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Including Regional Knowledge Improves Baseflow Signature Predictions in Large Sample Hydrology

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Key Points:

- Region-specific hydro(-geo)logical knowledge is underutilized in large sample hydrology
- Multiple baseflow signatures are needed to better distinguish between different baseflow sources
- We propose and apply a framework based on standardized perceptual models to organize findings from hydrologically diverse regions

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Abstract

A catchment's hydrological response is controlled by climatic forcing and by the landscape through which water moves. Yet when we compare large samples of catchments, we often find climate to be the only good predictor of the hydrological response and a lot of variability is left unexplained. This contradicts extensive evidence from field and regional studies which shows the importance of catchment form (e.g. geology) on catchment hydrological processes, particularly on baseflow processes. We hypothesize that this is due to limitations in (a) the catchment attributes we use to inform our analyses and (b) the hydrological signatures we use to describe the hydrological response. To test these hypotheses we use a large sample of catchment data across the contiguous United States. By reviewing literature from several U.S. regions, we show that region-specific knowledge is underutilized in large sample studies. To organize the findings from these regions we propose and apply a framework based on standardized perceptual models. Informed by these perceptual models, we use both available and newly calculated catchment attributes to show that baseflow signature predictions can be improved regionally. Multiple baseflow signatures are needed to better distinguish between different baseflow sources, such as the subsurface, surface water bodies, and snow. We conclude with pointing at potential future directions and argue that we should aim at a more systematic and hydrologically motivated selection of catchment attributes and hydrological signatures.

Plain Language Summary

River flow dynamics are influenced by climate and by the landscape through which a river flows. However, when we investigate many river catchments using large scale datasets such as global maps, we often cannot find a link between river flow dynamics and landscape characteristics (e.g. geology). We show (a) that such maps are often too general and do not describe aspects relevant for river flow dynamics, and (b) that we need to pay more attention to the metrics we use to quantify river dynamics. There is a wealth of information contained in articles and datasets focusing on the regional scale which we can and should make use of. Since such information is often very specific to a certain region, we propose a conceptual framework that facilitates the use of regional knowledge for comparison between different river catchments.

1 Introduction

A stream reflects the catchment it drains. Its mean discharge is mostly controlled by climatic forcing (Budyko, 1974), and so are many response characteristics at shorter time scales (Berghuijs et al., 2014; Knoben et al., 2018). Yet we see striking differences in the hydrological response from catchments forced by a very similar climate (Farvolden, 1963; Tague & Grant, 2004; Pfister et al., 2017). These differences are typically attributed to differences in a catchment's form, such as the underlying geology (e.g. Price, 2011). Especially the slow response of a catchment (e.g. baseflow, recessions) is thought to carry the signature of the subsurface in which water is stored and from which it is eventually released.

Many studies could relate baseflow signatures to catchment attributes, such as soils (Boorman et al., 1995; Schneider et al., 2007; Santhi et al., 2008), geology (Farvolden, 1963; Tague & Grant, 2004; Bloomfield et al., 2009; Pfister et al., 2017; Kuentz et al., 2017; Carlier et al., 2018), geology-vegetation groups (Lacey & Grayson, 1998), land use (Y. K. Zhang & Schilling, 2006), or topography (Santhi et al., 2008). A lot of that knowledge is, however, fragmented and place-specific (Beck et al., 2013). This is reflected in results from recent large sample studies (Beck et al., 2013, 2015; Addor et al., 2018); while climate indices were the dominant predictors of most hydrological signatures, baseflow signatures were harder to predict, and non-climatic catchment attributes (e.g. geology attributes) could not significantly improve these predictions.

64 So, why is it so difficult to link catchment attributes (catchment form) to hydro-
 65 logical response (catchment function), despite extensive evidence that these attributes
 66 are important? We might argue that every place is unique (Beven, 2000) and that syn-
 67 thesizing the diversity of catchments around the globe is impossible. There are, however,
 68 examples of hydrological similarity (e.g. Budyko, 1974; Berghuijs et al., 2014) which sug-
 69 gest that we can transfer knowledge across places through a comparative hydrology ap-
 70 proach (Falkenmark & Chapman, 1989). When we compare many catchments, it is im-
 71 portant to balance "depth with breadth" (Gupta et al., 2014), and to acknowledge place-
 72 specific processes (uniqueness) within general theories (similarity). Bridging this gap be-
 73 tween the local and global scale is not just important for the advancement of our scien-
 74 tific understanding, but also for practical applications that require knowledge at regional
 75 scales (e.g. water resources management; Wagener et al., 2010).

76 The main aim of this paper is to investigate the following question. Why have non-
 77 climatic catchment attributes shown limited explanatory power in recent large sample
 78 studies, even for hydrological signatures that are generally thought to be controlled by
 79 these catchment attributes (e.g. baseflow index; see Beck et al., 2013, 2015; Addor et al.,
 80 2018)? We hypothesize that this is due to limitations in:

- 81 (a) the catchment attributes we use to inform our analyses, and
- 82 (b) the hydrological signatures we use to describe the hydrological response.

83 The input data (a), in particular non-climatic catchment attributes, might not re-
 84 flect the catchment characteristics that are regionally important, thus limiting their ex-
 85 planatory power. This might be because the resolution of the data is too coarse to cap-
 86 ture the relevant spatial variability, or because of imperfect upscaling methods (Addor
 87 et al., 2018). While some catchment attributes nominally represent soils or geology, they
 88 might not represent the relevant hydrological aspects of soils or geology (Beck et al., 2013).
 89 As discussed by Addor et al. (2018), sometimes catchment attributes are simply not (yet)
 90 available, even though they have shown to be important. Lastly, data uncertainty might
 91 complicate a linkage to the hydrological response even if an attribute is theoretically rel-
 92 evant (Beck et al., 2013, 2015; Addor et al., 2018, 2020).

93 Hydrological signatures (b) that have limited discriminatory power (McMillan et
 94 al., 2017), or are highly uncertain (Westerberg et al., 2016), will be difficult to link to
 95 catchment attributes and hydrological processes (see also McMillan, 2020). For exam-
 96 ple, the baseflow index is not only associated with methodological uncertainty, but also
 97 with conceptual uncertainty as it lumps together various processes, such as lake outflow,
 98 snowmelt, and groundwater discharge (e.g. Parry et al., 2016; Stoelzle et al., 2020). There-
 99 fore, it is possible that catchment attributes, even if they were hydrologically relevant,
 100 will not be good predictors of such a signature.

101 To address hypotheses (a) and (b) we review regionally relevant literature which
 102 we contrast with information contained in a large sample dataset. We use the CAMELS
 103 dataset (Newman et al., 2015; Addor et al., 2017) in our analysis, which consists of sev-
 104 eral hundred catchments in the contiguous U.S. (for a brief description see Section 2.3).
 105 The CAMELS dataset has been used in many recent studies (e.g. Addor et al., 2018; Kratzert
 106 et al., 2019; Jehn et al., 2020) and we deem it representative of many large sample datasets
 107 (for a recent review see Addor et al., 2020).

108 As a way to better synthesize regionally relevant knowledge, we propose the use
 109 of standardized perceptual models of catchment function (see Black, 1997; Wagener et
 110 al., 2007). Standardized perceptual models offer a qualitative yet systematic way to com-
 111 municate our understanding of hydrological systems. We view these perceptual models
 112 as a first step to formalize the relationship between catchment attributes and hydrolog-
 113 ical signatures. Developing a perceptual model of a region might point at datasets worth
 114 collecting and allows us to synthesize and communicate soft information (e.g. expert knowl-

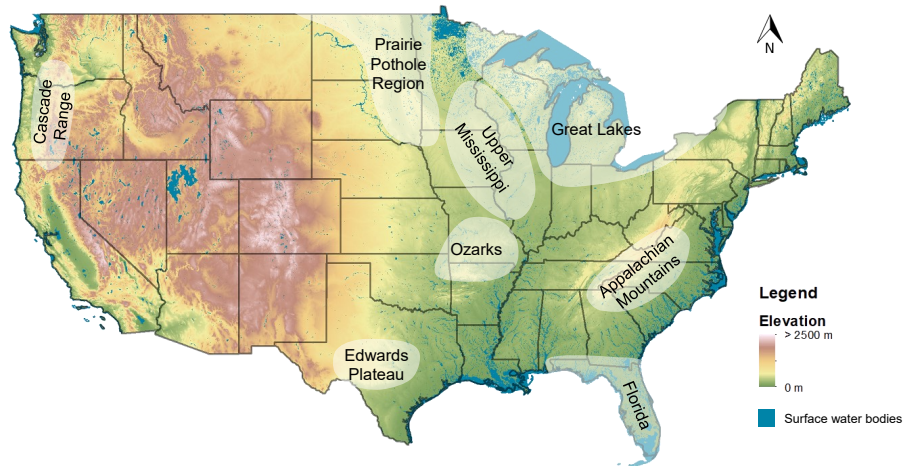


Figure 1. Map of the contiguous U.S. indicating the approximate regions of the case studies. Note that some regions might be different to the whole region of the same name (e.g. Appalachian Mountains). The map shows elevations and surface water bodies (data sources are described in Section 2.3).

115 edge) in a more systematic way. These perceptual models will evolve continuously and
 116 may be updated (or rejected) as we learn about processes and places (see e.g.] McGlynn
 117 et al., 2002; Shanley et al., 2015). The perceptual model framework is introduced in more
 118 detail in Section 2.2.

119 In summary, the aim of this paper is to demonstrate how limitations in input data
 120 and hydrological signatures can obscure relationships between catchment attributes and
 121 hydrological signatures. To organize the findings from different regions, we propose a frame-
 122 work based on perceptual models that enables a systematic comparison of attribute-signature
 123 relationships.

124 2 Methods and Datasets

125 2.1 Literature Review and Case Study Regions

126 We argue that large scale datasets of catchment attributes must reflect deep, region-
 127 specific knowledge. Therefore, we selected eight contrasting U.S. regions where an ini-
 128 tial literature review has indicated that non-climatic catchment attributes influence the
 129 streamflow response (Neff et al., 2005; Zimmer & Gannon, 2018; Tague & Grant, 2004;
 130 Adamski et al., 1995; B. M. Woodruff & Abbott, 1979; Winter, 1999), shown in Figure
 131 1. In each region we explore regionally relevant literature, field knowledge and availabil-
 132 ity of datasets that characterize this knowledge but that have not previously been used
 133 in U.S.-wide approaches such as the CAMELS dataset.

134 The literature review will be the basis of both our perceptual models (described
 135 in Section 2.2) and the catchment attributes (described in Section 2.3) that are used to
 136 better understand several baseflow signatures (described in Section 2.4). We found many
 137 references that have – to our knowledge – rarely been considered in this context; pos-
 138 sibly due to their local or regional scope, because they do not directly stem from hydrol-
 139 ogy (but from related fields such as geomorphology), or because they are scientific re-
 140 ports rather than journal papers. In particular, reports and datasets from the United

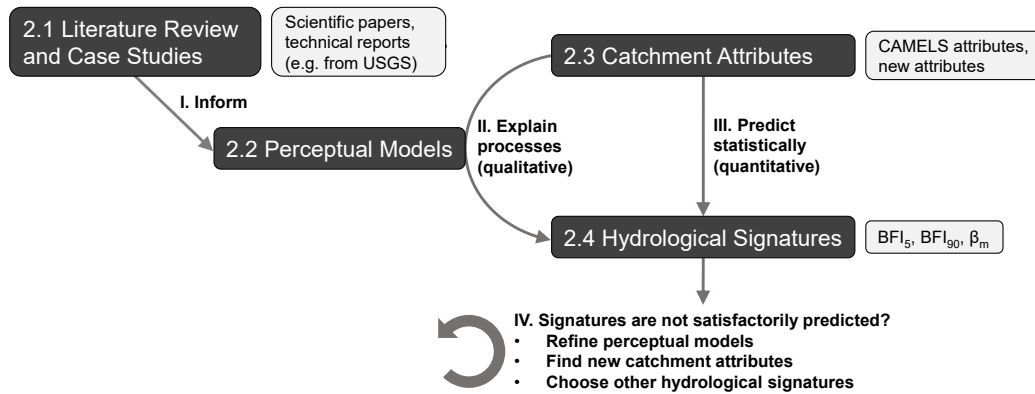


Figure 2. Overview of our methodological approach. The boxes correspond to Sections 2.1-2.4, where the notations are defined. The Roman numerals indicate the order in which the steps are carried out.

141 States Geological Survey (USGS) or State Agencies contain useful information about the
 142 places we investigate here. Figure 2 outlines our methodological approach, which is de-
 143 scribed in more detail in the upcoming sections.

144 2.2 Perceptual Models

145 As a way to formalize the relationship between catchment attributes and hydro-
 146 logical signatures we propose to use standardized perceptual models based on the frame-
 147 work of Wagener et al. (2007). Wagener et al. (2007) distinguish between forcing (incom-
 148 ing water and energy), catchment form (e.g. soils and geology), and catchment function
 149 (the actions of the catchment on the incoming water and energy). Catchment functions
 150 are further divided into partition, storage, and release. As water is partitioned into dif-
 151 ferent stores, and these stores release water in different ways, partition, storage, and re-
 152 lease depend upon each other and cannot be viewed in isolation. Nevertheless, they pro-
 153 vide a useful framework to organize our knowledge of catchment hydrological processes.
 154 Figure 3 shows a general perceptual model that gives an overview of the catchment func-
 155 tions we explore in this paper. This serves as a standard model that is adapted for each
 156 of the case studies shown in Figure 1) – an approach similar to the concept of hydrolog-
 157 ical landscapes (Winter, 2001). Drawing from the diagrammatic concepts of Falkenmark
 158 and Chapman (1989), we also try to approximately quantify the relative magnitude of
 159 the fluxes associated with the different catchment functions (e.g. release in the form of
 160 baseflow).

161 2.3 Datasets

162 2.3.1 CAMELS

163 Hydro-meteorological data, catchment shapefiles, and catchment attributes are ob-
 164 tained from the CAMELS dataset (Newman et al., 2015; Addor et al., 2017). CAMELS
 165 includes daily precipitation P , potential evapotranspiration E_p (catchment-averaged forc-
 166 ing data are based on the Daymet dataset, one of three gridded precipitation products
 167 used in CAMELS; see Newman et al., 2015) and streamflow data Q , a wide range of catch-
 168 ment attributes, and catchment shapefiles for 671 mostly natural catchments (i.e. min-
 169 imal land use changes or disturbances, minimal human water withdrawals; Newman et
 170 al., 2015) in the contiguous United States. The catchment attributes from CAMELS that
 171 are used in this paper are summarized in Table 1.

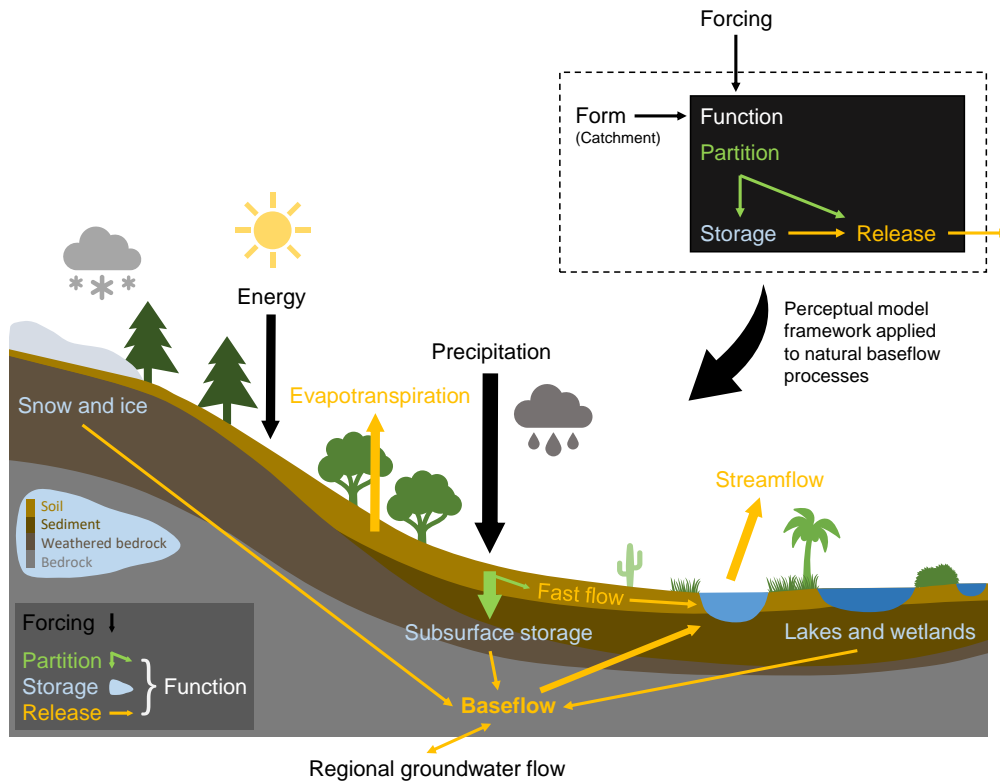


Figure 3. Perceptual model framework following Wagener et al. (2007) applied to natural baseflow processes, illustrating the catchment functions that control baseflow generation. The width of the arrows indicates the amount of water partitioned into and released from different stores. Note that this is not intended to represent any real catchment, but to serve as a general overview. We show refined perceptual models for each of the case studies in Section 3.

Table 1. Datasets used in this paper, both for visualization and analysis. "Datasets in CAMELS" refers to datasets in CAMELS that we use or refer to in this paper. Links to the datasets are provided in the Supporting Information.

Dataset name	Attributes	Reference
CAMELS	Hydro-meteorological data Catchment shapefiles Catchment attributes	Newman et al. (2015); Addor et al. (2017)
Datasets in CAMELS		
STATSGO	Soil texture, soil depth	Miller and White (1998)
GLiM	Geological classes	J. Hartmann and Moosdorf (2012)
GLHYMPS	Geological permeability, porosity	Gleeson et al. (2014)
Additional datasets		
HydroSHEDS	Digital elevation model	Lehner et al. (2008)
Generalized Glacial Limit Lines	Glacial areas	National Atlas of the United States (2005)
Physiographic Divisions of the U.S.	Physiographic provinces	Fenneman and Johnson (1946)
USGS Geological Map	Geological classes, age	Horton et al. (2017)
Principal Aquifers of the U.S.	Aquifer extents	U.S. Geological Survey (2003)
MGS Sinkhole Points	Sinkhole locations	Missouri Geological Survey (2018)
TWDB Major Aquifers	Major aquifer extents	Texas Water Development Board (2020)
National Wetlands Inventory	Surface water bodies	U.S. Fish and Wildlife Service (2020)

172 **2.3.2 Additional Catchment Attributes**

173 We use several datasets that are not (yet) contained in CAMELS. They are sum-
174 marized in Table 1. We use these datasets to calculate new catchment attributes which
175 are provided with this paper. Details on the calculation of catchment attributes can be
176 found in the Supporting Information.

177 **2.4 Baseflow Signatures**

178 We use three baseflow signatures to characterize the slow response of a catchment:
179 two different baseflow indices (BFIs), and the median recession exponent β_m . These three
180 signatures are correlated, but do provide independent information (see Supporting In-
181 formation for details).

182 **2.4.1 Baseflow Indices**

183 Baseflow Q_b is defined as the portion of streamflow Q that is derived from ground-
184 water and other delayed sources (Hall, 1968; Smakhtin, 2001). Baseflow is typically quan-
185 tified by the baseflow index (BFI), the ratio between mean baseflow \bar{Q}_b and mean to-
186 tal streamflow \bar{Q} .

$$187 \text{BFI} = \frac{\bar{Q}_b}{\bar{Q}} \quad (1)$$

188 We estimate baseflow with the help of the smoothed minima method (UKIH method;
189 Institute of Hydrology, 1980). The method is particularly sensitive to one parameter, the
190 time window N over which the streamflow minima are calculated (default: $N = 5$ days).
191 To address this problem, Stoelzle et al. (2020) calculated the BFI for a continuous range
192 of time window values. They then used the obtained range of BFIs (which they termed
193 Delayed Flow Index; DFI) to distinguish between different baseflow sources. We follow
194 this idea and calculate two BFIs. A "standard" BFI_5 using a baseflow estimate $Q_{b,5}$ ob-
195 tained with a time window of 5 days; and a BFI_{90} using a baseflow estimate $Q_{b,90}$ ob-
196 tained with a time window of 90 days. BFI_5 aims at separating events from inter-event
197 baseflow and BFI_{90} aims at separating seasonal variations from more stable (multi-annual)
baseflow. Increasing the value beyond 90 days has relatively little effect on the result-

198 ing BFI for most of the catchments analyzed here. Note that BFI_{90} is strongly corre-
 199 lated with the normalized 5% flow quantile Q_5/\bar{Q} (Spearman rank correlation $\rho_s = 0.95$).

200 **2.4.2 Recession Exponent**

201 Recession analysis has been used extensively to quantify the drainage behavior of
 202 catchments (Brutsaert & Nieber, 1977; Roques et al., 2017; Jachens et al., 2020; Tashie
 203 et al., 2020). It is often assumed that the relationship between the rate of change of stream-
 204 flow and streamflow follows a power law.

$$-\frac{dQ}{dt} = \alpha Q^\beta \quad (2)$$

205 where α and β_m are parameters that can be obtained by fitting Eq. (2) to recession data.
 206 There are numerous methodological choices that can impact the resulting parameter val-
 207 ues (e.g. Stoelzle et al., 2013; Dralle et al., 2017; Jachens et al., 2020). We extract rec-
 208 ession segments that are strictly decreasing ($\frac{dQ}{dt} < 0$), remove the first day, and only
 209 keep recession segments of 5 days or longer (Jachens et al., 2020). We calculate the deriva-
 210 tive $\frac{dQ}{dt}$ by using the exponential time stepping scheme proposed by Roques et al. (2017).
 211 We then use a weighted least square regression approach to fit a line in log-log space to
 212 individual recession segments (for details see Roques et al., 2017). We use the median
 213 exponent β_m to describe a catchment’s average recession behavior. We do not use the
 214 parameter α as it is strongly influenced by seasonal variations in catchment wetness and
 215 evapotranspiration (e.g. Dralle et al., 2015; Tashie et al., 2020).

216 **2.4.3 Visual Inspection of Hydrographs**

217 For each region, we show hydrographs to contrast catchments with a different hy-
 218 drological response. We use the two baseflow estimates $Q_{b,5}$ and $Q_{b,90}$ to divide the hy-
 219 drograph into fast flow and two baseflow components. Note that while we divide the hy-
 220 drograph into three parts, the value of BFI_5 "contains" BFI_{90} , i.e. it resembles the com-
 221 monly used BFI (Institute of Hydrology, 1980). These two baseflow components do not
 222 necessarily relate to any single baseflow source (or hydrological process), but they are
 223 rather meant to emphasize differences in baseflow response between catchments. These
 224 hydrographs are complemented by perceptual models, as outlined in Section 2.2.

225 **3 Results**

226 In Section 2.2 we have introduced three catchment functions: partition, storage,
 227 and release. In the next sections, we explore the processes that control these functions
 228 in the regions shown in Figure 1. A summary is given in Table 2.

229 **3.1 Partition**

230 **3.1.1 Soil and Sediment Texture Control Partitioning: Regions Cov- 231 ered by Glacial Deposits**

232 Extensive parts of the north and north eastern U.S. were covered by ice during past
 233 glaciations. Glacial erosion and deposition have resulted in thick (tens to hundreds of
 234 meters) sediment layers covering the underlying bedrock (e.g. Larson & Schaetzl, 2001).
 235 We can distinguish between areas glaciated during the most recent glaciation (Wiscon-
 236 sin) and areas glaciated during earlier glaciations (Pre-Wisconsin; see Figure 4a). The
 237 border between these two areas (Wisconsin and Pre-Wisconsin) roughly aligns with the
 238 border between the Great Lakes Region and the Upper Mississippi Valley (see Figure
 239 1). Comparing these two regions shows that soil and sediment texture – rather than bedrock
 240 properties – control baseflow generation in glacial regions.

Table 2. Overview of catchment functions, corresponding regions, key catchment characteristics, associated hydrological processes, and relevant datasets (see Table 1 for details on the datasets). N/A indicates that we did not find suitable datasets. *Datasets contained in CAMELS.

Function	Regions	Catchment characteristics	Hydrological Processes	Datasets
Partition	Great Lakes Region, Upper Mississippi Valley	Soil and sediment texture, glacial history	Infiltration, groundwater discharge	STATSGO*, Generalized Glacial Limit Lines
Storage	Appalachian Mountains	Soil stratigraphy	Infiltration	N/A
	Oregon Cascades	Subsurface maturity (volcanic rock)	Groundwater storage	USGS Geological Map
	Ozarks Plateau	Subsurface maturity (carbonate rock)	Groundwater storage	USGS Geological Map, MGS Sinkhole Points
Release	Edwards Plateau	Weathering characteristics	Groundwater storage	TWDB Major Aquifers
	Ozarks Plateau, Edwards Plateau	Losing/gaining streams	Regional groundwater flow	N/A
	Prairie Pothole Region, Florida	Lakes and wetlands	Discharge from surface water bodies	National Wetlands Inventory
	The contiguous U.S.	Baseflow source (e.g. snow)	Snowmelt, discharge from surface water bodies	Snow fraction*, National Wetlands Inventory

241 The U.S. part of the Great Lakes Region is dominated by glacial deposits such as
 242 till and unconsolidated sediments which often mask the underlying geology (Larson &
 243 Schaetzl, 2001). The hydrology of the region is strongly influenced by the composition
 244 of soils and sediments (i.e. the soil parent material; Neff et al., 2005; Y. Zhang et al., 2013;
 245 Naylor et al., 2016). Soils and sediments in the Great Lakes Region tend to be coarse,
 246 particularly in the regions that were located deep within the glaciated area (e.g. Michi-
 247 gan).

248 While most parts of the Upper Mississippi Valley were glaciated in the past, they
 249 were not glaciated during the Wisconsin glaciation (see Figure 4a). During this ice-free
 250 period, meltwater and precipitation draining via the Upper Mississippi created a fluvial
 251 landscape (Bettis et al., 2008) with a more developed surface drainage network than in
 252 the Great Lakes Region. Soils and sediments in the Upper Mississippi Valley are finer
 253 than in the Great Lakes Region, with larger clay and silt contents and less sand.

254 Soil and sediment texture are a key control on the hydraulic properties of the sub-
 255 surface, and thus affect recharge (Naylor et al., 2016) and baseflow (Neff et al., 2005).
 256 Sandy soils enable high infiltration rates and thus allow for a lot of recharge. Sandy aquifers
 257 provide a lot of groundwater discharge which can sustain continuous baseflow, but also
 258 allows for continuous recharge as subsurface saturation is less likely to occur. A sand-
 259 rich catchment is illustrated in Figure 4d,f which shows a perceptual model and a hydro-
 260 graph of a typical Great Lakes catchment. Finer soils with higher clay content limit
 261 infiltration as well as groundwater discharge, leading to a flashier response. A clay-rich
 262 catchment is illustrated in Figure 4c,e which shows a perceptual model and a hydrograph
 263 of a typical Upper Mississippi Valley catchment. Figure 4b shows that clay and sand frac-
 264 tion (STATSGO data contained in CAMELS) are a strong control on the hydrological
 265 response in catchments that were glaciated in the past. Since soils are strongly related
 266 to their parent material (Naylor et al., 2016), the soil classification will also reflect sed-
 267 iment texture and thus also characterizes deeper layers in these regions. Therefore, to
 268 predict baseflow signatures across the U.S., we should include catchment attributes that
 269 delineate previous glacial extents. If we want to characterize or model catchments in glacial
 270 areas, we should include information about soils and sediments rather than bedrock.

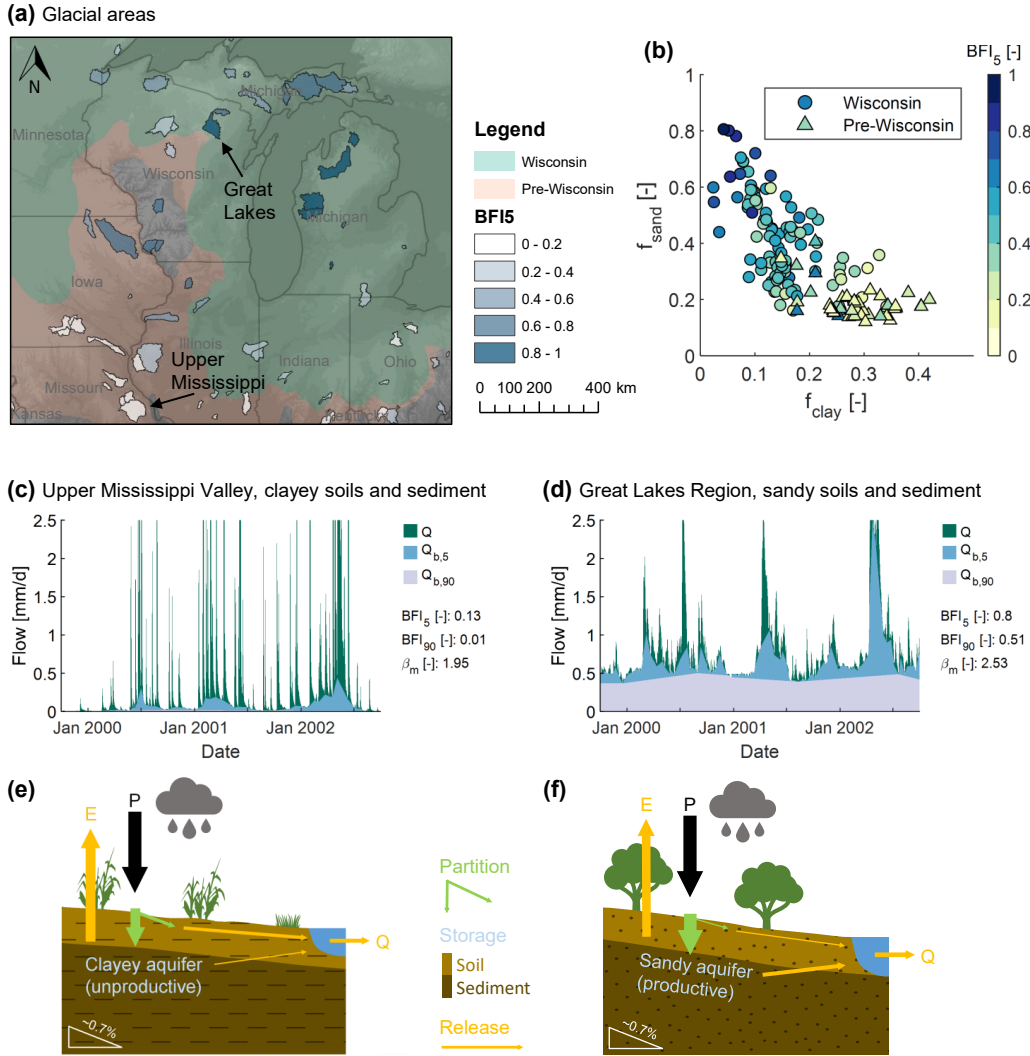


Figure 4. (a) Map of the glacial areas showing CAMELS catchments colored according to BFI_5 and two example catchments. (b) Scatter plot showing BFI_5 as a function of clay and sand fraction ($\rho_s(BFI_5, f_{clay}) = -0.70$; $\rho_s(BFI_5, f_{sand}) = 0.68$). Hydrographs of the two example catchments with estimated baseflow components for (c) Cuivre River near Troy (Upper Mississippi Valley; HU 5514500) and (d) Wolf River at Langlade, WI (Great Lakes Region; HU 4074950). Note that the y -axis is capped. Perceptual models for (e) catchments with high clay fractions and (f) catchments with high sand fractions. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

271 **3.1.2 Soil Stratigraphy Controls Partitioning: The Appalachian Moun-** 272 **tains in North Carolina**

273 The Appalachian Mountains in North Carolina consist of the Blue Ridge Moun-
 274 tains in the west, which transition into the lower Piedmont in the east (see Figure 5a).
 275 Both regions are underlain by a relatively old, complex mixture of different lithologies
 276 (predominantly metamorphic and classified accordingly in GLiM and thus CAMELS).
 277 Soils and bedrock are deep and highly weathered (Zimmer & Gannon, 2018). As the to-
 278 pography transitions from steep (Blue Ridge) to shallow (Piedmont), soils and uncon-
 279 solidated sediments become thicker. Yet despite having a deeper critical zone, Piedmont
 280 catchments generate less baseflow and Zimmer and Gannon (2018) hypothesized that
 281 this is due to continuous shallow impeding layers.

282 In the Piedmont, continuous clay-rich impeding layers can lead to perched water
 283 tables and thus to a more flashy response. In the Blue Ridge Mountains, these imped-
 284 ing layers are less continuous and thus allow for more recharge. This is illustrated in Fig-
 285 ure 5d,f which shows perceptual models for both regions (following Zimmer & Gannon,
 286 2018). The corresponding hydrographs (Figure 5b,c) show a similar seasonal $Q_{b,5}$ for both
 287 catchments, but the more stable baseflow component $Q_{b,90}$ is almost absent in the Pied-
 288 mont catchment, indicating a lack of or disconnection from deeper storage. This agrees
 289 with Zimmer and Gannon (2018) who found that baseflow amounts in the Blue Ridge
 290 are larger and seasonally more stable. The hypothesized dominance of soil stratigraphy
 291 over soil texture in this region is supported by the fact that none of the soil textural at-
 292 tributes in CAMELS are strongly correlated with any of the baseflow signatures ($\rho_s(\text{BFI}_5, f_{\text{clay}}) =$
 293 -0.18 ; $\rho_s(\text{BFI}_5, f_{\text{sand}}) = 0.15$).

294 In-depth regional studies such as Zimmer and Gannon (2018) can help to bridge
 295 the gap between the local and continental scale, and they can point out potentially use-
 296 ful datasets such as datasets that describe soil stratigraphy. The importance of soil stratig-
 297 raphy (e.g. impeding layers) and soil structure (e.g. macropores) has also been highlighted
 298 elsewhere (e.g. Price, 2011; Naylor et al., 2016; Fatichi et al., 2020), but there are cur-
 299 rently no readily available large scale datasets describing soil stratigraphy.

300 **3.2 Storage**

301 **3.2.1 Subsurface Maturity of Volcanic Rock: The Oregon Cascades**

302 The western slopes of the Oregon Cascades can be divided into two main geolog-
 303 ical units, the Western Cascades and the High Cascades (Tague & Grant, 2004). While
 304 both are underlain primarily by volcanic rock, and classified accordingly in CAMELS,
 305 they differ markedly in their appearance and hydrology. The High Cascades consist of
 306 young and highly permeable volcanic rock. They have a poorly developed surface drainage
 307 system and drain primarily via the subsurface and springs. The Western Cascades are
 308 much older and deeply weathered. The landscape is steep, dissected, and there is an ex-
 309 tensive surface drainage network fed by shallow subsurface stormflow (Tague & Grant,
 310 2004; Jefferson et al., 2010). The general lithological category (volcanic igneous rock)
 311 is therefore not enough to understand the regional hydrology, and we need to understand
 312 the geomorphological evolution of the region and the maturity of the subsurface.

313 The differences between Western and High Cascades are reflected in the hydrolog-
 314 y of the streams draining them, with a flashier response in Western Cascade streams
 315 and a more damped response with sustained summer low flows in High Cascade streams
 316 (Tague & Grant, 2004; Tague et al., 2008; Jefferson et al., 2010). This can be seen in Fig-
 317 ure 6c-f, which shows perceptual models and hydrographs for two catchments primar-
 318 ily located in either the Western or the High Cascades. Note that both streams show two
 319 annual peaks, one in winter when precipitation is highest, and one in late spring due to
 320 snowmelt.

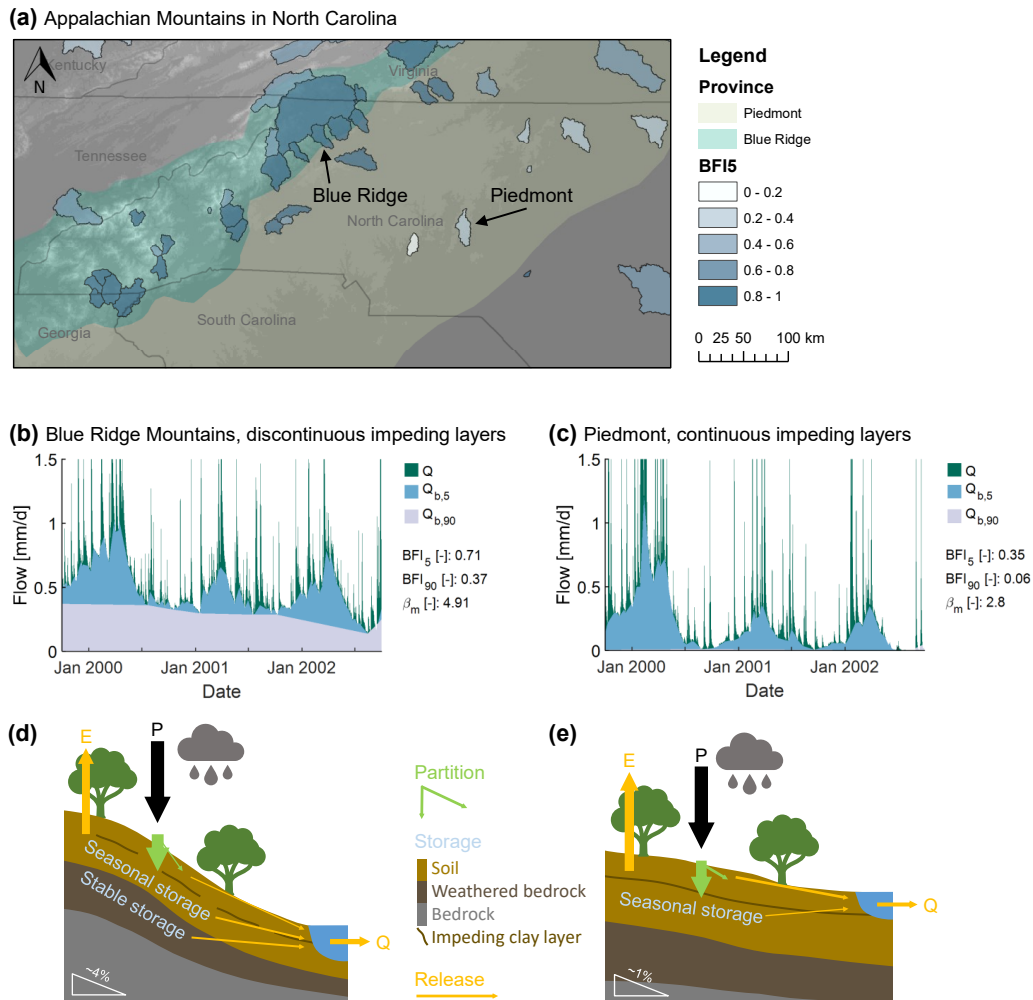


Figure 5. (a) Map of the Appalachian Mountains in North Carolina divided into physiographic provinces showing CAMELS catchments colored according to BFI_5 and two example catchments. Hydrographs of the two example catchments with estimated baseflow components for (b) Reddies River at North Wilkesboro (Blue Ridge; HU 2111500) and (c) Little River near Star (Piedmont; HU 2128000). Note that the y -axis is capped. Perceptual models for (d) Blue Ridge catchments and (e) Piedmont catchments. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

321 We can classify the Oregon Cascades similar to Tague and Grant (2004) by using
 322 geological age data contained in the USGS geology map (more details can be found in
 323 the Supporting Information). We classify volcanic (igneous) rocks younger than 2 Ma
 324 (million years) as High Cascades, volcanic rocks older than 8 Ma as Western Cascades,
 325 and volcanic rocks between 2 Ma and 8 Ma as mixed. The resulting map is shown in Fig-
 326 ure 6a. Catchments in the High Cascades show higher BFI_{90} values, indicating sustained
 327 low flows. To show quantitatively how geologic age influences low flows, we extracted
 328 the mean age of each catchment's geology from the USGS geology map, which is plot-
 329 ted against BFI_{90} in Figure 6b. We also show the corresponding snow fractions to point
 330 out that they do not cause the differences in BFI_{90} . While the overall sample size is small
 331 ($n = 12$), particularly for the High Cascades, our results agree with many other stud-
 332 ies (e.g. Tague & Grant, 2004; Tague et al., 2008; Jefferson et al., 2010; Safeeq et al., 2013).
 333 This shows that a simple classification as volcanic rock is insufficient to characterize these
 334 catchments, but that accounting for the maturity of the landscape by means of geolog-
 335 ical age data can help to better link catchment geologic attributes to baseflow signatures.

3.2.2 *Subsurface Maturity of Carbonate Rock: The Ozarks*

336
 337 The Ozarks are located primarily in Missouri, with smaller parts in Arkansas, Kansas,
 338 and Oklahoma. The Ozarks are underlain by different types of carbonate and other sed-
 339 imentary rock (Adamski et al., 1995), and they are classified primarily as carbonate rock
 340 in CAMELS. Literature about the Ozarks shows, however, that the region consists of
 341 different carbonatic units which differ in their age, composition, and degree of karstifi-
 342 cation, and thus their hydrology (Harvey, 1981; Adamski et al., 1995; Hays et al., 2016).
 343 To differentiate between the different aquifer units we make again use of the geological
 344 age data from the USGS geology map. We can divide the Ozark Plateaus aquifer sys-
 345 tem (delineated from the USGS Aquifer Map) into two units, one being older than 360
 346 Ma (the end of the Devonian, roughly resembling the Ozark aquifer) and one being younger
 347 than 360 Ma (roughly resembling the Springfield Plateau aquifer; Adamski et al., 1995;
 348 Hays et al., 2016), shown in Figure 7a.

349 Catchments inside the aquifer system (colored area in Figure 7a) generate more base-
 350 flow than catchments outside the aquifer system. Within the aquifer system, catchments
 351 underlain by the Ozark aquifer (the dark brown area in Figure 7a) generate the high-
 352 est amounts of baseflow. This agrees with other studies which state that the dissolution
 353 of rocks and hence the degree of karstification is greater in the Ozark aquifer than in the
 354 Springfield Plateau aquifer (Harvey, 1981; Adamski et al., 1995; Hays et al., 2016). This
 355 difference is illustrated in Figure 7c-f, which shows hydrographs and perceptual mod-
 356 els for two catchments underlain by the Springfield Plateau aquifer and the Ozark aquifer,
 357 respectively. The catchment underlain by the Ozark aquifer (Figure 7d,f) has a more sta-
 358 ble baseflow component stemming from an extensive subsurface flow network. Figure 7f
 359 indicates another typical karst feature, namely groundwater flow between (surface) catch-
 360 ments. This is also common in the Ozarks (Kleeschulte, 2000; Mugel et al., 2009) and
 361 will be discussed in Section 3.3.1.

362 Distinguishing between the different aquifer units allows us to better explain the
 363 hydrological response in this area. But we can go a step further by looking at typical fea-
 364 tures of mature karst landscapes such as springs and sinkholes (Harvey, 1981; Adamski
 365 et al., 1995). To assess the degree of karstification we extracted the number of sinkholes
 366 per catchment from a map of the Missouri Geological Survey. Figure 7b shows that sink-
 367 hole density strongly correlates with BFI_5 for catchments in the Ozarks in Missouri. Sink-
 368 holes are therefore a useful and measurable surface feature that indicate subsurface ma-
 369 turity, which might be particularly useful in ungauged catchments. However, while other
 370 sinkhole datasets exist (e.g. for Florida), limited availability of good quality sinkhole data
 371 might limit this approach to certain regions (here Missouri).

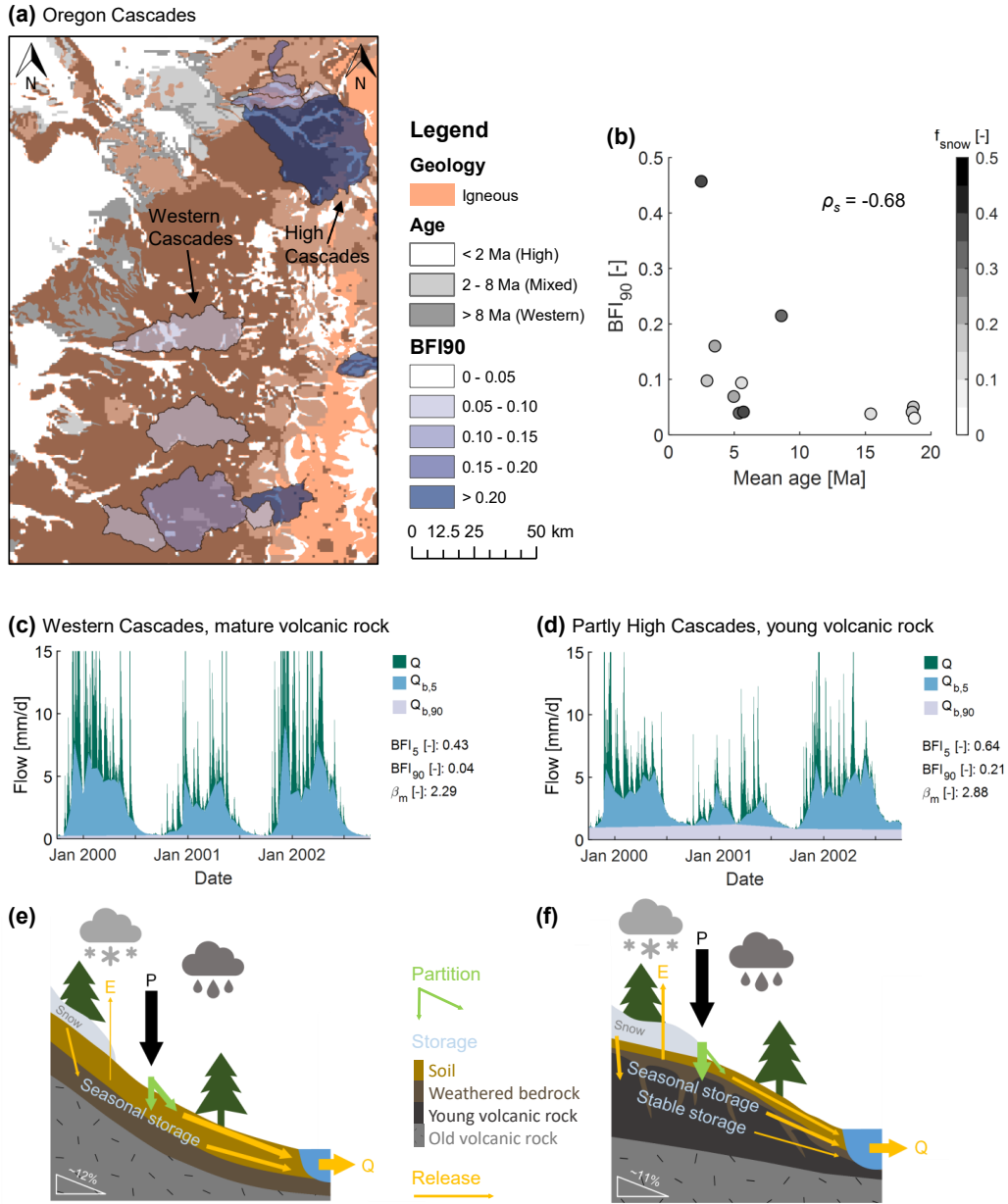


Figure 6. (a) Map of the Oregon Cascades showing CAMELS catchments colored according to BFI_{90} and two example catchments. Areas composed of igneous rock are overlain by shades of gray indicating geological age. (b) Scatter plot showing BFI_{90} vs. mean geological age ($\rho_s = -0.68$) with dots colored according to the snow fraction f_{snow} . Hydrographs of the two example catchments with estimated baseflow components for (c) Quartzville Creek near Cascadia (HU 14185900) and (d) Sandy River near Marmot (HU 14137000). Note that the y -axis is capped. Perceptual models for (e) Western Cascade catchments and (f) High Cascades catchments. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

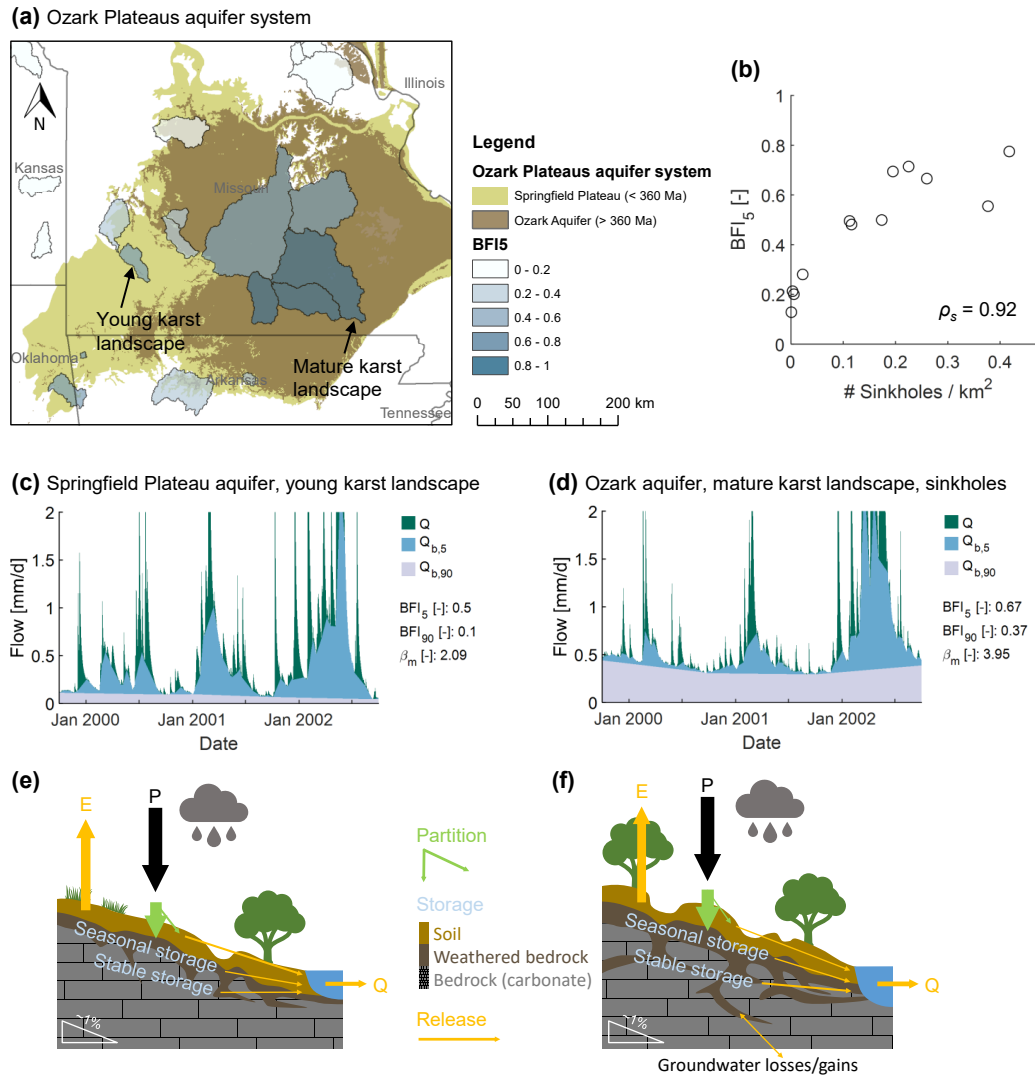


Figure 7. (a) Map of the Ozarks showing CAMELS catchments colored according to BFI_5 and two example catchments. (b) Scatter plot showing BFI_5 vs. sinkhole density ($\rho_s = 0.92$). Hydrographs of the two example catchments with estimated baseflow components for (c) Turnback Creek above Greenfield (HU 6918460) and (d) Current River at Van Buren (HU 7067000). Note that the y -axis is capped. Perceptual models for (e) Springfield Plateau catchments and (f) Ozark aquifer catchments. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

372 **3.2.3 Erosion of Rocks with Different Weathering Characteristics: The**
 373 **Edwards Plateau**

374 The Edwards Plateau region in central Texas can be divided into the Edwards Plateau
 375 proper and the Texas Hill Country (Wilcox et al., 2007). They are bounded to the south-
 376 east by the Balcones Fault Zone which gave rise to high relief and has resulted in a com-
 377 plex geological structure. These regions roughly align with the aquifers of the Edwards-
 378 Trinity aquifer system obtained from the Texas Water Development Board, which are
 379 shown in Figure 8a. The Edwards-Trinity aquifer is the principal aquifer in the Edwards
 380 Plateau, the Trinity aquifer is the principal aquifer in the Hill Country, and the Edwards
 381 aquifer is the principal aquifer in the Balcones Fault Zone (Barker & Ardis, 1996). The
 382 regional climatic gradient (more humid in the east), differences in relief (higher in the
 383 east), as well as regional groundwater flows towards the east, have led to increased ero-
 384 sion towards the east, resulting in the dissected landscape of the Texas Hill country (B. M. Woodruff
 385 & Abbott, 1979; Barker & Ardis, 1996), shown in Figure 8a. This hydrogeological di-
 386 versity is not reflected in CAMELS, which classifies the whole region primarily as car-
 387 bonate rock.

388 The Edwards-Trinity aquifer provides baseflow even during periods with little rain-
 389 fall. This is illustrated in Figure 8c,e which shows a hydrograph and a perceptual model
 390 for a catchment in the Edwards Plateau proper. In the Texas Hill country, the upper parts
 391 of the Edwards-Trinity aquifer have been eroded, exposing the Glen Rose formation which
 392 consists of a sequence of limestone and dolomitic beds with varying weathering poten-
 393 tials (Wilcox et al., 2007; C. M. Woodruff & Wilding, 2008). This leads to a stepped to-
 394 pography consisting of steep risers and flat treads. Wilcox et al. (2007) and C. M. Woodruff
 395 and Wilding (2008) have shown that the steep risers have deeper soils and weathered re-
 396 golith and thus act as stores and zones of subsurface flow, whereas the treads create more
 397 fast flow. This is illustrated in Figure 8d,f which shows a hydrograph and a perceptual
 398 model for a catchment in the Texas Hill Country. Storage in the steep risers only pro-
 399 vides intermittent baseflow, leading to an ephemeral flow regime.

400 The difference between the Edwards Plateau proper and the Texas Hill country can
 401 be shown more quantitatively when the catchment fraction underlain by the Edwards-
 402 Trinity aquifer (delineated from the TWDB aquifer map) is plotted against BFI_{90} (Fig-
 403 ure 8b). Catchments outside the Edwards-Trinity aquifer have low to zero BFI_{90} , whereas
 404 most catchments underlain by the Edwards-Trinity aquifer have a high BFI_{90} . A few catch-
 405 ments that have a very low BFI_{90} also have a particularly low runoff ratio (indicated by
 406 light colors in Figure 8b), likely because they lose water in the Balcones Fault Zone. The
 407 Balcones Fault Zone acts as a major recharge zone for the confined aquifer in the south
 408 (B. M. Woodruff & Abbott, 1979; Schaller & Fan, 2009), which might explain the low
 409 BFI_{90} values of some catchments that extend into it (see Figure 8a). We therefore also
 410 need to account for groundwater losses and gains, which is discussed in Section 3.3.1. While
 411 the aquifer map of Texas contains useful information, it is also unique to the region and
 412 needs to be interpreted with the help of regional knowledge. A next step would there-
 413 fore be the integration of this knowledge into a more widely applicable classification (see
 414 discussion in Section 4.4).

415 **3.3 Release**

416 **3.3.1 Losing and Gaining Catchments: The Ozarks and the Edwards**
 417 **Plateau**

418 Catchments are often regarded as closed systems, where incoming water leaves ei-
 419 ther via evapotranspiration or stream discharge. Groundwater discharge from or to neigh-
 420 boring (topographic) catchments is, however, common (Schaller & Fan, 2009; Fan, 2019).
 421 This is especially true for karst landscapes, such as the Ozarks Plateau (Kleeschulte, 2000;
 422 Mugel et al., 2009) or the Edwards Plateau (B. M. Woodruff & Abbott, 1979; Schaller

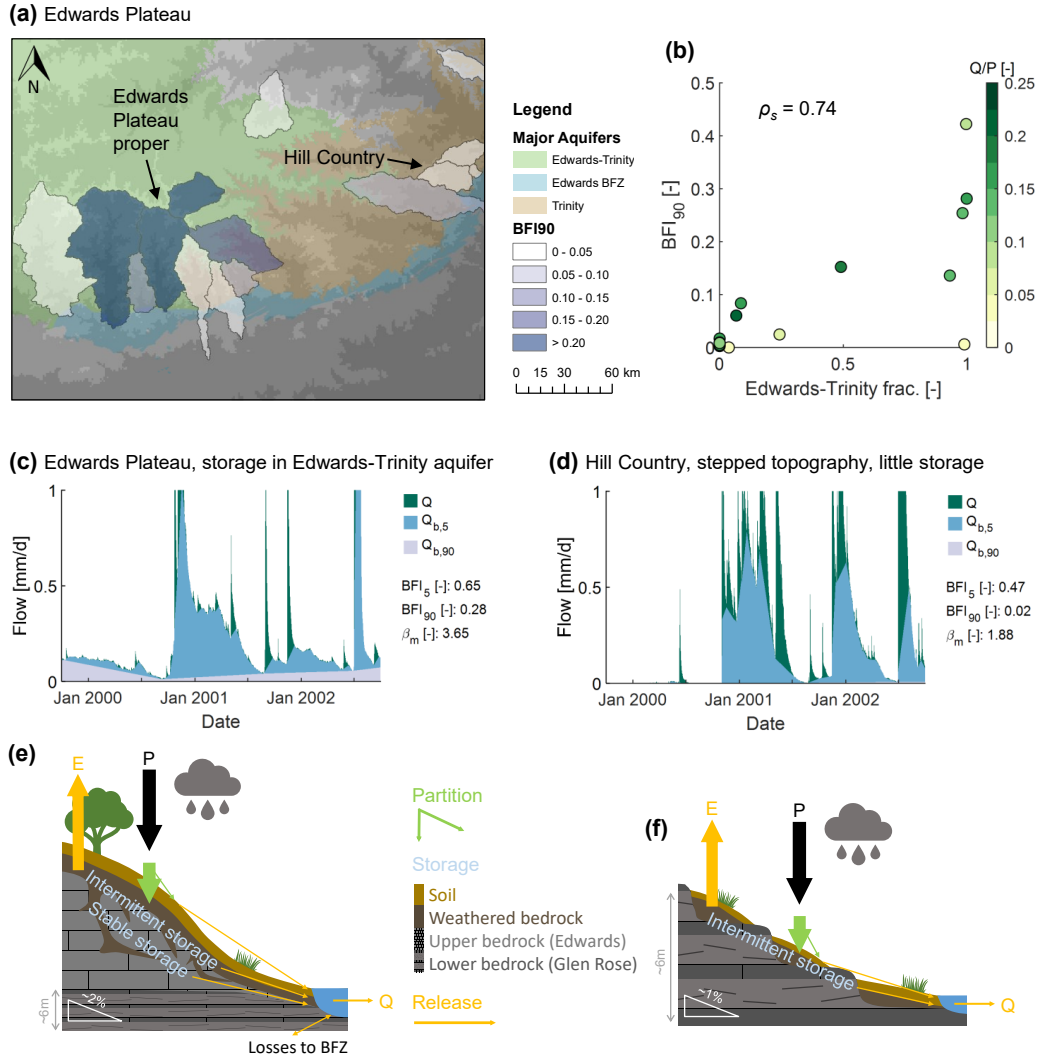


Figure 8. (a) Map of outcrop areas of the Edwards-Trinity aquifer system showing CAMELS catchments colored according to BFI_{90} and two example catchments. (b) Scatter plot showing BFI_{90} vs. Edwards-Trinity fraction (the green area in (a); $\rho_s = 0.74$) with dots colored according to the runoff ratio Q/P . Hydrographs of the two example catchments with estimated baseflow components for (c) Frio River at Concan (HU 8195000) and (d) Onion Creek near Driftwood (HU 8158700). Note that the y -axis is capped. Perceptual models for (e) Edwards Plateau catchments and (f) Texas Hill Country catchments. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

423 & Fan, 2009). Since groundwater losses and gains can affect baseflow signatures (see Fig-
 424 ure 8b), we tried to estimate regional groundwater flows via the water balance (see Schaller
 425 & Fan, 2009) using actual evapotranspiration estimates from two different products: MODIS
 426 (Mu et al., 2011) and GLEAM (Miralles et al., 2011; Martens et al., 2017); details can
 427 be found in the Supporting Information. We did not use the resulting estimates as they
 428 do not conclusively agree with information on losing and gaining catchments we found
 429 in the literature (e.g. Kleeschulte, 2000; Mugel et al., 2009, for the Ozarks), likely due
 430 to uncertainty in all water balance components (see e.g. Khan et al., 2018, for actual evap-
 431 otranspiration). Instead we note that it will be important to obtain reliable estimates
 432 of regional groundwater flow to better understand baseflow signatures.

433 *3.3.2 Lakes and Wetlands: The Prairie Pothole Region and Florida*

434 Lakes and wetlands are important functional units of hydrological systems. There
 435 is currently no dataset that explicitly describes surface water bodies in CAMELS (there
 436 is only a soil attribute named "water fraction"). If baseflow originates from surface wa-
 437 ter bodies, subsurface characteristics alone cannot explain the baseflow response. We ex-
 438 plore two regions, the Prairie Pothole Region and the state of Florida, both shaped by
 439 their surface water bodies yet located in different climate zones. Both regions show a sim-
 440 ilar and distinct combination of baseflow signatures which reflect wetland connectivity.

441 The Prairie Pothole Region was formed by the last glaciation and the region (shown
 442 in Figure 1) aligns well with the boundaries of the Wisconsin glaciation (shown in Fig-
 443 ure 4). Potholes provide storage that buffers against floods and provides baseflow, usu-
 444 ally in connection with the shallow groundwater system (Winter, 1999; McLaughlin et
 445 al., 2014; Cohen et al., 2016; Ameli & Creed, 2017; Neff & Rosenberry, 2018). Fast sur-
 446 face connections occur only during large events and originate from wetlands near the stream.
 447 Slow subsurface connections originate from wetlands throughout the catchment, includ-
 448 ing geographically isolated ones (McLaughlin et al., 2014; Ameli & Creed, 2017). A per-
 449 ceptual model depicting the hydrology of the Prairie Pothole Region is shown in Figure
 450 9c. The corresponding hydrograph shown in Figure 9a lacks a very fast response, illus-
 451 trating the flood buffering effect of potholes. Baseflow is substantial but intermittent,
 452 which is indicated by a moderate BFI_5 and very low BFI_{90} . Recession exponents β_m close
 453 to 1 – the lowest of all CAMELS catchments – indicate fast late recessions, reaffirming
 454 the intermittent nature of baseflow in this region. Wetland connectivity decreases dur-
 455 ing drying (both due to evapotranspiration and discharge), as deeper layers tend to be
 456 less permeable (Cohen et al., 2016), and hence the flow ceases once the water levels have
 457 dropped below permeable layers (fill and spill; Cohen et al., 2016).

458 Florida is underlain by the Floridan aquifer system, a carbonate rock aquifer sys-
 459 tem that is confined by a clay rich layer in most places (Schiffer, 1998). This confining
 460 layer is overlain by unconsolidated sediments which make up the surficial aquifer sys-
 461 tem. Many lakes have developed from sinkholes, which mostly occur in places where thin
 462 or discontinuous sediment and clay layers expose the underlying carbonate rock. If the
 463 confining clay layer is intact, the Floridan aquifer system has limited influence on streams.
 464 This is the case for most of the CAMELS catchments in Florida, which lie almost ex-
 465 clusively in areas with thick sediment cover. In these catchments, hydrological connec-
 466 tivity is closely linked to the shallow aquifer system and depends on the thickness and
 467 hydraulic properties of soils and sediments (Schiffer, 1998; Winter, 1999). A perceptual
 468 model of such a catchment is shown in Figure 9d. Similar to the Prairie Pothole Regions,
 469 the corresponding hydrograph (Figure 9b) lacks a very fast response and baseflow is sub-
 470 stantial but intermittent.

471 As lakes can have a strong impact on the hydrological response of a catchment, we
 472 need to include information on surface water bodies in large sample datasets (see also
 473 Beck et al., 2013). In the next Section 3.3.3, we show that the fraction covered by sur-

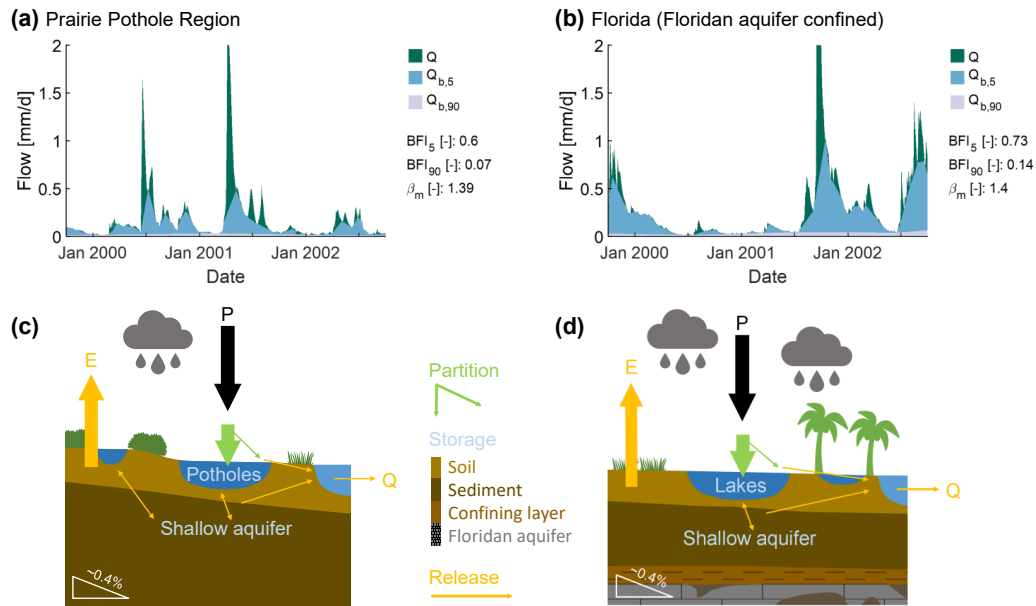


Figure 9. Hydrographs with estimated baseflow components for (a) Sheyenne River near Cooperstown, North Dakota (HU 5057000), and (b) Blackwater Creek near Cassia, Florida (HU 2235200). Note that the y -axis is capped. Perceptual models for (c) Prairie Pothole catchments and (d) catchments in Florida. The width of the arrows indicates the amount of water relative to a normalized precipitation input.

474 face water bodies (derived from the National Wetlands Inventory; U.S. Fish and Wildlife
 475 Service, 2020) can be used to distinguish between hydrologically different catchment groups
 476 (e.g. surface water dominated). But it is likely that more detailed information about wet-
 477 land type and wetland geographic distribution will help to better understand baseflow
 478 signatures in catchments influenced by surface water bodies.

479 *3.3.3 Release Characteristics of Different Baseflow Sources: Surface* 480 *Water Bodies, Snow, and the Subsurface*

481 Baseflow can originate from different sources, but a single signature such as BFI_5
 482 often cannot distinguish between these different sources. For example, substantial amounts
 483 of baseflow indicated by a moderate BFI_5 can be found in many regions (e.g. Oregon
 484 Cascades, Edwards Plateau, Prairie Pothole Region, Florida). But a moderate BFI_5 in
 485 conjunction with fast release dynamics indicated by a very low β_m is very typical for the
 486 surface water dominated catchments of the Prairie Pothole Region and Florida (see Sec-
 487 tion 3.3.2). If a catchment attribute (e.g. rock type) is important for one but unimpor-
 488 tant for another baseflow source (e.g. groundwater storage and wetland storage), it might
 489 be difficult to link that attribute to a single signature such as BFI_5 . We therefore ex-
 490 plored the relationship between two signatures, BFI_5 and β_m , for different baseflow sources.
 491 We can divide the CAMELS catchments into three groups (McDonnell & Woods, 2004);
 492 catchments where water is primarily stored (a) in surface water bodies, (b) as snow, and
 493 (c) in the subsurface. To visualize how baseflow release dynamics are related to the amount
 494 of baseflow released, we plot the median recession exponent β_m against BFI_5 , shown in
 495 Figure 10.

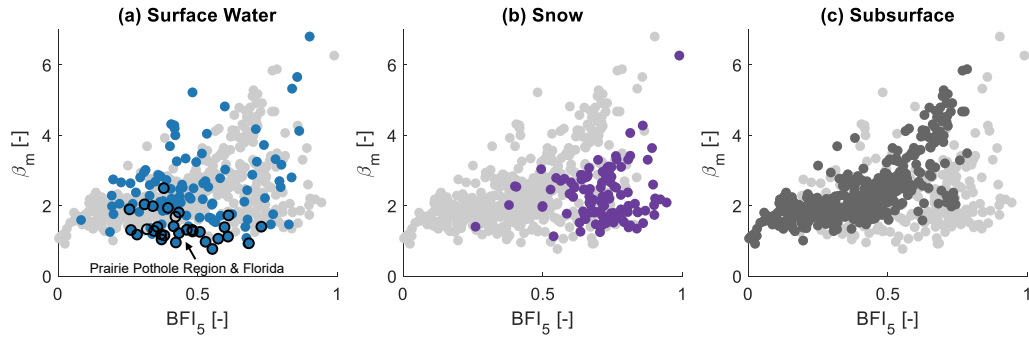


Figure 10. Scatter plots of median recession exponent β_m vs. BFI_5 ($\rho_s = 0.42$ for all catchments). Subplots show catchments where water is primarily stored in **(a)** in surface water bodies ($>1\%$ of area classified as lake or wetland delineated from the National Wetlands Inventory; $\rho_s = 0.15$ for the subgroup); **(b)** as snow ($>30\%$ precipitation falling as snow; $\rho_s = 0.07$); and **(c)** in the subsurface ($\rho_s = 0.72$). Note that each catchment only belongs to one class, with surface water bodies being the first criterion and snow being the second criterion. Note that the y -axis is capped. Similar plots for other signature combinations are shown in the Supporting Information.

496 While many catchments in the Prairie Pothole Region and Florida show a similar
 497 combination of BFI_5 and β_m , there is no clear pattern for surface water dominated
 498 catchments in general (Figure 10a). The fact that BFI_5 and β_m form an uncorrelated point
 499 cloud shows that similar amounts of baseflow can be associated with very different base-
 500 flow dynamics and hence with different hydrological processes. Lakes and wetlands in-
 501 teract with local groundwater systems and are strongly influenced by seasonal climate
 502 and vegetation dynamics (Winter, 1999). Therefore, we will need to better understand
 503 these complex, typically regional processes to understand the relationship between sur-
 504 face water bodies and baseflow beyond the case studies shown here.

505 Snow dominated catchments (Figure 10b) form a relatively distinct point cloud with
 506 high BFI_5 values and comparatively low β_m values. This is probably a consequence of
 507 the seasonal nature of snowmelt, which only provides baseflow for a few months in spring
 508 and summer. For example, catchments in the High Cascades (Figure 6d) show lower β_m
 509 values than catchments in regions with similarly significant subsurface storage such as
 510 the Ozarks (Figure 7d). As the partitioning of snowmelt will also depend on the sub-
 511 surface, understanding baseflow processes in snow dominated regions requires the inclu-
 512 sion of both snow and groundwater processes (e.g. Tague & Grant, 2004; Safeeq et al.,
 513 2013).

514 In catchments where water is primarily stored in the subsurface, BFI_5 and β_m are
 515 strongly correlated (Figure 10c). High baseflow amounts (high BFI_5) are mostly asso-
 516 ciated with slow late recessions (high β_m), i.e. stable low flows. This can be seen in many
 517 of our case studies, such as the Great Lakes Region (Figure 4d), the Appalachian Moun-
 518 tains (Figure 5c), or the Ozarks (Figure 7d). The remaining variability indicates that
 519 also for this subgroup, similar amounts of baseflow can be associated with different base-
 520 flow release dynamics, possibly related to different geological settings.

4 Discussion

4.1 Region-Specific Knowledge is Underutilized in Large Sample Studies

Large scale catchment attributes often do not reflect region-specific hydro(geo-)logical knowledge. But a wealth of – currently underutilized – region-specific qualitative and quantitative information exists and it can help us to better understand the link between catchment attributes and baseflow processes. The case studies shown here are not limited to single catchments, but often describe states or larger regions. This suggests that a better characterization of both surface and subsurface properties will also improve our understanding at the continental and global scale. Finding this information requires a creative and open search, including journal articles from related fields (e.g. geomorphology), articles from regional journals, grey literature such as technical reports from agencies (e.g. USGS), as well as communication with experts. While these additional information sources come with limitations such as a lack of external review, they proved very useful and – based on our judgment – are often of similar quality as externally reviewed academic literature. Synthesizing and sharing this information requires a systematic approach, and here we have proposed and applied a framework based on standardized perceptual models.

Standardized perceptual models offer a means to formalize the relationship between catchment attributes and hydrological signatures. They have the advantage that they allow us to share qualitative or place-specific information in a systematic way (see Wagener et al., 2020). We can use perceptual models to state explicitly how we think a system works, and this can then be developed into a testable hypothesis (c.f. Winter, 2001). If a postulated relationship between a hydrological signature and a catchment attribute is not supported by data, we can either reject (or revise) our perceptual model, or try to find other, more relevant data or updated, potentially improved datasets (see Figure 2). Of course, perceptual models are (by definition) subjective and some disagreement will be inevitable. But disagreement can be a useful starting point for progress, and the continuous refinement (or rejection) of these models should be seen as a learning process about processes and places (c.f. Beven, 2007).

4.2 Multiple Baseflow Signatures Are Needed to Distinguish Between Different Baseflow Sources

Baseflow is typically defined as the portion of streamflow that is derived from groundwater and other delayed sources (Hall, 1968; Smakhtin, 2001). But baseflow signatures such as the BFI are often used without explicitly linking them to different baseflow sources. This is problematic as transferring information in both space and time requires knowledge about the processes that generate baseflow. For example, if we want to assess the impact of warmer temperatures on baseflow, we need to understand how that affects both snow and groundwater processes (e.g. Safeeq et al., 2013). Figure 10 shows how different sources of baseflow can lead to very different dynamics, even if the estimated amount of baseflow (quantified by BFI_5) is the same. In many catchments, the stable baseflow component BFI_{90} shows a much clearer link to geological characteristics than BFI_5 (e.g. in the Oregon Cascades, see Figure 6). The combination of different signatures as well as meaningful subgroups can help us to explicitly link baseflow signatures to hydrological processes. This might also help us to identify relationships between baseflow signatures and geology that are otherwise hidden.

4.3 Limitations: Data Uncertainty and Hydrological Signature Selection

An advantage of large sample hydrology is that regional patterns make it less likely to draw wrong conclusions based on a few anomalous catchments (Gupta et al., 2014). At the same time, data errors can hide patterns if a hydrological signature is sensitive to these errors (Westerberg & McMillan, 2015). This applies both to catchment attributes (Addor et al., 2018, 2020) and hydro-meteorological data (Westerberg & McMillan, 2015). For example, regional groundwater flow can affect hydrological signatures (e.g. Figure 8b). But uncertainty in all hydro-meteorological data, particularly in actual evapotranspiration, makes it very difficult to quantify this effect. This substantiates the need for uncertainty estimates which large sample datasets often lack (c.f. Addor et al., 2020).

We have limited our analysis to three signatures: BFI_5 , BFI_{90} and β_m . This is just one possible set of signatures and they will not capture the whole range of baseflow processes. For example, a wider range of BFI values as suggested by Stoelzle et al. (2020) might lead to a more refined characterisation of the slow response of different catchments. Furthermore, analyzing seasonal differences in both baseflow and recession behavior might reveal more about the influence of climatic and topographic boundary conditions on the storage-discharge relationship (e.g. Zimmer & Gannon, 2018; Tashie et al., 2019). The baseflow estimation and the recession analysis are also associated with methodological uncertainty (e.g. Stoelzle et al., 2013; Dralle et al., 2017). We did not perform an extensive comparison of different signature calculation methods, but we compared the signature calculation methods used here with a few alternative methods (Lyne & Hollick, 1979; Brutsaert & Nieber, 1977); details can be found in the Supporting Information.

4.4 Next Steps

4.4.1 Viewing Catchments as Systems with a History

We have seen many examples where the geomorphological history of a region does not just give us a glimpse into why a place is like it is, but also provides useful information that is hard to observe directly. The volcanic Cascades evolve from being almost entirely groundwater dominated towards having an efficient surface drainage network (Jefferson et al., 2010). The carbonatic Ozarks evolve in the other direction, as the self-perpetuating dissolution of carbonate rock leads to an increasingly efficient subsurface drainage network (Adamski et al., 1995; A. Hartmann et al., 2014). The Edwards Plateau might be placed somewhere in between. There is an extensive karst network below the ground, yet at the same time surface erosion has carved an extensive surface drainage network into the landscape (B. M. Woodruff & Abbott, 1979). In glacial areas, we can see the imprint of the glacial history in form of sediment composition, but also in form of fluvial erosion induced by glacial meltwater (e.g. Upper Mississippi). The hydrology of the Appalachian Mountains can be better understood by understanding the evolution and thus the architecture of their critical zone (Zimmer & Gannon, 2018). Whether these results are transferable remains to be explored. But we renew the argument that by viewing catchments as systems with a history we might be able to learn more about their present state, and perhaps about how they will evolve in the future (Harman & Troch, 2014; Troch et al., 2015). This does not necessarily imply a long history of co-evolution, as the history of a catchment can be shaped by events (faulting, glaciation; see e.g. Beven, 2015) and more recently increasingly by humans (Wagener et al., 2010)

4.4.2 Challenges for a Geological Classification at the Continental Scale

We have shown examples where a better characterization of geological characteristics allows us to better explain the hydrological response at the regional scale. When extending this approach to larger scales, we will face several challenges. First, we need

616 to merge the diverse regional classifications into a coherent framework that reflects this
 617 diversity while being general enough to be useful. Second, we need to translate quali-
 618 tative information such as rock type into quantitative hydrological properties or indices.
 619 Third, we need to account more explicitly for different climatic conditions as both long-
 620 term and short-term climatic conditions vary. For example, seasonal variability can af-
 621 fect baseflow (Zimmer & Gannon, 2018) and recessions (Tashie et al., 2019), and thus
 622 complicate the linkage between static catchment attributes and hydrological signatures.
 623 Similarly, differences in topography can affect recharge and hydraulic gradients, and this
 624 can alter the hydrological response even if the hydraulic properties of the subsurface stay
 625 the same (Carlier et al., 2019). At the same time, topography is related to hydrologi-
 626 cally relevant properties of the subsurface itself (e.g. fractures; St. Clair et al., 2015; Prance-
 627 vic & Kirchner, 2019). Disentangling these different, potentially co-varying processes is
 628 challenging (Price, 2011), but we will have to explicitly address them if we aim at a ge-
 629 ological classification at the continental scale.

630 *4.4.3 How Much Regional Information Do We Need to Predict Base- 631 flow Response at the Continental Scale?*

632 Our results suggest that the amount of regional information required to arrive at
 633 acceptable continental scale predictions depends both on the spatial scale and on the re-
 634 gions covered. We started by delineating different regions which typically covered large
 635 fractions of a state and sometimes multiple states ($\approx 10^4$ – 10^5 km²). In some regions,
 636 a single attribute that characterizes the subsurface could explain most of the variabil-
 637 ity in baseflow response (e.g. sinkhole density in the Ozarks, see Figure 7b). In other re-
 638 gions, more information is required, especially if baseflow originates from multiple sources
 639 (e.g. wetlands and groundwater, see Section 3.3.3). Continental scale predictions will re-
 640 quire attributes that characterize all sub-regions (even though some of the attributes might
 641 only be used for some regions).

642 One way to approximately specify the necessary level of detail for each region would
 643 be a simple classification of the main components of our hydrological system, i.e. an ini-
 644 tial perceptual model. We might start with the three groups presented in Section 3.3.3
 645 and distinguish between water that is stored in surface water bodies, as snow, and in the
 646 subsurface (McDonnell & Woods, 2004). If water is primarily stored in the subsurface,
 647 we might then further distinguish between storage in soils, sediment layers, weathered
 648 bedrock, etc. Such a classification could be informed by using previous glacial extents
 649 (see Section 3.1.1) or by a geomorphological classification (e.g. an upland vs. lowland
 650 classification, see Pelletier et al., 2016).

651 *4.4.4 How Can Our Results Help to Understand and Predict Change?*

652 In this paper we have focused on understanding current baseflow response in mostly
 653 natural catchments. This is a crucial first step, but ultimately we are also interested in
 654 understanding and predicting the hydrological response under change. If we better un-
 655 derstand the drivers of baseflow generation, we can use this understanding to assess how
 656 these individual drivers and the corresponding attributes respond to change, e.g. when
 657 forced by a different climate. Some attributes will be directly impacted by change (e.g.
 658 wetland extent, snow cover). Other attributes are mostly static themselves (e.g. geolog-
 659 ical attributes), but their interaction with climatic forcing controls key hydrological pro-
 660 cesses (e.g. groundwater storage). Human impacts can be an additional driver of base-
 661 flow response and might be assessed by including attributes that characterize human in-
 662 terventions (e.g. land use changes; Y. K. Zhang & Schilling, 2006).

663 Models that credibly predict change need to adequately represent the dominant hy-
 664 drological processes and ideally both model structure and model parameters should be
 665 informed by process understanding rather than calibration (Sivapalan, 2005; Kirchner,

2006; Clark et al., 2017). By linking baseflow response to catchment attributes via perceptual models, our results could provide guidance on model building and a means to appraise model realism (c.f. Fenicia et al., 2014). By showing that CAMELS catchment attributes do not contain all hydrologically relevant information, we also show that we need better attributes if we want to identify model structures or parameter values based on catchment attributes. This is reinforced by a recent model intercomparison study using the same dataset which did not find a relation between model structures and static catchment attributes (Knoben et al., 2020).

5 Concluding Remarks

In the introduction, we asked why non-climatic catchment attributes have shown limited explanatory power in recent large sample studies. We hypothesized that this is due to limitations in (a) the input data we use to inform our analyses, and (b) the hydrological signatures we use to describe the hydrological response. So what have we learned?

(a) We have found that region-specific knowledge is underutilized in large sample studies. There are many sources of information that can help us to better understand regional hydrological processes, and a key challenge will be to synthesize this information in a useful way. We suggest that this is best done through a common framework underpinned by perceptual models (i.e. "perceptual models of everywhere", cf. Beven, 2007).

(b) It is important to pay attention to the hydrological signatures we use, and we should try to explicitly link them to hydrological processes. We have shown that the use of multiple baseflow signatures – instead of a single BFI – and meaningful catchment subgroups allows us to better distinguish between different baseflow sources. A thoughtful choice of signatures will be crucial to meaningfully assess whether a catchment attribute is hydrologically relevant.

We conclude that we will be able to better link hydrological signatures to catchment attributes if we aim at a more systematic and hydrologically motivated selection of catchment attributes and hydrological signatures.

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