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## Nano hemostat solution: immediate hemostasis at the nanoscale

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### Abstract

Hemostasis is a major problem in surgical procedures and after major trauma. There are few effective methods to stop bleeding without causing secondary damage. We used a self-assembling peptide that establishes a nanofiber barrier to achieve complete hemostasis in less than 15 seconds when applied directly to a wound in the brain, spinal cord, femoral artery, liver, or skin of mammals. This novel therapy stops bleeding without the use of pressure, cauterization, vasoconstriction, coagulation, or cross-linked adhesives. The self-assembling solution is nontoxic and nonimmunogenic, and the breakdown products are amino acids, which are tissue building blocks that can be used to repair the site of injury. Here we report the first use of nanotechnology to achieve complete hemostasis in less than 15 seconds, which could fundamentally change how much blood is needed during surgery of the future.

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**Key words:** Hemostasis; Surgery; Trauma; Nanotechnology; Self-assembling peptide

Through the ages doctors have found ways to achieve hemostasis, beginning with the simple act of applying pressure, then cauterization, ligation, and clinically induced vasoconstriction [1–10], but nanotechnology brings new possibilities for changes in medical technology. Here we present a novel method to stop bleeding using materials that self-assemble at the nanoscale when applied to a wound. This

method results in the formation of a nanofiber barrier that stops bleeding in any wet ionic environment in the body; furthermore, the material is broken down into natural l-amino acids that can be used by the surrounding tissue for repair.

Currently there are three basic categories of hemostatic agents or procedures: chemical, thermal, and mechanical [1,3,6,8,10–15]. Chemical agents are those that change the clotting activity of the blood or act as vasoconstrictors, such as thromboxane A2 [16], which causes vessels to contract thus reducing blood flow and promoting clotting [7,16,17]. Thermal devices commonly involve cauterization using electrodes, lasers [8,14], or heat. There are also agents that react exothermically upon application that may create an effect similar to a standard two probe cautery device [1,14]. Mechanical methods use pressure or ligation to slow the blood flow [3]. A combination therapy might use both chemical and mechanical means to produce a hemostat that adsorbs fluid and swells [18], producing pressure to slow the blood flow and allow clotting, or it may involve the introduction of fibrinogen, thrombin, and

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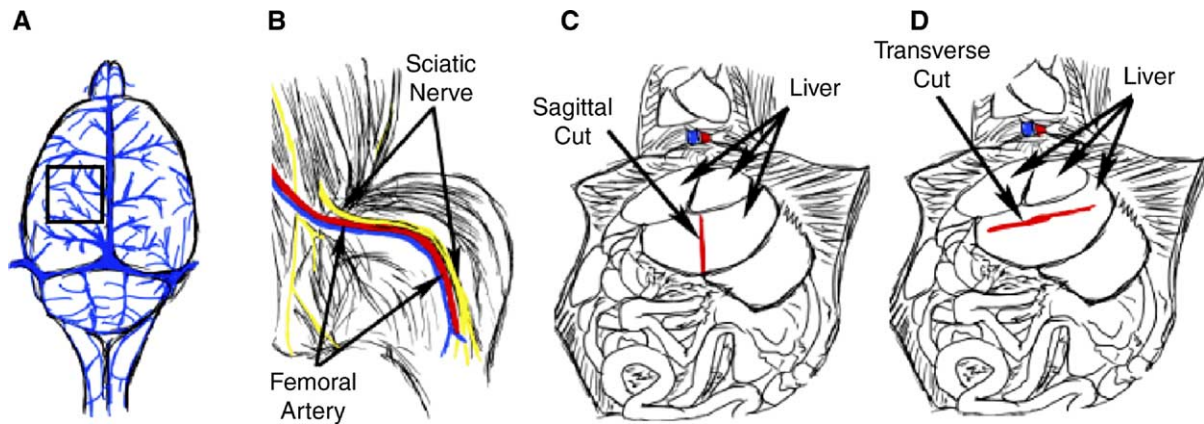


Fig 1. Schematics of surgical procedures. Rostral is up and caudal is down in all figures. **A**, Dorsal view of the rat brain. The blue lines depict the blood vessels superficial to the cortex. The boxed area corresponds to location of the lesion and treatment. **B**, Drawing of ventral view of the lower limb of a rat with the femoral artery in red and sciatic nerve in yellow. **C** and **D**, Drawings of a ventral view of rat with abdomen open. Overlying structures have been removed exposing the liver. The lobe was transected with a cut (depicted in red) in both sagittal (**C**) and transverse (**D**) directions.

54 calcium to produce fibrin glue, which acts as an artificial  
55 clot [1,2,5,6,8,10,14,19].

56 There are five major issues related to the limitations and  
57 applicability of many of these hemostatic agents. First, some  
58 of the materials are solid, such as powder formulations, and  
59 are not able to flow into the area of injury to bring about their  
60 hemostatic effects [1,10,14]; second, some liquid agents,  
61 such as cyanoacrylates, require a dry environment to be  
62 effective [8]; third, some materials can create an immune  
63 response resulting in the death of adjacent cells, placing addi-  
64 tional stress on the body that can prolong or prevent healing  
65 [8,10,14,15,20]; fourth, some agents have a short shelf-life  
66 and very specific handling requirements [6,10,14,16,17]; and  
67 finally, many currently used hemostats are difficult to use in  
68 uncontrolled environments [1,7,8,10,14]. Moreover, if a  
69 therapy uses swelling as part of its hemostatic action, then  
70 extra care must be taken to ensure that the local blood supply  
71 is not reduced or stopped, which could cause additional tissue  
72 damage or even death. This is particularly crucial when using  
73 expanding foams [19]. Many hemostatic agents must be  
74 prepared just before use because of their short shelf-life.  
75 Surgical instruments, such as cauterization devices, clamps  
76 and clips, must be used by a skilled individual in a controlled  
77 environment [2,5,8-10,16,20].

78 Our discovery, observed during a neurosurgical proce-  
79 dure, introduces a new way to stop bleeding using a self-  
80 assembling peptide that establishes a nanofiber barrier and  
81 incorporates it into the surrounding tissue to form an  
82 extracellular matrix (ECM). Surmising that nanotechnology  
83 might be useful in our central nervous system regeneration  
84 studies, we injected the material into wound sites in the brain  
85 of hamsters to determine whether it would facilitate neuronal  
86 regeneration [21]. To our surprise, it also stopped bleeding.

87 We then wanted to know if the rapid hemostasis that we  
88 had observed in our nerve regeneration experiments was  
89 tissue specific or would also work in other tissues. The seven

90 experiments we designed and performed demonstrate that in  
91 less than 15 seconds complete hemostasis can be achieved  
92 after (1) a transection of a blood vessel leading to the superior  
93 sagittal sinus in both hamsters and rats, (2) a spinal cord cut,  
94 (3) a femoral artery cut, (4) a sagittal transection of the left  
95 lateral liver lobe, (5) a transverse transection of the left lateral  
96 liver lobe including a cut in a primary branch of the portal  
97 vein, (6) a 4-mm liver punch biopsy, and (7) multiple 4-mm  
98 skin punch biopsies on nude mice.

## Materials and methods

99

Adult Syrian hamsters were anesthetized with an intra- 100  
peritoneal injection of sodium pentobarbital (50 mg/kg), and 101  
adult rats were anesthetized with an intraperitoneal injection 102  
of ketamine (50 mg/kg). The experimental procedures 103  
adhered strictly to the protocol approved by the Department 104  
of Health and endorsed by the Committee on the Use of 105  
Laboratory Animals for Teaching and Research of the 106  
University of Hong Kong and the Massachusetts Institute 107  
of Technology Committee on Animal Care. 108

### Cortical vessel cut experiment

109

The animals were fitted in a head holder. The left lateral 110  
part of the cortex was exposed, and each animal received a 111  
transection of a blood vessel leading to the superior sagittal 112  
sinus (Figure 1, A). With the aid of a sterile glass micro- 113  
pipette, 20  $\mu$ L of 1% NHS-1 solution (see below under 114  
“Preparation of the self-assembling solutions”) was applied to 115  
the site of injury or iced saline in the control cases. The 116  
animals were allowed to survive for as long as 6 months. 117

### Spinal cord injury experiment

118

Under an operating microscope, the second thoracic 119  
spinal cord segment (T2) was identified before performing a 120

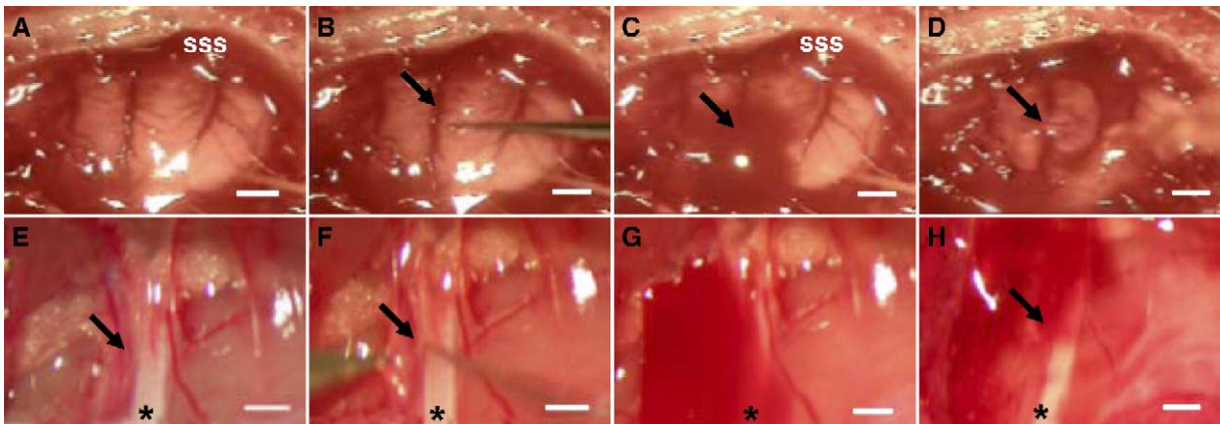


Fig 2. Complete hemostasis in brain and femoral artery. The pictures are time-lapse images at each stage of the experiment for brain (A–D) and femoral artery (E–H). **A–D**, Adult rat cortex hemostasis. Part of the overlying skull has been removed in an adult rat, and one of the veins of the superior sagittal sinus is transected and treated with 1% self-assembling NHS-1. **A**, The brain and veins of the superior sagittal sinus (SSS) are exposed. **B**, Cutting of the vein (arrow). **C**, Bleeding of the ruptured vein (arrow). **D**, The same area 5 seconds after application of the self-assembling NHS-1 to the location of the cut (arrow) as seen under the clear NHS-1. **E–H**, Rat femoral artery hemostasis. Exposure of the neurovascular bundle in the thigh showing the sciatic nerve (\*) in each panel. **E**, Femoral artery and vein exposed. **F**, Cutting of the artery (arrow). **G**, Bleeding, masking the artery completely and sciatic nerve partially. **H**, The same area 5 seconds after application of the self-assembling peptide to the cut (arrow). Note that there is complete hemostasis in the area formed by NHS-1 (covering the entire picture) as it self-assembles in the presence of blood and plasma, revealing the underlying structures. Complete hemostasis was achieved in  $10.6 \pm 4.1$  seconds, significantly different from  $367.5 \pm 37.7$  seconds in controls irrigated with saline ( $P > .0001$ ). Scale bars represent 1 mm.

121 dorsal laminectomy in anesthetized adult rats [22,23]. After  
 122 opening the dura mater, we performed a right hemisection  
 123 using a ceramic knife. Immediately after the cord hemi-  
 124 section  $20 \mu\text{L}$  of a 1% solution of NHS-1 was applied to the  
 125 area of the cut for bleeding control. The controls received a  
 126 saline treatment. The animals were allowed to survive for as  
 127 long as 8 weeks as part of another experiment.

#### 128 Femoral artery cut experiment

129 Rats were placed on their backs, and the hind limb was  
 130 extended to expose the medial aspect of the thigh (Figure 1,  
 131 B). The skin was removed, and the overlying muscles were  
 132 cut to expose the femoral artery and sciatic nerve. The  
 133 femoral artery was cut to produce a high-pressure bleeder  
 134 (Figure 2, F). With a 27-gauge needle,  $200 \mu\text{L}$  of 1% NHS-  
 135 1 solution was applied over the site of injury. In two cases  
 136 we applied the dry powder of NHS-1 to the injury site,  
 137 which also was effective. (Data are not shown and were not  
 138 included in the analysis.) Controls were treated with a  
 139 combination of saline and pressure with a gauge. All  
 140 animals were killed 4 hours after the experiment.

#### 141 Liver wound experiments

142 Rats were anesthetized and placed on their back, and  
 143 the abdomen was opened exposing the liver (Figure 1, C).  
 144 The left lobe of the liver was cut using a scalpel in the  
 145 rostral-to-caudal direction, separating the two halves of the  
 146 lobe (Figure 3, B) in the sagittal cut. With a 27-gauge  
 147 needle,  $100 \mu\text{L}$  of 1% or 2% NHS-1, NHS-2, or TM-3  
 148 solution was applied to the site of injury (Figure 3, B).  
 149 Livers of the controls were treated with saline or  
 150 cauterized. Cauterization was performed using a thermal

cautery device and was applied to the entire surface of the  
 151 injury. In another group of 28 adult rats the same  
 152 procedure was followed for the liver, which was cut  
 153 transversely (Figure 3, D). With a 27-gauge needle,  $400 \mu\text{L}$   
 154 of 1%, 2%, 3%, or 4% NHS-1 or TM-3 solution was applied  
 155 to the site of injury (Figure 3, H).  
 156 Q7

In another group of anesthetized adult rats the liver was  
 157 exposed, and a 4-mm punch biopsy done from the ventral  
 158 aspect through the liver to the dorsal surface of the left liver  
 159 lobe. The resulting core was removed from the liver, after  
 160 which one of three treatments was applied. For the treatment  
 161 group  $200 \mu\text{L}$  of 3% NHS-1 solution was applied to the site  
 162 of injury, whereas in the controls either saline was applied or  
 163 cauterization of the exposed liver surface was carried out.  
 164 The superficial material was then wiped clear of the injury  
 165 site. The abdominal incision was closed, and the animals  
 166 were allowed to survive for as long as 8 weeks.  
 167

#### 168 Skin punch experiment

In anesthetized adult nude mice using aseptic precautions,  
 169 a 4-mm punch was used to create three wounds on each side  
 170 of the back of the animal. On one side of the animal the  
 171 wounds created were treated with 1% NHS-1 solution, and  
 172 Q7 the wounds on the opposite side were left untreated to provide  
 173 a control. The punch biopsies were made through the full  
 174 thickness of the skin. If the wound did not bleed for  
 175 10 seconds the punch would be excluded from the data  
 176 analyzed. All procedures were videotaped, and the analysis  
 177 consisted of reviewing the tapes. The animals were allowed to  
 178 survive for as long as 2 months. If animals involved in any of  
 179 the above experiments appeared to experience any discomfort  
 180 they were euthanized.  
 181

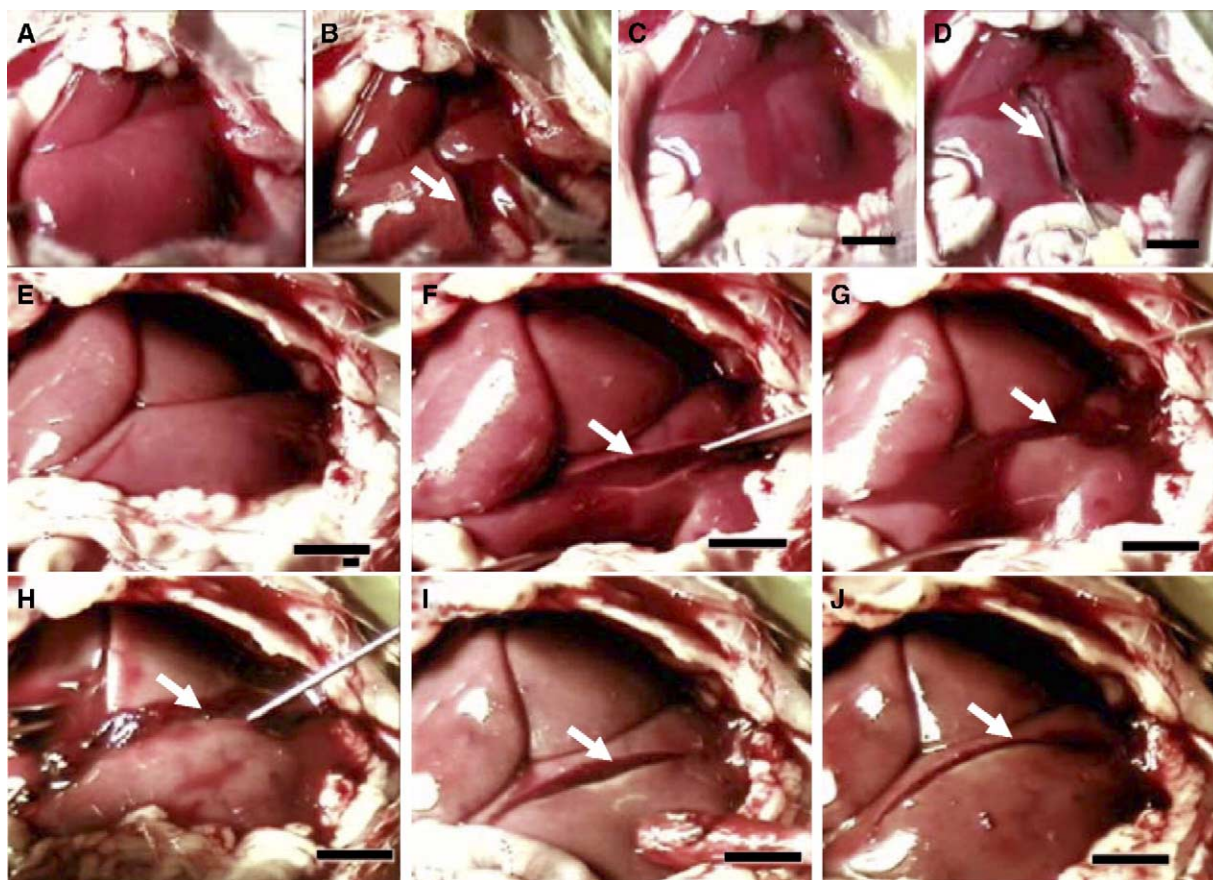


Fig 3. Rat liver hemostasis. This series of pictures is of an adult rat wherein the skin covering the intraperitoneal cavity was excised, exposing the liver. **A -D**, Sagittal cut. **A**, The left lateral lobe received a sagittal cut completely transecting a portion of the liver lobe. **B**, The liver is separated (arrow). Note the profuse bleeding. **C**, The two halves are allowed to come back together, and the bleeding continues (arrow). **D**, The 1% NHS-1 solution was applied, and the extent of the incision was visible under the transparent assembled NHS-1 (arrow). Complete hemostasis was achieved in  $8.6 \pm 1.7$  seconds, statistically significant when compared to  $90.0 \pm 5.0$  seconds when cauterization was applied, or  $301.6 \pm 33.2$  seconds if irrigated with saline. **E -J**, Transverse cut. This series of pictures is of a transverse cut to the left lateral lobe in an adult rat. **E**, The exposed intact liver. **F**, Applying a transverse cut in the lobe (arrow). **G**, Profuse bleeding produced when a major branch of the portal vein is cut (arrows). **H**, Treatment with self-assembling NHS-1. Note the complete cessation of bleeding (in  $10.3 \pm 0.5$  seconds using 2% concentration;  $10.0 \pm 1.0$  seconds and  $11.0 \pm 1.0$  using 3% and 4%, respectively) seen under the clear assembled NHS-1 (arrow). **I**, 2 minutes after treatment and after the superficial self-assembling NHS-1 has been removed (arrows) to show the extent of cut. **J**, Bleeding had ceased 15 minutes after NHS-1 treatment. Scale bars represent 1 mm.

#### Q8182 Transmission electron microscopy sample preparation

183 In the brain and liver of anesthetized adult rats a 1% or  
 184 2% NHS-1 solution was injected immediately after making  
 185a cut, and the treatment site was sampled. Samples were  
 Q9 186fixed in a mixture of 2% paraformaldehyde and 2.5%  
 Q10 187glutaraldehyde in 0.1 M phosphate buffer (PB) for 4 hours.  
 188The samples were washed in 0.1 M PB three times for  
 18910 minutes each at 4°C and embedded in 2% agar; blocks  
 Q11 190were postfixed in 4°C 1% osmium tetroxide for 2 hours and  
 191then washed in buffer three times for 10 minutes each at  
 1924°C. The sample blocks were dehydrated in ethanol,  
 193infiltrated, and embedded in pure epon with Lynx EM  
 194tissue processor. Ultrathin 70-nm sections were cut (Reich-  
 195ert-Jung ultra cut) and collected on no. 200 mesh grids.  
 196Sections and grids were stained with uranyl acetate and lead  
 197citrate and examined under a Philip EM208S transmission  
 198electron microscope.

#### Preparation of the self-assembling solutions

199

The NHS-1 solution was prepared using RADA16-I 200  
 synthetic dry powder (obtained from the Massachusetts 201  
 Institute of Technology Center for Cancer Research 202  
 Biopolymers Laboratory, Cambridge, MA; the Zhang 203  
 laboratory, and 3-DMatrix, Cambridge, MA) dissolved in 204  
 an Eppendorf tube. The 1% NHS-1 solution was prepared 205Q12  
 by dissolving 10 mg of RADA16-I powder in 1 mL of 206  
 autoclaved Milli-Q water (Millipore Corp., Billerica, MA), 207  
 sonicated for as long as 5 minutes, and filtered. This was 208  
 repeated with 20 mg/mL, 30 mg/mL, and 40 mg/mL to 209  
 produce 2%, 3%, and 4% concentrations. NHS-2 and TM-3 210Q12  
 dry powders (made by the Massachusetts Institute of 211  
 Technology Center for Cancer Research Biopolymers 212  
 Laboratory, Cambridge, MA) were prepared using the same 213  
 method. The time of preparation did not affect the action of 214  
 the solution. We also tested some material that was prepared 215

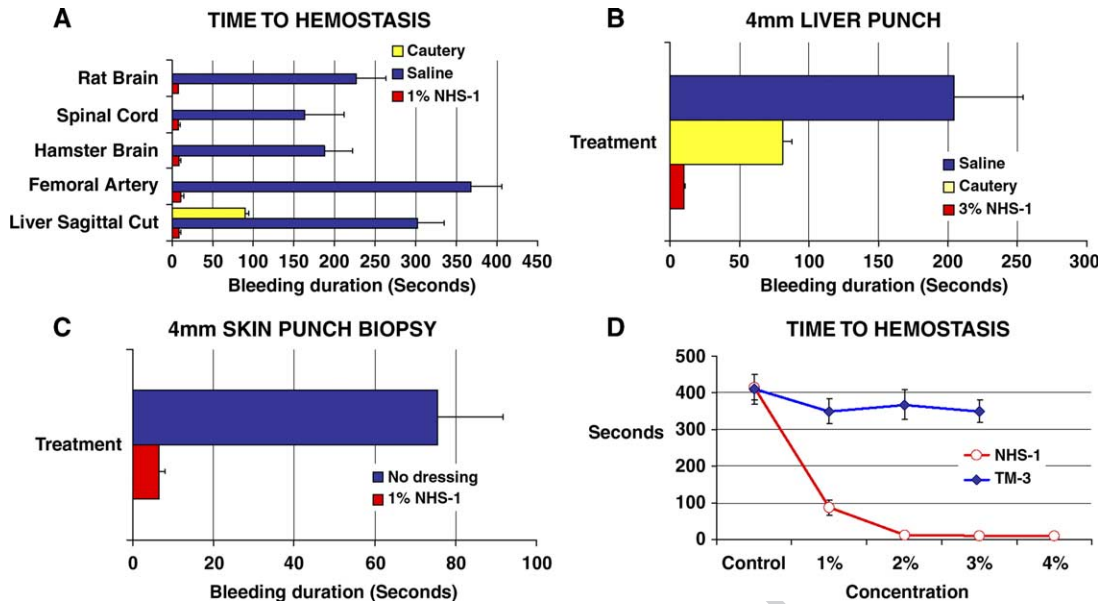


Fig 4. Time required to achieve hemostasis. Graphs illustrate bleeding durations in cases treated with 1% NHS-1 self-assembling solution compared with those cauterized- and saline-treated controls for brain, femoral artery, and liver cuts (A), liver punches (B), and skin punches (C). Each bar shows the mean time in seconds for NHS-1-treated cases (in red), saline controls (in blue), and cautery controls (in yellow). A, In the rat brain cut, durations were measured from the start of application of self-assembling NHS-1 to the completion of hemostasis after transection of the veins leading to the superior sagittal sinus in the brain of adult rats. Complete hemostasis was achieved in  $8.4 \pm 2.1$  seconds. In the saline controls bleeding continued until  $227.0 \pm 36.6$  seconds. In the hamster brain cut, complete hemostasis was achieved in  $9.0 \pm 1.8$  seconds. In the saline controls bleeding continued until  $187.6 \pm 34.7$  seconds. In the femoral artery cut, complete hemostasis was achieved in  $10.5 \pm 4.1$  seconds. In the saline controls bleeding continued until  $367.5 \pm 37.7$  seconds. In the liver sagittal cut, complete hemostasis was achieved in  $8.6 \pm 1.7$  seconds. In the cautery control (yellow), bleeding continued until  $90.0 \pm 5.0$  seconds, and the saline controls bled for  $301.6 \pm 33.2$  seconds. B, Liver 4-mm punch biopsy. A 4-mm core was removed from the left liver lobe, and the hole was treated with NHS-1, heat cautery, or saline. Treatment with 3% NHS-1 brought about complete hemostasis in  $9.7 \pm 1.2$  seconds. In the cautery controls (yellow) bleeding continued for  $81.2 \pm 6.7$  seconds, and the saline controls bled for  $204.3 \pm 49.6$  seconds. C, Skin 4-mm punch biopsy. A 4-mm punch biopsy was made on the backs of nude mice. The biopsy extended through the dermis, and the core was removed. Care was taken not to disrupt the underlying muscle. The three wounds on one side were treated with 1% NHS-1, and complete hemostasis was achieved in  $6.4 \pm 1.5$  seconds. On the opposite side of the animal the wounds were not treated. Bleeding continued until normal clotting occurred at  $75.5 \pm 16.3$  seconds. D, Concentration response curves of NHS-1 and TM-3. The left lateral liver lobe received a transverse cut severing a portion of the liver lobe and branch of the portal vein. A higher concentration of NHS-1 (open circles) is more effective in higher pressure and volume hemorrhages. NHS-1 at concentrations of 4%, 3%, and 2% were effective in achieving hemostasis in  $11.0 \pm 1.0$  seconds,  $10.0 \pm 1.0$  seconds, and  $10.3 \pm 0.5$  seconds, respectively. The 1% NHS-1 solution required  $86.6 \pm 20.8$  seconds at the area of the most severe bleeding. TM-3 (diamonds) was not effective at any concentration; in the saline controls bleeding continued until  $377.5 \pm 85.0$  seconds, and one animal died. Time (seconds) is shown on the x-axis, concentration on the y-axis.

Q15

216(obtained from the Zhang laboratory) and stored in solution  
217at room temperature, for 3 years before use, and it  
218performed as well as the newly mixed material.

## 219Results

### 220Hemostasis in a brain injury

221 We began our experiments in the brain, removing the  
222overlying skull and performing a complete transection of a  
223branch of the superior sagittal sinus in the brain of rats ( $n =$   
22415) and hamsters ( $n = 15$ ) (Figure 1, A). The areas were  
225treated with  $20 \mu\text{L}$  of a 1% solution of RADA16-I (NHS-1)  
226self-assembling solution or with iced saline. In the groups  
Q13 227treated with NHS-1 hemostasis was achieved in less than 10  
228seconds in both hamsters and rats (Figure 2, A-D and  
Q14 229Supplemental Video 1, "Hemostasis in rat cortex with self-  
230assembling peptide treatment"). Control group hamsters  
231( $n = 5$ ) and rats ( $n = 5$ ) irrigated with saline bled for more  
232than 3 minutes (Figure 4, A). A truncated iced-saline control

and subsequent treatment with NHS-1 is shown in 233  
Supplemental Video 2 ("Saline control and treatment with 234Q16  
self-assembling peptide in rat cortex.") Student's  $t$ -test for 235  
two independent samples in both hamsters and rats showed 236  
highly significant differences ( $P < .0001$ ). 237

### Hemostasis in a spinal cord injury 238

Because blood has been shown to be toxic in neural tissue 239  
[24] we wanted to know if the spinal environment was 240  
different from the brain. By quickly bringing bleeding under 241  
control secondary damage caused by surgery can be reduced. 242  
After laminectomy and removal of the dura, the spinal cord 243  
was hemisected at T2, from the dorsal to ventral aspect, 244  
and treated ( $n = 5$ ) with  $20 \mu\text{l}$  of 1% NHS-1. Hemostasis 245Q17  
was achieved in just over 10 seconds. In the saline controls 246  
( $n = 5$ ) bleeding continued for as long as 5 minutes. 247  
Comparison of the treated group and the saline controls 248  
shows a significant difference using the Tukey test with a 249  
99% confidence interval. 250

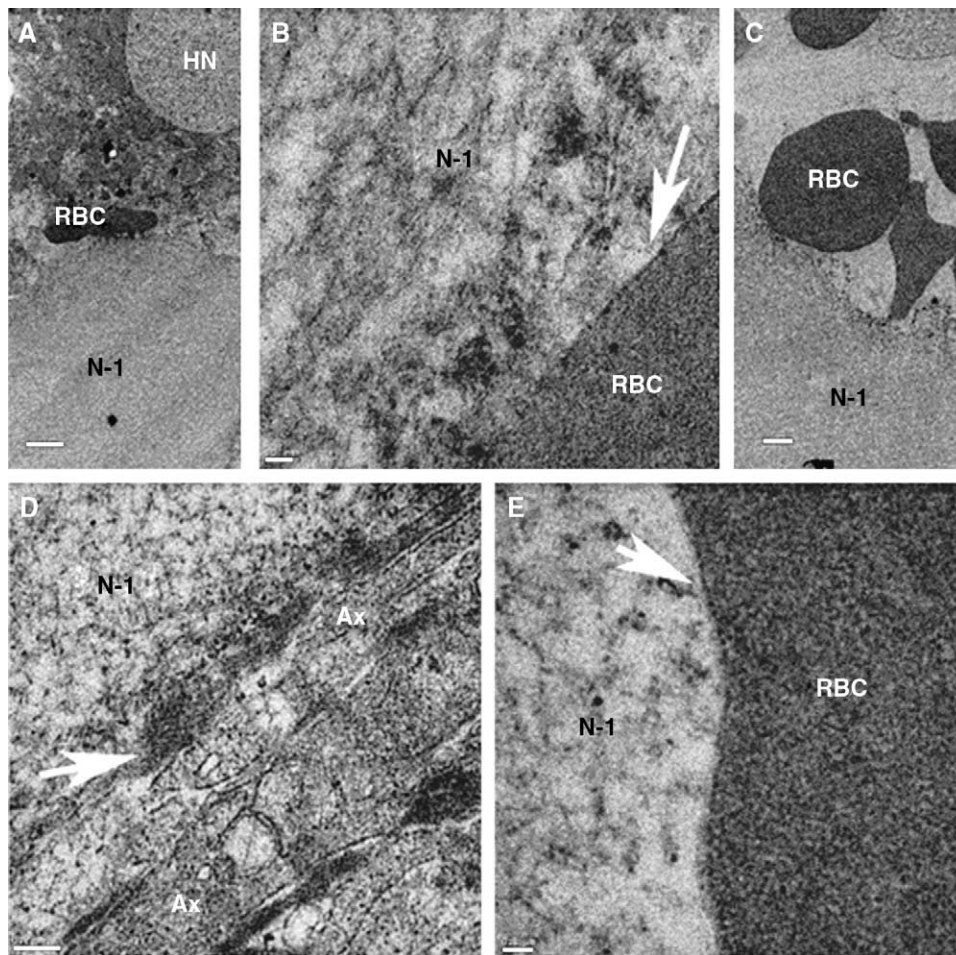


Fig 5. Electron micrographs. This series of TEM images shows the interactions of NHS-1 with liver, cortex, and red blood cells. **A**, The left lateral lobe was treated with NHS-1, and the tissue was taken shortly after treatment. Note the hepatocyte and its nucleus (HN). There is a red blood cell (RBC) between the assembled NHS-1 (N-1) fields. Scale bar represents 2  $\mu\text{m}$ . **B**, A closer look at the interface of the RBC and the material. Scale bar represents 50 nm. **C**, In the liver the RBC do not appear to mix with the NHS-1. Scale bar represents 1  $\mu\text{m}$ . **D**, Application of 1% NHS-1 solution to a cut in the cortex. Note the close interface with the axons (Ax). Scale bar represents 0.2  $\mu\text{m}$ . **E**, In another part of the brain the interface between the RBC and the NHS-1 appears to be similar to that in the liver. Scale bar represents 0.1  $\mu\text{m}$ .

### 251 Hemostasis in a high-pressure femoral artery wound

252 The femoral artery of 14 adult rats was surgically exposed,  
 253 transacted, and then treated with 200  $\mu\text{L}$  of a 1% solution of  
 254 NHS-1 or iced saline and packing (Figure 2, E-H). In the  
 255 treated rats ( $n = 10$ ) about 10 seconds elapsed before  
 256 hemostasis occurred (Figure 4, A). The controls ( $n = 4$ )  
 257 continued to bleed for more than 6 minutes. The difference in  
 258 times to achieve complete hemostasis was highly significant  
 259 (Student's  $t$ -test  $P < .0001$ ).

### 260 Hemostasis in highly vascularized liver wounds

261 Using a group of 76 rats, we performed three different  
 262 liver cuts: (1) a sagittal (rostrocaudal) cut (Figure 3, A and  
 263 B) to test NHS-1 in an irregular-shaped laceration wound,  
 264 (2) a transverse (lateral-medial) cut involving the transection  
 265 of a major branch of the hepatic portal vein to intensify  
 266 bleeding (Figure 3, E-J), and (3) 4-mm punches through the  
 267 liver lobe to observe the material in uniform wounds.

In the first liver experiment we made a sagittal cut in the 268  
 left lobe ( $n = 8$ ); upon treatment with 100  $\mu\text{L}$  of 1% NHS-1 269  
 solution bleeding ceased in less than 10 seconds (Figure 3, 270  
 A-D and Supplemental Video 3, “Sagittal cut of left liver 271  
 lobe using 1% self-assembling peptide treatment”). In one 272  
 set of controls ( $n = 3$ ) bleeding stopped 90 seconds 273  
 (Figure 4, A) after cauterization of the wound; in the 274  
 saline-treated control animals ( $n = 3$ ) bleeding continued 275  
 for more than 5 minutes. Comparison of the cauterized and 276  
 the saline-treated controls shows a significant difference 277  
 using the Tukey test with a 99% confidence interval. 278

In the second experiment we severed a major branch of the 279  
 portal vein while making a transverse cut in the left lobe to 280  
 test NHS-1 in an environment with a high flow rate. Four 281  
 concentrations of NHS-1 were tested ( $n = 12$ ) along with 282  
 ( $n = 4$ ) control animals. We applied 400  $\mu\text{L}$  of 4% 283  
 concentration NHS-1, and bleeding stopped in 11 seconds 284  
 (Figure 3, E-J and Supplemental Video 4, “Transverse cut of 285  
 left liver lobe using 4% self-assembling peptide treatment”). 286

Q21287 We duplicated the test successfully with 400  $\mu\text{L}$  of both 3%  
288 and 2% NHS-1 solution; bleeding ceased in 10 and  
289 10.3 seconds, respectively (Figure 4, D). When 400  $\mu\text{L}$  of  
Q21290 1% NHS-1 was applied, bleeding continued for more than  
291 60 seconds (Figure 4, D). The controls, however, bled for  
292 more than 6 minutes. The dose response shows that treatment  
293 results using 3% and 4% NHS-1 are nearly the same as with  
294 the 2% concentration. Furthermore, in the 2%, 3%, and 4%  
295 concentration treatment cases complete hemostasis was  
296 maintained after removing the excess assembled NHS-1  
297 material (Figure 3, I and J). We found that the higher blood  
298 pressure/flow rate transverse liver cut required a concentra-  
299 tion of 2% NHS-1 or higher to bring about complete  
300 hemostasis in less than 15 seconds. A significant difference  
Q21301 was found between the NHS-1–treated and control groups  
302 using analysis of variance (ANOVA). When each treatment  
303 group was compared to the control group those differences  
304 were also significant; a Tukey test showed a 99% confidence  
305 interval. There was no significant difference when the  
306 various NHS-1 concentrations were compared, except for  
307 the 1% NHS-1 solution treatment group.

308 In the third experiment using adult rats ( $n = 45$ ) we  
309 punched 4-mm holes through the left lateral lobe and then  
Q21310 treated the area with 3% NHS-1, saline, or heat cautery to  
311 bring about hemostasis (Figure 4, B). In the experimental  
312 group ( $n = 15$ ) we applied a solution of 3% NHS-1 after  
313 injury and hemostasis was achieved in about 10 seconds,  
314 whereas the saline controls ( $n = 15$ ) required 3.5 minutes to  
315 stop bleeding. In the heat cautery control group ( $n = 15$ )  
316 cessation of bleeding took more than 60 seconds, inclusive of  
317 applying heat to cauterize the inside surface of the punch. We  
318 allowed the NHS-1–treated animals to survive for as long as 6  
319 months with no detrimental effect on the tissues. Using  
320 ANOVA there was a significant difference between the 3%  
321 NHS-1 treatment and the controls ( $P < .0001$ ). In addition,  
322 the Tukey test showed that each group was significantly  
323 different from the other with a 99% confidence interval.

#### 324 Hemostasis in skin punch biopsies

325 Six 4-mm punch biopsies were made on the backs of each  
326 of 23 anesthetized adult nude mice for a total of 138 punches.  
327 Three punches were treated with 1% NHS-1 solution and the  
328 other three were left untreated, except for dabbing with cotton  
329 every 15 seconds until bleeding stopped. Punched wounds  
330 that bled for less than 10 seconds were excluded from the  
Q21331 experiment. We applied a solution of 1% NHS-1 10 seconds  
332 after injury ( $n = 23$ ), and hemostasis took less than  
333 10 seconds; the controls ( $n = 23$ ) continued to bleed for  
334 more than 60 seconds (Figure 4, C). The bleeding times were  
335 averaged for each side of the animal, and the Student's  $t$ -test  
336 for paired samples showed a significant difference between  
337 the treatment and control side of the animal ( $P < .0001$ ).

#### 338 Comparison of three different materials

339 To learn more about the hemostatic properties and  
340 mechanism of action of NHS-1 (RADA-16), we repeated

both the sagittal and transverse liver experiments, comparing 341  
them with two additional materials that are known to self- 342  
assemble and spontaneously form nanofibers: (1) RADA-12 343  
(NHS-2), a sequence variation of NHS-1, and (2) EAK-16 344  
(TM-3), a different sequence in the same family of self- 345  
assembling peptides used to determine if the material's 346  
length and stiffness altered its hemostatic effectiveness in 347  
bleeding models [25–31]. 348

Making a sagittal liver cut in adult rats ( $n = 9$ ) we 349  
applied 100  $\mu\text{L}$  of 2% NHS-2 solution to the wound, and 350  
bleeding stopped in less than 10 seconds. In the cautery 351  
controls ( $n = 3$ ) bleeding continued for more than 352  
90 seconds ( $P < .0001$ ). Upon repetition of the experiment 353  
in adult rats ( $n = 8$ ) using 100  $\mu\text{L}$  of 2% TM-3, the material 354 Q21  
assembled but did not achieve hemostasis; the animals 355  
continued to bleed until the experiment was terminated after 356  
more than 3 minutes. 357

The increased blood flow from the portal vein after 358  
making a transverse liver cut allowed us to perform another 359  
dose response experiment in which we compared various 360  
concentrations of NHS-1 (1% to 4%) and TM-3 (1% to 3%) 361  
with controls (Figure 4, D). All concentrations of NHS-1 362 Q21  
were effective; however, the higher blood pressure and flow 363  
rate after the transverse liver cut required a concentration of 364  
2% or higher of NHS-1 to stop bleeding in less than 365  
15 seconds. 366

TM-3 is a stiffer gel; 1% TM-3 is similar in stiffness to 367  
3% NHS-1. We tried three different concentration levels 368  
(1%, 2%, and 3%) and found that TM-3 was not effective at 369 Q21  
any concentration; the assembled material fractured and the 370  
TM-3–treated animals continued to bleed regardless of the 371  
concentration used. There was actually no significant 372  
difference between TM-3 and the controls (Figure 4, D) in 373  
achieving hemostasis. 374

#### Interface of NHS-1 and tissues 375

Still looking for mechanism clues as well as further 376  
understanding of the relationship of the NHS-1 blood/tissue 377  
interface in both the brain and liver, we also examined the 378  
treated tissues using transmission electron microscopy 379  
(TEM), interested in learning how the red blood cells (RBCs), 380  
platelets, tissue, and the ECM interact with the material. 381

We applied 1% NHS-1 to a liver wound and immediately 382  
harvested the tissue. In the electron micrograph the hepato- 383  
cyte and RBC looks to be intact with the assembled NHS-1 at 384  
the interface (Figure 5, A). When applied shortly after injury, 385  
the material appeared to stop the movement of blood from the 386  
vessels without detrimental effects to the liver's RBCs; there 387  
was also no evidence of lysing (Figure 5, B). Furthermore, 388  
there was no evidence of platelet aggregation [32] at the 389  
blood/NHS-1 interface (Figure 5, C) when samples were 390  
taken at various time points after treatment. 391

In the brain we found a very tight interaction between 392  
NHS-1 and the neural tissue (Figure 5, D). We observed no 393  
RBCs and no evidence of platelet aggregation in the 394  
assembled NHS-1. The RBCs that were present appeared 395



396 intact at the edges of the assembled NHS-1 with no evidence  
397 of lysing (Figure 5, E). Furthermore, no evidence of thrombi  
398 was observed in the brain, lung, or liver of the animals  
399 treated with NHS-1 and NHS-2.

#### 400 Discussion

401 Our study demonstrates that hemostasis can be achieved in  
402 less than 15 seconds in multiple tissues as well as a variety of  
403 different wounds. This is the first time that nanotechnology  
404 has been used to stop bleeding in a surgical setting for animal  
405 models and seems to demonstrate a new class of hemostatic  
406 agent that does not rely on heat, pressure, platelet activation,  
407 adhesion, or desiccation to stop bleeding. NHS-1 and NHS-2  
408 are synthetic, biodegradable [10,19] and do not contain any  
409 blood products, collagens, or biological contaminants that  
410 may be present in human- or animal-derived hemostatic  
411 agents such as fibrin glue [1,8,10,14,20]. They can be applied  
412 directly onto, or into, a wound without the concern that the  
413 material may expand, thus reducing the risk of secondary  
414 tissue damage as well as the problems caused by constricted  
415 blood flow. In our previous brain studies [21] we looked for  
416 evidence of the production of prion-like substances or fibril  
417 tangles in animals that had the material implanted in their  
418 brain for as long as 6 months but found none. Furthermore,  
419 the breakdown products of NHS-1 are amino acids, which  
420 can be used by the body as tissue building blocks for the  
421 repair of the injury [21]. Independent third-party testing of the  
422 material found no pyrogenicity, which has been found with  
423 some other hemostatic agents, and no systemic coagulation or  
424 other safety issues in animals [33].

425 The exact mechanism for the hemostasis reported here  
426 is not fully understood, but we have uncovered several  
427 clues. First, we know that the hemostasis is not explainable  
428 by clotting. Blood clots are produced after injury, but do  
429 not begin to form until 1 to 2 minutes have elapsed, de-  
430 pending upon the status and coagulation history of the  
431 patient [6,12,34].

432 Second, the electron micrographs show no evidence of  
433 platelet aggregation at the interface of the material and wound  
434 site. That arginine inhibits platelet aggregation suggests that  
435 the arginine in NHS-1 plays a role in this effect [4,35–37];  
436 this seems to be consistent with our data. The NHS-1 and  
437 NHS-2 solutions appear to self-assemble into a barrier,  
438 stemming the flow of blood and facilitating the movement of  
439 adjacent cells to repair the injured site [21].

440 Third, in our experiments the NHS-1 and NHS-2 solutions  
441 easily filled in and conformed to the irregular shapes of the  
442 wounds before assembling, as shown in the electron micro-  
443 graphs. We believe this tight contact is crucial to the  
444 hemostatic action because of the size of the self-assembling  
445 peptide units. The micrographs also showed that the material  
446 does not cause the RBCs to lyse, apparently protecting them  
447 from normal degradation when exposed to the air.

448 Fourth, we do not believe that the hemostasis can be  
449 explained by gelation kinetics. One would think that a stiffer

gel would be more effective for higher pressure bleeders; 450  
however, we found the opposite to be true. TM-3, which is 451  
from the same family of peptides as NHS-1 and NHS-2, and 452  
is the stiffest of the three self-assembling peptides tested, did 453  
not arrest bleeding; it fractured at the tissue interface and 454  
within the resultant gel. We surmise that TM-3 may have 455  
fractured because of (1) the pulsations of the liver and (2) 456  
the inability of the material to flex with the tissue as blood 457  
pumped through the organ. This is similar to the fracturing 458  
of an artery when grown in a laminar flow environment and 459  
then transplanted to a pulsed environment. The cells line up 460  
along the direction of flow, unlike the natural helical coil 461  
[38–41] seen in a pulsed environment, which allows for 462  
expansion and contraction, without splitting, as blood 463  
moves through the artery. Conversely, NHS-1 and NHS-2 464  
were able to flex with the tissue. 465

466 Finally, NHS-2, the most pliable of the three materials, 467  
seemed to perform identically to NHS-1, probably as a 468  
result of their similar structure and modulus. 469

470 With this discovery the ability to speedily achieve 471  
hemostasis will reduce radically the quantity of blood needed 472  
during surgery of the future. As much as 50% of surgical time 473  
can be spent packing wounds to reduce or control bleeding. 474  
The NHS solutions may represent a step change in 475  
technology and could revolutionize bleeding control during 476  
surgery and trauma; however, they still require clinical testing 477  
before they can be used in humans. 478

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