups of coverage C stations.

(i) Set up a coverage B station in each new link in the minor road network, which is cut by a cordon/screenline.

(j) After the allocation of core stations, if the total number exceeds the maximum allowable number of core stations, then the specified accuracy level cannot be maintained with the limited resources. In this case, adjust the error bounds upward for different clusters.

Using the 1998 survey data, the cluster analysis was performed to regroup the core stations. Consequently, 12 groups were formed. For each group, the maximum relative errors of the

scaling factors were calculated, and the results are shown in Table 7. The error from the original census methodology ranged from 5% to 74%. However, with the proposed methodology all of the errors fall within the maximum acceptable error bound by adding only three more stations.

4.4.2. *Selection of coverage stations.* The selection procedure for coverage stations aims at minimising the number of coverage C stations while maintaining adequate accuracy based on the sampling errors of vehicle kilometrage. The selection problem is subject to the following constraints

(a) the maximum number of coverage stations that can be surveyed in the next year, given the available resources

(b) the time gap between successive surveys for any coverage station, which must not exceed four years

(c) the bounds of the relative width of the 95% confidence interval ($R_d$) for different categories, as defined by road type and region

(d) the set of coverage B stations that must be surveyed every year.

The procedure for applying the selection methodology is described below.

(a) Coverage C stations are divided into five groups, and only one group (the target group) is surveyed each year.

(b) Based on the survey data in the previous five years, estimate the AADT for all coverage stations and calculate the $R_d$ value for each category as defined by road type and region.

(c) In one particular year, for any category that exceeds the specified $R_d$ bound, increase the number of sampled coverage stations by one. Re-estimate the $R_d$ for this category. If it falls below the specified $R_d$ bound, then go to the next category; otherwise, continue to increase the number of sampled coverage stations until the $R_d$ is within the bound.

(d) For any category that falls within the specified $R_d$ bound, decrease the number of sampled coverage stations one at a time until the removal of one more station will cause it to exceed the bound.

| Cluster | Cluster description | Original ATC results | | Proposed results | |
|---|---|---|---|---|---|
| | | Max. error: % | No. of stations | Error bound: % | Required No. of stations |
| G1 | Hong Kong Island, urban 1 | 5 | 8 | ±10 | 4 |
| G2 | Hong Kong Island, urban 2 (major roads) | 5 | 10 | ±10 | 6 |
| G3 | Hong Kong Island, urban 2 (minor roads) | 56 | 4 | ±20 | 8 |
| G4 | Hong Kong Island, recreational and remote | 24 | 6 | ±30 | 5 |
| G5 | Kowloon, urban 1 | 10 | 6 | ±10 | 6 |
| G6 | Kowloon, urban 2 (trunk roads and primary distributors) | 11 | 9 | ±10 | 10 |
| G7 | Kowloon, urban 2 (district and local distributors) | 11 | 8 | ±10 | 9 |
| G8 | Kowloon, urban (minor roads) | 74 | 5 | ±20 | 13 |
| G9 | New Territories (trunk roads) | 10 | 6 | ±10 | 6 |
| G10 | New Territories (district distributors) | 7 | 10 | ±10 | 7 |
| G11 | New Territories (local distributors) | 10 | 7 | ±10 | 7 |
| G12 | New Territories (rural and recreational) | 35 | 3 | ±30 | 4 |
| Total number of stations | | | 82 | | 85 |

Table 7. Allocation of core stations based on 1998 survey results and cluster grouping

(e) From steps (c) and (d), the number of coverage C stations that are to be sampled for each category in the next survey year is determined. If stations are to be added, then they are selected randomly from the remaining four groups of coverage stations. However, the priority of selection is based on the survey time gap for each station. If stations are to be removed, then they cannot be selected from stations that belong to the target group.

(f) If the resources that are available in the next year are not sufficient to survey all of the sampled coverage stations, then the specified accuracy level for estimating vehicle kilometrage cannot be maintained. In such cases, adjust the $R_d$ bounds for different categories and repeat steps (a)–(e).

Using the 1998 survey data, the $R_d$ values for all of the road categories in Hong Kong are determined. These are then compared with the following $R_d$ bounds

- major primary distributors: ±15%
- major district distributors and remote areas: ±15%
- major expressways, and urban and rural trunk roads: ±20%
- major local distributors (except New Territories): ±30%
- minor roads: ±30%
- major local distributors (New Territories): ±50%.

Note that the proposed $R_d$ bound for the major local distributors (New Territories) category is set at 50%, which is much higher than the rest. This is because the lowest achievable $R_d$ value for the category is 47% even if all stations are included in the analysis. For each category, if the $R_d$ value exceeds the specified $R_d$ bound, then coverage C stations are added one at a time until the estimated $R_d$ value falls below the bound. If the $R_d$ value is below the specified bound, then coverage C stations are removed randomly one at a time until

it is just within the bound and the removal of an additional station will cause it to exceed the bound. For the sake of continuity and to avoid sudden change, the $R_d$ value for each category is allowed to be over or under the $R_d$ bound for that category by 5%. A summary of the analysis results for the example of Hong Kong Island is shown in Table 8.

*4.4.3. Remarks.* The advantages of the new methodology for the allocation of core and coverage stations were demonstrated using the 1998 traffic census results. This was the most up-to-date and complete set of data available to the study team at the time of the development of the new methodology. The methodology should be applied annually to update the core and coverage station allocation scheme. Apart from the estimation of vehicle kilometrage, traffic census data are also required at screen lines and cordons. It is recommended that the set of screen lines and cordons that are to be selected for census be updated annually after discussion with the government departments that use this type of data. As the road network in Hong Kong is being continuously upgraded, it is also recommended that the road network inventory and the set of census stations be annually updated to replicate the current road conditions. [28]

### 4.5. Growth factors and traffic flow estimation

Because not all coverage stations are surveyed each year, their AADT volumes have to be estimated by using growth factors. The census methodology for producing growth factors was reviewed. The following suggestions were made to improve the methodology.

(a) A new set of core station clusters should be specifically developed for the growth factors.

| Road category and region | VKM | $R_d$*: % | No. of stations | Results | |
|---|---|---|---|---|---|
| Major primary distributor | 1 390 784 | 19 | 48 | $R_d$ bound: % | 18 17 16 15 |
| | | | | No. of coverage stations | 51 54 62 66 |
| Major district distributor and rural road (A) | 1 017 528 | 18 | 51 | $R_d$ bound: % | 16 15 |
| | | | | No. of coverage stations | 52 61 |
| Major urban trunk, expressway and rural trunk | 2 030 483 | 28 | 11 | $R_d$ bound: % | 27 26 25 24 23 22 21 20 |
| | | | | No. of coverage stations | – 12 – – 14 – 16 17 |
| Major local distributor | 86 147 | 35 | 7 | $R_d$ bound: % | 34 33 32 31 30 |
| | | | | No. of coverage stations | – – 8 9 10 |
| Minor road | 911 586 | 39 | 58 | $R_d$ bound: % | 38 37 36 35 34 33 32 31 30 |
| | | | | No. of coverage stations | 60 62 63 65 67 70 71 74 76 |
| Total | 5 436 531 | 12·5 | 175 | | |

Note:
Total number of coverage stations (before add/drop): 175
Number of coverage stations added: +10
Total number of coverage stations recommended (shaded cells): 185

*$R_d$: bounds of the relative width of the 95% confidence interval.

Table 8. Allocation of coverage stations based on 1998 survey results (Hong Kong Island)

(b) The rotation scheme for the coverage stations should be modified.

(c) The new rotation scheme for the coverage stations on the minor link network should be applied.

(d) Four sets of growth factors should be produced to limit the number of times that each is used for any coverage station.

The census methodology for estimating the traffic flow and VKM has also been reviewed. Suggestions are made to amend the estimation formula for the minor link network so that the VKM for the core stations and coverage stations on minor roads can be estimated separately and more accurately. The proposed methodologies for the development of growth factors and the estimation of VKM are validated using census-1998 survey data. The results show that the proposed methodologies generally outperform the existing census approach.[27]

## 5. DISSEMINATION OF RESULTS

### 5.1. Presentation of results

The census Report is currently presented in booklet form. This not only causes a storage problem due to the large stack of reports that are printed for many years, but it also means that the reports are not user-friendly. Data retrieval can be improved, as indicated by the results of the survey. The data and information that are presented in the census Report can be broadly divided into three major categories: documentation and summary statistics, AADT data and maps, and definitions and terminology. With the existing presentation, it is rather difficult and time-consuming to search for the station that covers a particular road section and its traffic counts. Moreover, for each ordered attribute for the AADT of counting stations, such as station numbers and road names, a whole set of results have to be duplicated. This occupies much space in the Report.

Based on the findings of the review and the results of the survey, it is recommended that future census Reports be documented in digital form, with CD-ROMs as the major distribution medium. A Windows-based reporting program was developed for users to retrieve the necessary data and information from the CD-ROM in a user-friendly environment, which can either be used alone or installed in the user's computer. Fig. 1 shows the layout of the front page of the reporting program. Six major buttons are available for accessing the documentation, individual counting station, cordon/screenline, map information, help menu and printing pages.

The possibility of exploring geographic information system (GIS) technology to further enhance the presentation of the census results was also studied. The use of a GIS for the presentation of census results would not only be compatible
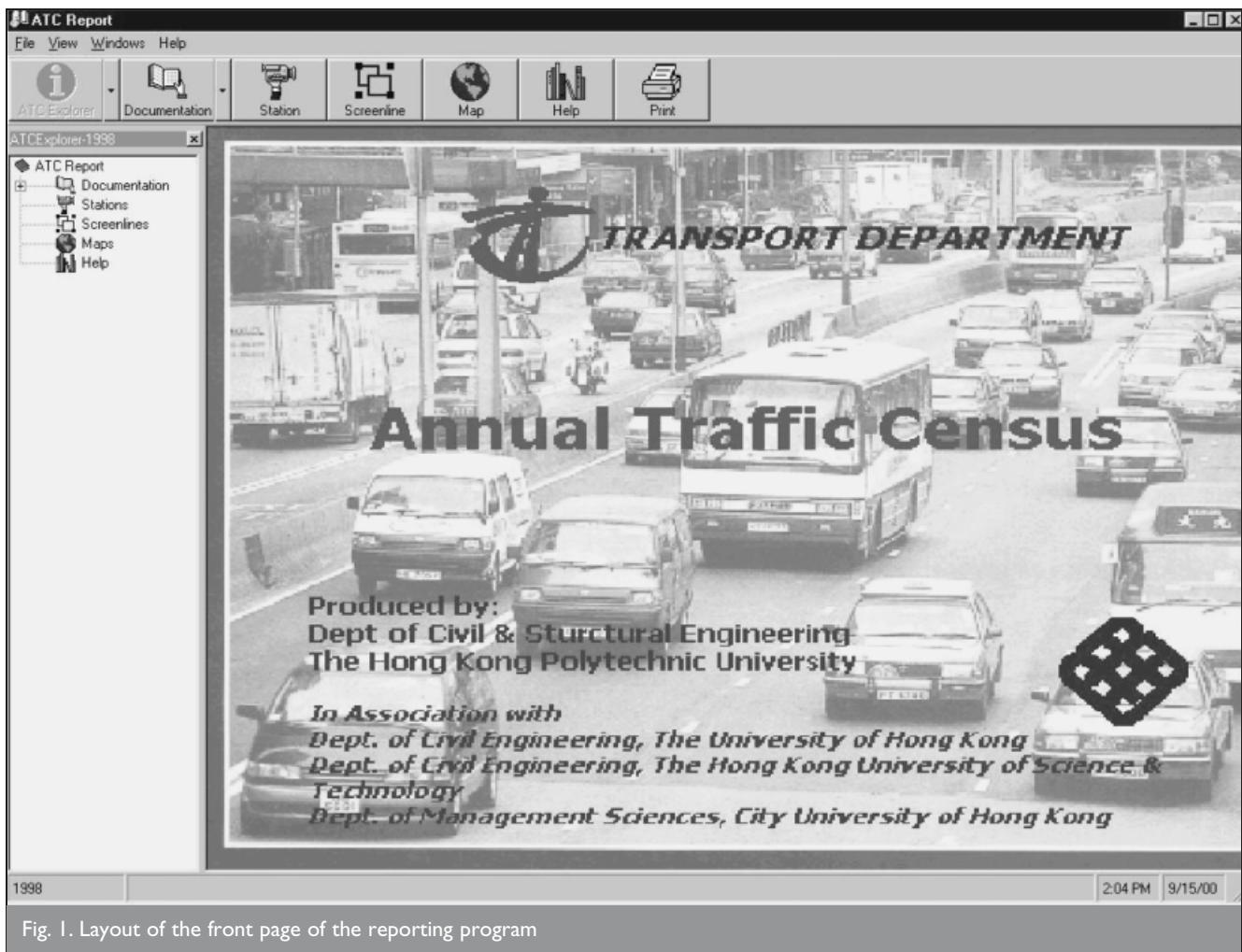


Fig. 1. Layout of the front page of the reporting program

with the future development of the Transport Information System (TIS), but would also provide an even more user-friendly and flexible environment. Therefore a GIS should be considered in the future expansion and enhancement of the census system.[31]

## 5.2. Computer programs

Apart from the reporting program, a new working program was developed to integrate all of the tasks and analyses for the census system. Three improvements are achieved in the working program: a well-organised database, an object-oriented approach for the integration of all of the tasks for producing the census results, and user-friendliness (Windows-based). The census data are stored and manipulated in MS Access for better protection and management. The object-oriented modelling is used for encapsulating the data in the working program so that they are easier to understand. Windows screens are used to provide a user-friendly environment for the production of the census results, which are automatically stored in the Access database. The proposed database system should be backed up regularly.

A rotation scheme for the backup of the proposed database system should be adopted to regularly save the data files on hard disk and CD-ROM (or a high-capacity external hard disk). For instance, in a three-day rotation the database is backed up and copied to three daily files for three successive days. Note that the third-day file includes all of the data that were recorded in the previous two days and in the current day. On the fourth day, a new (fourth) file replaces the first-day file, and so on. The backup process is repeated for the whole month, and the last-day file of each month (the monthly file) is saved as a backup file for the up-to-month data. The daily and monthly files are continuously and regularly saved for the whole survey year. At the end of the survey year, the yearly database should also be saved, both on hard disk and CD-ROM (or a high-capacity external hard disk), to ensure the security of the data.[31]

## 6. MANPOWER REQUIREMENTS

An analysis of the cost components of the manpower requirements for the existing census revealed that around 60 people were required for carrying out the census exercise. The manpower cost was approximately HK$11·5 million. The equipment and direct labour costs for surveys at counting stations ranged from HK$2·8 million to HK$3·4 million, depending on the lifespan of the equipment. The annual capital and running costs of an induction loop station were less than those of an air-loop station for core stations, but more expensive for coverage stations. The annual manpower cost of data collection for the vehicle classification and occupancy counts was approximately HK$1·4 million. If the recommendations of the present study are implemented, then the costs of data collection at all counting stations will rise from the current range of between HK$2·8 million and 3·4 million to between HK$4·3 million and HK$5·5 million, but a saving of about HK$0·5 million could be achieved from the vehicle occupancy and classification surveys. If the data collection work of the census were contracted out, then a minimum saving of HK$2·0 million in manpower resources could be achieved, as reflected in the preliminary quotations of three consultantcy firms.

## 7. CONCLUSIONS AND FURTHER STUDIES

### 7.1. Concluding remarks
This study has achieved the following.

(a) A questionnaire survey has been conducted to collect important and useful views from users of the census system, and the results have been incorporated into the establishment of a new methodology for the census and the development of both the reporting and working computer programs.

(b) A critical review of state-of-the-art traffic detection technology, traffic counter technology and vehicle classification and occupancy survey methods has been carried out. Useful surveys have also been conducted to formulate the most cost-effective methodologies for data collection, taking full account of Hong Kong's local characteristics.

(c) The existing strategy for locating counting stations and the statistical analysis of collected data has been discussed. Based on the census data of previous years, new methodologies have been developed to provide a cost-effective and efficient solution for the allocation of counting stations and statistical analyses of traffic data. With the census-1998 survey data, it has been demonstrated that a higher accuracy can be achieved without the need for extra resources.

(d) A new Windows-based and user-friendly working program has been developed to integrate all of the tasks and analyses of the census into a unified software suite, which will allow more efficient handling of the massive amounts of data that are collected from the various sources in the census system. In addition, and in response to the comments from the questionnaire survey, a user-friendly reporting program has been developed to present the census Report in digital form, which can be distributed to end users in
CD-ROM format and accessed in a Windows-based environment.

(e) An extensive review of the manpower requirements for the census system has also been carried out to identify room for a more cost-effective solution. If the recommendations of the study in relation to upgrading the traffic detection and counter technologies are implemented, then equipment costs will increase by between HK$1·5 and HK$2 million per annum, but this will be partially compensated for by a reduction in labour costs of around HK$0·5 million per annum and intangible benefits such as higher accuracy and the ability to measure other useful traffic data (e.g. speed).

### 7.2. Further studies
This study draws a rather detailed road map for improving the current census system in Hong Kong. Nevertheless, there is a need to further assess the effects of the improvements where the new census system differs markedly from the existing system, and where important decisions or commitments need to be made. To do so, pilot studies should be conducted to test the recommendations of this study. The following studies are suggested

(a) a survey of vehicle classification and occupancy counts at various locations (different road types) to validate the various data collection schemes

(b) a pilot study of a selected area, such as Hong Kong Island, to validate the proposed methodology while collecting the required data

(c) a comparison of the proposed and existing approaches in terms of growth factor development.

In addition, the development of an integrated census and GIS system for Hong Kong would certainly help to improve the current data retrieval and data analysis process. Such a system could be extended to connect with the proposed Transport Information System (TIS). A complete linkage to a GIS should be investigated and implemented in a separate study.

## 8. ACKNOWLEDGEMENTS

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