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1     Analysing the Lake Urmia restoration progress using  
2             ground-based and spaceborne observations

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8     **Abstract**

9     Lake Urmia, located in the northwestern of Iran, was once the most extensive  
10     permanent hypersaline lake in the world. It has been shrinking at an alarming  
11     rate during the last two decades. Unsustainable water management in response  
12     to increasing demand together with climatic extremes have given rise to the  
13     lake's depletion. Based on research findings, short- and long-term approaches  
14     have been proposed to revive the lake. The Urmia Lake Restoration Program  
15     (ULRP) was established in 2013 aims to restore the lake within a 10-year pro-  
16     gram. The goal of this paper is to monitor these restoration endeavours over  
17     the last six years using spaceborne and ground-based observations. We anal-  
18     ysed in-situ water level, surface water extent, and lake water volume of the  
19     lake. Water storage change of the Urmia Lake catchment is quantified using  
20     the Gravity Recovery and Climate Experiment (GRACE) and GRACE Follow-On  
21     satellite observations, which gives us a holistic view of hydrological components  
22     in the Lake Urmia basin. Our analysis shows a positive trend of 14.5 cm/year,  
23     204 km<sup>2</sup>/year, and 0.42 km<sup>3</sup>/year in the time series of lake water level, lake wa-

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24 ter area, and water volume of the lake from 2015 to 2019 which indicates a  
25 short-lived stabilization of Lake Urmia over 2015–2019. This has been achieved  
26 mainly due to an increase of  $0.35 \text{ km}^3/\text{year}$  in inflow from rivers to the lake, pre-  
27 dominantly driven by anomalous precipitation events in 2016 and early 2019.  
28 The stabilization seems to be fragile however, since most of the increase in water  
29 volume of the lake has spread over the large shallow southern region with high  
30 evaporation potential during hot seasons. Furthermore, due to high correlation  
31 between lake water level and precipitation, the recovery symptom observed in  
32 2016 and the first half of 2019 might not continue in case of a longer drought  
33 period.

34 *Keywords:* Lake Urmia, Restoration, Spaceborne observation, Lake  
35 desiccation, GRACE-FO

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## 36 1. Introduction

37 Lake Urmia, once the largest permanent hypersaline lake in the world, has  
38 been shrinking at an alarming rate during the last two decades ([Wurtsbaugh  
39 et al., 2017](#); [UNEP, 2012](#)). The lake water level and its area have decreased at a  
40 rate of  $34 \text{ cm/yr}$  and  $220 \text{ km}^2/\text{yr}$ , respectively ([Tourian et al., 2015](#)). Unsustain-  
41 able water management in collaboration with increasing demand and climatic  
42 extremes have given rise to the observed depletion of the lake ([Schulz et al.,  
43 2020](#); [AghaKouchak, 2015](#); [Arkian et al., 2018](#); [Ghale et al., 2018](#); [Chaudhari  
44 et al., 2018](#); [Shadkam et al., 2016](#)). Precipitation and water inflow from different  
45 rivers are the main sources of water into the lake. Precipitation has decreased

46 moderately between  $-9$  mm/year and  $-20$  mm/year while the air temperature of  
47 the region has risen significantly between  $0.15$  °C/year and  $0.2$  °C/year (Arkian  
48 et al., 2018; Nourani et al., 2018; Delju et al., 2013; Fathian et al., 2015). The  
49 decrease in rainfall after 1995 played an important role in the documented de-  
50 cline of the lake water level (Arkian et al., 2018). Moreover, the increase in  
51 temperature, accompanied by a rise in sunshine duration, has accelerated the  
52 rate of evaporation over the lake which is the only direct sink (Nourani et al.,  
53 2018).

54 Many studies have identified various anthropogenic factors responsible for  
55 the lake's shrinkage. In particular, the expansion of irrigated land areas and,  
56 in consequence, increased water demand for agricultural purposes is one of the  
57 main drivers (Shadkam et al., 2016; Alizadeh-Choobari et al., 2016; Ghale et al.,  
58 2017; Chaudhari et al., 2018; Khazaei et al., 2018). Moreover, irrigation sys-  
59 tems in the catchment often have low efficiency which accounts for significant  
60 amount of water loss in the agricultural sector (Dariane & Eamen, 2017). Flow  
61 regulation through the construction of dams has decreased the inflow to the  
62 lake indirectly by accelerating the irrigation expansion (Shadkam et al., 2016;  
63 Hassanzadeh et al., 2012). During two major drought periods, 1997–1998 and  
64 2007–2008, agriculture practices put stress on groundwater resources of the basin  
65 by over-extracting water from wells. Tourian et al. (2015) demonstrated that  
66 groundwater depletion was alarming between 2003 and 2014, in which the total  
67 water storage over the catchment decreased at a rate of about  $-26.9 \pm 18$  mm/yr.  
68 Similar trend values have been reported by other studies (Voss et al., 2013;

69 [Joodaki et al., 2014](#); [Forootan et al., 2014](#)). Although the studies mentioned  
70 above employed different approaches to investigate the main reasons behind  
71 the desiccation of the lake, they all found that human intervention was a more  
72 significant factor than climate change.

73 The desiccation of Lake Urmia has threatened the local population's health  
74 and economy and raised national and international concern. Based on research  
75 findings, short and long-term approaches have been proposed to revive the lake  
76 from what was called the *water bankruptcy* ([Madani et al., 2016](#)). Revising the  
77 surface water management, improving the efficiency of the irrigation systems,  
78 introducing a water market, increasing public awareness to conserve water and  
79 averting new dam construction are the main strategies that have been advocated  
80 ([Hassanzadeh et al., 2012](#); [Alizadeh-Choobari et al., 2016](#); [Darlane & Eamen,](#)  
81 [2017](#); [Shadkam et al., 2016](#); [Ghale et al., 2018](#)). The government of Iran estab-  
82 lished the *Urmia Lake Restoration Program*, ULRP, a ten-year program (2013–  
83 2023) to revive Lake Urmia in three phases: i) stabilizing the current status;  
84 ii) restoration; and iii) sustaining the restoration. The ULRP aims to achieve  
85 its objectives by reducing the amount of water required for irrigation within a  
86 five-year program. Meanwhile, it plans to boost the water productivity up to  
87 60% using advanced irrigation systems. Moreover, it is intended to divert water  
88 from the Zab and Silveh rivers to the Urmia Lake basin. Finally, the ULRP has  
89 planned to use treated waste-water as a source of inflow to the lake.

90 In the recent past, only a few studies have assessed the progress of the  
91 restoration program. [Sima et al. \(2020\)](#) concluded that the ecological water

92 level should be set to a higher level to reduce salinity for recovering brine shrimp  
93 and flamingos. Moreover, they suggested defining a range of water level instead  
94 of a single ecological level to include more ecosystem services. [Danesh-Yazdi &](#)  
95 [Ataie-Ashtiani \(2019\)](#) assessed the status of the lake by analyzing its water level  
96 over the past six years. They claimed that the current restoration plan needs  
97 to be revisited and they highlighted the importance of data for a more realistic  
98 model and plan.

99 In this study, we aim to monitor and analyze the restoration progress of  
100 the Lake Urmia using mainly the observations from satellites accompany with  
101 ground-based measurements. Furthermore, we discuss the cause of the variation  
102 in the status of the lake using hydrological parameters over the lake and its closed  
103 basin, including fluxes namely precipitation and evaporation. We present the  
104 time series of total water storage (TWS) change of the Urmia basin from the  
105 Gravity Recovery and Climate Experiment (GRACE) mission added with its  
106 successor GRACE Follow-On for the first time. The ground-based data available  
107 for the Urmia basin and the lake includes in situ data of the inflow to the lake,  
108 and in situ groundwater data both for validation and analyses. Using the data  
109 mentioned above, we have investigated the role of climate factors and human  
110 activities, including the ULRP in the region, specifically after 2013.

## 111 **2. Study area**

112 Lake Urmia, located in northwestern Iran, is one of the world's largest per-  
113 manent hypersaline lakes and the largest in the Middle East (Figure 1). About

114 17 permanent and 12 seasonal rivers, as well as a few submarine streams and  
115 springs, bring water into the lake. The majority (about 75 %) of the water inflow  
116 to the lake comes from river discharge while surface water runoff, groundwater  
117 resources, and precipitation provide about 25 % of the water inflow (Eimanifar &  
118 Mohebbi, 2007; Zarghami, 2011). Around 41 reservoirs have been constructed  
119 over rivers inside the basin since 1970 with the capacity of storing  $2 \cdot 10^9$  m<sup>3</sup>  
120 of water. The surface area of the lake has varied between 1000 and 6000 km<sup>2</sup>  
121 during the last two decades (Tourian et al., 2015; Zarghami, 2011). A 1709 m  
122 causeway called Shahid Kalantari divided the lake into a northern and a south-  
123 ern part in 2008. Water exchanges between these two part via a culvert along  
124 the causeway.

125 Lake Urmia is located in a closed basin with a catchment area of about  
126 52 000 km<sup>2</sup>. In terms of topography, the basin is surrounded by mountains  
127 (about 65 % of the catchment area) with vast agriculturally productive plains  
128 (about 21 % of the catchment area) located in the middle of the basin and  
129 around the lake. The lake and its surrounding area account for nearly 14 % of  
130 the total area of the catchment. The altitude of the basin varies between 1280 m  
131 and 4886 m above sea level. The basin climate is classified as arid to semi-arid  
132 where agriculture depends vitally on irrigation. Based on data from 1973 to  
133 2011, the average annual precipitation over the basin is 352 mm (Farajzadeh  
134 et al., 2014). The air temperature of the basin usually varies from 0 to  $-20^{\circ}$  C  
135 during the winter period and increases up to  $40^{\circ}$  C in a hot summer (Eimanifar  
136 & Mohebbi, 2007).

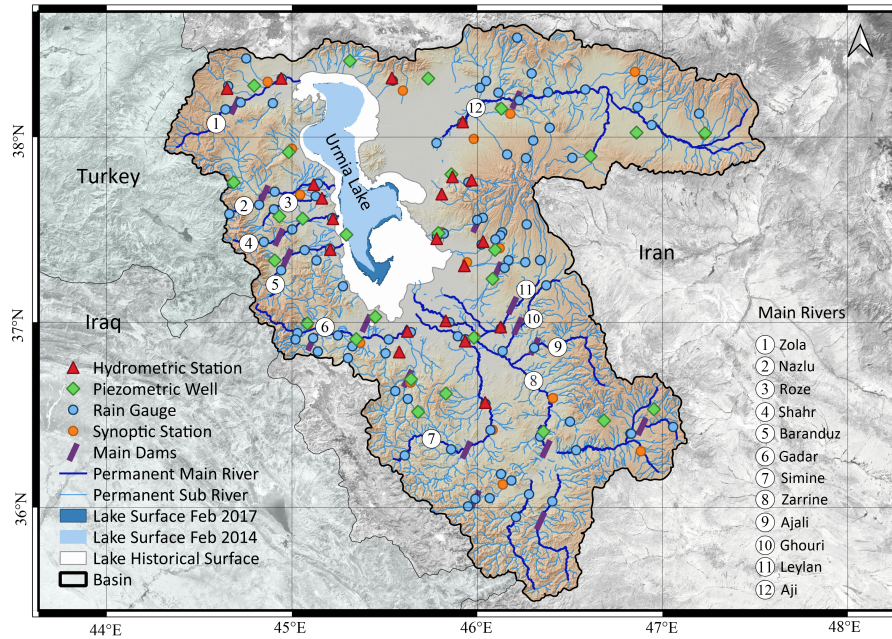


Figure 1: Lake Urmia basin including the main rivers, ground-based stations.

### 137 3. Restoration program

138 The Urmia Lake Restoration Program (ULRP) was established in October  
 139 2013. It consists of 6 technical committees and 20 working teams that aim to  
 140 implement integrated approaches for catchment management and to provide so-  
 141 lutions to restore the Urmia Lake (ULRP, 2015b). ULRP has defined its main  
 142 mission as *Urmia Lake Restoration* and aims to increase the lake water level  
 143 to reach a so-called *ecological equilibrium* till 2023. The corresponding eco-  
 144 logical water level, 1274.67 m above sea level, was established based on water  
 145 quality conditions ( $240 \text{ g l}^{-1}$  of NaCl) needed to retain brine shrimp *Artemia*  
 146 (Abbaspour & Nazaridoust, 2007). Three main visions have been announced



147 by ULRP including the life cycle revival of the lake (the most important), in-  
148 tegrated water resource management of its basin, and sustainable agricultural  
149 development. Moreover, the minimum water level of 1271.72 m, so called health  
150 threshold, is crucial to minimize the health risk from dust-prone regions of the  
151 lake (Sima et al., 2020; Mardi et al., 2018). This new criterion will assure that  
152 more than 90 % of the dust producing areas will be covered with water (RSRC,  
153 2016).

154 To restore the lake, ULRP has determined three main phases within a ten-year  
155 program (ULRP, 2015a):

- 156 1. Stabilization (2014–2016)
- 157 2. Restoration (2017–2022)
- 158 3. Final restoration (2023)

159 In the first phase, ULRP aimed at maintaining a minimum lake water level  
160 and decrease the possible adverse effects of the dried part of the lake like dust  
161 storms. The second phase is dedicated to fulfilling the entire lake water demand  
162 and gradually increasing the lake level. Finally, in the third phase, ULRP aims  
163 to stabilize the water level at the ecological level.

## 164 4. Data and methodology

### 165 4.1. Data

166 **Evapotranspiration:** Only one active actual evapotranspiration monitor-  
167 ing station exists in the basin, located in the eastern part of the Urmia Lake.

168 The food and agriculture organization of the united nations (FAO) in cooperation  
169 with the ULRP has installed some stations since 2019. However, the measure-  
170 ments have not yet been publicly released. The lack of a network of evapotran-  
171 spiration monitoring stations in the basin and the complexity of a physically-  
172 based approach for estimating actual evapotranspiration led us to use global  
173 evapotranspiration products. Among global estimates of evapotranspiration,  
174 we use the latest product of the European Centre for Medium-Range Weather  
175 Forecasts (ECMWF) atmospheric reanalyses of the global climate called ERA5  
176 (Hersbach, 2018) from Copernicus Climate Change Service Climate Data Store  
177 (CDS)(<https://cds.climate.copernicus.eu>, last access 25 January 2020).  
178 The ECMWF products has shown compatibility with other hydrological data  
179 in the region (Lorenz et al., 2014). It should be mentioned that throughout this  
180 paper, evapotranspiration means *actual* evapotranspiration and not other sorts  
181 like potential or crop evapotranspiration.

182 **Precipitation:** Observation from a dense network of rain-gauges provides  
183 the best estimation of precipitation over a region. However, in many coun-  
184 tries like Iran, recent measurements are not provided publicly. As a result, in  
185 this study, we evaluate the performance of 10 gridded precipitation datasets  
186 over the Urmia basin compared with a gridded in-situ data from 255 stations.  
187 Finally, we selected six bias-corrected datasets and used them for monitoring  
188 and assessments. The process of computing gridded precipitation from point  
189 measurements and then evaluating the datasets are discussed in detail in [Ap-  
190 pendixA](#).

191 **In-situ data:** In this study, we include ground water level observations from  
192 a network of 1160 piezometric wells from 2002 to the middle of 2017. Moreover,  
193 to obtain a better understanding of water resource management inside the basin,  
194 we use the time series of water inflow to the lake from rivers and reservoirs from  
195 1995 to the end of 2019. Furthermore, we utilize the in-situ water level of the  
196 lake from 1965 to 2019, investigating the long-term change of water level of the  
197 lake as well as quantifying the accuracy of satellite altimetry.

Table 1: Summary of all datasets and sensors used in this study.

Variable	Dataset	Resolution		Time period
		Spatial	Temporal	
Precipitation	PRECL	0.5°	1 mo	1948–2019
	CPC	0.5°	1 mo	1979–2019
	GPCP	2.5°	1 mo	1979–2019
	CMAP	2.5°	1 mo	1979–2019
	PERSIANN-CDR	0.25°	1 mo	1983–2019
	CHIRPS	0.05°	1 mo	1981–2019
	ERA5	0.25°	1 mo	1979–2019
	NCEP-1	0.25°	1 mo	1948–2019
	NCEP-2	1.875°	1 mo	1979–2019
	MERRA-2	0.5°	1 mo	1979–2019
Evapotranspiration	ERA5	0.25°	1 mo	1979–2019
Lake area	MODIS (MOD09Q1)	250 m	8 d	2000–2019
Water storage change	GRACE-ITSG-Grace2018	-	1 mo	April 2002–June 2017
	GRACE-FO-ITSG	-	1 mo	June 2018–2019
	WGHM	0.5°	1 mo	1948–2016
Groundwater level	piezometric wells	-	1 mo	2002–2017
Inflow	hydrometric stations	-	1 mo	1995–2019
Water level	water level gauge	-	1 d	1965–2019
Precipitation	rain-gauge	-	1 mo	1965–2013

## 198 4.2. Methodology

199 The surface water extent was obtained using the MODIS surface reflectance  
200 8-day composites with 250 m spatial resolution (MOD09Q1). More than 20

201 years (2000–2019) MOD09Q1 of data were classified using the ISODATA method  
 202 (Iterative Self-Organizing Data Analysis Technique). The choice of MODIS over  
 203 other high-resolution satellite imagery missions like Landsat is due to the need  
 204 for continuous observation from 2000 to 2019. To quantify the classification  
 205 uncertainty, we considered all pixels at the lake shoreline and calculated the  
 206 uncertainty for each area per epoch by

$$\sigma_S = \sqrt{P} \cdot \sigma_{lsa}, \quad (1)$$

207 where  $P$  is the number of shoreline pixels,  $\sigma_S$  is the uncertainty for each  
 208 epoch, and  $\sigma_{lsa}$  is the uncertainty of the area for a MODIS pixel where  $lsa$  stands  
 209 for lake surface area. We took  $0.0625 \text{ km}^2$  which is the maximum value for  $\sigma_{lsa}$ .  
 210 In order to obtain water volume of the lake, we used in-situ water level and  
 211 the look-up table for Urmia Lake’s level-area-volume relation from [Arabsahebi](#)  
 212 [et al. \(2019\)](#) (Table 7). This look-up table is obtained from the bathymetry map  
 213 provided by the Water Research Institute (WRI) from a field operation in May  
 214 2013 ([Arabsahebi et al., 2019](#)).

215 We employed satellite gravimetry to track total water storage change, in  
 216 particular GRACE and the GRACE-FO level 02 products (spherical harmonic co-  
 217 efficients up to degree 96) provided by ITSG, Graz ([Mayer-Gürr et al., 2018](#)).  
 218 The  $C_{20}$  coefficient in these GRACE fields is replaced by the  $C_{20}$  coefficient de-  
 219 rived from SLR ([Cheng et al., 2013](#)). The degree-1 coefficients were added to  
 220 the GRACE fields, as suggested by [Swenson et al. \(2007\)](#). Since the GRACE fields  
 221 are noisy, we use a Gaussian filter of half-width radius 400 km to filter them

222 (Devaraju, 2015). Then we compute the regional average of total water stor-  
223 age change over the Urmia basin for each month to obtain a time-series. This  
224 time-series is not an accurate representation of the hydrological changes because  
225 filtering damages the signal via leakage and attenuation (Vishwakarma et al.,  
226 2016). To correct for the signal loss, we use the data-driven method of devia-  
227 tion, which has been shown to restore the lost signal to a large extent for small  
228 catchments also (Vishwakarma et al., 2017, 2018). In Figure 11 we have plotted  
229 the time-series from filtered GRACE fields and the corrected time-series.

## 230 5. Results and discussion

231 To obtain a holistic assessment of the current status of the lake, we present  
232 the results and discussions in three parts. First, we investigate the recent water  
233 change of the lake by monitoring and analysing the water level, surface area,  
234 and volume of the lake. In the second part, we study the water balance in Lake  
235 Urmia as an independent system (see figure 2a). In this part, we assess the  
236 time series of precipitation and lake inflow as the sources and evaporation as  
237 the only sink. Finally, we investigate changes in the main parameters of the  
238 basin, including the water balance fluxes (see figure 2b).

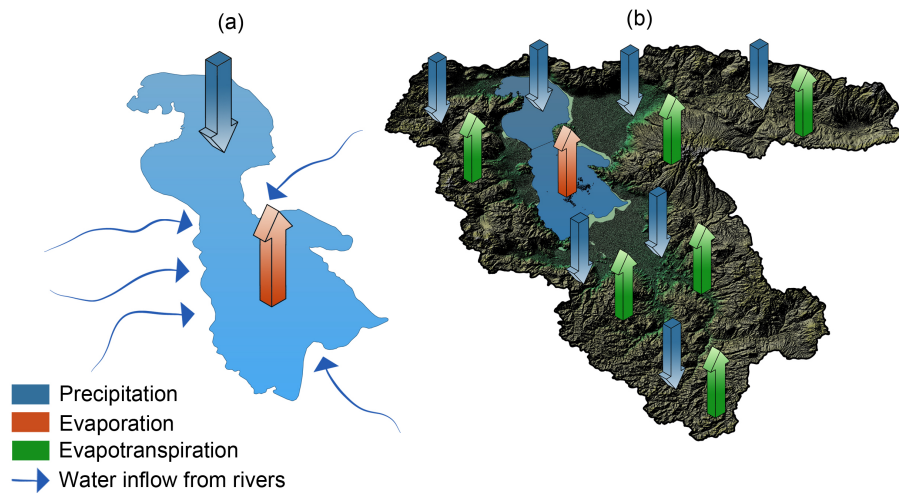


Figure 2: Schematic diagram of the water balance for (a) Lake Urmia, and (b) Lake Urmia basin.

## 239 5.1. Monitoring the lake's water change

### 240 Lake water level

241 Figure 3 (a) shows the 55-year time series of daily in-situ lake water level  
 242 from 1965 to 2019. The long-term water level puts our comparison between the  
 243 last two decades, especially the last six years, into perspective with the former  
 244 state of the lake in the last three decades of the twentieth century. The water  
 245 level dropped by more than 8 m during 1995–2015. Since October 2002, the  
 246 lake has never seen its ecological water level. The reasons behind this noticable  
 247 decline in lake water level have been discussed in previous studies (e.g., Schulz  
 248 et al. (2020); Khazaei et al. (2018); Ghale et al. (2018); Chaudhari et al. (2018)).

249 The negative trend in the water level has tapered off since late 2015 and  
 250 early 2016 (Figure 3 (a)). Figure 3 (b) compares the observed lake water level

251 time series with the two main goals of the restoration program, namely the  
252 ecological water level and the health threshold, in the time-frame of the restora-  
253 tion program. The result shows that stabilization is achieved but at a lower  
254 level than planned. Moreover, the lake level climbed above the health threshold  
255 briefly in April 2019. Figure 3 (c) shows the inter-annual change of water level  
256 from 2014 to 2019 more elaborately. Lake water level has risen overall from  
257 its lowest level from 2015 to 2019. In 2016, the level of the lake increased on  
258 average about 40 cm in comparison to 2015, except for the first two months of  
259 the year. Although the lake water level decreased by an average of 14 cm and  
260 17 cm in 2017 and 2018, respectively, it still was in a better situation than  
261 2015, on average 16 cm and 12.5 cm higher, respectively. In 2019, the lake ex-  
262 perience its highest water level over the past decade. In the first seven months  
263 of the year, the average water level of the lake was more than 74 cm higher than  
264 in 2015, with the average monthly variation this year found to be similar to  
265 2010. The lake experienced a positive trend of 14.5 cm/year from 2015 to 2019.  
266 Rainfall was much higher than the average long-term in 2016 and especially in  
267 March–May 2019. This increase in rainfall is one of the main reasons for the  
268 increase in these two years. The rainfall variations in the basin are investigated  
269 in more detail in section 5.3.

### 270 **Lake surface water extent**

271 Results from the classification of the satellite imagery are shown as time  
272 series in Figure 4 (top) together with the in-situ water level measurements. The

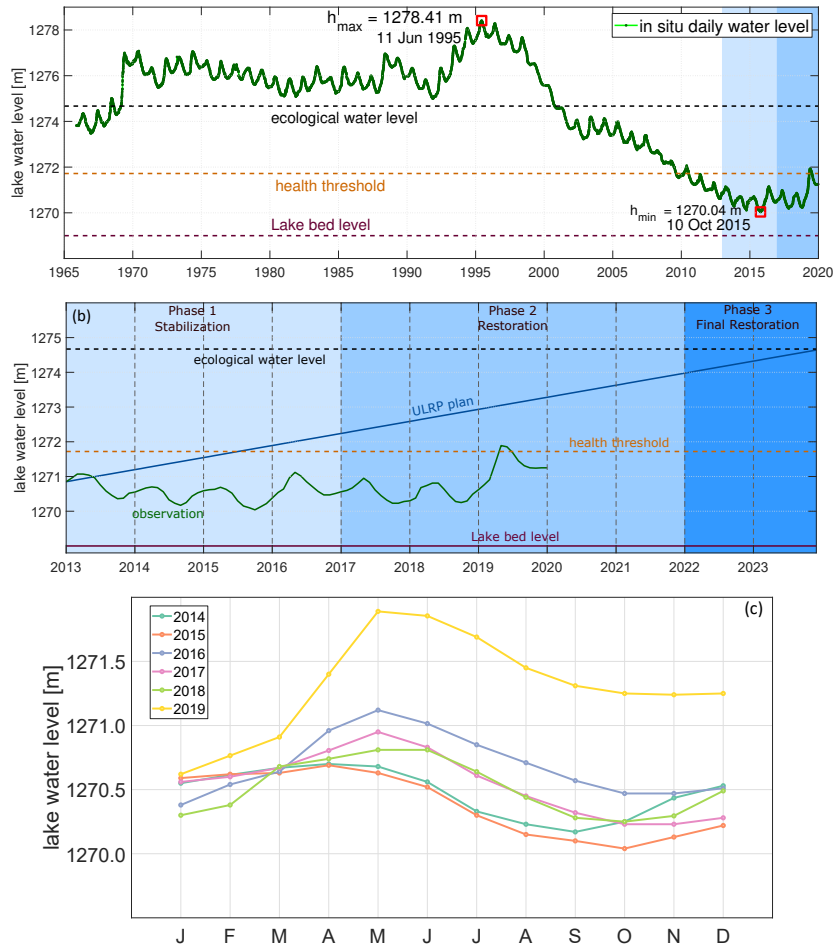


Figure 3: (a) Lake Urmia historical daily in-situ water level from 1965 to 2019; (b) Lake Urmia monthly in-situ water level (in green) compared with the two water level goals of the restoration program, namely ecological water level and health threshold in the time frame of three phase of restoration plan; (c) Monthly in-situ water level from 2014 to 2019.

273 high correlation between the two time series (0.96) reflects a high consistency.

274 We have evaluated the time series of surface water extent from ISODATA com-

275 pared with the height-area look-up table presented by [Arabsahebi et al. \(2019\)](#).

276 The result of the evaluation is shown in [AppendixB](#).

277 From 2015 to the end of 2018, a trend in the lake area is negligible although



278 a large annual amplitude exists. The lake experienced a jump and reached  
279 2922 km<sup>2</sup> in June 2016. The average area of the lake was 1489 km<sup>2</sup> and 1246 km<sup>2</sup>  
280 in 2017 and 2018, respectively, which is the same as in 2015, with peaks only  
281 slightly higher in the spring. Between the years 2015–2017, Lake Urmia has  
282 gained nearly 300 km<sup>2</sup>. The water area of the lake in 2019 witnessed a dramatic  
283 increase and reached 2407 km<sup>2</sup> on average for the first seven months. This  
284 average was last seen in 2011. The MODIS snap-shots of the lake for the 25<sup>th</sup>  
285 of May in different years depict its state (Figure 4, bottom). May is the month  
286 in which the lake has shown its highest water level over the last two decades.  
287 The area reduction is visible from the year 2006 until 2010 mainly from the  
288 southeastern part. From 2010 the lake started to dry almost from all directions  
289 to the end of 2015. From 2016 to 2018, under the influence of restoration  
290 endeavours, the area starts to expand mainly in the southern half. As discussed  
291 above, in the year 2019 the surface area of the lake expanded abruptly and  
292 reached a state last seen in 2009–2011. The time series of surface area of the  
293 Urmia lake indicates a positive trend of  $204 \pm 6$  km<sup>2</sup>/yr from 2015 to 2019.

294 In order to obtain a better understanding of the lake area variations during  
295 the monitoring period, water coverage frequency maps of Lake Urmia for specific  
296 periods are presented in figure 5. Figure 5(a) presents a map of water coverage  
297 frequency for the whole monitoring period (2000–2019); divided into four sub-  
298 periods in Figure 5(b,c,d, e). The inner part of the lake in the north was the  
299 only part with 100% coverage of water. Considering the early years (2000–  
300 2006) as the period with the highest number of pixels with more than 95%

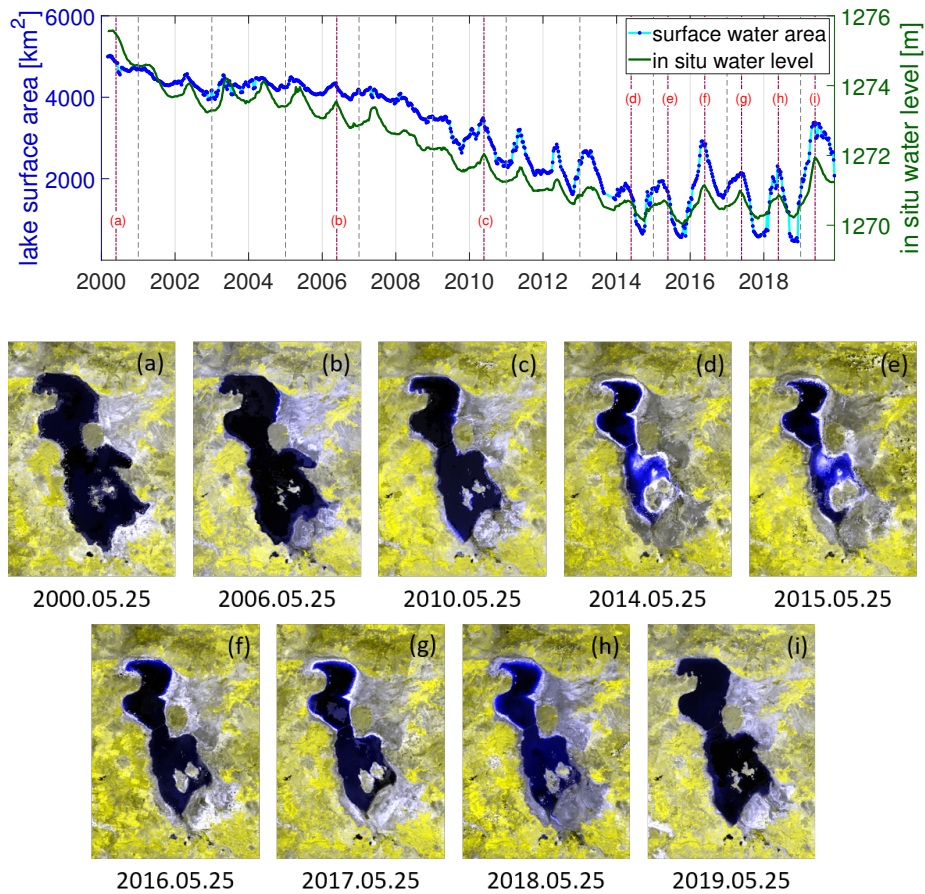


Figure 4: (Top) Time series of surface water extent obtained from MODIS imagery and the time series of in-situ water level from 2000 to 2019; (Bottom) False-color RGB combination of bands 2, 2, and 1 of MODIS MOD09Q1 product over Lake Urmia for selected dates. The position of each of these images is shown in time series. Images including (a), (b), (c), (d), and (e) plotting the desiccation period of the lake and images including (f), (g), (h), and (i) show the restoration period.

301 water coverage frequency, Figure 5(d and e) shows that the lake was shrinking  
 302 from the southern part from 2007 to 2014. The vast area of the lake has been  
 303 covered by the pixels with light blue and green colors indicating the annual cycle  
 304 in this period. Comparing to the area of the lake in the period of 2011–2014, the  
 305 lake has expanded from 2015 to 2019, mainly in the southern part. However,

306 Figure 5(b) shows that this expansion only happened in the less than 20% of  
 307 the period, which is clear to be the year 2019.

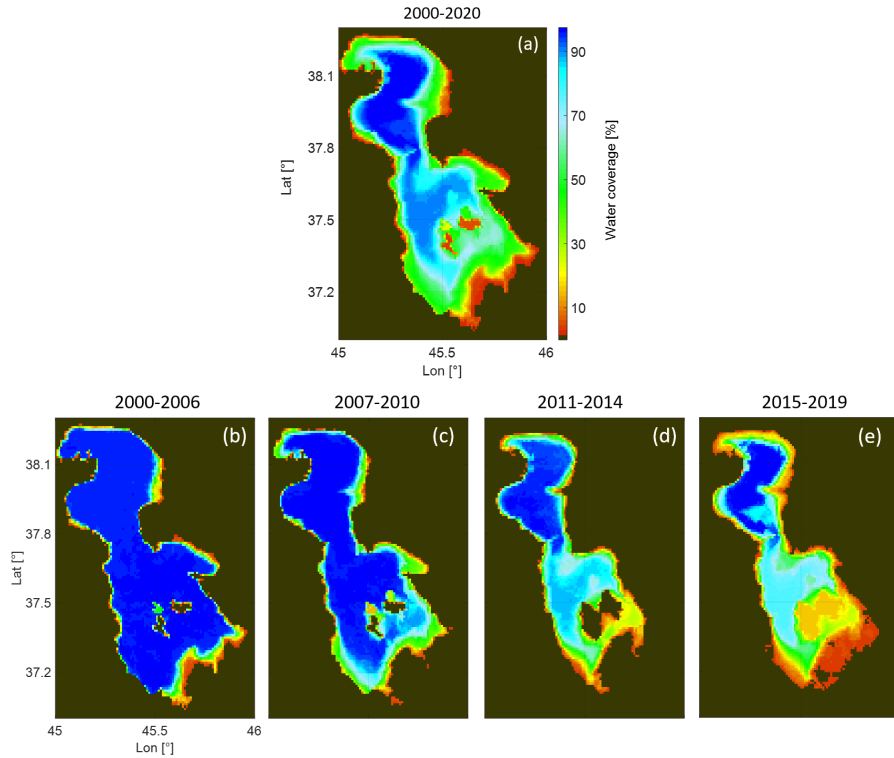


Figure 5: Water coverage frequency maps for Urmia Lake for the last two decades, 2000–2020 (a), and its sub-periods including 2000–2006 (b), 2007–2010 (c), 2011–2014 (d), and the last five years 2015–2019 (e). 100% and 0% coverage means being wet and dry, respectively, for the whole time period.

308 Figure 6a characterizes four main phases for Lake Urmia by plotting the  
 309 lake surface area versus in-situ water level measurements. The colors (pointedly  
 310 ordered in traffic light colors) represent different behaviour of the lake's bed. A  
 311 high slope of the lake bed will led to large changes in the surface water area  
 312 against slight changes in the lake water level. In this way, yellow dots represent  
 313 the deepest slope in the lake bed and green dots indicate the mildest slope. The

314 scatter plot delineates the shrinking process of the lake with a weak negative  
 315 trend in green dots, strong negative trend in yellow and red dots, and positive  
 316 trend in orange dots. The orange dots depicts water spread over a larger area  
 317 but with a shallow depth which can accelerate the rate of evaporation from the  
 318 lake.

319 To investigate the changes in the lake over the last six years in more detail, we  
 320 analyze the scatter plot of area versus the in-situ water level of the lake between  
 321 2014 to 2019 (shown in Figure 5b). The colors follow Figure 3 (bottom). The  
 322 year 2014 is included as a reference for tracking the process of desiccation. A  
 323 clear drop occurred in both surface area and the water level in October 2015,  
 324 corresponding to the lowest water level of the lake in the last 53 years.

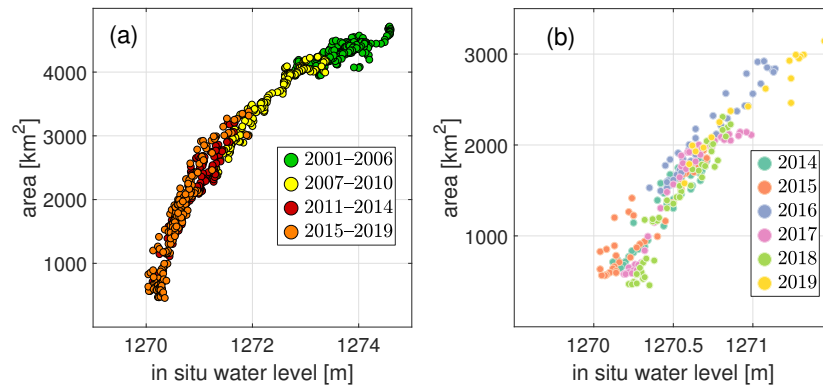


Figure 6: Scatter plot of surface water extent versus water level, a) for four different time periods: 2001–2006, 2007–2010, 2011–2014, and 2015–2018. b) for the six last years 2014–2019.

### 325 Lake water volume variation

326 Figure 7 illustrates the time series of lake volume from 2000 to the end  
 327 of 2019. The time series has a negative trend of  $1.2 \text{ km}^3/\text{yr}$  from 2000 to

328 2014. From the beginning of 2015 to the end of 2017 the trend is near-zero  
 329 ( $0.02 \text{ km}^3/\text{yr}$ ). This can be interpreted as stabilization though at a very low  
 330 level of around  $2 \text{ km}^3$  (9% of its early 2000s volume). From 2015 to the end of  
 331 2019, the time series of water volume of the Urmia lake shows a positive trend  
 332 of  $0.42 \text{ km}^3/\text{year}$ . The gray inset presents the estimation of the area compared  
 333 with the volume of the lake within 2015–2019.

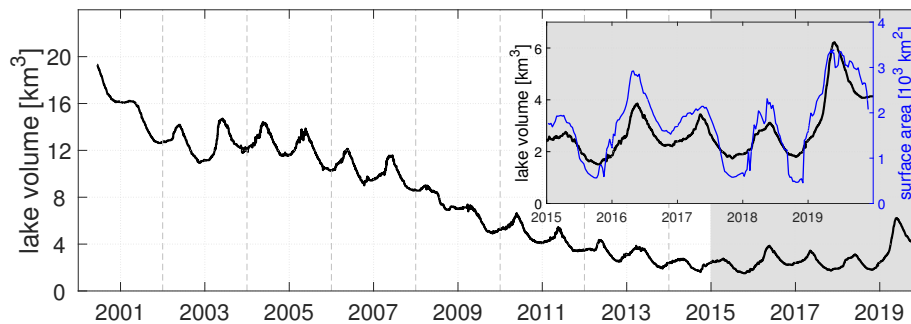


Figure 7: Time series of lake water volume estimated using the look-up table provided by [Arabsahebi et al. \(2019\)](#) and in-situ lake water level

334 The time series of the lake water level, surface water extent, and water  
 335 volume confirm a stabilization of the lake between 2016 and 2019. Climate  
 336 factors such as precipitation, evaporation, and temperature accompanied by  
 337 the restoration endeavours have contributed positively to achieve a stabilized  
 338 lake water level. In the next section, we present the result of monitoring the  
 339 main terms of the water balance for the lake. Isolating the lake and observing  
 340 water balance terms provides a holistic view of the factors affecting lake level in  
 341 recent years.

## 342 5.2. Investigating sources and sinks

343 Figure 8 shows the time series of lake volume together with the sources  
344 (precipitation ( $P$ ) and inflow from rivers ( $Q$ )) and sink (evaporation( $E$ )) over  
345 2016–2019. Significant correlations between lake volume changes and water  
346 balance components indicate the validity of the calculations. Over the last  
347 four years, the volume of the lake increased with a trend of  $0.43 \text{ km}^3/\text{year}$ .  
348 The evaporation from the lake shows weak positive trend of  $0.02 \text{ km}^3/\text{year}$  and  
349 precipitation to the lake  $0.10 \text{ km}^3/\text{year}$ . The total inflow to the lake from rivers  
350 increased with a trend of  $0.35 \text{ km}^3/\text{year}$ . Considering these values and the water  
351 balance of the lake:

$$P + Q - E = \frac{dS}{dt} \quad (2)$$

352 where  $S$  is the water volume of the lake, one can conclude that the main  
353 cause of the increase in water volume of the lake comes from the change in the  
354 inflow from rivers. We monitored the ratio of inflow to the total precipitation  
355 of the Urmia basin over the last 25 years back to 1995 when the lake had  
356 its highest area. The precipitation shows a positive trend from 1995 to 2019,  
357 ignoring the inter-annual fluctuations of precipitation to the basin. Although  
358 the basin gained more water in the 2000s and 2010s, the inflow from rivers  
359 declined. This decline is correlated with the decrease in the water volume of  
360 the lake and acknowledged in the previous studies like (Danesh-Yazdi & Ataie-  
361 Ashtiani, 2019; Schulz et al., 2020). From 2015, with initiating the restoration

362 endeavours in the basin, the lake gained more water from rivers and reservoirs  
 363 as the inflow which is highly correlated with the rise in the water volume of the  
 364 lake.

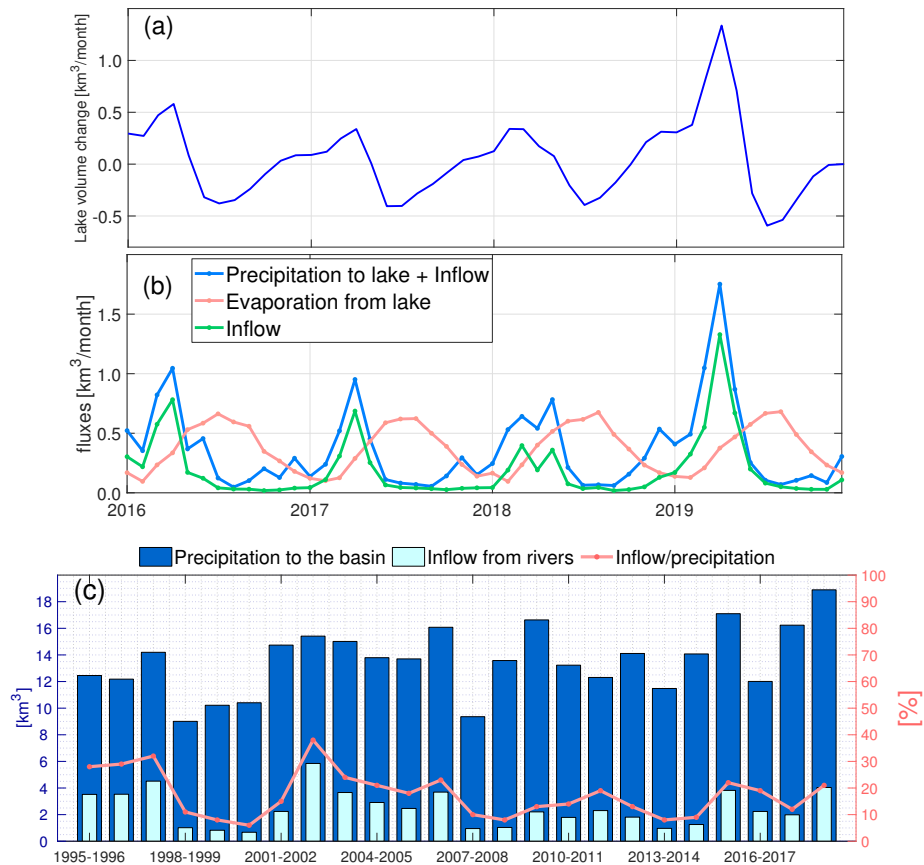


Figure 8: (a) Time series of lake volume change and (b) precipitation to the lake, evaporation from the lake, and the total inflow to the lake from rivers from 2016 to 2019, all in monthly time step. (c) Bar graph of average monthly precipitation to the basin (excluding lake) and inflow to the lake. The ratio between inflow to the lake and the precipitation to the basin is shown in percentage using the right vertical axis.

365 Lake Urmia lies at the lowest point in a closed drainage basin. Natural and  
 366 anthropogenic activities inside the basin affect the state of the lake. Therefore,  
 367 we monitored the water balance fluxes, namely precipitation and evapotran-

368 spiration, in section 5.3. Water balance fluxes consider surface water change  
369 and are blind to the deep groundwater change. Hence we employed GRACE to  
370 monitor total water storage change inside the catchment (see section 5.3).

### 371 **5.3. Urmia catchment**

372 In this section, we investigate the change in the components of the water  
373 balance of the Urmia basin, namely precipitation, evapotranspiration, and the  
374 total water storage anomaly. These parameters have been surveyed to under-  
375 stand the reasons behind the desiccation of the lake (Arkian et al., 2018; Ghale  
376 et al., 2018; Shadkam et al., 2016; Tourian et al., 2015). Precipitation repre-  
377 sents more natural variation in the climate, while anthropogenic activities like  
378 agriculture can influence evapotranspiration. Finally, satellite gravimetry pro-  
379 vides a holistic view of storage change in the basin, including variation in deep  
380 water. Expansion of irrigated area is reported as a primary cause of the lake’s  
381 desiccation (Khazaei et al., 2018; Schulz et al., 2020). However, monitoring and  
382 analysing the spatio-temporal change of the agricultural land area needs ground-  
383 based observations for calibration and validation. Since such an observation is  
384 not available for the restoration period, we have not included an assessment of  
385 irrigated area into our analyses.

#### 386 **Water balance fluxes**

387 Figure 9 demonstrates the time series of three month time-scale Standardized  
388 Precipitation Index (SPI; (McKee et al., 1993)) from 1983 to 2019. Different



389 classes of wetness and dryness are displayed in the legend of Figure 8. In the  
390 past four decades, the catchment has mostly experienced an equal number of  
391 dry and wet years in each decade though with different intensity. The average  
392 precipitation were 301, 299, 292, and 293 mm/year over the 1980s, 1990s, 2000s,  
393 and 2010s, respectively. However, in the course of the late 1990s and mid-  
394 2000s, the severity and frequency of droughts increased, leading to a strong  
395 reduction in lake levels. By the start of the restoration endeavours in 2015,  
396 the basin experienced more often a wet condition (25 %) than dry (only 5 %) of  
397 the period from 2015 to 2019. Over the last 45 months (70 %) the basin varied  
398 between mildly wet to mildly dry.

399 Figure 10 shows monthly, and average annual lake water level together with  
400 the monthly time series of basin-wide precipitation and evapotranspiration from  
401 2014 to 2019. A positive recharge ( $P - ET$ ) occurred in 2015, 2016, 2018,  
402 and 2019. The positive recharge in 2015 and 2018 happened after a year of  
403 negative recharge which helped the basin to recover. The same amount of  
404 positive recharge in 2016 and 2019, equivalent to about  $800 \times 10^6 \text{ m}^3$  water,  
405 led to different amounts of increase in water level. This can be explained by  
406 the double amount of water that has been released to the lake in 2019 relative  
407 to 2016 (see Figure 8). The precipitation over the basin indicates a moderate  
408 positive trend of  $0.65 \text{ km}^3/\text{year}$ ) while evapotranspiration shows a weak positive  
409 trend of  $0.20 \text{ km}^3/\text{year}$ ) from 2015 to 2019. As a result, the basin is recharged  
410 trend-wise at a level of about  $0.40 \text{ km}^3/\text{year}$ . Therefore the positive trend in  
411 the water volume of the lake is largely obtained by an interchange of water from

412 reservoirs and rivers to the lake, instead of being used in the agricultural lands.

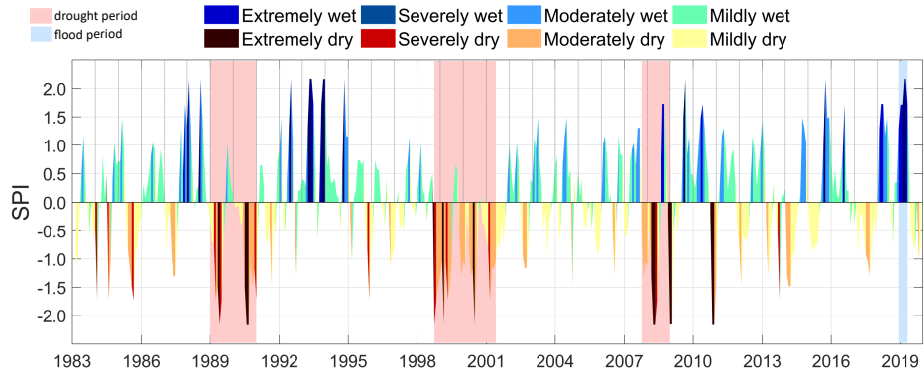


Figure 9: Three month time scale of SPI over the Urmia basin from 1983 to 2019. The categories of wetness and dryness are shown for each month with the corresponding color in the legend.

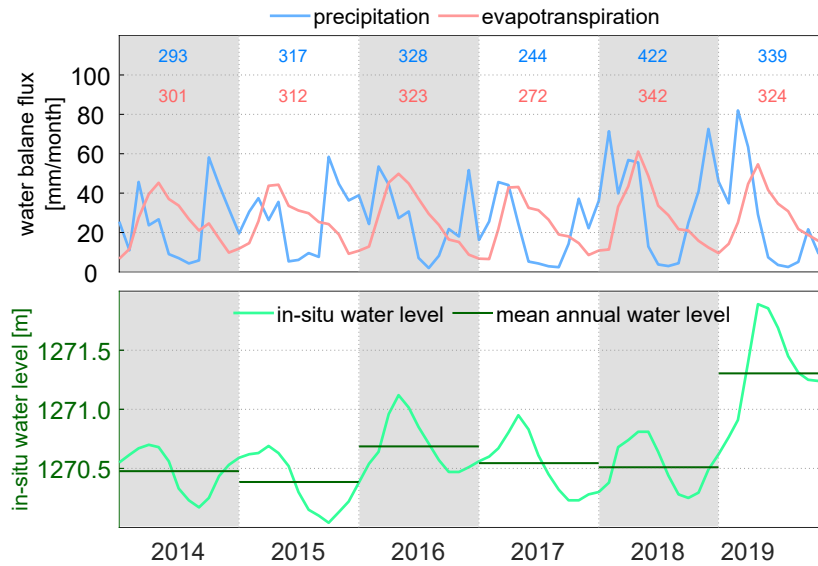


Figure 10: Top: Inter-annual variation of precipitation and evapotranspiration over the catchment including total annual precipitation (blue numbers) and evapotranspiration (red numbers); bottom: Lake water level from 2014 to 2019.

413 **Water storage**

414 Figure 11(a) shows water storage variations in terms of equivalent water  
415 height over the Lake Urmia basin for the time period of GRACE and GRACE-  
416 FO (2003–2019), compared with WGHM (2003–2016). The total water storage  
417 change time series from GRACE and WGHM follow each other well but peak-  
418 to-peak amplitude are different for the time period 2003–2008. The long-term  
419 behaviour of WGHM does not follow the GRACE observations after 2008. The  
420 disagreement is likely due to the fact that WGHM model assumes no change in  
421 the arable area in Iran over the years, while the area of agricultural land did  
422 increase. The model uses estimates of arable land from the Food and Agricul-  
423 tural Organization of the UN that does not provide recent estimates of irrigated  
424 area in Iran (see <http://www.fao.org/nr/water/aquastat>, last accessed: 13 Feb.  
425 2018).

426 For each piezometric well, we have subtracted the mean and normalized it  
427 by its standard deviation. Then we averaged the normalized groundwater at  
428 each month over the basin. Finally, we multiplied back the mean of standard  
429 deviation values at each month to reach the time of mean groundwater of the  
430 basin. The time series of storage change obtained from GRACE matches well  
431 with the time series of the mean groundwater level from piezometric wells data.  
432 Please note the steep change observed in the 2007–2008 drought (cf. Figure 11  
433 b). For further validation, we assess the agreement between  $P - ET$  and GRACE

434 in the water balance equation:

$$P - ET = \frac{dM}{dt} \quad (3)$$

435 The GRACE rate of water storage changes  $dM/dt$  matches with recharge  
436 ( $P - ET$ ) relatively well with a correlation coefficient of 0.86 (Fig. 11 c). We  
437 utilize singular spectrum analysis (SSA) first to extract the non-linear trend  
438 from the GRACE water storage change time series and then fit a line to obtain  
439 linear trend (Chen et al., 2013). A window of 24 months is used to extract the  
440 non-linear trend. The TWS decays at a rate of  $24 \pm 0.4$  mm/year between 2003  
441 and 2015. The linear trend seems to be negligible from 2015 to 2017 indicating  
442 stabilization of the TWS change. In other words the water storage of the Urmia  
443 basin seems to reach a new equilibrium. The result from GRACE-FO from June  
444 2018 to the end of 2019 shows a positive trend in the TWS which is correlated  
445 with the water recharge in Figure 11(c).

446 The volume of the lake increased by  $0.43 \text{ km}^3/\text{year}$  from 2016 to 2019. The  
447 TWS increase at a rate of  $14 \pm 0.8$  mm/year over the same period, ignoring the  
448 gap from July 2017 to May 2018. By multiplying the trend of equivalent water  
449 height with the area of the basin ( $51,931 \text{ km}^2$ ), we obtain a water volume gain  
450 of about  $0.72 \pm 0.04 \text{ km}^3/\text{year}$  over the whole basin. The difference between the  
451 water volume gain from satellite gravimetry and volume of the lake indicates  
452 recharge of the groundwater over the last four years.

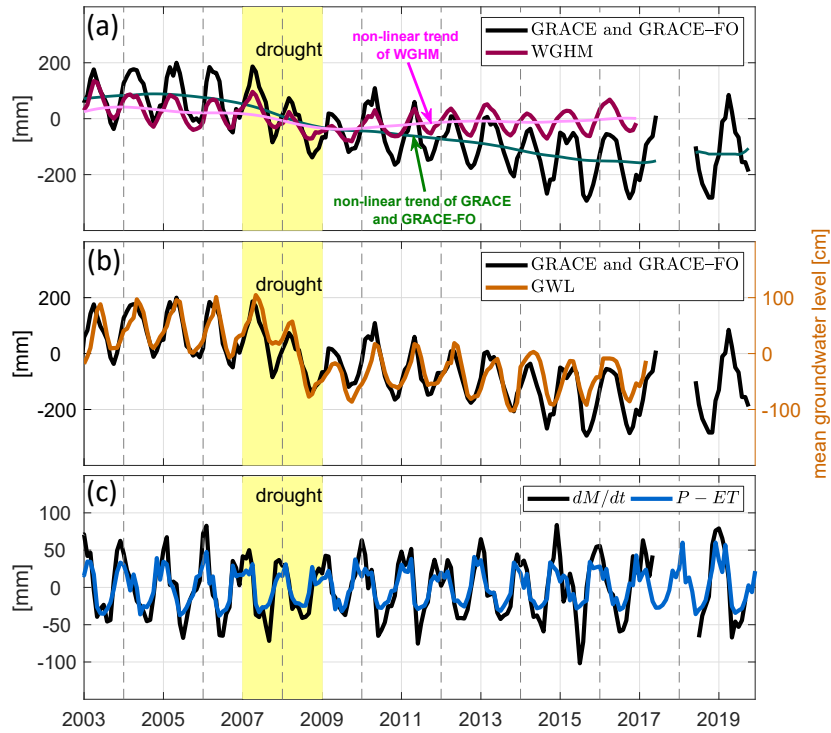


Figure 11: (a): Total water storage change over Lake Urmia basin from GRACE and GRACE-FO, compared with WGHM. (b): Total water storage change over Lake Urmia basin from GRACE and GRACE-FO, compared with mean groundwater level from piezometric wells. (c): GRACE and GRACE-FO rate of water storage changes together with corresponding recharge ( $P - ET$ ). In all panels the 2007–2008 drought period is shown with a yellow background.

## 453 6. Conclusion

454 Lake Urmia, one of the world’s largest saline lakes in northwestern Iran, has  
 455 endured two decades of desiccation due to both climate factors and improper  
 456 water management. This led to setting up the *Urmia Lake Restoration Pro-*  
 457 *gram* in 2013, which has been actively trying to stabilize the lake since 2014  
 458 and restoring the lake’s water level to the ecological level of 1274.67 m within  
 459 ten years of its establishment. Although numerous attempts have been made

460 to investigate the reasons behind the lake desiccation, very few studies have  
461 assessed the state of the lake after the restoration endeavours started. Monitor-  
462 ing the efficacy of the lake restoration program while understanding the drivers  
463 of change in the lake is a challenging task. In this study, we demonstrate that  
464 spaceborne observations, together with ground-based measurements can help us  
465 to monitor the efficacy of the restoration efforts. Based on our results, we can  
466 conclude that:

- 467 • Lake Urmia was stabilized from 2015 to 2019. Positive trends of 14.5 cm/year,  
468 204 km<sup>2</sup>/year, and 0.42 km<sup>3</sup>/year are observed in the time series of lake  
469 water level, lake water area, and water volume of the lake.
- 470 • The time series of precipitation does not show any significant trend be-  
471 tween 2015–2018. To be more precise, except for 2017 (two months with  
472 moderate drought) and 2016 (moderately wet), all years were conform  
473 with the long-term climatology of the catchment. However, the catchment  
474 received massive water from heavy rainfall in spring 2019. Experiencing  
475 such a drought-free period from 2015 to 2019 significantly helped stopping  
476 the shrinkage of the lake and push it into the restoration phase.
- 477 • The water balance of the Lake Urmia shows that about 80 % of the rise in  
478 water volume of the lake since 2015 is caused by the positive trend in the  
479 inflow from rivers and reservoirs. The remainder is due to the increase in  
480 the precipitation to the lake itself.
- 481 • The positive trend in the recharge ( $P - ET$ ) of 0.40 km<sup>3</sup>/year on the one

482 hand and the positive trend in the water volume of the lake on the other  
483 hand imply that the lake has benefited from a significant portion of water  
484 that is not used for agricultural purpose but released to the lake instead.

- 485 • The water storage shows no significant decrease from 2015 to the end of  
486 2017 and an overall increase of  $26 \pm 5$  mm since 2019. The new state of  
487 the water storage and water fluxes of the Urmia basin is comparable with  
488 the state around 2010–2012.
- 489 • Considering the trend of precipitation, lake surface area, inflow to the  
490 lake, and evaporation from the catchment, the first phase of restoration  
491 program is accomplished at the cost of releasing water from reservoirs.
- 492 • The stabilization seems to be fragile however, since most of the increase  
493 in water volume of the lake has spread over the large shallow region in the  
494 south with high evaporation potential during hot seasons. Furthermore,  
495 due to high correlation between lake water level and precipitation, the  
496 recovery step observed in 2016 and the first half of 2019 might not continue  
497 in case of a longer drought period.

498 The results of this study are in line with studies in recent years, which gener-  
499 ally analyzed Lake Urmia’s desiccation ([Hosseini-Moghari et al., 2018](#); [Khazaei](#)  
500 [et al., 2018](#); [Chaudhari et al., 2018](#); [Ghale et al., 2018](#)). In order to achieve  
501 a sustainable restoration, more tenable efforts are required, such as those sug-  
502 gested in previous studies including preventing diversion of water flows into the  
503 lake towards agricultural land. Our result showed that the Lake Urmia had

504 been stabilized as the result of a largely positive trend in the inflow to the lake,  
505 mainly due to the heavy rainfall in 2019. Since the elevation of Lake Urmia in  
506 the southern part is associated with shallow water depths, the process of lake  
507 restoration might not be sustainable and is feared to be reversed by drought in  
508 the coming years.

## 509 **Conflict of Interests**

510 The authors declare that there is no conflict of interests regarding the pub-  
511 lication of this paper.

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## 724 **AppendixA.**

725 We assessed the gridded precipitation datasets over the Urmia basin using  
726 the ground-based data. Among all datasets, we have selected those that had  
727 data after 2014 until 2019 (see table). Ground-based data for precipitation were  
728 not available after 2014. The in-situ data is collected through synoptic stations  
729 and rain-gauges of the Ministry of Energy, more than 257 rain-gauge daily  
730 time series in Iran throughout 1965–2013 (Figure 1). Before comparison, we  
731 controlled the quality and homogenized the precipitation time series according  
732 to [Vicente-Serrano et al. \(2010\)](#). We used a grid of a half degree over Urmia  
733 basin same as global gridded precipitation datasets. To reach a gridded data  
734 from in-situ at each month, we averaged the precipitation from stations at each  
735 grid. Finally, we obtained the monthly precipitation over the Urmia basin by  
736 aggregating gridded datasets and gridded in-situ.

737 we compared the in situ time series with the time series of the datasets (table  
738 [AppendixA](#)), using a Taylor diagram ([Taylor, 2001](#)), (cf. Figure [A.13](#)), which  
739 shows three types of statistics: the correlation coefficient, the Root Mean Square  
740 Difference (RMSD), and the standard deviation. Almost all global datasets show  
741 a fairly strong linear correlation with the ground-based data with a correlation  
742 coefficient of more than 0.75. Since metrics summarized the comparison in one  
743 value and does not give us the whole picture of errors. Therefore, we compared  
744 the Cumulative Distribution Function (CDF) of the over median folded error  
745 (OMFE) of all datasets over the period of 1983–2013 (see figure [A.12](#)). Finally,

746 we selected the datasets with (OMFE) less than 5% of the long-term average  
 747 of the annual precipitation over the Lake Urmia basin (c.f. green box in figure  
 748 A.12).

Table A.2: Summary of global precipitation datasets. Abbreviations in the data source(s) defined as: G, gauge; S, satellite; and R, reanalysis.

Dataset	Data source(s)	Resolution		Coverage		Reference
		Spatial	Temporal	Spatial	Temporal	
<b>Gauge-Based Products</b>						
PRECL	G	$0.5^\circ \times 0.5^\circ$	1 mo	Global land	1948-2019	(Chen et al., 2002)
CPC	G	$0.5^\circ \times 0.5^\circ$	1 d	Global land	1979-2019	
<b>Satellite-Based Products</b>						
GPCP	G, S	$2.5^\circ \times 2.5^\circ$	1 mo	Global	1979-2019	(Adler et al., 2003)
CMAP	G, S	$2.5^\circ \times 2.5^\circ$	1 mo	Global	1979-2019	(Xie et al., 2003)
PERSIANN-CDR	G, S	$0.25^\circ \times 0.25^\circ$	3.6 h / 1 d	60°S-60°N	1983-2019	(Ashouri et al., 2015)
CHIRPS	G, S, R	$0.05^\circ \times 0.05^\circ$	1d	50°S-50°N	1981-2019	(Funk et al., 2015)
<b>Reanalysis Products</b>						
ERA5	R	$0.25^\circ \times 0.25^\circ$	6 h / 1 mo	Global	1979-present	(Hersbach, 2018)
NCEP 1	R	$2.5^\circ \times 2.5^\circ$	6 h/1 d / 1 mo	Global	1948-2019	(Kalnay et al., 1996)
NCEP 2	R	$1.875^\circ \times 1.875^\circ$	6 h/1 d / 1 mo	Global	1979-2019	(Kanamitsu et al., 2002)
MERRA-2	R	$0.5^\circ \times 0.67^\circ$	1 d	Global	1979-2019	(Rienecker et al., 2011)

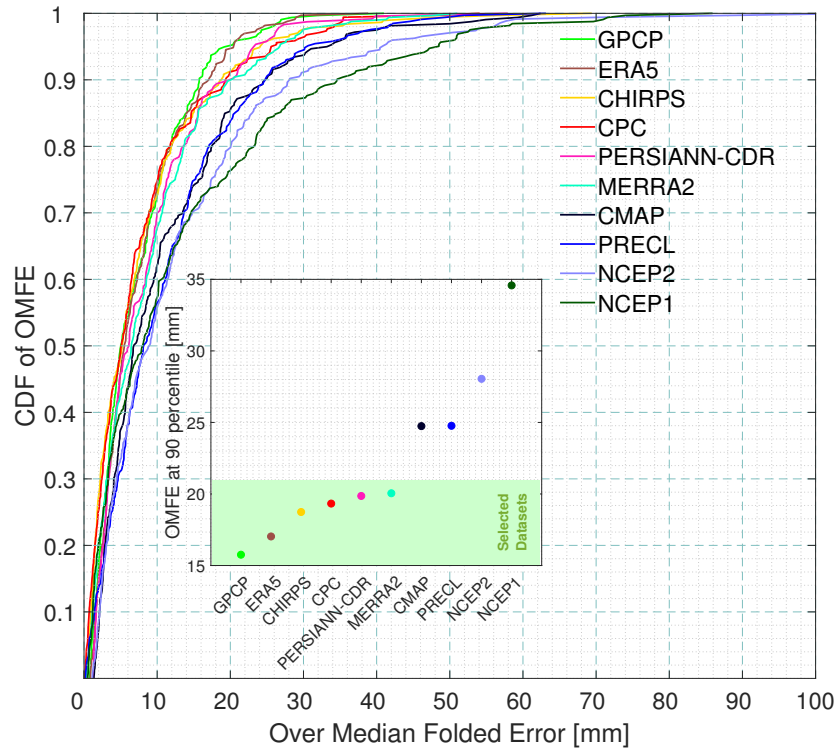


Figure A.12: cdf

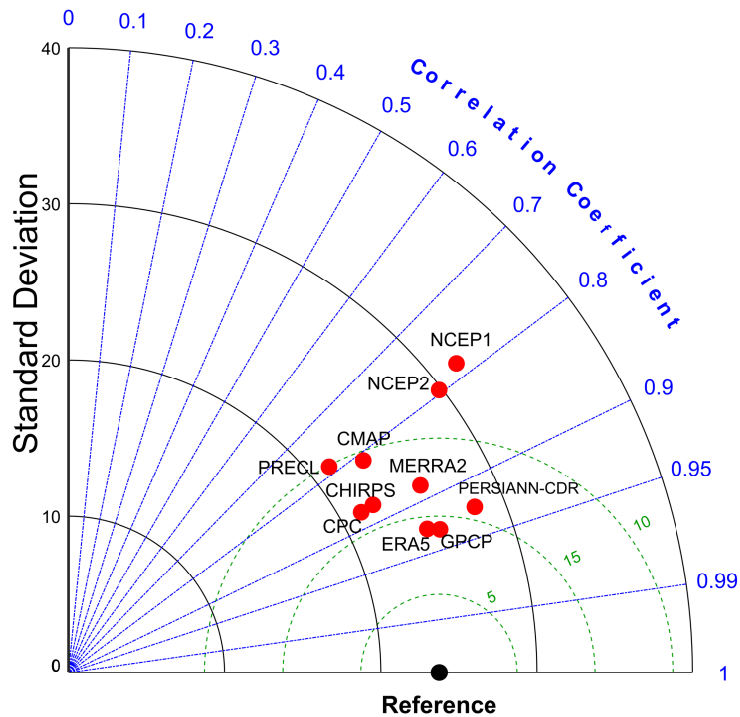


Figure A.13: Taylor diagram comparing global gridded precipitation datasets with in-situ precipitation data. Reference represents the in-situ data.

749 **Appendix B.**

750 To validate the ISODATA approach for extracting surface water extent over  
 751 Lake Urmia, we compare our result with areas derived from the level-area  
 752 curve in Figure B.14. The RMSE between the corresponding values of these  
 753 two datasets is 488 km<sup>2</sup>. [Arabsahebi et al. \(2019\)](#) extracted the time series of  
 754 the lake's surface area using NDWI from Landsat 7 ETM+ satellite imagery.  
 755 The accuracy of the ISODATA is slightly lower than the approach proposed by  
 756 [Arabsahebi et al. \(2019\)](#). A better performance in the NDWI can be assumed

757 due to the higher spatial resolution (30 m) compared to the coarse resolution of  
758 MODIS (250 m). It is important to mention that the bathymetry of the lake is  
759 not constant during the study period, mainly due to the sediment of salt to the  
760 bed of the lake. Therefore, for a better evaluation, a bathymetric map of the  
761 lake for some other period of 2002–2019 would be needed.

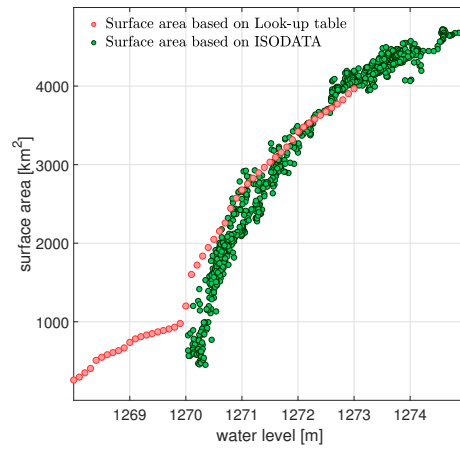


Figure B.14: Level-area curve based on ISODATA approach (green dots) compared with the bathymetric map (red dots) for the time period from 2003 to 2017.