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FINAL VER

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WORLD MARITIME UNIVERSITY Malmö, Sweden

INVESTIGATING THE USE OF TECHNOLOGY TO REDUCE MARITIME ACCIDENTS CAUSED BY HUMAN ERROR IN THE REPUBLIC OF KOREA

HAN SEUNGHYUN Republic of Korea

A dissertation submitted to the World Maritime University in partial fulfilment of the requirements for the reward of the degree of

MASTER OF SCIENCE in MARITIME AFFARS

(MARITIME SAFETY AND ENVIRONMENTAL ADMINISTRATION)

2020

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Declaration

I certify that all the material in this dissertation that is not my own work has been identified, and that no material is included for which a degree has previously been conferred on me.

The contents of this dissertation reflect my own personal views, and are not necessarily endorsed by the University.

(Signature):

(Date): 15th September, 2020

Supervised by: Associate Professor Dimitrios Dataklis Supervisor's affiliation: MSEA ASSOCIATE PROFESSOR, WALL

Acknowledgments

First of all, I would like to express my gratitude to my family who supported me and lived with me in Sweden while writing this dissertation. In particular, I would like to express my gratitude to my wife, who had been living abroad for the first time, and the children who tried to adapt to the new environment in Sweden. In addition, I would like to express my special gratitude to the Koreans living in Sweden for helping me a lot in my unfamiliar Swedish life.

The government of the Republic of Korea allowed me to study a master's degree at World Maritime University to gain an international understanding of maritime safety and the shipping industry. The trends and expertise on maritime safety and the shipping industry learned at the WMU are expected to bring a broader perspective and expertise when returning to Korea to carry out related tasks.

Lastly, I would like to express my gratitude to the professor who helped me during my studies and to the WMU faculty members for supporting me and my family in every little thing for a happy Swedish life.

Abstract

Title of Dissertation: Investigating the use of Technology to reduce maritime accidents caused by human error in the republic of Korea

Degree: Master of Science

After the Titanic accident in 1912, the international community has made continuous efforts to increase the level of safety at sea and to prevent maritime accidents. Due to the development of shipbuilding and safety technology, the hardware safety factor has been continuously improved, but maritime accidents still occur. It is noteworthy that the causes of such maritime accidents are mostly due to human error, which accounts for 90%. With the recent development of advanced technologies such as IT, AI, and sensors, various discussions are underway for the introduction of such technical measures to reduce human errors.

The Republic of Korea is one of the countries with a very high dependence on maritime activities, relying on sea logistics for 99% of the total import and export, and consumes about 78.5 tons of seafood per person. Unfortunately, there are about 2,000 maritime accidents each year, and due to this about 110 people lost their lives. Therefore, reducing maritime accidents caused by humans is an essential task for the sustainable development of the shipping industry and the protection of people's lives.

This dissertation aims to investigate the development and application of technologies for reducing maritime accidents caused by human error, and to suggest which applications to reduce human error are suitable for the coastal area of Korea. To this end, the status of maritime accident statistics, coastal maritime traffic environment, and maritime safety technology was investigated and analysed, and based on these data, the priority of human error reduction technologies applicable to the coast of Korea was derived. In addition, a case study of frequent maritime accidents was conducted to compensate for the lack of data. Finally, based on the data thus derived, five measures are suggested, including the introduction of collision avoidance, the development of a decision support system suitable for small ships such as fishing boats, and the development of software to reduce human error based on maritime safety communication infrastructure.

Even if such human error reduction technology is introduced, it will not be possible to eliminate maritime accidents due to human error. However, reliable technology can help minimize the defect of human error. Human error is caused by the interrelationship of a wide variety of internal and external factors. Therefore, social, geographic, and environmental analysis of the sea area to which human error reduction technology will be applied is an important factor in the development and introduction of related technologies, and more detailed research should be conducted in henceforward.

KEYWORDS: Human error, Safety, Technology, Korea, fishing boat, Maritime accident

Table of contents

| Declarationi |
|---|
| Acknowledgmentsii |
| Abstractiii |
| Table of contentsiv |
| List of Tablesvi |
| List of Figuresvii |
| Chapter 1. Introduction1 |
| 1.1. Background and definition of safety technology1 |
| 1.2. Aim and objectives |
| 1.3. Scope & limitations |
| 1.4. Research Methodology5 |
| Chapter 2. Overview of the human error in the Maritime sector7 |
| 2.1. Overview of human error7 |
| 2.2. Regulatory approach toward human factor9 |
| Chapter 3. Statistics and status of maritime accidents and human error12 |
| 3.1. Statistics of maritime accident-related human error 12 |
| 3.2. Overview of the maritime accident in the Republic of Korea13 |
| 3.3. Statics of maritime accidents caused by human error in the Republic of Korea 16 |
| Chapter 4. Analysis characteristic of Maritime safety in the Republic of |
| Korea |
| 4.1. Overview of the policy of maritime safety in the Republic of Korea 20 |
| 4.2. Maritime traffic environment of the Republic of Korea |
| 4.3. Analysis of vessel operation characteristics related to fishing boats22 |
| 4.4. The environment of the seafarers according to the characteristics of the ship 23 |

| Chapter 5. Status of safety technology of reducing human error |
|---|
| 5.1. Overview of safety technology to reduce human error |
| 5.2. Development status of safety technology in the Land and Aviation |
| transportation sector |
| 5.3. Development status of safety technology in the maritime transportation sector 30 |
| 5.4. The gap between maritime transportation and other sectors |
| 5.5. The maritime safety technology in the Republic of Korea |
| Chapter 6. Discussion on the human error reduction technology in the Republic |
| of Korea |
| 6.1. Risks Assessments of safety technology caused by human error |
| 6.2. Case Analysis of the maritime accidents caused by human error |
| 6.3. The development suggestion of human error reduction technology considering |
| the coastal traffic environment of maritime transportation and the characteristics of |
| the seafarers |
| 6.4. Tasks other than human error reduction technology51 |
| Chapter 7. Conclusions and Suggestions52 |
| 7.1. Conclusions |
| 7.2. Suggestions |
| References |

List of Tables

| Table 1. Ship safety technologies 2 |
|--|
| Table 2. Traditional technology for Navigation Safety |
| Table 3. The normal flow of regulations of human error in the maritime sector 11 |
| Table 4. Overview of maritime accident for the Republic of Korea 13 |
| Table 5. Statistics of marine accidents by vessel tonnage |
| Table 6. Maritime Accident Life Loss Statistics |
| Table 7. Statistics on types of maritime accident |
| Table 8. Statistics on the causes of maritime accidents |
| Table 9. Statistics by cause of maritime accident and type of accident |
| Table 10. Organization of maritime safety in the Republic of Korea 20 |
| Table 11. Port entry and departure trends in the Republic of Korea 22 |
| Table 12. Current Status of Registered Ships in Korea (Ministry Ocean and Fisheries, |
| 2016) |
| Table 13. Status of Small fishing boat 23 |
| Table 14. Seafarers statistics |
| Table 15. Current status of the age distribution of seafarers in The Republic of Korea |
| (2015) |
| Table 16. Process of decision making 26 |
| Table 17. Korean e-Navigation research and development major tasks |
| Table 18. Risk assessment for human error reduce technologies |

List of Figures

| Figure 1. Research Methodology 6 |
|--|
| Figure 2. The SHELL model |
| Figure 3. Domino Theory |
| Figure 4. Swiss cheese theory |
| Figure 5. Statistics of causes of the maritime accident 12 |
| Figure 6. Strategic domain for driving support system technology (source: IATSS |
| RESEARCH Vol.30, 2006) 27 |
| Figure 7. Technical diagram of FCA and FCW (Source from: Trends in Auto Tech) 29 |
| Figure 8. GICOMS configuration diagram |
| Figure 9. GICOMS data connection diagram |
| Figure 10. Korea e-Navigation at a glance |

Chapter 1. Introduction

1.1. Background and definition of safety technology

When drivers are in a hurry to drive a car, they sometimes forget to wear a seat belt. If the driver starts the engine without wearing a seat belt, perhaps a loud alarm will continue to sound until the they wear the seatbelt; in some cases, the car may not start. This is one of the technological devices to reduce human error to prevent accidents and to minimize the damage of accidents by informing when drivers forget to wear a seat belt. This technology is very simple but very efficient. Since the introduction of seat belt alarm technology, the wearing rate of seat belts in front seats has increased by 95% and the death rate of traffic accidents has decreased by 45% (Fildes, Fitzharris, Koppel & Vulcan, 2014).

As described above, safety technologies for reducing human errors have already spread to the unrecognized area. This is true not only for the land transportation but also for the maritime and air transportation sectors. Each transportation means is used to transport passengers or cargo, and is operated according to the relationship between each environment according to the characteristics of the transportation means. Since the Industrial Revolution, these transportation methods have been continuously developed, and the technological incompleteness that caused accidents at the beginning of transportation was complemented by the development of related technologies. Paradoxically, even though the hardware defects in transportation have been greatly reduced due to this innovative technological development, accidents continue to occur. It has been recognized that many of these accidents are caused by human error through the analysis of various accidents, and related industries and the international community have continued various efforts to control human factors for accident reduction.

The maritime transport sector has also made continuous efforts to increase the level of safety at sea and reduce maritime accidents. After the Titanic Accident in 1912, the International Maritime Organization (IMO) established the Safety of Life at Sea (SOLAS) Convention to prevent maritime accidents, and 166 countries around the world ratified It (IMO, 2020). The initial SOLAS Convention was aimed at establishing international standards for the structure and safety equipment of ships to prevent major maritime accidents. However, due to technological development and the international community's efforts to reduce maritime accidents, maritime accidents due to structural defects of ships have relatively decreased, but the proportion of accidents due to human errors has continually increased. Crucially, several major maritime accidents¹, such as the Herald of Free Enterprise incident in 1987, once again reminded the seriousness of accidents caused by human error, For these reasons, the International Maritime Organization established the International Safety Management Code (ISM Code). It was implemented worldwide in 1998. The ISM Code fulfils its role as a software international regulation to reduce human errors. The ISM Code will be discussed in more detail later.

In this way, the international community's institutional efforts to prevent maritime accidents have continued, along with the development of it. Various safety applications are applied to ships. In broad terms, there will be technologies for human safety, navigational safety, and cargo safety.

| Categories | Related technology | Etc | | |
|--|--|---|--|--|
| Human safety (Lifesaving and Firefighting) | Marine Evacuation System, Firefighting system, Personal protection equipment | Hull damage | | |
| Navigational safety | ARPA (Automatic radar plotting aid) Radar, ECDIS (Electronic Chart Display and Information System), GMDSS (Global Maritime Distress and Safety System) | monitoring and response technology, Ship engine maintenance and repair monitoring | | |
| Cargo safety | Oil spill blocking and response technology, Cargo monitoring system | system | | |

Table 1. Ship safety technologies

¹ 1987. 3. Passenger ship Herald of Free Enterprise overturned accident (188 people died): sailed from the port of Belgium without closing the water tight door, 1989. 3. Oil tanker Exxon Valdez stranded accident (45,000 tons of crude oil spilled): Shipped at 140,000 tons of crude oil at Valdez Port, Alaska, USA, 1990. 4. Scandinavian Star fire accident (159 deaths)-Passenger ship sailing the North Sea

The two indicative examples of technology applications used to increase safety at sea are lifesaving and fire extinguishing equipment. Related equipment, such as closed lifeboats and self-expanding lifejackets, has continued to develop technologically to increase the probability of lifesaving. The fire extinguishing technology may be a fixed CO2 fire extinguishing system or a fire detecting system. However, the lifesaving and fire extinguishing safety technologies are not to reduce human errors but a measure to minimize damage in the event of an accident. Perhaps the safety technology for reducing human errors has been applied the most in the navigation safety (Rothblum, 2000).

| Technology | Functions |
|---|------------------------|
| Radar (S-Band, X-Band) | Detection, Information |
| ARPA (Automatic radar plotting aid) | Information, Warning |
| ECDIS (Electronic Chart Display and Information System) | Decision supporting |
| AIS (Automatic identification system) | Information |
| GPS (Global Positioning System) | Information |
| Echosounder | Information, Warning |
| GMDSS (Global Maritime Distress and Safety System) | Communication |
| Gyrocompass | Information |
| Auto Pilot | Support |

Table 2. Traditional technology for Navigation Safety

The human error reduction safety technology discussed in this dissertation is intended to be defined as it applied to ships and land to support decision-making by operators to prevent accidents of ships and prevent judgment errors in advance. In addition, this dissertation intends to limit the scope of human error reduction technology to the field of navigational safety in ships and land support facilities. Also, it is intended to include non-human navigation assistance applications, such as artificial intelligence (AI) or algorithms for predicting risk. Human error is caused by complex interrelationships of very various factors, so it is very diversely defined according to the field and situation (Er, 2005). However, in this dissertation, human error is defined as a mistake in the decision-making process related to the operation of a ship or as a mistake due to external and internal influences.

1.2. Aim and objectives

Despite the development of maritime safety technology, maritime accidents continue to occur. According to Allianz Insurance statistics (see Figue.5), about 75% of maritime accidents are caused by human error. Such accidents caused by human error have caused many lives and property loss in the world. In addition, for the sustainable development of shipping, which accounts for more than 90% of global logistics, the reduction of maritime accidents due to human error is an essential task.

Until now, the international community counting on IMO has continued institutional efforts to reduce human error through the SOLAS Convention and STCW (Standards of Training, Certification and Watchkeeping for seafarers 1978), Convention. However, human error is not caused by human nature but is caused by complex causes by various external and internal factors, so there is a limit to reducing it only by institutional efforts. Innovative developments in recent Information technology (IT) and sensor technologies have enabled support to effectively reduce human error in the transportation sector. In the field of land and air transport, human error reduction technology is already applied.

The coast of the Republic of Korea is a sea area where many ports are located, various types of ships are operating, and many fishing activities are carried out. In particular, according to statistics from the Korean Maritime Safety Tribunal, about 90% of maritime accidents are caused by human error, so reducing accidents due to human error is a very urgent task in the Republic of Korea. Therefore, this dissertation aims to investigate which human-error reduction technology is suitable for the coast of the Republic of Korea to effectively reduce accidents caused by human error.

For this purpose, this dissertation is to review the current status of related technologies for the reduction of accidents caused by human errors, which account for the highest proportion of maritime accidents, and to analyse the environment related to maritime traffic and human factors. To this end, this dissertation first attempts to analyse the current state of maritime accidents caused by human errors and to find out the current status of relevant international regulations. Secondly, the

current status of safety technologies for reducing human errors that have been developed and applied will be examined, and thirdly, the maritime traffic environment and human factors in the Republic of Korea will be analysed. Lastly, based on the above survey, it is intended to derive what kind of human error reduction technology is suitable for the coastal environment in the Republic of Korea and propose a development plan.

1.3. Scope & limitations

The scope of this dissertation covers navigational safety-related technologies for cargo ships, passenger ships, fishing boats, and leisure vessels operating off the coast of Korea (including the Exclusive Economic Zone). Military vessels and coastguard vessels not intended for commercial purposes are excluded. Among the various safety applications applied to ships, it is limited to the navigators. It also includes ships, as well as related technologies, applied on-land facilities.

1.4. Research Methodology

This dissertation will be carried out in five steps using a combination of qualitative and quantitative techniques. This dissertation is divided into 7 chapters. The first chapter is an introduction, describing the reasons for selecting the subject of this dissertation, the definition of key keywords, and the purpose of the dissertation. The second chapter presents related theories to help understand human error, which is the most important topic of this dissertation. In this chapter, literature surveys were mainly used. The third chapter analyses statistics on maritime accidents caused by human error. In this chapter, qualitative research skills related to statistical analysis were applied. The fourth chapter analyses the maritime traffic environment on the coast of the Republic of Korea to which human error reduction technology will be applied. This chapter investigates and analyses the general overview of Korea's maritime traffic safety policy, as well as statistics on registered ships, port layout, topographic characteristics, and seafarer related phenomena. This chapter applied

qualitative research skills and literature review. The fifth chapter investigates the current status of maritime safety technology. This chapter investigates and analyses the international community's discussion trends on major safety technologies related to human error reduction technologies, the development status of these in the field of land and air transport, and the current status in the Republic of Korea. This chapter mainly use a literature review. The sixth chapter derives the priorities of human error reduction technologies required in the coast of Korea, based on the overview of human error (chapter.2), maritime accident statistics (chapter.3), maritime traffic environment (chapter.4), and maritime safety technology status (chapter.5) data surveyed and analysed in the previous chapter. In order to derive the priorities of such technology development, items with a high risk of accidents were selected for each data, and risk assessment skills were used to analyze the linkage of these items. In addition, based on the priorities of the development of human error reduction technology derived as described above, the measures of human error reduction technology applicable in the Korean coast was suggested.

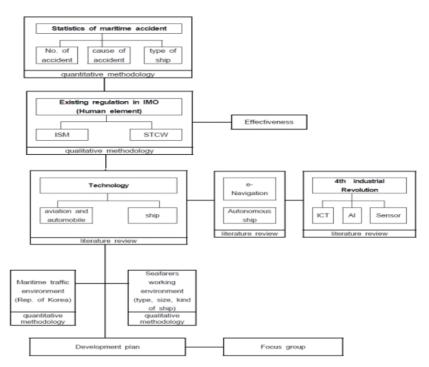


Figure 1. Research Methodology

Chapter 2. Overview of the human error in the Maritime sector

In this chapter, to understand human error, which accounts for the highest proportion of maritime accidents, various theories related to human error are reviewed and the institutional efforts of the international community to reduce them.

2.1. Overview of human error

According to the Formal Safety Assessment (FSA) guidelines of IMO, human error on a ship is necessary for the seafarers' ability to complete the job.

It is considered to occur when the level falls below the level, and it is defined that this is not because of the lack of competence of the job performer, but rather because the competence of the job performer is hindered by adverse circumstances (IMO, 2007). Examples of theories that support this opinion include the Shell model, the Domino theory, and the Swiss cheese theory.

The SHELL model was developed by American aeronautical psychologist Edward and later improved by Frank Hawkins by adding a relationship with another worker (L). The relationship between the human operator (L) and the hardware (H) such as machinery and equipment, the relationship with the software (S)

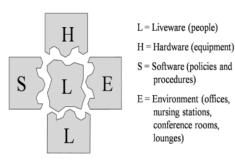


Figure 2. The SHELL model

representing operational regulations and procedures, the relationship with the environment (E), and another worker, the human (L) The interrelationships are illustrated schematically.

The Domino theory was proposed by H.W. Heinrih, and it was considered that the process of occurrence of a disaster occurs similarly to the process of serial collapse of dominoes. A disaster is manifested by a series of events in a series of times.

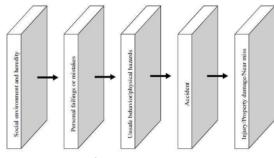
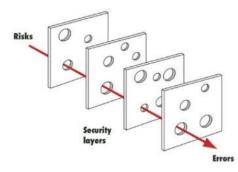


Figure 3. Domino Theory

Among these continuous actions, defects in the home environment and social environment are the first causes of accidents. These causes are linked to personal defects, and accidents occur when unsafe human behaviour or unsafe conditions appear, and these accidents can then lead to disasters.



The Swiss cheese theory was proposed by James Reason, an authority on human error research. This theory explained the accident process with Swiss cheeses with small holes in the middle. It is mentioned that accidents are generally caused by a series of human errors and that there are signs related to the occurrence of accidents long before

Figure 4. Swiss cheese theory

the accident. In this model, the prevention of human error is the priority to prevent accidents. To prevent human error, the defects of safety devices and error neglect systems must be minimized.

The human factor was defined as a complex multi-dimensional issue that affects maritime safety and marine environmental protection. It involves the entire spectrum of human activities performed by seafarers, shore-based management, regulatory bodies, recognized organizations, shipyards, legislators, and other relevant parties, all of whom need to cooperate to address human element issues effectively (IMO A 20/Res.850).

The human factor in the shipping sector can be largely divided into organizational and personal factors. Personal factors include stress, health and living condition, shift work, decision making, situation awareness, communication, and fatigue. In addition, organizational factors include safety culture, safety education, and safety climate. These individual factors are influenced by various environments and situations (Chauvin, et al 2013).

Human Factors are influenced by

- The individual (experience/fitness)
- Type of work (dynamics / complexity / ergonomics)
- · The environments (internal/external)
- · The work organization (processes/relationships)

These human factors are influenced not only by the characteristics of the individual but also by the organizational characteristics of the human factors. In particular, since the basic hardware and software safety system is built in modern times, accidents caused by human error are more affected by organizational systems than by individual characteristics. As such, human factors are affected by a wide variety of environmental factors. Moreover, these environmental factors are different depending on the situation, so it is difficult to simply define them.

2.2. Regulatory approach toward human factor

Among the various risks covered in the international conventions related to human error, hardware elements such as structures for the safety of ships and preventing fire and, life-saving equipment are managed through certificates and maintained through periodic inspections. (Berg, 2013) Relatively clear criteria are applied to these hardware elements. On the other hand, elements of human factors are relatively difficult to confirm compared to hardware facts or visible standards, and it is relatively difficult to check whether the standards are maintained continuously due to various situations. For the systematic operation of ship safety management, IMO introduced the International Safety Management Code (ISM).

The International Maritime Organization(IMO) recognized that the increasing number of maritime accidents caused by human errors has established relevant regulations to prevent maritime accidents caused by human errors. The most notable system for reducing human errors is the International Safety Management Code (ISM Code). Due to the growing awareness of the need for internationally systematic ship safety management, the IMO adopted it in October 1993 to implement the ISM Code. It was amended in Chapter 9 of the SOLAS Convention. Also, from 1 July 1998, the amended Convention was to be applied step by step. One of the most important elements of the ISM Code is that ships operate under a safety management system and the Code defines the ship owner's responsibility and authority to ensure that the ship's safety management system operates efficiently. In addition, a systematic procedure was established to systematically control the activities of the seafarers involved in the operation of the ship.

The ISM Code aims to establish a safety management system for shipping companies and ships. Before the introduction of the ISM Code, the ship's safety management depended on the shipping companies' capabilities and the ability of the seafarers; however, after the introduction of the ISM Code, the shipping company and the ship were institutionalized to have a documented safety system and continuously manage it. The introduction of the ISM Code has become a new turning point in IMO's maritime safety-related policies, which were mainly promoting ship accident prevention policies by strengthening ship structures and equipment (Hanchrow, 2017).

It is true that in the early stages of the introduction of the ISM Code, the importance of document work for systematic management of ship safety management was higher than necessary (Størkersen, 2018). Seafarers and shipping companies were burdened with documentation of safety management records rather than the original purpose of the ISM Code for the systematic management of ship safety. However, since then, the international community's concerns and efforts for efficient implementation and application of the ISM Code continued, and now it is developing

as an effective safety management tool counting centred on the management of human factors (Berg, 2013).

Another important Convention for control of the human factor is the International Convention on Standards of Training, Certification, and Watch-keeping for Seafarers (STCW). In March 1967, following the accident of a large tanker, the Torry Canyon, near the coast of France, the recognition that international unification standards for seafarers' qualifications were needed, the International Maritime Organization (IMO) established the STCW Convention. The Convention establishes the criteria for human factors for securing ship safety by proposing minimum qualification for seafarers and by establishing relevant training standards according to the characteristics of ships and cargoes.



Table 3. The normal flow of regulations of human error in the maritime sector

Systematic management of human

factors for ship safety management

By establishing the above two regulations, it is firstly possible to secure basic human factors through standardized seafarers qualification standard, and secondly, to manage the human factor related to shipping operations.

Seafarer's qualification verification for

ship operation and safety

As explained above, the international community's efforts to reduce human error are focused on systematically reducing the errors of human nature itself. However, in addition to such institutional efforts, efforts to reduce human error continue through technical support according to the development of related technologies, and in recent years, with the rapid development of automated ships and IT technologies, discussions on this are rapidly progressing.

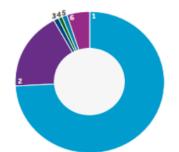
Chapter 3. Statistics and status of maritime accidents and human error

This chapter attempts to identify the status and causes of human error accidents through statistical analysis of maritime accidents. To this end, the trend was analysed using various maritime accident statistics such as the total number of maritime accidents and the ship type and tonnage of the ship. Further, statistics and causes of maritime accidents due to human error were analysed, and such statistical analysis data were used as basic data for deriving the priorities of human error reduction technologies in Chapter 6.

3.1. Statistics of maritime accident-related human error

According to insurance company statistics on maritime accidents, about 76% of accidents worldwide are caused by human errors (Russ, 2017). These statistical data are derived based on the number of claims for insurance claims applied to the Convention.

Top causes of liability loss: Marine (by value of claims)



| 1 | Human error | 75% |
|---|-----------------------------|-----|
| 2 | Accidental nature/damage | 18% |
| 3 | Natural hazards | 1% |
| 4 | Negligence/poor maintenance | <1% |
| 5 | Failure to provide service | <1% |
| 6 | Other | 5% |

Source: 14,828 liability insurance claims analyzed between 2011 and 2016 (September 13) Global Claims Review: Liability In Focus, Allianz Global Corporate & Specialty

Figure 5. Statistics of causes of the maritime accident

3.2. Overview of the maritime accident in the Republic of Korea

According to data from the Ministry of Oceans and Fisheries a total of 2,971 maritime accidents occurred in 2019, and 102 people died or went missing on the coast of the Republic of Korea (The Korean Maritime Safety Tribunal, 2017). The above maritime accident statistics simply show the most recent maritime accidents in the Republic of Korea. However, to derive the direction of the development of human error reduction technology, which is the purpose of this dissertation, statistical data over a longer period are required. To this end, in this dissertation, unfortunately, it is not the most recent statistical data, but it is intended to be based on the statistical data for 5 years from 2012 to 2016. Nevertheless, fortunately, when comparing these five years of maritime accident statistics with the recent statistics of maritime accidents, there is a small gap of around 5%, which will be a reliable basis for the past five years.

As a result of the analysis of the causes of maritime accidents, 8,404 cases of maritime accidents occurred in the Republic of the Korean flagged vessels and the coast of the Republic of Korea from 2012 to 2016.

| | Categories | 2012 | 2013 | 2014 | 2015 | 2016 | Total |
|-------------------------------|-------------------------------|--------|--------|--------|--------|--------|-------|
| Number of registered ship (A) | | 84,466 | 80,647 | 77,730 | 76,500 | 76,408 | - |
| Vessel ² (b) | | 9,435 | 9,360 | 9,313 | 9,274 | 9,182 | - |
| | Fishing boat(c) | 75,031 | 71,287 | 68,417 | 67,226 | 67,226 | - |
| | ntage of fishing boat(c/A) | 88.8% | 88.4% | 88.0% | 87.9% | 87.9% | - |
| Num | ber of accident | 1,573 | 1,093 | 1,330 | 2,101 | 2,307 | 8,404 |
| Nu | mber of ship | 1,854 | 1,306 | 1,565 | 2,362 | 2,549 | 9,636 |
| Number of ship (Vessel) | | 539 | 467 | 536 | 741 | 755 | 3,038 |
| | mber of ship ishing boat) | 1,315 | 839 | 1,029 | 1,621 | 1,794 | 6,598 |

Table 4. Overview of maritime accident for the Republic of Korea

² The rest of the ship, except for fishing boats

It is noteworthy that 68.5% (6,598) of fishing boats accounted for a high proportion of all maritime accidents (9,636). In the coastal waters of the Republic of Korea, intensive fishing activities are carried out. As of 2016, the number of fishing boats registered in the Republic of Korea is approximately 76,408, which is 8.3 times that of other ships. The fishing boat will be examined in more detail later when analysing the maritime traffic environment on the coast of the Republic of Korea.

Among the vessel, the proportion of other ships (1,502) such as barges, and dredgers is the highest, and cargo ships (558), tugboats (455), tankers (280), and passenger ships (243) maritime accidents occurred in order.

As a result of the analysis of the proportion of accidents by tonnage of ships, 81.3% of maritime accidents are caused by small-sized vessels less than 100 tons. This is presumed to be due to the high number of accidents on fishing boats, and may also be due to the increasing number of accidents on small leisure boats.

| Categories | 2012 | 2013 | 2014 | 2015 | 2016 | Total |
|-------------------------------------|-------|-------|-------|-------|-------|-------|
| 5 ton | 652 | 342 | 437 | 891 | 994 | 3,316 |
| 5 ton - 20 ton | 422 | 318 | 377 | 596 | 631 | 2,344 |
| 20 ton - 100 ton | 422 | 339 | 382 | 499 | 536 | 2,178 |
| 100 ton -500 ton | 139 | 108 | 139 | 148 | 156 | 690 |
| 500 ton – 1,000 ton | 33 | 24 | 36 | 34 | 48 | 175 |
| 1,000 ton – 5,000 ton | 86 | 94 | 95 | 97 | 98 | 470 |
| 5,000 ton - 10,000 ton | 22 | 27 | 27 | 31 | 17 | 124 |
| 10,000 ton - 50,000 ton | 36 | 34 | 35 | 30 | 26 | 161 |
| Over 50,000 ton | 20 | 9 | 15 | 14 | 23 | 81 |
| Unknown | 22 | 11 | 22 | 22 | 20 | 97 |
| Total | 1,854 | 1,306 | 1,565 | 2,362 | 2,549 | 9,636 |
| Percentage of Less than 100 tons | 81% | 76% | 76% | 84% | 85% | 81% |

Table 5. Statistics of marine accidents by vessel tonnage

A total of 908 deaths and missing occurred over the five years because of these maritime accidents, resulting in an average of 182 deaths per year. The loss of human life continued to decline, but it increased significantly due to the '14 Sewol accident (including 304 people). It is noteworthy that 61.3%³ of human accidents have occurred on fishing boats in the last five years.

| Categories | 201 | 2 | 2013 | | 2014 | | 2015 | | 2016 | | | |
|---|--------------|--------|--------------|--------|--------------|--------|-----------------|--------|--------------|--------|-------|--|
| | Fishing boat | Vessel | Fishing boat | Vessel | Fishing boat | Vessel | Fishing boat | Vessel | Fishing boat | Vessel | Total | |
| Death | 72 | 2 | 62 | | 404 | | 76 | 76 | | 3 | 687 | |
| Death | 50 | 22 | 35 | 27 | 89 | 315 | 62 | 14 | 60 | 13 | 007 | |
| Missing | 50 |) | 39 | | 63 | | 24 | | 45 | | 221 | |
| Missing | 45 | 5 | 34 | 5 | 44 | 19 | 19 | 5 | 43 | 2 | 221 | |
| Sub-Total | 122 | | 101 | | 467 | | 100 | | 118 | | 000 | |
| (Death+ Missing) | 95 | 27 | 69 | 32 | 133 | 334 | 81 | 19 | 103 | 15 | 908 | |
| in it up (| 16 | 3 | 206 | | 243 | | 295 | | 293 | | 1.200 | |
| injury | 108 | 55 | 121 | 85 | 176 | 67 | 186 | 109 | 221 | 72 | 1,200 | |
| Total (Death+ Missing+ injury) | 28 | 5 | 30 | 7 | 7 | 10 | 39 | 5 | 41 | 1 | | |
| | 203 | 82 | 190 | 117 | 309 | 401 | 267 | 128 | 324 | 87 | 2,108 | |

Table 6. Maritime Accident Life Loss Statistics

According to the results of statistical data analysis for each type of maritime accident, the collision accidents accounted for the highest proportion of 661 (31.4%). These statistics showed that it is important to focus on the implementation of safety policies to prevent collisions between ships.

| Categories | 2012 | 2013 | 2014 | 2015 | 2016 | Total |
|---------------------|------|------|------|------|------|-------|
| Collision | 66 | 156 | 155 | 133 | 151 | 661 |
| Human casualties | 60 | 52 | 117 | 154 | 139 | 522 |
| Rollover | 24 | 10 | 318 | 31 | 15 | 398 |
| Etc. | 76 | 42 | 30 | 56 | 71 | 275 |
| Fire/Explosion | 45 | 44 | 32 | 12 | 24 | 157 |
| Sinking | 14 | 3 | 58 | 9 | 11 | 95 |
| Total | 285 | 307 | 710 | 395 | 411 | 2,108 |

| Tab | le 7. | Statistics | on types | of the | e maritime | accident |
|-----|-------|------------|----------|--------|------------|----------|
|-----|-------|------------|----------|--------|------------|----------|

³ In the case of the death or disappearance of the Sewol accident, the rate of human accidents on fishing boats is 71.7%.

As a result of analysing the overall maritime accident statistics for 5 years, the total number of registered ships and the number of accidents in the Republic of Korea has not changed significantly. However, it is noteworthy that small vessels such as fishing boats have a very high proportion of maritime accidents. However, the rate of occurrence of maritime accidents is mostly fishing boats, but the ratio of accidents to registered vessels is 9.8% for fishing boats and 33.1% for merchant vessels, which is 3.4 times higher⁴. In addition, the number of registered leisure vessels is steadily increasing, and the number of accidents is increasing accordingly. Cargo ships, fishing boats, and leisure boats are subject to different safety regulations and standards according to the characteristics of each ship. However, the maritime traffic environment is not formed by only one type of ship. Each ship has different characteristics, but through interaction, it affects the entire maritime traffic environment, so overall unification of safety management is essential.

3.3. Statics of maritime accidents caused by human error in the Republic of Korea

According to the Korean Maritime Safety Tribunal, 10.9% (920 cases) of accidents were investigated from 2012 to 2016, of which 91.4% (841 cases) of maritime accidents were caused by human error. (Korean Maritime Safety Tribunal, 2017) The statistical data used in this chapter were targeted at 920 cases of accidents in which detailed investigations of maritime accidents were conducted.

| | Categories | Number of Accidents | Cargo vessel | Fishing boat |
|----------------|-------------------|------------------------|--------------|--------------|
| | Total | 920 | 439 | 481 |
| | Sub-total | 841 | 402 | 439 |
| Human error | Improper look-out | 379 | 161 | 218 |
| | Improper watch | 15 | 9 | 6 |

Table 8. Statistics on the causes of maritime accidents

⁴ Fishing boat accidents (67,226 registered ships, 6,598 accidents), empty ship accidents (9,182 registered ships, 3,038 accidents)

| | Improper collision avoidance | 26 | 9 | 17 |
|-------------------|---|-----|----|----|
| | Inadequate anchorage and mooring | 0 | 0 | 0 |
| | Neglected service director | 8 | 1 | 7 |
| | Non-compliance with ship safety regulations | 100 | 41 | 59 |
| | The error of the ship's position | 31 | 11 | 20 |
| | Inadequate waterway survey | 0 | 0 | 0 |
| | Improper ship operating | 47 | 35 | 12 |
| | Poor preparation for departure | 6 | 5 | 1 |
| | Poor route maintenance | 4 | 4 | 0 |
| | Violation of navigation regulations | 4 | 1 | 3 |
| | Prepare and poor response for bad weather | 19 | 9 | 10 |
| | Poor handling of machinery | 97 | 60 | 37 |
| | Hull, engine facility design defect | 56 | 25 | 31 |
| | Poor loading of passengers and cargo | 17 | 14 | 3 |
| | etc. | 32 | 17 | 15 |
| Machinery defects | Poor fire handling, wire aging, short circuit, etc. | 12 | 3 | 9 |
| | irresistible force such as natural disaster | 13 | 7 | 6 |
| | Inadequate navigation aid facilities | 4 | 4 | 0 |
| etc. | Inappropriate ship operation management | 31 | 16 | 15 |
| | Improper seafarers placement | 0 | 0 | 0 |
| | etc. | 19 | 7 | 12 |

When analysing the causes of marine accidents caused by human errors in more detail, 379 cases (41.2%) of an improper lookout and 100 cases (10.9%) of noncompliance with ship safety work were taken. As such, improper lookout is seen as the most representative cause of human error. These results are expected to have various reasons, such as fatigue of seafarers and lack of expertise of watch officers.

| Cause of accident | collision | Contact accident | Grounding | Rollover | Fire Explosion | Sinking | Machinery Failure | Human casualties | Etc. | Total |
|---|-----------|---------------------|-----------|----------|-------------------|---------|----------------------|---------------------|------|-------|
| Improper look- out | 345 | 16 | 8 | 2 | 0 | 3 | 0 | 3 | 2 | 379 |
| Non-compliance with ship safety regulations | 0 | 0 | 0 | 2 | 3 | 1 | 0 | 84 | 10 | 100 |
| Poor handling of machinery | 2 | 5 | 0 | 2 | 22 | 0 | 44 | 1 | 21 | 97 |
| Poor handling of machinery | 0 | 1 | 0 | 5 | 34 | 3 | 1 | 1 | 11 | 56 |
| Improper ship operating | 15 | 13 | 5 | 5 | 0 | 4 | 0 | 3 | 2 | 47 |
| Error of ship's position | 0 | 1 | 28 | 0 | 0 | 2 | 0 | 0 | 0 | 31 |
| Improper ship operating | 4 | 4 | 0 | 4 | 8 | 5 | 1 | 2 | 4 | 32 |
| Improper collision avoidance | 26 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 |
| Prepare and poor response for bad weather | 2 | 2 | 2 | 5 | 0 | 7 | 0 | 1 | 0 | 19 |
| Improper watch | 3 | 1 | 7 | 0 | 1 | 0 | 0 | 0 | 3 | 15 |
| Poor loading of passengers and cargo | 0 | 0 | 0 | 5 | 5 | 1 | 0 | 0 | 6 | 17 |
| Error of director | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 7 | 0 | 8 |
| Poor preparation for departure | 1 | 1 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 6 |
| Poor route maintenance | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Violation of navigation regulations | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Inadequate anchorage and mooring | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Inadequate waterway survey | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 405 | 44 | 54 | 30 | 74 | 27 | 46 | 102 | 59 | 841 |

Table 9. Statistics by cause of maritime accident and type of accident

The statistics by human error show the direct cause of the accident according to the result of the accident investigation. However, as mentioned above, human error occurs for a variety of reasons, so it is necessary to comprehensively analyze the seafarer, the maritime traffic environment, and the situation of the shipping industry to understand the cause of the human error. The next chapter will review the comprehensive maritime traffic environment in the Republic of Korea.

Chapter 4. Analysis characteristic of Maritime safety in the Republic of Korea

This chapter will analyse the maritime traffic environment on the coast of the Republic of Korea to which human error reduction technology will be applied. The institutional environment of the Korean maritime safety policy and the geographical and economic environment such as the distribution of ports and the volume of sea traffic was examined. In addition, it attempted to analyse the overall maritime traffic environment along the coast of the Republic of Korea by analysing the characteristics of fishing boats, which are the main components of the traffic environment along the current status of seafarers who are the subject of human error.

4.1. Overview of the policy of maritime safety in the Republic of Korea

Korea's maritime safety management system can be divided into two major areas. The Ministry of Maritime Affairs and Fisheries is in charge of establishing policies to prevent maritime accidents, and the Coast Guard is in charge of responding to maritime accidents.

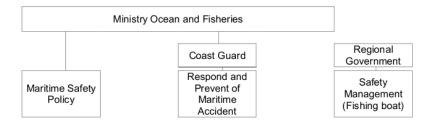


Table 10. Organization of maritime safety in the Republic of Korea

The policy to prevent maritime accidents includes registration of ships, management of safety standards, and periodic inspections, and response to maritime accidents includes on-site activities such as search and rescue. In addition, the subject of safety management is divided by ship type. In the case of general merchant ships and passenger ships, they are directly managed by the Ministry of Oceans and Fisheries, and in the case of fishing boats, the regional government and Coast guard are in charge of the safety management. However, despite the differences in management according to the types of ships, the Ministry of Oceans and Fisheries is in charge of establishing a comprehensive policy for maritime safety and accident prevention.

4.2. Maritime traffic environment of the Republic of Korea

The Republic of Korea has 31 trade ports, 29 coastal ports, and 109 national fishing ports, and infrastructure for shipping and fishing boats is established nationwide (Ministry of Oceans and Fisheries of Republic of Korea, 2017). The daily ship traffic on the coast of the Republic of Korea reaches an average of 16,600 ships (2017), and fishing boats account for about 67% of the total operating vessels, and the proportion of ocean-going vessels sailing the coast of Korea is about 14.7%. In addition, the total number of registered vessels in Korea is 91,580, of which 73.4% of fishing boats, 10.1% of cargo ships, and 16.5% of leisure vessels (2015). In other words, the coastal area of the Republic of Korea possesses a complex and diverse maritime traffic environments such as high fishing strength, active maritime trade, various marine facilities and ports, passenger transport, and marine leisure.

For this reason, fishing accidents on the coast of the Republic of Korea, that is, maritime accidents of non-conventional vessels, are very high. In addition, the seafarers of fishing boats are very old and are exposed to a high-intensity working environment, causing many maritime accidents due to human error. Therefore, in the Republic of Korea, the introduction of human error reduction technology for ships covered by the Convention is important, but the introduction of human error reduction technology for ships is not covered by the international Convention such as fishing boats, which is also very important.

The number of ships entering and departing from Korea's ports was with an average of 400,000 ships in the last five years, but the cargo volume continued to

increase (1.2 billion tons per year). As a result, these statistics show that the size of ships is increasing.

| Categories | То | tal | Ente | ering | departing | | |
|------------|-------------------|-----------|-------------------|-----------|-------------------|-----------|--|
| | Number of vessels | Ton | Number of vessels | Ton | Number of vessels | Ton | |
| 2011 | 401,009 | 3,332,703 | 200,378 | 1,654,601 | 200,631 | 1,678,102 | |
| 2012 | 395,035 | 3,473,468 | 197,354 | 1,726,678 | 197,681 | 1,746,790 | |
| 2013 | 390,245 | 3,595,360 | 195,009 | 1,792,619 | 195,236 | 1,802,741 | |
| 2014 | 385,941 | 3,667,786 | 192,912 | 1,829,485 | 193,029 | 1,838,301 | |
| 2015 | 400,746 | 3,943,939 | 200,226 | 1,966,688 | 200,520 | 1,977,251 | |

Table 11. Port entry and departure trends in the Republic of Korea

As the economic level of the Republic of Korea increases, the marine leisure population is also increasing. In 2015, the number of leisure vessels registered in sea and inland waters was 15,172, and the number of passengers was 2.7 million annually⁵, which is expected to increase continuously in the future. In terms of safety management, it means that new safety management targets that are different from the previous safety management policies will be changed.

4.3. Analysis of vessel operation characteristics related to fishing boats

As mentioned above, fishing boats are one of the most important factors that characterize the maritime transportation environment along the coast of Korea. As of 2016, the number of fishing boats registered in the Republic of Korea was 67,226, accounting for 73.4% of all registered vessels.

⁵ * ('11) 2,924,000 people → ('12) 2,978,000 people → ('13) 2,862,000 people → ('14) 2,149,000 people

| | | Vessel | | | | | | | |
|-----|--------|---------|---------|---------|---------|---------|---------|-----------------|-----------------|
| | Total | Ferry | Cargo | Tanker | Tug | Towing | Etc. | Fishing Boat | Leisure Boat |
| | | Boat | Ship | | | Vessel | | | |
| No. | 91,580 | 299 | 716 | 757 | 1,265 | 1,954 | 4,191 | 67,226 | 15,172 |
| (%) | (100%) | (0.33%) | (0.78%) | (0.82%) | (1.38%) | (2.13%) | (4.58%) | (73.4%) | (16.5%) |

Table 12. Current Status of Registered Ships in Korea (Ministry Ocean and Fisheries, 2016)

Since most of these fishing boats operate within 30 miles of the coast, they have a direct impact on the traffic environment. In addition, since ships engaged in fishing have the characteristics of limited maneuverability, it can be said that the influence of fishing ships on the maritime traffic environment is even greater. Also noteworthy is that about 40% of all registered fishing boats are concentrated in the West Sea and 21% in the South Sea. The west and south seas of Korea are topographically composed of the Rias coast, and as a sea area where most of the ports are concentrated, so the maritime traffic density is higher due to fishing boats.

Another notable fact is those small fishing boats with less than 10 tons' account for 94.8% (63,714), leading the complex maritime transportation environment across the coast of the Republic of Korea. It is also noteworthy that most of these ships are non-powered ships.

| Categories- | | otal | Powered(e | engine) ship | Non-powered(non-engine) ship | | |
|-----------------|--------|---------|-----------|--------------|---------------------------------|-----|--|
| | Number | Ton | Number | Ton | Number | Ton | |
| Fishing boat | 67,226 | 544,626 | 66,234 | 543,721 | 992 | 905 | |

4.4. The environment of the seafarers according to the characteristics of the ship

The Republic of Korea has a specialized seafarers supply system for each ship type. In the case of general cargo ships and passenger ships, most of the demand is being supplied through two maritime universities, which are educational institutions for training specialists. These seafarers work as officers or engineers on ships and provide relatively high-quality services because they have completed specialized training.

According to statistics from the Ministry of Oceans and Fisheries of the Republic of Korea, as of 2015, there were a total of 37,000 seafarers of Korean nationality, including fishing boat seafarers. However, the wage gap between seafarers and land workers is narrowing as Korea's growth of the national economy increases and living standards improve, and accordingly, the number of seafarers is decreasing. In particular, this phenomenon is more prominent among young people with higher education levels.

| Categories | | | 2011 | 2012 | 2013 | 2014 | 2015 |
|-----------------|----------------------------|-------------------------|--------|--------|--------|--------|--------|
| Total | | | 38,998 | 38,906 | 38,783 | 37,125 | 36,976 |
| Sub. Total | | | 35,421 | 35,355 | 35,381 | 34,016 | 33,975 |
| Korea Flag | Vessel | Sub. Total | 17,635 | 17,577 | 9,544 | 17,228 | 17,155 |
| | | International Voyage | 9,371 | 9,308 | 8,207 | 9,378 | 9,308 |
| | | Domestic Voyage | 8,264 | 8,269 | 17,577 | 7,850 | 7,847 |
| | Fishing Boat Sub. Total | | 17,786 | 17,778 | 17,630 | 16,788 | 16,820 |
| | Sub. Total | | 3,577 | 3,551 | 3,402 | 3,109 | 3,001 |
| Foreign Flag | Vessel | | 3,280 | 3,232 | 3,068 | 2,758 | 2,670 |
| | Fishing Boat | | 297 | 319 | 361 | 351 | 331 |

Table 14. Seafarers statistics

Most notably, this phenomenon is accelerating the aging of Korean seafarers. Among seafarers with Korean nationality, 59.5% of them are over 50 years old. In particular, in the case of domestic ships with lower wages and inferior working conditions, the ratio of seafarers 50 years or older is 77.7%.

| Categ | Categories | | Under 40 year old | Under 50 year old | Under 60 year old | Over 60 year old | Total |
|---------|------------------|-------|----------------------|----------------------|----------------------|---------------------|--------|
| | Navigator | 1,782 | 1,256 | 1,872 | 3,540 | 3,540 | 11,580 |
| 0.0 | Engineer | 1,826 | 147 | 1,168 | 3,104 | 3,104 | 10,351 |
| Officer | Radio Officer | 0 | 0 | 36 | 0 | 93 | 197 |
| | Sub. Total | 3,608 | 1,403 | 3,076 | 6,644 | 6,737 | 22,128 |
| Cre | ews | 522 | 1,720 | 3,826 | 5,515 | 3,265 | 14,848 |
| Total | | 4,130 | 3,123 | 6,902 | 12,159 | 10,002 | 36,976 |
| (%) | | 11.2% | 8.5% | 18.7% | 32.9% | 28.7% | 100.0% |

Table 15. Current status of the age distribution of seafarers in The Republic of Korea (2015)

Due to the aging of seafarers, the proportion of foreign seafarers is increasing, accounting for 39.9% of all seafarers. Of the 61,600 seafarers engaged in Korean flagships, 36,976 are Koreans and 24,624 foreigners (12,809 non-fishing boats, 11,815 fishing boats). By nationality, the proportion of Chinese seafarers was the highest in 2007. However, due to the increase in wages of Chinese seafarers, seafarers from Vietnam, Myanmar, and the Philippines have recently increased.

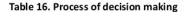
Even though the Republic of Korea has a specialized seafarer training system through maritime universities, it is expected that the seafarer' shortage will continue to intensify due to the situation in the whole society. In particular, the employment of foreign seafarers is increasing rapidly due to the aging of seafarers of Korean nationality.

Chapter 5. Status of safety technology of reducing human error

In this chapter, in order to analyse the linkage and utility of human error reduction technology and existing maritime safety technology, it will give an overview of safety technology reduction technology and the development and application status of human error reduction technology in similar transportation means such as land and air transportation. In addition, the current state of discussion on technology to reduce human error in the international community was examined. Finally, the development and application status of technologies related to human error reduction, such as the maritime safety IT system in the Republic of Korea, were investigated.

5.1. Overview of safety technology to reduce human error

In the process of the ship's operation, seafarers sense and perceive a variety of information, use their knowledge to judge the situation, make decisions, and take action. If a problem occurs in any part of this process, the seafarer makes a mistake, and sometimes this mistake leads to an accident.





Human errors cannot be eliminated but can be reduced with effort. One of these efforts is the application of human error reduction technologies such as humancentered design of ship, a decision support system, collision prevention system, and alarm/monitoring device.

Efforts in terms of technology to prevent accidents caused by human error have not been made in recent years. In the past, attempts have been made to design

equipment to reduce human error and mechanical devices to prevent human error, and this has been effectively utilized as a means to prevent human error. For example, the dual operation of the button of an important device on the ship, and the form of operation only when remote control of valves and local operation are coincident were used. However, these technologies were used as a means to reduce the final error in the decision stage rather than to reduce the judgment error of human decisionmaking. Most of the human error reduction technologies in the past have not exceeded these technological limits.

However, with the development of advanced technologies such as communications, sensors, and artificial intelligence (AI) along with the 4th industrial revolution, the development and application of human error reduction technologies have entered a new stage. In particular, the introduction of automatic navigation/operation and autonomous technology in the transportation field is drawing attention in terms of reducing accidents caused by human error, in addition to the economic effect of labor cost reduction and transportation efficiency.

5.2. Development status of safety technology in the Land and Aviation transportation sector

In the automotive industry, research and application of human error reduction technologies are most active. Human error in road accidents is estimated at 94 percent. (Tierney, 2019). The automobile industry has made great efforts to reduce such a high proportion of human error accidents. Recent developments in related

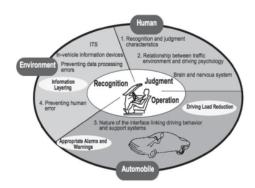


Figure 6. Strategic domain for driving support system technology (source: IATSS RESEARCH Vol.30, 2006)

technologies, such as sensors and data management, are rapidly innovated. The

most notable area of interest is autonomous vehicle technology. Many advanced technologies are applied to autonomous vehicles, but the most important is sensor technology. This sensor technology is also used to check human error such as drowsiness while driving.

Two technologies are applied to detect driver drowsiness. The first detection technology is to monitor the driver's face through a camera and to check the speed of the eyelid winding to determine whether the driver is drowsy. The second detection method is based on vehicle performance data. If the driver falls asleep while driving, the vehicle has difficult in maintaining lanes keeping, steering wheel movement, and lateral acceleration. The sensor of the vehicle collects and analyzes these signs, and if it matches the driver's drowsiness signal, the drowsiness prevention system alerts the driver and finally intervenes in the operation of the vehicle's brakes and steering wheel to prevent accidents (Grace et al, 2002).

In addition, another human error prevention technology is collision avoidance systems. The system consists of a front, rear, and side system, and the sensor detects obstacles and other vehicles by distance. Afterward, when the distance is close, an alarm is sounded to alert the driver, and when the distance is closer than a certain value, additional measures such as brake operations are taken (Harper et al, 2016).

To review the collision avoidance system in more detail, there is Forward Collision-Avoidance Assist (FCA). FCA is a device that recognizes the vehicle in front through a detection sensor and warns the driver when a collision is expected, and automatically activates the brake in an emergency to avoid a collision or minimize damage (Autonomous Emergency Brake, AEB). In recent years, it is developing into a system that detects not only vehicles in front, but also pedestrians. This FCA is evaluated as the most effective technology for minimizing the damage caused by traffic accidents as 94% of automobile accidents are caused by the driver's careless actions. It is emerging as a prerequisite for automobile safety technology. A device similar to FCA is Forward Collision Warning (FCW). This system has the same principle as the FCA, but the difference is that it only warns, not automatically brakes like FCA. To briefly understand the operating principle of FCA and FCW, this system

is a radar sensor and a camera, a controller, a brake pedal position sensor, and an EBCM (brake control module) that controls the brake system.

In addition, when linked with a more advanced intelligent driving management system, it is additionally connected to automatic transmission control module (TCM), accelerator pedal sensor, body control module (BCM), and engine control module (ECM). The principle of operation is that the front camera recognizes the vehicle ahead in front of the vehicle, and the radar checks the distance between vehicles. In general, the radar sensor can measure the distance of the vehicle ahead within about 60m. Based on these data, the relative speed and distance between vehicles as well as the time are calculated until the collision. In addition, it warns the driver of a collision risk based on the predicted collision time, vehicle distance, speed, and safety distance programmed in advance, or automatically intervenes in operation such as brake operation. ("immediately effective in", 2017)

These safety technologies in the vehicle sector are expected to make a significant contribution to accident prevention. The automotive sector has already introduced autonomous vehicles and these are expected to spread rapidly.

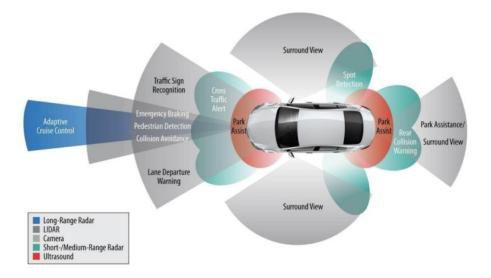


Figure 7. Technical diagram of FCA and FCW (Source from: Trends in Auto Tech)

In the aviation field, technologies for collecting and analyzing information are being applied to prevent pilot errors as well as the application of systems to monitor pilots' operating behavior. The system collects and analyzes flight information on pilots' habits of piloting, maneuvering errors, and emergency response, and if such information is found to need to be improved, the problem is solved by providing additional training and training programs to the pilot. (Japan Aerospace Exploration Agency, 2017). This technology is very interesting in that it accumulates the behavior related to a pilot's operating habits to reduce human error, and it combines continuous education and training systems to prevent safety accidents caused by human error. Most of the large-scale casualties in the aviation sector are due to the pilot's error and poor maintenance. Therefore, the development and application of technologies to prevent human error in the pilot monitoring and aircraft maintenance are expected to become more active.

5.3. Development status of safety technology in the maritime transportation sector

Perhaps the shipping sector is one of the slowest in applying advanced technology among other transportation means. The reason for this might be that ships are representative of the transportation sector with top priority on economics, and they have a long life cycle and the sea environment is not suitable for information communication with the shore. However, with the recent developments of related technologies, the interest of the advanced technologies to ships in the international community has increased, and as a result, e-Navigation and automated ships have been actively discussed in IMO.

In 2006, the International Maritime Organization (IMO) decided to introduce "e-Navigation" using information and communication technology (ICT) in ship navigation technology to reduce maritime accidents due to the error of ship operators and improve shipping efficiency. In accordance with the proposals of 7 countries including the UK in 2005, IMO decided to promote the introduction of e-Navigation and established an e-Navigation strategic plan in 2008. Accordingly, in 2014, the

International Maritime Organization (IMO) approved the e-Navigation Strategy Implementation Plan (SIP) for the international implementation of the strategic plan, and each country continues its efforts to implement e-Navigation.

In addition, one of the most actively discussed fields in IMO is related to autonomous ships. The International Maritime Organization (IMO) is reviewing the regulations necessary for the introduction and operation of autonomous ships and is preparing countermeasures for possible security issues. IMO's 98th Maritime Safety Committee (MSC) decided to initiate the Regularly Scoping Exercise (RSE) necessary for the introduction and operation of autonomous ships, and the 99th Maritime Safety Committee discussed the regulation identification work. The system was approved, and the identification of regulations necessary for revision in 2019 was completed. In addition, discussions on the interim guideline have been initiated, and systems and regulations related to autonomous ships will be established by 2028.

5.4. The gap between maritime transportation and other sectors

Human error reduction technology can be divided into three stages.

Stage 1: Detecting an abnormal symptom of the operator and warning to the operator

Stage2: Automatically intervening in operation to reduce the risks associated with abnormal signs of the operator

Stage 3: Fully automated

In the maritime sector, the first stage of human error reduction technology is partially applied, and in the case of the automobile sector, the second stage technology is partially applied and efforts are being made for the development and practical application of the full automation stage.

5.5. The maritime safety technology in the Republic of Korea

The first safety management infrastructure based on the radio communication system promoted by the government of the Republic of Korea is the establishment of the Automatic Identification System (AIS). The AIS was adopted by the International Maritime Organization in 2000 to enhance the navigational safety and security of ships. AIS is used to prevent collisions between ships by exchanging positions between ships and transmitting ship's position to onshore facilities. The government of the Republic of Korea also ratified the international convention and established a national infrastructure for AIS operation from 2001.

To this end, 44 base stations for receiving AIS signals were installed on the coast of the Republic of Korea, and 14 VTS centers are controlling ship traffic using AIS signals. In addition, ship position information collected on the coast of the Republic of Korea through AIS is being shared by 24 national agencies including the National Crisis Management Center, Customs, and Immigration.

Another ship position-based information system is the Long Range Identification and Tracking of Ships (LRIT). In January 2009, the International Maritime Organization (IMO) was introduced to prevent maritime terrorism by ships and to take maritime security measures. It is a system that tracks the position of domestic ships around the world using satellite communication facilities to strengthen maritime security, and foreign ships entering and departing (within a maximum of 1,000 miles) in ports and coasts of the state. In order to establish the LRIT system, the Korean government established the National LRIT Information Center (NDC) in 2009, which can receive ship position information of Korean flagships around the world and foreign ships within about 300 miles off the coast of Korea.

Such AIS and LRIT are installed on ships that are engaged in international voyages or coastal voyages with a certain tonnage or more (500 tons or more in the case of cargo ships). In other words, it does not apply to small vessels such as fishing boats. For the security of these shortcomings, the Korean government has established a system to identify the position information of small ships such as fishing boats.

In this way, the Korean government has established a system for collecting position information of small ships such as fishing vessels that are not subject to international conventions. This system, called V-Pass, is a system for positioning small vessels such as fishing boats and has been built on the entire coast of the Republic of Korea since 2011. It was targeted to ships not legally subject to the AIS installation obligation, and a position transmitter was installed on fishing boats, and a land base station construction project for radio wave reception was constructed. In addition, the V-Pass system has various safety technologies suitable for small ships, such as sending an emergency rescue signals for a ship' in distress and generating a warning when the ship' demonstrates abnormal slope conditions.

These systems such as the AIS, LRIT and V-pass system provides the basic infrastructure for collecting ship's position information and managing related data on the coast of Korea. In addition, the Korean government has established a comprehensive maritime safety management system (GICOMS, General Information Center on Maritime Safety & Security) based on the position information from various ships.

The Korean government established a maritime safety information promotion plan in 2001 and conducted a feasibility study and basic design for the establishment of a comprehensive maritime safety information system in 2002. After that, GICOMS was established through the basic construction of the first phase from 2003 to 2005,

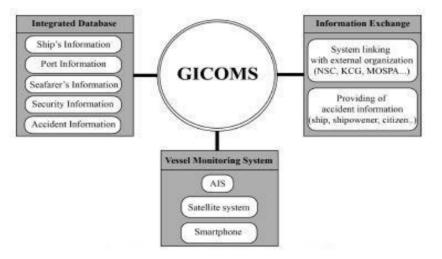
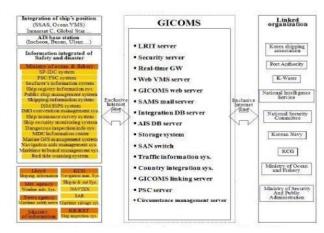


Figure 8. GICOMS configuration diagram

the implementation and operation of the second phase system from 2006 to 2008, and the 3rd phase upgrade project from 2009 to 2013. GICOMS is a Vessel Monitoring System (VMS) that displays real-time vessel position on an electronic chart screen by receiving ship position and information transmitted from, for example Automatic Ship Identification system (AIS) and satellite (LRIT), Ship Security Alert System (SSAS), ship registration, ship inspection and, individual business.

It is composed of a ship-related integrated DB that enables collective information inquiry for each ship by linking the system, and an information-sharing system for sharing ship position information and ship-related integrated DB and providing



services to the public with Figure 9. GICOMS data connection diagram related organizations such as the Coast Guard, Navy, and Shipping Association.

Up to now, this dissertation has briefly examined the outline of the information system for ship safety management established in Korea. Such a system is of value as a system that is the basis for the development and application of human error reduction technology using information technology. In addition, such an information system will support the use of complex and extensive related data to improve the efficiency of technology to reduce human error by continuously developing, and not remaining in the current function.

As briefly mentioned earlier, e-Navigation is one of the most actively discussed internationally among maritime safety-related technologies using information technology. The definition of e-navigation is shipping through better organization of data on ships and onshore, and better data exchange and communication between ships and the ship and shore. The Republic of Korea has invested about 180 million USD to promote a Korean e-Navigation project for 2016-2020.

| Korean e-Nav. The core technology for service R&D and e- | Comprehensive situational awareness and Response technology development | Accident Vulnerable Ship Monitoring Support Service | | | | |
|--|--|--|--|--|--|--|
| | | Onboard system remote monitoring service | | | | |
| | Korean e-Nav. service development | Optimal Safe Route Support Service | | | | |
| Nav. Operation system construction | | Electronic chart service for small ships | | | | |
| | IMO e-Nav. essential | Leading/qualifying support service | | | | |
| | service development | Maritime Safety Information Service | | | | |
| | · | Establishment of e-Nav. comprehensive (regional) operation system | | | | |
| Expansion of marit | ime digital infrastructure | High-speed maritime wireless communication (LTE-Maritime) construction | | | | |
| | | Digital maritime wireless communication system establishment | | | | |
| | | Maritime data exchange standard | | | | |
| International standar | d technology research and | Maritime information sharing system development (S-10X) | | | | |
| | elopment | Maritime wireless communication (standard) technology development | | | | |
| | | Ship navigation facility standardization mode (S-Mode) | | | | |

Table 17. Korean e-Navigation research and development major tasks

If Korean e-Navigation is established, high-speed wireless communication will be possible up to 100 km off the coast of Korea, and an e-Navigation Information center will be able to provide digital safety information to all ships within the coast of the Republic of Korea. The most important human error prevention technology is to monitor and analyze the ship's operating conditions such as the ship's route and abnormal sign monitoring and provide it to the ship to support the operator's decision making (Ministry of Oceans and Fisheries of Republic of Korea, 2016).

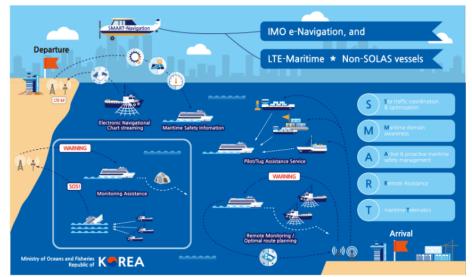


Figure 10. Korea e-Navigation at a glance

The most notable task in Korea's e-Navigation development project is the construction of an LTE communication network extending 100 miles from the coast. This means that maritime wireless communication, which used to be dependent on low-capacity and low-speed communication, can receive the same level of communication service as on land. This is expected to bring innovation in the use of maritime safety information by making various pieces of maritime traffic safety information collected on land available to ships.

The Republic of Korea is also promoting R & D on autonomous vessels. The R & D projects, including pilot ship construction, will be underway in 2020. This R & D includes several projects related to the development and introduction of technologies to prevent accidents caused by human error such as operator inshore base system. The R&D project is expected to be implemented from 2020 to 2025, in four areas including intelligent navigation system development, engine automation system development, autonomous ship performance verification center

establishment, the autonomous ship operating technology development, and standardization technology development. It is planning to carry out 13 detailed case tasks. This project aims to develop autonomous ships that have secured the technology of 3 levels of degrees of autonomy defined by the International Maritime Organization (IMO) and 2 levels for coastal vessels.

So far, this dissertation has briefly reviewed the development status and plans of Korea's maritime safety technology. These marine safety applications are the upper-level classification of human error reduction technologies, targeting a broader range of marine safety and logistics efficiency areas. However, the level of human error reduction technology can be further elaborated by such a high-level technology frame, so it must be carefully examined.

As might be expected, in the maritime sector, there are various barriers to the introduction of automation technology. The biggest of these is probably about economic profits. However, the introduction of human error reduction technology is necessary not only for the prevention of accidents but also for the cost reduction due to ship transportation accidents. In particular, the development of maritime communication means and technologies discussed and developed in e-Navigation will be utilized as an important base technology to secure the sophistication and reliability of human error reduction technologies.

Chapter 6. Discussion on the human error reduction technology in the Republic of Korea

In this chapter, to select the priority for deriving the most suitable human error reduction technology on the coast of the Republic of Korea, the implications and conclusions are drawn based on the data such as the maritime accident statistics, the maritime traffic environment, and the development status of related technologies analysed in the previous chapter. Further, through the risk assessments, the priority of human error reduction technology is to be derived. Case studies were additionally implemented to compensate for the limitations of statistical and data analysis.

6.1. Risks Assessments of safety technology caused by human error

So far, this dissertation has examined the definition of human error reduction technology, international discussion trends of related technologies, Korea's maritime accident statistics and current status of maritime safety technology, seafarer's statistics, and coastal maritime traffic environment. This analysis data is the basic data to derive the development plan of human error reduction technology.

This chapter attempts to categorize the top five types of accidents and causes of high importance based on the statistical data of Korean maritime accidents analyzed earlier. In addition, it is intended to categorize the types of ships in which such accidents occur, and to classify the types of seafarers. Through this procedure, this dissertation intends to derive the priority of the types of accidents for safety technology development.

In this way, the level of causes that cause maritime accidents due to human error is firstly defined, the components of each level are classified, and the linkage between each level is analyzed to derive the necessary human error reduction technology. The levels and components of accidents caused by human error are classified as follows:

Level 1. Maritime Accident

- Category 1. Type of maritime accident (chapter. 3.2)
- Category 2. Cause of maritime accident due to human error (chapter. 3.3)
- Category 3. Type and size of the ship (chapter. 3.2)

Level 2. Maritime environment

- Category 1. Maritime Safety technologies (chapter. 5.2, 5.3, 5.5)
- Category 2. Maritime traffic environment (chapter. 4.2)
- Category 3. Increase of ships subject to maritime safety policy (chapter. 4.3)

Level 3. Human resources

- Category 1. Age of Seafarers (chapter. 4.4)
- Category 2. Composition of seafarers (chapter. 4.4)
- Category 3. Seafarers supply and demand (chapter. 4.4)

Analyzing the types of maritime accidents in the Republic of Korea, Level 1, as mentioned in Chapter 3.2 of this dissertation, collisions account for the largest proportion. Since then, groundings, fires, for example, have taken up a high proportion. Most of such ship collision accidents are caused by human error, such as improper look-out, error in situation judgment, unfamiliarity with related regulations, and errors in communication between ships. In addition, a ship collision accident is the most significant accident because it causes a lot of damage economically or leads to loss of life, and it is one of the most likely types of accidents that can reduce the number of accidents through human error reduction technology.

Level 1. - Category 1.

Based on such statistical data analysis results, it can be concluded that the development of technology to prevent a human error that causes collisions between ships is the most significant.

When analyzing the type of maritime accidents caused by human error, the second category of Level 1, maritime accidents due to improper look-out account for the highest percentage of about 45%.

Level 1. - Category 2.

Based on such statistical data analysis results, it can be concluded that the development of technology to prevent a human error that causes collisions between ships is the most significant.

When analyzing the type of ships involved in maritime accidents, the third category of Level 1 can be classified into two types as mentioned in chapter 3.1. Looking at the first ship type, of the 8,404 maritime accidents that occurred from 2012 to 2016, fishing boat accidents accounted for 6,598 cases, accounting for 78.5%. Second, when analyzing the tonnage of the ship, more than 81% of accidents occurred in ships with a gross tonnage of fewer than 100 tons. In addition, the number of ships registered in the Republic of Korea is about 75,000, of which fishing boats are engaged fishing within 60 miles from the coast, it can be concluded that fishing boats have a very high impact on the maritime traffic environment along the coast of the Republic of Korea.

Level 1. - Category 3.

The results of this statistical analysis show that most maritime accidents occur frequently in small vessels less than 100 tons, and are particularly concentrated on fishing boats. Therefore, it can be concluded that the data show which ship types will be subject to the application of human error reduction technology to prevent maritime accidents.

Next, the status of safety technology development in the maritime sector, the first category of Level 2, was analyzed. In addition to the maritime safety information system stipulated in international convention such as AIS, the Republic of Korea is establishing a fishing boat position monitoring system, and the integrated vessel monitoring system (GICOMS) based on the vessel position information. In addition, it is actively promoting R&D and infrastructure construction for the introduction and operation of e-Navigation and autonomous ships.

Level 2. - Category 1.

The Republic of Korea has established a maritime safety information system based on the nationwide IT infrastructure. Therefore, it can be concluded that the infrastructure for providing improved service of human error reduction technology using information technology was relatively well established. In particular, the position information system for fishing boats has already been established and the construction of the Long Term Evolution (LTE) communication system within 100 km of the coast, which will be implemented in e-Navigation, is for the efficient and economic application of human error reduction technology. This is the most substantial technical advantage.

The following analyzed the matters related to the maritime transportation environment in Korea mentioned in Chapter 4.2. There are a total of 31 trading ports and 29 coastal ports, 109 fishing harbors on the coast of the Republic of Korea. In addition, the Republic of Korea, which has virtually island-like geographic features, relies on shipping for 98% of its whole trade volume. On the coast of the Republic of Korea, it shows a complex maritime transportation environment with 16,600 ships operating every day. Geographically, the west and south seas of the Republic of Korea constitute a rias coast and have low depths. For this reason, it is also noteworthy that most of the ports are located here, and most fishing activities are also conducted in this sea area.

Level 2. - Category 2.

The coastal waters of Korea have a large number of port facilities and show a very high sea utilization rate due to a large number of fishing boats and cargo ships. In particular, the west and south seas have a complex coastline and relatively low water depth. Due to this, most of the port facilities are located in this area, and many fishing boats are engaged in fishing activities. Therefore, it is necessary to develop and apply human error reduction technologies or services in consideration of such geographic characteristics.

Referring to the statistics in Chapter 4.2, it can be seen that the number of registered and users of leisure boats on the coast of the Republic of Korea is increasing significantly. In the past, the coastal areas of Korea accounted for a high proportion of logistics and fishing activities. However, as the marine leisure population increased with the development of the economic level, leisure vessels such as yachts emerged as an important component of the maritime traffic environment.

Level 2. - Category 3.

In the case of leisure vessels such as yachts, the purpose of this vessel is to enjoy the leisure time of passengers, unlike commercial vessels such as fishing boats and cargo ships, so it is necessary to approach it from a different perspective in terms of safety management. In particular, in the case of operators of small ships such as leisure boats, the level of expertise related to maritime safety is relatively low, and thus the introduction of human error reduction technology is further required. The last level for risk analysis is the human factor. As mentioned in Chapter 4.4, a total of 37,000 seafarers are employed on the Korean flag's ships. Of these, the number of seafarers engaged in cargo ships excluding fishing boats was 17,155, accounting for 46.3%. In other words, the number of seafarers on fishing boats accounts for more than 50%.

Level 3. - Category 1.

Such seafarer statistics is one of the important factors to be considered in selecting the most important targets for human error reduction technology. In particular, it should be considered that fishing boat seafarers, who account for more than 50% of the total number of seafarers do not have sufficient expertise compared to seafarers covered by international conventions. Therefore, it is more important for these seafarers to respond to emergency situations and to apply human error reduction technology for decision making.

Also, a noteworthy fact is the increasing number of older and foreign seafarers. As of 2015, 59.5% of seafarers aged 50 or older accounted for this trend, which is increasing. In addition, the proportion of foreign seafarers accounts for 39.9% of all seafarers.

Level 3. - Category 2.

Considering that most of the seafarers are aged 50 years or older with relatively weak adaptation and utilization capabilities to IT systems, it can be concluded that the interface of the human error reduction system should be simplified. In addition, a system that can be easily used by foreign seafarers is needed.

Analyzing the aforementioned data on the forecast of seafarers' demand in the Republic of Korea, as of 2015, Korea's seafarer's demand was 18 thousand, but the supply was 14.5 thousand, which was about 3.5 thousand seafarers short⁶. This is closely related to the increase in ship operations due to the aging of the seafarers and the increase in maritime traffic. In conclusion, Korea's seafarer's demand is expected to increase in the future, but supply is expected to be insufficient, and such shortage demand is expected to be filled by foreign seafarers.

Level 3. - Category 3.

This seafarer shortage phenomenon does not occur only in the Republic of Korea. Due to the lack of seafarer demand, shipping companies will increasingly invest more in ship automation. However, in the case of small ships such as fishing boats and leisure boats, a lot of investment is required to achieve a high level of automation in consideration of the size and economy of the ship. For this reason, the role of human error reduction technology is important in the transitional period for the development and application of small ship automation technology. In addition, when considering the increase in the number of foreign seafarers and the number of ships ophoard multipational seafarers, it is important to develop and

the number of ships onboard multinational seafarers, it is important to develop and apply internationally standardized human error reduction technologies and simple interfaces.

So far, nine categories in three levels have been analyzed for the development of human error reduction technologies. From now on, this dissertation attempts to derive the priorities of human error reduction technologies through interrelationship analysis for each level to determine what kind of connection these elements have and what development plans can be suggested.

⁶ (Demand) International voyage 11,000 persons, domestic voyage 7,000 persons, (Supply) international voyage 8,000 persons, domestic voyage 65,000 persons

| technologies |
|--------------|
| r reducing |
| numan error |
| for h |
| assessment |
| Risk |
| Table 18. |

| LEVEL.3 (Human factor) | Priority | | (P1) Over 60 year | | (P2) All of the ages | (P1) Seafarers of fishing boat | (P2) Seafarers of | | (P2) Seafarers of domestic voyage | | | (P1) Korean seafarers | | (P2) Foreign seafarers |
|-------------------------------|--|---------------------------------|--------------------------|---------------|---------------------------|--|---|---------------|--------------------------------------|--------------------------|--|---------------------------|----------------------|--|
| | | | Age of | Seafarers | | C.2 Composition of seafarers | | | | | Seafarers supply and demand | | | |
| | | c.1 | | | | C.2 | | | | | C.3 | | | |
| nvironment) | Priority (P1) LTE-M (P2) e-Navigation (P3) GICOMS | | | | (P4) Autonomous vessel | (P1) Various type of ship | (P2) South and West Sea (Port & | Fishing boat) | | (P3) Ship & Cargo | | (P1) Leisure boat | (P2) Ferry boat | (P3) Small fishing boat (without engine) |
| LEVEL.2 (Traffic environment) | | Maritime Safety technologies | | | | Maritime traffic environment | | | | | Increase of ships subject to maritime safety policy | | | |
| | | | | <u>.</u> | | C.2 | | | | | C.3 | | | |
| | | | | | | | | | | | | | | |
| LEVEL 1 (Accident) | Priority | (P1) Collision | (P2) Human casualties | (P3) Rollover | (P4) Grounding | (P1) Improper look-out | (P2) Non- compliance with ship safety | regulations | (P3) Poor | handling of machinery | (P4) Improper ship operating | (P1) Less than 100tons | (P2) Fishing boat | (P3) Towing vessel |
| | Type of maritime accident | | | | | Cause of maritime accident due to human error | | | | | Type and size of the ship (Registered ships) | | | |
| | | C.1 | | | C.2 | | | | | C.3 | | | | |

| Related Technologies | Collision avoidance technology | Operator decision support technology | Operator drowsiness prevention/monitoring technology | |
|----------------------|--------------------------------|---|--|---|
| Rela | Collision | Operat | Ope | Ċ |

Single window interface (Simplified operating interface)

Lane Keeping System (LKS)

Operator behavior pattern analysis technology

Warning technology when entering a dangerous area

Safe route analysis technology

45

Based on the statistical data of maritime accidents, the maritime traffic environment, and the analysis results of related technologies, priorities were selected for which human error reduction technologies are needed on the coast of the Republic of Korea.

The main findings of the analyses are summarized as follows:

Level 1. Related with Accident Statistics

- Accident type: Prevention of the collision between ships
- Causes of Human Error: Improper lookout
- Ship Type: Less than 100 tons

Level 2. Related with the maritime traffic environment

- Maritime Safety technologies: LTE-M
- Maritime traffic environment: Various types of ships
- Increase of ships subject to maritime safety policy: Leisure boats

Level 3. Related with the human factor

- Age of Seafarers: Over 60 years
- · Composition of seafarers: Seafarers on fishing boats
- Seafarers supply and demand: Korean seafarers

So far, an analysis has been conducted to select priorities for the development and application of human error reduction technologies suitable for the Korean coast. The priorities derived in this chapter were used in the proposal for technology development to reduce human error in the Republic of the Korean coast in Chapter 6.3.

6.2. Case Analysis of the maritime accidents caused by human error

The previous chapter investigated what kind of human error reduction technology is necessary based on the overall statistical data and analysis data in the maritime sector. However, such statistical data may have limitations in reflecting the detailed situation and cause of the accident. For this reason, this chapter aims to discover additional data to derive measures to develop human error reduction technologies through a detailed case analysis of human error accidents that occur with the highest frequency.

Case 1. Collision accident of 'Sunchang No.1': On December 3, 2017, around 6:02 am, a fishing boat 'Sunchang No.1' (9.77 tons) and a tanker ship Myungjin 15 (366 tons) collided in the waters of Yeongheung Island, Incheon. In this accident 15 people lost their lives, including fishers. The fishing boat 'Sunchang No.1' hurriedly ran without avoiding the approaching tanker to reach the good fishing spot, and the tanker also thought that small fishing boats would be avoided and did not take avoidance action. According to the fisheries industry, some fisheries believe that fishing boats openly cross the bows of large ships because of the myth that fishing boats pass by the front of a large ship and the fishing performance is good that day. If the captain of one of the two ships had known the risk of collision and taken an active change or positive action early, it would have prevented the loss of life.

The above accident was a collision between a fishing boat and an oil tanker. The cause of the accident was the unreasonable operation of the fishing boat and improper avoidance action of the oil tanker. In particular, in the case of the fishing boat and other vessels, it is difficult to communicate, so the ship operator must understand the intention of operation and perform the avoidance action. Collision avoidance operation caused by such inappropriate information can lead to a major maritime accident. As mentioned above, the maritime traffic environment is not only affected by a specific ship type but is determined by the interrelationship of various ships. Therefore, there is a need for communication and information exchange between different ship types and a decision support system based on this case study.

Case 2. Fishing boat S grounding accident: In October 2004, fishing boat 'S' was sailing for fishing. The ship was approaching the Dokdo island coastal reef out of the planned route due to the influence of strong winds and ocean currents. At the time of the accident, the captain was on duty alone in the wheelhouse. The captain neglected to check the situation and confirm the ship's position using radar during the voyage due to fatigue from fishing work. In this accident, 1 in a total of 6 seafarers died and the ship was sank.

The grounding accident of the fishing vessel 'S' is a typical human error accident caused by improper lookout due to seafarers' fatigue of fishing work. In the case of seafarers on fishing boats, the rate of night work is high and the intensity of work is strong. Because of this, it is in an environment that is highly exposed to fatigue. Fatigue decreases the seafarers' ability to concentrate on safe navigation and increases the risk of maritime accidents.

In case 2, there was an accident that could have been prevented if the seafarers had periodically checked the ship position. Because the ship sailed in a dangerous area, the equipment should have been installed to identify the danger in advance. If this equipment had actively intervened in the ship's operation, the accident would have been avoided. In addition, it would be helpful to prevent the recurrence of such an accident if a system that informs or warns about the vessel entering the dangerous area through the onshore monitoring system.

The results derived through the case study are as follows.

- The necessity of a system for information exchange between fishing boats and other vessels
- Development of human error reduction technology to prevent collisions between different types of ships
- Development of ship's equipment and notification system to check

ship position of small ships such as fishing boats

- · Position notification service for small ships from shore
- Development of a system that can actively intervene in the operation of a ship when a ship enters a dangerous area
- Introduction of a monitoring system to prevent improper look-out of navigators

6.3. The development suggestion of human error reduction technology considering the coastal traffic environment of maritime transportation and the characteristics of the seafarers

So far, through analysis of Korea's maritime accident statistics, maritime traffic environment analysis, and the current status of maritime safety technology, the priorities of the development and application of human error reduction technologies to prevent maritime accidents have been derived. In addition, through a case study, the technologies needed to prevent accidents caused by human error were analyzed. Based on the results of these analysis, this chapter intends to propose the necessary technologies for reducing human error to prevent maritime accidents in the coastal waters of the Republic of Korea.

First, the development of human error reduction technology to prevent collisions between ships should be improved. Such efforts have been around for a long time. ARPA radar and AIS are representative examples of such efforts. However, these technologies have a very limited scope of information exchange between ships. In addition, the delivery of risk information was also very passive. However, due to the development of sensor technology mentioned above, ships have been able to collect more detailed maritime traffic information, and due to the development of information technology, more detailed traffic information from land can be used. It is necessary to develop a system that allows the system to more actively intervene in the operation when a dangerous situation occurs by using such technology to analyze

in more detail about collision information between ships and using such reliable information. In the development of such a system, it must be considered that all or part of the system should be applied to small ships such as fishing boats. The decision of the ship's avoidance operation is not only the problem between ships in which a collision is expected but also the relationship with the ships operating around it. Therefore, if all ships do not operate such a system, reliability will not be secured.

Second, the development of human error reduction technology suitable for small vessels (Less than 100 tons) should be enhanced. In the case of fishing boats, the size of the vessel is small, and in particular, the navigation room (Bridge) is narrow. Because a fishing vessel is made for fishing purposes, there is a limit to the loading space of navigation and communication equipment for vessel safety. In addition, it must be taken into account that most of the small ship's seafarers do not have high navigational knowledge or the utilization of IT equipment. Therefore, in the case of human error reduction equipment to be installed in small ships, it should be able to provide only necessary information based on a simple user-interface for older seafarers and with a minimized size.

Third, the software of human error accident prevention technology should be developed. In the case of the coast of Korea, sufficient maritime safety infrastructure such as AIS, e-Navigation, and LTE-M is established and will be installed. Such a hardware infrastructure for communication between ships and land, enables more useful maritime traffic information to be exchanged. However, despite such infrastructure, the service of human error reduction technology utilizing it is insufficient. In other words, the development and efforts of navigator-centered software technology are still in the beginning stage. Therefore, it is possible to check whether the ship's operation is safe through the analysis of the ship's position information. To provide safety route information through the analysis of the surrounding maritime traffic environment (ship traffic, weather, fishing boats operation information, etc.), and collision risk information between different ship types (fishing boat-cargo ship) various software-like human error reduction technologies should be developed.

Fourth, human error reduction technology to prevent maritime accidents due to improper lookout should be developed. There are many reasons for improper lookout. These include drowsiness due to fatigue, work in other tasks, and decreased concentration. These various causes are difficult to control with one technique. Particularly, due to the characteristics of the ship's operation, the size of the bridge being wide, and the very long periods of duty. Because of the nature of the maritime route, it is difficult to apply the drowsiness prevention technology or Lane Keeping System (LKS) applied in the automobile field. Considering such characteristics of ship operations, a certain area of the bridge is set as an area for securing voyage safety, and the working pattern of navigators in this area is analyzed. However, such a system will be able to play a role as an auxiliary system as a means to increase the concentration of observation by analyzing the algorithm of human behavior.

Lastly, the aims of human error reduction technology are to preventing human error, and should not be a means to control humans. The purpose of this is to minimize errors in human decision-making processes and to prevent maritime accidents through support for optimal decision-making. Therefore, such technology should not control humans or restrict human behavior and right but should develop as a system for providing information for accurate decision making.

6.4. Tasks other than human error reduction technology

The human error reduction technologies mentioned in this dissertation so far is a technical support method to minimize human error. Such a technology does not fundamentally eliminate human error but aims to technically prevent errors that may occur in the overall process and decision-making and to provide support to improve the completeness of decision-making.

As mentioned above, IMO has already established and is implementing international conventions such as the ISM Code and STCW Convention for standardization of human factors and verification of qualifications. However, these efforts are limited to the seafarer of ships under international conventions engaged in international voyages. For this reason, seafarers of small ships such as fishing boats are in the blind spot of the standardized system to reduce human error. Furthermore, seafarers of the small ship have a relatively low level of expertise and education. In addition, since seafarers of a fishing boat are engaged in fishing work and ship operation at the same time, it makes it difficult to focus on the safety of ship operation due to fatigue from hard work. Therefore, the introduction of an education and training system considering the characteristics of fishing boat seafarers is required.

Chapter 7. Conclusions and Suggestions

7.1. Conclusions

In the procedure of the ship's operation, ship operators sense and perceive a variety of information, use their knowledge to judge the situation, make decisions, and take action. If a human error occurs in any part of this process, the ship operator makes a mistake, and sometimes this mistake leads to an accident. Human errors cannot be eliminated but can be reduced with effort. As mentioned in this dissertation, a technical approach such as designing a ship to prevent human error, establishing a decision support system, or establishing accident prevention or alarm/monitoring device can be effective. Since enormous costs are incurred to build such a system or equipment, the state or shipping company may face financial limitations and will remain at an economically appropriate level. For this reason, measures to control human behavior must be devised to fill the gap between investment limitations and accidents.

However, no matter how much technology to reduce human error is introduced and education and regulations for seafarers are strengthened, human errors such as mistakes, flickering, and errors arising from human nature cannot be eliminated. Therefore, it is necessary to strengthen the professionalism of seafarers to minimize deviations in individual behavior and to secure knowledge through education and training. In addition, shipping companies and the state should make more drastic investments in safety for the sustainable development of shipping, rather than focusing on short-term profits.

7.2. Suggestions

The Republic of Korea has been focusing on the construction of a hardware system to secure maritime safety, such as the establishment of maritime wireless communication and ship monitoring systems. In other words, it means that the infrastructure for the development and realization of human error reduction technology using IT has already been established. However, even though such an efficient infrastructure has already been established, the software development and implementation of applications for reducing maritime accidents are still insufficient.

Maritime accidents due to human error account for more than about 90% of all maritime accidents, and the proportion is expected to increase further due to the development of shipbuilding technology and the acceleration of the aging of the seafarers. In particular, small ships that are not subject to international conventions such as fishing boats require special attention as a blind spot for maritime safety. In the development of the application, not only the cost for development is high in the early stage, but the size of the market for dissemination and utilization of the technology is limited, making it difficult to develop related technologies led by the business sector.

The prevention of maritime accidents is the state's duty to protect the safety and life of the people and the foundation for sustainable development of the shipping industry. For this reason, to develop and implement effective human error reduction technology, investment, and efforts led by the state are required in the early stages. In particular, in the case of small vessels such as fishing boats, relatively drastic investment is required because the commercial scale is small and the ability to invest in safety management is insufficient.

Therefore, at the beginning of the development of human error reduction technology, the government should lead the development of software, such as platforms, which are essential elements of it, and the expansion of related services should be supported by the business sector.

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