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## **Proving Non-Deterministic Computations in Agda\***

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We investigate proving properties of Curry programs using Agda. First, we address the functional correctness of Curry functions that, apart from some syntactic and semantic differences, are in the intersection of the two languages. Second, we use Agda to model non-deterministic functions with two distinct and competitive approaches incorporating the non-determinism. The first approach eliminates non-determinism by considering the set of all non-deterministic values produced by an application. The second approach encodes every non-deterministic choice that the application could perform. We consider our initial experiment a success. Although proving properties of programs is a notoriously difficult task, the functional logic paradigm does not seem to add any significant layer of difficulty or complexity to the task.

## **1** Introduction

The growing interest in software correctness has led to a variety of approaches for proving, or increasing one's confidence, that a program computes what is intended. Examples of this include *formal verification* [11], *design by contract* [22], *program analysis* [23] and *model checking* [21]. We are interested in the *functional correctness* of a program, i.e., the property that each input to the program produces the intended output. Agda [24], a language and system based on *dependent types*, is designed for this purpose.

Agda programs define types and functions. Types are seen as statements, and functions as constructive proofs of those statements according to the Curry-Howard isomorphism [15]. Agda functions resemble those of Haskell [26]. Agda types extend Haskell's type system since definitions can be parameterized by (depend on) values of other types. We are interested in proving properties of Curry programs [18]. Curry programs define types and functions that resemble those of Haskell as well, but with a key difference: A "function" in Curry can be non-deterministic. Loosely speaking, this means that for the same input, the function can return one of a set of values. These *non-deterministic functions* are not functions in the mathematical sense, but using them as functions is convenient. In particular, functional application and functional nesting provide optimally lazy non-deterministic computations [1].

For example, the following Curry code defines a function perm, that takes a list of elements and returns any permutation of the list non-deterministically.

```
perm [] = []
perm (x:xs) = ndinsert x (perm xs)
ndinsert x [] = x:[]
ndinsert x (y:ys) = x:y:ys ? y:ndinsert x ys
```

The question mark in the last rule denotes the *choice* operation defined by the rules:

S. Schwarz and J. Voigtländer (Eds.): 29th and 30th Workshops on (Constraint) Logic Programming and 24th International Workshop on Functional and (Constraint) Logic Programming (WLP'15/'16/WFLP'16). EPTCS 234, 2017, pp. 180–195, doi:10.4204/EPTCS.234.13

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 $\begin{array}{cccc} x & ? & y &= x \\ x & ? & y &= y \end{array}$ 

The semantics of this definition differs from Agda's and Haskell's. In the full spirit of declarative programming, there is no textual order among these rules. Thus, a value of t ? u is any value of t or any value of u. The *choice* is substantially equal to McCarthy's *amb* operator [20].

Our goal is using Agda to prove the correctness of Curry programs. This must take into account where Curry differs from Adga, e.g., non-determinism, the order of evaluation, and non-termination. Non-determinism may cause some computations to fail, and non-termination is essential for Curry's lazy evaluation. While all these differences are relevant, the crucial one to handle is non-determinism.

First, we discuss a class of programs that substantially is the same in Agda and Curry, hence proving the functional correctness of an Agda program in this class proves it for Curry as well. Then, we discuss two techniques to cast non-deterministic Curry programs into programs in the previous class, hence extending proofs to non-deterministic programs. The proofs and libraries discussed in this paper are available at github.com/mihanus/curry-agda.

## 2 Curry

Before discussing our techniques for proving properties of Curry programs, we briefly review some aspects of functional logic programming, and Curry, that are necessary to understand the content of this paper. More details can be found in recent surveys on functional logic programming [6, 17].

Curry [18] is a declarative, multi-paradigm language, intended to combine the most important features of functional and logic programming. The syntax of Curry is close to Haskell [26], only it is extended by allowing *free* (*logic*) variables in conditions and in right-hand sides of rules. Moreover, the patterns of a defining rule can be non-linear, i.e., they might contain multiple occurrences of a variable, which is an abbreviation for equalities between these occurrences.

The following simple program shows the functional and logic features of Curry. It defines an operation "++" to concatenate two lists, which is identical to the Haskell encoding. The second operation, ndins, shows an alternative definition of the non-deterministic list insertion shown in the introduction.<sup>1</sup>

(++) :: [a] $\rightarrow$ [a] $\rightarrow$ [a]	ndins :: a $ ightarrow$ [a] $ ightarrow$ [a]
[] ++ ys = ys	ndins x xs   xs == ys++zs
(x:xs) ++ ys = x : (xs ++ ys)	<pre>= ys++[x]++zs where ys,zs free</pre>

Function calls can contain free variables. They are evaluated lazily where free variables, as demanded arguments, are non-deterministically instantiated. Since it is also known that free variables can be replaced by non-deterministic functions (value generators) [5], we mainly consider non-deterministic functions instead of free variables in this paper. Another major difference from Haskell is that the rules defining an operation are not applied in their textual order. Any rule which is applicable to some expression is applied non-deterministically to evaluate this expression. Thus, if Curry evaluates a call to the choice operation "?" defined in the introduction, both rules are applicable. Hence, the expression "0 ? 1" evaluates to 0 and 1 with the value non-deterministically chosen.

Although non-deterministic functions are a useful programming feature that leads to new design patterns [7], they also cause semantic subtleties that need to be clarified. For instance, consider the following function to double the value of an integer number:

double x = x + x

<sup>&</sup>lt;sup>1</sup>Note that Curry requires the explicit declaration of free variables, as ys and zs in the rule of ndins, to ensure checkable redundancy.

One would expect that the result of this operation is always an even number. Actually, we will show in Sect. 6 how to prove this property with Agda. However, it is not obvious that this property holds with respect to non-deterministic computations. For instance, one might consider the following derivation:

double (0?1) ightarrow (0?1) + (0?1) ightarrow 0 + (0?1) ightarrow 0 + 1 ightarrow 1

In this derivation, we use two different values for a single argument. Although one might consider such derivations as acceptable, which is known as a *run-time choice* semantics, they come with a potential problem. The result of such computations depends on the evaluation strategy—an aspect that should be avoided in a declarative language. For instance, 1 cannot be obtained as a result of double (0?1) with respect to an eager (innermost) evaluation. Therefore, Curry and similar functional logic languages adapt the *call-time choice* semantics [19] to obtain a strategy-independent combination of functional and non-deterministic programming. Intuitively, an argument passed to a function always has a unique value. This does not mean that one cannot use non-deterministic functions as arguments, but it implies that a call like double (0?1) is interpreted like two calls, double 0 and double 1. The details of this semantics, known as the CRWL rewriting logic, can be found in [16].

## 3 Agda

Agda is a dependently typed functional language, [9, 25] and is based on intuitionistic type theory. Similar to Curry, Agda datatypes are introduced by a data declaration, which gives the name and type of the datatype as well as the constructors and values in that type. Agda's types are much more general than Curry's, because type definitions may depend on values, e.g., the type of lists of a given length. Agda functions are also required to produce a result for every application. Thus, a function definition must cover a complete set of patterns and an application must terminate for every argument. The parameter passing mode of a function application is by-value, i.e., the arguments are normalized before the application. Very loosely speaking, Adga has a richer set of types, whereas Curry has a richer set of functions.

An Agda program typically defines some types, and functions over these types. A later example defines the functions "+", which takes two natural numbers (in unary representation) and returns their sum, and even, which takes a natural number and returns a Boolean value telling whether the argument is an even number. A third function, whose identifier is even-x+x, takes a natural x, and has the return type even  $(x + x) \equiv tt$ , where tt is the Boolean value *true*, " $\equiv$ " is the propositional equality, and "+" and even were previously defined. According to the Curry-Howard isomorphism [15], the existence of a function of this type, witnessed by its definition, proves the statement captured by the return type: for every natural x, x + x is even.

Our goal is to prove statements about Curry functions. Thus, we will define types that capture the statements we wish to prove, and encode, when we can, definitions of functions of these types. These will be the proofs of the statements. An obvious problem is that Curry allows us to encode functions that cannot be encoded in Agda, and even those that are syntactically similar in the two languages have significant semantic differences. Therefore, we will restrict the functions we consider, and discuss the validity of the statements that we prove despite the semantic differences.

## 4 Non-determinism

In this section we discuss two approaches to model non-deterministic computations in Agda. Consider the function perm defined in the introduction. The Curry evaluation of perm [1,2,3] may return [2,1,3], or any of the six permutations of the argument. Suppose that we wish to prove that for any list L, perm L has the same length as L. A crucial task is modeling perm in Agda, which does not allow non-determinism. To understand our approaches, let us first develop a hypothetical computation of perm [1,2,3] in Curry. We take some liberties that will rectify shortly:

perm	[1,2,3]	$\rightarrow$	ndinsert 1 (perm [2,3])	(1)
		$\rightarrow$	ndinsert 1 [2,3]	(2)
		$\rightarrow$	[1,2,3] ? 2 : ndinsert 1 [3]	(3)
ightarrow 2 : ndinsert 1 [3] $ ightarrow$ [2,1,3]		2 : ndinsert 1 [3]	(4)	
		[2,1,3]	(5)	

In line (1) we eagerly evaluate perm [2,3] and arbitrarily assume that it returns [2,3]. In line (3) we arbitrarily choose the right argument of "?". In line (4) we eagerly evaluate ndinsert 1 [3], and arbitrarily assume that it returns [1,3].

Since we are going to code perm in Agda, we must adopt eager evaluation. In Curry the order of evaluation does not affect the result of a computation, as long as the result is obtained. Thus, for strongly terminating programs, the functional correctness of a program is independent of eager vs. lazy evaluation. The real problem is to model the somewhat arbitrary choices made by non-deterministic functions.

#### 4.1 Set of values

Our first approach encodes a Curry non-deterministic function f into an Agda function f', which must be deterministic. For any given argument, f' returns the set of all the values that f could possibly return. To this aim, we declare a set-like structure as follows:

data ND (A : Set) : Set where Val : A  $\rightarrow$  ND A \_??\_ : ND A  $\rightarrow$  ND A  $\rightarrow$  ND A

The constructor Val abstracts a deterministic value in the set. The constructor "??" makes a set out of two sets, and captures a non-deterministic choice.

For example, type ND is used to code an Agda function, perm, that computes the set of all the permutations of its argument.

The functions "\$\*" and "\*\$\*" are defined in a library that we have developed for our purpose (see Sect. 5). Function "\$\*" is a standard *map* function over the ND structure, i.e., it applies the first argument, a deterministic function, to every value of the second. Function "\*\$\*" is also a *map*, but its first argument is a non-deterministic function.

With this infrastructure, the statement that any permutation of a list L has the same length as L is formalized as follows:

In the above statement, satisfy is another library function, which is infix, with the meaning intended by its name. The symbols " $=\mathbb{N}$ " and " $\equiv$ " stand for natural number equality and propositional equality, respectively. The value tt stands for the Boolean value *true*. Informally, the statement is read as "Given any list xs of elements in any set a, any value ys of perm xs satisfies the condition length ys = length xs, where length is the usual function that computes the length of a list." The proof of this claim requires a few lemmas, and is approximately one page long.

### 4.2 Planned choices

Our second approach encodes a Curry non-deterministic function f into a deterministic Agda function f', that takes an extra argument. This argument abstracts the non-deterministic choices made by f during the computation of a result. By passing these choices, f' becomes deterministic and executes the same steps, and produces the same result, as f.

Referring to our non-deterministic computation in the last section, the extra argument of perm will encode that, in line (3), the right argument of "?" has to be selected. Many proofs of non-deterministic computations involve statements that hold for each non-deterministic value, as in our previous example. Therefore, these statements are independent of the choices made during the computation. For this reason the extra argument that encodes the choices is abstract, i.e., never has a concrete value.

With this approach, we parameterize an Agda module with four abstractions:

- 1. Choice : Set a type abstracting non-determinism. A value of this type plans the choices that will be made by a non-deterministic computation.
- 2. choose : Choice  $\rightarrow \mathbb{B}$  a function that returns whether the left or right argument of "?" should be selected.
- 3. lchoice : Choice  $\rightarrow$  Choice a function that produces choices from choices. The intent is that the choices of the result are independent of the choices of the argument. The reason for this function will be discussed shortly.
- 4. rchoice : Choice  $\rightarrow$  Choice -a function like the previous one, but it produces other independent choices.

With this machinery, the function that computes a permutation of a list is defined as follows:

The first required argument of these functions is the plan of the non-deterministic choices. For example, in the second rule of ndinsert, this argument determines whether or not argument x should be inserted at the front of the second argument.

The second rule of perm justifies the necessity of functions lchoice and rchoice. Both perm and ndinsert make non-deterministic choices. The choices made by one function must be independent of the other, otherwise some intended result could be lost. Hence we need to "fork" the choices encoded by argument ch.

With this infrastructure, the statement that any permutation of a list L is as long as L is formalized as follows:

Informally, the statement is read as "Given any plan of non-deterministic choices ch, and any list xs of elements in any set a, the length of the permutation of xs according to ch is the same as the length of xs." The proof is only a few lines long.

## 5 Libraries

In order to support the direct translation of Curry programs to Agda, and to prove theorems about the translated programs, we developed Agda libraries containing some ubiquitous functions and theorems. In particular, the encoding presented in Sect. 4.1 demands such a support. Therefore, we consider only this encoding in this section.

Any Curry function can be non-deterministic. Hence, in our "set of values" encoding, any translated function has a result of type ND and must accept arguments of type ND. Thus, a Curry function of type

```
	au_1 \hspace{0.1 cm} 
ightarrow \hspace{0.1 cm} \cdots \hspace{0.1 cm} 
ightarrow \hspace{0.1 cm} 	au_n \hspace{0.1 cm} 
ightarrow \hspace{0.1 cm} 	au
```

should be translated into an Agda function of type

ND  $au_1$  ightarrow  $\cdots$  ightarrow ND  $au_n$  ightarrow ND au

Since the type ND has two constructors, a direct definition of these Agda functions would be lengthy, and would also results in lengthy and tedious proofs. We can simplify the translation based on the following observations:

- Some definitional cases are identical for all functions. An argument of the form  $t_1$  ??  $t_2$  leads to a non-deterministic result. The function is applied to both alternatives  $t_1$  and  $t_2$  and their results are combined with "??" (this is also called a "pull-tab" step in [3]).
- Curry functions are defined with patterns in the left-hand side. It should be sufficient to define the translated Agda functions on patterns, and use the ND type only in the right-hand side.

Therefore, we translate a Curry function of the type shown above into an Agda function of type

 $au_1 \ 
ightarrow \ \cdots \ 
ightarrow \ au_n \ 
ightarrow \ ext{ND} \ au$ 

which allows a more direct translation. We can even improve this translation by analyzing the operation in more detail. If the function is deterministic, i.e., it does not execute non-deterministic choices and calls only deterministic functions, we can omit the ND type in the result. This gives us a one-to-one correspondence between deterministic Curry functions and their Agda translation.

However, if constructors or functions are applied to other non-deterministic functions in the righthand side of a defining rule, this application is no longer directly possible, because they do not accept arguments of type ND. Therefore, our Agda library provides two operations to extend such application into a non-deterministic context:

1. In order to apply a constructor or deterministic function to a non-deterministic expression, we define the application operator "\$\*":

\_\$\*\_ : {A B : Set}  $\rightarrow$  (A  $\rightarrow$  B)  $\rightarrow$  ND A  $\rightarrow$  ND B f \$\* (Val xs) = Val (f xs) f \$\* (t1 ?? t2) = f \$\* t1 ?? f \$\* t2

Thus, "\$\*" applies a deterministic function to all values (first rule) and distributes a non-deterministic choice to non-deterministic results (second rule). An application of "\$\*" was shown in the translation of ndinsert in Sect. 4.1.

2. To apply a non-deterministic function to a non-deterministic expression, we define the function "\*\$\*":

```
_*$*_ : {A B : Set} \rightarrow (A \rightarrow ND B) \rightarrow ND A \rightarrow ND B f *$* (Val x) = f x f *$* (t1 ?? t2) = f *$* t1 ?? f *$* t2
```

The behavior on choices is similar to "\*". However, for each value x, the non-deterministic function f is applied to obtain a non-deterministic value (first rule). Therefore, "\*" is used to combine nested calls of non-deterministic functions. The extension to non-deterministic functions of arities greater than one can be obtained by nested applications of this operator. An example application was shown in the translation of perm in Sect. 4.1.

In addition to these functions that support the translation of Curry functions into Agda functions, our library also includes various operations on the ND type. These operations support the formulation of theorems about the translated Curry programs in Agda. In general, we are interested in proving properties that are satisfied by all computed values, like the length property of permutations shown in Sect. 4.1. For this purpose, we define a predicate satisfy (as an infix operator) which is true if all values in a set satisfy a given predicate:

```
_satisfy_ : {A : Set} \rightarrow ND A \rightarrow (A \rightarrow B) \rightarrow B
(Val n) satisfy p = p n
(t1 ?? t2) satisfy p = t1 satisfy p && t2 satisfy p
```

The use of this predicate to formulate a property about the non-deterministic perm function was shown in the statement perm-length in Sect. 4.1.

When one tries to prove such statements, one often needs to prove lemmas about properties of the involved functions, like "\*" and "\*". In order to simplify these proofs, we also defined and proved a library of Agda theorems to relate these functions with predicates like satisfy. For instance, the following lemma relates "\*" and the predicate satisfy (where  $\circ$  denotes function composition, i.e., (f  $\circ$  g) x  $\equiv$  f (g x)):

```
satisfy-$* : {A B : Set} \rightarrow (f : A \rightarrow B) (xs : ND A) (p : B \rightarrow B)
\rightarrow (f $* xs) satisfy p \equiv xs satisfy (p \circ f)
```

This lemma allows us to eliminate a call to "\$\*" by moving the applied function f inside the predicate. The proof of this lemma is easily done by induction on the tree structure ND.

The formulation of a similar lemma for "\*\$\*" is a bit more involved since the applied function is non-deterministic. However, if we assume the existence of a proof that this function already satisfies the predicate for all values (given as the last argument to this lemma), we can eliminate a call to "\*\$\*":

Our library contains more predicates and lemmas that are useful to state and prove specific properties of Curry programs. For instance, to show that some non-deterministic Boolean expression is always true, one can use the following predicate:

always : ND  $\mathbb{B} \to \mathbb{B}$ always (Val b) = b always (t1 ?? t2) = always t1 && always t2

A lemma to eliminate an occurrence of "\$\*" in the context of always is the following:

 It states that, if there is a proof that p is always true, the application of p to a non-deterministic value is also true. An application of this lemma is shown in the following section, where we discuss some examples to prove properties of Curry programs.

### 6 Examples

#### 6.1 Example 1

In this example, we assume that natural numbers are defined in Peano representation as follows:

data Nat = Z | S Nat

The following Curry function non-deterministically yields an even and an odd number close to its input value:

eo :: Nat  $\rightarrow$  Nat eo n = n ? (S n)

To show some interesting property related to eo, consider the function double, shown in Sect. 2, and the predicate even:

even :: Nat  $\rightarrow$  Bool even Z = True even (S Z) = False even (S (S x)) = even x

We want to prove that, for all natural numbers n, even (double (eo n)) is always True. Note that this property holds only for the call-time choice semantics of Curry (see Sect. 2). In the run-time choice semantics, or term rewriting, this property does not hold.

To prove this property with Agda, we have to translate the program to Agda. Since double and even are deterministic functions, they can be directly translated into Agda functions, where the constructors of the Curry program are translated into corresponding constructors already defined in Agda:

double : $\mathbb{N}$ $ ightarrow$ $\mathbb{N}$	$\texttt{even} \ : \ \mathbb{N} \ \rightarrow \ \mathbb{B}$	
double $x = x + x$	even zero	= tt
	even (suc 0)	= ff
	even (suc (suc x))	= even x

Since non-determinism is not involved here, we can prove that even (double n) is always true by standard techniques. For this purpose, we state the lemma

even-x+x : (x :  $\mathbb{N}$ )  $\rightarrow$  even (x + x)  $\equiv$  tt

which is immediately proved by induction on its argument. Exploiting this lemma, we can prove our intended property:

even-double-true :  $(x : \mathbb{N}) \rightarrow$  even (double x)  $\equiv$  tt even-double-true x rewrite even-x+x x = refl

In order to prove our initial property about the expression even (double (eo n)), we have to decide about the representation of non-determinism introduced in Sect. 4. If we choose the "set of values" representation, the function eo is defined as follows:

eo :  $\mathbb{N}$   $\rightarrow$  ND  $\mathbb{N}$  eo n = Val n ?? Val (suc n)

Using the library functions presented in the previous section, the Curry expression even (double (eo n)) is represented in Agda as:

(even  $\circ$  double) \$\* (eo n)

The proof of our property is just an application of the lemma always-\$\* (see Sect. 5) to the previous lemma:

The second "choice" representation of non-determinism provides for a more direct proof. We pass the plan of non-deterministic choices as the first argument to eo:

eo : Choice  $ightarrow \mathbb{N} 
ightarrow \mathbb{N}$  eo n = if choose ch then n else (suc n)

Then the proof is an immediate application of the lemma even-double-true:

```
even-double-eo-true : (ch: Choice) (n : \mathbb{N}) \rightarrow even (double (eo ch n)) \equiv tt even-double-eo-true ch n = even-double-true (eo ch n)
```

As we can see, the proof with the "choice" representation is more direct, and shorter, than with the "set of values" representation, as already mentioned in Sect. 4 for the property of the length of permutations.

#### 6.2 Example 2

So far we have proved properties about predicates, however, we have not discussed any notion of equality. In Agda, two terms are equal if their values are syntactically equal. This is denoted by the standard definition:

data \_=\_ {A : Set} (x : A) : A  $\rightarrow$  Set where refl : x  $\equiv$  x

e.g.,  $1+1 \equiv 2$ .

However, we cannot apply this relation to non-deterministic values for the simple reason that deterministic values and non-deterministic values are structurally different. For example, given the nondeterministic value:

coin = (Val tt) ?? (Val ff)

it would seem reasonable to state that  $tt \equiv coin$ , but coin reduces to (Val tt) ?? (Val ff) which is not the same value as tt.

Instead, we must define a new notion of equality for non-deterministic values. We chose an existential interpretation of equality for our representation. If a value x exists inside the set of values of a non-deterministic expression nx, then the two are considered equivalent (non-deterministically equal). A proof of equivalence is then reduced to finding a path through the tree representing nx. This leads to the following structure for proofs of equivalence.

```
data _{_ \in {A : Set} (x : A) : (nx : ND A) \rightarrow Set where
ndrefl : x \in (Val x)
left : (l : ND A) \rightarrow (r : ND A) \rightarrow x \in l \rightarrow x \in (l ?? r)
right : (l : ND A) \rightarrow (r : ND A) \rightarrow x \in r \rightarrow x \in (l ?? r)
```

Now we can give a proof that tt is in coin

This can be read as tt is on the left side of the tree (Val tt) ?? (Val ff).

Now that we have a notion of equivalence, we can prove some more interesting results. Recall that "\*\*" applies a function f to every value of a non-deterministic expression nx. For consistency, it would be good to prove that if  $x \in nx$  then f  $x \in f$  \* nx. This is a simple structural induction.

 $\begin{array}{l} \in -\$ : \{A \ B \ : \ Set\} \rightarrow (f \ : \ A \rightarrow B) \rightarrow (x \ : \ A) \rightarrow (nx \ : \ ND \ A) \\ \rightarrow x \in nx \rightarrow f \ x \in f \ \$ \ nx \\ \in -\$ \ f \ x \ (Val \ .x) \ ndrefl = ndrefl \\ \in -\$ \ f \ x \ (l \ ?? \ r) \ (left \ .l \ .r \ k) = left \ (f \ \$ \ l) \ (f \ \$ \ r) \ (\in -\$ \ f \ x \ l \ k) \\ \in -\$ \ f \ x \ (l \ ?? \ r) \ (right \ .l \ .r \ k) = right \ (f \ \$ \ l) \ (f \ \$ \ r) \ (\in -\$ \ f \ x \ r \ k) \end{array}$ 

We can prove a similar result for "\*\$\*". The proof is nearly identical to  $\in$ -\$\*, but it has the general type:

For a more interesting example, consider the problem of sorting a list. The problem of determining if a list is sorted has been studied extensively, but we would like to look at a conceptually more difficult problem. How can we determine if a sorted list is actually a permutation of the original list?

This has also been studied before now, and the solutions tend to be long and difficult to follow. A search of "github," "agda" and "sort" gives half a dozen independent efforts. Since we defined the non-deterministic permutation function above, it would be nice to have a theorem like (for the sake of simplicity, we consider sorting natural numbers):

sortPerm : (xs :  $\mathbb{L}$   $\mathbb{N}$ )  $\rightarrow$  sort xs  $\in$  perm xs

This is short, simple, and obviously correct if we trust perm to produce only permutations of a list. For this proof we will be using the following simple insertion sort.

We only need two lemmas. The first states that non-deterministic equivalence holds over conditional statements. This result allows us to introduce conditional statements when talking about equivalence.

The second lemma is an equivalence between our insert function and the non-deterministic ndinsert defined above. This will give the intuitive result that, for any list xs, inserting a new element does not violate our non-deterministic equivalence. The type of this lemma is given by:

insert=ndinsert : (y :  $\mathbb N)$   $\rightarrow$  (xs :  $\mathbb L$   $\mathbb N)$   $\rightarrow$  insert y xs  $\in$  ndinsert y xs

The proof of this lemma contains two trivial cases, but the final case gives some insight into working with non-deterministic values. The idea is straightforward. Either the value y is inserted at the front of the list, or it is inserted somewhere in the tail. Since our non-deterministic ndinsert covers both of those cases we do not need to know exactly where it is inserted. We just pick the correct case.

The full code is given below for completeness. Variables 1 and r represent the left and right branches in insert respectively, while nl and nr are the corresponding branches for ndinsert. Additionally, rec is a recursive case if y is inserted in the tail of the list, Finally  $l \in step$  and  $r \in step$  are proofs that 1 and r are somewhere in the result of inserting y into the list.

```
insert=ndinsert y (x :: xs) = ifIntro l r step l∈step r∈step (y < x) where
step = ndinsert y (x :: xs)
l = (y :: x :: xs)
r = x :: insert y xs
```

```
nl = Val (y :: x :: xs)
nr = (_::_ x) $* (ndinsert y xs)
rec = {-$* (_::_ x) (insert y xs) (ndinsert y xs) (insert=ndinsert y xs)
l{= step = left nl nr ndrefl
r{= step = right nl nr rec
```

Finally, we have the tools to prove our sorting theorem. After the previous result the theorem is remarkably simple.

## 7 Partial Functions

Up to now we have considered non-deterministic but totally defined functions in our examples. However, functions in Curry can also be partially defined, and failures caused by such partial definitions are not a run-time error as in purely functional programming but a desirable feature that frees the programmer from specifying all computation details in a program. For instance, consider the problem of selecting a minimum element in a list of numbers. Such a function is easily defined in Curry:

 $\begin{array}{rll} \texttt{minND} & :: & [\texttt{Int}] & \rightarrow & \texttt{Int} \\ \texttt{minND} & \texttt{xs0(\_ ++ [x] ++ _) | all (x<=) xs = x} \end{array}$ 

To specify the selection of an arbitrary element without a concrete strategy, we use a functional pattern [4] in the left-hand side of minND. The condition restricts the application of the rule to all selected elements that are less than or equal to all other elements. Thus, the condition fails on elements that are not minimal.

Although computations with failing branches are an important feature of functional logic languages, the modeling of failures in Agda is not straightforward. First of all, Agda requires that all functions are totally defined. Typically, the application of partially defined functions, like head or tail on lists, is restricted by a refined type, e.g., by requiring a proof that the argument of head or tail is a non-empty list. In our case this is not a reasonable solution since a Curry program actually deals with failed computations.

Another alternative to deal with partially defined functions is to extend the result type to indicate a non-successful application of a function. For instance, one can use the Maybe type to define head as a total function in Agda:

```
head : {A : Set} \rightarrow \mathbb{L} A \rightarrow maybe A
head (x :: _) = just x
head [] = nothing
```

Unfortunately, this modeling is limited to "top-level" failures. For instance, doing the same for tail would inhibit nested applications of tail due to typing reasons.

Another, and more serious, problem is the fact that Curry, as a lazy language, can also ignore failures if they occur in arguments that are not demanded. For instance, the Curry expression

head (0 : tail [])

evaluates to 0 since the failure, which occurs if tail [] is evaluated, is ignored thanks to laziness. On the other hand, in Agda one cannot construct a list containing failures in the tail. To implement a similar expression in Agda, one has to evaluate, before constructing the list, the tail tail [], which returns

nothing, so that the entire computation fails. This has the consequence that, if we prove in Agda some property about *all* successful computations, it might not be transferable to Curry, because Curry might produce additional successful computations.

A possible solution to this problem could be a different modeling of Curry's data structures in Agda. For instance, instead of mapping Curry lists to Agda lists, one could include failures and non-deterministic choices as list constructors:

```
data List (A : Set) : Set where
empty : List A
cons : A \rightarrow List A \rightarrow List A
Fail-List : List A
_??-List_ : List A \rightarrow List A \rightarrow List A
```

Then one can completely define tail on this structure:

```
tail : {A : Set } \rightarrow List A \rightarrow List A
tail (cons _ xs) = xs
tail empty = Fail-List
tail Fail-List = Fail-List
tail (l1 ??-List l2) = tail l1 ??-List tail l2
```

With such a representation, we can "totalize" all functions, and allow failures inside data structures. Basically, it is quite similar to the translation of Curry programs into Haskell, which is the basis of the Curry implementation KiCS2 [10]. However, it is also known that this translation models the run-time choice semantics instead of the call-time choice semantics used in Curry (see Sect. 2). To obtain a call-time choice semantics, one can attach unique identifiers to each choice constructor in order to make consistent selections of computed values (see [10] for details). Although this is a systematic method to translate Curry programs into purely functional programs, it does not seem adequate for Agda since the generation of unique identifiers requires infinite data structures, and the resulting code is quite complex, requiring more complex proofs.

Although the handling of partially defined functions is difficult in general, one can still use Agda to prove properties of such functions, if the failures occur at the computation's top-level so that one can reason about their occurrences. For instance, consider the well-known functional logic definition of computing the last element of a list by exploiting the list concatenation "++":

```
last :: [a] \rightarrow a
last xs | ys ++ [x] == xs = x where ys,x free
```

We want to show that last is a deterministic operation, i.e., for a fixed input list xs, the evaluation of last xs cannot yield two different results. Although the definition of last is partial, and uses free variables and non-determinism, the formulation and proof of this property is not difficult in Agda by considering only the condition of last. We show that, if there are two pairs of values for ys and x that satisfy the condition for some fixed xs, the two values for x are identical. This property can be expressed (with some type specialization to increase readability) in Agda as follows:

```
last-det : (ys1 ys2 : \mathbb{L} \mathbb{N}) (x1 x2 : \mathbb{N})

\rightarrow =\mathbb{L} =\mathbb{N} (ys1 ++ [x1]) (ys2 ++ [x2]) \equiv tt \rightarrow x1 =\mathbb{N} x2 \equiv tt
```

As a further example, consider again the non-deterministic and partial function minND from the beginning of this section. We want to implement the same functionality in a deterministic manner by a list traversal:

Since the correctness of this list traversal is not obvious, we take the definition of minND as the specification and prove that minD satisfies the specification, a typical task in (declarative) program development [8]. If we model minND with the "planned choices" translation, this property could be formulated in Agda as follows (the additional argument in the Agda function minD is a proof that the argument list is not empty; this is required since minD is partially defined but Agda accepts only total functions):

We use a maybe result type to express the partiality of the non-deterministic function  $\min ND$  and a proof of non-emptiness for the partiality of the deterministic function  $\min D$  (as discussed above). Then the theorem states that, if z is a minimal value according to the specification, it will be computed by the deterministic implementation. The proof is non-trivial and requires various lemmas. In particular, the proof is split into showing that the non-deterministically selected element is smaller than, or equal to, than all other list elements, but it cannot be strictly smaller than all others since it is itself an element from the list.

## 8 Semantics

Since we prove properties of Agda functions, but are interested in properties of Curry functions, we discuss how to migrate from one environment to the other.

Initially, we consider datatypes, such as algebraically defined natural numbers, lists and trees, and functions operating on these types that have equal definitions, except for minor syntactic differences, in the two languages. We call the set of such types and functions the *intersection* of the two languages. For example, a function such as "length of a list", returning a natural number, is in the intersection. Agda functions, including those in the intersection, are totally defined, terminating, and evaluated eagerly. The rules defining these functions are unconditional, deterministic, and without free variables. Any expression in the intersection has a value, because it is an Agda expression, and this value is the same in the two languages, because in this situation eager and lazy evaluations produce the same result. Thus, our first claim is that any statement about the input/output behavior of a function, i.e., its functional correctness, proved in Agda holds for Curry.

Then, we define Agda functions that simulate non-deterministic Curry functions. For example, a function such as "permutation of a list" simulates the corresponding non-deterministic Curry function. Regardless of the approach, either *set of values* or *planned choices*, these Agda functions are still in the intersection of the two languages. Thus, we can compare a simulated non-deterministic function (in Curry and Agda the behavior is the same) against the same native non-deterministic Curry function. Of course, the expectation is that the simulated and the native functions have the same behavior. Proving this fact would not involve Agda.

Curry has a rich syntax, and some high-level constructs that are convenient for programming, and are not in the intersection with Agda. These constructs can sometimes be mapped to more elementary Curry constructs that are in the intersection of the two languages, hence the set of Curry functions that we can reason about becomes larger. For example, Curry allows free variables in expressions that are instantiated by narrowing [27, 28]. Free variables are replaced by generators [5], non-deterministic functions, that are the focus of our work. Generators are typically non-terminating functions, shortly we will discuss a workaround. Curry also allows conditional rules. With some care, the conditions of conditional rules are moved to the right-hand sides [2]. Care is needed since Agda disallows incompletely defined functions. When there are conditions it may be difficult, or impossible, to tell whether a function is defined for all

inputs. Finally, Curry allows functions that do not terminate because of infinite recursion. When one such function is applied in a computation, the lazy evaluation strategy makes a recursive call only when the result of the call is demanded. To avoid non-termination in Agda, we add an additional argument that limits the number of recursive calls.

## 9 Related Work

There are only a few works on verifying properties of functional logic programs. One reason may be the fact that declarative programs are often considered as clear specifications so that there is nothing to prove about them. However, if one develops a more efficient version of a declarative program, because the initial version is not efficient enough in a particular application context, one wants to prove that the new version behaves like the initial version. This has been formalized in [8], where the notions of specifications and contracts for functional logic programs have been introduced together with some examples and proof obligations. Concrete methods to prove such obligations were not discussed. We have shown in this paper that it is possible to use Agda for this purpose, e.g., by proving that insertion sort computes a permutation of the input list, or that a non-deterministic selection of a minimum of a list is equivalent to a deterministic selection algorithm.

The use of proof assistants to verify functional logic programs has been pioneered in [12], where the call-time choice semantics has been formalized with different proof tools. Although the authors formally justified their translation by mapping the CRWL rewriting logic [16] into logic programs, only trivial properties, like  $0 \in double (0?1)$ , are proved. A similar approach is used in [14] where CRWL is translated into the specification language Maude, and some non-trivial but (compared to our approach) still simple properties are proved.

Since the formalization of the call-time choice semantics causes complex proofs, the proof method has been improved in [13] by a characterization of deterministic functions and expressions, and by using specific proof techniques for them. Although the authors were able to construct short proofs for deterministic properties inside functional logic programs, like the commutativity of addition, proofs for more complex properties have not been reported. These CRWL-translation based approaches can deal with the full class of functional logic programs, whereas our limited framework is able to verify non-trivial properties.

### 10 Conclusion

We have used the Agda system to verify the functional correctness of Curry functions. Our focus has been on Curry's non-deterministic functions, a device akin to a function that returns one of many values for the same input. Such a device contributes the logic component of the language in a lazy functional setting.

We modeled non-determinism in two different ways. One considers at once all the non-deterministic values that a function may return. The other adds an argument to a function that encodes every non-deterministic choice that the function may make. Both models eliminate the non-determinism of a functions and enable us to use Agda, which is deterministic, to prove properties of non-deterministic functions.

We developed some libraries that define non-deterministic structures and prove generic lemmas about them. With the help of these libraries, we proved some interesting properties of Curry programs. We highlighted the semantic differences between Agda and Curry, and discussed why, despite these differences, theorems proved in Agda migrate to Curry.

Proving properties of programs is a difficult task. Our effort shows that proving properties of Curry programs with Adga is possible, and that the logic component of Curry is not a major obstacle.

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