

1 **Understanding farmers' naturalistic decision**
2 **making around prophylactic antibiotic use**
3 **in lambs using a grounded theory and**
4 **natural language processing approach**

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13 **Abstract**

14 The routine use of antibiotics for prevention of disease in neonatal lambs has been
15 highlighted as inappropriate, yet research suggests that many farmers in the UK still
16 carry out this practice.

17 The aim of the study was to understand farmers' naturalistic decision-making around
18 prophylactic antibiotic use in lambs. Data from 431 posts by 133 different users of an
19 online discussion forum were analysed quantitatively using natural language processing
20 and qualitatively using a grounded theory approach. Results from the qualitative analysis
21 identified five categories that influenced farmers risk perceptions around prophylactic
22 antibiotic use in lambs: anticipated regret, negative emotions and experiential

23 avoidance; economic considerations; farmer identity; perception of capability; and
24 perception of social judgement. Natural language processing analysis of the posts by the
25 study group were compared to posts on topics unrelated to antibiotic use by control
26 groups from the same forum to understand the underlying style and tone within the text.
27 Analytical thinking and authenticity scores were significantly lower in the study group
28 compared to the control groups ($P < 0.01$). Words relating to cognitive processes were
29 significantly higher in the study group compared to the control groups ($P < 0.01$). Results
30 of the qualitative and quantitative analysis were integrated to assess the fit of the data
31 and enhance findings from either method alone.

32 The key findings were the identification of reasons why farmers used antibiotics
33 prophylactically in neonatal lambs. Farmers disassociated the use of oral antibiotics in
34 neonatal lambs from other types of antibiotics within sheep farming. Farmers used the
35 concept of luck and uniqueness to justify their prophylactic antibiotic use. This may
36 explain farmers' low analytical thinking scores as this reflects a more personal style of
37 thinking as farmers express their thoughts on antibiotic use on an individual basis.
38 Farmers' felt that they did not have the capabilities to control neonatal disease without
39 antibiotics during busy periods and this was exacerbated by external economic
40 constraints. For farmers' who used antibiotics for therapeutic use, fear of social
41 judgement was an important factor in their perceptions of risk around antibiotic use. The
42 high frequency of negations and conjunctions suggested feelings of moral duty. As such,
43 antibiotic use has developed into a symbol of their good farming identity which conflicted
44 with the identity held by those who used antibiotics prophylactically. These results can
45 be used to inform knowledge exchange around prophylactic antibiotic use in lambs to
46 improve antibiotic stewardship in the sheep farming sector.

47 **Keywords:** antimicrobial use, decision making, natural language processing, grounded
48 theory, farmer behaviour, sheep farmers

49 **Introduction**

50 Antibiotic resistance is a worldwide public health threat. As a result, reducing the amount
51 of antibiotics used in livestock has become a priority within policy (O'Neill, 2016). The
52 sheep industry is the largest livestock sector in the UK in terms of biomass (VMD, 2018),
53 which makes it particularly important that any routine prophylactic use is carefully
54 scrutinised. Prophylactic use of antibiotics refers to antibiotics that are used to prevent
55 diseases. Although relatively little details are known about the precise quantities of
56 antibiotics used on sheep farms, available data suggests low levels of total antibiotics are
57 used in the sheep industry in comparison to in the other livestock sectors (VMD, 2019).
58 On-farm records are challenging to collate as there is no central recording system at
59 present. Veterinary antibiotic sales data can be difficult to interpret as many sheep farms
60 also have a beef enterprise and the antibiotics may have been used in either species.

61 Antibiotics are used in the sheep sector commonly for the treatment or prevention of
62 diseases of neonatal lambs such as colibacillosis (watery mouth) and septic polyarthritis
63 (joint ill) (RUMA, 2017). Previous reports suggest that as many as 31-68% of UK sheep
64 farms use antibiotics prophylactically in neonatal lambs (Douglas and Sargison, 2018;
65 Lima et al., 2019).

66 Given the large proportion of farms in the UK using antibiotics prophylactically in
67 neonatal lambs, this area that calls for stewardship and more responsible use of
68 antibiotics. There are many resources available for farmers that focus on why the
69 prophylactic use of antibiotics for neonatal diseases is in many cases unnecessary. For
70 example, the 'Colostrum is Gold' social media campaign and the 'Better Returns'
71 knowledge exchange publications provide information on alternatives to antibiotic use for
72 prevention of neonatal disease (AHDB, 2015; RUMA, 2019a). Current "good practice" for
73 prevention of neonatal disease includes providing sufficient colostrum to new born lambs
74 through appropriate ewe nutrition (RUMA, 2019a). Additionally, farmers should practice
75 good hygiene, which includes regular hand washing and disposable gloves. If lambing

76 indoors, navels should be treated, pens should be freshly bedded with clean straw and
77 dry disinfectant or lime should be spread before re-bedding pens between ewes (AHDB,
78 2015). Antibiotics should only be used in the signs of illness. Yet, many farmers continue
79 to administer antibiotics prophylactically to neonatal lambs (Lima et al., 2019). Research
80 is required to understand sheep farmers' decision-making around this behaviour.

81 Most research on farmers' decision-making around antibiotic use have used
82 observational research techniques such as interviews (Poizat et al., 2017; Golding et al.,
83 2019, Doidge et al., 2020), focus groups (Coyne et al., 2014) or surveys (Brunton et al.,
84 2012; Jones et al., 2015). Antibiotic use in agriculture could be considered a sensitive
85 topic due to the public and media attention it receives, which may make it difficult to
86 study farmers' true opinions on this issue. Farmers may be aware that using antibiotics
87 for prevention is not considered "good practice" and this could result in social-desirability
88 bias when faced with observational research techniques. Additionally, selection bias may
89 be present, where farmers who are interested in antibiotic use are more likely to take
90 part. It may be difficult to contact farmers who carry out undesirable behaviours and
91 they may not be willing to take part in research and talk about their motivations or
92 experiences (Elliott et al., 2005).

93 In Great Britain, 93% of households had access to the internet and 87% of adults used
94 the internet daily or almost daily in 2019 (Office for National Statistics, 2019). Over two-
95 thirds used social media and 63% used the internet to look up health-related
96 information. This widespread internet use provides a wealth of information on people's
97 opinions, motivations and behaviours; especially through platforms such as Facebook,
98 Twitter, blogs and discussion forums, for example. To reduce issues with bias
99 surrounding sensitive topics, online data from discussion forums has been used to
100 investigate research topics such as male infertility (Hanna and Gough, 2016), drug use
101 (Davey et al., 2012) and depression (Moore et al., 2016). Whilst in human medicine
102 analysis of online discussion forums have been used to understand patients' decisions

103 around antibiotic use (Santer et al., 2017; Ghouri et al., 2019), there has been no such
104 investigations in veterinary medicine to investigate farmers decisions.

105 The ethnographic approach of studying online forum communities is often coined
106 "Netnography" (Kozinets, 2015). Online forums allow natural conversations to be
107 observed without the involvement of a researcher. Thus, farmers may be able to express
108 their opinion freely without any perceived judgement. Online discussion forums often
109 form communities where users can share ideas, ask for advice and seek social support
110 (Gill and Whisnant, 2012). This allows researchers to study the deeper motives, attitudes
111 and experiences of a group of farmers who may not normally take part in observational
112 research.

113 Whilst qualitative analysis can identify the textual meanings of online posts, quantitative
114 analysis can be used to describe the content in a systematic manner by identifying
115 general trends in behaviour, motivations or emotion. Natural language processing is
116 often used to quantify the frequency of word categories within pieces of text (Tausczik
117 and Pennebaker, 2010). Words can be split into two main types: function words, such as
118 pronouns, articles and conjunctions; and content words such as adjectives, nouns and
119 regular verbs. Researchers can make inferences from the frequencies of word categories,
120 many of which have been shown to be correlated with different psychological processes.
121 For example, the frequency of first person singular pronouns (e.g. I) was linked with
122 depression (Holtzman, 2017) and the use of causal words (e.g. because) was linked with
123 the emotional process of appraisal (Boals and Klein, 2005). Therefore, natural language
124 processing can unearth the underlying emotions and psychological processes within text.

125 The combination of two methods of data analysis is called triangulation data analysis.
126 Combining both qualitative and quantitative data analysis enhances the trustworthiness
127 of the results (Renz et al., 2018). Furthermore, data analysis triangulation is useful as
128 researchers can reveal findings that would not be shown using either method alone.
129 Triangulation therefore strengthens the ability to interpret results and provides multiple
130 perspectives of the topic being investigated (Renz et al., 2018).

131 The aim of this study was to use a combination of grounded theory and natural language
132 processing to analyse internet forum posts to understand how farmers make decisions
133 around using, or not using, prophylactic antibiotics in neonatal lambs. We believe this is
134 the first time this combination of methods have been used to analyse farmer decision-
135 making.

136 **Methods**

137 **Study sample and data collection**

138 One large UK based forum specifically related to agriculture was selected for this
139 research. The forum is accessible to be read by non-members, but only members can
140 create threads or reply to other users' posts. The forum was searched for the terms
141 "watery mouth", "joint ill" and the name of an oral product that contains spectinomycin.
142 This antibiotic product (active ingredient spectinomycin) was chosen as a search term as
143 it is the most commonly used oral antibiotic for watery mouth. It is authorised for use in
144 lambs and piglets in the UK, though the majority of search results were related to its use
145 in lambs. The search results for other antibiotics commonly used in lambs, such as
146 oxytetracycline, were not used as they are indicated for use in several species and for
147 conditions other than those found in neonatal lambs. Threads identified were reviewed to
148 determine those with relevant discussion of antibiotic use in neonatal lambs. The
149 researcher remained a guest on the forum and did not register as a user, post on the
150 forum or declare presence of a researcher to ensure data collection was unobtrusive.

151 Posts from threads based on weather and grassland management were also collected
152 from the same forum. The grassland management and weather posts were used as
153 control groups as they were unrelated to antibiotic use. These controls were chosen as
154 they were both topics that sheep farmers have experience in and are likely to engage in.
155 They offer two different types of discourse to compare with the antibiotic use threads.
156 Previous research suggests that weather may have effects on farmers' mental health
157 (Daghagh Yazd et al., 2019); therefore, it was anticipated that discussion of the weather

158 may have low emotional tone. Whereas, grassland management may require users to
159 think analytically (Gibon, 2005). The control posts were gathered until the number of
160 users were similar to that gathered for the study group.

161 **Ethical considerations**

162 This analysis follows the guidelines set out by the British Psychological Society on
163 internet mediated research (British Psychological Society, 2017). The forums accessed
164 for analysis did not require registration to view forum posts and were therefore located
165 within the public domain. Forum users were instructed not to post any private or
166 confidential information upon registering an account. As the posts were publicly available
167 and did not contain private information, no steps to gain informed consent from those
168 posting were taken. To ensure anonymity of forum users, any identifiable information
169 about participants and forum sites was removed to maintain confidentiality (Tilley and
170 Woodthorpe, 2011). In addition, to preserve anonymity quotes have been paraphrased
171 and researchers took steps in terms of checking all quotes using a search engine to
172 ensure they cannot be traced (Roberts, 2015). The study was approved by the University
173 of Nottingham School of Veterinary Medicine and Science Ethics Committee (no. 3232
174 200915).

175 **Qualitative data analysis: Grounded theory**

176 A Grounded Theory approach was used for data collection and analysis (Glaser and
177 Strauss, 1967). Forum threads were selected using the purposive theoretical sampling
178 method, where new threads were identified throughout the data collection process. Ten
179 threads were selected for analysis which included multiple threads on watery mouth
180 prevention, joint ill and one thread on general lambing time for 2019. There were 431
181 posts from 133 different forum users. The forum posts dated from 2015 to 2019 and
182 were accessed October 2019. The relevant threads and posts were copied onto a
183 Microsoft Word document and uploaded into NVivo (NVivo qualitative data analysis
184 Software; QSR International Pty Ltd. Version 12, 2018) to support analysis. Data were
185 coded using the constant comparative method (Maykut and Morehouse, 1994). First,

186 initial coding was carried out where each discussion thread was read multiple times and
187 relevant posts coded line by line. Then, focussed coding was carried out where clusters
188 of data that were relevant to a particular interpretation were grouped together.
189 Connections were made between codes to produce categories. Categories were reviewed
190 and refined after analysis of each discussion thread until data saturation was achieved.
191 The final categories were defined and related to each other to establish a theoretical
192 concept (Charmaz, 2006). An explanatory theory for farmers' decision making around
193 prophylactic antibiotic use in lambs was produced (Charmaz, 2006).

194 **Quantitative data analysis: natural language processing content** 195 **analysis**

196 The programme Linguistic Inquiry and Word Count (LIWC) was used for the content
197 analysis of the forum posts (Pennebaker et al., 2015a). LIWC analyses text by reading
198 each target word and classifying it into various categories. The categories include
199 general descriptor categories, linguistic dimensions (e.g. pronoun use) and psychological
200 constructs (e.g. cognitive, social, and affective). The programme quantifies the relative
201 frequency of words that belong to each category. There are also four summary variables
202 that are based on a 0 to 100 scale using percentiles derived from large comparison
203 samples (Pennebaker et al., 2015b). These variables are clout, analytical thinking,
204 authenticity and emotional tone. An explanation of the LIWC variables used in this paper
205 are presented in Table 1.

206 **Table 1: LIWC variables used to address the research question (Adapted from**
207 **Pennebaker et al. (2015b))**

Variable	Explanation
Clout	Scored from 0 to 100 using percentiles. A high score reflects a more confident style, whereas a low score reflects a more tentative style.

Analytical thinking	Scored from 0 to 100 using percentiles. A high score reflects a more formal way of thinking and a low score reflects a personal, narrative style of thinking.
Authenticity	Scored from 0 to 100 using percentiles. A high score indicates an honest, personal style, whereas a low score indicates a guarded, distanced style.
Emotional tone	Scored from 0 to 100 using percentiles. This variable reflects the difference between the use of positive and negative emotion words. A high score indicates a positive tone and low score indicates a negative tone. Scores below 50 are suggestive of negative emotional tone (Cohn, Mehl, & Pennebaker, 2004).
Function words	Count of function words posted by a forum user e.g. it, to, not, very.
Conjunctions	Count of conjunctions posted by a forum user e.g. and, but, whereas.
Negations	Count of negations posted by a forum user e.g. no, not, never.
Cognitive processes	Count of cognitive process words posted by a forum user e.g. cause, know, ought.
Social processes	Count of social process words posted by a forum user e.g. mate, talk, they, child.
Risk words	Count of risk words posted by a forum user e.g. danger, doubt.
Money words	Count of money words posted by a forum user e.g. audit, cash, owe.

208

209 LIWC has been validated for numerous psychological domains (Tausczik and Pennebaker,
210 2010). Many psychological processes can be reflected in the way words are used.
211 Function words (e.g. pronouns, conjunctions) have been shown to be more useful
212 gauges for psychological processes than content words (e.g. verbs, adjectives and
213 adverbs) (Pennebaker and Chung, 2013). For example, an increased use of function
214 words was associated with negative events compared to positive events (Barnard et al.,
215 2020).

216 The forum posts for each forum user were entered into an Excel spreadsheet where each
217 user was a single row. The spreadsheet was imported into LIWC for analysis of the text.
218 A group of forums posts based on the weather were included as a control group (n users
219 weather=140). A further control group of posts based on grassland management was
220 included (n users grassland=128). Users with <20 words were removed from the
221 analysis (n(study)=22, n(weather)=47, n(grassland)=20). As the data were not
222 normally distributed, the variable distributions for the study group were compared with
223 the weather and grassland group using a Kruskal-Wallis test in Stata 16 (Stata SE/16.1,
224 Stata Corp., College Station, TX, USA). Dunn's Test was used as a *post-hoc* test to
225 identify differences between the three groups and p-value of ≤ 0.05 was considered
226 significant.

227 **Integration of qualitative and quantitative results**

228 For the assessment of the fit of the data, quantitative and qualitative results were
229 presented in a table with the potential outcomes of convergence, complementarity,
230 expansion and divergence. Convergence refers to when the results from the two
231 methods are similar, whereas divergence is when the results from the two methods are
232 different (Morgan, 2019). Complementarity refers to when the results are different and
233 not over-lapping. Expansion is where the results have an overlapping theme but more
234 information is gathered which gives non-overlapping interpretations (Fetters and Molina-
235 Azorin, 2019).

236 Results

237 Qualitative analysis

238 Most threads were started by a farmer asking for advice as they had a problem with
239 neonatal lamb disease, either watery mouth or joint ill. Other farmers were able to relate
240 to the issue, having experienced something similar themselves and would give advice on
241 what they would do in that situation. Often farmers would give opposing views on the
242 matter, which resulted in debate over using antibiotics for prevention. The views for and
243 against the prophylactic use of antibiotics given by the farmers showed that there were
244 two opposing risks that they considered: (1) the risk of only using antibiotics for
245 treatment in lambs and (2) the risk of using prophylactic antibiotics in lambs. The five
246 major categories identified and their corresponding subcategories are presented in Table
247 2.

248

249 **Table 2: The categories and sub-categories relating to farmers' decision making around**
250 **antibiotic use in neonatal lambs identified from the qualitative analysis**

Major category	Subcategory
Perception of social judgement	Influence of laws and restrictions
	Influence of consumers and animal rights
Economic considerations	-
Good farming identity	A good farmer doesn't need to rely on antibiotics
	Animal welfare is the priority
Perception of capability	Positive perceptions of capability
	Luck, fate and chance
	External factors restrict farmers' capabilities
Anticipated regret, negative emotions and experiential avoidance	-

251

252 **Perception of social judgement**

253 *Influence of laws and restrictions*

254 Many farmers raised concerns for the future if the prophylactic use of antibiotics carried
255 on. Some farmers were worried that antibiotics would become restricted in the future if
256 they were not seen to act responsibly. Others have stopped using antibiotics
257 prophylactically as they were aware of the risks of not having access to antibiotics in the
258 future. They often gave examples of other countries where antibiotics are more
259 controlled and the vet must administer all treatments, such as Denmark. Farmers were
260 afraid of this happening in the UK as it could result in poor welfare and farms shutting
261 down:

262 *"There will come a time when we buy antibiotics that it will have to be for a*
263 *specific animal and the medicine records will be online with the animal ear tag*
264 *against the purchase."*

265 *"Routine, prophylactic antibiotic use is under pressure from governments and the*
266 *EU. This will not be imposed by our vets but from above. For example, in the*
267 *Netherlands vaccines have moved from general use to prescription only and so*
268 *have to be administered by a vet."*

269 Farmers also mentioned that their vets were encouraging them to reduce their
270 prophylactic antibiotic use. Those that took their vets advice managed to successfully get
271 through a lambing period without any problems.

272 *"My vet has been warning about restrictions to antibiotic for a while."*

273 *"I lambed without antibiotics last year mainly because our vets told us to only use*
274 *it if you get an issue."*

275 However, there were a group of farmers who did not feel compelled to follow their vets'
276 advice. These farmers were more resistant to the social pressures around using
277 antibiotic responsibly.

278 *"I'll hold my hands up, I use it as a matter of course...My vet who does not agree*
279 *with routine use of antibiotics allows me this small concession to his standard*
280 *lecturing."*

281 *"My vets don't bother to give me the usual addressing on antibiotic resistance*
282 *when I ask for it."*

283 *Influence of consumers and animal-rights*

284 Some farmers appeared uneasy about others in the forum expressing a reliance on
285 antibiotics for neonatal disease, for fear of what consumers may think. They thought that
286 consumers would not buy lamb meat if they knew prophylactic antibiotic use in lambs
287 was a common practice.

288 *"How do you think that sounds to consumers? Don't forget you need them to buy*
289 *your product."*

290 *"The industry needs to be seen to cut down antibiotic use."*

291 Additionally, they were concerned that animal-rights activists could potentially use this
292 information, which could be damaging to the sheep farming image of grass-fed,
293 extensively reared lamb.

294 *"The thought of eating early born lamb that has only survived its short life with*
295 *overuse of antibiotics really puts me off lamb. I am sure most consumers think of*
296 *lamb as being natural born and reared on grass. You are playing right into the*
297 *animal rights activists' hands."*

298 *"I am surprised at the amount of blanket usage, are we potentially sitting on a*
299 *time bomb regarding the image of lamb?"*

300 As well as the social responsibility for appropriate antibiotic use, there appeared to be a
301 moral responsibility where prophylactic antibiotic use was not seen as "doing the right
302 thing". They believe that only using antibiotics responsibly in the sheep industry would
303 be a good thing to do in the eyes of society

304 *"Used to give everything an antibiotic pill, but stopped that years ago now as it*
305 *didn't seem right doing it to everything."*

306 *"Used to do all lambs born indoors but knew in my head it couldn't be good long*
307 *term."*

308 *"Routine use of antibiotics in lambs or ewes is not something that sits well with*
309 *me."*

310 In summary, this category highlights the concerns farmers have around the potential
311 restrictions on antibiotic use in the future and how they felt a moral obligation for
312 antibiotic stewardship. Farmers were worried about the impact on their image if
313 consumers or animal rights activists were aware of others using antibiotics as a
314 prophylactic. Farmers who use antibiotics as routine in their lambs appear resistant to
315 the social pressures around antibiotic stewardship and were unwilling to adhere to their
316 vets' advice.

317 **Economic considerations around antibiotic use in lambs**

318 Economic considerations were an important factor in the decision *not* to use prophylactic
319 antibiotics. Some farmers were concerned about the financial consequences of antibiotic
320 resistance in the long term if sheep farmers carried on routine treatment of lambs with
321 antibiotics. A few farmers related this risk to their experiences of anthelmintic resistance,
322 which has become a widespread problem for sheep farmers:

323 *"We should approach antibiotic use at an individual animal level. It has got to be*
324 *better economically and in slowing down resistance. Look where we are with*
325 *anthelmintic resistance – ask anyone with triple resistance how hard it is to raise*
326 *lambs."*

327 *"Anyone who has experienced resistant fluke will tell you it is nothing to look*
328 *forward to."*

329 They also considered the financial implications of antibiotic resistance due to untreatable
330 infections or reliance on expensive antibiotics. They were concerned that other farmers
331 were not thinking about the future:

332 *"There has to be a balance, use cheap antibiotics now and make money until you*
333 *get antibiotic resistance and the antibiotics no longer work. Then pay through the*
334 *nose for more expensive new drugs or have to do without all together?"*

335 Economic considerations were often an important factor in the decision to use antibiotics
336 prophylactically. It was seen as cheaper to use antibiotics than to lose a lamb to
337 neonatal disease. Spectinomycin was seen as a particularly cheap antibiotic that was
338 worth giving to all lambs "just in case" they needed it. Indeed, the cost of spectinomycin
339 was considered much cheaper than the cost of other practices that prevent neonatal
340 lamb diseases, such as lime or straw.

341 *"If I waited until I lost a lamb to watery mouth I would be down [£X] minimum*
342 *plus the bottle of antibiotics I would waste because nothing dies uncared for on*
343 *my farm, versus the [£X] it costs to treat all my lambs."*

344 *"I give antibiotics to every lamb without fail. It is cheap and effective."*

345 *"I've used [X] bottles of spectinomycin in my early flock at around [£X] a bottle.*
346 *You can't buy much extra lime or straw for that and I already use a lot of straw.*
347 *If you were presented figures that show a big saving through using spectinomycin*
348 *are you saying you would ignore that?"*

349 Farmers also stated prophylactic antibiotics prevented neonatal diseases which could be
350 detrimental to the value of pedigree flocks. Also, there was indication that farmers had
351 misconceptions about the effectiveness of oral antibiotics against all neonatal diseases as
352 indicated below by using spectinomycin for joint ill.

353 *"I use spectinomycin because my pedigree sheep would be left valueless if they*
354 *got joint ill and cost me a lot of money if they died of watery mouth."*

355 Occasionally, because farmers could not afford to employ more staff around lambing,
356 there were not enough members of staff to attend to lambs thoroughly, so antibiotics
357 were used to reduce perceived risk. Some believed that if prophylactic antibiotics were
358 not used, shepherding costs would increase so much that farming would not be viable.

359 *"When you're on your own lambing sheep because of the financial side of the job,*
360 *the weather is bad, you're tired and there aren't enough hours in the day it's*
361 *quite different!"*

362 Overall, this category identifies that the economics of antibiotic use were considered
363 both in the long-term and in the short-term. In the long-term, farmers were concerned
364 about the increased costs due to antibiotic resistance, whereas in the short-term farmers
365 were concerned about covering lamb losses and keeping their business afloat.

366 **Good farming identity**

367 This category centres on the perception of a conflict between two good farming
368 identities. It highlights the importance of animal welfare in being a good farmer for both
369 identities, but also the relative importance of low antibiotic use. Hence, there are two
370 sub-categories: "A good farmer doesn't need to rely on antibiotics" and "Animal welfare
371 is the priority".

372 *A good farmer doesn't need to rely on antibiotics*

373 With every post that suggested using antibiotics for the prevention of neonatal disease in
374 lambs, there were farmers that argued against this practice. Being able to maintain good
375 animal welfare whilst using antibiotics only when necessary were seen as traits of a good
376 farmer. By contrast, it appeared those that were relying on prophylactic antibiotic use
377 were seen to be compensating for suboptimal management practices. In response to
378 others saying that methods to control neonatal disease were not practical, some farmers
379 provided their own account of how they manage without antibiotics:

380 *"We used to use spectinomycin but now we now focus on bedding, making sure*
381 *lambs are dry and not in draughts, and feeding ewes to ensure colostrum is good.*
382 *We are getting on better so far with better husbandry."*

383 They also challenged other farmers' excuses for their reliance on antibiotics:

384 *"We have straw sheds and lamb early and always have time to clean the pens."*

385 Some farmers suggested changing routine management practices to prevent diseases in
386 the first place. For example, a few users wrote about their experience of stopping tail
387 docking and castration in which it reduced the number of lambs with joint ill. Ceasing
388 this routine practice came as a shock to some of the other farmers suggesting that this
389 would cause other welfare problems. Others began to contemplate whether the tail
390 docking and ring castration they carried out was necessary. This suggested that the set
391 of experience-based rules relating to tail docking in farming practice was gradually
392 shifting.

393

394 *"We used to get a lot of joint ill in lambs that were a couple weeks old at the time*
395 *where their tails were dropping off so left a small wound for a few days. We*
396 *stopped docking a few years ago and only get infrequent cases now."*

397 *"When we lamb outside we get no joint ill, especially if you don't ring. Is it*
398 *something that still needs doing or will the time come when no one does it?"*

399

400 *Animal welfare is the priority*

401 However, low antibiotic use was not the only good farming identity sub-category. Some
402 argued that using antibiotics was better for the welfare of the animal – that prevention
403 was better than cure. Having healthy lambs was important to them and they believed
404 that this was what made them a good farmer:

405 *"It all comes down to the issue of welfare. Is it right not to use spectinomycin and*
406 *then the lambs get joint ill and have welfare issues, or is it better to prevent*
407 *these welfare issues?"*

408 *"The public would be more disgusted to see images of tapeworms and fluke in*
409 *offal, images of parasites in meat and images of starving lambs with watery*
410 *mouth."*

411 There were high emotions when farmers' who were using antibiotic prophylactically were
412 confronted with other farmers suggesting that many cases of watery mouth or joint ill
413 were caused by unhygienic conditions. Farmers would become defensive, as they felt
414 insulted that other farmers were implying that their farm or sheep were dirty. This shows
415 a conflict in good farming identities:

416 *"The dirty comments from some of the [x] are a bit condescending. There are*
417 *farms sparing on straw but there are many that always have sheds with fresh*
418 *straw and still get problems."*

419 *"Wow, my sheep aren't dirty."*

420 When one user asked how others used antibiotics over the lambing period there
421 appeared to be a separation of spectinomycin – an oral antibiotic commonly used for
422 treatment or prevention of watery mouth – from other types of antibiotics. It seemed as
423 if the prophylactic use of spectinomycin was more acceptable than using other antibiotics
424 that were injectable. Spectinomycin was used more liberally than parenteral (injectable)
425 antibiotics. The use of spectinomycin had become a cultural norm and was part of the
426 rules of the game that define their good farming identity. Thus, spectinomycin use did
427 not harm their good farming identity but use of parenteral antibiotics could.

428 *"Everything that lambs inside gets a dose of spectinomycin but other antibiotics*
429 *are used rarely."*

430 *"Do you mean to take account of spectinomycin? We use spectinomycin in all*
431 *lambs but other antibiotics are only used when needed."*

432 *"We only use spectinomycin regularly but always have [parenteral antibiotic]*
433 *nearby. Some family members routinely inject lambs."*

434 This category therefore illustrates the presence of two good farming symbols – one of
435 good animal welfare and one of low antibiotic usage. The two symbols can conflict with
436 each other and some farmers do not recognise responsible antibiotic use as a good
437 farming symbol. The routine prophylactic use of spectinomycin appears to have become
438 a cultural norm in sheep farming.

439 **Perception of capability**

440 *Positive perceptions of capability*

441 Farmers agreed that good hygiene and colostrum intake by lambs was the best way to
442 prevent neonatal disease in lambs.

443 *"A clean environment and plenty of good colostrum is the basic first defence."*

444 *"Plenty of colostrum trumps all. How many single lambs do you see go down with*
445 *watery mouth? Not many."*

446 *"Get more soya included in the ration as it will improve the quality and quantity*
447 *of colostrum. As long as the lambs get a belly full of colostrum soon after lambing*
448 *they won't succumb to disease."*

449 Farmers who did not use antibiotics prophylactically wrote about the practices that they
450 implemented to prevent neonatal disease. They believed that farmers did not need to
451 rely on antibiotics to prevent disease and urged other farmers to change their practices if
452 they did so.

453 *"I've not had a case this year. The only difference is nutrition, I'm feeding the*
454 *most expensive ewe rolls and everything is great."*

455 *"We haven't used it for many years. Vaccinate ewes for clostridial diseases and*
456 *feed them well for milk production before and after lambing. Make sure the lambs*
457 *suck well early. Have ewe breeds that have a good maternal instinct, good*
458 *lambers and produce lambs that want to suck."*

459 *"You need to change your system. We manage to clean out every [X] hours or*
460 *between every other ewe... For an area of [X] ewes, I like to use a round bale of*
461 *straw every other day. It might be excessive but our joint ill and scouring is*
462 *under [X]%. "*

463 Farmers occasionally wrote about how they weaned themselves off using prophylactic
464 antibiotics. These were farmers who had previously used antibiotics in all lambs and over
465 the years reduced the numbers of lambs treated with antibiotics. Over time they have
466 realised that they did not need to use antibiotics routinely and they are capable of
467 preventing neonatal lamb disease without a reliance on antibiotics.

468 *"I lamb indoors and used to use antibiotics on multiples starting part way through*
469 *lambing. This year I have only dosed the triplets and only because I had some*
470 *left in the fridge. Next year I will not use it unless there is a problem."*

471 *"We used to give antibiotics to all the multiples as we used to see a lot of watery*
472 *mouth in our old barns and the practice continued when we got new barns. I*
473 *decided to only use spectinomycin on weak lambs. It was effective as we did not*
474 *get any cases and we did not use antibiotics routinely."*

475 *Luck, fate and chance*

476 In some cases, farmers were frustrated as they felt they were following best practice and
477 still ended up with ill lambs. They did not know how other farms could manage without
478 having to use antibiotics around lambing time. In the cases where farmers seemed to be
479 following good practice, getting incidents of neonatal lamb disease appeared to be
480 attributed to chance. Often, farmers said that some farms can "get away" with not using

481 antibiotics, suggesting these farmers do not experience the disease because they have
482 been lucky.

483 It is useful at this point to distinguish between luck, fate and chance (Ferguson and Cox,
484 1996). Fate refers to a sense that an event is predetermined, whereas chance is the
485 probability of an event happening and is linked to uncertainty. Luck is somewhat more
486 complex and relates to something that may be seen as a property of the person (an
487 internal factor) or the context (it was luck that x happened), but luck can be balanced: I
488 am a lucky/unlucky person, others are more or less lucky/unlucky compared to me or
489 that was a lucky/unlucky event.

490 The quote below relates to some farmers being luckier than others. Success is
491 determined by who you are.

492 *"Some farmers may need antibiotics more than others, whereas others would get*
493 *away with it. Sufficient colostrum has more to do with it than clean pens; you can*
494 *be cleaning pens out after every ewe and still get it."*

495 Some farmers indicated that even with good management practices lambs can become
496 ill and so use of antibiotics becomes the only option and is linked to the idea of chance
497 and fate.

498 *"Whatever system we use, whether we lamb outside, dip their navels, use*
499 *antibiotics; I don't think we can ever be freed of this threat."*

500 *"I hear other farms will get disease no matter what they do if they don't treat all*
501 *lambs with antibiotics, and it's not down to them being dirty. The ewe carries it*
502 *and it's in the shed from years of use."*

503 *"I agree about trying to keep sheds dry and clean, but this disease will cause*
504 *problems in flocks with good hygiene too."*

505 There was often the view that farms are not all the same and a practice that works for
506 one farm may not work for another. There was a perception of 'uniqueness' on every

507 farm and farms could not be compared. Farmers needed to consider the area of their
508 farm and season of lambing when controlling neonatal lamb diseases; again reflecting
509 uncontrollable factors linked to fate or chance.

510 *"You don't have to do anything wrong. One of my vets told me it depended what*
511 *area you were in to a degree. With having sheep in different locations I could*
512 *agree."*

513 *"There is a lot of difference in the day lengths and dampness between December*
514 *and March so you are not comparing like for like."*

515 Whilst most farmers appeared to be aware of the risks of antibiotic resistance through
516 using antibiotics for prevention, many argued that the control measures such as cleaning
517 out pens and putting down lime were not practical to implement. Again, this relates to
518 uncontrollable events like the weather and again chance.

519 *"I hear what he says but argue that practicality beats best practice when your*
520 *livelihood is on the line."*

521 *"In reality, when the weather is bad so you can't turn the ewes out and it's in the*
522 *early hours of the morning and you're tired no one is going to be cleaning out*
523 *pens."*

524 This argument for using prophylactic antibiotics over implementing control measures was
525 particularly prevalent later in the lambing seasons, once fatigue had begun to set in:

526 *"We didn't use iodine on all the lambs as fatigue and time were problems."*

527 *"At this time of year we get more cases because E. coli is building up in the sheep*
528 *and fatigue is taking its toll. We are not as observant in seeing triplets suck*
529 *properly. From now on all triplets will get a shot of spectinomycin."*

530 *External factors restrict farmers capabilities*

531 There were times where farmers wanted to use best practice but were restricted by
532 external factors. Farmers suggested that starting the lambing season later (around April

533 or May) provides a better environment for reducing disease burden due to more
534 favourable weather. However, for a few farmers, the market demand meant that they
535 have shifted their lambing time to "higher risk" periods of December and January when
536 the weather is generally colder and wetter. This means ewes and lambs were more likely
537 to be housed indoors, making it easier to transmit disease.

538 *"The drive from the meat buyers to lamb from early autumn is increasing every*
539 *year and they are putting forward good contracts to anyone who is prepared to*
540 *do so."*

541 *"We lamb early because our contract tell us when lambs are required for the*
542 *supermarket. We are producing for public demand rather than for the sake of it."*

543 The low profit margins for sheep meant that farmers were rearing larger flocks without
544 an increase in employment which puts strain on their capability to manage hygiene with
545 sheds at busy periods.

546 *"I can see why those lambing a lot of sheep see spectinomycin as sensible*
547 *insurance."*

548 For those that were still farming on a small scale, every lamb loss had a massive impact
549 on productivity.

550 *"I would ask whether [X] ewes would ever be worth the energy"*

551 *"I only have a handful of lambs born compared to many farms, so one ill lamb is*
552 *too much."*

553 Market demand also meant that some management practices that could potentially
554 reduce disease were not taken up. For example, one farmer stated that although
555 castrating lambs may result in more joint ill cases, they need to castrate ram lambs
556 otherwise their abattoir would not take them.

557 *"The testicles – many abattoirs don't want to see them unless we nip them at*
558 *weaning which leads to extra stress on the animals."*

559 Additionally, some farmers with joint ill problems were aware of a vaccine for a particular
560 causative agent, *Erysipelothrix rhusiopathiae*, which was available for pigs. However,
561 because it is not licenced for use in sheep in the UK, they were refused prescription by
562 their vet. *Erysipelothrix rhusiopathiae* is not the most common cause of joint ill in lambs
563 in the UK, but is most commonly associated in older lambs, with outbreak situations and
564 after tail docking or castration (Lloyd et al., 2016; Opriessnig et al., 2020).

565 *"I've begged for the Erysipelothrix but the vet won't let me have it."*

566 *"What are the legalities of the Erysipelothrix vaccine? My vet won't let me have it*
567 *but he lets me have other drugs off label. Why is the vaccine different?"*

568 Overall, this category highlights that there are many farmers who believe they are
569 capable of preventing neonatal disease through maintaining hygiene and optimal
570 colostrum quality without routine prophylactic use of antibiotics. It also highlights that
571 there are many barriers towards perceiving this capability. Farmers believed that
572 neonatal disease is partly down to luck, fate or chance that they had no control over the
573 disease, and that at the peak of lambing they were too tired or did not have enough time
574 to carry out the desired management practices. External factors such as market demand
575 and vet restrictions exacerbated this perception of lack of capability which influences the
576 routine use of antibiotics in neonatal lambs.

577

578 **Anticipated regret, negative emotions and experiential avoidance**

579 This final category centres on the negative emotions experienced at lambing time. These
580 emotions are linked to the concept of anticipated regret, that the farmer knows they will
581 regret it in the future if they do not act now. That is people are regret averse and thus
582 work to avoid actions that will induce regret (Loomes and Sugden, 1982).

583 The emotional strain of having animals die from diseases that are seen as preventable
584 affected farmers decision to treat lambs with antibiotics. Sometimes they have tried to
585 avoid routinely using antibiotics prophylactically at lambing time in the past but due to

586 bad experiences have resorted back to this use. The use of routine prophylactic
587 antibiotics was an act of experiential avoidance - an attempt to avoid uncomfortable
588 thoughts, feelings, experiences or memories (Hayes et al., 1996). They learn to cope
589 with the bad experience by controlling or avoiding it through routine prophylactic
590 antibiotic use.

591 *"The allow your flock to evolve [without antibiotics] argument almost broke me –*
592 *both financially and mentally – when it killed [x] percent of my lambs in 48 hours*
593 *a few years back. Best practice is an aspiration but surviving is a necessity."*

594 There was even a case when an outbreak not related to bacterial diseases, nematode
595 infection, spurred the use of blanket prophylactic antibiotic use in lambs. The farmer
596 wanted to ensure lambs were not dying from preventable disease because of the
597 negative emotions they had previously felt.

598 *"We had something similar with nematodirus a few years ago, never again do I*
599 *want to feel like that so we do the same [give spectinomycin to all lambs]."*

600 Occasionally, farmers said that they used antibiotics in all of their lambs because if they
601 did not use antibiotics then the lambs would die. Consequently, the use of antibiotics
602 was a matter of life or death. To them, lambs becoming ill was inevitable if they did not
603 routinely use antibiotics prophylactically. They were confident that prophylactic
604 antibiotics played an important role in their disease prevention and anticipated that they
605 would get neonatal disease if they did not use antibiotics.

606 *"If early lambing flocks can't use spectinomycin then it will mean increased use of*
607 *other drugs to treat lambs once they become infected."*

608 Thus, antibiotics were used in an attempt to minimise contact with unpleasant
609 experiences and provide instantaneous relief. Often, the immediate effects of
610 experiential avoidance are positive (Hayes et al., 1996). Indeed, the lack of lamb deaths
611 or cases of neonatal disease after the routine use of prophylactic antibiotics provided a

612 short-term positive effect and reinforcement for the behaviour, even though doing so
613 may create harm in the long run (Hayes et al., 1996).

614 *"I have used spectinomycin for the first time and I haven't any cases of joint ill*
615 *for the first time in years. It provides comfort."*

616 The routine use of prophylactic antibiotics to avoid neonatal disease - and the negative
617 emotions attached to it - prevent farmers from having experiences which challenge their
618 perceptions of how these diseases can be controlled. That is, the underlying problem
619 around neonatal disease control does not get resolved which exacerbates a long-term
620 reliance on antibiotics.

621 *"I have used oral antibiotics for years and it's great if you do it shortly after*
622 *lambing...if I skip a lamb they usually get watery mouth."*

623 *"I never looked back after using oral antibiotics."*

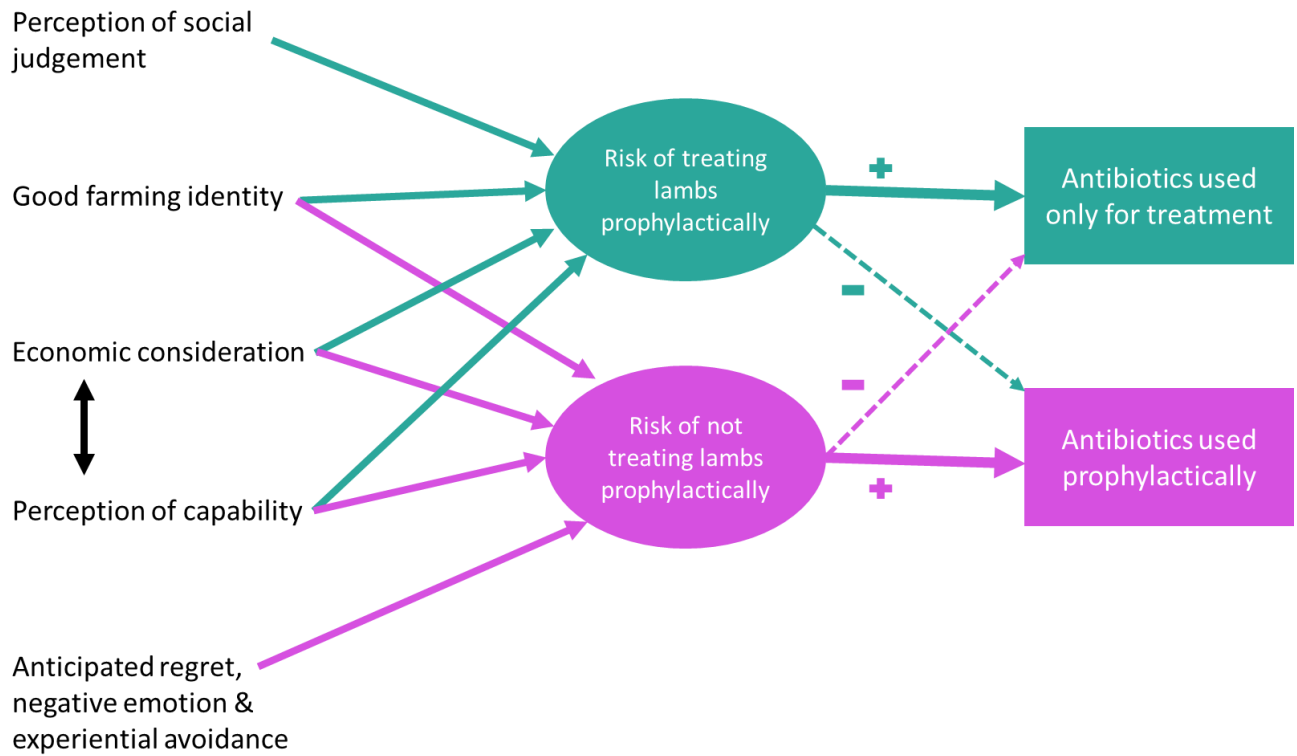
624 In some cases, farmers started using antibiotics prophylactically with their vet's
625 recommendations due to an outbreak on the farm. After this, farmers continued
626 prophylactic use in subsequent years, suggesting a new reliance on antibiotics. The use
627 of antibiotics was initially used as a useful short-term strategy to avoid the problem of
628 neonatal disease but then became a generalised and inflexible pattern of behaviour.

629 *"We usually only had a handful of cases each year which was manageable. A few*
630 *years ago, half way through lambing many lambs went down with joint ill. A third*
631 *had to be put down, as there was no improvement. That year and ever since, we*
632 *have given Betamox at 24 hours old and we haven't had a case since."*

633 This final category therefore illustrates that farmers often anticipate negative
634 consequences if they do not routinely use antibiotics in their lambs. This is sometimes
635 spurred by previous experiences and the negative emotions surrounding this. Farmers
636 use antibiotics to avoid feelings of regret and minimise distress.

637 **A Theoretical Framework for farmers' decision making around**
638 **prophylactic antibiotic use in lambs**

639 Through consideration of the results, a theoretical framework was produced to depict the
640 constructs that act upon sheep farmers' perception of risk around the prophylactic use of
641 antibiotics in their lambs (Figure 1). Their perceptions of risk consequently influences the
642 farmers' behaviour to either routinely use antibiotics prophylactically or use antibiotics
643 only for treatment. Economic considerations, good farming identity, and perception of
644 capability influence both the perception of risk around treating lambs with prophylactic
645 antibiotics and the risk around *not* treating lambs with prophylactic antibiotics.
646 Anticipated regret, negative emotions and experiential avoidance influences the
647 perception of risk around not treating lambs with prophylactic antibiotics. Whereas
648 perception of social judgement influences the perception of risk around treating lambs
649 with prophylactic antibiotics. Economic considerations and perceived capability influence
650 each other as the external perceptions of capability are caused by market demand.



651

652 *Figure 1: (Intended to be reproduced in colour on the Web (free of charge) and in black-*

653 *and-white in print).* Theoretical framework based on grounded theory analysis for the

654 *prophylactic use of antibiotics in neonatal lambs. The pink (light grey in print version) arrows*

655 *refer to factors relating to the perception of risk around not treating lambs prophylactically. The*

656 *blue-green (dark grey in print version) arrows refer to factors relating to the perception of risk*

657 *around treating lambs prophylactically. Plus signs indicate a positive influence on the outcome and*

658 *minus signs indicate a negative influence on the outcome.*

659

660 **Quantitative analysis: Natural language processing content**

661 **analysis**

662 The scores for analytical thinking and authenticity were significantly lower in the study

663 group compared to the control groups ($P < 0.01$) (Table 3). Although there was no

664 significant difference between groups for emotional tone, the score of emotional tone

665 was below 50 suggesting greater negative emotional tone in the study group.

666 **Table 3: Mean and median scores for the four summary language variables in the study**
 667 **group and the control groups, and the results from the Kruskal Wallis test and post-hoc**
 668 **Dunn's test**

	Neonatal disease (N=111)		Grassland (N=108)		Weather (N=93)		P	Dunns test
	Mean	Median (IQR)	Mean	Median (IQR)	Mean	Median (IQR)		
Analytical thinking	61.87	65.26 (48.92- 79.61)	68.40	70.61 (56.34- 83.57)	73.30	82.37 (61.17- 93.26)	<0.01	EP
Clout	48.77	49.17 (33.19- 66.17)	48.88	50.00 (30.55- 66.19)	47.27	46.55 (32.99- 60.66)	0.81	-
Authenticity	47.46	48.27 (26.45- 65.58)	61.77	65.74 (39.44- 88.90)	73.94	85.21 (64.56- 93.70)	<0.01	EP
Emotional tone	42.85	37.41 (25.77- 66.06)	51.55	47.90 (25.77- 79.34)	51.71	51.03 (25.77- 80.64)	0.07	-

669 EP= probabilities were different within each pair of forums groups

670 The relative frequencies of word categories for the study group (neonatal disease) and
 671 the two control groups (grassland and weather) along with the results for the Kruskal
 672 Wallis test with the post-hoc Dunn's test are presented in Table 4.

673 **Table 4: Median and median relative frequencies of words used in the study group and**
 674 **control groups, and the results on the Kruskal Wallis test with post-hoc Dunn's test**

	Neonatal disease (N=111)		Grassland (N=108)		Weather (N=93)		P	Dunns test
	Mean	Median (IQR)	Mean	Median (IQR)	Mean	Median (IQR)		
Function words (e.g. it, to)	51.30	51.63 (48.48- 54.63)	51.17	50.88 (47.48- 55.06)	46.37	45.95 (42.86- 50.80)	<0.01	W-G W-N
Conjunctions (e.g. and, but)	6.95	6.90 (5.56- 8.24)	5.99	6.07 (4.52- 7.55)	5.43	5.17 (3.77- 6.98)	<0.01	W-N G-N
Negations (e.g. no, not)	2.15	2.11 (0.96- 2.92)	1.72	1.58 (0.00- 2.76)	1.21	0.93 (0.00- 2.17)	<0.01	EP
Cognitive processes (e.g. cause, know)	14.55	13.38 (11.30- 17.95)	11.72	11.18 (9.05- 14.00)	8.09	8.57 (4.88- 10.19)	<0.01	EP
Social processes (e.g. mate, talk)	5.90	5.59 (3.57- 8.16)	5.67	4.79 (3.03- 7.81)	3.20	2.78 (0.00- 4.61)	<0.01	W-G W-N
Risk words (e.g. danger, doubt)	1.21	0.81 (0.00- 1.82)	0.47	0.00 (0.00- 0.49)	0.52	0.00 (0.00- 0.51)	<0.01	W-N G-N

Money words	0.65	0.00	0.56	0.00	0.30	0.00	<0.01	W-G
(e.g. cash, owe)		(0.00- 0.91)		(0.00- 0.85)		(0.00- 0.00)		W-N

675 W-N= probabilities were different within the weather group and the neonatal lamb group, G-N= probabilities
676 were different within the grassland group and the neonatal lamb group, W-G= probabilities were different
677 within the weather group and the grassland lamb group, EP= probabilities were different within each pair of
678 forums groups

679 **Integration of the quantitative and qualitative analysis**

680 To integrate and report the results of the qualitative and quantitative analysis, a cross
681 tabulation of results is presented in Table 5. The possible outcomes of convergent,
682 complementarity, expansion and divergent results were assessed (Fetters and Molina-
683 Azorin, 2019; Morgan, 2019). These are considered in detail in the discussion section.

684

685 **Table 5: Assessment and integration of qualitative and quantitative results to**
 686 **understand farmers' decision making around routine prophylactic antibiotic use in lambs**

	Qualitative results	Quantitative results
Convergent results	The decision making is based on the consideration of two opposing types of risk: (1) the risk of not treating lambs prophylactically with antibiotics and (2) the risk of treating lambs prophylactically with antibiotics.	Frequency of risk words (e.g. danger, doubt) was significantly higher in the study group compared to both control groups.
	Economic pressures were considered for both types of risk.	Frequency of money words was significantly higher in the study group compared to the weather control group.
	Feelings of regret around not treating neonatal lambs prophylactically with antibiotics puts emotional strain on the farmer.	Frequency of cognitive processes words was higher in the study group compared with the control group.
		Frequency of negations was higher in the study group compared with the control group.
		Emotional tone score was below 50 in the study group.

	Farmers who used antibiotics only for therapeutic treatment in lambs felt a social and moral responsibility for appropriate antibiotic use.	Frequency of conjunctions and negations were higher in the study group compared with the control group.
Expansion results	A farmers' perceived capability can influence their perception of risk relating to antibiotics.	Frequencies of cognitive processes words, conjunctions and negations were significantly higher in the study group compared to control groups.
	Farmers who used antibiotics only for therapeutic use in their lambs were influenced by social judgements.	Scores for authenticity were significantly lower in the study group compared to the control group.
	Farmers justified their prophylactic antibiotic use through concepts of luck and uniqueness.	Analytical thinking scores were significantly lower in the study group compared to the control groups.
	There were conflicting perceptions of the good farming identity.	Frequencies of cognitive processes words, conjunctions and negations were significantly higher in the study group compared to control groups.

687

688

689 Discussion

690 To the authors' knowledge, this is the first paper to use natural language processing
691 (NLP) content analysis to understand farmers' opinions around antibiotic use.
692 Additionally, it is the first study in the veterinary field to integrate quantitative NLP data
693 with qualitative data. The benefits of data analysis triangulation were of increased
694 validity and completeness of the results and further insights into farmers' decision
695 making process. For example, perception of risk was identified in the qualitative analysis
696 as an important factor influencing antibiotic use behaviour. Analysis via NLP analysis
697 showed convergent findings with an increased frequency of risk focus words when
698 farmers were writing about antibiotic use for neonatal disease compared to the control
699 groups.

700 The use of data triangulation allowed the generation of more meaning from the data and
701 therefore enhance the inferences made from the data. Whilst the qualitative analysis
702 presented what was being said by the farmers, the quantitative analysis uncovered the
703 underlying style and tone of the text. For example, social judgement was identified as an
704 influence of risk perceptions in the qualitative analysis and the NLP analysis expanded
705 this interpretation by identifying a guarded and distanced tone within the text.
706 Furthermore, data triangulation meant that more findings were identified than would be
707 possible using either method alone. The conflicting good farming identities requires an
708 in-depth understanding of the farmers values and experiences that can be achieved
709 through qualitative analysis. The good farmer identities would unlikely to have been
710 established in this research if NLP analysis was used alone.

711 This analysis showed that farmers were undertaking two types of risk assessment: (1)
712 the risk of using antibiotics only for treatment and (2) the risk of routine use of
713 prophylactic antibiotics in lambs. Key findings of this study include the disassociation of
714 oral antibiotics from other types of antibiotics within the sheep farming field and the role

715 of luck and uniqueness on farmers' perceptions of capability. These findings are
716 discussed within the categories below.

717 **Good farmer identity**

718 How the farmer perceives their identity plays a key role in whether they routinely use
719 prophylactic antibiotics in all lambs or not. In both situations, farmers are trying to 'do a
720 good job' (Zinn, 2017). There were a high frequency of cognitive process words,
721 conjunctions and negations in the study group compared with the control groups which
722 reflect high cognitive complexity (Tausczik and Pennebaker, 2010). Cognitive complexity
723 is a marker of debate such as recognising conflicting goals or alternative viewpoints
724 (Brundidge et al., 2014; Wyss et al., 2015). Therefore, the high cognitive complexity
725 may be due to the debates around the conflicting good farmer ideals surrounding
726 antibiotic use.

727 'Good farming' draws on Bourdieu's concepts of cultural capital, habitus and field
728 (Bourdieu, 1984; Bourdieu and Richardson, 1986). The cultural capital refers to the
729 symbols of social status. Embodied in cultural capital is habitus, which is the skills, habits
730 and dispositions engrained through experience. The field refers to the particular sector in
731 the social world that have their own set of rules to achieve cultural capital; sheep
732 farming could be seen as its own 'field'. Good animal welfare is a recognised and
733 accepted symbol of 'good farming' by farmers (Bellet, 2018), but responsible antibiotic
734 use may be a relatively new symbol that not every farmer has recognised yet.

735 The concepts of habitus and field may play a role in normalisation of routine prophylactic
736 antibiotic use in neonatal lambs. Farmers disassociated the use of oral antibiotics for
737 prevention from other types of antibiotic use such as parenteral antibiotics, where oral
738 antibiotic use was seen as more acceptable. This shows that using oral antibiotics was
739 considered a normal part of lambing time, and developed into a cultural norm. However,
740 the rules of the game within the field of sheep farming are still developing and some
741 farmers have started to question this practice. The cultural capital achieved through not
742 routinely using antibiotics prophylactically was a particular influence for those that see

743 responsible antibiotic use as a good farming symbol. These farmers have accumulated
744 capital through perceived approval from people other than farmers, such as their
745 veterinarian, consumers and policy makers. In other words, they have observed the
746 injunctive norms surrounding antibiotic use. This has allowed them to develop a new
747 good farming symbol that incorporates both good animal welfare and responsible
748 antibiotic use.

749 The study also highlights how the rules of the game can change and how new good
750 farming symbols can be established. It also shows how habits can be broken, and that
751 use of prophylactic oral antibiotics around lambing time can become 'de-normalised'.
752 Some farmers talked about gradually reducing the use of prophylactic antibiotics over
753 time and realising that this did not have any negative consequences. Through learning
754 and routinizing the practice of not using prophylactic antibiotics, their concept of what is
755 considered risky shifted. As they gradually reduced their prophylactic use, they learnt
756 that this risk of not using prophylactic antibiotics in their lambs is not as high as
757 expected. Through this gradual exposure, they were re-learning that there was a one to
758 one link between not using antibiotics prophylactically and good outcomes.

759 **Economic constraints and the impact on perceived capability**

760 The economics of prophylactic antibiotic use was considered on both sides of the risk
761 argument. Words related to money were used more frequently in the study group
762 compared to the weather control group. The qualitative analysis revealed that in the
763 short term the use of antibiotics for prevention of disease was thought to reduce
764 production losses. However, in the long term, a reliance on cheap antibiotics may cause
765 antibiotic resistance and therefore a need for more expensive antibiotics or even lamb
766 deaths. As antibiotic resistance is perceived as an issue which will be encountered long
767 into the future, some farmers consider this to be less important than the challenges they
768 face in the present (temporal discounting). Farmers may value the present certainty of
769 healthy lambs more than the uncertain future positive effect of preventing antibiotic
770 resistance.

771 There were also external economic constraints impacting on the way in which farmers
772 carried out management practices, which in turn could affect the levels of disease in the
773 flock. Sheep farms typically have a much lower business income than other types of
774 farming in the UK such as dairy, poultry and arable (DEFRA, 2019). Much like in lower
775 income countries, sheep farmers are restricted by economic and biological margins which
776 may result in reliance of antibiotics (Hinchliffe et al., 2018).

777 These external economic constraints of sheep farming were shown to impact farmers'
778 perceptions of being able to control neonatal disease. Although all farmers recognised
779 that good hygiene practices may prevent neonatal disease, these were often seen as not
780 practical to always implement. Thus, at the height of lambing season, farmers may lose
781 the psychological or physical capacity or capability to engage in good hygiene practices;
782 turning to prophylactic antibiotics to regain control over the situation. Capability is one of
783 three necessary conditions for a volitional behaviour to occur (Michie et al., 2011). In the
784 quantitative analysis, a significantly higher amount of cognitive words, conjunctions and
785 negations highlight that farmers' cognitive load is higher which may impact their
786 psychological capacity (Tausczik and Pennebaker, 2010).

787 However, there were cases where not all of the credit for preventing neonatal disease
788 was attributed to the farmers' ability. Some farmers expressed that having healthy
789 lambs without using antibiotics was not solely credited to the farmers' skill but also to
790 luck. We show that farmers clearly distinguish between fate, chance and luck. Some
791 farmers were seen as just unlucky and this indicates that for them, interventions are less
792 likely to be perceived as effective as they attribute negative outcomes to their bad luck.
793 Likewise, if farmers believe that diseases are due to chance – and thus uncontrollable –
794 then it is much harder to convince them that change in behaviour or policy will make a
795 difference.

796 There was also another related concept of a farms 'uniqueness' that emerged. Farmers
797 may be equally skilled but the uniqueness of their farm might mean they are not as
798 capable to prevent neonatal disease. The perception of uniqueness on sheep farms has

799 already been reported by Kaler and Green (2013). They suggest that a lack of records
800 and on-farm data collection adds to this perception of uniqueness, as farmers are not
801 able to compare each other. Indeed, this might also be the case for sheep farmers'
802 comparisons of antibiotic use as there is currently a lack of data around antibiotic use in
803 the sheep sector (RUMA, 2019b; Doidge et al., 2020). This perception of uniqueness of
804 every farm could possibly explain why the scores for analytical thinking were lower in the
805 study group compared with the control groups (Pennebaker et al., 2014).

806 **Anticipated regret, negative emotions and experiential avoidance**

807 There was a largely emotional element to motivation for the prophylactic use of
808 antibiotics identified in the current study, a construct not widely researched or reported
809 in farmer decision making. Previous experiences, where lambs have died as a result of
810 potentially preventable diseases such as watery mouth and joint ill were leading to such
811 emotional motivation. This is an example of volition in risky behaviour (Nordgren et al.,
812 2007). The choice to use or not use antibiotics prophylactically in lambs is a voluntary
813 risk. Therefore, if a negative outcome occurs because the farmer has chosen not to use
814 antibiotics prophylactically, the farmer will feel personally responsible for the deaths and
815 this leads to regret. Farmers can anticipate the threat of regret, which leads to reduced
816 tendency for risky behaviour. The risky behaviour in this case is not using antibiotics for
817 prevention of disease in lambs.

818 Farmers attempted to avoid the negative experiences and feelings associated with
819 preventable neonatal disease through the use of prophylactic antibiotic use, which could
820 be an example of experiential avoidance (Hayes et al., 1996). Experiential avoidance has
821 been linked to certain behaviours such as poor physical activity, as well as various forms
822 of psychopathology, for which interventions such as exposure therapy may overcome
823 (Kashdan et al., 2006; Newman and Llera, 2011). In exposure therapy, patients are
824 exposed to the feared emotional stimuli in a graduated manner. The exposure could be
825 to an object, situation, or activity. For farmers with experiential avoidance, the exposure
826 would be to the distressing situation of not using antibiotics prophylactically in their

827 lambs. We are not suggesting there is a direct linkage in our study population with this
828 construct, but it raises the possibility of its role and especially techniques such as
829 exposure therapy that could to be used for changing behaviour of routine prophylactic
830 use. In our study, we saw accounts of farmers gradually reducing their antibiotic use
831 over their lamb crop to successfully discontinue their habitual prophylactic use of
832 antibiotics without causing excessive distress to the farmers. This has similarities with
833 exposure therapy as farmers were progressively exposed to the fears and worry of not
834 using antibiotics prophylactically. This may be an effective strategy for behaviour change
835 that could be investigated in the future.

836 There was also a lower emotional tone score for the study group compared with control
837 groups which suggests a negative emotional state. Other word categories within the
838 LIWC dictionary may be additional identifiers of a negative emotional state. For example,
839 use of negations was negatively correlated with indicators for positivity (Hancock et al.,
840 2007; Pang et al., 2020). The high frequency of negation words used by contributors in
841 the neonatal lamb threads may be indicative of their low mood due to anticipated regret.

842 **Perception of social judgement**

843 Farmers who did not use antibiotics for prevention in their lambs were aware of the
844 social implications around the risk of antibiotic overuse. For these farmers, the
845 motivation for limiting antibiotic use was their perceived judgement from society. The
846 farmers also felt a moral responsibility to ensure they were using antibiotics
847 appropriately. When people describe pursuing a duty or something they ought to do,
848 they use more exclusive words such as negations and conjunctions (Vaughn, 2018).
849 Therefore, the high frequency of conjunctions and negations in the neonatal lamb posts
850 could be explained by farmers' feelings of social or moral duty around their antibiotic
851 use.

852 The farmers appeared aware that the forum was publicly available and were concerned
853 that members of the public could see other farmers expressing a reliance on antibiotics.
854 This may be one of the reasons why the scores for authenticity were lower for the study

855 group compared to the control groups. The lower score suggests a more guarded
856 discourse, perhaps because of the farmers' fear of social judgement.

857 Farmers who used prophylactic antibiotics in their lambs were perhaps less susceptible
858 to the social pressures around reducing their antibiotic use. They avoided or dismissed
859 conversations around antibiotic use with their vets. Previous studies have shown that
860 promoting disease prevention to farmers can be difficult for farm vets (Ruston et al.,
861 2016). For farmers who are not susceptible to social pressures, it may be useful to
862 target the other constructs identified in this study, such as experiential avoidance and
863 perceived capability, to improve their antibiotic stewardship.

864 The use of data from online forum posts means that the study findings were restricted to
865 farmers with internet access who were aware of the farming-based discussion forum.
866 The demographics of farmers in this study were unable to be obtained. The naturalistic,
867 unobtrusive approach to data collection meant there was an inability to ask for
868 elaboration or clarification of points made by forum posters. However, this approach was
869 likely to result in less social desirability bias than interview or survey data collection
870 methods.

871

872 **Conclusions, Research and Industry Implications**

873 This study used method triangulation of discussion forum posts to understand farmers'
874 decision making around prophylactic antibiotic use in lambs. Farmers must consider two
875 opposing risks in the decision to use antibiotics in neonatal lambs: (1) the risk of only
876 using antibiotics in neonatal lambs for the treatment of disease and (2) the risk of
877 routinely treating lambs with prophylactic antibiotics. These risks were influenced by
878 their economic considerations; perception of social judgement; perceived capability;
879 anticipated regret, negative emotions and experiential avoidance; and their good
880 farming identity.

881 In terms of research implications, the study presented reasons why farmers perceived
882 risks of not treating lambs with antibiotics as high, which included some previously
883 identified constructs such as lack of psychological or physical capacity or capability to
884 control neonatal disease, and economic constraints. Constructs relating to concepts of
885 luck and uniqueness mean that farmers may not adhere to best practices for the
886 prevention of neonatal disease. This suggests that farmers need personal advice on their
887 antibiotic use rather than relying on the sector-wide information sources that are
888 currently available.

889 On the other hand, the research also provides evidence of how farmers have successfully
890 stopped their prophylactic use habits. Gradual exposure to more responsible antibiotic
891 use during lambing time may help to counteract farmers' experiential avoidance.
892 Additionally, the research shows that judgement from consumers and authorities was an
893 important factor in these farmers perception of risk around using antibiotics
894 prophylactically. Appropriate or responsible antibiotic use was an important part of their
895 identity as a good farmer. Prophylactic antibiotic use could be reduced through
896 developing an increased recognition of appropriate antibiotic use as a symbol of good
897 farming. For successful behaviour change, it is particularly important to de-normalise the
898 use of prophylactic antibiotics around lambing time as there is a currently a
899 disassociation of oral antibiotics from other types of antibiotics within the sheep farming
900 field. These results can be used to inform knowledge exchange and intervention
901 strategies around prophylactic antibiotic use in lambs to improve antibiotic stewardship
902 in the sheep farming sector.

903

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