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Comparative Estimates of Anthropogenic Heat Emission in Relation to Surface Energy Balance of a Subtropical Urban Neighborhood

Changhyoun Park
Pusan National University

Gunnar W. Schade
Texas A&M University

Nicholas D. Werner
Texas A&M University

David J. Sailor
Portland State University, sailor@pdx.edu

Cheol-Hee Kim
Pusan National University

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Citation Details

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4   Changhyoun Park[1], Gunnar W. Schade*[2], Nicholas D. Werner [2], David J. Sailor[3], and Cheol-Hee Kim[4]

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6   1. Institute of Environmental Studies, Pusan National University, Busan, 46241, South Korea

7   2. Department of Atmospheric Sciences, Texas A&M University, 3150 TAMU, College Station, TX

8   77843, USA

9   3. Department of Mechanical and Materials Engineering, Portland State University, Portland, OR

10   97207, USA

11   4. Department of Atmospheric Environmental Sciences, Pusan National University, Busan, 46241,

12   South Korea

13

14

15   * Corresponding author, Tel.: 1 979 845 0633; fax: 1 979 862 4466.

16   E-mail address: gws@geos.tamu.edu (G.W. Schade)

17

18

19   Highlights

20   ● Two-year flux measurements were conducted in a subtropical urban area.

21   ● Heat emissions were estimated by residual method and inventory approach.

22   ● A new 'footprint-weighted inventory' approach was introduced.

23   ● Local missing anthropogenic heat sources were partially revealed.

24

25

26   Abstract

27

28   Long-term eddy covariance measurements have been conducted in a subtropical urban area, an older

29   neighborhood north of downtown Houston. The measured net radiation (Q^*), sensible heat flux (H) and

30   latent heat flux (LE) showed typical seasonal diurnal variations in urban areas: highest in summer; lowest in

31   winter. From an analysis of a subset of the first two years of measurements, we find that approximately 42 %

32   of Q^* is converted into H, and 22 % into LE during daytime. The local anthropogenic heat emissions were

33   estimated conventionally using the long-term residual method and the heat emission inventory approach. We

34   also developed a footprint-weighted inventory approach, which combines the inventory approach with flux

35   footprint calculations. The results show a range of annual anthropogenic heat fluxes from 20 W m^{-2} to 30 W

36   m^{-2} within the study domain. Possibly as a result of local radiation versus heat flux footprint mismatches,

37   the mean value of surface heat storage (ΔQs) was relatively large, approximately 43% and 34% of Q^* in

38   summer and winter, respectively, during daytime.

1. Introduction

Approximately half of the world's population lives in and develops urban areas, modifying land use and land cover (LULC), and consuming energy and producing byproducts like waste heat, water vapor and pollutants. This results in the urban heat island (UHI) effect, and affects planetary boundary layer depth, air pollution and precipitation over urban areas (Arnfield, 2003). The man-made, urban fabric alters the surface energy balance (SEB) alongside atmospheric winds, temperature, moisture and chemical composition (Grimmond and Oke, 1999; Roth, 2007).

Urban energy balance studies have been conducted by direct measurements of $Q*$ using radiometers, alongside sensible and latent heat fluxes using the eddy covariance (EC) technique (e.g., Rotach 2005; Offerle et al., 2005; Ferreira et al., 2013; and Nordbo et al., 2012). In urban areas, typically a large amount of surface heat energy is transferred to the atmosphere as sensible heat, while the amount of latent heat transfer is lower than over forests or agricultural areas. This is due to the facts that urban impervious area reduces (i) available surface water for evaporation, and (ii) vegetation amount and therefore leaf area index (LAI) over that in natural area. Consequently the Bowen ratio (β = H/LE) is larger above urban canopies, yet generally its value can be much different locally depending on urban surface heterogeneity.

Most past SEB studies have been performed in cities located in the mid-latitudes (e.g. Moriwaki and Kanda, 2004; Vesala et al., 2008; and Kotthaus and Grimmond, 2013), and fewer in tropical or subtropical cities. Considering the size and fast growth of subtropical cities without well-organized city planning or land use, studies of SEB in subtropical cities are important for sustainable development (Roth, 2007). Few studies of (sub)tropical urban SEB have been conducted as summarized by Roth (2007), yet only one long-term (> 1yr) study (Ferreira et al., 2011) has been conducted to estimate the annual features of SEB in a unique urban area.

Anthropogenic heat emissions can strongly affect the urban SEB, which can be estimated using the urban SEB equation expressed for a particular urban area considered homogeneous for the purposes of the evaluation (Oke, 1988):

$$Q* + Q_f = H + LE + \Delta Qs + \Delta Q_a \qquad \text{(Unit: W m}^{-2}) \qquad (1)$$

$Q*$ is net all-wave radiation; Q_f is anthropogenic heat flux from buildings, transportation and human metabolism (Sailor, 2011; Iamarino et al., 2012); H is turbulent sensible heat flux; LE is turbulent latent heat flux; and ΔQs is net storage of heat in the urban fabric, including buildings, roads, trees, soils, etc. ΔQ_a is net advective flux, and it is typically presumed negligible if the flux instrumentation is installed above an urban area homogeneous on larger scales, thus minimizing ΔQ_a.

Heat storage, ΔQs, is significant in urban areas, and can represent a relatively large fraction of $Q*$. There is no one method to measure ΔQs directly in urban areas because of the wide variety of light-absorbing and heterogeneously distributed urban canopy structures and ground surfaces. However, several integral methods have been introduced: the SEB residual method (Grimmond and Oke, 1995; Kothaus and Grimmond, 2013; Ferreira et al., 2013; Nordbo et al., 2012), the Objective Hysteresis Modeling (OHM) method (Grimmond and Oke, 1999; Ferreira et al., 2013), and the parameterization method (Roberts et al., 2006; Ferreira et al., 2013).

79   Anthropogenic heat fluxes, Q_f, are also difficult to measure, so have generally been estimated via either

80   an inventory-based or energy balance closure approach. Depending on a study's objective, inventory

81   approaches either use large scale aggregated data that are downscaled to smaller spatiotemporal units (e.g.

82   local and hourly), or use energy consumption data estimated at smaller, building and road section scales for

83   upscaling. The former is conducted based on utility energy consumption and empirical traffic count data

84   (e.g., Sailor and Lu, 2004; Iamarino et al., 2012; Chow et al., 2014). The latter uses building energy

85   modeling and can resolve the anthropogenic heating from complicated building sectors (Kikegawa et al.,

86   2006; Hsieh et al., 2007). Both typically assume that the total energy consumption converts to waste heat

87   emissions, i.e. materialize dominantly in the sensible heat flux; but contributions to heat storage and even

88   latent heat fluxes are also possible.

89   Alternative to the inventory approach, using long-term micrometeorological measurements can enable

90   estimates of anthropogenic heating as the residual term in the SEB equation (1) under the assumption that

91   $\sum \Delta Qs$ equals zero over year-long periods (Christen and Vogt, 2004; Ferreira et al., 2013; Nordbo et al.,

92   2012). Offerle et al. (2005) calculated ΔQs with an element surface temperature method to determine Q_f

93   (hereafter called *Res*-driven Q_f).

94   The residual energy flux (*Res*) is considered as follows given ΔQ_a is negligible (e.g. Nordbo et al.,

95   2012):

$$Res \approx \Delta Qs - Q_f = Q^* - (H + LE) \qquad (2).$$

97

98 In equation (2), negative *Res* means that there are additional energy sources contributing to H and LE aside

99   from net radiation, particularly anthropogenic heat flux. For longer periods (complete seasonal cycle or

100   multiples thereof), $\sum \Delta Qs = 0$, so the residual term can be representative of Q_f. The negative sign indicates

101   the emission from the surface to the atmosphere. The uncertainty of this approach comes not only from the

102   accumulation of errors in the measurements of H, LE, and Q^* into *Res*, resulting in Q_f uncertainties up to 20-

103   40% of Q^* (Mauder et al., 2007), but also from the differences between radiation and flux footprints,

104   possibly resulting in an underestimation of Q_f (Foken, 2008).

105   Here, we present an analysis of data from a unique dataset obtained over a subtropical humid urban

106   area, Houston Texas, including an overview of the site characteristics, the micrometeorological flux

107   measurements system, and the temporal variability of SEB fluxes. In addition, we discuss the estimates of

108   anthropogenic heat emissions using different approaches.

109

110 2. Methods

111 2.1. Site description

112   A detailed site description of the Houston flux tower setup was given by Park et al. (2010, 2011) and

113   Schade (2012), and is slightly extended here. The climate of Houston is classified as subtropical humid

114   (Köppen's Climate Classification: Cfa), with rainfall in all seasons and moderate seasonal variability. The

115   radio communications tower of the Greater Houston Transportation Co. (*Yellow Cab*, 29° 47' 22'' N, 95° 21'

116   13''W) located 4 km north of downtown Houston was equipped with micrometeorological instrumentation

117   for urban flux measurements in late spring 2007. The site is in flat terrain (slope of less than 1 m per km)

118   surrounded by residential areas in south, west, and north directions, a light industrial area in the east, a park

119   and a cemetery in the more distant west, and various commuter roads crossing the area (Figure 1).Within a

120   3×3 km^2 area, dominant average land use is residential (23%) and roads (23%), while the remaining land is

121   occupied by industrial areas (12%), commercial areas (6%), parks and open space (17%), public areas (1%),

122   and undeveloped lands (18%) (http://mycity.houstontx.gov/public/). Following Stewart and Oke (2012), the

123   site is best described as low to medium urban density with 1-2 story houses, and 50-70% impervious area

124   with scattered trees (local climate zone, LCZ 6_B, with minor UCZ 5).

125   The average height of trees as determined from LIDAR data (at 1-ft spatial resolution, measured in

126   2008) was 8-12 m, much taller than that of one-story buildings dominating the area (4-5 m), and tree crowns

127   covered 25-30% of the study domain. We calculated displacement height (d) and roughness length (z_0) using

128   various methods (Schade, 2009; unpublished data) and assigned area-wide d = 6 to 12 m and z_0 = 1.0±0.1 m

129   for all wind directions. The directionality of d is shown in the Supplemental Table S1. The relative

130   homogeneity of this site is likely owed to similarly tall one-story buildings under a sparse, but dominating

131   tree canopy. It will be discussed in a separate short communication.

132

133   2.2. Measurement system

134   The EC system was installed as the top inlet height (60 m above ground level (agl)), 30 m below the

135   top of the tower but several times higher than the height of the tallest surface roughness elements including

136   buildings and trees. A summary of installations is given in Table 1. The top level installation consisted of a

137   cross-beam holding a 3-D sonic anemometer pointing south, three radiation sensors including a thermopile,

138   a pyranometer and a quantum sensor, supplementary sensors for temperature and humidity and a combined

139   wind speed and direction sensor (Schade, 2012). Ambient air was sampled from near the center of the

140   anemometer through 1/4'' ID, ~80 m long Teflon PFA tubing down the tower at approximately 15 L min^{-1}

141   and through a bypass into a closed path infrared gas analyzer (LI7000, Licor Biosciences, Lincoln, NE) in an

142   air-conditioned building at the foot of the tower. A tipping bucket rainfall sensor was installed at 12 m agl. A

143   PFA filter holder was installed into the main 3/8" OD sampling line at 3 m agl in front of a tubing bend. Its

144   2-5 µm pore size Teflon particulate filter was changed on average once a week during instrument

145   calibrations. Since this meant that the inlet was not protected from rain entering the tubing, the main sample

146   pump (rotary vane model VTE3, Thomas Pumps, Sheboygan, WI) was turned off whenever rain was

147   detected by the rain bucket, including a 20-min delay in turning the pump back on after the last bucket tip.

148   Occasionally, small amounts of water still entered the tubing as evident from residues on the filter and/or a

149   few milliliters of liquid water accumulating in the filter holder. Thus, the water vapor flux data analyzed

150   here exclude the first 24 hours after rain events.

151   A LI7000 was operated in an air conditioned room at the base of the tower. The instrument was

152   calibrated for CO_2 onsite approximately weekly using a three-point calibration. Its factory H_2O calibration

153   values were left unchanged during the study period, but its output was compared and adjusted against the

154   relative humidity sensor installed at the same height using its temperature data and pressure data adjusted for

155   height in the modified Buck formula used by Licor Inc.

156

157   2.3. Data processing

158   EC flux data were averaged over the standard 30 minute interval. Longer periods of missing or bad

159   data occurred from mid-August to early September 2008 and from mid-October to end of November 2008,

160   for instrument repairs. Processing of the high frequency data was conducted using EdiRe software (School

161   of Geosciences, University of Edinburg, UK), following the general guidelines of the flux community.

162   Random electronic noise spikes were removed from the raw turbulence data when exceeding 5 standard

163   deviations (sd). The geometric rotation was applied to align the x-axis with the mean wind direction and to

164   set the 30-min average vertical wind to zero. Rotational angles were nearly always less than 5 degrees (-

165   0.5 ± 2.2 degrees, 2 sd). Stationarity was tested by separating the 30 minute data period into six 5-min

166   intervals, where the flux covariance should not be biased more than 60% from the mean of the covariances

167   of each 5-min interval (Foken and Wichura, 1996). A friction velocity threshold of $u_* \geq 0.2$ m s^{-1} was applied

168   to the data to account for low turbulence conditions. The stationarity criterion ($< 60\%$) removed 1% of the

169   data. From periods of rain and 24 hours after rain, an additional 24% of data were removed. To assess the

170   lag time due to the length of the sampling tube from the inlet next to the sonic anemometer to the closed-

171   path gas analyzer, we applied the cross-correlation criterion between vertical wind speeds and mixing ratio

172   time series data. The typical CO_2 lag times ranged from 7 to 11 seconds, and the H_2O lag times were

173   typically 1 second longer. A low-pass filtering method was developed similar to that described by Ibrom et

174   al. (2007), based on relative humidity and wind speeds (no temperature dependence was found). It was

175   applied to our closed-path EC system for H_2O flux corrections, with average LE fluxes corrected upwards by

176   34 % (Werner, 2013).

177

178   2.4. Footprint analysis

179   In order to estimate the spatial distribution of the flux footprint, we used the analytical footprint model

180   of Kormann and Meixner (2001) implemented in EdiRe. Although this model is not designed for

181   heterogeneous urban surface areas and may be biased under neutral and stable atmospheric conditions, we

182   concluded that the footprint model output should present a qualitatively correct picture of 2D surface

183   contributions, considering the relatively homogeneous turbulence characteristics of this study site. Modeling

184   results from Kljun's parameterization (Kljuin et al, 2004) revealed that daytime 90% footprint distances did

185   not extend past the 3 km $\times$ 3km study domain (Figure 1). For the radiative footprint area, we used the field

186   of view method (Schmid et al., 1991), resulting in the 90% footprint area extending to a radius of 180 m

187   (Figure 1).

188

189   2.5. Development of a gridded anthropogenic heat emission dataset

190   To obtain a local estimate of ΔQ_f, we assembled an anthropogenic heat emission inventory (AHI) at

191   hourly temporal and 500-m spatial resolution for Houston, Texas. The inventory presumes that all energy

192   consumption is converted into waste heat emissions. It consists of major waste heat sources in the building

193   sector, the transportation sector, and human metabolism in the urban environment. Each of these three

194   contributions was determined by an inventory approach (Sailor and Lu, 2004).

195

196   The GIS database classified buildings at parcel-scale, and quantified the buildings' floor area. To

197   acquire the hourly energy consumption within each parcel, the floor area was multiplied by each building

198   prototype's hourly energy consumption profile retrieved from monthly energy use data for the building

199   sector available for the year 2000. Details of the method are described by Sailor and Lu (2004) and Heiple

200   and Sailor (2008). The parcels were then aggregated up to the grid cell scale (500-m spatial resolution)

201   generated by a mesoscale meteorological model (Ching et al., 2008). The total quantity of heat emissions

202   from vehicles in the city is composed of emissions on freeways and emissions on other roadways. For each

203   road type we assume these heat emissions to be distributed equally across the entire length of that type of

204   roadway in the city. To determine the amount of vehicle waste heat emissions within any individual grid cell

205   we simply scale the city's total vehicle emissions on each road type by the corresponding fraction of that

206   road type contained within the grid cell of interest (Heiple and Sailor, 2008). In other words, if the city

207   contains 50 km of freeway lanes and the grid cell of interest contains 1 km of freeway, the grid cell is

208   assigned 2% of all freeway vehicle heat emissions from the city. Human metabolism was assumed to be 175

209   W during daytime and 75 W during nighttime (Sailor and Lu, 2004). Although all datasets were used to

210   retrieve hourly waste heat profiles the results nominally represent monthly waste heat emissions.

211

212   2.6. Footprint-weighted inventory approach

213   In addition to the "traditional" approaches including the energy balance closure approach (e.g. Christen

214   and Vogt, 2004) and the inventory approach (e.g. Quah and Roth, 2012), we developed a new 'footprint-

215   weighted inventory' approach to estimate Q_f. First, a total of 36 grid cells of AHI data were retrieved within

216   the study domain (Figure 1), and the hourly averaged flux footprint was considered for a more accurate

217   comparison with the direct flux measurement. To achieve that, we linearly downscaled the spatial resolution

218   of the AHI (500 m) to the 30 m footprint resolution, then multiplied the two matrix data sets (200×200 cells)

219   with each other, followed by a spatial normalization by dividing by the total number of available data per

220   grid point. By summing data for each hour, we finally obtained the hourly footprint-weighted anthropogenic

221   heat flux data, representing the Q_f in eq. (1).

222

3. Results and Discussion

224   3.1. Meteorological observations

225   Seasonal diurnal meteorological measurements and wind roses are displayed in Figure 2. Air

226   temperature shows a clear seasonal variation with a mean value ranging from 17.8 °C in winter to 28.9 °C in

227   summer; the highest temperature reaching 37.5 °C in summer 2007, much higher than that of the warmest

228   month (29.2°C for August) in Houston, and the lowest temperature of approximately −1 °C in winter

229   2007/08, much lower than the that of the coldest month (11.7 °C for January)

230   (http://www.srh.noaa.gov/hgx/?n=climate_iah_normals_summary). Wind directions varied around the

231   prevailing southerly flows (135° - 225°), dominant in summer (72%) followed by spring (64%), winter (49%)

232   and autumn (48%). Particularly in autumn, NE wind directions accounted for approximately 30% during the

233   study period. Climatologically in Houston, summers (June) are the dominant rainfall season, with the least

234   rain falling in winters (February). However, during the study period, the highest rainfall amount, three times

235   the climatological value, occurred in September 2008 due to hurricane Ike (Schade, 2012).

236

237   3.2. Surface energy balance

238   Figure 3 displays the seasonal diurnal variation of median half-hourly SEB fluxes for all wind

239   directions excluding ±30 degrees around north due to possible influences from the tower structure. The data

240   are summarized in Table 2 for the four seasons. As expected, the median diurnal and seasonal variation of

241    $Q*$ followed the solar zenith angle variation with a peak value of 560 W m^{-2} in summer (JJA) and 330 W m^{-2}

242   in winter (DJF). $Q*$ typically changed sign an hour later and earlier in the morning and the evening,

243   respectively, than measured incoming radiation. The peak of median H was typically delayed by one half to

244   one hour, and it dominated heat fluxes at 44% of $Q*$ during daytime ($Q* > 0$). H was generally proportional

245   to $Q*$ variation, a characteristic in subtropical climates (Roth, 2007). The peak value of median H was 201

246   W m^{-2} in summer and 120 W m^{-2} in winter. In addition, H remained positive for two and a half hours to one

247   hour after $Q*$ had changed sign to negative, depending on the seasonal surface temperature, due to lagged

248   surface heating by previously stored heat. However, the heat stored in the urban impervious fabric during

249   daytime was not dominantly converted into sensible heat flux during nighttime, meaning median H remained

250   slightly negative (-9 to -1 W m^{-2}) at night throughout the years.

251   The diurnal median values of LE varied along with H, but peak daytime values occurred within a

252   wider range between 11:00 and 14:00 LST. Latent heat fluxes were virtually always positive except for

253   small variations around zero during nighttime, with peak values of 123 W m^{-2} (summer) and 38 W m^{-2}

254   (winter). While this seasonal change is expected from reductions in LAI and temperature, the drop was

255   larger than that of $Q*$ (67% vs. 38%). This may appear larger than expected since in urban areas latent heat

256   fluxes are typically driven not only by the amount of transpiration of the onsite vegetation but also

257   anthropogenic evapotranspiration supply in the form of irrigation. However, the latter is essentially absent

258   around our site with the exception of a few, more affluent homeowners watering small lawns, and two larger

259   lawn areas belonging to nearby schools. Both represent less than 10% of the area within the 90% footprint

260   limits. Nevertheless, although the amount of photosynthetically active foliage is much lower in winter (>90%

261   of leaves in this area are deciduous; Park et al., 2011), the mild winter climate alongside a small live oak

262   population, lawns and evergreen bushes appears to provide for significant winter time latent heat flux.

263   Results from a 1-yr study in subtropical Phoenix, AZ (Chow et al, 2014), and a shorter study in São

264   Paulo, Brazil (Ferreira, 2013), can be compared with our study results. In São Paulo, daily mean values of H

265   and LE were approximately half as high in summer, likely driven by a daily averaged $Q*$ value also only

266   half that of Houston, although the city is located at a lower latitude (23°S) and its impervious area fraction

267   was similar to ours. In Phoenix (33°N), daily averaged $Q*$ and H were closer to our mean values in summer,

268   and approximately 35% lower in winter, while LE values were 50% lower in summer and winter, which is

269   reasonable considering the much lower vegetation fraction in Phoenix (~15%). In response to the high

270   vegetation fraction at our study site (~45% of coverage), the LE is significant and its magnitude varies as a

271   function of moisture availability and on the amount of vegetation in the footprint. This affects the residual

272   flux.

273   The general diurnal variation of *Res* (Figure 4) shows that it steeply rises in the early morning along

274   with the $Q*$ variation, then reaches a maximum before noon (221 W m^{-2} in summer and 140 W m^{-2} in

275   winter), and decreases continually afterwards to negative values before ~16:00 LST, reaching a minimum

276   approximately one hour later. *Res* then remained virtually constant during the night until sunrise. The half

277   hourly median *Res* ranged from -100 to 241 W m^{-2}. Compared with other long-term (>1yr) urban

278   measurement sites, such as Phoenix, AZ (-4 to 83 W m^{-2}), Helsinki, Finland (-53 to 69 W m^{-2}; Nordbo et al.,

279   2012) and Lodz, Poland (-100 to 180 W m^{-2}; Offerle et al., 2005), the Houston *Res* showed a higher

280   maximum, likely due to higher Q^*. For similar reasons, daytime *Res* showed a steeper increase and reached

281   an earlier peak than H, with its overall magnitude higher than that of H in all seasons except spring. This

282   characteristic has been observed at other suburban sites (Ferreira et al., 2013; Coutts et al., 2007; Grimmond

283   and Oke, 1995).

284

285   3.3. Energy partitioning

286   In Figure 5 we show the diurnal variation of the ratios H/Q^*, LE/Q^*, *Res*/Q^* and β=H/LE. The

287   upward spikes around 16:00 – 17:00 LST in both H/Q^* and LE/Q^* were in part due to a rapid decline of net

288   radiation as compared to both H and LE (Figure 4). H/Q^* exceeded 100% around 16:00 LST in winter

289   possibly as a result of relatively higher contributions from Q_f in the form of space heating and car traffic.

290   The LE fraction of Q^* was on average zero during nighttime and positive in daytime throughout the study

291   period. After sunrise it increased until it contributed approximately 50% of net radiation in late afternoon,

292   then changed the sign to negative after sunset, and gradually restored to zero thereafter.

293   The *Res*/Q^* ratio decreased from a maximum value exceeding 100% after sunset to approximately

294   zero around mid-afternoon (15:30 LST), when local temperatures maximize. It remained very high for most

295   of the night hours after its peak contribution around sunset, meaning radiative heat loss is dominantly

296   supplied by the heat stored in the urban canopy.

297   Seasonal differences are obvious in timing but relatively small regarding the fractional distribution

298   of the SEB fluxes. Except during the winter, sensible heat fluxes constitute a minor nighttime flux

299   contribution as compared to radiative heat losses. In winter, H may contribute up to 36% of the heat loss

300   during the early morning hours, suggesting that the residual at that time is driven by heat storage in the

301   urban canopy, delaying the rise of H as compared to in natural environments. Peak β was observed in the

302   early afternoon time as plant transpiration begins to decline. During daytime, the highest mean value of β

303   was ~3.6 in winter due to lower transpiration rates, and the lowest value was ~1.6 in summer due to higher

304   evapotranspiration and amount of precipitation.

305   The diurnal and seasonal analysis of normalized SEB in Figure 5 shows a mirror hysteresis pattern

306   of H/Q^* and *Res*/Q^* that has also been observed in other cities (e.g. Roth, 2007; Ferreira et al., 2013; Chow

307   et al., 2014). This pattern is reflected in urban atmospheric boundary layer dynamics, such that lower H is

308   observed after sunrise but high daytime sensible heat fluxes induce convection that is maintained into the

309   evening, at times several hours past sunset. As a result, urban anthropogenic pollutant mixing ratios strongly

310   peak during the morning rush hours, but no such peak is observable during the afternoon rush hours (Park et

311   al., 2010).

312

313   3.4. Directionality of measured fluxes

314   We investigated the seasonal aspects of fluxes of H and LE by wind direction (Figure 6a and b): the NW

315   and W directions showed 66% - 79% and 9% - 75% higher median values than the other directions,

316   respectively. In contrast, the gridded AHI data for the NW direction from the tower showed less than the

317   total ensemble mean average value and was even lower for the W directions, which include a park and a

318   cemetery. There were no obvious differences in the footprint areas between seasons (Figure S1 in

319   Supporting Information) and no obvious land use differences other than the green areas. Higher fluxes,

320   including CO_2 flux (Figure 6c), from NW directions are nevertheless likely caused by anthropogenic

321   industrial activities. Several small and mid-size oil & gas supply manufacturers are located within a 500-m

322   radius of the tower (Figure 1). A large metal surface coating company in the immediate NW operates large

323   ovens fueled by gas burners on a regular basis, venting through the roof exhaust hoods only 100 m from the

324   tower, thus explaining all or most of the observed higher fluxes from that direction. Its source appears either

325   missing from the AHI or is blended into a larger area since the company has another location outside the

326   study area.

327   In the E direction from the tower, only LE showed relatively higher fluxes. Judging from the slightly

328   lower CO_2 flux, these higher fluxes are likely not related to an industrial source process, but rather to a

329   slightly larger amount of tree foliage on mature urban trees near the tower's maximum footprint impact

330   areas. Unlike for the W and NW directions from the tower, few distinctive anthropogenic heat sources were

331   identified, but no industrial heat sources were located within 1 km east from the tower.

332

333   3.5. Estimate of annual emission of anthropogenic heat

334   In our study domain, the total ensemble mean values of AHI were ~ 34 W m^{-2} in both summer and

335   winter, which are approximately four times higher than those for the entire city of Houston (~9 W m^{-2}), but

336   only a third of the values for downtown Houston (101 W m^{-2} in summer and 104 W m^{-2} in winter). This

337   indicates that the study site represents a relatively higher energy consumption area, possibly due to its rather

338   old, energy inefficient residential and commercial housing structures cooled by old, inefficient air

339   conditioners. Per capita, however, our domain emitted approximately 48 W m^{-2}, which is approximately 50%

340   and 30% lower than values for downtown (~102 W m^{-2} per capita) and of the whole city (~68 W m^{-2} per

341   capita), respectively, during a typical summer month (August). This lower value of per-capita emission

342   implies that the local waste heat sources are not likely dominated by local residents.

343   Since the AHI data were only available for summer and winter, we estimated annual anthropogenic

344   heat release under the assumption that the vehicles are the main heat emission sources in the study domain.

345   Based on Harris county traffic count data (http://www.eng.hctx.net/traffic/hc_counts.PDF), the traffic counts

346   in spring and autumn were approximately 85% of those in summer, while there was no difference between

347   summer and winter during the study period. Thus, we assigned 29 W m^{-2} to the spring and autumn AHI heat

348   flux. Averaging the four seasonal AHI heat fluxes then resulted in 32 W m^{-2} annual-basis Q_f fluxes.

349   This value can be compared to the energy closure approach, calculated when the net storage heat flux

350   (ΔQs) in eq. (1) becomes zero in the long-term (> 1yr) over all footprint areas, and Res becomes

351   representative of Q_f. The calculated annually averaged Res-driven Q_f was 27±1 W m^{-2} (25 ± 1 W m^{-2} when

352   excluding NW directions) based on a total of 13 12-month periods throughout the study period,

353   approximately 15% lower than the AHI-based annual Q_f.

354   In a further extension, applying the 'footprint-weighted inventory' approach (Section 2.6) resulted in

355   averaged Q_f values of 24 W m^{-2} (summer) and 22 W m^{-2} (winter). These values are virtually identical to the

356 *Res*-driven Q_f, and were only slightly lowered when considering the seasonal variation of traffic volume (20-

357 22 W m^{-2}).

358   These Q_f values are similar to anthropogenic annual mean waste heat emissions calculated in previous

359 studies in a (sub)tropical areas: 11 – 85 W m^{-2} in Singapore (Quah and Roth, 2012) and 5 – 25 W m^{-2} in São

360 Paulo, Brazil (Ferreira et al., 2011), which were estimated by inventory approaches. Waste heat emissions in

361 non-subtropical areas are also comparable: 5 - 10 W m^{-2} in Swindon, UK (Ward et al., 2013), 11 W m^{-2} in

362 London (Iamarino et al., 2012), and 35 W m^{-2} in Reykjavik, Iceland (Steinecke, 1999) derived from energy

363 consumption and population statistics; and 13 W m^{-2} and 20 W m^{-2} in Helsinki, Finland (Nordbo et al., 2012),

364 and in Basel, Switzerland (Christen and Vogt, 2004), respectively, under the assumption that ΔQs averages

365 to zero over long time periods. Note, that the observed lack of seasonality of Q_f was also reported for Sao

366 Paulo, Brazil (Ferreira et al., 2011), and Los Angeles, CA (Sailor and Lu, 2004), both cities with similarly

367 low annual temperature seasonality, and stands in contrast to cities at higher latitudes, e.g., Lodz, Poland

368 (Offerle et al., 2005) and Swindon, UK (Ward et al., 2013), which emit much higher waste heat in winter.

369   Lastly, we estimated ΔQs by directly solving eq. (2) using the measured fluxes and the footprint-

370 weighted Q_f. The seasonally averaged diurnal variations of ΔQs, Q_f and *Res* are shown in Figure 7. The

371 relatively late occurring peak in ΔQs has been reported in the other subtropical studies including Mexico

372 City and Mexicali as reviewed by Roth (2007), and São Paulo, Brazil (Ferreira et al., 2013), possibly a

373 feature of subtropical urban SEB. The average ΔQs was 128 W m^{-2} (daytime) and -41 W m^{-2} (nighttime) in

374 summer, and 105 W m^{-2} (daytime) and -16 W m^{-2} (nighttime) in winter. Considering the lack of difference of

375 Q_f between summer and winter (<8%), the higher ΔQs in summer is due to higher Q^* values.

376

377 4. Summary and Conclusion

378   We investigated the surface energy balance in a humid subtropical urban area. The measurements of

379 Q^*, H and LE from a tall flux tower using an EC system for two years showed expected diurnal and seasonal

380 variations, highest in summer, lowest in winter. The partitioning of Q^* into H and LE was 42% (IQR: 27%

381 to 65%) and 22% (IQR: 11% to 42%) during daytime, respectively. The mean β ranged from 1.2 in summer

382 to 2.1 in winter, and showed the expected seasonal effect from LE as driven by a higher amount of

383 evapotranspiration in summer, and a lower amount of foliage in winter.

384   Temporal aspects of H, LE and CO_2 flux by wind direction revealed potential anthropogenic heat

385 sources contributing to H within a short radius from the tower, identified as small and medium metal

386 processing industries in NW and W directions; higher LE fluxes from E and SE directions were attributed to

387 the local tree canopy. Both of these sources were corroborated by the measured CO_2 fluxes since NW wind

388 directions carried higher heat and CO_2 fluxes from an industrial heating process (burner) and SE wind

389 directions carried higher water vapor and lower CO_2 fluxes as a result of photosynthesis in the locally denser

390 tree canopy.

391   Heat storage in the urban fabric was calculated by the residual method. It contributed more than a 50%

392 of median Q^* both in summer and winter, a somewhat large amount considering the average land cover

393 statistics in the study domain. This may be due to the mismatch of footprint areas between radiation and flux

394 (Figure 1), inducing an energy balance closure problem (Offerle et al., 2005). Within the radiative footprint

395 area, a significantly lower vegetation fraction and dominant impervious area likely result not only in a higher

396 Bowen ratio, but may have also lead to higher $Q*$ due to a lower albedo, and a resulting overestimate of the

397 storage flux within the larger H+LE flux domain.

398 The local Q_f was estimated in different ways including (1) the inventory approach, (2) the energy

399 balance closure approach, and (3) the newly introduced 'footprint-weighted inventory' approach. The values

400 calculated by the two inventory based approaches (1, 3) showed a range of annual Q_f from 20 to 30 W m^{-2},

401 closely corresponding to the *Res*-driven Q_f. Considering possible discrepancies between Q_f values calculated

402 by methods 1 and 2, the 'footprint-weighted inventory' method may represent an improvement in areas of

403 heterogeneous surface coverage, but, for validation purposes, should be applied on a higher spatial

404 resolution gridded dataset generated by building-scale energy modeling imbedded in urban canopy models.

405 Further investigations into the residual of the energy balance are intended to better characterize the UHI

406 estimate. Use of a mesoscale numerical model coupled with an urban climate module may be necessary to

407 quantify the effect of anthropogenic heat in regional-scale atmospheric environments.

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560 **Table 1**. Subset of installed (micro-) meteorological sensors on the Yellow Cab tower

Parameter	Sensor (model)	Elevation	Unit
Wind speed	Cup anemometer (034B [1])	60 m	m s[-1]
Wind direction	Wind vane (034B [1])	60 m	degrees
Pressure	Silicon capacitance (Setra 278) [2]	2 m	kPa/mbar
Precipitation	TE525-L 6'' (tipping bucket) [3]	12 m	mm
Incident solar radiation	Pyranometer (300-1100 nm) [4]	60 m	W m[-2]
Net radiation	Thermopile (NR-LITE-L) [2]	60 m	W m[-2]
3-D wind speed + dir. (2008)	Sonic anemometer (CSAT3) [2]	60 m	m s[-1]/deg
[1] MetOne Instruments			
[2] Campbell Scientific, Inc.			
[3] Texas Instruments via Campbell Scientific, Inc.			
[4] Apogee			

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564 **Table 2**. Average seasonal energy balance fluxes for $u^* \geq 0.2$ m s^{-1} (Unit: W m^{-2};
565 5% trimmed means calculated from the half-hourly data).

	Spring	Summer	Autumn	Winter
24 hours				
Q^*	104	121	91	44
H	59	64	52	36
LE	30	54	40	15
Res	23	23	29	2
H/Q^*	0.28	0.28	0.26	0.31
LE/Q^*	0.12	0.16	0.07	0.03
Res/Q^*	0.6	0.56	0.67	0.66
β	2.2	1.32	1.47	2.84
N	8168	8211	5984	6821
Daytime ($Q^* > 0$)				
Q^*	263	283	258	178
H	117	115	101	89
LE	57	88	67	31
Res	85	85	100	65
H/Q^*	0.49	0.43	0.4	0.57
LE/Q^*	0.27	0.38	0.31	0.24
Res/Q^*	0.24	0.19	0.27	0.16
β	2.12	1.36	1.57	3.06
N	4221	4461	2936	2882
Nighttime ($Q^* \leq 0$)				
Q^*	-37	-43	-47	-35
H	-1	-2	-4	-2
LE	3	8	10	4
Res	-40	-53	-52	-42
H/Q^*	-0.05	0.04	0.04	0.03
LE/Q^*	-0.11	-0.22	-0.34	-0.19
Res/Q^*	1.17	1.19	1.32	1.22
β	0.36	-0.05	-0.29	0.22
N	3947	3750	3048	3939

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570 Figure 1. Distribution of land cover within the study domain (3 km×3 km), overlaid with the average
571 autumn footprint function (thick black contour lines represent the probability of flux coming from within the
572 area). The 90%-level of the radiative footprint area is indicated by a dashed line circle.The footprint
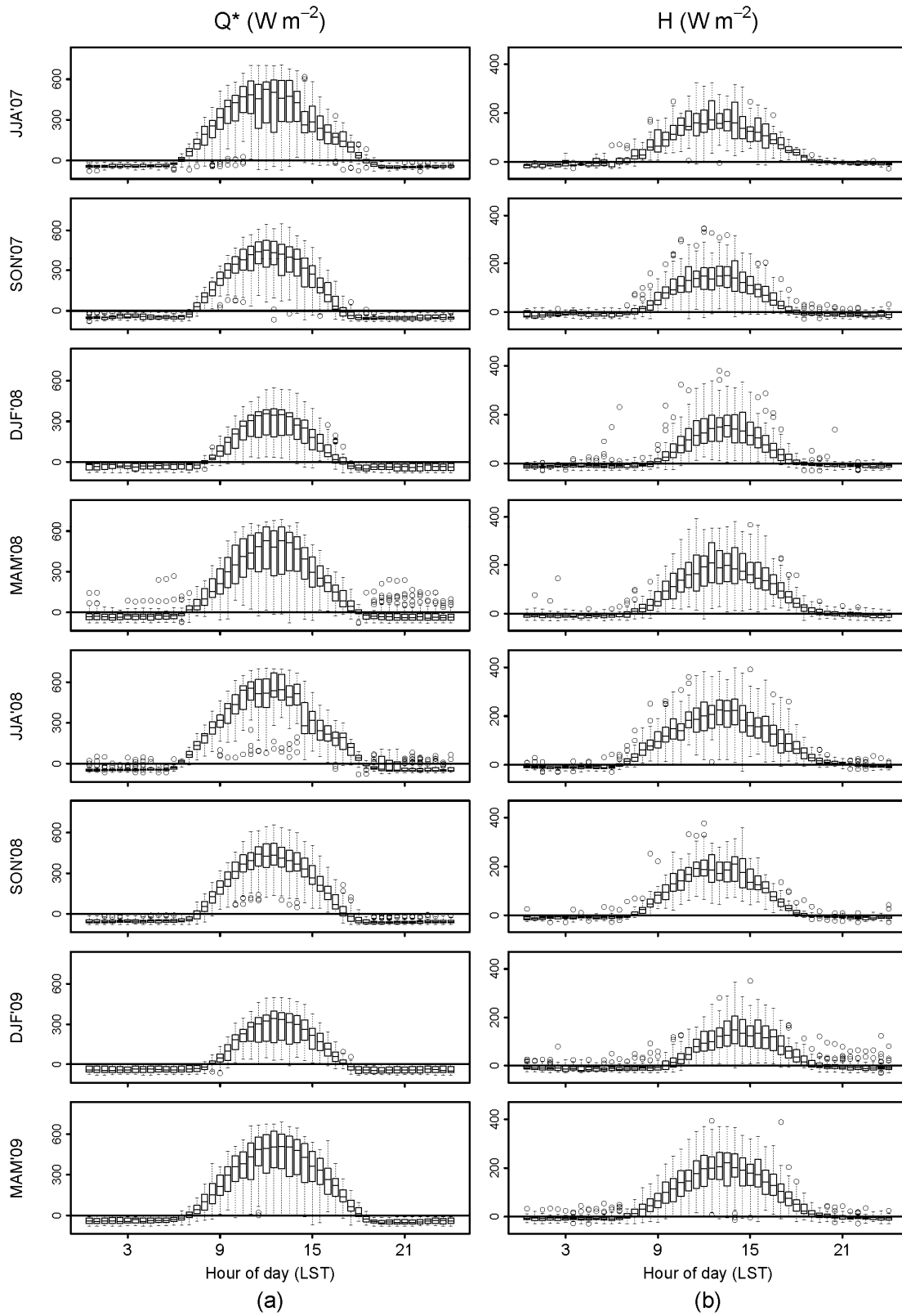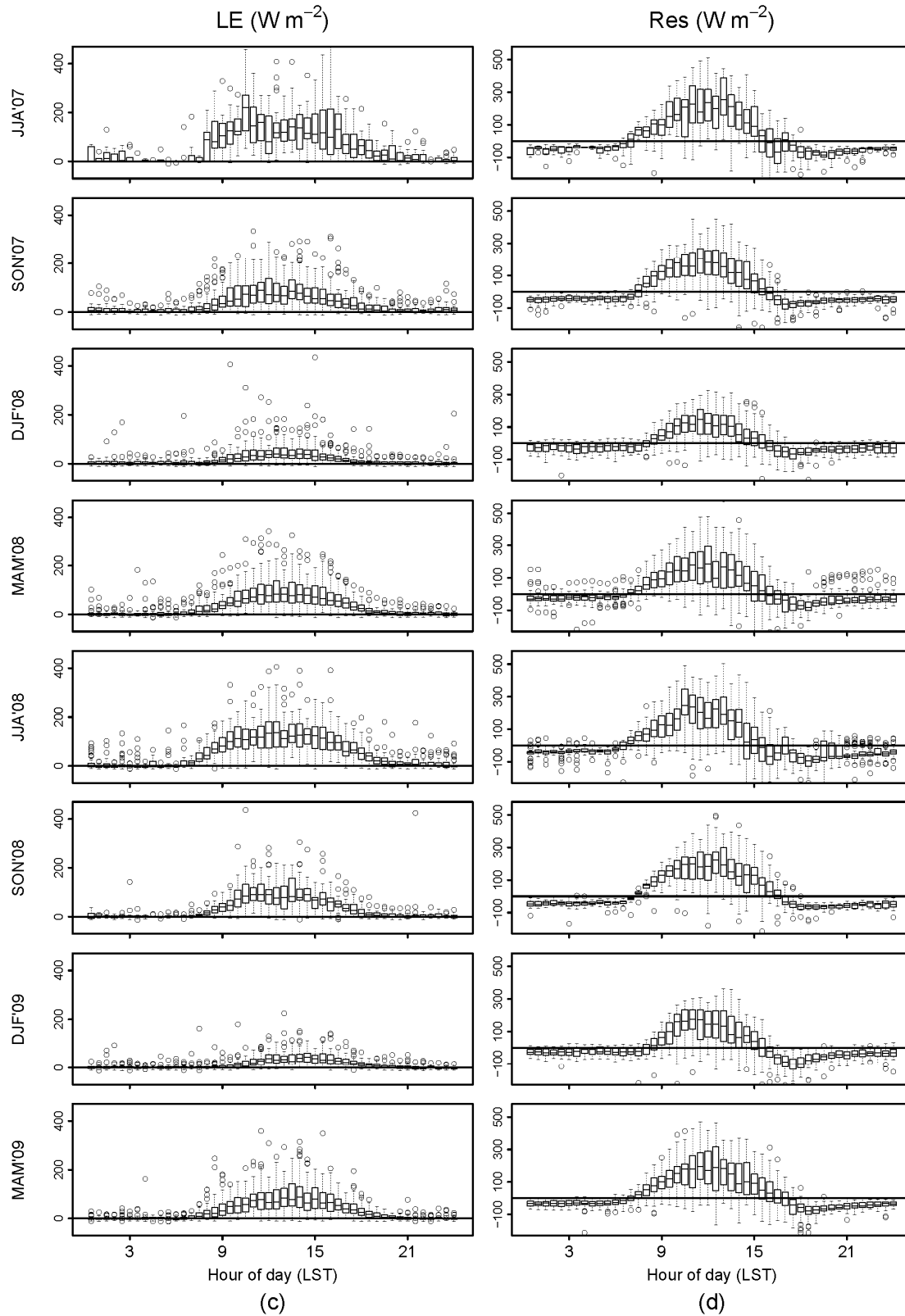573 functions for the other seasons are in Figure S1 in the Supporting Information.
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585 Figure 2. Seasonal variation of meteorology. 30-minute averaged diurnal variation of temperature and RH,
586 and total accumulated rainfall (top); wind roses (bottom) in each season.
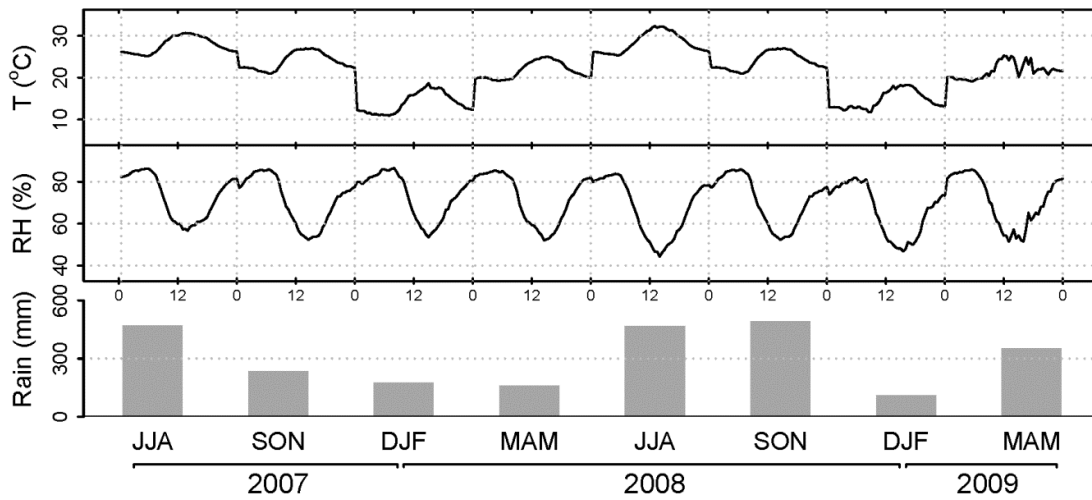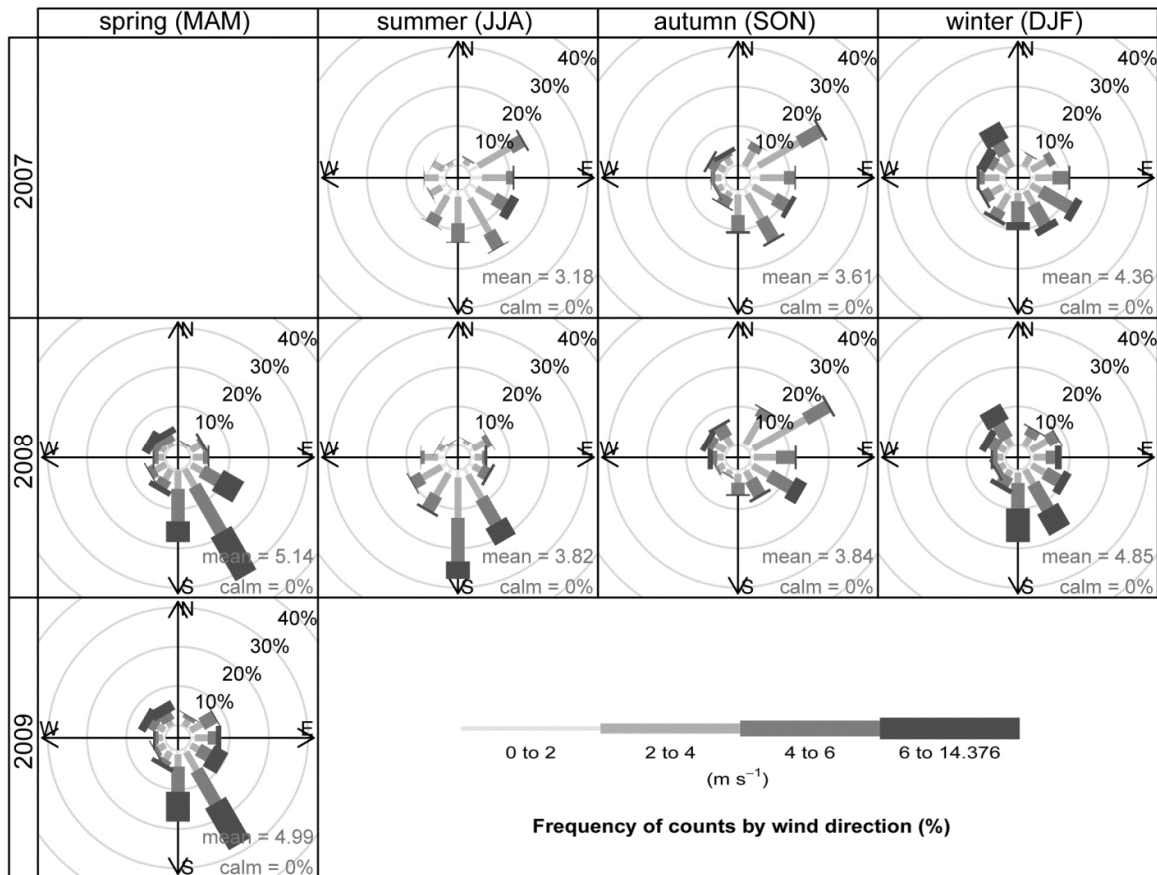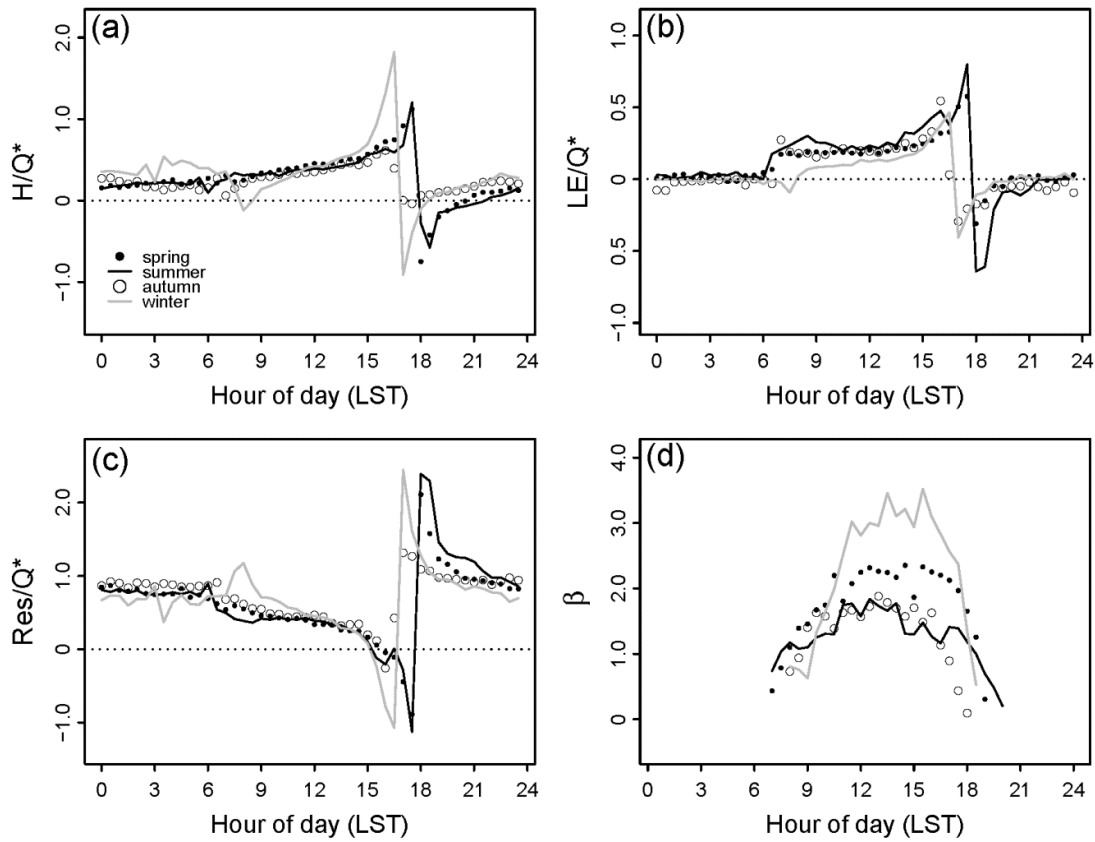
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Q* (W m^{-2}) H (W m^{-2})

JJA'07, SON'07, DJF'08, MAM'08, JJA'08, SON'08, DJF'09, MAM'09

Hour of day (LST)

(a) (b)

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Figure 3. Boxplots of seasonal diurnal variation of median energy fluxes of (a) $Q*$, (b) H, (c) LE and (d) *Res* during the study period.
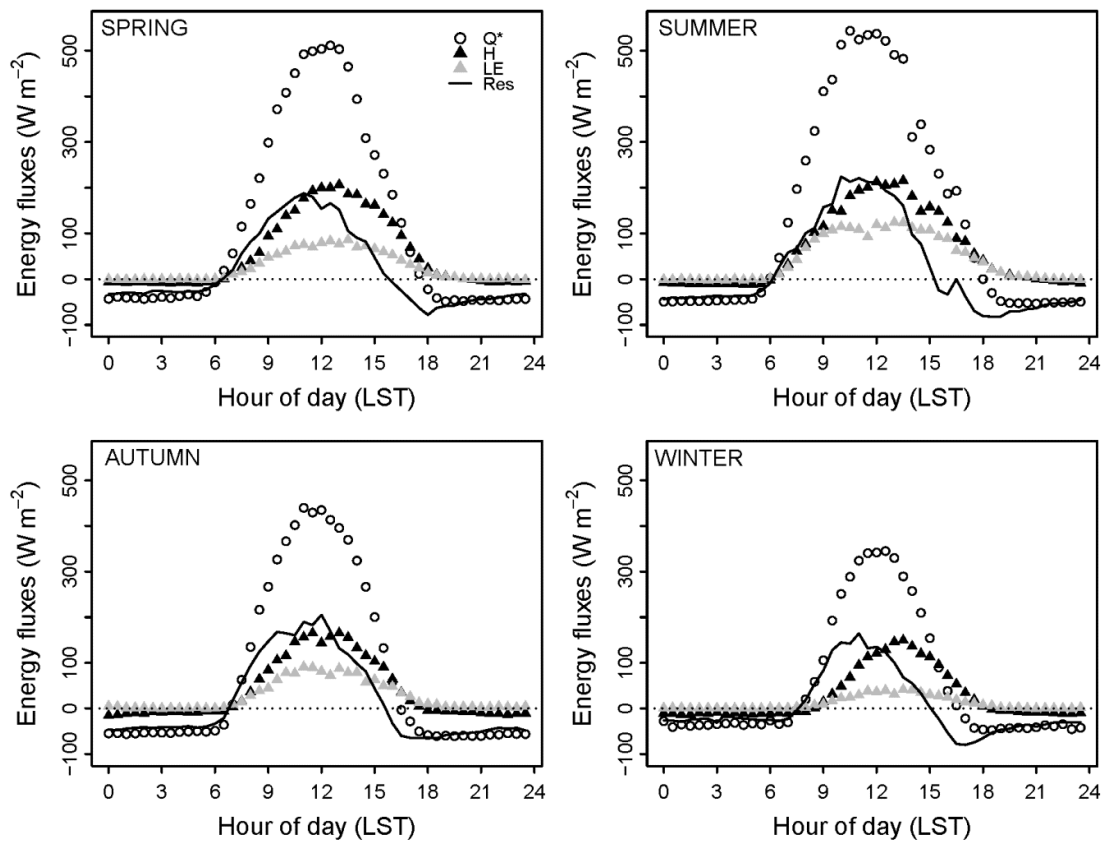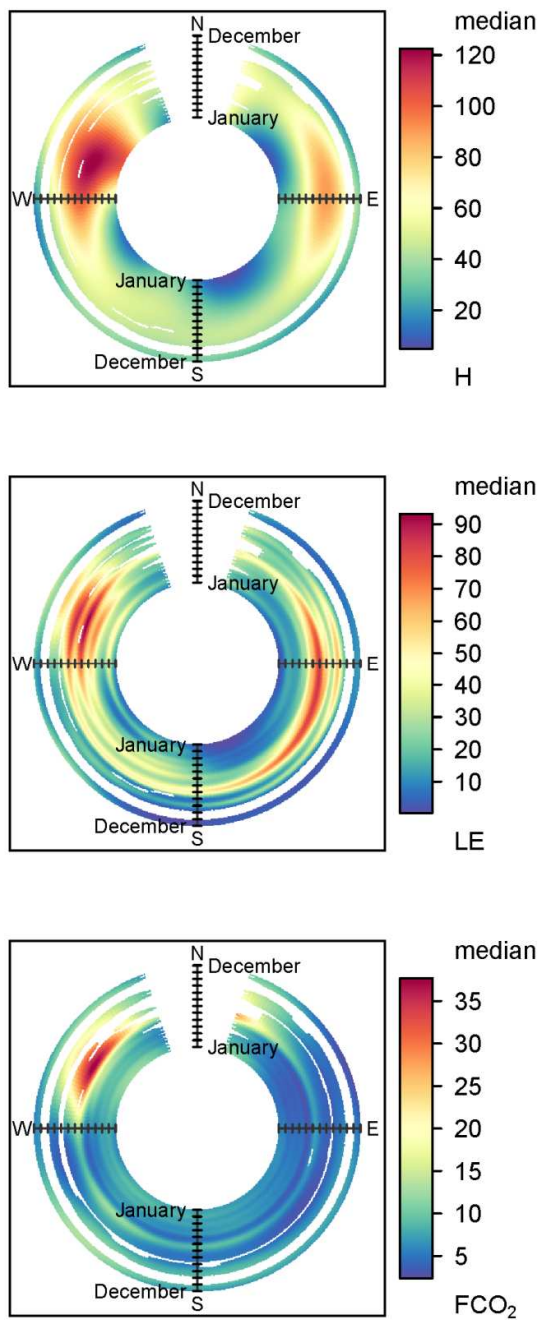
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610   Figure 4. Comparative median diurnal variation of energy fluxes as a function of season.
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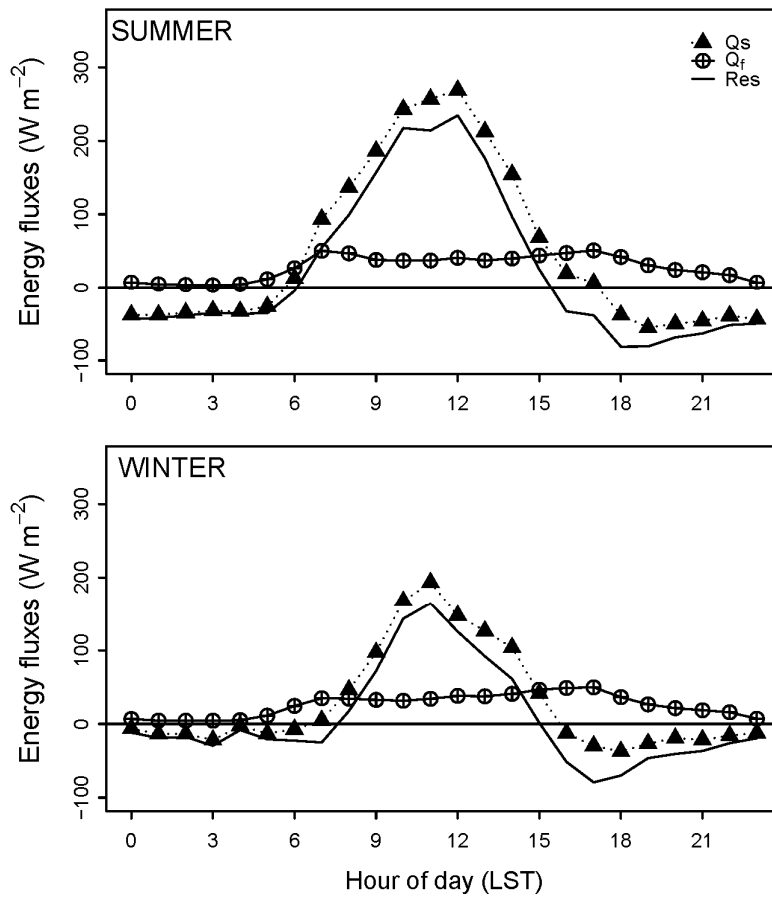614

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616 Figure 5. Median seasonal, diurnal variation of flux ratios: (a) H/Q^*, (b) LE/Q^*, (c) Res/Q^* and (d) β
617 (=H/LE). Bowen ratio in (d) is drawn for daytime only (Q^*>0) for each season.
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624 Figure 6. Monthly flux by wind direction of median H (top), LE (middle) and CO_2 fluxes (μmol m^{-2} s^{-1})
625 (bottom). The radial axis indicates the time of year (month).
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628   Figure 7. Calculated *ΔQs* (black triangles) in summer and winter along with flux-weighted Q_f (cross circle)

629   and *Res* (black line).

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Highlights

- Seasonal energy flux measurements were conducted in a subtropical urban area
- Anthropogenic heat emissions were estimated via a residual method and an inventory
- Local anthropogenic heat sources were partially revealed
- A new "footprint-weighted inventory approach" was introduced