



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Interplaying role of healthcare activist and homemaker

Citation for published version:

Shantaram Kawade, A, Gore, M, Lele, P, Chavan, U, Pinnock, H, Smith, P & Juvekar, S 2021, 'Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the workload of Community Health Workers (Accredited Social Health Activists) in India', *Human Resources for Health*, vol. 19, 7. <https://doi.org/10.1186/s12960-020-00546-z>

Digital Object Identifier (DOI):

[10.1186/s12960-020-00546-z](https://doi.org/10.1186/s12960-020-00546-z)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Human Resources for Health

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Human Resources for Health

Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the workload of Community Health Workers (Accredited Social Health Activists) in India --Manuscript Draft--

Manuscript Number:	HRHE-D-20-00161R1	
Full Title:	Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the workload of Community Health Workers (Accredited Social Health Activists) in India	
Article Type:	Research	
Funding Information:	National Institute for Health Research (16/136/109)	Not applicable
Abstract:	<p>Background</p> <p>Globally, Community Health Workers (CHWs) are integral contributors to many health systems. In India, Accredited Social Health Activists (ASHAs) have been deployed since 2005. Engaged in multiple health care activities, they are a key link between the health system and population. ASHAs are expected to participate in new health programmes, prompting interest in their current workload from the perspective of the health system, community and their family.</p> <p>Methods</p> <p>This mixed methods design study was conducted in rural and tribal Primary Health Centers (PHCs), in Pune district, Western Maharashtra, India. All ASHAs affiliated with these PHCs were invited to participate in the quantitative study, those agreeing to contribute in-depth interviews (IDI) were enrolled in an additional qualitative study. Key informants' interviews were conducted with the Auxiliary Nurse Midwife (ANM), Block Facilitators (BFF) and Medical Officers (MO) of the same PHCs. Quantitative data were analysed using descriptive statistics. Qualitative data were analysed thematically.</p> <p>Results</p> <p>We recruited 67 ASHAs from the two PHCs. ASHAs worked up to 20 hours/week in their village of residence, serving populations of approximately 800-1200, embracing an increasing range of activities, despite a workload that contributed to feelings of being rushed and constant tiredness. They juggled household work, other paid jobs and their ASHA activities. Practical problems with travel added to time involved, especially in tribal areas where transport is lacking. Their sense of benefiting the community and respect and recognition in village brought happiness and job satisfaction. They were willing to take on new tasks. ASHAs perceived themselves as "voluntary community health workers" rather than as 'health activists.'</p> <p>Conclusion</p> <p>s:</p>	
Corresponding Author:	Anand Shantaram Kawade, MD KEM Hospital Pune Pune, Maharashtra INDIA	
Corresponding Author E-Mail:	askawade@yahoo.com	
Corresponding Author Secondary Information:		
Corresponding Author's Institution:	KEM Hospital Pune	
Corresponding Author's Secondary Institution:		
First Author:	Anand Shantaram Kawade, MD	
First Author Secondary Information:		

Order of Authors:	<p>Anand Shantaram Kawade, MD</p> <p>Manisha Gore</p> <p>Pallavi Lele</p> <p>Uddhavi Chavan</p> <p>Hilary Pinnock</p> <p>Pam Smith</p> <p>Sanjay Juvekar</p>
Order of Authors Secondary Information:	
Response to Reviewers:	<p>Responses to reviewer's comments and revision done accordingly: Sr. NoReviewer's CommentResponse and revisions Reviewer #1 The authors are to be commended for seeking to better understand ASHA workload; a key consideration in community health worker program design. That said, there are several key weaknesses in the article that keep it from making a substantial contribution Thank you. We have responded to each of the comments and revised our manuscript accordingly</p> <p>1. In the introduction, the authors justify their line of inquiry by stating, "an assessment of workload from the perspective of the ASHA is not available." Yet, in the same introduction, they cite many studies that do exactly that: Saprii 2015 (qualitative - documents ASHA verbatim noting the unfairness of their workload: "our workload is much more than the [salaried community cadre]"); Gopalan 2012 (mixed methods, including survey and focus group discussions w/ASHAs - documents how "excessive workload...took away [ASHAs] personal time"; Guha 2018 (qualitative - "All the ASHAs said that the workload was excessive..."I hardly get time for my family members"); and Sarin 2016 (qualitative interviews w/ASHA - notes "The majority of ASHA respondents...complained that the amount of money they received was too low compared to their workload"). These and other studies not cited, e.g. Dagar 2016, cover much the same ground this study does. Despite superficially referencing many of them, this manuscript does not make it clear either in the introduction or conclusion how it planned to (or does) build on or deepen these earlier findings. As a result, the manuscript's conclusions (e.g. "ASHAs had a significant workload, and were struggling to balance domestic tasks and ASHA work. They were proud of their role as CHWs and were willing to take on new activities") - which have been widely documented elsewhere - feel like a re-hash and not particularly novel or noteworthy. response: We agree that other studies have researched in this area, though most are qualitative studies, and many focus on specific tasks. Only one is a mixed methods study including a workload survey of all the experienced ASHA in the area (Gopalan 2012) and that is nearly eight years out of date. •Saprii 2015 explored stakeholders' perceptions and experiences, opportunities and challenges of the ASHA specifically in context of maternal health. Several of the other studies cited were focused on a specific role in maternal and child health (e.g Bansal 2016; Shashank 2013; Karol 2104; Srivastava 2012; We were interested in the broader context of additional and varied tasks •Gopalan 2012 used mixed method research and have highlighted the performance motivation and its determinants, but this was 8 years ago and much has happened since the in terms of ASHA's perception of their role. •Guha 2018 has some overlap as it discusses the roles and responsibilities and reflects how the workload affects performance in the context of Village ASHA. However, it only reports a qualitative study and does not include the triangulation with a quantitative survey. •Sarin 2016 is a qualitative study (which we did cite : Ref 18) which reveals the role of incentives and family support in motivating ASHA but does not assess workload perception. •Dagar 2016 similarly focussed their qualitative research on the roles, challenges and experiences of ASHA while performing the duties.</p>

The novelty of our study is therefore:

- We conducted a mixed method study triangulating our qualitative findings with a survey of all the ASHA in our locality. This provides breadth to our findings to complement the in-depth qualitative work.
- We explicitly compared the workload and attitudes of 'rural' and 'tribal' ASHA and highlight some significant differences. None of the cited studies are able to make this comparison.
- We were interested in the broad range of ASHA tasks and their potential for taking on even more diverse roles.
- In addition, this is an evolving situation with some unrest at a national level so that an up-to-date exploration is important

We have revised the our final paragraph of our introduction (page4) to acknowledge the previous work and to explain our aims better:

"The role of ASHA is therefore evolving, and demands an up-to-date comprehensive assessment of the workload, incentives (18) and understanding of the work profile from the perspectives of the health system, community and ASHA herself in order to guide successful future implementation as well as sustainability of the programme. Previous evaluations, many commissioned by the National ASHA Mentoring Group,(7) provide qualitative exploration or quantitative assessment (9-12) of workload, often in one specific context.(19.,20) or the context of maternal/child health tasks (21-24)).We had a broad interest in both the full range of tasks and the different situations in which ASHA work and the changing context in which their role is interpreted. We therefore used a mixed-methods approach to assess and explore ASHAs' perspectives of their workload alongside that of local healthcare colleagues in both rural and village contexts

We have also added a reference to previous research in our discussion (page 15) where we now state in the section on 'Evolution of ASHA role':

"In common with previous research,[10,12,18,19,28,29,32,] although ASHAs in our survey were disappointed with incentives, they were generally happy and satisfied with the work and were motivated to continue. Our qualitative analysis, however reflected more fundamental contemporary attitudes to ASHA's status"

2.Another major issue is that the findings don't seem to line up with the data. For instance, the article notes "ASHAs perceived themselves as voluntary community health workers" yet nowhere is there evidence of this in the text. The ASHA clearly perceive themselves as *workers* but are never quoted as using the word voluntary. In fact, they bemoan their limited compensation.

Similarly, the questions about job satisfaction and happiness are grouped under Table 4 "Honorarium and incentives." This is misleading. The qualitative data makes clear that ASHAs are satisfied with their tasks, but not their pay. Since those two questions are about their work, they should be in a separate table. This conflation of task-based satisfaction and overall satisfaction with the work environment happens throughout the piece.

Response:

The tight word count resulted in loss of some text that would have addressed these comments.

The word 'voluntary' was widely used as shorthand for their 'non-salaried' status. We had already cited an example of its use by a BFF(on page 12) and have now added an example of where ASHA used the term about themselves (on page 9).

"Medical officers have told us in one of the training that that our work is voluntary and at any point of time we can refuse to take up any assigned tasks to us" (Rural ASHA)

Thank you highlighting this distinction and we have now clarified this explicitly (on page 10) which now reads:

"These ASHAs though happy and satisfied with their respective tasks, but were disappointed with their honorarium which did not compensate them for the time required to complete the allotted work. They were willing to do more for the benefit of the communities they served, but wanted more realistic remuneration. Notably they used the word 'Mobadla':which translates as 'money earned against work'. Some ASHAs suggested that a monthly payment of INR 2000-5000 (GBP 21.40-53.60) could be reasonable" In contrast , MOs and ANMs considered the payments as 'incentives' which they used to motivate ASHA's involvement in new activities".

"We included ASHAs having at least 5-years standing so that they had experience of

ASHA work in the community" - this would seem to undermine theoretical saturation. Surely someone on the job for a year has a valid opinion. Given this, would re-do Table 1 to make clear there are 0 CHWs w/<5 (i.e. 004) years' experience included.
- How did participants contribute to interpretation? Are they the "lay stakeholders" referenced earlier? Make clearer

response:

The survey was completed by all the ASHA (as described in the section on quantitative methods on page 6). Table 1 is thus correct.

The restriction to ASHA of >5yr standing was imposed in our purposive sampling of ASHA for the qualitative study as experience and understanding of the evolving role. We recognise that this is a limitation and have now added a statement in the limitations (page 13):

"Although our study was limited to two diverse areas in one state, we recruited all ASHAs in those areas to the survey and their demographic profile was similar to that of other studies. Our decision to purposively sample ASHA of more than 5 years standing for the qualitative interviews enabled us to gain perspectives from experienced ASHA who would have understood the evolution of the role, but meant that we do not have in-depth views of relatively new ASHA"

A strength of our study is the engagement of lay stakeholders as part of the 'Community Engagement and Involvement' activities of RESPIRE (<https://www.ed.ac.uk/usher/respire/about/supporting-platforms/platform-i-stakeholder-engagement-governance>)

This is described on page 7 in the section 'Interpretation and stakeholder engagement' and we have now provided a link to the RESPIRE website so that readers unfamiliar with stakeholder engagement can clarify the description.

We have also enlarged on our description of the advantages of stakeholder engagement (on page 13):

"The final interpretation was a consolidation of the perspectives of participants (at the final feedback meeting), researchers, the lay and professional stakeholders (involved with the on-going project discussions) and the multidisciplinary research team"

- p. 2 Regulations don't expect - rephrase
- p. 2 To take *on* new tasks
- p. 2 Evolving perceptions - what does that mean? Specify
- p. 6 Complete reliant - should be reliance
- p. 12 "Has expressed differently" - rephrase

responses:

Thank you for spotting these typos

Page 2 line 20. Sentence rephrased to 'ASHAs are expected to...'

Page 2 line 38. Added *on*

Page 3 line 43,44 and 45 changed. Government of India's mandate state ASHA volunteer status and receipt of honorarium on the basis of amount of work done.

However, since the progression in the years and the program there was shift in paradigm that started initiating in ASHA perception; expectation of full time recruitment with a fixed salary. We have explained that in the manuscript, but word counts preclude such detail. We have clarified as 'Evolving attitudes to the advantages/disadvantages of the current voluntary status...'

Page 5 line 93 We have corrected this

Page 11 line 239 is rephrased as 'One of the MO expressed this differently

Reviewer # 2

The results of the findings displayed in the tables is interesting but not directly presented in the narrative. While one recognizes the need to not duplicate information for text to table, the findings suggest areas not covered in the text that may have been better explained by responses to the survey questions. For example, when asking about tiredness it may be helpful to understand what aspect of their work fatigues them? Is it the travel or the tasks?

response:

This question reflects the tension between publishing a detailed account of the quantitative survey in a separate paper, or combining the two as a mixed methods study. After considerable discussion we opted for the latter, because we believe that triangulating the mixed-methods in one paper enhances the strengths of results. The disadvantage is that some results (the detailed quantitative tables) have had to be

placed on-line with only a summary in the manuscript. Page 10 line 223--225 explained the quantitative finding wherein three quarter of ASHA reported feeling tired because of ASHA work (includes tasks and travel).

We agree with the reviewer's comment regarding asking about what aspect of work fatigues them, but unfortunately, we did not ask the question in the survey. The importance of this issue emerged from qualitative work and our methodology (of using the survey to inform purposive sampling) precluded adding it in to the survey.

Rarely do I read a manuscript and find it answers all of the questions one would have. Particularly when it is based on an unknown health care sector. The authors provided adequate information for the reader to grasp the concepts presented in a clear and complete manner.

Thank you

Data analysis for qualitative data is well outlined and clear. It would be help to have seen the results of this compared to previous studies presented in the literature review section.

response:

We have interpreted our findings in the light of existing literature (page 14-16) but recognise that we are not able to address all the points from previous surveys. In recognition of the word count restrictions, we have not extended the discussion, but would be pleased to do so if editorial advice is that this would be helpful.

However, the authors state they conducted inferential statistics but the results are not presented. They do provide descriptive statistics. Perhaps the sample size is too small to have done cross tabulations.

response:

We did perform inferential statistics, but decided not to present them because, with the large number of comparison a few were significant and with the small sample size we could not be sure of their significance. We therefore opted for descriptive approach to avoid over interpretation.

We deleted reference to inferential statistics in the manuscript, thank you for spotting this error which we have now corrected.

Future studies may consider the use of Participatory Action Research as a viable research design. As is this is a good descriptive study but leaves the reader without solutions or recommendations of what the outcome may be of the results.

response:

We agree that future studies need to focus on identifying solutions.

We have now added this to our conclusion which reads (page 16 line 366-368):

"An increasing range of health activities would demand investment of time, regular training, motivation, greater problem solving and leadership skills and future studies need to focus on developing strategies to recruit, train, incentivise, and retain ASHAs of the future"

Regarding vocabulary and English, obviously American English is not relevant but I am not well versed on British English to determine the correct use of some terms. Only one work in line 327 seems to be in error and that is "contributed".

We have corrected this typo (Page 15 line 331)

For those not familiar with the work of ASHAs it would be interesting to know why their title was that of an activist and not a "worker". Background on that could help the uninformed reader grasp the concepts with clarity.

response:

The term 'social health activist' goes back to the inception of the ASHA programme and (we presume from our reading of early documentation) reflects the original role of 'health educator, healthcare services facilitator and social health activist'. As we describe in the background (page 4) the terminology – and role have changed. The acronym 'ASHA is so widely used that few now remember that the second 'A' stands for 'activist' and the term is used (ungrammatically) with the description 'worker'.

We have clarified this with the addition of the word 'activist' on page 4 to the sentence that describes their original tasks. This now reads :

"As multitaskers, ASHAs took on the "social health activis"t roles of health educator

	<p>and healthcare services facilitator.”</p> <p>This is further described in the section on page 15-16, where we highlight contemporary colloquial use of the terminology which reflects the evolution of the role</p> <p>Overall, a well written, rigorous mixed methods study with a solid qualitative component and descriptive statistics. Perhaps the authors visit the idea of use of the term inferential to describe their analysis. Minor clarifications could provide more support to this work, particularly for those not familiar with this health sector. Thank you.</p> <p>We have addressed the points you have raised (including deleting the term inferential from the abstract) which has helped us improve our paper.</p>
<p>Additional Information:</p>	
<p>Question</p>	<p>Response</p>
<p>Is this study a clinical trial? <hr/> A clinical trial is defined by the World Health Organisation as 'any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes'.</p>	<p>No</p>

[Click here to view linked References](#)

1 **Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the**
2 **workload of Community Health Workers (Accredited Social Health Activists) in India**

3 Anand Kawade¹ Manisha Gore² Pallavi Lele¹ Uddhavi Chavan¹ Hilary Pinnock³ Pam Smith⁴ Sanjay
4 Juvekar¹ for the RESPIRE collaboration⁵.

5 1. Vadu Rural Health Program, King Edward Memorial Hospital Research Centre (KEMHRC), Rasta
6 Peth, Pune, Maharashtra, India 411011

7 2. Symbiosis Institute of Health Sciences, Symbiosis International (Deemed) University, Lavale,
8 Mulshi, Pune, Maharashtra, India 411042

9 3. NIHR Global Health Research Unit on Respiratory Health (RESPIRE) Usher Institute, University
10 of Edinburgh, Doorway 3, Medical School, Teviot Place, Edinburgh EH8 9AG

11 4. NIHR Global Health Research Unit on Respiratory Health (RESPIRE), Nursing Studies, School of
12 Health in Social Science, University of Edinburgh, Teviot Place, Edinburgh EH8 9AG

13 5. RESPIRE collaboration

14 Corresponding author: Anand Kawade¹

15 **Abstract**

16 **Background:**

17 Globally, Community Health Workers (CHWs) are integral contributors to many health systems. In
18 India, Accredited Social Health Activists (ASHAs) have been deployed since 2005. Engaged in
19 multiple health care activities, they are a key link between the health system and population. ASHAs
20 are expected to participate in new health programmes, prompting interest in their current workload
21 from the perspective of the health system, community and their family.

22 **Methods:**

23 This mixed methods design study was conducted in rural and tribal Primary Health Centers (PHCs),
24 in Pune district, Western Maharashtra, India. All ASHAs affiliated with these PHCs were invited to
25 participate in the quantitative study, those agreeing to contribute in-depth interviews (IDI) were
26 enrolled in an additional qualitative study. Key informants' interviews were conducted with the
27 Auxiliary Nurse Midwife (ANM), Block Facilitators (BFF) and Medical Officers (MO) of the same
28 PHCs. Quantitative data were analysed using descriptive statistics. Qualitative data were analysed
29 thematically.

30 **Results:**

31 We recruited 67 ASHAs from the two PHCs. ASHAs worked up to 20 hours/week in their village of
32 residence, serving populations of approximately 800-1200, embracing an increasing range of
33 activities, despite a workload that contributed to feelings of being rushed and constant tiredness. They
34 juggled household work, other paid jobs and their ASHA activities. Practical problems with travel
35 added to time involved, especially in tribal areas where transport is lacking. Their sense of benefiting
36 the community and respect and recognition in village brought happiness and job satisfaction. They
37 were willing to take on new tasks. ASHAs perceived themselves as “voluntary community health
38 workers” rather than as ‘health activists.’”

39 **Conclusions:**

40 ASHAs were struggling to balance their significant ASHA workload, and domestic tasks. They were
41 proud of their role as CHWs and willing to take on new activities. Strategies to recruit, train, skill

42 enhancement, incentivise, and retain ASHAs, need to be prioritised. Evolving attitudes to the
43 advantages/disadvantages of the current voluntary status of ASHAs need to be understood and
44 addressed in terms of working arrangements if ASHAs are to remain a key component in achieving
45 universal health coverage.

46 **Keywords: ASHA; Workload; Community Health Worker**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72

47 **Background:**

48 Globally, Community Health Workers (CHWs) contribute to achieving universal health care
49 coverage; a key target for meeting Sustainable Development Goals.⁽¹⁾ The World Health
50 Organization's (WHO) definition of a CHW is a person living and working within the local
51 community, being endorsed by the health system but not necessarily part of it and having shorter
52 training than professional workers. The scope of CHW's work often encompasses large-scale
53 programmes addressing local health problems in rural and remote areas of low- and middle-income
54 countries (LMICs).⁽²⁾

55 In 2005, the National Rural Health Mission of the Government of India launched an "Accredited Social
56 Health Activists "(ASHA) programme to facilitate accessible, affordable and quality healthcare to rural
57 populations.⁽³⁾ ASHA is a female resident of the village, educated at least to VIIIth grade (though may
58 not be enforced in tribal areas) who receives 23 days training over a year and on-going refresher
59 trainings. The primary role was intended to be liaison between healthcare systems and local
60 communities across diverse geographical locations.⁽⁴⁾ As multitaskers, ASHAs took on the ' social
61 health activist' roles of health educator and healthcare services facilitator with evidence of a positive
62 impact on healthcare-seeking behaviour, family planning, antenatal care and care in childbirth.⁽⁵⁻¹⁰⁾

63 Building on this success, policymakers have upscaled ASHA involvement in an increasing range of
64 health-related activities and interventions⁽¹¹⁻¹⁵⁾ as well as implementing governmental non-health
65 related schemes and surveys. For example, the Indian National Program for Prevention and Control
66 of Cancer, Diabetes, Cardiovascular Disease and Stroke involves ASHAs in screening, early
67 detection, referral and community mobilisation of Non-Communicable Diseases (NCDs). Thus,
68 ASHAs are engaged in almost 30 different activities which sometimes means non-health related
69 tasks might take priority over health-related issues.^(16,17)

70 The role of ASHA is therefore evolving, and demands an up-to-date comprehensive assessment of the
71 workload, incentives⁽¹⁸⁾ and understanding of the work profile from the perspectives of the health
72 system, community and ASHA herself in order to guide successful future implementation as well as

1 73 sustainability of the programme. Previous evaluations, many commissioned by the National ASHA
2 74 Mentoring Group⁽⁷⁾ provide qualitative exploration or quantitative assessment ⁽⁹⁻¹²⁾ of workload, often
3
4 75 in one specific context.^(19,20) or the context of maternal/child health tasks ⁽²¹⁻²⁴⁾. We had a broad
5
6 76 interest in both the full range of tasks and the different situations in which ASHA work and the
7
8 77 changing context in which their role is interpreted. We therefore used a mixed-methods approach to
9
10 78 assess and explore ASHAs' perspectives of their workload alongside that of local healthcare
11
12 79 colleagues in both rural and village contexts.

16 80 **Methods:**

19 81 The study was conducted in two Primary Health Centres (PHCs), one rural and the other tribal (often
20
21 82 remote, a defined inhabitants in India with shared ancestry and traditions) in Pune district of Western
22
23 83 Maharashtra, India during September 2018 to March 2019.

26 84 *Study area and context*

29 85 Over the last five decades, Vadu Rural Health Program's research and implementation activities have
30
31 86 developed a good collaboration with the local healthcare systems which facilitated the selection and
32
33 87 recruitment of the PHCs functionaries and ASHAs.

36 88 The rural PHC is located in an agricultural area with increasing industrialisation. This high-density
37
38 89 population had multiple sources of income, travel and communication facilities. Many private
39
40 90 hospitals and clinics provide multiple choices for healthcare. In contrast, the tribal PHC is located in
41
42 91 hilly terrain where a sparse, culturally homogenous population had fewer sources of income and poor
43
44 92 access to travel and communication and almost complete reliance on public healthcare facilities.

47 93 Selecting these diverse PHCs enabled us to study whether these contextual differences affected the
48
49 94 workload perception of ASHAs. Study participants disposition is described in Figure 1.

53 95 *Ethical approval and consent to participate*

56 96 The study protocol was approved by the King Edward Memorial Hospital Research Centre Ethics
57
58 97 Committee, Pune, India and was sponsored by Academic and Clinical Central Office for Research and
59

98 Development at the University of Edinburgh. Written informed consent was obtained from all study
1
2 99 participants prior to data collection.
3

4 5 100 *Quantitative methods* 6

7 101 The quantitative data were collected using paper-based self-administered questionnaires. All 67
8
9 102 ASHAs (44 from Rural PHC; 23 from Tribal PHC) responded when approached during their routine
10
11 103 monthly meetings and vaccination camps. The closed questions were on socio-demographic profile,
12
13 104 time spent on ASHA work and travel, perceptions of workload and its impact on them and their
14
15 105 family, opinions about remuneration, job satisfaction and family support. (See Additional file 1: Study
16
17 106 questionnaire)
18
19
20

21 107 *Qualitative methods* 22 23

24 108 Qualitative data were collected using in-depth interviews. We purposively sampled eight ASHAs,
25
26 109 four from each of the Rural and Tribal PHCs, based on diversity of experience (> 5 years),
27
28 110 educational background and other paid work. We also interviewed the two Medical Officers (MO),
29
30 111 four Auxiliary Nurse Midwives (ANM) and four Block Facilitators (BFF) from the same PHCs, each
31
32 112 of whom had at least a year's experience of supervising ASHAs. See footnote to Figure 1 for
33
34 113 definitions of these roles
35
36
37

38 114 We developed a conceptual framework (figure 2) to inform the interview guide based on open-
39
40 115 ended informal discussions with ASHAs and other colleagues. This helped us to understand the
41
42 116 workload in terms of time investment, travel, energy and effect of the work on ASHA's family and
43
44 117 self, and highlighted age, training, education, experience, work setting, incentives and other
45
46 118 occupation as influencing factors. The volunteer status of ASHA was important in interpreting
47
48 119 relative prioritisation of their work.
49
50
51

52 120 Topic guides were prepared in English (See Additional file 2 &3) and translated into the local
53
54 121 language (Marathi) by the Field Research Assistants during their training, reviewed by researchers,
55
56 122 and piloted before finalisation. During data collection, the conversation focused on enquiry around
57
58 123 typical daily activities. This led to probing on ASHA activities, household activities and other
59
60
61

124 occupations, their perceptions of workload related to the different tasks, the challenges and
125 motivations to continue the work. The qualitative interviews were conducted by graduate-level
126 trained qualitative researchers, supported by a note-taker who had experience of working with the
127 health and demographic surveillance system.

128 *Data handling and analysis*

129 The quantitative data were analysed using Stata version 15.0 and described as means and percentages.
130 In-depth interviews were audio-recorded, transcribed verbatim into Marathi and translated into
131 English by an independent qualitative researcher. Analysis, facilitated by MAXQDA version 11.0 was
132 undertaken by MG (anthropologist) supported by UC (health scientist) and PL (epidemiologist and
133 qualitative researcher) who were also involved in data collection, cleaning and coding. Using an
134 inductive approach, the transcripts were read repeatedly to identify frequently reported patterns
135 related to objectives with similarities and differences. These were coded, and categories developed,
136 and emergent themes identified after discussion with wider team including an experienced
137 anthropologist, medical and nursing experts. The data were triangulated using a ‘connecting data’
138 process⁽²⁵⁾ so that quantitative results were complemented by anecdotal evidence, reasons, examples
139 and experiences from the qualitative data.

140 *Interpretation and stakeholder engagement*

141 Throughout the data collection and analysis, the multidisciplinary team met regularly to discuss
142 emerging themes and to ensure balanced interpretation mitigating reflexivity. Supported by the
143 stakeholder engagement platform of RESPIRE ([https://www.ed.ac.uk/usher/respire/about/supporting-
144 platforms/platform-i-stakeholder-engagement-governance](https://www.ed.ac.uk/usher/respire/about/supporting-platforms/platform-i-stakeholder-engagement-governance)), we engaged with professional and lay
145 stakeholders including the participating MO, ANM and BF throughout the research process in order to
146 broaden perspectives. A dissemination meeting was organised with all study participants and
147 feedback encouraged.

148 **Results**

149 *Participants’ characteristics*

150 We recruited 67 ASHAs who completed the questionnaire; two thirds were from rural area (n=43)
151 with more than half (n=38) being in age group of 30-39 years. Almost all were married (n=64) and
152 82% (n=55) were engaged in family agricultural work in addition to ASHA work.

153 *Conceptual framework*

154 Figure 3 is the conceptual framework which illustrates our understanding of ASHA's perceived
155 workload. The qualitative results are provided in Tables 1-5, with key findings presented in the text
156 below. Perception of workload was influenced by multiple interacting factors such as characteristics,
157 tasks, work settings of ASHA and modified by time, travel, energy and motivation. Finally, we
158 present the evolving nature of ASHA's motivation.

159 **Influencing factors: characteristics of ASHA, their tasks and work settings**

160 *Education, training and experience*

161 There were significant differences between ASHAs from rural and tribal areas. (Table 1). Rural
162 ASHAs had higher educational attainment (five were graduates) and most (81%) were from an open
163 category compared to tribal ASHAs, most of whom were from scheduled tribes. (see foot note of table
164 1 for definitions). A third of tribal ASHAs had more than 10 years of experience as against none from
165 rural. The relatively poor literacy of some of the tribal ASHAs affected documentation and record
166 keeping. This was highlighted by an ANM who noted that these ASHAs required additional assistance
167 and time for tasks completion:

168 *"ASHAs with low education levels don't always remember everything from the trainings given to*
169 *them".[ANM-1]*

170 *Domestic responsibilities and other occupations*

171 Being married, many of ASHAs struggled to balance daily household chores and ASHA
172 responsibilities. Most had additional jobs and seasonal work to supplement the family income which
173 sometimes hampered routine ASHA tasks. Despite this, the BFF appreciated the work of tribal
174 ASHAs who devoted considerable time to ASHA work.

175 *Willingness for additional activities*

176 During preceding six months, 58/67 (88%) of ASHAs had carried out up to 10 additional activities
177 (surveys, epidemic survey, etc.). Despite time constraints and completion pressures, all of them were
178 willing to take on more tasks (Table 2) with the hope of reasonable compensation and travel support.

179 *“We are willing to accept new workload but, of course, in return for handsome compensation,*
180 *we have put forth the same demand for survey work also. Family members expect us to bring*
181 *money for additional efforts we are putting”* [Rural ASHA-1]

182 The ANM supported ASHAs in taking up new activities especially if it didn't involve much travel or
183 didn't disturb their existing schedule. One ANM stated:

184 *“Health authorities at the state level have instructed us not to pressurise ASHAs for additional work*
185 *as their incentives are very low”* [ANM-2]

186 *“ Medical officers have told us in one of the training that that our work is voluntary and at any point*
187 *of time we can refuse to take up any assigned tasks to us”* (Rural ASHA-2)

188 **Tasks of ASHA**

189 Table 3 shows that most ASHAs [56 (85%)] worked in their village of residence, though 9 (39%)
190 tribal ASHAs had responsibility for an additional village. Workload, in terms of household visits
191 (typically 20-40 visits/week) and working hours (between 12-20 hours) was similar in the two areas,
192 though tribal ASHA had responsibility for smaller populations (<800 vs > 800-1200 for rural ASHA).
193 ASHAs visited their BFF and ANM between one and four times a month.

194 **Work setting**

195 Tribal ASHAs and the ANMs explained how the demography of remote populations affected
196 workload and incentives. Urbanisation and migration meant young people had left the villages leading
197 to loss of incentives from maternal and child health services. Although home visits were mandatory,
198 when ASHAs working in remote areas were unable to do frequent home visits, then telephone follow-
199 ups were acceptable. Some discrepancies were noted in workload assessment. A BF estimated that
200 ASHAs worked for 1 to 1.5 hours daily (excluding Sundays). This comes to 6-9 hours/week, in
201 contrast to the survey findings that 46% of ASHA reported working 12-20 hours/week.(Table 3).This

202 could be because of non-regular activities, e.g. ASHAs have to do home visits to facilitate scheme
1
2 203 benefits to pregnant women such as “Pradhan Mantri Matru Vandana Yojana” (a national maternity
3
4 204 benefit programme providing a cash incentive of INR 5,000 (GBP £53.64) to pregnant women and
5
6 205 lactating mothers in respect of the first living child of the family.⁽²⁶⁾
7
8

9 206 ***Honoraria and incentives***

10
11 207 ASHAs received incentives for activities like family planning, antenatal and postnatal care, home
12
13 208 based follow-up of new-born, immunisation. Almost all ASHAs were happy and satisfied with these
14
15 209 activities, despite most receiving monthly incentives less than INR 1500 (GBP £16.09) (Table 4).
16
17 210 These ASHAs though happy and satisfied with their respective work, showed disappointment on the
18
19 211 honorarium they received when compared with time required to complete allotted work. They were
20
21 212 willing to do more for the benefit of the communities they served , but expected more incentives
22
23 213 (*‘Mobadla’*: money earned against work). MOs and ANMs used incentives to motivate ASHA’s
24
25 214 involvement in different types of activities. Some ASHAs suggested that a monthly payment of INR
26
27 215 2000-5000 (GBP 21.40-53.60) could be reasonable.
28
29
30
31

32 216 *“I wish to do full time ASHA work only, but for that I need to get a fixed salary, I expect that*
33
34 217 *government should really look into this matter”*. [Tribal ASHA-1]
35
36

37 218 The MOs, ANMs, and BF supported the need to increase the honorarium to reward good work.
38
39

40 219 *“I advocate that the good work of ASHA should be awarded with increase in the honorarium”*
41
42

43 220 [ANM-3]
44

45 221 **Modifying Factors affecting perception of workload: time, travel and energy**

46
47 222 Most ASHAs described time spent for ASHA activities as moderate (2-4 hours/day), more so in tribal
48
49 223 areas. (Table 5). Two thirds of ASHAs reported feeling tired and rushed in the previous week, mainly
50
51 224 because of ASHA work. Almost three-quarters considered their ASHA role reduced the time they had
52
53 225 to spend with their family and described how it encroached on time for other (paid) work.
54
55
56
57
58
59
60
61

1 226 The qualitative interviews explored a typical ASHA day. The results showed that they started early,
2 227 completing household activities and then taking up ASHA duties. They had to leave home early when
3
4 228 additional tasks (such as surveys, camps) were assigned to them periodically throughout the year.
5
6

7 229 This contrasts with perceptions of senior staff who considered that ASHAs spend 80% of their time
8
9 230 for household work and give only 20% time to ASHA activities. It was observed that during seasonal
10
11 231 occupations (e.g. agriculture) ASHAs were unable to complete all activities.
12
13

14 232 *“The seasonal work hampers regular work of ASHA”* [ANM-4]
15
16

17 233 The residential status of ASHA was intended to limit travel time. However, villages located in
18
19 234 mountainous areas were inaccessible with poor roads and lack of transport meant tribal ASHAs had to
20
21 235 walk through the hills to reach remote settlements. Private vehicles had to be paid for from their own
22
23 236 pockets, unless they were fortunate to get a free lift. Sometimes, antenatal visits to remote hamlets
24
25 237 were skipped. ANMs were aware of these challenges. The BF demanded bicycles for ASHAs who
26
27 238 travelled daily more than six kilometres.
28
29
30

31 239 One of the MO expressed this differently,
32
33

34 240 *“ASHA need to mingle with people in the neighbourhood and chat with them and*
35
36 241 *simultaneously do the work which is challenging to meet with timings”*. [MO-1]
37
38

39 242 **Motivation of ASHA: Pride and Respect**

40
41

42 243 *Evolution of motivation for undertaking ASHA work*

43
44

45 244 Most ASHAs were content with their job and *“proud”* of their work. They believed their work was
46
47 245 *“social work”* for a good cause, beneficial to the community. Their attitude towards work was good;
48
49 246 they worked happily and were committed to the role. Villagers appreciated their hard work. This trust
50
51 247 helped them to gain entry to homes. ASHAs were considered as family members with whom even
52
53 248 sensitive information could be shared.
54
55
56
57
58
59
60
61
62
63
64
65

1 249 *“I feel proud (abhimaan) to work as ASHA worker. I feel I am doing social work and feel*
2 250 *satisfied. I do not have any problem about payment, but I get an opportunity to do social work”*

3
4 251 [Rural ASHA-3]

5
6
7 252 Personal circumstances did not stop performance of ASHA work. In one situation,

8
9
10 253 *“I was in an emotional turmoil for a month after my sister’s death, but I continued with the*

11
12 254 *duties even then”*. [Rural ASHA-4]

13
14
15 255 She further recognised that people acknowledged her efforts to bring positive change to health care in
16
17 256 the hamlet and proudly stated:

18
19
20 257 *“Now women go to hospitals for deliveries”*. [Rural ASHA-4]

21
22 258 Though envisaged as ‘activists’ ASHAs had started considering themselves as ‘workers’. This was

23
24
25 259 apparent in the terminology used. In none of the interviews was the word ‘activist’ used. ASHA

26
27 260 typically described themselves as ‘ASHA workers’:

28
29
30 261 *“Other women work in the farms only and are housewives, but I am an ASHA worker. I feel*

31
32 262 *proud that I am engaged in government work also, I feel proud”*. [Tribal ASHA-2]

33
34
35 263 ***Cordial team relationships***

36
37 264 ASHAs had cordial relations with colleagues and senior staff. Although they followed instructions

38
39 265 and fulfilled their expected duties, the ANMs and BF were aware that ASHAs were voluntary workers

40
41
42 266 and were in a position to refuse tasks, so they respected and supported them in personal matters.

43
44
45 267 *‘We cannot act as bosses of ASHA, as they are voluntary workers’*. [BF-1]

46
47 268 ***Appreciation of efforts and good work by the community and team:***

48
49
50 269 ASHAs earned respect and recognition in their villages and were acknowledged for their clinical

51
52 270 knowledge. They felt very proud about their work. One ASHA described how the training and

53
54 271 experience had equipped her to manage the care of pregnant woman, referring the woman to the PHC

55
56 272 and ensuring safe delivery. This gave great satisfaction and people’s blessings even though the

273 remuneration was small. Tribal ASHAs were appreciated for the time devoted to work and efficient
1
2 274 service provision.

275 **Discussion**

276 *Summary*

277 ASHAs work up to 20 hours a week in their village of residence, serving populations of about 800-
10
11 1200 embracing an increasing range of activities despite a workload that contributed to feeling rushed
12
13 and tired. Practical travel problems added to time involved, especially in tribal areas with little/no
14
15 transport. ASHAs have to prioritise between household work, other jobs and responsibilities
16
17 (families; seasonal agricultural work) and their ASHA activities. Despite the small honorarium, their
18
19 sense of benefiting the community, and the respect and recognition at village level brought pride,
20
21 happiness and job satisfaction. Significantly, however, they described themselves as “workers”, not
22
23
24
25
26 “activists”.

285 *Strengths and limitations:*

286 The study’s strength is the triangulation of data that enables qualitative exploration of the quantitative
31
32 survey data. The multi stakeholder perspective (interviews with ASHAs, ANMs, BFs and MOs)
33
34 helped provide holistic understanding of the findings.
35
36

289 We were aware of reflexivity throughout the research process⁽²⁷⁾ Data collection aimed to ensure
39
40 construction of topics between researchers and participants. Meanings were negotiated and
41
42 understood within the particular social context and validated in discussion with other researchers. The
43
44 final interpretation was a consolidation of the perspectives of participants (at the final feedback
45
46 meeting), researchers, the lay and professional stakeholders (involved with the on-going project
47
48 discussions) and the multidisciplinary research team.
49
50

295 Although our study was limited to two diverse areas in one state, we recruited all ASHAs in those
53
54 areas to the survey and their demographic profile was similar to that of other studies. Our decision to
55
56 purposively sample ASHA of more than 5 years standing for the qualitative interviews enabled us to
57
58

298 gain perspectives from experienced ASHA who would have understood the evolution of the role, but
299 meant that we do not have in-depth views of relatively new ASHA.

300 The data were collected during monthly meetings that enabled 100% participation, but this may have
301 affected the answers as, despite reassurances of confidentiality, ASHAs may have been reticent to
302 give their honest opinions as they knew the study was being promoted by their managers. ASHAs
303 were given a private space to complete the questionnaires and asked to consult a study researcher (not
304 a colleague) in case of any difficulty. Interviews were conducted in Marathi, the language spoken and
305 understood by ASHAs, though with a different dialect in rural and tribal areas. Interview guides were
306 not back-translated due to lack of resources. English translations might have lost nuances of speech,
307 though the researchers were fluent in both languages.

308 **Interpretation in the light of existing literature**

309 *Characteristics of ASHA*

310 As originally envisaged, ASHA must be the resident female volunteer of age 30-39 years, educated to
311 at least 8th grade.⁽²⁾ These selection criteria were fulfilled by rural ASHAs in our study and similar
312 characteristics have been reported in the states of Madhya Pradesh and Uttar Pradesh^(21, 22). However,
313 tribal ASHAs were younger and many were less educated; reflecting findings from the state of Gujrat
314⁽²⁰⁾ and Orissa who similarly showed some relaxation of these criteria.⁽²⁸⁾ This suggests our findings
315 might have wider applicability within India and potentially in similar global contexts.⁽²⁹⁾

316 **Workload: time, travel and energy:**

317 Reflecting the remote topography and poor transport links, we observed significant differences
318 between working arrangements in tribal settings compared to rural areas with respect to work area,
319 number of villages and population served.

320 Village residence was intended to limit travel, but we found that in reality ASHAs have to travel
321 regularly for home visits, camps, surveys, meetings, training etc. and those from tribal areas had
322 exhaustive travel as they served sparse populations in remote areas with poor transport. This echoes
323 findings from a time-motion study from South India which found that travel encroached on the time

324 tribal ASHAs spent performing duties ⁽³⁰⁾ . We found that most ASHAs work in their own village
1
2 325 serving populations of less than 1200. This is in contrast with populations as low as 454
3
4 326 persons/ASHA in Chhattisgarh to 1431 persons /ASHA in the State of Uttar Pradesh. Our survey
5
6 327 reported working around 12 hr/week and conducting 20 household visits /week, which is less than in
7
8 328 Karnataka where, ASHAs worked for 3.8 hours per day, covering a similar population but only
9
10 329 undertaking 10 household visits per week ⁽²²⁾. Substantiating findings from other studies, ⁽³¹⁾ our
11
12 330 participants explained how they have to juggle their ASHA work with family commitments and other
13
14 331 work, which contributed to feelings of tiredness and being rushed. The impact of lower educational
15
16 332 status on efficiency in performing regular tasks is supported by a study in Rajasthan, India ⁽¹²⁾.
17
18
19

20 333 ***Incentives***

21
22
23 334 Many studies have reported the discontent over the small incentives and the demands for a simpler
24
25 335 process of payment without any administrative delays. ⁽³²⁾ Having a regular flow of funds is important
26
27 336 to avoid demotivation ⁽³³⁾. In Punjab, incentives were found to be both empowering and conversely, a
28
29 337 source of distress to ASHAs and family members. Incentives gave a sense of freedom, but the small,
30
31 338 irregular and incomplete payments put pressure on families and was a major factor influencing
32
33 339 prioritisation between ASHA activities and other paid jobs ⁽¹⁸⁾. In Pakistan, Lady Health Workers
34
35 340 have requested some stability of payment to sustain themselves ⁽¹⁹⁾. Case studies from Iran, Ethiopia,
36
37 341 India, Bangladesh and Nepal have reported that minimal incentives limit the focus of CHW work and
38
39 342 improving the financial incentives results in the activities being prioritised ⁽²⁹⁾. In Orissa, a higher
40
41 343 income and improved recognition contributed to feelings of self- efficacy. ⁽²⁸⁾
42
43
44
45

46 344 **Evolution of ASHA role**

47
48
49 345 In common with previous research ^[10,12,18,19,28,29,32,] although ASHAs in our survey were disappointed
50
51 346 with incentives, they were generally happy and satisfied with the work and were motivated to
52
53 347 continue. Our qualitative analysis, however reflected more fundamental contemporary attitudes to
54
55 348 ASHA's status.
56
57
58
59
60
61
62
63
64
65

1
2 349 At its inception, it was envisaged that ASHAs would perform the role of activists, with the status of
3
4 350 volunteers to mobilise the community, facilitate health promotion and be the community
5
6 351 representatives working directly with health system functionaries to enhance health system
7
8 352 performance⁽³⁾. Colloquial terminology, however, describes ASHAs as ‘ASHA workers’ and not
9
10 353 ‘Accredited Social Health Activists’^(9,10,12). This reflects the move of many ASHAs to re-formulate
11
12 354 their status as workers within the public health system, answerable to line managers such as ANM or
13
14 355 MO. This change in perceived role has prompted some ASHAs to claim permanent jobs as employees
15
16 356 of the health system,⁽³⁴⁾ though the impact of such a change on their pride as “social activists” and the
17
18 357 respect that they gain in the community is not yet clear⁽³⁵⁾.
19
20 358 This evolution is apparent in other areas of India and other countries where CHWs have a pivotal role.
21
22 359 In Pakistan, LHW wanted a position to meet their new aspirations⁽¹⁹⁾. Within culturally diverse
23
24 360 regions of India, other studies have highlighted that the voluntary status of ASHA workers brought a
25
26 361 sense of honour and motivation^(18,30). In contrast, a study in a tribal area of Maharashtra reported that
27
28 362 community did not always appreciate the voluntary status of ASHA, leading to some mistrust about
29
30 363 their incentives which adversely affected the community response.⁽³²⁾
31
32

34 364 **Conclusion:**

35
36
37 365 Despite struggle between household commitments and ASHA activities, they are happy, satisfied and
38
39 366 willing to take on newer responsibilities .An increasing range of health activities would demand
40
41 367 investment of time, regular training, motivation, greater problem solving and leadership skills and
42
43 368 future studies need to focus on developing strategies to recruit, train, incentivise, and retain ASHAs of
44
45 369 the future⁽³⁶⁻³⁸⁾. The growing debate regarding voluntary status of ASHAs need to be understood and
46
47 370 addressed in term of working arrangements if ASHAs are to be remain as a key in achieving universal
48
49 371 health coverage in India⁽³⁹⁾.
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

373 **List of abbreviations:**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 374 ANM: Auxiliary Nurse Midwife
- 375 ASHA: Accredited Social Health Activists
- 376 BFF: Block Facilitator Female
- 377 CHW: Community Health Worker
- 378 LMIC: Low-Middle Income Countries
- 379 MO: Medical Officer
- 380 NCD: Non-communicable disease
- 381 PHC: Primary Health Centre
- 382 WHO: World Health Organization

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

383 **Declarations**

384 **Ethics approval and consent to participate**

385 The study protocol was approved by the King Edward Memorial Hospital Research Centre Ethics
386 Committee, (KEMHRC, EC Ref No KEMHRC/MHS/EC/134) Pune, India and was sponsored by
387 Academic and Clinical Central Office for Research and Development (ACCORD) at the University of
388 Edinburgh. Written informed consent was obtained from all study participants prior to data collection.

389 **Consent for publication**

390 Not applicable

391 **Availability of data and materials**

392 The data that support study findings are available from the corresponding author on reasonable
393 request.

394 **Competing interests**

395 All authors declare no competing interests

396 **Funding**

397 This research was funded by the National Institute for Health Research (NIHR) (16/136/109) using
398 UK aid from the UK Government to support global health research. The views expressed in this
399 publication are those of the author(s) and not necessarily those of the NIHR or the UK Department of
400 Health and Social Care.

401 **Author contributions**

402 AK, SJ, PL and HP with PS led the development of the protocol. PL and UC undertook data
403 collection supported by AK and SJ. AK and MG led the analysis in discussion with, SJ, PS and HP.
404 AK wrote the first draft of the manuscript with MG which was critically reviewed and refined by PL,
405 UC, SJ, PS and HP. AS was PI of RESPIRE, contributed to the design of the study and commented
406 critically on the draft manuscript. RESPIRE UMC members provided advice and contributed to

1
2 407 discussions from time to time. All authors contributed to critical revision of the manuscript and
3 approved the final version.

4
5 409 The RESPIRE collaboration includes Steve Cunningham, Farzana Khan, Colin Simpson, David
6
7 410 Weller, Alimuddin Zumla, Andrew Morris, Roberto Rabinovich, Tabish Hazir, Li Ping Wong, Pam
8
9 411 Smith, Rita Isaac, Parag Khatavkar, Osman Yusuf, Shahida Yusuf, Liz Grant, Harry Campbell, Aziz
10
11 412 Sheikh

13
14 413 **Acknowledgments:**

15
16
17 414 We gratefully acknowledge all study participants for their participation. We acknowledge Field
18
19 415 Research Assistants of Vadu HDSS for data collection and Mr Prashant Kulkarni for undertaking
20
21 416 translations. We sincerely thank all stakeholders of the health system for their active participation in
22
23 417 the study.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

418 **References**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 419 1. Bhutta ZA, Lassi ZS, Pariyo G, Huicho L. Global experience of community health workers
420 for delivery of health-related millennium development goals: a systematic review, country
421 case studies, and recommendations for integration into national health systems. *Global health
422 workforce Alliance*. 2010;1:61.
- 423 2. Javanparast S, Windle A, Freeman T, Baum F. Community health worker programs to
424 improve healthcare access and equity: Are they only relevant to low-and middle-income
425 countries?. *International journal of health policy and management*. 2018;7:943.
- 426 3. Ministry of Health and Family Well-fare, Accredited Social Health Activist(ASHA)
427 Operational Guidelines for ASHA under NRHM (2005): accessed 24FEB2020
- 428 4. Ministry of Health and Family Welfare-Update on ASHA program Jan 2017, National Health
429 Mission., accessed 01Jan 2020
- 430 5. Evaluation of Accredited Social Health Activists (ASHA)Press Information Bureau
431 Government of India Ministry of Health and FamilyWelfare27-February-2015 12:
- 432 6. Wagner AL, Porth JM, Bettampadi D, Boulton ML. Have community health workers
433 increased the delivery of maternal and child healthcare in India? *Journal of Public Health*.
434 2018;40:e164-70.
- 435 7. Evaluation of ASHA Program2010-11Executive_Summary. (n.d.).jhp0033-0137. (n.d.).
- 436 8. Perry H, Zulliger R, Scott K, Javadi D, Gergen J. Case studies of large-scale community
437 health worker programs: examples from Bangladesh, Brazil, Ethiopia, India, Iran, Nepal, and
438 Pakistan. *Afghanistan: Community-Based Health Care to the Ministry of Public Health*. 2013
439 Oct 28.
- 440 9. Sundararaman T, Ved R, Gupta G, Samatha M. Determinants of functionality and
441 effectiveness of community health workers: results from evaluation of ASHA program in
442 eight Indian states. In*BMC proceedings 2012 Sep (Vol. 6, No. 5, p. O30)*. BioMed Central.

- 443 10. Scott K, George AS, Ved RR. Taking stock of 10 years of published research on ASHA
1 programme: examining India's national community health worker programme from a health
2 444 systems perspective. *Health research policy and systems*. 2019;17:29.
3
4 445
5
6 446 11. Survey International Institute of Population Sciences. National Family Health Survey(NFHS-
7 447 4).Mumbai,India, <https://dhsprogram.com/pubs/pdf/FR339/FR339.pdf>.
8
9 448 12. Sharma R, Webster P, Bhattacharyya S. Factors affecting the performance of community
10 health workers in India: a multi-stakeholder perspective. *Global health action*. 2014;7:25352.
11 449
12
13 450 13. Saprii L, Richards E, Kokho P, Theobald S. Community health workers in rural India:
14 analysing the opportunities and challenges Accredited Social Health Activists (ASHAs) face
15 451 in realising their multiple roles. *Human resources for health*. 2015;13:95.
16
17 452
18 453 14. Paul D, Gopalakrishnan S, Singh P. Functioning of accredited social health activists (ASHAs)
19 in ICDS: an evaluation. *Health and Population--Perspective and Issues*. 2013;36:78-89.
20 454
21
22 455 15. Kok MC, Broerse JE, Theobald S, Ormel H, Dieleman M, Taegtmeier M. Performance of
23 community health workers: situating their intermediary position within complex adaptive
24 456 health systems. *Human Resources for Health*. 2017;15:59.
25
26 457
27 458 16. Kaur D, Thakur M, Singh A, Saini SK. Workload and Perceived Constraints of Anganwadi
28 Workers. *Nursing and Midwifery Research Journal*. 2016;12:18-24.
29 459
30
31 460 17. Paul D, Gopalakrishnan S, Singh P. Functioning of Accredited Social Health Activists
32 (ASHAs) in ICDS: An evaluation. *Health and Population-Perspectives and Issues*.
33 461 2013;36:78-89.
34 462
35
36 463 18. Sarin E, Lunsford SS, Sooden A, Rai S, Livesley N. The mixed nature of incentives for
37 community health workers: lessons from a qualitative study in two districts in India. *Frontiers*
38 464 in public health. 2016;4:38.
39
40 465
41
42 466 19. Khan MS, Mehboob N, Rahman-Shepherd A, Naureen F, Rashid A, Buzdar N, Ishaq M.
43 What can motivate Lady Health Workers in Pakistan to engage more actively in tuberculosis
44 467 case-finding?. *BMC public health*. 2019;19:999.
45
46 468
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 469 20. Bansal SC, Nimbalkar SM, Shah NA, Shrivastav RS, Phatak AG. Evaluation of knowledge
1 and skills of home-based newborn care among Accredited Social Health Activists (ASHA).
2 470
3
4 471 Indian pediatrics. 2016;53:689-91.
5
6 472 21. Waskel B, Dixit S, Singodia R, Pal DK, Toppo M, Tiwari SC, Saroshe S. Evaluation of
7
8
9 473 ASHA Programme in selected block of Raisen district of Madhya Pradesh under the National
10
11 474 Rural Health Mission. J Evol Med Dent Sci. 2014;3:689-94.
12
13 475 22. Shashank KJ, Angadi MM, Masali KA, Wajantri P, Bhat S, Jose AP. A study to evaluate
14
15 476 working profile of accredited social health activist (ASHA) and to assess their knowledge
16
17 477 about infant health care. Int J Cur Res Rev. 2013;5:97-103.
18
19
20 478 23. Karol GS, Pattanaik BK. Community health workers and reproductive and child health care:
21
22 479 an evaluative study on knowledge and motivation of ASHA (Accredited social health activist)
23
24 480 Workers in Rajasthan, India. International Journal of Humanities and Social Science.
25
26 481 2014;4:137-50.
27
28
29 482 24. Srivastava SR, Srivastava PS. Evaluation of trained Accredited Social Health Activist
30
31 483 (ASHA) Workers regarding their knowledge, attitude and practice about child health. Rural
32
33 484 and Remote Health (online). 2012;12:2099.
34
35 485 25. Creswell JW, Klassen AC, Plano Clark VL, Smith KC. Best practices for mixed methods
36
37 486 research in the health sciences. Bethesda (Maryland): National Institutes of Health. 2011
38
39 ;2013:541-5.
40 487
41
42 488 26. Ministry of Women and Child Development, Government of India, Pradhan Mantri Matru
43
44 489 Vandana Yojana www.wcd.nic.in. Accessed 12 FEB 2020
45
46
47 490 27. Finlay L. "Outing" the researcher: The provenance, process, and practice of reflexivity.
48
49 491 Qualitative health research. 2002 Apr;12(4):531-45.
50
51 492 28. Gopalan SS, Mohanty S, Das A. Assessing community health workers' performance
52
53 493 motivation: a mixed-methods approach on India's Accredited Social Health Activists (ASHA)
54
55 494 program. BMJ open. 2012 Jan 1;2(5):e001557.
56
57
58
59
60
61
62
63
64
65

- 495 29. Singh D, Negin J, Otim M, Orach CG, Cumming R. The effect of payment and incentives on
1 motivation and focus of community health workers: five case studies from low-and middle-
2 496 income countries. *Human resources for health*. 2015 Dec;13(1):58.
3
4 497
5
6 498 30. Singh S, Upadhyaya S, Deshmukh P, Dongre A, Dwivedi N, Dey D, Kumar V. Time motion
7 study using mixed methods to assess service delivery by frontline health workers from South
8 499 India: methods. *Human resources for health*. 2018 Dec;16(1):17.
9
10 500
11
12 501 31. Mohapatra S, Nandakumar G, Dharmaraj SK. Barriers Encountered by Accredited Social
13 Health Activists (ASHA) in Arthritis Rehabilitation: A Qualitative Study. *Journal of clinical
14 502 and diagnostic research: JCDR*. 2017 Mar;11(3):YC01.
15
16 503
17
18 504 32. Bhatia K. Stakeholders' Perspectives. *Economic & Political Weekly*. 2014 May
19 505 31;49(22):145.
20
21 506 33. Guha I, Raut AV, Maliye CH, Mehendale AM, Garg BS. Qualitative Assessment of
22 Accredited Social Health Activists (ASHA) Regarding their roles and responsibilities and
23 507 factors influencing their performance in selected villages of Wardha. *International Journal of
24 508 Advanced Medical and Health Research*. 2018 Jan 1;5(1):21.
25
26 509
27
28 510 34. Regularization of NHM workers and ASHA in all over India, www.change.org, accessed 26
29 511 JUN 2018
30
31 512 35. Ahmad, S. Waseem, and M. Ashraf Ali. "Social Justice and The Constitution of India." *The
32 513 Indian Journal of Political Science*, vol. 67, no. 4, 2006, pp. 767–782. *JSTOR*,
33 514 www.jstor.org/stable/41856262.
34
35 515 36. Guidelines for ASHA Facilitators District ASHA Resource Centre, Gujarat
36 516 nrhm.gujrat.gov.in, accessed 12JAN 2020
37
38 517 37. Pyone T, Karvande S, Gopalakrishnan S, Purohit V, Nelson S, Balakrishnan SS, Mistry N,
39 518 Mathai M. Factors governing the performance of Auxiliary Nurse Midwives in India: A study
40 519 in Pune district. *PloS one*. 2019;14(12).
41
42 520 38. Ministry of Health and Family Welfare, Government of India, (2012) IPHS Guidelines for
43 521 Primary Health Centres www.health.bih.nic.in, accessed 12JAN 2020
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

522 39. WHO guideline on health policy and system support to optimize community health worker
1 programmes. Geneva: World Health Organization; 2018. ISBN 978-92-4-155036-9
2 523
3
4
5
6
7
8
9

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

[Click here to view linked References](#)

1 **Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the**
2 **workload of Community Health Workers (Accredited Social Health Activists) in India**

3 Anand Kawade¹ Manisha Gore² Pallavi Lele¹ Uddhavi Chavan¹ Hilary Pinnock³ Pam Smith⁴ Sanjay
4 Juvekar¹ for the RESPIRE collaboration⁵.

5 1. Vadu Rural Health Program, King Edward Memorial Hospital Research Centre (KEMHRC), Rasta
6 Peth, Pune, Maharashtra, India 411011

7 2. Symbiosis Institute of Health Sciences, Symbiosis International (Deemed) University, Lavale,
8 Mulshi, Pune, Maharashtra, India 411042

9 3. NIHR Global Health Research Unit on Respiratory Health (RESPIRE) Usher Institute, University
10 of Edinburgh, Doorway 3, Medical School, Teviot Place, Edinburgh EH8 9AG

11 4. NIHR Global Health Research Unit on Respiratory Health (RESPIRE), Nursing Studies, School of
12 Health in Social Science, University of Edinburgh, Teviot Place, Edinburgh EH8 9AG

13 5. RESPIRE collaboration

14 Corresponding author: Anand Kawade¹, email—askawade@yahoo.com

15 **Abstract**

16 **Background:**

17 Globally, Community Health Workers (CHWs) are integral contributors to many health systems. In
18 India, Accredited Social Health Activists (ASHAs) have been deployed since 2005. Engaged in
19 multiple health care activities, they are a key link between the health system and population. ASHAs
20 are expected to participate in new health programmes, prompting interest in their current workload
21 from the perspective of the health system, community and their family.

22 **Methods:**

23 This mixed methods design study was conducted in rural and tribal Primary Health Centers (PHCs),
24 in Pune district, Western Maharashtra, India. All ASHAs affiliated with these PHCs were invited to
25 participate in the quantitative study, those agreeing to contribute in-depth interviews (IDI) were
26 enrolled in an additional qualitative study. Key informants' interviews were conducted with the
27 Auxiliary Nurse Midwife (ANM), Block Facilitators (BFF) and Medical Officers (MO) of the same
28 PHCs. Quantitative data were analysed using descriptive statistics. Qualitative data were analysed
29 thematically.

30 **Results:**

31 We recruited 67 ASHAs from the two PHCs. ASHAs worked up to 20 hours/week in their village of
32 residence, serving populations of approximately 800-1200, embracing an increasing range of
33 activities, despite a workload that contributed to feelings of being rushed and constant tiredness. They
34 juggled household work, other paid jobs and their ASHA activities. Practical problems with travel
35 added to time involved, especially in tribal areas where transport is lacking. Their sense of benefiting
36 the community and respect and recognition in village brought happiness and job satisfaction. They
37 were willing to take on new tasks. ASHAs perceived themselves as “voluntary community health
38 workers” rather than as ‘health activists.’”

39 **Conclusions:**

40 ASHAs were struggling to balance their significant ASHA workload, and domestic tasks. They were
41 proud of their role as CHWs and willing to take on new activities. Strategies to recruit, train, skill

42 enhancement, incentivise, and retain ASHAs, need to be prioritised. Evolving attitudes to the
43 advantages/disadvantages of the current voluntary status of ASHAs need to be understood and
44 addressed in terms of working arrangements if ASHAs are to remain a key component in achieving
45 universal health coverage.

46 **Keywords: ASHA; Workload; Community Health Worker**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72

47 **Background:**

48 Globally, Community Health Workers (CHWs) contribute to achieving universal health care
49 coverage; a key target for meeting Sustainable Development Goals.⁽¹⁾ The World Health
50 Organization's (WHO) definition of a CHW is a person living and working within the local
51 community, being endorsed by the health system but not necessarily part of it and having shorter
52 training than professional workers. The scope of CHW's work often encompasses large-scale
53 programmes addressing local health problems in rural and remote areas of low- and middle-income
54 countries (LMICs).⁽²⁾

55 In 2005, the National Rural Health Mission of the Government of India launched an "Accredited Social
56 Health Activists "(ASHA) programme to facilitate accessible, affordable and quality healthcare to rural
57 populations.⁽³⁾ ASHA is a female resident of the village, educated at least to VIIIth grade (though may
58 not be enforced in tribal areas) who receives 23 days training over a year and on-going refresher
59 trainings. The primary role was intended to be liaison between healthcare systems and local
60 communities across diverse geographical locations.⁽⁴⁾ As multitaskers, ASHAs took on the ' social
61 health activist' roles of health educator and healthcare services facilitator with evidence of a positive
62 impact on healthcare-seeking behaviour, family planning, antenatal care and care in childbirth.⁽⁵⁻¹⁰⁾

63 Building on this success, policymakers have upscaled ASHA involvement in an increasing range of
64 health-related activities and interventions⁽¹¹⁻¹⁵⁾ as well as implementing governmental non-health
65 related schemes and surveys. For example, the Indian National Program for Prevention and Control
66 of Cancer, Diabetes, Cardiovascular Disease and Stroke involves ASHAs in screening, early
67 detection, referral and community mobilisation of Non-Communicable Diseases (NCDs). Thus,
68 ASHAs are engaged in almost 30 different activities which sometimes means non-health related
69 tasks might take priority over health-related issues.^(16,17)

70 The role of ASHA is therefore evolving, and demands an up-to-date comprehensive assessment of the
71 workload, incentives⁽¹⁸⁾ and understanding of the work profile from the perspectives of the health
72 system, community and ASHA herself in order to guide successful future implementation as well as

1 73 sustainability of the programme. Previous evaluations, many commissioned by the National ASHA
2 74 Mentoring Group⁽⁷⁾ provide qualitative exploration or quantitative assessment ⁽⁹⁻¹²⁾ of workload, often
3
4 75 in one specific context.^(19,20) or the context of maternal/child health tasks ⁽²¹⁻²⁴⁾. We had a broad
5
6 76 interest in both the full range of tasks and the different situations in which ASHA work and the
7
8 77 changing context in which their role is interpreted. We therefore used a mixed-methods approach to
9
10 78 assess and explore ASHAs' perspectives of their workload alongside that of local healthcare
11
12 79 colleagues in both rural and village contexts.

16 80 **Methods:**

19 81 The study was conducted in two Primary Health Centres (PHCs), one rural and the other tribal (often
20
21 82 remote, a defined inhabitants in India with shared ancestry and traditions) in Pune district of Western
22
23 83 Maharashtra, India during September 2018 to March 2019.

26 84 *Study area and context*

29 85 Over the last five decades, Vadu Rural Health Program's research and implementation activities have
30
31 86 developed a good collaboration with the local healthcare systems which facilitated the selection and
32
33 87 recruitment of the PHCs functionaries and ASHAs.

36 88 The rural PHC is located in an agricultural area with increasing industrialisation. This high-density
37
38 89 population had multiple sources of income, travel and communication facilities. Many private
39
40 90 hospitals and clinics provide multiple choices for healthcare. In contrast, the tribal PHC is located in
41
42 91 hilly terrain where a sparse, culturally homogenous population had fewer sources of income and poor
43
44 92 access to travel and communication and almost complete reliance on public healthcare facilities.

47 93 Selecting these diverse PHCs enabled us to study whether these contextual differences affected the
48
49 94 workload perception of ASHAs. Study participants disposition is described in Figure 1.

53 95 *Ethical approval and consent to participate*

56 96 The study protocol was approved by the King Edward Memorial Hospital Research Centre Ethics
57
58 97 Committee, Pune, India and was sponsored by Academic and Clinical Central Office for Research and

98 Development at the University of Edinburgh. Written informed consent was obtained from all study
1
2 99 participants prior to data collection.
3

4 5 100 *Quantitative methods* 6

7 101 The quantitative data were collected using paper-based self-administered questionnaires. All 67
8
9 102 ASHAs (44 from Rural PHC; 23 from Tribal PHC) responded when approached during their routine
10
11 103 monthly meetings and vaccination camps. The closed questions were on socio-demographic profile,
12
13 104 time spent on ASHA work and travel, perceptions of workload and its impact on them and their
14
15 105 family, opinions about remuneration, job satisfaction and family support. (See Additional file 1: Study
16
17 106 questionnaire)
18
19

20 21 107 *Qualitative methods* 22

23
24 108 Qualitative data were collected using in-depth interviews. We purposively sampled eight ASHAs,
25
26 109 four from each of the Rural and Tribal PHCs, based on diversity of experience (> 5 years),
27
28 110 educational background and other paid work. We also interviewed the two Medical Officers (MO),
29
30 111 four Auxiliary Nurse Midwives (ANM) and four Block Facilitators (BFF) from the same PHCs, each
31
32 112 of whom had at least a year's experience of supervising ASHAs. See footnote to Figure 1 for
33
34 113 definitions of these roles
35
36

37
38 114 We developed a conceptual framework (figure 2) to inform the interview guide based on open-
39
40 115 ended informal discussions with ASHAs and other colleagues. This helped us to understand the
41
42 116 workload in terms of time investment, travel, energy and effect of the work on ASHA's family and
43
44 117 self, and highlighted age, training, education, experience, work setting, incentives and other
45
46 118 occupation as influencing factors. The volunteer status of ASHA was important in interpreting
47
48 119 relative prioritisation of their work.
49
50

51
52 120 Topic guides were prepared in English (See Additional file 2 &3) and translated into the local
53
54 121 language (Marathi) by the Field Research Assistants during their training, reviewed by researchers,
55
56 122 and piloted before finalisation. During data collection, the conversation focused on enquiry around
57
58 123 typical daily activities. This led to probing on ASHA activities, household activities and other
59
60

124 occupations, their perceptions of workload related to the different tasks, the challenges and
125 motivations to continue the work. The qualitative interviews were conducted by graduate-level
126 trained qualitative researchers, supported by a note-taker who had experience of working with the
127 health and demographic surveillance system.

128 *Data handling and analysis*

129 The quantitative data were analysed using Stata version 15.0 and described as means and percentages.
130 In-depth interviews were audio-recorded, transcribed verbatim into Marathi and translated into
131 English by an independent qualitative researcher. Analysis, facilitated by MAXQDA version 11.0 was
132 undertaken by MG (anthropologist) supported by UC (health scientist) and PL (epidemiologist and
133 qualitative researcher) who were also involved in data collection, cleaning and coding. Using an
134 inductive approach, the transcripts were read repeatedly to identify frequently reported patterns
135 related to objectives with similarities and differences. These were coded, and categories developed,
136 and emergent themes identified after discussion with wider team including an experienced
137 anthropologist, medical and nursing experts. The data were triangulated using a ‘connecting data’
138 process⁽²⁵⁾ so that quantitative results were complemented by anecdotal evidence, reasons, examples
139 and experiences from the qualitative data.

140 *Interpretation and stakeholder engagement*

141 Throughout the data collection and analysis, the multidisciplinary team met regularly to discuss
142 emerging themes and to ensure balanced interpretation mitigating reflexivity. Supported by the
143 stakeholder engagement platform of RESPIRE ([https://www.ed.ac.uk/usher/respire/about/supporting-
144 platforms/platform-i-stakeholder-engagement-governance](https://www.ed.ac.uk/usher/respire/about/supporting-platforms/platform-i-stakeholder-engagement-governance)), we engaged with professional and lay
145 stakeholders including the participating MO, ANM and BF throughout the research process in order to
146 broaden perspectives. A dissemination meeting was organised with all study participants and
147 feedback encouraged.

148 **Results**

149 *Participants’ characteristics*

150 We recruited 67 ASHAs who completed the questionnaire; two thirds were from rural area (n=43)
151 with more than half (n=38) being in age group of 30-39 years. Almost all were married (n=64) and
152 82% (n=55) were engaged in family agricultural work in addition to ASHA work.

153 *Conceptual framework*

154 Figure 3 is the conceptual framework which illustrates our understanding of ASHA's perceived
155 workload. The qualitative results are provided in Tables 1-5, with key findings presented in the text
156 below. Perception of workload was influenced by multiple interacting factors such as characteristics,
157 tasks, work settings of ASHA and modified by time, travel, energy and motivation. Finally, we
158 present the evolving nature of ASHA's motivation.

159 **Influencing factors: characteristics of ASHA, their tasks and work settings**

160 *Education, training and experience*

161 There were significant differences between ASHAs from rural and tribal areas. (Table 1). Rural
162 ASHAs had higher educational attainment (five were graduates) and most (81%) were from an open
163 category compared to tribal ASHAs, most of whom were from scheduled tribes. (see foot note of table
164 1 for definitions). A third of tribal ASHAs had more than 10 years of experience as against none from
165 rural. The relatively poor literacy of some of the tribal ASHAs affected documentation and record
166 keeping. This was highlighted by an ANM who noted that these ASHAs required additional assistance
167 and time for tasks completion:

168 *"ASHAs with low education levels don't always remember everything from the trainings given to*
169 *them".[ANM-1]*

170 *Domestic responsibilities and other occupations*

171 Being married, many of ASHAs struggled to balance daily household chores and ASHA
172 responsibilities. Most had additional jobs and seasonal work to supplement the family income which
173 sometimes hampered routine ASHA tasks. Despite this, the BFF appreciated the work of tribal
174 ASHAs who devoted considerable time to ASHA work.

175 *Willingness for additional activities*

176 During preceding six months, 58/67 (88%) of ASHAs had carried out up to 10 additional activities
177 (surveys, epidemic survey, etc.). Despite time constraints and completion pressures, all of them were
178 willing to take on more tasks (Table 2) with the hope of reasonable compensation and travel support.

179 *“We are willing to accept new workload but, of course, in return for handsome compensation,*
180 *we have put forth the same demand for survey work also. Family members expect us to bring*
181 *money for additional efforts we are putting”* [Rural ASHA-1]

182 The ANM supported ASHAs in taking up new activities especially if it didn't involve much travel or
183 didn't disturb their existing schedule. One ANM stated:

184 *“Health authorities at the state level have instructed us not to pressurise ASHAs for additional work*
185 *as their incentives are very low”* [ANM-2]

186 *“ Medical officers have told us in one of the training that that our work is voluntary and at any point*
187 *of time we can refuse to take up any assigned tasks to us”* (Rural ASHA-2)

188 **Tasks of ASHA**

189 Table 3 shows that most ASHAs [56 (85%)] worked in their village of residence, though 9 (39%)
190 tribal ASHAs had responsibility for an additional village. Workload, in terms of household visits
191 (typically 20-40 visits/week) and working hours (between 12-20 hours) was similar in the two areas,
192 though tribal ASHA had responsibility for smaller populations (<800 vs > 800-1200 for rural ASHA).
193 ASHAs visited their BFF and ANM between one and four times a month.

194 **Work setting**

195 Tribal ASHAs and the ANMs explained how the demography of remote populations affected
196 workload and incentives. Urbanisation and migration meant young people had left the villages leading
197 to loss of incentives from maternal and child health services. Although home visits were mandatory,
198 when ASHAs working in remote areas were unable to do frequent home visits, then telephone follow-
199 ups were acceptable. Some discrepancies were noted in workload assessment. A BF estimated that
200 ASHAs worked for 1 to 1.5 hours daily (excluding Sundays). This comes to 6-9 hours/week, in
201 contrast to the survey findings that 46% of ASHA reported working 12-20 hours/week.(Table 3).This

202 could be because of non-regular activities, e.g. ASHAs have to do home visits to facilitate scheme
1
2 203 benefits to pregnant women such as “Pradhan Mantri Matru Vandana Yojana” (a national maternity
3
4 204 benefit programme providing a cash incentive of INR 5,000 (GBP £53.64) to pregnant women and
5
6 205 lactating mothers in respect of the first living child of the family.⁽²⁶⁾
7
8

9 206 ***Honoraria and incentives***

10
11 207 ASHAs received incentives for activities like family planning, antenatal and postnatal care, home
12
13 208 based follow-up of new-born, immunisation. Almost all ASHAs were happy and satisfied with these
14
15 209 activities, despite most receiving monthly incentives less than INR 1500 (GBP £16.09) (Table 4).
16
17 210 These ASHAs though happy and satisfied with their respective work, showed disappointment on the
18
19 211 honorarium they received when compared with time required to complete allotted work. They were
20
21 212 willing to do more for the benefit of the communities they served , but expected more incentives
22
23 213 (*‘Mobadla’*: money earned against work). MOs and ANMs used incentives to motivate ASHA’s
24
25 214 involvement in different types of activities. Some ASHAs suggested that a monthly payment of INR
26
27 215 2000-5000 (GBP 21.40-53.60) could be reasonable.
28
29
30
31

32 216 *“I wish to do full time ASHA work only, but for that I need to get a fixed salary, I expect that*
33
34 217 *government should really look into this matter”*. [Tribal ASHA-1]
35
36

37 218 The MOs, ANMs, and BF supported the need to increase the honorarium to reward good work.
38
39

40 219 *“I advocate that the good work of ASHA should be awarded with increase in the honorarium”*
41
42

43 220 [ANM-3]
44

45 221 **Modifying Factors affecting perception of workload: time, travel and energy**

46
47 222 Most ASHAs described time spent for ASHA activities as moderate (2-4 hours/day), more so in tribal
48
49 223 areas. (Table 5). Two thirds of ASHAs reported feeling tired and rushed in the previous week, mainly
50
51 224 because of ASHA work. Almost three-quarters considered their ASHA role reduced the time they had
52
53 225 to spend with their family and described how it encroached on time for other (paid) work.
54
55
56
57
58
59
60
61

1
2 226 The qualitative interviews explored a typical ASHA day. The results showed that they started early,
3
4 227 completing household activities and then taking up ASHA duties. They had to leave home early when
5
6 228 additional tasks (such as surveys, camps) were assigned to them periodically throughout the year.

7 229 This contrasts with perceptions of senior staff who considered that ASHAs spend 80% of their time
8
9 230 for household work and give only 20% time to ASHA activities. It was observed that during seasonal
10
11 231 occupations (e.g. agriculture) ASHAs were unable to complete all activities.

12
13
14 232 *“The seasonal work hampers regular work of ASHA”* [ANM-4]
15
16

17 233 The residential status of ASHA was intended to limit travel time. However, villages located in
18
19 234 mountainous areas were inaccessible with poor roads and lack of transport meant tribal ASHAs had to
20
21 235 walk through the hills to reach remote settlements. Private vehicles had to be paid for from their own
22
23 236 pockets, unless they were fortunate to get a free lift. Sometimes, antenatal visits to remote hamlets
24
25 237 were skipped. ANMs were aware of these challenges. The BF demanded bicycles for ASHAs who
26
27 238 travelled daily more than six kilometres.

28
29
30
31 239 One of the MO expressed this differently,
32

33
34 240 *“ASHA need to mingle with people in the neighbourhood and chat with them and*
35
36 241 *simultaneously do the work which is challenging to meet with timings”*. [MO-1]
37
38

39 242 **Motivation of ASHA: Pride and Respect**

40 41 243 *Evolution of motivation for undertaking ASHA work*

42
43
44 244 Most ASHAs were content with their job and *“proud”* of their work. They believed their work was
45
46 245 *“social work”* for a good cause, beneficial to the community. Their attitude towards work was good;
47
48 246 they worked happily and were committed to the role. Villagers appreciated their hard work. This trust
49
50 247 helped them to gain entry to homes. ASHAs were considered as family members with whom even
51
52 248 sensitive information could be shared.
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2 250 *“I feel proud (abhimaan) to work as ASHA worker. I feel I am doing social work and feel*
3 *satisfied. I do not have any problem about payment, but I get an opportunity to do social work”*

4 251 [Rural ASHA-3]

5
6
7 252 Personal circumstances did not stop performance of ASHA work. In one situation,

8
9
10 253 *“I was in an emotional turmoil for a month after my sister’s death, but I continued with the*
11 *duties even then”*. [Rural ASHA-4]

12 254
13
14 255 She further recognised that people acknowledged her efforts to bring positive change to health care in
15
16
17 256 the hamlet and proudly stated:

18
19
20 257 *“Now women go to hospitals for deliveries”*. [Rural ASHA-4]

21
22 258 Though envisaged as ‘activists’ ASHAs had started considering themselves as ‘workers’. This was
23
24
25 259 apparent in the terminology used. In none of the interviews was the word ‘activist’ used. ASHA
26
27 260 typically described themselves as ‘ASHA workers’:

28
29
30 261 *“Other women work in the farms only and are housewives, but I am an ASHA worker. I feel*
31 *proud that I am engaged in government work also, I feel proud”*. [Tribal ASHA-2]

32 262
33
34
35 263 ***Cordial team relationships***

36
37 264 ASHAs had cordial relations with colleagues and senior staff. Although they followed instructions
38
39
40 265 and fulfilled their expected duties, the ANMs and BF were aware that ASHAs were voluntary workers
41
42 266 and were in a position to refuse tasks, so they respected and supported them in personal matters.

43
44
45 267 *‘We cannot act as bosses of ASHA, as they are voluntary workers’*. [BF-1]

46
47 268 ***Appreciation of efforts and good work by the community and team:***

48
49
50 269 ASHAs earned respect and recognition in their villages and were acknowledged for their clinical
51
52 270 knowledge. They felt very proud about their work. One ASHA described how the training and
53
54
55 271 experience had equipped her to manage the care of pregnant woman, referring the woman to the PHC
56
57 272 and ensuring safe delivery. This gave great satisfaction and people’s blessings even though the
58
59
60
61
62
63
64
65

273 remuneration was small. Tribal ASHAs were appreciated for the time devoted to work and efficient
1
2 274 service provision.

275 **Discussion**

276 *Summary*

277 ASHAs work up to 20 hours a week in their village of residence, serving populations of about 800-
10
11 1200 embracing an increasing range of activities despite a workload that contributed to feeling rushed
12
13 and tired. Practical travel problems added to time involved, especially in tribal areas with little/no
14
15 transport. ASHAs have to prioritise between household work, other jobs and responsibilities
16
17 (families; seasonal agricultural work) and their ASHA activities. Despite the small honorarium, their
18
19 sense of benefiting the community, and the respect and recognition at village level brought pride,
20
21 happiness and job satisfaction. Significantly, however, they described themselves as “workers”, not
22
23
24
25
26 “activists”.

285 *Strengths and limitations:*

286 The study’s strength is the triangulation of data that enables qualitative exploration of the quantitative
31
32 survey data. The multi stakeholder perspective (interviews with ASHAs, ANMs, BFs and MOs)
33
34 helped provide holistic understanding of the findings.
35
36

289 We were aware of reflexivity throughout the research process⁽²⁷⁾ Data collection aimed to ensure
39
40 construction of topics between researchers and participants. Meanings were negotiated and
41
42 understood within the particular social context and validated in discussion with other researchers. The
43
44 final interpretation was a consolidation of the perspectives of participants (at the final feedback
45
46 meeting), researchers, the lay and professional stakeholders (involved with the on-going project
47
48 discussions) and the multidisciplinary research team.
49
50

295 Although our study was limited to two diverse areas in one state, we recruited all ASHAs in those
53
54 areas to the survey and their demographic profile was similar to that of other studies. Our decision to
55
56 purposively sample ASHA of more than 5 years standing for the qualitative interviews enabled us to
57
58

298 gain perspectives from experienced ASHA who would have understood the evolution of the role, but
299 meant that we do not have in-depth views of relatively new ASHA.

300 The data were collected during monthly meetings that enabled 100% participation, but this may have
301 affected the answers as, despite reassurances of confidentiality, ASHAs may have been reticent to
302 give their honest opinions as they knew the study was being promoted by their managers. ASHAs
303 were given a private space to complete the questionnaires and asked to consult a study researcher (not
304 a colleague) in case of any difficulty. Interviews were conducted in Marathi, the language spoken and
305 understood by ASHAs, though with a different dialect in rural and tribal areas. Interview guides were
306 not back-translated due to lack of resources. English translations might have lost nuances of speech,
307 though the researchers were fluent in both languages.

308 **Interpretation in the light of existing literature**

309 *Characteristics of ASHA*

310 As originally envisaged, ASHA must be the resident female volunteer of age 30-39 years, educated to
311 at least 8th grade.⁽²⁾ These selection criteria were fulfilled by rural ASHAs in our study and similar
312 characteristics have been reported in the states of Madhya Pradesh and Uttar Pradesh^(21, 22). However,
313 tribal ASHAs were younger and many were less educated; reflecting findings from the state of Gujrat
314⁽²⁰⁾ and Orissa who similarly showed some relaxation of these criteria.⁽²⁸⁾ This suggests our findings
315 might have wider applicability within India and potentially in similar global contexts.⁽²⁹⁾

316 **Workload: time, travel and energy:**

317 Reflecting the remote topography and poor transport links, we observed significant differences
318 between working arrangements in tribal settings compared to rural areas with respect to work area,
319 number of villages and population served.

320 Village residence was intended to limit travel, but we found that in reality ASHAs have to travel
321 regularly for home visits, camps, surveys, meetings, training etc. and those from tribal areas had
322 exhaustive travel as they served sparse populations in remote areas with poor transport. This echoes
323 findings from a time-motion study from South India which found that travel encroached on the time

324 tribal ASHAs spent performing duties ⁽³⁰⁾ . We found that most ASHAs work in their own village
1
2 325 serving populations of less than 1200. This is in contrast with populations as low as 454
3
4 326 persons/ASHA in Chhattisgarh to 1431 persons /ASHA in the State of Uttar Pradesh. Our survey
5
6 327 reported working around 12 hr/week and conducting 20 household visits /week, which is less than in
7
8 328 Karnataka where, ASHAs worked for 3.8 hours per day, covering a similar population but only
9
10 329 undertaking 10 household visits per week ⁽²²⁾. Substantiating findings from other studies, ⁽³¹⁾ our
11
12 330 participants explained how they have to juggle their ASHA work with family commitments and other
13
14 331 work, which contributed to feelings of tiredness and being rushed. The impact of lower educational
15
16 332 status on efficiency in performing regular tasks is supported by a study in Rajasthan, India ⁽¹²⁾.
17
18

333 ***Incentives***

334 Many studies have reported the discontent over the small incentives and the demands for a simpler
23
24 335 process of payment without any administrative delays. ⁽³²⁾ Having a regular flow of funds is important
25
26 336 to avoid demotivation ⁽³³⁾. In Punjab, incentives were found to be both empowering and conversely, a
27
28 337 source of distress to ASHAs and family members. Incentives gave a sense of freedom, but the small,
29
30 338 irregular and incomplete payments put pressure on families and was a major factor influencing
31
32 339 prioritisation between ASHA activities and other paid jobs ⁽¹⁸⁾. In Pakistan, Lady Health Workers
33
34 340 have requested some stability of payment to sustain themselves ⁽¹⁹⁾. Case studies from Iran, Ethiopia,
35
36 341 India, Bangladesh and Nepal have reported that minimal incentives limit the focus of CHW work and
37
38 342 improving the financial incentives results in the activities being prioritised ⁽²⁹⁾. In Orissa, a higher
39
40 343 income and improved recognition contributed to feelings of self- efficacy. ⁽²⁸⁾
41
42
43
44
45

344 **Evolution of ASHA role**

345 In common with previous research ^[10,12,18,19,28,29,32,] although ASHAs in our survey were disappointed
46
47 346 with incentives, they were generally happy and satisfied with the work and were motivated to
48
49 347 continue. Our qualitative analysis, however reflected more fundamental contemporary attitudes to
50
51 348 ASHA's status.
52
53
54
55
56
57
58
59
60
61
62
63
64
65

1
2 349 At its inception, it was envisaged that ASHAs would perform the role of activists, with the status of
3
4 350 volunteers to mobilise the community, facilitate health promotion and be the community
5
6 351 representatives working directly with health system functionaries to enhance health system
7
8 352 performance⁽³⁾. Colloquial terminology, however, describes ASHAs as ‘ASHA workers’ and not
9
10 353 ‘Accredited Social Health Activists’^(9,10,12). This reflects the move of many ASHAs to re-formulate
11
12 354 their status as workers within the public health system, answerable to line managers such as ANM or
13
14 355 MO. This change in perceived role has prompted some ASHAs to claim permanent jobs as employees
15
16 356 of the health system,⁽³⁴⁾ though the impact of such a change on their pride as “social activists” and the
17
18 357 respect that they gain in the community is not yet clear⁽³⁵⁾.

19
20 358 This evolution is apparent in other areas of India and other countries where CHWs have a pivotal role.
21
22 359 In Pakistan, LHW wanted a position to meet their new aspirations⁽¹⁹⁾. Within culturally diverse
23
24 360 regions of India, other studies have highlighted that the voluntary status of ASHA workers brought a
25
26 361 sense of honour and motivation^(18,30). In contrast, a study in a tribal area of Maharashtra reported that
27
28 362 community did not always appreciate the voluntary status of ASHA, leading to some mistrust about
29
30 363 their incentives which adversely affected the community response.⁽³²⁾

34 364 **Conclusion:**

35
36
37 365 Despite struggle between household commitments and ASHA activities, they are happy, satisfied and
38
39 366 willing to take on newer responsibilities .An increasing range of health activities would demand
40
41 367 investment of time, regular training, motivation, greater problem solving and leadership skills and
42
43 368 future studies need to focus on developing strategies to recruit, train, incentivise, and retain ASHAs of
44
45 369 the future⁽³⁶⁻³⁸⁾. The growing debate regarding voluntary status of ASHAs need to be understood and
46
47 370 addressed in term of working arrangements if ASHAs are to be remain as a key in achieving universal
48
49 371 health coverage in India⁽³⁹⁾.

50
51
52 372

373 **List of abbreviations:**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 374 ANM: Auxiliary Nurse Midwife
- 375 ASHA: Accredited Social Health Activists
- 376 BFF: Block Facilitator Female
- 377 CHW: Community Health Worker
- 378 LMIC: Low-Middle Income Countries
- 379 MO: Medical Officer
- 380 NCD: Non-communicable disease
- 381 PHC: Primary Health Centre
- 382 WHO: World Health Organization

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

383 **Declarations**

384 **Ethics approval and consent to participate**

385 The study protocol was approved by the King Edward Memorial Hospital Research Centre Ethics
386 Committee, (KEMHRC, EC Ref No KEMHRC/MHS/EC/134) Pune, India and was sponsored by
387 Academic and Clinical Central Office for Research and Development (ACCORD) at the University of
388 Edinburgh. Written informed consent was obtained from all study participants prior to data collection.

389 **Consent for publication**

390 Not applicable

391 **Availability of data and materials**

392 The data that support study findings are available from the corresponding author on reasonable
393 request.

394 **Competing interests**

395 All authors declare no competing interests

396 **Funding**

397 This research was funded by the National Institute for Health Research (NIHR) (16/136/109) using
398 UK aid from the UK Government to support global health research. The views expressed in this
399 publication are those of the author(s) and not necessarily those of the NIHR or the UK Department of
400 Health and Social Care.

401 **Author contributions**

402 AK, SJ, PL and HP with PS led the development of the protocol. PL and UC undertook data
403 collection supported by AK and SJ. AK and MG led the analysis in discussion with, SJ, PS and HP.
404 AK wrote the first draft of the manuscript with MG which was critically reviewed and refined by PL,
405 UC, SJ, PS and HP. AS was PI of RESPIRE, contributed to the design of the study and commented
406 critically on the draft manuscript. RESPIRE UMC members provided advice and contributed to

1
2 407 discussions from time to time. All authors contributed to critical revision of the manuscript and
3 408 approved the final version.

4
5 409 The RESPIRE collaboration includes Steve Cunningham, Farzana Khan, Colin Simpson, David
6
7 410 Weller, Alimuddin Zumla, Andrew Morris, Roberto Rabinovich, Tabish Hazir, Li Ping Wong, Pam
8
9 411 Smith, Rita Isaac, Parag Khatavkar, Osman Yusuf, Shahida Yusuf, Liz Grant, Harry Campbell, Aziz
10
11 412 Sheikh

12
13
14 413 **Acknowledgments:**

15
16
17 414 We gratefully acknowledge all study participants for their participation. We acknowledge Field
18
19 415 Research Assistants of Vadu HDSS for data collection and Mr Prashant Kulkarni for undertaking
20
21 416 translations. We sincerely thank all stakeholders of the health system for their active participation in
22
23 417 the study.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

418 **References**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 419 1. Bhutta ZA, Lassi ZS, Pariyo G, Huicho L. Global experience of community health workers
420 for delivery of health-related millennium development goals: a systematic review, country
421 case studies, and recommendations for integration into national health systems. *Global health
422 workforce Alliance*. 2010;1:61.
- 423 2. Javanparast S, Windle A, Freeman T, Baum F. Community health worker programs to
424 improve healthcare access and equity: Are they only relevant to low-and middle-income
425 countries?. *International journal of health policy and management*. 2018;7:943.
- 426 3. Ministry of Health and Family Well-fare, Accredited Social Health Activist(ASHA)
427 Operational Guidelines for ASHA under NRHM (2005): accessed 24FEB2020
- 428 4. Ministry of Health and Family Welfare-Update on ASHA program Jan 2017, National Health
429 Mission., accessed 01Jan 2020
- 430 5. Evaluation of Accredited Social Health Activists (ASHA)Press Information Bureau
431 Government of India Ministry of Health and FamilyWelfare27-February-2015 12:
- 432 6. Wagner AL, Porth JM, Bettampadi D, Boulton ML. Have community health workers
433 increased the delivery of maternal and child healthcare in India? *Journal of Public Health*.
434 2018;40:e164-70.
- 435 7. Evaluation of ASHA Program2010-11Executive_Summary. (n.d.).jhp0033-0137. (n.d.).
- 436 8. Perry H, Zulliger R, Scott K, Javadi D, Gergen J. Case studies of large-scale community
437 health worker programs: examples from Bangladesh, Brazil, Ethiopia, India, Iran, Nepal, and
438 Pakistan. *Afghanistan: Community-Based Health Care to the Ministry of Public Health*. 2013
439 Oct 28.
- 440 9. Sundararaman T, Ved R, Gupta G, Samatha M. Determinants of functionality and
441 effectiveness of community health workers: results from evaluation of ASHA program in
442 eight Indian states. In*BMC proceedings 2012 Sep (Vol. 6, No. 5, p. O30)*. BioMed Central.

- 443 10. Scott K, George AS, Ved RR. Taking stock of 10 years of published research on ASHA
1 programme: examining India's national community health worker programme from a health
2 444 systems perspective. *Health research policy and systems*. 2019;17:29.
3
4 445
5
6 446 11. Survey International Institute of Population Sciences. National Family Health Survey(NFHS-
7 447 4).Mumbai,India, <https://dhsprogram.com/pubs/pdf/FR339/FR339.pdf>.
8
9 448 12. Sharma R, Webster P, Bhattacharyya S. Factors affecting the performance of community
10 health workers in India: a multi-stakeholder perspective. *Global health action*. 2014;7:25352.
11 449
12
13 450 13. Saprii L, Richards E, Kokho P, Theobald S. Community health workers in rural India:
14 analysing the opportunities and challenges Accredited Social Health Activists (ASHAs) face
15 451 in realising their multiple roles. *Human resources for health*. 2015;13:95.
16
17 452
18 453 14. Paul D, Gopalakrishnan S, Singh P. Functioning of accredited social health activists (ASHAs)
19 in ICDS: an evaluation. *Health and Population--Perspective and Issues*. 2013;36:78-89.
20 454
21
22 455 15. Kok MC, Broerse JE, Theobald S, Ormel H, Dieleman M, Taegtmeier M. Performance of
23 community health workers: situating their intermediary position within complex adaptive
24 456 health systems. *Human Resources for Health*. 2017;15:59.
25
26 457
27 458 16. Kaur D, Thakur M, Singh A, Saini SK. Workload and Perceived Constraints of Anganwadi
28 Workers. *Nursing and Midwifery Research Journal*. 2016;12:18-24.
29 459
30
31 460 17. Paul D, Gopalakrishnan S, Singh P. Functioning of Accredited Social Health Activists
32 (ASHAs) in ICDS: An evaluation. *Health and Population-Perspectives and Issues*.
33 461 2013;36:78-89.
34 462
35
36 463 18. Sarin E, Lunsford SS, Sooden A, Rai S, Livesley N. The mixed nature of incentives for
37 community health workers: lessons from a qualitative study in two districts in India. *Frontiers*
38 464 in public health. 2016;4:38.
39
40 465
41
42 466 19. Khan MS, Mehboob N, Rahman-Shepherd A, Naureen F, Rashid A, Buzdar N, Ishaq M.
43 What can motivate Lady Health Workers in Pakistan to engage more actively in tuberculosis
44 467 case-finding?. *BMC public health*. 2019;19:999.
45
46 468
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

- 469 20. Bansal SC, Nimbalkar SM, Shah NA, Shrivastav RS, Phatak AG. Evaluation of knowledge
1 and skills of home-based newborn care among Accredited Social Health Activists (ASHA).
2 470
3
4 471 Indian pediatrics. 2016;53:689-91.
5
- 6 472 21. Waskel B, Dixit S, Singodia R, Pal DK, Toppo M, Tiwari SC, Saroshe S. Evaluation of
7
8
9 473 ASHA Programme in selected block of Raisen district of Madhya Pradesh under the National
10
11 474 Rural Health Mission. J Evol Med Dent Sci. 2014;3:689-94.
12
- 13 475 22. Shashank KJ, Angadi MM, Masali KA, Wajantri P, Bhat S, Jose AP. A study to evaluate
14
15 476 working profile of accredited social health activist (ASHA) and to assess their knowledge
16
17 477 about infant health care. Int J Cur Res Rev. 2013;5:97-103.
18
19
- 20 478 23. Karol GS, Pattanaik BK. Community health workers and reproductive and child health care:
21
22 479 an evaluative study on knowledge and motivation of ASHA (Accredited social health activist)
23
24 480 Workers in Rajasthan, India. International Journal of Humanities and Social Science.
25
26 481 2014;4:137-50.
27
28
- 29 482 24. Srivastava SR, Srivastava PS. Evaluation of trained Accredited Social Health Activist
30
31 483 (ASHA) Workers regarding their knowledge, attitude and practice about child health. Rural
32
33 484 and Remote Health (online). 2012;12:2099.
34
- 35 485 25. Creswell JW, Klassen AC, Plano Clark VL, Smith KC. Best practices for mixed methods
36
37 486 research in the health sciences. Bethesda (Maryland): National Institutes of Health. 2011
38
39 ;2013:541-5.
40 487
41
- 42 488 26. Ministry of Women and Child Development, Government of India, Pradhan Mantri Matru
43
44 489 Vandana Yojana www.wcd.nic.in. Accessed 12 FEB 2020
45
46
- 47 490 27. Finlay L. "Outing" the researcher: The provenance, process, and practice of reflexivity.
48
49 491 Qualitative health research. 2002 Apr;12(4):531-45.
50
- 51 492 28. Gopalan SS, Mohanty S, Das A. Assessing community health workers' performance
52
53 493 motivation: a mixed-methods approach on India's Accredited Social Health Activists (ASHA)
54
55 494 program. BMJ open. 2012 Jan 1;2(5):e001557.
56
57
58
59
60
61
62
63
64
65

- 495 29. Singh D, Negin J, Otim M, Orach CG, Cumming R. The effect of payment and incentives on
1 motivation and focus of community health workers: five case studies from low-and middle-
2 496
3 income countries. *Human resources for health*. 2015 Dec;13(1):58.
4 497
5
6 498 30. Singh S, Upadhyaya S, Deshmukh P, Dongre A, Dwivedi N, Dey D, Kumar V. Time motion
7 study using mixed methods to assess service delivery by frontline health workers from South
8 499
9 India: methods. *Human resources for health*. 2018 Dec;16(1):17.
10 500
11
12 501 31. Mohapatra S, Nandakumar G, Dharmaraj SK. Barriers Encountered by Accredited Social
13 Health Activists (ASHA) in Arthritis Rehabilitation: A Qualitative Study. *Journal of clinical
14 502
15 and diagnostic research: JCDR*. 2017 Mar;11(3):YC01.
16 503
17
18 504 32. Bhatia K. Stakeholders' Perspectives. *Economic & Political Weekly*. 2014 May
19 505
20 31;49(22):145.
21
22 506 33. Guha I, Raut AV, Maliye CH, Mehendale AM, Garg BS. Qualitative Assessment of
23 Accredited Social Health Activists (ASHA) Regarding their roles and responsibilities and
24 507
25 factors influencing their performance in selected villages of Wardha. *International Journal of
26 508
27 Advanced Medical and Health Research*. 2018 Jan 1;5(1):21.
28 509
29
30 510 34. Regularization of NHM workers and ASHA in all over India, www.change.org, accessed 26
31 511
32 JUN 2018
33
34 512 35. Ahmad, S. Waseem, and M. Ashraf Ali. "Social Justice and The Constitution of India." *The
35 513
36 Indian Journal of Political Science*, vol. 67, no. 4, 2006, pp. 767–782. *JSTOR*,
37 514
38 www.jstor.org/stable/41856262.
39
40 515 36. Guidelines for ASHA Facilitators District ASHA Resource Centre, Gujarat
41 nrhm.gujrat.gov.in, accessed 12JAN 2020
42 516
43
44 517 37. Pyone T, Karvande S, Gopalakrishnan S, Purohit V, Nelson S, Balakrishnan SS, Mistry N,
45 518
46 Mathai M. Factors governing the performance of Auxiliary Nurse Midwives in India: A study
47 519
48 in Pune district. *PloS one*. 2019;14(12).
49 520
50
51 521 38. Ministry of Health and Family Welfare, Government of India, (2012) IPHS Guidelines for
52 Primary Health Centres www.health.bih.nic.in, accessed 12JAN 2020
53
54
55
56
57
58
59
60
61
62
63
64
65

522 39. WHO guideline on health policy and system support to optimize community health worker
1 programmes. Geneva: World Health Organization; 2018. ISBN 978-92-4-155036-9
2 523
3
4
5
6
7
8
9

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Table 1- Demographic characteristics of study participants

Sr. No	Variable	Total N* (%)	Rural N (%)	Tribal N (%)
		67	N=43 (64)	N=24 (36)
1	Age			
	<=29	12 (17.9)	8 (18.6)	4 (16.6)
	30- <=39	38 (56.8)	22 (51.2)	16 (66.7)
	40-<=49	13 (19.4)	9 (20.9)	4 (16.6)
	50 & above	4 (5.9)	4 (9.3)	0
2	Education			
	Secondary School Certificate (SSC)	48 (71.6)	25 (58.1)	23 (95.8)
	Higher Secondary Certificate (HSC)	13 (19.4)	12 (27.9)	1 (4.2)
	Graduate	5 (7.4)	5 (11.6)	0
	Postgraduate	1(1.4)	1 (2.4)	0
3	Social Category**			
	Open	37 (55.2)	35 (81.3)	2 (8.4)
	Other Backward Class (OBC)	5 (7.4)	5 (7.4)	0
	Nomadic Tribes (NT)	1 (1.7)	1(1.4)	0
	Scheduled Caste (SC)	2 (2.9)	1(1.4)	1 (4.1)
	Scheduled Tribes (ST)	22 (32.8)	1(1.4)	21 (87.5)
4	Marital status			
	Married	64 (95.6)	42 (97.6)	22 (91.6)
	Divorce	2 (2.9)	0	2 (8.4)
	Widow	1(1.5)	1 (2.4)	0
5	Other occupation			
	Family agriculture work	55 (82.2)	32 (74.4)	23 (95.8)
	Home servant	2 (2.9)	1 (2.4)	1(4.2)
	Labor work	1(1.5)	1 (2.4)	0
	Personal business	6 (8.9)	6 (13.9)	0
	Seasonal	3 (4.5)	3 (6.9)	0
6	Experience in years			
	0-5 years	21 (31.4)	12 (27.9)	9 (37.5)
	6-10 years	37 (55.2)	31 (72.1)	6 (25)
	>10 years	9 (13.4)	0	9 (37.5)

*One participant withdrew their participation after first questionnaire and hence N=66 for tables hereafter

** Social categories are the different categories in which the population is segregated depending on the caste system. As per policy of Government of India the reserved categories; Schedule Caste (SC), Schedule Tribe (ST), Nomadic Tribe (NT), Other Backward Class (OBC) are entitled for affirmative action programmes as per Constitution and get privileges in employment and academic admissions. Population from higher classes not falling into the reserve categories comes in open category⁽³⁵⁾

Table 2- Interest to take up new tasks

Sr. No	Variables	Total, N (%)	Rural, N (%)	Tribal, N (%)
1	No. of activities last 6 month			
	< = 5	32 (48.8)	12 (27.9)	10 (76.9)
	>5 & <=10	26 (39.1)	23 (53.1)	3 (23.1)
	>10 & <= 15	8 (12.1)	8 (18)	0
2	Possibility of new work			
	Yes	62 (93.9)	40 (93)	22 (95.6)
	No	4 (6.1)	3 (7)	1 (4.4)

Table 3- Work settings

Sr. No	Variable	Total N (%)	Rural N (%)	Tribal N (%)
1	No. of villages served			
	One	56 (84.8)	43 (100)	14(60.8)
	more than one	10 (15.2)	0 (0)	9 (39.2)
2	Population served			
	1200-1800	12 (18.2)	12 (27.9)	0
	800-1200	29 (43.9)	27 (62.7)	2 (8.6)
	<800	25 (37.9)	4 (9.4)	21 (91.4)
3	Household visits/week			
	<20	18 (27.2)	10 (23.2)	8 (34.7)
	20-40	35 (53)	23 (53.6)	12 (52.1)
	>40	13 (19.8)	10 (23.2)	3 (13.2)
4	No. of hours per week			
	<12	19 (28.7)	13 (30.3)	6 (26)
	12 to 20	31 (46.9)	21 (48.8)	10 (43.4)
	>20	16 (24.4)	9 (20.9)	7 (30.6)

5	Visits to BF per month			
	1 to 4	51 (77.2)	33 (76.7)	18 (78.2)
	>4	15 (22.8)	10 (23.3)	5 (21.8)
6	Visits to ANM/ month			
	1 to 4	36 (54.6)	20 (46.5)	16 (69.5)
	>4	30 (45.4)	23 (53.5)	7 (30.5)

Table 4- Honorarium and incentives

Sr	Variables	Total, N (%)	Rural, N (%)	Tribal, N (%)
1	Incentives for ASHA work			
	<INR 1500/month *	52 (78.2)	32 (74.2)	20 (86.9)
	1500-3000/month	11 (16.2)	8 (18.6)	3 (13.1)
	> INR 3000/month	3 (4.6)	3 (6.2)	0
2	Satisfied with Asha work			
	Yes	62 (93.9)	39 (90.6)	23 (100)
	No	4 (6.1)	4 (9.4)	0
3	Happy with Asha work			
	Yes	63 (95.5)	40 (93)	23 (100)
	No	3 (4.5)	3 (7)	0

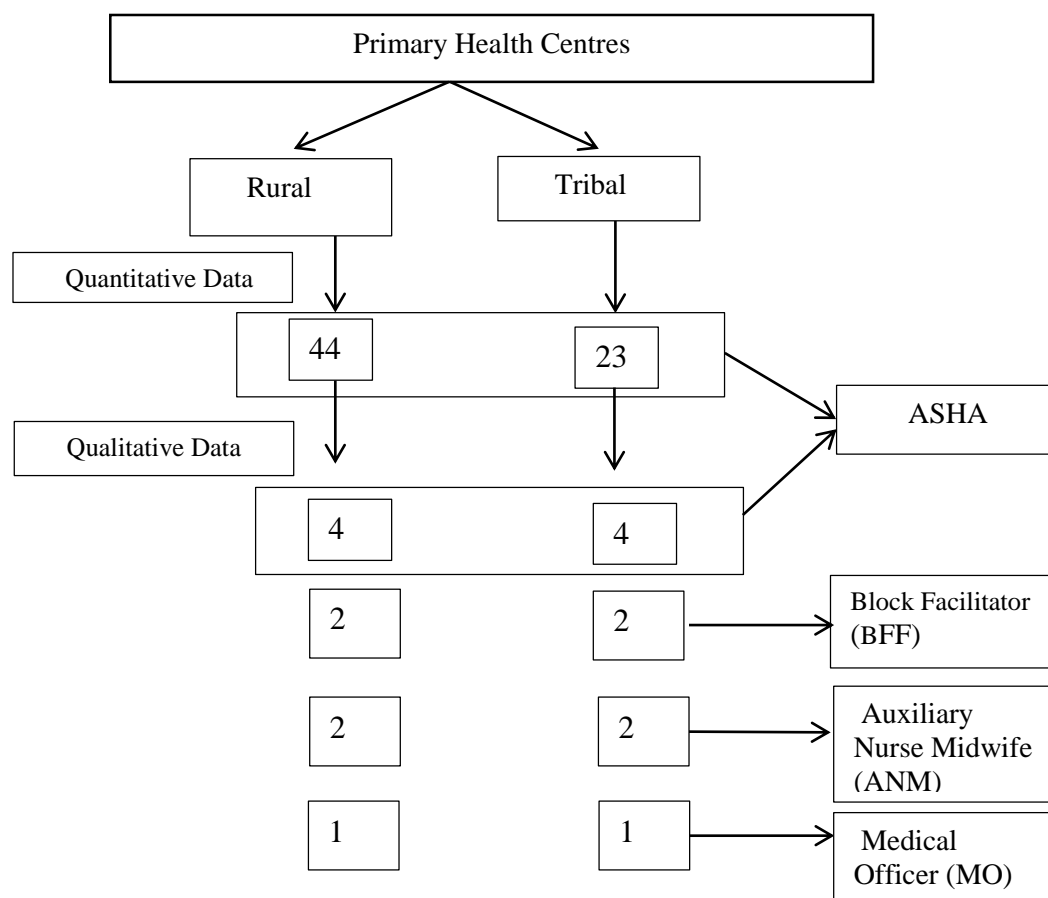
* INR 1500/month is approximately £16.00. For comparison the national minimum wage in Maharashtra is around INR 9000/.

Table 5- ASHAs perception of workload

Sr. No	Variable	Total, N (%)	Rural, N (%)	Tribal, N (%)
1	Time spend for ASHA work			
	Less (0-2 hrs) everyday	8 (12.2)	5 (11.6)	3 (13)
	Moderate (2-4 hrs) everyday	30(45.4)	21 (48.8)	9 (39.5)
	More (above four hours) everyday	28(42.4)	17 (39.6)	16 (69.5)
2	Travel time for ASHA work			
	Less (0-1hrs per day)	9(13.1)	7 (16.3)	2 (8.7)
	Moderate (1-2 hrs per day)	25(37.8)	20 (46.5)	5 (21.8)
	More (above 2 hrs per day)	32(48.1)	16 (37.2)	16 (69.5)
3	Last week feel tired			

	Yes	45(68.2)	29 (67.5)	16(69.5)
	No	21(31.8)	14(32.5)	7(30.5)
4	Reason for tiredness			
	Asha work	32 (71.1)	22 (75.8)	10 (62.5)
	Household activity	8 (17.7)	4 (13.6)	4 (25)
	Social activity	5 (11.2)	3 (10.6)	2 (12.5)
5	Less time for family last week			
	Yes	33 (50.0)	23 (53.4)	10 (43.4)
	No	33 (50.0)	20 (46.6)	13 (56.6)
6	Reason for less time for family			
	Asha work	24 (72.7)	17 (73.9)	7 (70%)
	Household work	7 (21.3)	5 (21.7)	2 (20%)
	Other paid work	1 (3)	0	1 (10%)
	Social activity	1 (3)	1 (4.4)	0
7	Not enough time for work last week			
	Yes	32 (48.5)	22 (51.1)	10 (43.4)
	No	34 (51.5)	21(48.9)	13 (56.6)
8	Reason for not enough time for work			
	Asha work	22 (68.8)	17 (77.2)	5 (50)
	Household work	6 (18.8)	2 (9)	4 (40)
	Other paid work	2 (6.2)	1 (4.8)	1 (10)
	Social activity	2 (6.2)	2 (9)	0
9	Felt rushed last week			
	Yes	43 (65.2)	29 (67.5)	14 (60.8)
	No	23 (34.8)	14 (32.5)	9 (39.2)
10	Reason for felt rushed			
	Asha work	36 (83.7)	26 (89.6)	10 (71.4)
	Household work	5 (11.7)	2 (6.8)	3 (21.4)
	Other paid work	1 (2.3)	1 (3.6)	0
	Social activity	1 (2.3)	0	1 (7.2)

Figure 1- Flow chart showing distribution of study participants

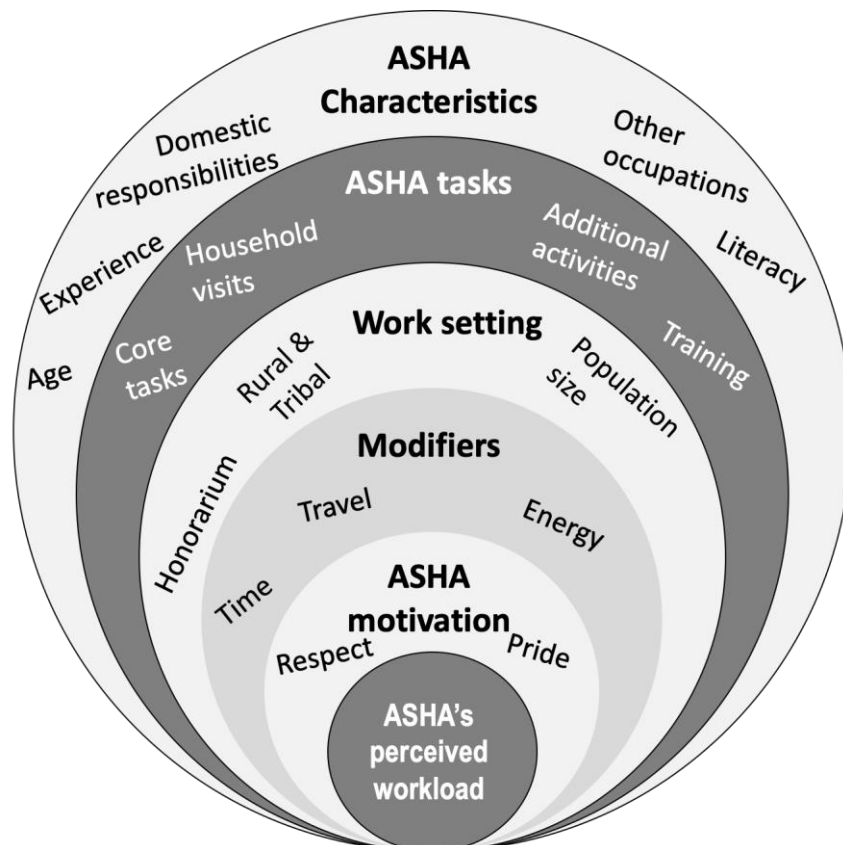


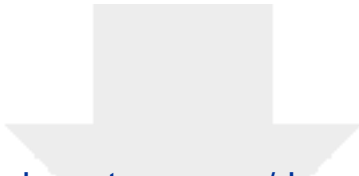
- **Block Facilitator (BFF)** Block facilitator oversees 10 to 25 ASHAs, to provide hand-holding and mentoring support, and monitor performance. They have to support ASHA activities. The BFF is in direct touch with the frontline ASHA workers and provides supportive supervision.⁽³⁶⁾
- **Auxiliary Nurse Midwife (ANM)** are regarded as the grass-roots workers in the health organisation pyramid. Their services are considered important to providing safe and effective care to village communities. The role help communities achieve the targets of national health programmes ⁽³⁷⁾.
- **Medical Officer (MO)** is in charge of the Primary Health centre. He/she takes an active role in overseeing medical care of patients and other functions relevant to **medical staff**. They may participate directly in implementation of care and may also help assess and diagnose needs and plans of action for individuals and families ⁽³⁸⁾.

Figure2: conceptual frame work-initial version

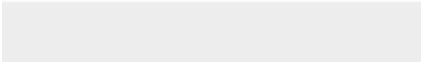
1	2	3		Conceptual framework reference column no.
FACTORS	MEDIUM-DOMAIN	EXPRESSION	Tools	
These are the characteristics of ASHAs which are likely to influence " <u>perceived workload</u> "	These are the aspects of life through which ASHAs are likely to experience <u>load</u>	These expressions are outcome of influence from multiple domains	Qualitative inquiry guides	2 *We do not stop into column 3
Age	W	TIREDFNESS	Survey	
Training	O		(Quantitative)	3
Education	R	RUSHED FEELING		
Experience	K	INSUFFICIENT TIME		
Work setting	L			
Incentives	O	QUALITATIVE		
Other Occupations	A	QUANTITATIVE		
	D			

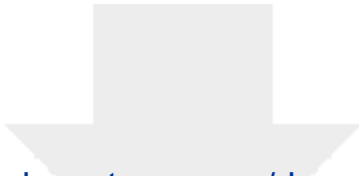
Figure 3- Schema for conceptual framework of ASHA workload perception



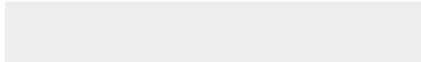


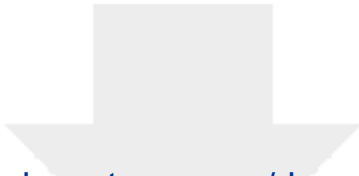
Click here to access/download
Supplementary Material
additional file-1 study questionnair.pdf



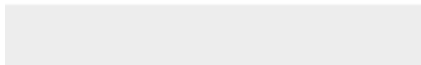


Click here to access/download
Supplementary Material
additional file-2 -interview guide-asha.pdf





Click here to access/download
Supplementary Material
additional file-3-interview guide-K11.pdf





Click here to access/download

Supplementary Material

asha-paper-responses to the reviewer-comment-
20082020.docx

Professor James Buchan,
Editor-in-Chief,
Human Resources for Health

8th June 2020

Dear Professor Buchan,

Re: Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the workload of Community Health Workers (Accredited Social Health Activists) in India

I have pleasure in submitting our paper which explores the perceptions of Accredited Social Health Activists (ASHA) about their workload in the context of competing demands from domestic responsibilities and other paid work.

Our mixed methods study revealed that they were proud of their role and ready to contribute to new activities despite a significant workload and low incentives. We identified discrepancies with the concept as defined when ASHA were launched in 2005. ASHA were intended to be resident within the communities they serve, but in remote tribal areas this was not possible and significant time was spent travelling – often on foot- to distant hamlets. At its inception, it was envisaged that ASHAs would perform the role of social health activists to enhance health system performance. Colloquial terminology, however, describes ASHAs as ‘ASHA workers’ (as opposed to ‘activists’) reflecting the move of many ASHAs to re-formulate their status as workers within the public health system, though the impact of such a fundamental change of status on their pride and the social respect is not yet clear.

We believe our paper will be of interest to your readers as it throws light on fundamental concerns about ASHAs’ status, working arrangements, incentives, motivating factors which are important for primary healthcare policies in many low and middle income countries. We look forward to discussion with the broader community of health analysts, policy makers, academics, practitioners and others involved with optimising human resources to improve population health, equity, access, social inclusion, and economic growth.

I confirm that all the authors have approved the manuscript for submission and there are no competing interests and that content of this manuscript has not been published or submitted for publication elsewhere.

We hope you enjoy our paper.

Yours sincerely

Dr. Anand Kawade

Author information

Author Name	Contact email
Anand Kawade	anand.kawade@kemhrcvadu.org
Manish Gore	manishgr755 @gmail.com
Pallavi Lele	pallavislele@gmail.com
Uddhavi Chavan	uddhavi.chavan@kemhrcvadu.org
Hilary Pinnock	Hilary.Pinnock@ed.ac.uk
Pam Smith:	pam.smith@ed.ac.uk
Sanjay Juvekar	sanjay.juvekar@kemhrcvadu.org

Professor James Buchan,
Editor-in-Chief,
Human Resources for Health

19th Aug 2020

Dear Professor Buchan,

Re: Interplaying role of healthcare activist and homemaker: a mixed-methods exploration of the workload of Community Health Workers (Accredited Social Health Activists) in India

I have pleasure in resubmitting our paper which explores the perceptions of Accredited Social Health Activists (ASHA) about their workload in the context of competing demands from domestic responsibilities and other paid work.

We are very thankful to reviewers for their excellent comments. We appreciated that and responded to their comments point by point. It helped us to project our study findings more clearly and explicitly.

We believe our paper will be of interest to your readers as it throws light on fundamental concerns about ASHAs' status, working arrangements, incentives, motivating factors which are important for primary healthcare policies in many low and middle income countries. We look forward to discussion with the broader community of health analysts, policy makers, academics, practitioners and others involved with optimising human resources to improve population health, equity, access, social inclusion, and economic growth.

I confirm that all the authors have approved the revised manuscript for submission and there are no competing interests and that content of this manuscript has not been published or submitted for publication elsewhere.

We hope you enjoy our paper.

Yours sincerely

Dr. Anand Kawade