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Prediction of ultimate stress in unbonded prestressed tendons

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The behaviour of prestressed concrete members with unbonded tendons is different from that of members with bonded tendons. While the behaviour of prestressed concrete beams with bonded tendons is characterised by that existing at individual sections, analysis of the entire member is necessary for beams with unbonded tendons. This paper examines various design methods for the determination of ultimate tendon stress at flexural failure of prestressed concrete beams with unbonded tendons. Two broad categories of deformation-based approaches have been identified, namely those based on the span-depth ratio together with loading type, and those based on the neutral axis depth. These methods are reviewed critically. A new design formula has been proposed in the light of the available experimental data. It is applicable not only to the conventional high-strength steel prestressing tendons, but also to those made of other materials such as fibre-reinforced polymer.

Introduction

The use of unbonded tendons in prestressing may be in the form of internal tendons in beams and slabs. They may also be in the form of external tendons both in new construction as well as retrofitting of concrete bridges. With the increasing use of unbonded tendons in prestressed concrete structures, there is a need for an examination of the design and analysis of such structures. Although prestressed concrete beams with bonded and unbonded tendons behave in a similar manner at the working stage, they behave much differently when overloaded. The behaviour of prestressed concrete beams with bonded tendons is characterised by that existing at individual sections, as there is bonding between the tendons and the surrounding concrete. However this is not the case for prestressed concrete beams with unbonded tendons because the tendons and the surrounding concrete generally move with respect to each other. The stress increase in the tendons due to external loading subsequent to prestressing depends on the deformation of the whole member, and it cannot be determined from the analysis of the cross section alone as in the case of bonded tendons. Therefore an analysis of the whole member is necessary. Many experimental and analytical studies had been carried out within the past five decades for prediction of flexural resistance of prestressed concrete beams with unbonded tendons, which is closely related to the ultimate tendon stress f_{ps} at flexural failure. As a result, formulae of different sophistication have been suggested for the purpose. This paper critically reviews the existing design formulae and recommends a simpler approach in the light of the available experimental results.

Existing literature

Baker¹ was one of the pioneers who worked on the prediction of stress in unbonded tendons at the ultimate limit state. Since then, research work on the topic proliferated.^{2–22} A comprehensive evaluation of the stateof-the-art up to 1991 was carried out by Naaman and Alkhairi,¹³ while Allouche *et al.*¹⁹ reported another comprehensive literature review of the topic up to 1998. A common approach in the prediction of the ultimate tendon stress f_{ps} at flexural failure of a beam with unbonded tendons is to start with the effective prestress f_{pe} and to determine the subsequent stress increment Δf_{ps} due to any additional load leading to ultimate failure. Investigations over the past five decades have identified the factors that influence this

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stress increment to include the concrete compressive strength, amounts of prestressing tendons and non-prestressed reinforcement, the span-depth ratio, etc. Actually findings from these investigations have been instrumental in the continuous improvements in the formulae adopted in various design codes²³⁻²⁷ for prediction of the ultimate tendon stress f_{ps} at flexural failure.

To predict the ultimate tendon stress f_{ps} at flexural failure, Baker¹ introduced the bond reduction coefficient λ , which is defined as the ratio of average concrete stress adjacent to the steel to the maximum concrete stress adjacent to the steel. For the ultimate limit state, he suggested a safe limiting value of $\lambda = 0.1$. After testing a number of simple prestressed concrete beams with unbonded tendons, Janney et al.² suggested that the coefficient λ be taken as the ratio of neutral axis depth c at ultimate to the depth d_p to prestressing tendon, i.e. $\lambda = c/d_p$. To investigate the effects of the amount of reinforcement, the concrete compressive strength and the type of loading on the ultimate behaviour, Warwaruk et al.³ tested 82 simply supported partially prestressed beams. Apart from recognising the role of supplementary reinforcement in crack control, a prediction formula was also suggested. Subsequently Pannell⁴ carried out comprehensive investigations to study the effects of the span-depth ratio, the effective prestress and the amount of reinforcement on the flexural behaviour of prestressed concrete beams with unbonded tendons. He put forward another prediction formula on the assumption that the width L_p of the plastic zone at ultimate is 10.5 times the neutral axis depth c at ultimate. To evaluate the effects of the presence or absence of bond, the amount of supplementary non-prestressed reinforcement, etc., Mattock et al.⁵ tested a number of simple and continuous partially prestressed concrete beams, and critically examined the ACI design code prevalent at the time. The design code was subsequently amended in the light of such research findings. Later Tam and Pannell^o reported their findings on the effects of the amounts of prestressed and non-prestressed tensile steel, the spandepth ratio L/d_p and the effective prestress f_{pe} . The investigations by Mojtahedi and Gamble⁷ identified the significant effect the span-depth ratio L/d_p had on the ultimate tendon stress f_{ps} at flexural failure. They also put forward a strut-and-tie model to support these ob-servations. The work of Burns $et al.^8$ on one-way prestressed concrete continuous slabs suggested that the loading arrangement, the pattern of plastic hinge formation and the span-depth ratio all have effects on the ultimate behaviour of such structures. The experimental and analytical investigations by Cooke et al.9 were mainly to study the effect of the span-depth ratio and the amount of prestressing steel on the stress f_{ps} in unbonded tendons at ultimate. They also critically reviewed various versions of the existing ACI design code and recommended certain amendments. In a bid to show the significance of non-prestressed reinforcement and its effect on the ultimate tendon stress f_{ps} at flexural failure, Du and Tao¹⁰ carried out an experimental investigation and proposed a revised design formula to take this into account. In the analytical investigation undertaken by Harajli¹¹ to study the effect of loading type and span-depth ratio on the ultimate tendon stress f_{ps} at flexural failure, the span-depth ratio was incorporated into the design formula in ACI 318-83.²³ A revised design formula that could allow for a continuous transition for various span-depth ratios was proposed. Various research groups also focused on the improvement of design formulae adopted in different design codes. They include Campbell and Chouinard,¹² Naaman and Alkahiri,^{13,14} Harajli and Kanj¹⁵ and Chakrabarti.¹⁶

Design approach based on span-depth ratio together with loading type

Figure 1(a) shows a simply supported prestressed concrete beam with unbonded tendons of span L, under the action of a pair of symmetrically disposed point loads separated by a distance L_a . The actual and idealised distributions of curvature developed at the nominal flexural strength of the beam are shown in Fig. 1(b).

There are three major simplifying assumptions adopted by various researchers. 5,11,20

(a) Although the strain in the unbonded prestressing steel is incompatible with that of the surrounding concrete, the total elongation of the prestressing steel between the end anchorages can be determined from the curvature distribution along the beam length.



Fig. 1. A simply supported prestressed concrete beam with unbonded tendons under two symmetrically disposed point loads: (a) arrangement of loading; (b) actual and idealised curvature distribution along the beam

- (b) The total elongation of the prestressing steel between the end anchorages is mainly caused by the plastic deformation occurring with of plastic region of length L_0 in the idealised curvature distribution shown in Fig. 1(b), whereas the deformation within the elastic regions is neglected.
- (c) Any frictional stresses acting along the tendons are negligible so that the stress in the unbonded tendons is constant along the beam length.

In other words, it is assumed that the increase in tendon elongation between the end anchorages, and therefore the corresponding stress increment in the prestressing tendons, is mainly due to the plastic deformation occurring within an equivalent plastic region length L_0 in the vicinity of applied load (Fig. 1(b)).

The strain distribution across the section depth of a prestressed concrete beam with unbonded tendons is shown in Fig. 2. Let $\Delta\varepsilon$ be the 'fictitious' increase in strain above decompressive strain in the concrete at the level of prestressing tendon, ε_{pe} be the effective prestrain of the tendon, ε_{ce} be the precompressive strain in the concrete at the level of prestressing tendon and ε_{cu} be the ultimate concrete compressive strain in the top fibre which is taken as 0.003 as specified in the ACI Building Code.²³ Therefore the 'fictitious' strain increment $\Delta\varepsilon$, the total increase in tendon elongation between the end anchorages Δl_{ps} and the increase in strain $\Delta\varepsilon_{ps}$ in the prestressing tendon above effective prestrain can be expressed respectively as follows



Fig. 2. Strain distribution across the section depth of a prestressed concrete beam with unbonded tendons

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$$\Delta \varepsilon = \left(\frac{d_p - c}{c}\right) \varepsilon_{cu} \tag{1}$$

$$\Delta l_{ps} = (\Delta \varepsilon + \varepsilon_{ce}) L_0 \tag{2}$$

$$\Delta \varepsilon_{ps} = \Delta l_{ps}/L \tag{3}$$

where L_0 is the equivalent length of plastic region in the member as shown in Fig. 1(a) and L is total span length between the end anchorages. The strain ε_{ps} in the prestressing tendon at nominal flexural strength can be obtained by combining equations (1), (2) and (3) as

$$\varepsilon_{ps} = \varepsilon_{pe} + \Delta \varepsilon_{ps} = \varepsilon_{pe} + \varepsilon_{ce} \left(\frac{L_0}{L}\right) + \varepsilon_{cu} \left(\frac{d_p - c}{c}\right) \left(\frac{L_0}{L}\right)$$
(4)

The unbonded tendon usually remains elastic and therefore the stress f_{ps} can be expressed as

$$f_{ps} = E_{ps}\varepsilon_{ps} = E_{ps}\varepsilon_{pe} + E_{ps}\Delta\varepsilon_{ps}$$
$$= f_{pe} + E_{ps}\varepsilon_{ce}\left(\frac{L_0}{L}\right) + E_{ps}\varepsilon_{cu}\left(\frac{d_p - c}{c}\right)\left(\frac{L_0}{L}\right) \quad (5)$$

where E_{ps} is the modulus of elasticity of prestressing tendon and f_{pe} is the effective prestress. Generally the value of ε_{ce} is negligible compared to the other terms. Thus neglecting ε_{ce} , equation (5) can be written in terms of the stress increment Δf_{ps} caused by additional loading to reach the ultimate moment condition as

$$f_{ps} = f_{pe} + \Delta f_{ps} = f_{pe} + E_{ps} \varepsilon_{cu} \left(\frac{d_p - c}{c}\right) \left(\frac{L_0}{L}\right)$$
(6)

The neutral axis depth c at the critical section at ultimate can be computed from equation (6) as

$$c = \frac{E_{ps}\varepsilon_{cu}(L_0/L)d_p}{\Delta f_{ps} + E_{ps}\varepsilon_{cu}L_0/L}$$
(7)

If the member is of flanged section, the force equilibrium equation at the critical section can be written as

$$A_{ps}f_{ps} + A_sf_y - A'_sf'_y = 0.85\beta_1 f'_c b_w c + C_f \quad (8a)$$

$$\begin{cases} C_f = 0.85\beta_1 f_c^* (b - b_w) h_f & \text{if } \beta_1 c > h_f \\ C_f = 0, \ b_w = b & \text{if } \beta_1 c \le h_f \end{cases}$$
(8b)

$$\begin{cases} \beta_1 = 0.85 & \text{if } f'_c < 28 \text{ MPa} \\ \beta_1 = 0.85 - 0.05(f'_c - 28)/7 & \text{if } 28\text{MPa} \leq f'_c \leq 56 \text{ MPa} \\ \beta_1 = 0.65 & \text{if } f'_c > 56\text{MPa} \end{cases}$$

$$(8c)$$

where A_{ps} is the cross sectional area of prestressing tendon; A_s and f_y are respectively the cross sectional area and yield strength of ordinary tension reinforcement; A'_s and f'_y are, respectively, the cross sectional area and yield strength of ordinary compression reinforcement, f'_c is the cylinder compressive strength of concrete; b and b_w are, respectively, the breadths of flange and web; C_f is the compressive force carried by the flange if applicable; and β_1 is the concrete compression block reduction factor.^{23,25,27} The tendon stress f_{ps} at ultimate can be obtained by substituting the value of *c* from equation (7) into equation (8a) and invoking equation (6) as¹¹

$$f_{ps} = \frac{1}{A_{ps}} \frac{0.85\beta_1 f'_c b_w (L_0/L) d_p E_{ps} \varepsilon_{cu}}{f_{ps} - f_{pe} + E_{ps} \varepsilon_{cu} (L_0/L)} + \frac{(A'_s f'_y - A_s f_y) + C_f}{A_{ps}}$$
(9)

The equivalent length L_0 of the plastic region can be obtained as shown in Fig. 1 as

$$L_0 = L_a + 2L_p = L/f + 2L_p$$
(10)

where f is a coefficient dependent on the arrangement of loading. Harajli¹¹ suggested $f = \infty$ for single concentrated load, f = 3 for two third-point concentrated loads and f = 6 for uniform loading. The second term $2L_p$ in equation (10) is the plastic hinge length measured outside the constant moment region. The parameter L_p is taken as

$$L_p = 0.5d_p + 0.05Z \tag{11}$$

where Z is the shear span or the distance between the point of maximum moment and the point of contraflexure as shown in Fig. 1(a). An expression for L_0 is obtained by substituting the value of L_p from equation (11) into equation (10)

$$L_0 = d_p \left[\frac{L}{d_p} \left(\frac{0.95}{f} + 0.05 \right) + 1.0 \right]$$
(12)

which appears in dimensionless form as

$$L_0/L = \frac{0.95}{f} + 0.05 + \frac{1}{L/d_p}$$
(13)

Harajli¹¹ examined the validity of the above model by comparing his results with the experimental results of the tendon stress f_{ps} at ultimate obtained by Warwaruk *et al.*,³ Mattock *et al.*,⁵ and Du and Tao¹⁰ concluding that equation (9) reproduced fairly accurately the experimental results.

Further study of equivalent length of plastic region L_0

As the validity of the previous approach relies on the determination of the equivalent length L_0 of plastic region, it is useful to verify this model by comparing the theoretical value of L_0/L from equation (13) against the experimental values. Rearranging equation (9), the experimental value of L_0/L can be obtained as

 $\frac{L_0}{L}$

$$=\frac{(A_{ps}f_{ps} + A_{s}f_{y} - A'_{s}f'_{s} - C_{f})(f_{ps} - f_{pe})}{(0.85f'_{c}\beta_{1}b_{w}d_{p} - A_{ps}f_{ps} - A_{s}f_{y} + A'_{s}f'_{c} + C_{f})E_{ps}\varepsilon_{cu}}$$
(14)

Based on the findings of Du and Tao¹⁰ and Harajli and Kanj¹⁵ the theoretical values of L_0/L from equation (13) are plotted against the experimental values from equation (14) in Fig. 3. It is observed that there is much disagreement between theoretical and experimental values of L_0/L .

All test beams of Du and Tao¹⁰ were 160×280 mm in cross section, 4400 mm in length, and were tested with two symmetrical third-point loads over a 4200 mm span. The span-depth ratio was kept constant at 20, while the compressive strength of concrete, the cross sectional areas of prestressing and ordinary steel were varied. The theoretical values of L_0/L for all specimens were 0.42, whereas the experimental values ranged from 0.17 to 0.88. The mean value of L_0/L is 0.34 based on 20 beams, while the standard deviation is 0.16 with a coefficient of variation as high as 0.47. In the experiment of Harajli and Kanj,¹⁵ a total of 26 simply supported beams with rectangular cross section were tested. The main input parameters included three different contents of tension reinforcement, two different amounts of ordinary steel relative to the prestressing steel (fully prestressed and partially prestressed), and three different values of span-depth ratio (20, 13 and 8). For each set of input parameters, two specimens were tested, with one under single concentrated load at midspan, and the other under two symmetrical thirdpoint loads. The theoretical values of L_0/L for beams with span-depth ratios 20, 13, and 8 under third-point loads are 0.42, 0.44, and 0.48 respectively; whereas for those under single concentrated load at midspan, they are 0.10, 0.13, and 0.17 respectively. The experimental values of L_0/L lie between 0.02 and 0.25 with a mean value of 0.14. The standard deviation is 0.06, while the



Fig. 3. Comparison of theoretical values against experimental values of L_0/L

coefficient of variation is 0.43. In addition, the experimental values of L_0/L for members tested under single concentrated load are comparable in magnitude to their counterparts tested under two third-point loads. This phenomenon is consistent with the measurements of the tendon stress f_{ps} at ultimate by Harajli and Kanj,¹⁵ but in contradiction with those computed from equation (13). This discrepancy is obviously caused by the use of the coefficient f that relies entirely on the loading type. On closer examination of Harajli's model,¹¹ one may discover that the factor L_0/L is very much similar to the bond reduction coefficient λ which Baker¹ suggested should be determined experimentally.

Recently, Lee *et al.*²⁰ further worked on Harajli's model¹¹ to derive another design equation for the evaluation of the tendon stress f_{ps} at ultimate. In particular, the term 0.05*Z* in equation (11) was neglected. The coefficient *f* to account for type of loading is also different, i.e. f = 10 for one-point loading, and f = 3 for two-point loading or uniform loading. A moment equilibrium equation was used to replace the force equilibrium equation of the original model to take into account the geometrical locations of tendons and bonded reinforcement. An equation similar to equation (9) was obtained as follows

$$f_{ps} = \frac{1}{\alpha_p A_{ps}} \frac{0.85\beta_1 f'_c b(L_0/L) d_p E_{ps} \varepsilon_{cu}}{f_{ps} - f_{pe} + E_{ps} \varepsilon_{cu} (L_0/L)} + \frac{(A'_s f'_y - \alpha_s A_s f_y)}{\alpha_p A_{ps}}$$
(15a)

$$a_p = (d_p - \beta_1 c/2)/(d_e - \beta_1 c/2)$$
 (15b)

$$\alpha_s = (d_s - \beta_1 c/2)/(d_e - \beta_1 c/2)$$
(15c)

$$d_{e} = (A_{ps}f_{ps}d_{p} + A_{s}f_{y}d_{s})/(A_{ps}f_{ps} + A_{s}f_{y}) \quad (15d)$$

where d_s is the depth from the concrete extreme compressive fibre to the centroid of non-prestressed tensile steel. Introducing four new coefficients K_1 , K_2 , K_3 and K_4 , Lee *et al.*²⁰ simplified equation (15) as follows

$$f_{ps} = K_1 + K_2 f_{pe} + K_3 \frac{(A'_s f'_y - A_s f_y)}{A_{ps}} + K_4 \sqrt{\frac{d_s}{d_p} \frac{f'_c}{\rho_p} \left[\frac{1}{f} + \frac{1}{L/d_p}\right]}$$
(16)

The new coefficients K_1 , K_2 , K_3 and K_4 were found by regression analysis using previous test results. Incorporating a suitable safety margin, a design equation was obtained as

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$$f_{ps} = 10000 + 0.8f_{pe} + \frac{1}{15} \frac{(A'_s f'_y - A_s f_y)}{A_{ps}} + 80\sqrt{\frac{d_s}{d_p} \frac{f'_c}{\rho_p} \left[\frac{1}{f} + \frac{1}{L/d_p}\right]} \text{ psi}$$
(17a)

$$f_{pe} + 10000 \le f_{ps} \le f_{py} \text{ psi} \tag{17b}$$

To ascertain the accuracy of equation (17), Lee et al.²⁰ carried out regression analysis and worked out the correlation coefficient R between the stress increases Δf_{ps} in the unbonded tendons predicted by equation (17) and the experimental results available then. Lee *et al.*²⁰ concluded that their design formula (i.e. equation (17)) (R = 0.77) was better than the design formulae of the ACI 318-95 code²⁷ (R = 0.64), Harajli and Kanj¹⁵ (R = 0.55), Chakrabarti¹⁶ (R =0.64) and the AASHTO LRFD code²⁵ (R = 0.71). However one must bear in mind that the performance of equation (17) relies on the values of the coefficients K_1, K_2, K_3 and K_4 that have been determined by regression analysis using previous test results as well. Therefore using regression analysis alone as a selection criterion is likely to obscure the validity of the supporting theory, as it may favour methods with input also based on regression analysis.

Design approach based on neutral axis depth

From the research work in the past five decades on the ultimate behaviour of prestressed concrete beams with unbonded tendons, it is indisputable that the tendon stress f_{ps} at ultimate depends mainly on the equivalent length L_0 of plastic region in the member. A main school of thought is to determine L_0 with input of span-depth ratio together with loading type as described in the previous sections. Another school of thought is to relate L_0 to the neutral axis depth c. Actually as early as in 1969, Pannell⁴ found that the ratio φ of equivalent length of plastic region L_0 to neutral axis depth at ultimate c, namely $\varphi = L_0/c$, was a constant value for prestressed concrete beams with unbonded tendons even for different span-depth ratios. He also suggested taking φ as 10, i.e. $L_0 = 10c$ for design purpose. Since then, different investigators around the world have conducted many tests on prestressed concrete beams with unbonded tendons. It is therefore useful to revisit this approach based on these experiments.

According to this approach,^{4,6} the extension of prestressing tendon Δl_{ps} over the length of the equivalent plastic region is given by

$$\Delta l_{ps} = L_0 \varepsilon_{cu} \left(\frac{d_p - c}{c} \right) = \varphi \varepsilon_{cu} (d_p - c)$$
(18)

and therefore, assuming the unbonded tendons remain elastic, the tendon stress f_{ps} at ultimate appears as

$$f_{ps} = f_{pe} + \Delta f_{ps} = f_{pe} + E_{ps} \frac{\Delta l_{ps}}{L}$$
$$= f_{pe} + \frac{\varphi E_{ps} \varepsilon_{cu} (d_p - c)}{L}$$
(19)

Eliminating the neutral axis depth at ultimate c between equation (19) and the force equilibrium equation of equation (8a) at the critical section, a general equation of φ can be obtained as

$$\varphi = \frac{(f_{ps} - f_{pe})L}{E_{ps}\varepsilon_{cu}d_p \left(1 - \frac{A_{ps}f_{ps} + A_sf_y - A'_sf'_y - C_f}{0.85\beta_1 f'_c b_w d_p}\right)}$$
(20)

In other words, as long as the parameter φ can be determined, the value of the tendon stress f_{ps} at ultimate can be obtained by rearranging equation (20) as follows

$$f_{ps} = f_{pe} + \Delta f_{ps}$$

$$= f_{pe} + \frac{\varphi E_{ps} \varepsilon_{cu} (d_p - c_{pe})}{L} / \left(1 + \frac{\varphi E_{ps} A_{ps} \varepsilon_{cu}}{0.85 \beta_1 f'_c b_w L} \right)$$
(21a)

$$c_{pe} = \frac{A_{ps}f_{pe} + A_{s}f_{y} - A'_{s}f'_{y} - C_{f}}{0.85\beta_{1}f'_{c}b_{w}}$$
(21b)

$$c = c_{pe} + \frac{A_{ps}\Delta f_{ps}}{0.85\beta_1 f'_c b_w}$$
(21c)

$$\begin{cases} C_f = 0.85\beta_1 f'_c (b - b_w) h_f & \text{if } \beta_1 c > h_f \\ C_f = 0, \ b_w = b & \text{if } \beta_1 c \le h_f \end{cases}$$
(21d)

In the study, Pannell⁴ tested 38 simply supported beams in three sets having span-depth ratios of 27, 40 and 12 respectively. Taking E_{ps} as 212 kN/mm² based on tests of the prestressing steel and ε_{cu} as 0.325%, values of the parameter φ had been obtained for the test series using equation (20). From results of the parameter φ for 32 beams, the mean was 12.4, and the standard deviation was 3.6 with a coefficient of variation of 0.29 as listed in Table 1. He noted that φ was reasonably constant for the whole series of tests, if it was borne in mind that inaccuracies in the measurements of f_{ps} and f_{pe} would cause magnified inaccuracies in φ . Strictly speaking the parameter φ depends on all variables on the right-hand side of equation (20) as well as the type of loading. In the light of the test results, it appeared reasonable to conclude that a single value of $\varphi = 12$ was appropriate over the whole range. As $\varphi = 12$ was close to the mean value of the test results, Pannell suggested a safe value of $\varphi = 10$, together with $\varepsilon_{cu} = 0.0033$ and $E_{ps} = 210 \text{ kN/mm}^2$ for design purposes, which gave $\varphi E_{ps} \varepsilon_{cu} \cong 7000 \text{ N/mm}^2$. Actually the work of Pannell⁴ subsequently formed the basis of the British Code BS 8110^{24} and the Canadian Code A23.3-94²⁶ for determination of the tendon stress f_{ps} at ultimate.

The Canadian Code A23.3-94²⁶ gives

$$f_{ps} = f_{pe} + 8000 \frac{(d_p - c_y)}{l_e} \le f_{py} \text{ MPa}$$
 (22a)

$$c_y = \frac{A_{ps}f_{py} + A_sf_y}{\alpha_1 f'_c \beta_0 b}$$
(22b)

$$\alpha_1 = 0.85 - 0.0015 f'_c \tag{22c}$$

$$\beta_0 = 0.97 - 0.0025 f'_c \tag{22d}$$

where l_e is the length of the tendon between the end anchorages divided by the number of plastic hinges required to develop a failure mechanism in the span under consideration, and f_{py} is the yield strength of prestressing tendons.

On the other hand, the British Code BS 8110^{24} recommends the following equation

$$f_{ps} = f_{pe} + \frac{7000}{L/d_p} \left(1 - \frac{1 \cdot 7f_{pu}A_{ps}}{f_{cu}bd_p} \right) \le 0 \cdot 7f_{pu} \text{ MPa}$$
(23)

where f_{cu} is the concrete compression strength taken from cube and f_{pu} is the ultimate strength of prestressing tendons.

Comparing equations (22) and (23) with equation (21), it is not difficult to identify the differences among them. Equation (22) omits the term of $\varphi E_{ps} \varepsilon_{cu} A_{ps} / 0.85\beta_1 f'_c b_w L$, and it increases the value of $\varphi E_{ps} \varepsilon_{cu}$ from 7000 N/mm² to 8000 N/mm². It also takes into account the influence of indeterminate members. Equation (23) ignores the influence of ordinary reinforcement and simplifies the calculation of neutral axis depth *c*.

Table 1. Statistics of the parameter ϕ based on results from different investigators

Authors	No. of beams	Mean	Standard deviation	Coefficient of variation
Pannell ⁴	32	12.4	3.6	0.29
Tam and Pannell ⁶	8	10.8	1.7	0.12
Cooke <i>et al.</i>	9	17.3	2.5	0.12
Du and Tao ¹⁰	20	21.4	3.3	0.16
Campbell and Chouinard ¹²	6	15.7	1.8	0.11
Harajli and Kanj ¹⁵	26	10.5	2.9	0.27
Chakrabarti ¹⁶	33	21.7	8.4	0.39
Tan and Ng, ¹⁷ and Tan <i>et al</i> . ²¹	10	19.4	4.6	0.24
Aparicio et al. ²²	4	10.1	0.42	0.04
All	148	16.1	6.8	0.42

Later in 1976, Tam and Pannell⁶ tested eight partially prestressed concrete beams with unbonded tendons having span-depth ratios between 20 and 45 under single concentrated load at midspan. The values of φ obtained from the tests were still fairly constant. The mean value of φ for eight beams was 10.8, with a standard deviation of 1.7 and a coefficient of variation of 0.15. Based on such results, they suggested taking φ as 10.5 for practical use.

Further investigation of the parameter φ

A crucial issue to address then is whether the parameter φ can be effectively treated as constant, as suggested by Pannell⁴ and Tam and Pannell.⁶ Therefore the parameter φ is further studied by examination of the test results from different investigators. In the analysis using equation (20), ε_{cu} is taken as 0.003, and E_{ps} of the prestressing tendon is either taken as the test value in specific experiment or assumed to be $E_{ps} = 200\ 000\ \text{N/mm}^2$ in the absence of test data. The statistics of the parameter φ , which include the mean, standard deviation and coefficient of variation, are calculated for various sets of available experimental results. The standard deviation and the dimensionless coefficient of variation are both a measure of the spread of distribution. The statistics of the parameter φ for experimental results from nine investigators are summarised in Table 1, while the relative frequency histogram is shown in Fig. 4. All these specimens are simply supported beams and slabs, reinforced with or without supplementary bonded reinforcement, and having a wide range of member span-depth ratios ranging from 8 to 45. It should be mentioned that the tests of Tan and Ng,¹⁷ and Tan et al.²¹ consisted of two separate parts. The first part focused on the effects of deviators and tendon configuration on the behaviour of externally prestressed beams. The second part focused on the behaviour of simple-span reinforced concrete beams locally strengthened with external tendons. Five under-strength reinforced concrete beams were



Fig. 4. Relative frequency histogram for the parameter φ Magazine of Concrete Research, 2004, **56**, No. 1

strengthened with steel or carbon fibre-reinforced polymer tendons and tested to failure under third-point loading. In the study by Aparicio *et al.*²² three were externally prestressed monolithic beams, and one was an externally prestressed segmental beam.

It can be observed from Table 1 and Fig. 4 that, although variation of the parameter φ does exist, generally speaking the values are stable and they tend to be constant in a specific series of tests. The mean, standard deviation and coefficient of variation of the parameter φ for 148 simply supported specimens are 16.1, 6.8 and 0.42 respectively. It should be pointed out that the mean value, standard deviation and coefficient of variation of parameter φ obtained by Chakrabarti's test¹⁶ are excessively larger than those of other tests. The difference of techniques and methods of measurement, variation of material properties as well as failure criteria of specimens adopted by different investigators may also cause the spread of results. In view of the above, it is not unreasonable to take the parameter φ as a constant value in prestressed concrete flexural members with unbonded tendons.

Rearranging equation (19), the parameter φ can be expressed in terms of the others as

$$\varphi = \frac{\Delta f_{ps}}{E_{ps}\varepsilon_{cu}(d_p/L)(1-c/d_p)}$$
(24)

It can be observed that, if the relationship between the stress increment Δf_{ps} and the parameters (L/d_p) and $(1 - c/d_p)$ is linear or approximately linear, the parameter φ could be a constant. Actually the parameter c/d_p has already reflected the information on the design, with the exception of the span-depth ratio L/d_p . The tests conducted by Du and Tao,¹⁰ and Campbell and Chouinard¹² indicted that the stress increment Δf_{ps} increases with the decrease of c/d_p . Du and Tao¹⁰ also introduced the parameter $q_0 = (A_{ps}f_{pe} + A_sf_y)/$ $bd_{p}f'_{c}$ that was a measure of steel content including prestressed and non-prestressed steel. For a rectangular section and neglecting the contribution from compression reinforcement, q_0 somehow reflects the force equilibrium across the depth of the section and it is approximately proportional to c/d_p . Based on regression of their experimental data, Du and Tao¹⁰ established a linear relation between the stress increment Δf_{ps} and the parameter q_0 . In the tests of Du and Tao,¹⁰ Campbell and Chouinard¹² and Aparicio *et al.*,²² the span-depth ratios were kept constant, while parameter q_0 was varied. As shown in Table 1, the coefficients of variation of the parameter φ are relatively small, which are 0.16, 0.11, and 0.04 respectively.

Regarding the influence of the span-depth ratio on the stress increment Δf_{ps} , Mojtahedi and Gamble⁷ proposed a strut-and-tie model, which showed that the strain, and hence the stress, of unbonded tendons decreased as the span-depth ratio L/d_p increased. Harajli and Kanj¹⁵ worked on a similar topic and found it difficult to draw accurate conclusions because of the dependence of the stress increment Δf_{ps} on other design parameters such as the content of tension reinforcement and concrete strength. However, an overall global reduction in the stress increment Δf_{ps} with increasing span-depth ratio could indeed be observed. Analysis of the parameter φ from the results of Harajli and Kanj¹⁵ shows that it drops slightly with the decrease of span-depth ratio. However in the tests by Tam and Pannell,⁶ and Cooke *et al.*,⁹ no clear trend of variation with the span-depth ratio is observed. This may be caused by different testing techniques such as load arrangement, use of strands or wire enclosed in large steel ducts or small plastic ducts, prestress load measuring techniques and tendon profiles.

Proposed method

This paper advocates the adoption of Pannell's approach⁴ with the determination of a reasonable value for the parameter φ . Three different values of φ are studied here, namely (a) the mean value of the parameter φ in Table 1 ($\varphi = 16.1$), (b) the original value of Pannell⁴ ($\varphi = 10$), and (c) the mean minus one standard deviation of the parameter φ in Table 1 $(\varphi = 16 \cdot 1 - 6 \cdot 8 = 9 \cdot 3)$. Values of the tendon stress f_{ps} at ultimate are again calculated by equation (21) with the above three values of φ together with the limit $f_{ps} \leq f_{py}$. Correlation analyses of the tendon stress f_{ps} at ultimate were subsequently carried out between the experimental results and the calculated values based on the three cases of φ values. The correlation coefficients for the three cases are: (a) 0.83, (b) 0.79, and (c) 0.79. Case (a) does produce better correlation between the calculated and experimental values of f_{ps} . However from the statistical point of view, case (c) with $\varphi = 9.3$ can give a conservative estimate with about 84% of the population above it, and therefore this paper suggests adopting this value for design. In most cases, the quantity $(\varphi E_{ps} \varepsilon_{cu} A_{ps} / 0.85 \beta_1 f'_c b_w L)$ in the denominator of the second term on the right-hand side of equation (21a) ranges from 0.01 to 0.05. Omitting this quantity will not have significant effect on the final result. In addition, the formula in equation (21b) for evaluation of c_{pe} is not too practical. As the tendon stress f_{ps} at ultimate is not known in advance, it is not convenient to judge whether the compression reinforcement reaches its yield strength and whether the neutral axis depth c at ultimate is greater than the depth of compression flange h_f . In view of these, the value of c_{pe} is redefined as $c_{pe} = (A_{ps}f_{pe} + A_sf_y)/0.85\beta_1f'_cb$ where b is the width of compression zone. In addition, measures must be taken to ensure that equation (21) is applicable to continuous beams.

Using case (c) for the parameter φ , taking the ultimate concrete compressive strain in the top fibre as

 $\varepsilon_{cu} = 0.003$ and adopting the new definition for the parameter c_{pe} , equation (21) is rewritten as follows

$$f_{ps} = f_{pe} + \frac{9 \cdot 3 \times 0 \cdot 003 \times E_{ps}(d_p - c_{pe})}{l_e}$$
$$= f_{pe} + \frac{0 \cdot 0279E_{ps}(d_p - c_{pe})}{l_e} \le f_{py}$$
(25a)

$$c_{pe} = \frac{A_{ps}f_{pe} + A_sf_y}{0.85\beta_1 f'_c b}$$
(25b)

where *b* is the width of compression zone, and l_e is the length of the tendon between the end anchorages divided by the number of plastic hinges *n* required to develop a failure mechanism in the span under consideration.

It is seen that equation (25) is similar in form to equation (22), which is the design formula in the Canadian Code A23.3-94.²⁶ Using both equation (25) and equation (22), the tendon stress f_{ps} at ultimate for the specimens examined is again calculated. Correlation analyses of these calculated tendon stress f_{ps} at ultimate were then carried out with the experimental results. Results of the correlation analyses for equations (25) and (22) are respectively plotted in Figs 5 and 6.



Fig. 5. Comparison of calculated values of f_{ps} based on equation (25) against experimental values of f_{ps}



Fig. 6. Comparison of calculated values of f_{ps} based on equation (22) against experimental values of f_{ps}

The correlation coefficients for equations (25) and (22) are respectively 0.79 and 0.81. Although the correlation coefficient of equation (22) is a little bit better than that of equation (25), it can be observed in Fig. 6 that many of the predicted values of the tendon stress f_{ps} at ultimate are on the unsafe side. Apart from being provided with reasonable safety, equation (25) is given explicitly in terms of the Young's modulus of tendon E_{ps} . Therefore it may also be applied to other types of tendons such as those made of fibre-reinforced polymer.

In the application of equation (25) to simply supported beams, the number of plastic hinges is n = 1, and l_e is equal to the total span length between the end anchorages L. In the corresponding analysis of continuous prestressed concrete beams with unbonded tendons, the number of plastic hinges n depends on the loading pattern, namely the number and locations of spans loaded. Fig. 7 shows a two-span continuous prestressed concrete beam with unbonded tendons under a concentrated load on each span. Following the same arguments as before, the extension of the unbonded tendons is largely determined by the deformations of the beam at the plastic regions. The total length of equivalent plastic region in a continuous beam where prestressing tendons are only anchored at two ends is the sum of the equivalent lengths of the component plastic regions where plastic hinges form, as shown schematically in Fig. 7. Therefore the stress increment Δf_{ps} in the tendon is proportional to the number of plastic hinges that can develop under a given pattern of loading between the anchors, as reflected in equation (25). For design purposes, the evaluation of the stress increment Δf_{ps} in the tendon is often worked out assuming that a single end span is loaded, as this will give a lower bound value for Δf_{ps} . Thus in the design of such continuous beams, taking n = 2 for evaluation of the stress increment Δf_{ps} is on the safe side.

On the investigation of continuous members, Burns *et al.*⁸ tested two half-scale models of prototype oneway slab, continuous over three equal spans. The specimens, designated as slab A and slab B, were loaded with different loading patterns. The experimental values of the parameter φ for these specimens under different loading patterns are calculated using equation (20) and listed in Table 2. If these experimental values of φ are divided by 9.3 (i.e. the value for one plastic hinge), the parameter *n* reflecting the number of plastic hinges is



Fig. 7. Total length of equivalent plastic region L_0 in a continuous beam

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Table 2. Possible number of plastic hinges n in the tests of Burns et al. 8

Slab ID	Test no.	Parameter φ	Parameter n
Slab A	108	31·1	3·3
	109	39·0	4·2
Slab B	110	21·0	2·3
	208	41·0	4·4
	209	27·0	2·9
	210	25.0	2.7

worked out and tabulated in Table 2. These calculated values of n are all greater than 2. It therefore reaffirms that taking n = 2 is on the safe side.

Comparison with the results of the Workshop on External Prestressing in 1993

In 1993, the Association Francaise Pour la Construction (AFPC) organised the Workshop on Behaviour of External Prestressing in Structures in France. In addition, participants were invited to study numerically two cases using non-linear calculation methods of prestressed structures. The conference organisers gave details of a single-span beam and a continuous beam to be analysed, and participants were asked to provide prescribed forms of output, including curve of loaddeflection responses. Fourteen answers were received from all over the world, and a synthesis of the results received was presented in as an appendix volume of the proceedings.²⁸ As the present study is focusing on the determination of ultimate tendon stress at flexural failure of prestressed concrete beams with unbonded tendons, only the ultimate loads of the beams in the AFPC workshop are calculated based on equation (25) and they are compared with the AFPC results.

Provided that the second-order effects of tendon eccentricity variation due to member deformation are small or negligible, equation (25) is still valid for externally prestressed concrete beams. The calculated ultimate load for the cast-in-place simple beam with 100% external prestressing is 2835 kN, and the comparison with the AFPC results is shown in Fig. 8. Generally speaking, the ultimate load based on equation (25) is around the middle of the AFPC results. For the cast-inplace continuous beam with 100% external prestressing, the calculated ultimate load is 1994 kN, and Fig. 9 shows the comparison with the AFPC results. Although the ultimate load for the continuous beam based on equation (25) is smaller than some of the AFPC results, it is still within the range spanned by them.

Conclusion

This paper examined various design methods for the determination of ultimate tendon stress at flexural



Fig. 8. Ultimate load of a single-span beam: (a) comparison of result from equation (25) with the AFPC results; (b) details of the beam



Fig. 9. Ultimate load of a continuous beam: (a) comparison of result from equation (25) with the AFPC results; (b) details of the beam

failure of prestressed concrete beams with unbonded tendons. Two broad categories of deformation-based approaches have been identified, namely those based on the span–depth ratio together with loading type represented by Harajli's model¹¹ and those based on the neutral axis depth represented by Pannell's model.⁴ These methods are reviewed critically. A new design formula has been proposed in the light of the available experimental data. The following conclusions are drawn.

- (a) Harajli's model places much emphasis on the effects of loading type on stress increment in unbonded tendons at flexural failure of the beam. The ratio of equivalent length of plastic region to the total span length between end anchorages, namely L_0/L , is in essence another form of Baker's bond reduction coefficient λ .
- (b) In Pannell's model, the ratio of equivalent length of plastic region to neutral axis depth, namely the parameter φ , is taken as a constant after analysis of test results conducted by different investigators. Differences of the parameter φ do exist in specific series of experiments as well as among experiments by different investigators. However such differences could also be attributed to different measurement methods, different failure criteria, etc. adopted by different investigators. Therefore provided that the predictions by taking the parameter φ as a constant are supported by experimental results, this assumption is not unreasonable.
- (c) In the search for a suitable value for the parameter φ , three values including 16·1, 10 and 9·3 have been considered. Taking $\varphi = 16\cdot1$ produces relatively better correlation between the calculated and experimental values of the tendon stress f_{ps} at

ultimate than the other two values. The other two choices of $\varphi = 10$ and $\varphi = 9.3$ predict f_{ps} on the safe side. From the statistical point of view, choosing $\varphi = 9.3$ can ensure that about 84% of the predicted values of f_{ps} to be on the safe side. Therefore this paper suggests adopting $\varphi = 9.3$ for practical use.

(d) Equation (25), which was obtained from simplification of equation (21), can be adopted to predict the value of the tendon stress f_{ps} at ultimate in design. It is applicable not only to the conventional high-strength steel prestressing tendons, but also to those made of other materials such as fibre-reinforced polymer.

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