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Influence of the Solvent Environment on the Ultrafast Relaxation Pathways of a Sunscreen Molecule Diethylamino Hydroxybenzoyl Hexyl Benzoate

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#### **ABSTRACT**

The excited-state dynamics of photoexcited diethylamino hydroxybenzoyl hexyl benzoate (DHHB), a UVA absorber widely used in sunscreen formulations, are studied with transient electronic and vibrational absorption spectroscopy methods in four different solvents. In the polar solvents methanol, dimethyl sulfoxide (DMSO) and acetonitrile, strong stimulated emission (SE) is observed at early time delays after photoexcitation at a near-UV wavelength of  $\lambda_{ex} = 360$  nm, and decays with time constants of 420 fs in methanol and 770 fs in DMSO. The majority (~95%) of photoexcited DHHB returns to the ground state with time constants of 15 ps in methanol and 25 ps in DMSO. In the non-polar solvent cyclohexane, ~98% of DHHB photoexcited at  $\lambda_{ex}$  = 345 nm relaxes to the ground state with a ~10 ps time constant, and the SE is weak. DHHB preferentially adopts an enol form in its ground S<sub>0</sub> state, but excited state absorption (ESA) bands seen in TEAS are assigned to both the S<sub>1</sub>-keto and S<sub>1</sub>-enol forms, indicating a role for ultrafast intramolecular excited state hydrogen transfer (ESHT). This ESHT is inhibited by polar solvents. The two S<sub>1</sub> tautomers decay with similar time scales to the observed recovery of ground state population. For molecules that avoid ESHT, torsion around a central C-C bond minimizes the S<sub>1</sub>-enol energy, quenches the SE, and is proposed to lead to a conical intersection with the S<sub>0</sub> state that mediates the ground state recovery. A competing trans-enol isomeric photoproduct is observed as a minor competitor to parent recovery in polar solvents. Evidence is presented for triplet  $(T_1)$  enol production in polar solvents, and for  $T_1$  quenching by octocrylene, a common UVB absorber sunscreen additive. The T<sub>1</sub> keto form is observed in cyclohexane solution.

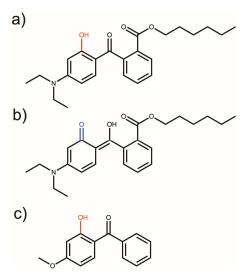
#### I. Introduction

UV light from the sun is commonly divided into three wavelength regions: UVA (400-320 nm), UVB (320-280 nm) and UVC (280-100 nm), of which UVA accounts for more than 90% of UV radiation reaching the surface of the Earth. This UVA can penetrate human skin to the dermis layer. Although DNA mainly absorbs UVB radiation, UVA absorption encourages formation of reactive oxygen species that can cause DNA damage and increase the occurrence of skin cancers. The application of UVA absorbers to the skin inhibits the generation of these reactive oxygen species and reduces oxidative stress in cultured human dermal fibroblasts exposed to UVA. The application of UVA absorbers to the skin dermal fibroblasts exposed to UVA.

Diethylamino hydroxybenzoyl hexyl benzoate (DHHB), with the structure shown in Figure 1, is a UVA absorber widely approved for use in sunscreens in Japan, Europe, Australia and South Africa with commercial name Uvinul A .<sup>8, 9</sup> Previous studies of DHHB focused on its photostability in formulations with other sunscreen molecules such as tert-butyl-methoxydibenzoylmethane and avobenzone. 10-12 DHHB has a similar core structure to oxybenzone (see Figure 1c), which is an efficient UVB absorber but toxic to coral and now a banned ingredient of sunscreen products in Hawaii. 13, 14 Prior ultrafast spectroscopy studies have established that the relaxation mechanism of photoexcited oxybenzone is via enol  $\rightarrow$  keto tautomerization. <sup>15</sup> After UV excitation of the ground-state enol form to the S<sub>2</sub> state, oxybenzone undergoes excited state hydrogen transfer (ESHT) to reach the S<sub>1</sub>-keto form on a time scale estimated to be ~100 fs. <sup>15</sup>, <sup>16</sup> Following ESHT, the keto form of oxybenzone experiences central C-C bond torsion with a time constant of around 400 fs, and the twisted keto S<sub>1</sub> state molecules access a conical intersection (CI) which provides an efficient relaxation pathway to the ground electronic state. In oxybenzone, this ground-state recovery takes ~8 ps and there is a small yield of an isomer, the trans-keto form, which relaxes back more slowly to the lowest-energy form. 15-17 In addition, after photoexcitation to the singlet excited state, a minor pathway involving formation of the phenoxy radical of oxybenzone by homolytic H-O bond cleavage was reported at longer timescales.18

DHHB differs from oxybenzone by the presence of an auxochrome amino group meta to the -OH group on one phenyl ring. The amino group shifts the main  $\pi^*\leftarrow\pi$  absorption band by 15 nm to longer wavelength than for oxybenzone in cyclohexane solution. This  $\pi^*\leftarrow\pi$  absorption band of DHHB is sensitive to the solvent polarity, undergoing a bathochromic shift as can be seen in Figure S3 of Supporting Information. The presence of an additional auxochrome, the ester group of DHHB connected to the other aromatic ring, facilitates charge separation in the first excited singlet state, and thereby modifies the photochemistry in ways that are explored here. A simpler molecular analogue of both DHHB and oxybenzone, 2-hydroxybenzophenone, has been reported to have different photophysical properties in ethanol and hexane, and a long-lived absorption signal in ethanol was assigned to triplet state photoproducts.<sup>19</sup> The photochemical

properties of DHHB have also been shown to depend on the solvent. In ethanol, DHHB is phosphorescent at 77 K, but in non-polar solvents it does not phosphoresce, suggesting that the intramolecular hydrogen bond between the OH and carbonyl groups, which is expected to be sensitive to the solvent environment, plays an important role in the relaxation of photoexcited DHHB.<sup>20</sup>



**Figure 1.** Molecular structures of: (a) DHHB in its enol form; (b) DHHB in its keto form; (c) oxybenzone.

Formation of triplet species is undesirable for sunscreen molecule since they can be quenched by O<sub>2</sub> to form singlet oxygen which is a reactive oxygen species.<sup>21, 22</sup> In addition, triplet-triplet energy transfer between UVA and UVB absorbers has been reported to reduce the efficiency of sunscreen products.<sup>23, 24</sup> DHHB has a similar triplet state energy to both octocrylene and octyl methoxycinnamate sunscreen additives, so triplet DHHB may be quenched by these compounds,<sup>20, 25</sup> but with potential consequences for the effectiveness of these UVB filters.

The ultrafast transient electronic and vibrational absorption spectroscopy (TEAS and TVAS) studies reported here compare the photochemical properties of DHHB and its primary relaxation pathways in four solvents, cyclohexane (CYCH), methanol (MeOH), acetonitrile (ACN), and dimethyl sulfoxide (DMSO). Competition is identified in the UV-excited  $S_1$  state between torsional motion in the enol form towards an  $S_1 - S_0$  conical intersection, ESHT from the enol to keto forms, and intersystem crossing to the triplet manifold of states, with solvent-dependent outcomes. Relaxation mechanisms of photoexcited DHHB are proposed, the associated time constants are reported, and the outcomes are contrasted with the relaxation pathway for the structurally similar oxybenzone. Evidence is presented for a minor photochemical role for triplet-state DHHB.

#### II. Experimental and Computational Methods

Acetonitrile (Fisher, HPLC grade,  $\geq$  99.9%), cyclohexane (Acros, for spectroscopy, > 99%), dimethyl sulfoxide (Merck, Uvasol for spectroscopy) and methanol (Sigma-Aldrich, HPLC grade,  $\geq$  99.9%) were used to prepare 25 mM stock solutions of diethylamino hydroxybenzoyl hexyl benzoate (BASF Uvinul A Plus, 99.7%). Octocrylene (Supelco, Pharmaceutical Secondary Standard) or trans-β-methyl styrene (Sigma-Aldrich, 99%) were added to some of the prepared DHHB solution samples to test triplet-triplet energy transfer pathways. All the chemicals were used without further purification.

Samples used for steady state and transient absorption spectroscopy studies were prepared from the stock DHHB solutions, by dilution if required. Absorption spectroscopy measurements were performed using a stainless-steel Harrick cell fitted with two 1.5-mm thick  $CaF_2$  windows separated by 100- $\mu$ m polytetrafluoroethylene (PTFE) spacers. Steady state UV-visible spectra of 2.5 mM solutions were measured by a GENESYS 10S UV-Vis Spectrophotometer (Thermo Scientific). The IR absorption spectra of 25 mM DHHB solutions were obtained using a Spectrum Two FTIR Spectrometer (PerkinElmer). Fluorescence emission spectroscopy of 25  $\mu$ M DHHB solutions instead used a 1 cm-pathlength quartz cuvette placed into a fluorimeter (PerkinElmer LS 45) with slits set at 10 nm wavelength resolution.

The ultrafast laser system and associated spectrometers and optical paths used for TEAS and TVAS measurements have been described in detail in previous publications. 26, 27 2.5 mM DHHB solutions were continuously circulated from a reservoir to a stainless-steel Harrick cell fitted with CaF2 windows separated by 100 µm. The reservoir and cell were connected by PTFE tubing, and circulation was maintained by a peristaltic pump. TEAS and TVAS data acquisition used custom-written Labview software, and the spectral data were processed and decomposed using the KOALA program.<sup>28</sup> The near-UV excitation wavelength was set at  $\lambda_{ex} = 360$  nm for DHHB solutions in polar solvents, and at  $\lambda_{ex} = 345$  nm for solutions in CYCH, corresponding to wavelengths close to the absorption maxima of the long-wavelength bands of DHHB in these solvents. The excitation was increased to 375 nm for studies of triplet quenching with octocrylene to minimize overlap with the red edge of the octoorylene absorption band. The wavelength region covered by the white-light continuum TEAS probe beam was from 330 nm to 700 nm, and the mid-IR TVAS probe spanned 1550 - 1800 cm<sup>-1</sup> to monitor the carbonyl stretching region. Instrument responses were ~120 fs for TEAS and 150 fs for TVAS. The possible degradation of the DHHB sample, and hence accumulation of photoproducts during measurements, was monitored by recording the UV-visible absorption spectrum of the solution before and after the time-resolved experiments. Any loss of DHHB absorption was always observed to be less than 2%.

All the calculations of vibrational frequencies, excited state energies and structures reported here were performed using the Gaussian 09 package.<sup>29</sup> Density functional theory (DFT) calculations of optimized geometries and IR spectra for the ground state species used the B3LYP functional with a 6-31G\*\* basis set and the conductor-like polarizable continuum model (CPCM) to treat the solvent environment.<sup>30-36</sup> Simulated UV-Vis spectra, as well as optimized geometries and IR absorption band frequencies for the excited electronic states were calculated with time-dependent density functional theory (TDDFT) with the B3LYP functional at the 6-31G\*\* CPCM level.<sup>37</sup>

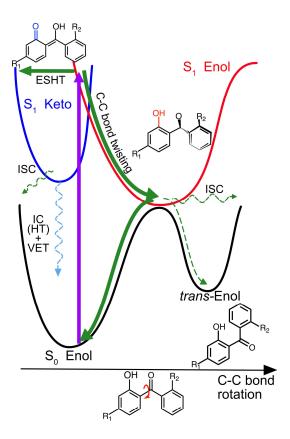
#### III. Results and Discussion

#### III.I DHHB Structures in the Ground and Excited Electronic States

Figure 1 shows the two tautomeric forms of DHHB. The enol form is significantly more stable than the keto form in the ground ( $S_0$ ) electronic state, and our calculations suggest a barrierless process to go from the keto to the enol form (Figure S1 of Supporting Information). Therefore, for all the experiments conducted here, excitation is assumed to be from DHHB ( $S_0$ ) in its enol form. The optimized  $S_0$  structure from our calculations is shown in Figure S2 of Supporting Information, and is close to planar in the region of the central carbonyl group and the phenolic ring, but with an out-of-plane twist (by ~47°) of the second aromatic ring to reduce steric repulsions. The experimental and computational UV-Vis absorption spectra of DHHB are shown in Figure S3 of Supporting Information. UV excitation of DHHB near the maximum of the first absorption band, with  $\lambda_{ex} = 360$  nm (or 345 nm in CYCH) corresponds to an  $S_0 \rightarrow S_1$  transition, and our transient spectroscopy studies therefore do not involve dynamics in higher lying electronically excited states. This first electronic transition consists of a HOMO to LUMO  $\pi \rightarrow \pi^*$  electronic excitation, and the shift to longer wavelength in more polar solvents is typical for this type of transition.<sup>38-40</sup>

Vertical excitation initially populates the S<sub>1</sub> state in an enol geometry (henceforth S<sub>1</sub>-enol) in the Franck-Condon (FC) region with coplanar central carbonyl and hydroxyl groups. As Figure S2 shows, the optimized geometry of the S<sub>1</sub> enol is computed to have a twisted structure in which rotation about a central C-C bond brings the two phenyl rings to an almost perpendicular orientation. In this structure, the C(OH)-C-C=O dihedral angle is 67°. The excited MO in the optimized S<sub>1</sub> enol geometry is located mostly on the phenyl ring with the pendent ester group, corresponding to charge transfer (CT) character for this region of the S<sub>1</sub>-enol PES (Figure S2). This CT-character in the twisted S<sub>1</sub> enol will be stabilized by polar solvents. In the vertical excitation region (for the heavy atoms), the S<sub>1</sub>-keto form of DHHB, resulting from excited state hydrogen transfer (ESHT), is computed to lie lower in energy in methanol than the corresponding S<sub>1</sub>-enol structure, and the optimized geometry is shown in Figure S2. The dynamics from the FC region of the

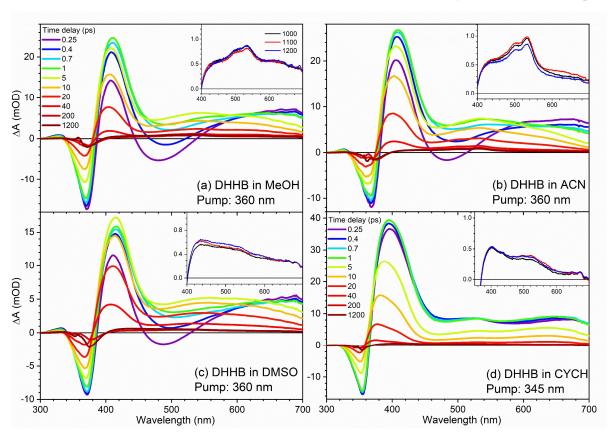
initially excited  $S_1$ -enol molecules are therefore expected to be either ESHT to the keto form or torsion about a central C-C bond to reach the lower energy region of the twisted  $S_1$ -enol form. This model for evolution of the excited state structures is summarized schematically in Figure 2 and forms the basis for the interpretation of transient absorption spectroscopy data reported here. These measurements provide evidence for competing ESHT and torsional dynamical pathways in the  $S_1$  excited state, quantify time constants for the pathways, and show how the competition is affected by different types of interactions with the surrounding solvent.



**Figure 2.** Illustrative PESs along the C-C bond rotation coordinate and significant photochemical pathways of DHHB. After vertical excitation from the  $S_0$ -enol state to the  $S_1$ -enol state, there is competition between ESHT to the  $S_1$ -keto form and relaxation on the  $S_1$  PES to the lower energy twisted  $S_1$ -enol structure. The majority of photoexcited molecules relax to the ground state, and only a small fraction undergoes intersystem crossing (ISC) to populate triplet states, or forms an isomeric photoproduct denoted as *trans*-enol in the  $S_0$  state. The  $S_1$ -keto tautomer may relax to the ground state via direct internal conversion (IC) and vibrational energy transfer (VET), or via reverse ESHT to the  $S_1$ -enol form.

#### III.II Transient Electronic Absorption Spectroscopy of DHHB

**III.II.I Solvent-Dependent Photodynamics.** Figure 3 shows TEA spectra of DHHB in different solvents. In spectra obtained at delays of up to a few picoseconds, strong negative signals at wavelengths around 500 nm for solutions in polar solvents are assigned as stimulated emissions (SE), but the corresponding SE for DHHB in CYCH is weak. These observations are consistent with the steady state fluorescence spectra



**Figure 3.** Transient electronic absorption spectra of DHHB in (a) methanol, (b) acetonitrile, (c) dimethyl sulfoxide and (d) cyclohexane. A colour key for the time delays corresponding to different spectra is shown in panel (a). The late time spectra are shown on an expanded scale as insets in each panel.

shown in Figure S4; these spectra show no discernable fluorescence from DHHB in CYCH under conditions in which weak fluorescence emission is detected from solutions in methanol, acetonitrile and, most prominently, DMSO. The late-time TEA spectra, the long-wavelength regions of which are shown as insets in each panel, indicate further differences between DHHB photochemistry in the non-polar solvent and polar solvents, and will be discussed later. SE at sub-ps to few-ps delay times is likely to derive from the photoexcited DHHB in the S<sub>1</sub>-state FC region, and its decay is a measure of the onset of excited state dynamics. These dynamics might involve either S<sub>1</sub> keto formation by ESHT or structural relaxation to the twisted S<sub>1</sub> enol (Figures 2 and S2) with loss of excess vibrational energy to the solvent. Both pathways

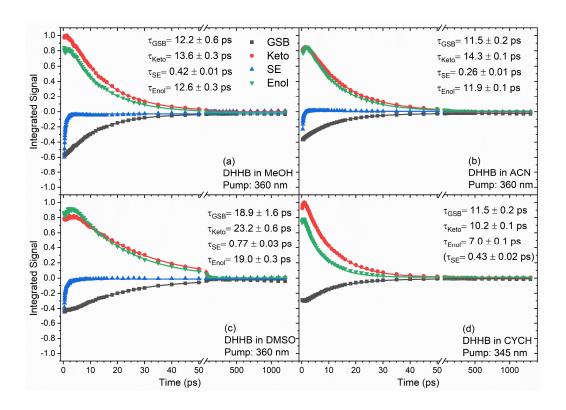
change the geometry of the excited-state DHHB and its electronic character, and hence are expected to quench the SE, but the surrounding solvent environment affects their time scales and changes the balance of competition.

The intensity of the observed SE bands appears to relate to the hydrogen bonding ability of the chosen solvent. The strongest DHHB SE feature is seen in solution in MeOH, which can act as both a hydrogen bond donor and acceptor, whereas ACN and DMSO, in which the SE bands are somewhat less pronounced, are poorer hydrogen bond acceptors. In CYCH, which does not form conventional hydrogen bonds, signatures of SE are barely evident. The prominence of the SE feature is therefore proposed to be evidence for disruption by H-bonding solvents of the ESHT mechanism for  $S_1$  enol to  $S_1$  keto conversion in the initially excited FC region. In CYCH solution, the solvent does not interfere with this pathway and we propose that the SE from the photoexcited  $S_1$ -enol form (as well as steady state fluorescence) is rapidly quenched by ESHT. Figure S5 shows relaxed scans of the computed potential energy changes from the optimized  $S_1$ -keto to the FC region  $S_1$ -enol form, performed using CPCM treatments of MeOH and CYCH. Although the CPCM method does not treat explicit solvent-solute interactions, the calculations indicate that ESHT is a barrierless process in CYCH, whereas in MeOH there is a barrier estimated to be  $\sim 10$  kJ mol $^{-1}$ . Therefore, the rate of ESHT is expected to be dependent on the polarity of the solvent environment.

The excited state absorption (ESA) band peaking near 410 nm, and extending to longer wavelength, rises at early times as the partially overlapping SE feature decays in amplitude. Its maximum intensity is greater in CYCH than in MeOH, ACN and DMSO solutions, and it closely resembles a prominent ESA band observed in TEAS studies of oxybenzone that was assigned to an S<sub>1</sub>-keto form. On the basis of this evidence, and electronic structure calculations of the absorptions from the S<sub>1</sub> enol and keto forms (see Figure S6), the 410-nm ESA band seen for DHHB solutions is assigned to the S<sub>1</sub>-keto product of ESHT. A broader ESA band extending to longer wavelength is instead attributed to the S<sub>1</sub>-enol form. Hence, ESHT is not the only observed pathway after photoexcitation, and both S<sub>1</sub> keto and enol forms contribute to the TEA spectra.

III.II.II Spectral Decomposition and Kinetic Analysis of TEAS data. The decomposition of DHHB TEA spectra obtained in the polar solvents into constituent absorption bands is achieved using five spectral basis functions. One of these functions models the time-evolution of the SE, and another one accounts for the changing intensity of the 410-nm ESA band of the S<sub>1</sub>-keto DHHB. The third basis function describes changes to the ground-state bleach (GSB) feature evident at wavelengths around 360 nm. The fourth accounts for long wavelength spectral features, and it thus includes the long-wavelength ESA feature assigned to the S<sub>1</sub> enol (see above) at early time delays. Finally, the fifth basis function is used fit the late

time spectra and grows with a fixed time constant chosen to be close to the  $S_1$ -enol lifetime. It is interpreted as representing ESA from triplet states (see below). For the decomposition of DHHB TEA spectra obtained in CYCH, a SE basis function was not required. None of the spectra shown in Figure 3 show any evidence of SE from the  $S_1$  keto state in the probe wavelength window. Any such SE bands would be expected to decay on the same timescale as the loss of the  $S_1$  keto ESA. Further details of the basis functions used are provided in the Supporting Information, and examples of the decomposition of TEA spectra in methanol and CYCH are illustrated in Figures S7 and S8.



**Figure 4.** Time dependence of the wavelength-integrated intensities of GSB (black), ESA of keto (red), SE (blue) and ESA of enol (green) features for UV-photoexcited DHHB in: (a) methanol, (b) acetonitrile, (c) dimethyl sulfoxide and (d) cyclohexane. The time constants obtained from exponential fits are shown in each panel. The integrated intensities are derived from spectral decomposition of TEA spectra shown in Figure 3 using methods described in the main text.

Figure 4 shows how the wavelength-integrated intensities of the basis functions fitted to the evolving TEA spectra change with time. The first three data points ( $\Delta t$ = 0.25, 0.3, 0.35 ps) in polar solvents showed unreasonably sharp decay or growth, which is attributed to fitting artefacts from the overlap of the basis functions. These first three time points were therefore excluded in subsequent kinetic fitting. The kinetics

of the GSB, S<sub>1</sub>-keto and S<sub>1</sub>-enol components were globally fitted to extract exponential time constants reported in Figure 4 and Table 1. The kinetics of SE decay are mono-exponential in MeOH, DMSO and ACN. Although an SE basis function was not used to fit TEA spectra in CYCH, an early time rise in the intensities of the S<sub>1</sub> ESA features evident in Figure 4(d) is suspected to derive from decay of a weak, overlapping SE contribution. Therefore, the time constant for DHHB S<sub>1</sub> SE decay in CYCH is estimated from global fitting of a shared time constant to the early time changes in S<sub>1</sub>-keto, S<sub>1</sub>-enol and GSB band intensities.

From this kinetic analysis, the time constants obtained for DHHB  $S_1$  SE decay ( $\tau_{SE}$ ) range from  $\leq$ 400 fs (in MeOH and ACN) to almost 800 fs (in DMSO), and the time constants for the ESA decays ( $\tau_{Keto}$  and  $\tau_{Enol}$ , corresponding to the  $S_1$  keto and enol contributions) are in the range of 7 to 23 ps, also with dependence on the solvent. The time constants extracted from TEAS analysis are summarized in Table 1.

Table 1. Relaxation Time Constants Obtained from TEAS and TVAS in Four Solvents.<sup>a</sup>

	МеОН	ACN	DMSO	СҮСН
$ au_{ ext{GSB}}$ (from TEAS)	$12.2 \pm 0.6 \text{ ps}$	$11.5 \pm 0.2 \text{ ps}$	$18.9 \pm 1.6 \text{ ps}$	$11.5 \pm 0.2 \text{ ps}$
$ au_{ m Keto}$	$13.6 \pm 0.3 \text{ ps}$	$14.3 \pm 0.1 \text{ ps}$	$23.2 \pm 0.6 \text{ ps}$	$10.2 \pm 0.1 \text{ ps}$
$ au_{ m SE}$	$0.42 \pm 0.01 \text{ ps}$	$0.26 \pm 0.01 \text{ ps}$	$0.77 \pm 0.03 \text{ ps}$	$0.43 \pm 0.02 \text{ ps}$
$ au_{ m Enol}$	$12.6 \pm 0.3 \text{ ps}$	$11.9 \pm 0.1 \text{ ps}$	$19.0 \pm 0.3 \text{ ps}$	$7.0 \pm 0.1 \text{ ps}$
$ au_{ ext{GSB}}$ (from TVAS)	$14.7 \pm 0.4 \text{ ps}$	$14.8 \pm 0.3 \text{ ps}$	$25.0 \pm 0.8 \text{ ps}$	$12.5 \pm 0.2 \text{ ps}$

 $<sup>^{</sup>a}$   $\tau_{SE}$ ,  $\tau_{Keto}$ ,  $\tau_{Enol}$  and  $\tau_{GSB}$  are time constants for SE decay, ESA of the S<sub>1</sub> keto decay, ESA of the S<sub>1</sub> enol decay, and S<sub>0</sub> enol GSB recovery respectively. In CYCH, SE is not clearly observed, but an early time rise in the ESA intensity is attributed to loss of overlapping SE signal.

In prior oxybenzone studies, a time constant of ~400 fs was attributed to torsional motion in the  $S_1$ -keto form as it relaxed to its minimum energy twisted geometry. However, in the case of DHHB our calculations show a preferred  $S_1$ -keto structure that maintains the near planarity of the OH and carbonyl groups, with the relaxed  $S_1$  enol instead showing the corresponding twisted structure (Figure S2). The  $\tau_{SE}$  = 300 fs – 800 fs time constants reported here are therefore assigned to the torsional motion of the  $S_1$ -enol tautomer, as is illustrated in Figure 2, while it undergoes relaxation to the  $S_1$ -enol minimum energy structure. Any excess energy in the initially photoexcited  $S_1$  DHHB will evolve into vibrational excitation and then be dissipated to the solvent bath. The time constants for decay of SE features apparently relate to solvent viscosity: in the more viscous DMSO,  $\tau_{SE}$  = 770 fs is consistent with greater resistance from the solvent to the incipient C-C bond twisting.

The time constant for excited-state hydrogen atom transfer ( $\tau_{ESHT}$ ) is expected to be 100 - 200 fs on the basis of observations in similar compounds. <sup>42, 43</sup> For example, ESHT dynamics in other common sunscreen molecules like homosalate, oxybenzone and methyl salicylate are reported to occur within 200 fs. <sup>16, 44, 45</sup> ESHT in DHHB on such timescales is not directly observed in our measurements, but is compatible with quenching of most of the SE from DHHB (S<sub>1</sub> enol) in CYCH within our ~150 fs TEAS instrument response.

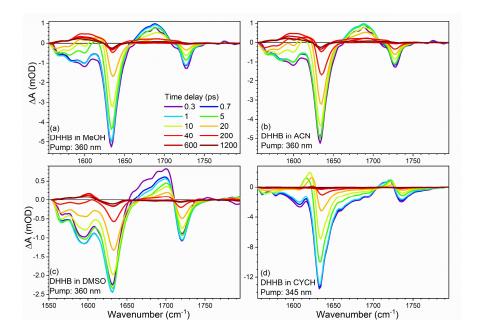
The ESHT is suggested to be inhibited in polar solvents because of disruption of the intramolecular hydrogen bond in DHHB. Our calculated PESs along the ESHT coordinate (Figure S5), obtained using the CPCM solvation model, predict an ESHT barrier in methanol, but no such barrier in CYCH. In the latter solvent, the majority of photoexcited DHHB molecules therefore undergo ESHT, converting to the  $S_1$ -keto form within 200 fs. A minor fraction of photoexcited DHHB remains in the  $S_1$ -enol form to undergo structural relaxation via C-C bond torsion. In contrast, in the polar solvents, ESHT dynamics are proposed to occur more slowly (i.e. >200 fs). The more prominent SE from the  $S_1$ -enol form of DHHB observed in spectra in Figures 3(a)-(c) is consistent with competition between this slower ESHT and the aforementioned torsional dynamics about the C-C bond that drive the  $S_1$  enol towards its lowest energy. As is noted above, evidence for this competition comes from the ESA bands assigned to both  $S_1$ -keto and  $S_1$ -enol tautomers. As the  $S_1$ -enol form twists from its initially excited form in the Franck-Condon region, it drops in energy and the energy of the corresponding  $S_1$ -keto form increases (see Figure 2). Hence the torsional motion rapidly switches off the ESHT pathway, leaving populations of the  $S_1$  enol (in a twisted geometry) and the  $S_1$  keto to evolve separately thereafter.

The later time TEA spectra of DHHB in DMSO shown in Figure 3(c) differ from those obtained in MeOH and ACN, and they are instead more similar to those obtained for solutions in CYCH. The weak, long-wavelength features observed are discussed below. These similarities are consistent with slower C-C bond torsion in the more viscous DMSO providing a more extended window of opportunity for ESHT than for DHHB solutions in MeOH and ACN.

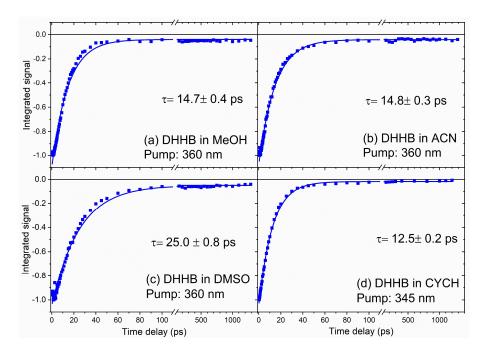
The  $\tau_{Enol} = 7-20$  ps time constants for decay of  $S_1$ -enol ESA, with values depending on the solvent, are attributed to electronic relaxation of the excited DHHB from its  $S_1$ -enol to the  $S_0$  electronic ground state. The relaxation pathway is likely to involve a conical intersection between the  $S_1$  and  $S_0$  states with a twisted central C-C bond (Figure 2), and the time constants of GSB recovery,  $\tau_{GSB}$ , in TEAS are consistent with the  $\tau_{Enol}$  values (Table 1), except perhaps in CYCH where  $S_1$ -keto relaxation is argued to be more significant. The  $\tau_{Keto}$ = 10 - 23 ps time constants for  $S_1$  keto decay are consistently slightly longer than the corresponding  $\tau_{Enol}$  values in the same solvent. The differences may result from an alternative decay pathway mediated by a separate CI in the keto form, or delayed reverse ESHT to repopulate the  $S_1$ -enol form before  $S_1 \rightarrow S_0$  relaxation.

### III.III Transient Vibrational Absorption Spectroscopy of DHHB

III.III.I Ground-State DHHB Recovery. The electronically non-adiabatic dynamics of  $S_1 \rightarrow S_0$  relaxation were studied further with the aid of TVA spectra, examples of which are shown in Figure 5 for DHHB in various solvents. On the basis of bands observed in steady state FTIR spectra (Figure S9), the negative-going transient absorption bands around 1633 cm<sup>-1</sup> and 1725 cm<sup>-1</sup> are assigned as ground state bleaches arising from photoexcitation of DHHB ( $S_0$ ). The GSB in CYCH recovers nearly to baseline within the limiting 1200 ps time delay of our measurements, indicating that most of the photoexcited DHHB relaxes back to the ground state. In contrast, noticeable GSB features remain at the later time delays in all the polar solvents. In addition to these remaining GSB features, there are positive bands in the TVA spectra round  $1600 \text{ cm}^{-1}$  at these later times for DHHB dissolved in the polar solvents.



**Figure 5.** TVA spectra of DHHB in: (a) methanol; (b) acetonitrile; (c) dimethyl sulfoxide; and (d) cyclohexane. The colour key in panel (a) shows the time delays corresponding to different spectra. The near-UV excitation (pump) wavelengths used are specified in the panels.



**Figure 6.** Kinetics of DHHB ground-state bleach recovery for the band with centre at ~1633 cm<sup>-1</sup> in: (a) methanol, (b) acetonitrile, (c) dimethyl sulfoxide and (d) cyclohexane. Single exponential time constants from fits shown as solid lines are reported in each panel.

The kinetics of the GSB recoveries obtained by integrating the intensities of the features centred at ~1633 cm<sup>-1</sup> are shown in Figure 6, together with single-exponential fits. Values of the resulting time constants ( $\tau_{GSB}$  from TVAS) are reported in Table 1; these values are in the 12 - 25 ps range, and are slightly longer than those extracted from the analysis of TEA spectra. Hence, they are assigned to  $S_1 \rightarrow S_0$  relaxation via one or more conical intersections. The GSB recovery in TVAS is obtained from repopulation of  $S_0$  molecules in their lowest vibrational level, so the recovery time constants will be affected by vibrational cooling in the  $S_0$  state, as well as reflecting the kinetics of  $S_1 \rightarrow S_0$  electronic relaxation which are derived from the TEAS analysis.

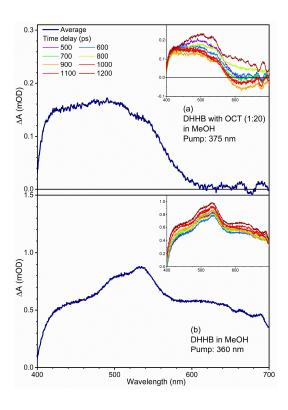
III.III.II Minor Photoproduct Formation. Our calculations show the optimized  $S_1$ -enol structure is twisted, and we propose  $S_1$  to  $S_0$  internal conversion via a conical intersection in this region. Hence, as the molecules relax through the CI to repopulate the  $S_0$  state, the C-C bond could keep twisting to form a *trans*-enol  $S_0$  isomer instead of reverting to the minimum energy  $S_0$  geometry. Figure S10 compares calculated IR spectra of the *trans*-enol and *trans*-keto forms of DHHB ( $S_0$ ) with the late time TVA spectra of DHHB in methanol. The positive peaks at ~1600 cm<sup>-1</sup> observed at later times in polar solvents are better assigned to *trans*-enol DHHB ( $S_0$ ) photoproducts, thereby accounting for the incomplete recovery of the GSB

features. A computational relaxed-geometry energy scan along the dihedral angle of the C(OH)–C–C=O portion of a DHHB molecule in the ground electronic state shows that the *trans*-enol is a metastable photoproduct because it must overcome a barrier to revert to the minimum energy structure (Figure S11). In contrast to DHHB, similar dynamics in photoexcited oxybenzone drive passage through an  $S_1/S_0$  conical intersection corresponding to a twisted  $S_1$ -keto structure, and further torsional dynamics on the  $S_0$  potential energy surface lead to a minor *trans*-keto photoproduct. <sup>15, 17</sup> These differences between oxybenzone and DHHB relaxation pathways arise because in oxybenzone it is the  $S_1$ -keto form that has a twisted minimum energy structure, whereas in DHHB it is the  $S_1$ -enol form. The geometries of the conical intersections connecting these  $S_1$  minima to the  $S_0$  state influence the minor photoproduct isomers that form.

The absence of a band at 1600 cm<sup>-1</sup> in TVA spectra for DHHB in CYCH is consistent with a greater propensity for ultrafast ESHT in the S<sub>1</sub> state. Decay from the resulting S<sub>1</sub>-keto form is therefore proposed to be via an alternative pathway to the S<sub>1</sub> / S<sub>0</sub> conical intersection discussed above, which is argued to be located towards the twisted S<sub>1</sub>-enol minimum energy structure. The GSB recovery approaches 98% in CYCH, and there is no obvious signature of *trans*-enol photoproduct formation. The remaining 2% is thus most likely to be a consequence of minor branching to triplet states of DHHB, and we suggest below that the outcome is population of the keto form of the T<sub>1</sub> state. In polar solvents, the GSB recoveries are about 95%, with the remaining 5% attributed in part to the *trans*-enol photoproducts seen by TVAS. The remainder is suggested to be a consequence of population of photoexcited triplet states, direct evidence for which comes from our TEAS measurements.

### **III.IV Triplet State Population and Quenching**

The later time (>1000 ps) TEA spectra shown as insets in Figure 3 differ in their finer details in the various solvents. In MeOH, there is a long-lived absorption extending beyond 550 nm, whereas there is only weaker absorption beyond 600 nm for DHHB in CYCH. On the basis of electronic structure calculations (see Supporting Information), and quenching experiments described below, the longer-lived band seen at wavelengths beyond 550 nm is assigned to the T<sub>1</sub> state of DHHB. Data presented in Figure 7 show that the triplet-state ESA in methanol can be quenched by adding octocrylene, which is a common UVB absorber additive in sunscreen products. Further quenching tests were performed with *trans*-β-methylstyrene, which has a similar triplet-state energy to DHHB, and the TEA spectra of these mixtures are shown in Figure S12.<sup>20,46</sup> Again, the longer-lived signal in the long wavelength region was quenched.



**Figure 7.** Plots of averaged late-time (500 – 1200 ps) TEA spectra of: (a) DHHB with added octocrylene (in 20-fold excess) and (b) DHHB in methanol. The insets display the individual spectra, and the colour key shows the time delays corresponding to each spectrum.

Just as for the singlet manifold of states, triplet spin state DHHB can exist in enol and keto forms. The computed UV-Vis absorptions of  $T_1$  enol and  $T_1$  keto DHHB are shown in Figure S13. Guided by these calculations, the long wavelength ESA feature observed at later times in methanol is assigned to the  $T_1$  enol, because the calculated absorption bands of the  $T_1$  keto form are located mainly in the 400 - 500 nm wavelength region. As Figure 7 shows, in the presence of octocrylene only a 400 - 500 nm ESA feature remains in the long-time spectra, which is attributed to the  $T_1$ -keto form of DHHB. Unlike the  $T_1$ -enol DHHB, this  $T_1$ -keto tautomer is not quenched by octocrylene because its triplet-state excitation energy is significantly smaller than that for the  $T_1$  enol relative to their corresponding  $S_0$  tautomers. The  $S_0$ -keto tautomer lies higher in energy than the  $S_0$  enol, whereas the energy ordering is reversed in the  $T_1$  state. Hence, the  $T_1 - S_0$  energy gap in the keto form is insufficient to sensitize the octocrylene or *trans*- $\beta$ -methylstyrene. The late time TEA spectra in CYCH (Figure S13) mainly show  $T_1$  keto signals, consistent with the proposition that ESHT from  $S_1$ -enol to  $S_1$ -keto forms is more significant in CYCH. In DMSO, the  $T_1$ -enol absorption is weaker than in the other polar solvents, and the late time spectra look similar to those

obtained in CYCH. These observations are taken as further evidence that that the more viscous solvent environment in DMSO slows down C-C twisting in the  $S_1$  enol and instead promotes ESHT.

Transient absorption spectra of DHHB in ethanol, obtained at time delays of 0.3 -  $1.2~\mu s$  by Shamoto et al. show a broad ESA signature peaking near 400 nm and extending up to ~500 nm that resembles the ESA band we assign to the  $T_1$ -keto tautomer. Our mechanistic arguments suggest the  $T_1$ -enol tautomer should be preferentially formed in protic solvents (e.g. MeOH or ethanol) which suppress the ESHT, but in the  $T_1$  state, the keto form is computed to lie lower in energy than the enol form. Hence, it is possible that the  $T_1$  enol undergoes hydrogen transfer to the  $T_1$  keto with a nanosecond to microsecond time constant that is outside the time window of our ultrafast spectroscopy experiments but accessible to the measurements of Shamoto et al. In their experiments using DHHB in 3-methylpentane, this  $T_1$  keto ESA was not observed, which suggests either that the  $T_1$  keto lifetime is < 0.3  $\mu$ s, or that  $S_1$ -state ESHT (favoured in non-polar solvents) hinders the intersystem crossing (ISC) from singlet to triplet states. The combined evidence from this prior and our current work points to the ISC occurring from the vicinity of the twisted  $S_1$ -enol geometry, in competition with internal conversion to  $S_0$  via a conical intersection. However, our later time TEA spectra of DHHB in CYCH do not show the ESA features assigned to the  $T_1$ -enol form, although bands are evident which could correspond to the  $T_1$ -keto form (on the basis of the aforementioned calculations). Thus, there may also be an accessible ISC pathway from the  $S_1$ -keto to the  $T_1$ -keto forms.

#### **IV. Conclusion**

Ultrafast transient absorption spectra of the sunscreen compound DHHB, photoexcited at wavelengths around 360 nm and 345 nm, differ significantly in polar and non-polar solvents. In the non-polar cyclohexane, ESHT happens in <200 fs from the Franck-Condon region of the  $S_1$  state, converting the  $S_1$ -enol to the  $S_1$ -keto form, and is the main dynamical pathway. Evidence is presented from TEA spectra that a small fraction of the  $S_1$ -keto intermediate subsequently populates the  $T_1$  state in its keto form via intersystem crossing. However, TVA spectra show that >98% of the photoexcited DHHB relaxes back to the ground state with an exponential time constant of ~12ps. In three chosen polar solvents, methanol, acetonitrile and DMSO, disruption of the intramolecular hydrogen bond in DHHB inhibits ESHT from the initially excited  $S_1$ -state enol. Therefore, a greater proportion of the photoexcited DHHB retains its  $S_1$ -enol character and relaxes by C-C bond torsion that quenches stimulated emission bands observed by TEAS with a solvent-dependent time constant of 300 - 800 fs. A small fraction ( $\leq$ 5%) of the twisted  $S_1$ -enol intermediates undergoes intersystem crossing to the  $T_1$  enol, which is observed by TEAS at later time delays. This  $T_1$  enol population can be quenched by adding octocrylene (a UVB absorber), showing that sunscreen

formulations containing both DHHB and octocrylene can influence the sun protection efficiency. The octocrylene should inhibit build-up of undesirable DHHB ( $T_1$ ) photoproducts, but could itself be sensitized to an excited triplet state in the process. A competing photoproduct, the *trans*-enol isomer of DHHB, is formed via a conical intersection between the  $S_1$  and  $S_0$  states, and is directly observed in low yield by TVAS of photoexcited DHHB in polar solvents. The remaining 95% of photoexcited DHHB relaxes back to the minimum energy structure on the  $S_0$  ground-electronic state with a time constant of ~15 ps that is associated with a combination of  $S_1$ -state decay and vibrational cooling of internally excited  $S_0$  molecules. Although DHHB and oxybenzone are structurally similar, differences in their  $S_1$  PESs for keto and enol forms substantially change the relaxation pathways that are essential for good sunscreen performance.

**Data Availability:** Data are available at the University of Bristol data repository, data.bris [DOI to be added upon acceptance of the manuscript].

**Supporting Information.** Computed structures and tautomerization pathways for  $S_0$  and  $S_1$  state DHHB molecules. Steady-state UV-Vis, FTIR, and fluorescence spectra of DHHB in the four solvents. Computed electronic excitations from the  $S_0$  and  $S_1$  states of DHHB. Examples of decomposition of TEA spectra of photoexcited DHHB in methanol and cyclohexane solutions. Late-time TVA spectra of DHHB. Experimental and computational evidence for the trans-enol photoproduct. TEA spectra for DHHB solutions with added *trans*-beta-methylstyrene. Computational assignment of experimentally observed DHHB  $T_1$  keto and enol excited state absorption bands.

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## ToC Graphic