# **1** AICAR and Compound C negatively modulate HCC-induced primary human

# 2 hepatic stellate cell activation in vitro

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- 34 List of abbreviations:
- 35 **hHSC** human hepatic stellate cell
- 36 AMPK adenosine monophosphate-activated kinase
- 37 **ΑΜΡΚα1** AMP-activated protein kinase subunit α1
- 38 **ΑΜΡΚα2** AMP-activated protein kinase subunit α2
- 39 **p-AMPK** phosphorylated AMPK
- 40 **AMPKa1a2-null** AMP-activated protein kinase subunit  $\alpha 1\alpha 2$  deficient
- 41 **MEF** mouse embryonic fibroblasts
- 42 Wt wild type
- 43 BrdU 5-bromo-2-deoxyuridine
- 44 MTS 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-
- 45 tetrazolium inner salt
- 46 **qPCR** quantitative polymerase chain reaction
- 47 **MEF** mouse embryo fibroblasts
- 48 CM Complete medium
- 49 SFM Serum free medium
- 50 **4E-BP1** eukaryotic translation initiation factor 4E-binding protein 1
- 51 AICAR 5-aminoimidazole-4-carboxamide-1-β-d-ribofuranosid
- 52 CC Compound C
- 53 BrdU bromodeoxyuridine
- 54 CCL CC motif chemokine ligand
- 55 ECM extracellular matrix
- 56 ELISA enzyme linked immunosorbent assay
- 57 GAPDH glyceraldehyde 3-phosphate dehydrogenase
- 58 IL interleukin
- 59 **LKB1** liver kinase B1, also called STK11 serine/threonine kinase 11
- 60 **LOX** lysyl oxidase
- 61 **mTOR** mammalian target of rapamycin
- 62 **TIMP** tissue inhibitor of matrix metalloproteinase
- 63 GLUL Glutamate-Ammonia Ligase
- 64 LGR5 Leucine Rich Repeat Containing G Protein-Coupled Receptor 5
- 65 **LAMA3** Laminin Subunit Alpha 3
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# 80 **Conflict of interest**

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#### 88 Authors contributions:

KR designed the study; KB conducted experiments; KR performed HSC isolation; GM, KB,
and LL performed HSC culture; AH, TVL performed ICH, LG and SC performed bioinformatics;
BV provided MEFs; JZR provided HCC cell lines; KB, KR and MP analysed data; KB and KR
wrote the manuscript; KR and MP critically revised the manuscript; all authors have edited
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# 101 Abstract

102 Tumour stroma and microenvironment have been shown to affect hepatocellular carcinoma 103 (HCC) growth, with activated hepatic stellate cells (HSC) as a major contributor in this 104 process. Recent evidence suggests that the energy sensor adenosine monophosphate-105 activated kinase (AMPK) may mediate a series of essential processes during carcinogenesis 106 and HCC progression. Here, we investigated the effect of different HCC cell lines with known 107 TP53 or CTNBB1 mutations on primary human HSC activation, proliferation and AMPK 108 activation. We show that conditioned media obtained from multiple HCC cell lines 109 differently modulate human hHSC proliferation and hHSC AMPK activity in a paracrine 110 manner. Pharmacological treatment of hHSC with AICAR and Compound C inhibited the 111 HCC-induced proliferation/activation of hHSC through AMPK-dependent and AMPK-112 independent mechanisms, which was further confirmed using mouse embryonic fibroblasts (MEFs) deficient of both catalytic AMPK $\alpha$  isoforms (AMPK $^{\alpha 1/\alpha 2^{-/-}}$ ) and wild type (wt) MEF. 113 114 Both compounds induced S-phase cell-cycle arrest and, in addition, AICAR inhibited the 115 mTORC1 pathway by inhibiting phosphorylation of 4E-BP1 and S6 in hHSC and wt MEF. 116 Datamining of the Cancer Genome Atlas (TCGA) and the Liver Cancer (LICA-FR) showed that 117 AMPKa1 (PRKAA1) and AMPKa2 (PRKAA2) expression differed depending on the mutation 118 (TP53 or CTNNB1), tumour grading and G1-G6 classification, reflecting the heterogeneity in 119 human HCC. Overall, we provide evidence that AMPK modulating pharmacological agents 120 negatively modulate HCC-induced hHSC activation and may therefore provide a novel 121 approach to target the mutual, tumour-promoting interactions between hHSC and HCC.

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#### 132 NEW & NOTEWORTHY

HCC is marked by genetic heterogeneity and activated hepatic stellate cells (HSC) are considered key players during HCC development. The paracrine effect of different HCC cell lines on the activation of primary hHSC was accompanied by differential AMPK activation depending on the HCC line used. Pharmacological treatment inhibited the HCC-induced hHSC activation through AMPK-dependent and AMPK-independent mechanisms. This heterogenic effect on HCC-induced AMPK activation was confirmed by datamining TCGA and LICA-FR databases.

#### 141 Introduction

142 Hepatocellular carcinoma (HCC) is the most common primary liver tumour and one of the 143 leading causes for cancer deaths (18). More than 80% of HCC develop on the background of 144 liver cirrhosis following long-standing liver injury most commonly caused by chronic 145 infection with hepatitis B or C viruses, excess alcohol consumption, or non-alcoholic 146 steatohepatitis (37). In these clinical conditions, chronic liver damage is characterized by the 147 activation of hepatic stellate cells (HSC), which represent the main cellular effectors of 148 hepatic fibrosis and consequent progression to liver cirrhosis (42). Activation of HSC is 149 characterized by the transition from a quiescent pericyte to a myofibroblast-like cell with 150 increased proliferation, migration, contraction and expression of pro-fibrogenic factors, pro-151 inflammatory cytokines and extracellular matrix (ECM) components, resulting in the 152 formation of a scar tissue (48, 57). There is growing evidence that the tumour 153 microenvironment, in particular the bidirectional cross-talk between HCC and HSC, is a 154 crucial factor for HCC onset and progression (10) as activated HSC enhance HCC cell 155 proliferation, migration and tumour growth (2) by fostering the pro-inflammatory and pro-156 angiogenic microenvironment of the tumour (16).

157 The energy-sensing enzyme adenosine monophosphate-activated kinase (AMPK) is a highly 158 sensitive safeguard that responds to changes in ATP production and promotes catabolic 159 pathways while inhibiting anabolic pathways when activated (41). Importantly, AMPK has 160 been implicated in tumour development and progression as AMPK regulates the cell cycle 161 by stabilizing p53 and p27 (32, 52). Thus, AMPK inhibits cell growth, metabolism and 162 proliferation through inhibition of the mTOR pathway (20). Further evidence for AMPK as a 163 tumour suppressor is originating from clinical and experimental data showing that 164 pharmacological AMPK modulators inhibit cancer development and progression (34, 53). 165 Indeed, the incidence of HCC is lower in diabetic patients treated with metformin which 166 induces AMPK activity (13). Metformin has also been shown to inhibit angiogenesis in an 167 HCC – HSC cell line in vitro co-culture model, supporting an inhibitory role for AMPK in 168 tumour-stromal interactions (45). In addition, AICAR, another well-established AMPK 169 activator, supresses HCC cell proliferation and inhibits tumour growth in murine HCC models 170 in vivo (14). Of note, pharmacological activation of AMPK has been shown to reverse HSC 171 activation in vitro and AICAR and metformin inhibits PDGF-induced HSC proliferation (1, 9).

Nevertheless, there is limited evidence for a role of AMPK in the tumour-stromal interaction between HCC cells and primary human HSC, as well as for the possible working mechanisms of AMPK in such cross-talk. Accordingly, the present study was designed to provide further evidence on the involvement of this pathway in the cross-talk between HCC and its stroma. As HCC is known to be a highly heterogeneous cancer (8, 17) several HCC cell lines with different types of mutations were investigated in this study.

The results of the study show that activation of AMPK is involved in HCC tumour-stromal interaction and suggests AMPK as a potential target for novel treatments for the overall stromal derangement typical of chronic liver disease and the associated development of HCC.

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# 183 Material and Methods

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# 185 Patients and tumours

186 The expression levels of AMPK $\alpha$ 1 (*PRKAA1*) and AMPK $\alpha$ 2 (*PRKAA2*) were assessed by RNA-187 seq in two independent datasets, including 160 HCC samples from LICA-FR cohort previously 188 described, and 339 HCC samples from the TCGA (The Cancer Genome Atlas) cohort. Patients 189 with co-occurring TP53 and CTNNB1 mutations were excluded from the analysis. At least 190 two liver pathologists used multiple slides of the same tumour to establish the Edmondson-191 Steiner grades in both cohorts, as previously described (23). Furthermore, the expression of 192 AMPK $\alpha$ 1 (*PRKAA1*) and AMPK $\alpha$ 2 (*PRKAA2*) was investigated in relation to the G1-G6 193 classification (5). Detailed clinical characteristics for both datasets are provided in 194 Supplemental Table S1 (supplemental material for this article is available online at 195 https://figshare.com/s/6953f837617d681232d7 and DOI 10.5522/04/12562631.

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# 197 Immunohistochemistry

198 Immunostaining of AMPKα1 subunit was performed as previously described on formalin 199 fixed paraffin-embedded human liver sections from histologically normal tissue retrieved 200 from sites remote to colorectal liver metastasis, cirrhotic liver without HCC and HCC in the 201 context of a cirrhotic liver obtained from the Royal Free Hospital histopathology archives 202 (ethics 07/Q0501/50) (39). Liver sections were de-paraffinized and hydrated through 203 xylenes and ethanol. Antigen retrieval was achieved by microwaving the section at 640 W 204 for 20 minutes in 1L of pH9.0 Tris EDTA buffer. The slides were soaked in TBS with 0.04% 205 Tween-20 (Sigma) for 5 minutes and blocked for endogenous peroxidase and non-specific 206 binding of secondary antibodies using reagents from the Novolink kit (Leica), 5 minutes 207 each. AMPK $\alpha$ 1 antibody (Abcam ab32047) was incubated for 1 hour at room temperature. 208 The primary antibody was then detected using Novolink kit reagents, post primary 30 209 minutes, polymer 30 minutes and DAB 5 minutes. The slides were then counterstained with 210 Mayer's haematoxylin. All sections were dehydrated, cleared in xylene, mounted with DPX 211 (Leica biosystems), and observed using a Zeiss Axioskop 40. Images were captured with an 212 Axiocam IcC5 using Zeiss Axiovision (version 4.8.2).

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# 214 Human HSC isolation

215 Human HSC (hHSC) were isolated from wedge sections of human liver tissue, obtained from 216 patients undergoing liver surgery at the Royal Free Hospital after giving informed consent 217 (NC2015.020 (B-ERC-RF)), as described before (38, 49). Briefly, 10g of total human liver 218 tissue was digested with 0.01% Collagenase type IV (Sigma Aldrich), 0.05% Pronase 219 (Calbiochem) and 0.001% DNase I (Sigma Aldrich). The homogenate was filtered through a 220 100 $\mu$ m cell strainer (BD Falcon) and the flow-through was centrifuged at 50 x g for 2 221 minutes at 4°C to remove hepatocytes. After washing the supernatant, gradient 222 centrifugation was performed at 1400 x g for 17 minutes using a 11.5% Optiprep gradient 223 (Sigma Aldrich). Finally, the interface was collected and washed. The obtained hHSC were 224 cultured in Iscove's Modified Dulbecco's Medium supplemented with 20% foetal bovine 225 serum (FBS), 2 mM/l glutamine, nonessential amino acids 1x, 1.0 mM/l sodium pyruvate, 226 antibiotic-antimycotic 1x (all GIBCO), referred to as complete HSC medium hereinafter. 227 Experiments were performed on cells between passage 3 and 8 employing at least three 228 different cell preparations/donors for all experiments.

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#### 230 Cell lines

HepG2 and PLC/PRF/5 were purchased from American Type Culture Collection (ATCC<sup>®</sup>) and cultured in Minimum Essential Medium  $\alpha$  supplemented with 10% FBS, nonessential amino acids 1x, 1.0 mM/l sodium pyruvate, Antibiotic-Antimycotic 1x. HCC cells lines SNU398, Mahlavu, Huh-6 and Huh-7 cells were kindly provided by Prof Jessica Zucman-Rossi and identity of the cell lines was confirmed by sequencing (11). Cells were cultured in Dulbecco's
modified Eagle Medium, supplemented with 10% FBS and Penicillin/Streptomycin. All cell
cultures are frequently analysed for mycoplasma using an in-house qPCR assay as previously
described (58).

#### 239 Mouse embryonic fibroblasts

Simian virus 40 large T antigen immortalized mouse embryonic fibroblasts (MEFs) were kindly provided by Dr Benoit Viollet.  $AMPK\alpha 1/\alpha 2^{-/-}$  and wt MEFs were obtained from 10.5 day postcoitum embryos, the genotype was confirmed by PCR and immunoblot analysis. MEFs from the second or third culture passage were immortalized by introducing the SV 40 large T antigen using the pSV-Ori- vector (30). MEFs were cultured in Dulbecco's Modified Eagle Medium supplemented with 10% FBS, 1mM sodium pyruvate and Antibiotic-Antimycotic 1x (all GIBCO).

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# 248 **Preparation of conditioned medium**

To obtain conditioned medium  $3 \times 10^6$  HepG2 or PLC/PRF/5 cells, or 0.6 x  $10^6$  hHSC were cultured in a cell culture dish (100 x 22mm) in complete HCC or hHSC medium. After 24h, cells were washed twice with HBSS and incubated in serum-free medium for 48h. Conditioned medium was collected and centrifuged at 247 x g, 7 min, 21°C. The supernatant was used immediately, or stored at -20°C, until used for experiments.

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#### 255 Treatment of cells

# 256 Treatment of hHSC with conditioned medium of HepG2 or PLC/PRF/5 cells

Human HSC were plated on a cell culture dish ( $0.3 \times 10^6/6$  well or  $0.006 \times 10^6/96$  well) in complete HSC medium. After 24 hours, cells were washed with HBSS (Gibco) and serumstarved for 24 hours. Subsequently cells were treated with conditioned medium of HepG2 or PLC/PRF/5 cells for 24 hours.

261 Treatment of HepG2 and PLC/PRF/5 cells with conditioned medium of hHSC

HepG2 or PLC/PRF/5 cells were plated on a cell culture dish (0.006 x 10<sup>6</sup>/ 96 well) in complete HCC medium. After 24 hours, cells were washed with HBSS (Gibco) and serumstarved for 24 hours, and cells were treated with conditioned medium of different primary hHSC preparations subsequently.

266 Treatment of cells with pharmacological compounds

Human HSC were treated with different concentrations of AICAR (0.25-4mM) reconstituted
in water, or Compound C (2.5-40µM) reconstituted to 10mM in DMSO. Cells were plated in
complete medium and serum-starved for 24 hours prior to treatment.

270

#### 271 BrdU incorporation assay

272 Cell proliferation was quantified by BrdU Cell Proliferation ELISA kit (Roche) and as 273 described before (4, 38). Human HSC (10<sup>3</sup>) were plated in complete medium in a 96 well 274 plate in quadruplicates. Cells were washed and serum-starved for 24 hours prior to 275 treatment. BrdU labelling solution was added in parallel with treatment, BrdU ELISA was 276 developed according to the manufacturer's protocol after 24 hours treatment and 277 absorbance was detected with Fluostar Omega Plate Reader (BMG labtech).

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# 279 MTS assay

To determine metabolic activity of cells, CellTiter 96<sup>®</sup> Aqueous One Solution Cell Proliferation Assay kit (Promega) was used according to the manufacturer's protocol and as previously described (39). Briefly, hHSC (10<sup>3</sup>) were plated in complete medium in a 96 well plate in quadruplicates and were cultured and treated as described above. Following 24 hours treatment, MTS was added for 2 hours and absorbance was measured with Fluostar Omega Plate Reader (BMG labtech).

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# 287 Cell cycle analysis

For cell cycle analysis, 0.3 x 10<sup>6</sup> HSC were plated on a 6 well plate in complete medium. After 24 hours, cells were washed and cultured in serum-free medium for 24 hours, followed by treatment. Cells were trypsinised after 24 hours of treatment, washed and fixed with ice-cold 70% ethanol, for 30 minutes at 4°C. Cells were washed twice before incubation with propidium iodide (50µg/ml, Promega) for 10 minutes at room temperature. Propidium iodide accumulation was analysed with BD LSR Fortessa (5L SORP) using BD FACSDiva software (version 6.2) and analysed with FlowJo version 10.0.

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# 296 Cell death enzyme linked immunosorbent assay (ELISA)

To analyse apoptosis and necrosis in hHSC, Cell Death Detection ELISAPlus (Roche) was used
 according to the manufacturer's protocol. Cells were treated in triplicates. Following 24

hours treatment, supernatants were removed and cells were lysed. Nucleosomes were
quantified in cell lysates (apoptosis) and supernatants (necrosis) photometrically.
Absorbance at 420nm was measured with Fluostar Omega Plate Reader (BMG labtech).

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#### 303 Western blot analysis

304 Protein isolation and western blot analysis was performed as previously described (4, 38, 305 39). To obtain total protein cell lysates, cells were washed and lysed with radio 306 immunoprecipitation assay (RIPA) buffer (20 mM Tris-HCl pH 7.6, 150mM NaCl, 5mM EDTA, 307 1% NP-40 (nonyl phenoxypolyethoxylethanol), 1mM phenylmethylsulfonyl fluoride (PMSF), 308 1X Protease Inhibitors Mix, 1mM Na3VO4 and 1mM NaF). Cell lysates were sonicated with 309 the "Ultrasonic Processor" (Sonics, Vibra Cell). Protein quantification was carried out using 310 Micro BCATM Protein Assay Kit, (Thermo Scientific) according to the manufacturer's 311 protocol. SDS gel electrophoresis was performed with 25µg of protein lysate were loaded on 312 10% or 12% acrylamide gels. Primary antibodies were incubated overnight at 4°C or for 1 313 hour at room temperature. After washing, specific horseradish peroxidase coupled 314 secondary antibodies were applied for 1 hour at room temperature and SuperSignal® West 315 Pico Chemiluminescent Substrate (Thermo Scientific) was used to develop signals. For 316 following antibody incubations, antibodies were stripped with RestoreTM PLUS Western 317 Blot Stripping Buffer (Thermo Scientific). To verify equal loading of samples, expression of 318 the house-keeping proteins  $\beta$ -actin or tubulin was detected. All primary and secondary 319 antibodies are listed in supplemental table S2 and antibody specificity was validated by 320 performing western blot analysis.

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#### 322 Quantitative real-time PCR (qPCR)

RNA was isolated from hHSC using RNeasy mini Kit (Qiagen) according to the manufacturer's protocol and as previously described (4, 38, 39). Purity and RNA concentration were measured with Nanodrop spectrophotometer (Thermo Scientific) and cDNA was synthesized with MultiScribe reverse transcriptase, random primers, deoxyribose nucleoside triphosphate (dNTP) mix and RNase inhibitor (all Applied Biosystems). Gene expression was measured via quantitative real time PCR (qPCR) using Taqman gene assays (supplemental table S3) and 7500 Fast Real Time PCR System (all Applied Biosystems). To quantify gene expression, the comparative CT method was used as described previously (4, 38, 39) usingGlyceraldehyde 3-phosphate dehydrogenase (GAPDH) as internal control.

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# 333 Statistical analysis

334 Data visualization and statistical analysis were performed using R software version 3.5.1 (R Foundation for Statistical Computing, Vienna, Austria. https://www.R-project.org), 335 336 Microsoft Excel or Graph Pad Prism. Values are expressed as mean +/- standard deviation 337 (SD), or mean +/- 95% confidence interval as indicated in the figures. Statistical significance 338 was analysed using unpaired, non-parametric t-test, or ANOVA. Differences of mRNA 339 expression levels between groups were assessed using Wilcoxon signed-rank test to 340 compare two groups or Kruskall-Wallis test for post hoc analysis to compare more than two 341 groups. Spearman's rank-order correlation was used to test the association between 342 continuous variables. P-value< 0.05 was considered as significant.

343

344 Results

345

# HCC conditioned medium induces an activated phenotype and activation of the AMPK pathway in hHSC.

348 To investigate the interaction between primary hHSC and HCC cells, we incubated three 349 different hHSC preparations (i.e. cells obtained from three different donors) for 24 hours 350 with conditioned medium obtained from the hepatoblastoma cell line HepG2 (TP53 gene 351 wild type cells with CTNNB1 exon3 deletion activating ß-catenin), and the HCC cell line 352 PLC/PRF/5 (*TP53* mutation bearing cells with high levels of  $\beta$ -catenin protein expression) (7). 353 Both HCC cell lines belong to transcriptomic class 1 classification including the most 354 differentiated liver cancer cell lines with epithelial features (11). As shown in Figure 1A, 355 conditioned medium of PLC/PRF/5 and HepG2 cells induced a significant increase in 356 expression of several genes associated with hHSC activation, including the collagen 357 crosslinking enzyme lysyl oxidase (LOX) as well as the inflammatory genes IL-1B, IL-8 and 358 CCL2 in a cancer cell type specific manner. In contrast, 24 hours treatment with HCC cell 359 conditioned medium had no effect on TIMP1 and MMP2 expression, and resulted in 360 downregulation of collagen 1A1 and IL-6 gene expression (Figure 1A). Moreover, hHSC 361 proliferation was significantly increased following incubation with HepG2 conditioned 362 medium compared to serum free medium (Figure 1B), whereas hHSC proliferation remained 363 unchanged when treated with conditioned medium of PLC/PRF/5 cells. As HepG2 cells are 364 hepatoblastoma cells, further differences in the potential of HCC cells to induce hHSC 365 proliferation were confirmed employing conditioned medium of additional HCC cell lines. 366 Thus, HCC cell lines characterized by, amongst numerous other mutations (7, 19, 47), 367 various mutations in cell-cycle regulating genes, such as p53 (PLC/PRF/5, Huh-7, Mahlavu) 368 and  $\beta$ -catenin (HepG2, Huh-6, SNU398) were investigated. Similar to HepG2 cells, 369 conditioned medium of Huh-6 and Huh-7 cells induced hHSC proliferation in vitro (Figure 370 1C). In contrast, hHSC proliferation was unchanged following incubation with conditioned 371 medium of SNU398 or Mahlavu cells (Figure 1C), indicating that diverse HCC cell lines 372 differentially affect hHSC proliferation. Overall, these data further confirm that the 373 dysregulation of the cancer cell secretome plays a key role, not only in tumor 374 transformation/progression, but also affects the stromal cells in a HCC cell line specific 375 manner (6, 33, 62). It has been shown previously that cell proliferation is regulated via 376 AMPK and that activation of AMPK in HSC leads to inhibition of PDGF-BB-induced 377 proliferation (9, 41). AMPK activation is characterized by phosphorylation of AMPK at threonine residue 172 of its catalytic subunit  $\alpha$  (AMPK-Thr<sup>172</sup>) whereas phosphorylation of 378 AMPK-Ser<sup>485/491</sup> results in inhibition of AMPK activity (21, 24) (Figure 1E). To test whether 379 380 activation of the AMPK pathway is associated with the induction of hHSC proliferation by 381 HCC cells, activation of AMPK and its upstream kinase LKB1 were analysed in hHSC after 24 382 hours incubation with HCC cell line conditioned medium. PLC/PRF/5 cell conditioned medium induced a strong phosphorylation of AMPK at Thr<sup>172</sup> and only mild phosphorylation 383 at AMPK-Ser<sup>485/491</sup> when compared to treatment with serum free medium (SFM), indicating 384 385 overall activation of AMPK (Figure 1D, Supplemental Figure S1A). In contrast, conditioned medium of HepG2 cells induced a mild phosphorylation of AMPK-Thr<sup>172</sup> associated with a 386 strong phosphorylation of AMPK-Ser<sup>485/491</sup>, suggesting an overall inhibition of AMPK activity 387 388 (Figure 1D, Supplemental Figure S1A). Phosphorylation of the AMPK upstream kinase LKB1 that regulates phosphorylation of AMPK-Thr<sup>172</sup> was strongly reduced after treatment with 389 the conditioned media of both HCC cell lines, compared to serum free medium (Figure 1D, 390 391 Supplemental Figure S1A), suggesting that phosphorylation of AMPK-Thr<sup>172</sup> is regulated 392 differently in hHSC treated with conditioned media. Similar to conditioned medium of 393 PLC/PRF/5 cells, conditioned medium of the cancer cell lines SNU398 and Mahlavu induced AMPK activation in hHSC, as suggested by the strong phosphorylation of AMPK-Thr<sup>172</sup>, while phosphorylation of AMPK-Thr<sup>172</sup> was only mildly induced or absent following incubation with Huh-6 and Huh-7 conditioned medium (Supplemental Figure S1B). Overall, these results demonstrate the ability of HCC cells to induce hHSC activation, as well as activation of the AMPK pathway in hHSC, with HCC cell line specific features.

399

# 400 AICAR and Compound C inhibit hHSC activation induced by HCC cells

401 We next investigated the effect of the pharmacological agents AICAR (15, 54) and 402 Compound C (1), known to affect AMPK signalling. We first assessed the effect of both 403 pharmacological agents on AMPK activation/phosphorylation in hHSC, as well as their effect 404 on metabolic activity, proliferation and hHSC gene expression. We observed an increase in AMPK phosphorylation at Thr<sup>172</sup> in hHSC treated with AICAR whereas p-AMPK-Thr<sup>172</sup> was 405 406 absent in hHSC exposed to Compound C (Figure 2A). Further, we did not observe changes in phosphorylation of AMPK-Ser<sup>485/491</sup> following treatment with AICAR or Compound C 407 408 compared to the untreated control (Figure 2A), suggesting activation of AMPK through 409 AICAR but not Compound C. The metabolic activity and proliferation in hHSC were 410 significantly inhibited by both pharmacological agents in a dose-dependent manner 411 indicating that both AICAR and Compound C can inhibit hHSC activation (Figure 2B - 2C) 412 without inducing cell death as assessed by cell death ELISA (Figure 2D).

We next investigated the effect of both pharmacological agents on HCC-induced hHSC 413 414 activation by treating hHSC with conditioned medium of HepG2 or PLC/PRF/5 cells and 415 AICAR or Compound C in parallel. As demonstrated in Figure 2E, treatment with AICAR was 416 sufficient to abrogate the induction of inflammatory gene expression by HepG2 and 417 PLC/PRF/5 conditioned medium in hHSC, while expression of LOX was unchanged. This 418 effect was less prominent in hHSC exposed to Compound C (Supplemental Figure S2A). 419 Moreover, both AICAR and Compound C were able to reverse HepG2 induced proliferation 420 in hHSC (Figure 2F). Similarly, proliferation of HepG2 and PLC/PRF/5 cells treated with 421 conditioned medium of hHSC was inhibited following treatment with AICAR and Compound 422 C (Supplemental Figure S2B and S2C). These data indicate that both AICAR and Compound C inhibit the cross-talk between HCC and hHSC and vice versa. Next, protein expression 423 analysis was performed and showed that AICAR could induce p-AMPK-Thr<sup>172</sup> expression in 424 hHSC treated with conditioned medium of PLC/PRF/5 and HepG2 cells. These effects were 425

426 not observed when hHSC were exposed to Compound C in combination with HCC 427 conditioned medium (Figure 2F), indicating that the inhibitory effect of Compound C might 428 be AMPK-independent. Taken together, these data show that both AICAR and Compound C 429 are potent inhibitors of hHSC activation and are able to reverse HCC-induced hHSC 430 proliferation and activation *in vitro*.

431

# 432 AICAR and Compound C inhibit MEF proliferation in an AMPK independent manner

433 Although AMPK is known as a crucial regulator of cell proliferation, pharmacological AMPK 434 modulating agents have been shown to act both in an AMPK-dependent and -independent 435 manner (34, 35, 46, 59, 60). Likewise, the previous set of data suggested that inhibition of 436 hHSC proliferation and metabolic activity by AICAR and Compound C (Figure 2) may be 437 driven by both AMPK-dependent and AMPK-independent signals. To further test this 438 hypothesis, we employed mouse embryonic fibroblasts (MEFs) deficient of both existing isotypes of the catalytic AMPK isoform  $\alpha$  (AMPK<sup> $\alpha$ 1/ $\alpha$ 2-/-</sup> i.e. DKO) and wild type (wt) MEFs 439 440 (30). Cells were treated with AICAR or Compound C for 24 hours and a significant, dosedependent reduction of metabolic activity was observed in wt MEFs as well as in AMPK<sup> $\alpha$ 1/ $\alpha$ 2-</sup> 441 <sup>/-</sup> MEFs (Figure 3A, 3B). Moreover, AICAR and Compound C showed a trend to inhibit cell 442 proliferation in wt MEFs and AMPK<sup> $\alpha 1/\alpha 2$ -/-</sup> MEFs (Figure 3C, 3D). 443

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# AICAR and Compound C regulate hHSC cell proliferation through AMPK-dependent and AMPK-independent mechanisms

447 We next aimed at unravelling the pathways and mechanisms through which AICAR and 448 Compound C inhibited proliferation of hHSC and MEFs and their dependency on AMPK. 449 First, cell cycle analysis was performed on hHSC treated with 1mM AICAR or 10µM 450 Compound C for 24 hours. As shown in Figure 4A, both AICAR and Compound C induced cell 451 cycle arrest in hHSC in the S-phase. When analysing the cell cycle in MEFs treated with 452 AICAR or Compound C, we surprisingly observed inhibition of the cell cycle in both wt and AMPK $^{\alpha 1/\alpha 2-/-}$  MEFs, with AICAR inhibiting the cell cycle in the S-phase and Compound C in the 453 454 G1-phase, respectively (Figure 4B). These data suggest that both Compound C and AICAR 455 can induce cell cycle arrest independently of AMPK.

456 Next, the mammalian target of rapamycin (mTOR), a critical regulator of cell metabolism 457 and proliferation, was investigated to test another signalling pathway by which AICAR and 458 Compound C may inhibit cell proliferation. Although mTOR is downstream of AMPK (20), the 459 pathway can alternatively be regulated through various other pathways (51). The mTOR 460 complex 1 (mTORC1) mediates its effects through phosphorylation of its downstream 461 targets eukaryotic initiation factor 4E (eIF4E)-binding protein 1 (4E-BP1) and the p70 462 ribosomal S6 kinase (S6) (31). Thus, hHSC were treated with 1mM AICAR or  $10\mu M$ 463 Compound C for 24 hours and phosphorylation of S6 and 4E-BP1 proteins was assessed. 464 Figure 4C demonstrates that phosphorylation of 4E-BP1 was mildly reduced, whereas 465 phosphorylation of S6 was abrogated following treatment with AICAR, but not upon 466 Compound C exposure (Supplemental Figure S3A). These data show that AICAR, but not 467 Compound C, inhibits cell proliferation through mTORC1 inhibition in hHSC. To further test 468 whether AICAR inhibited mTORC1 in an AMPK-dependent manner, wt MEFs and AMPK<sup> $\alpha$ 1/ $\alpha$ 2-</sup> <sup>/-</sup> MEFs were treated with AICAR for 24 hours. As shown in Figure 4D, phosphorylation of 4E-469 470 BP1 was slightly reduced and phosphorylation of S6 was abrogated in AICAR treated wt 471 MEFs. In contrast, such reduction of 4E-BP1 and S6 phosphorylation did not occur in AMPK<sup> $\alpha 1/\alpha 2$ -/-</sup> MEFs (Figure 4D, Supplemental Figure S3A), indicating that AICAR inhibits 472 473 mTORC1 in wt MEFs, as well as in hHSC, in an AMPK-dependent manner. The data further 474 show that Compound C only mildly reduced phosphorylation of 4E-BP1 in wt MEFs, and that S6 phosphorylation was unchanged in wt MEFs and AMPK<sup> $\alpha 1/\alpha 2$ -/-</sup> MEFs, clearly indicating that 475 476 Compound C does not affect the mTORC1 pathway in MEFs or hHSC (Figure 4D). Overall, 477 these data show that both AICAR and Compound C inhibit cell proliferation through 478 different pathways involving both AMPK-dependent and independent mechanisms.

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# 480 AMPK gene expression is overexpressed in a subset of HCC patients.

In order to further investigate possible changes in AMPK gene expression during the process of HCC we analysed the gene expression level of both isotypes of the catalytic AMPK α isoforms i.e. AMPKα1 (*PRKAA1*) and AMPKα2 (*PRKAA2*) in 2 independent cohorts of HCC tissue i.e. the TCGA and LICA-FR (3). In both cohorts, samples were excluded when carrying both the *TP53* and *CTNNB1* gene mutations. The main difference between both cohorts concerns aetiology, i.e. the TCGA cohort is enriched by HBV patients and contains a lower 487 amount of patients featuring the CTNNB1 mutation rate. In contrast, there is no significant 488 difference in the METAVIR fibrosis score between the two cohorts (Supplemental Table S1). 489 A correlation analysis was performed and showed that *PRKAA1* and *PRKAA2* expression 490 levels are not associated (Figure 5A, LICA-FR: R= -0.101, P value=ns and TCGA: R=-0.026, P 491 value=ns). Next, *PRKAA1* and *PRKAA2* expression was analysed and no significant correlation 492 was found in tumours carrying the TP53 mutation (Supplemental Figure S4). In contrast, a 493 significant association was demonstrated between PRKAA1 and CTNNB1 mutation in the 494 TCGA dataset but not in LICA-FR, whereas a significant association was demonstrated 495 between PRKAA2 expression and tumours carrying the CTNNB1 gene mutation in both 496 cohorts (Figure 5B). Importantly, a positive correlation was observed between PRKAA2 497 expression and CTNNB1 target genes such as Glutamate-Ammonia Ligase (GLUL), Leucine 498 Rich Repeat Containing G Protein-Coupled Receptor 5 (LGR5) and Laminin Subunit Alpha 3 499 (LAMA3) in both datasets confirming that *PRKAA2* is a potential CTNNB1 target gene (Figure 500 5C).

501 Furthermore, both *PRKAA1* and *PRKAA2* expression were compared in both datasets by 502 using Edmondson grading (I-II and III-IV) and the previous described unsupervised 503 transcriptome analysis (5) which classifies human HCC tumours in 6 subgroups (G1 to G6). 504 PRKAA1 gene expression showed no significant correlation with different degrees in 505 Edmondson grading (I-II and III-IV) (Supplemental Figure S5A and S5E) and G1 versus G6 506 subgroups (Supplemental Figure S5B and S5F) in both datasets. In contrast, PRKAA2 507 expression showed significant differences between grade I-II versus III-IV (P=0.011, LICA-FR 508 dataset, Supplemental Figure S5C), whereas no significant correlation was found in the 509 TCGA dataset. Notably, in both datasets a high *PRKAA2* expression was found in G5-G6 510 subgroups in line with its association with CTNNB1 gene mutations (Supplemental Figure 511 S5D and S5H).

512 Furthermore, IHC for the detection of AMPKα1 was performed on formalin fixed paraffin-513 embedded human liver sections from histologically normal liver, cirrhotic liver without HCC 514 and HCC in the context of a cirrhotic liver (Supplemental Figure S6). AMPKα1 weakly stained 515 the hepatocyte membranes in both normal liver tissue and cirrhotic liver tissue without HCC 516 (Supplemental Figure S6A and S6B). Similar localization was observed in cirrhotic tissue 517 surrounding the HCC lesion. AMPKα1 staining showed a stronger membrane staining with 518 some cytoplasm staining in the HCC tumour tissue of patient with grade 1 (Supplemental 519 Figure S6C). Further, the tumour tissue of grade 2 classified patient showed a strong 520 cytoplasmic staining for AMPK $\alpha$ 1, which appeared even stronger in the HCC tissue classified 521 as grade 3 (Supplemental Figure S6D and S6E).

522 Overall, these data, although reflecting the heterogeneity in human HCC (37), suggest an 523 important role for AMPK modulation for the development of novel therapeutic strategies 524 against HCC.

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# 528 Discussion

HCC is one of the leading causes for cancer death worldwide (18, 27). In spite of the recent progress in treatment options for HCC with the introduction of new multi-kinase inhibitors and immune-therapy (36), the identification of new therapeutic targets, more widely reflecting HCC biology, represents a current crucial effort in Oncology.

533 The tumour microenvironment plays a crucial role in HCC development and progression (10, 534 37), as over 80% of HCC develop on the background of liver fibrosis and cirrhosis (56). In this 535 context, genetic alterations and deregulation of signalling pathways are the result of chronic 536 hepatocellular necrosis, inflammation, oxidative stress and a dysregulated extracellular 537 matrix (ECM) deposition which further favours cancer development (10, 22). Moreover, in a 538 previous study we identified, by proteomic analysis, specific enriched proteins in the human 539 cirrhotic ECM in comparison to healthy ECM proteins. Culturing the cells in a stiffer cirrhotic 540 3D microenvironment demonstrated the unique up-regulation in genes related to epithelial 541 to mesenchymal transition (EMT) and TGF $\beta$  signalling. Further demonstrating that the 542 inherent features of the human cirrhotic liver ECM are key pro-carcinogenic components in 543 HCC (40). One of the key hallmarks of the development of liver fibrosis is the activation of 544 HSC (42). Recent evidence showed that the bi-directional cross-talk between activated HSC 545 and HCC cells promotes HCC cell proliferation and tumour growth (2, 16), in addition to 546 favouring a pro-inflammatory and pro-fibrogenic microenvironment (16). Here, we 547 investigated the potential paracrine effect of different HCC cell lines to activate primary 548 human HSC and the possible implication of AMPK in the HCC-induced activation of hHSC. As 549 HCC is known to be a highly heterogeneous cancer (8, 17) several HCC cell lines were 550 investigated in this study. These cell lines are characterized by different mutations in cell-551 cycle regulating genes such as p53 (PLC/PRF/5, Huh-7, Mahlavu) and  $\beta$ -catenin (HepG2, 552 Huh-6, SNU398) (7, 19, 47) and express a characteristic secretome (6, 33, 62). Besides 553 exerting different effects on hHSC proliferation and gene expression, HCC conditioned 554 media differentially activated the AMPK pathway in hHSC, again emphasizing the complexity 555 of HCC heterogeneity by possibly affecting the stromal compartment through AMPK 556 induction. Indeed, when datamining 2 independent cohorts of HCC tissues i.e. the TCGA and 557 LICA-FR we showed no significant correlation between tumours carrying the TP53 mutation 558 and both catalytic AMPK $\alpha$  isoforms, whereas a significant correlation was found between 559 tumours carrying the CTNNB1 gene mutation and the AMPK $\alpha$  isoforms. It is known that 560 CTNNB1 and TP53 mutations are affecting 25-30% of HCC patients and both mutations are 561 defined by different subgroups in HCC and correlate with better or worse patient outcome, 562 respectively (5, 8, 11). Another layer of complexity may emerge knowing that in certain 563 cancer cell lines and primary tumours a correlation has been demonstrated between 564 *PRKAA1* gene copy number and mRNA expression suggesting that gene amplification does 565 lead to increased expression, whereas the frequency of alterations in the PRKAA2 gene in 566 cancer is lower overall (12, 29). Moreover, recent studies have shown that the liver 567 microenvironment may play a crucial role in NAFLD/NASH towards HCC progression. Such 568 changes in HCC incidence are affected by obesity, type 2 diabetes, and NAFLD, which is the 569 most common liver disease and marked by aberrant AMPK activity (43, 65). Indeed, in a 570 previous study an unbiased transcriptomic and ingenuity pathway analysis revealed no 571 AMPK-related pathway enrichment in NAFLD patients. Nevertheless, a list of AMPK related 572 genes, unbiasedly generated by means of STRING analysis and UCSC Genome Browser data 573 mining tool goldenPath, showed to be significantly affected in the RNA sequence data of 574 patients with NAFLD versus healthy controls. Several AMPK subunits such as PRKAB1, 575 PRKAB2, PRKAG1 were significantly downregulated in NAFLD patients. Most strikingly, a 576 perturbation was found in many AMPK pathway genes such as TBC1D1, SLC2A4/GLUT4, involved in the regulation of lipid 577 AKT1/2, and genes metabolism and 578 activation/phosphorylation of AMPK such as Sirt3 and TSC2 in NAFLD patients (64).

579 AMPKα1 is widely expressed across tissues, whereas AMPKα2 is more restricted in its tissue-580 and intracellular distribution (29, 50). Both AMPKα isoforms are expressed in human healthy 581 and diseased liver tissue (12, 44, 64). Furthermore, we demonstrated that primary hHSC 582 express more isoform AMPK $\alpha$ 1 than AMPK $\alpha$ 2 (39). Besides changes of AMPK $\alpha$ 1/ $\alpha$ 2 gene 583 expression in HCC, posttranslational modifications, such as the phosphorylation of AMPK 584 are important in the development/progression of HCC as was demonstrate by Jiang et al, 585 that the risk of HCC occurrence was significantly higher in patients with a low expression of 586 p-AMPK (28). In this study, we demonstrate that HCC-induced activation of hHSC can involve 587 AMPK and its phosphorylation. Importantly, the catalytic AMPK subunit  $\alpha$  can be phosphorylated at different phosphorylation sites (61). While phosphorylation at Thr<sup>172</sup> 588 activates AMPK (55), phosphorylation at Ser<sup>485/491</sup> inhibits its activity and favours 589 590 dephosphorylation at Thr-172 (24, 26). We demonstrate that, depending on the HCC cell line used, either phosphorylation of AMPK-Ser<sup>485/491</sup> or phosphorylation of AMPK-Thr<sup>172</sup> can 591 592 be achieved by HCC cells. This further resulted in differences observed in hHSC proliferation. 593 In this study, we also provide new evidence for the possible working mechanisms of 594 pharmacological agents known as AMPK activators and inhibitors in the cross-talk between 595 hHSC and HCC. Here, we demonstrate that the AMPK activator AICAR inhibits both the 596 proliferation and the pro-fibrogenic and pro-inflammatory phenotype of primary human 597 HSC in a dose-dependent manner under basal conditions as well as after exposure to HCC 598 conditioned medium. Indeed, pharmacologically induced AMPK activation has been shown 599 to exert anti-HCC properties by inhibiting proliferation through AICAR (14, 32) and the 600 incidence of several tumour types, including HCC, has been shown to be lower in patients 601 treated with the AMPK activator and oral anti-diabetic drug metformin (13). Our data 602 reinforce the concept that AMPK activation may be beneficial for tumour-stromal 603 interactions in HCC, as it can target both hHSC and HCC cells. Therefore, our data add new 604 evidence about pharmacological AMPK activators and their effect on HCC-induced 605 proliferation in primary hHSC.

606 Next, we demonstrate in more detail an AMPK-dependent but also AMPK-independent 607 working mechanisms of Compound C, a known AMPK inhibitor, which inhibits proliferation 608 and induces cancer cell death (25, 34, 63). Treatment with Compound C showed significant, 609 dose-dependent anti-proliferative effects on hHSC to the same extent as the AMPK activator AICAR without affecting the inhibitory phosphorylation of AMPK-Ser<sup>485/491</sup>, suggesting 610 611 AMPK-independent effects. Indeed, recent evidence suggests that Compound C exerts 612 AMPK-independent anti-proliferative effects in different cancers, by inducing apoptosis, 613 inhibiting Akt and the mTOR signalling pathway, as well as induction of cell cycle arrest (1, 614 34). Unlike in cancer cells (34, 63), Compound C did not induce apoptosis in primary human 615 HSC and did not modulate mTOR signalling pathway. However, we show that Compound C 616 induces cell cycle arrest in the S-phase in hHSC, as well as in the G1-phase in MEFs and 617 independently of AMPK. Moreover, we show that treatment with AICAR inhibits the 618 mTORC1 pathway in primary hHSC, as well as in wt MEFs in an AMPK-dependent manner. 619 Overall, our data show that AICAR and Compound C inhibit hHSC proliferation through 620 different mechanisms, some of which are AMPK-dependent.

This study clearly demonstrates the existence of a cross-talk between human HCC cells and primary human HSC which affects hHSC activation, as well as activation of the AMPK pathway in hHSC, pointing towards a role for AMPK in tumour stromal interactions in HCC development. Moreover, the data show that pharmacological AMPK activation of the antiproliferative pharmacological compounds AICAR and Compound C could represent a novel approach for anti-cancer and anti-fibrotic therapy.

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# 629 Figure legends

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631 Figure 1. HCC conditioned medium activates hHSC and affects the AMPK pathway in hHSC. 632 (A) Gene expression and (B) proliferation response in hHSC following treatment with 633 conditioned medium of HepG2 or PLC/PRF/5 cells for 24h. (C) Proliferation of hHSC 634 following 24h treatment with different HCC conditioned media. (D) AMPK phosphorylation 635 profile expression in hHSC after exposure with HepG2 or PLC/PRF/5 conditioned medium for 636 24h. (E) Schematic of AMPK phosphorylation sites and AMPK activation/inhibition. (A) Data 637 represent mean +/- 95% confidence interval, \*p = 0.05, pooled data of 3 independent 638 experiments, (B-D) Representative data of at least 3 independent experiments, data represent mean +/- SD, \*\*\*\* p<0.0001, ns = not significant vs. SFM. CM = complete 639 640 medium, SFM = serum free medium.

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# 642 Figure 2: AICAR and Compound C reverse HCC-induced hHSC activation.

643 (A) AMPK phosphorylation profile in hHSC treated with AICAR and Compound C. Human HSC 644 metabolic activity and proliferation following 24h treatment with (B) AICAR (0.25-4mM) or 645 (C) Compound C (2.5-40 $\mu$ M). (D) Quantification of nucleosome expression by ELISA in hHSC 646 following 24h treatment with AICAR or Compound C. (E) Gene expression, (F) proliferation 647 and protein expression in hHSC following 24h treatment with PLC/PRF/5 or HepG2 648 conditioned medium and AICAR (1mM) or Compound C (10µM). (B)-(D), (F) Data represent 649 mean +/- SD, \*p< 0.05, \*\*p<0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001 vs. SFM and <sup>#</sup>p< 0.05 vs. non-650 AICAR treated control. (e) Data represent mean +/-95% confidence interval, \*p = 0.05 vs. serum free medium,  $p^{*} = 0.05$  vs. non-AICAR treated control. (A) – (F) Representative data of 651 652 at least 3 independent experiments. CM = complete medium, SFM = serum free medium, 653 c.m. = conditioned medium, CC = Compound C.

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# Figure 3: AICAR and Compound C inhibit hHSC metabolic activity and proliferation 656 independently of AMPK.

657 (A-B) Metabolic activity and (C-D) proliferation in wt and AMPK<sup> $\alpha$ 1/ $\alpha$ 2-/-</sup> (DKO) MEFs following 658 24h treatment with AICAR and Compound C. (A) – (D) Data represent mean +/- SD 659 \*\*\*p<0.001, \*\*\*\*p<0.0001 vs. SFM (wt), <sup>##</sup>p<0.01, <sup>###</sup>p<0.001, <sup>####</sup>p<0.0001 vs. SFM 660 (AMPK<sup> $\alpha$ 1/ $\alpha$ 2-/-</sup>), <sup>+</sup>p<0.05, <sup>++++</sup>p<0.0001 as indicated, representative data of at least 3 661 independent experiments. SFM = serum free medium, DKO = AMPK<sup> $\alpha$ 1/ $\alpha$ 2-/-</sup>

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# **Figure 4: AICAR and Compound C inhibit hHSC proliferation through various mechanisms.**

664 (A) Cell cycle analysis in hHSC following treatment with 1mM AICAR or 10 $\mu$ M Compound C 665 for 24h. (B) Cell cycle analysis in wt and AMPK $\alpha 1/\alpha 2^{-/-}$  MEFs following treatment with 666 0.5mM AICAR and 10 $\mu$ M Compound C for 24h. (C) Protein expression in hHSC following 667 treatment with 1mM AICAR or 10 $\mu$ M Compound C for 24h. (D) Protein expression in wt and 668 AMPK $\alpha 1/\alpha 2^{-/-}$  MEFs following 24h treatment with 0.25mM AICAR and 10 $\mu$ M Compound C. 669 (A) – (D) Representative data of at least 3 independent experiments. SFM = serum free 670 medium, CC = Compound C, CM = complete medium.

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# 672 Figure 5: Validation of AMPK gene expression in a subset of HCC patients.

673 Gene expression levels of AMPKa1 (PRKAA1) and AMPKa2 (PRKAA2) were investigated in 2 674 independent cohorts of HCC (TCGA and LICA-FR). (A) Correlation analysis showed that 675 PRKAA1 and PRKAA2 expression levels are not associated (Spearman's R=-0.101 LICA-FR, P 676 value=ns and Spearman's R=-0.026, P value=ns in TCGA). (B) A significant association was 677 observed between PRKAA1 and CTNNB1 gene mutations in the TCGA cohort but not in the 678 LICA-FR dataset. A significant association was demonstrated between PRKAA2 expression 679 and tumours carrying the CTNNB1 gene mutation in both cohorts (Wilcoxon signed-rank 680 test). (C) A positive correlation was observed between PRKAA2 expression and CTNNB1 681 target genes such as GLUL, LGR5 and LAMA3 in both datasets confirming that PRKAA2 is a 682 potential CTNNB1 target gene (Spearman's rank-order correlation, p value <0.0001 683 (M=mutant, NM= non-mutant).

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# K. Böttcher et al., Figure 4





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