



## RESEARCH ARTICLE

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# Meteor-Ablated Aluminum in the Mesosphere-Lower Thermosphere

### Key Points:

- Experimental study of the reactions of  $\text{AlO}^+$  with O and CO provides closure for the neutral and ion-molecule chemistry of meteor-ablated Al
- Atmospheric model of Al is constructed by adding this chemistry and an Al meteoric source function to the WACCM chemistry-climate model
- The model predicts a nighttime AIO density of  $\sim 10 \text{ cm}^{-3}$ , consistent with an AIO upper limit of  $60 \text{ cm}^{-3}$  determined from a lidar campaign

### Supporting Information:

- Supporting Information S1

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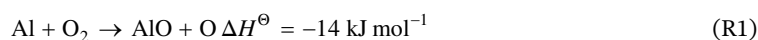
**Abstract** The first global atmospheric model (WACCM-Al) of meteor-ablated aluminum was constructed from three components: The Whole Atmospheric Community Climate Model (WACCM6); a meteoric input function for Al derived by coupling an astronomical model of dust sources in the solar system with a chemical meteoric ablation model; and a comprehensive set of neutral, ion-molecule and photochemical reactions relevant to the chemistry of Al in the upper atmosphere. The reaction kinetics of two important reactions that control the rate at which  $\text{Al}^+$  ions are neutralized were first studied using a fast flow tube with pulsed laser ablation of an Al target, yielding  $k(\text{AlO}^+ + \text{CO}) = (3.7 \pm 1.1) \times 10^{-10}$  and  $k(\text{AlO}^+ + \text{O}) = (1.7 \pm 0.7) \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  at 294 K. The first attempt to observe AIO by lidar was made by probing the bandhead of the  $\text{B}^2\Sigma^+(v' = 0) \leftarrow \text{X}^2\Sigma^+(v'' = 0)$  transition at  $\lambda_{\text{air}} = 484.23 \text{ nm}$ . An upper limit for AIO of  $60 \text{ cm}^{-3}$  was determined, which is consistent with a night-time concentration of  $\sim 5 \text{ cm}^{-3}$  estimated from the decay of AIO following rocket-borne grenade releases. WACCM-Al predicts the following: AIO, AIOH and  $\text{Al}^+$  are the three major species above 80 km; the AIO layer at mid-latitudes peaks at 89 km with a half-width of  $\sim 5 \text{ km}$ , and a peak density which increases from a night-time minimum of  $\sim 10 \text{ cm}^{-3}$  to a daytime maximum of  $\sim 60 \text{ cm}^{-3}$ ; and that the best opportunity for observing AIO is at high latitudes during equinoctial twilight.

**Plain Language Summary** Around 30 tons of cosmic dust particles enter the Earth's atmosphere each day. Aluminum (Al) makes up around 1.4% of these particles by mass. This study explores what happens to the Al which ablates from cosmic dust in the region between 80 and 110 km. In the first part of the second, laboratory experiments are described to measure two reactions of aluminum oxide ions which control how quickly ionized aluminum species are neutralized. A chemical network of 36 reactions of Al species is then put into a chemistry-climate model, along with the predicted injection rate of Al from dust ablation. The model simulates well the concentration of Al ions measured by rocket-borne mass spectrometry. We then describe an attempt to measure the concentration of AIO, using laser sounding of an unusually strong optical transition of AIO. This yielded a very small upper limit to the AIO concentration, shown to be consistent with the atmospheric model and the rate at which AIO disappears following release from rocket payloads above 90 km.

## 1. Introduction

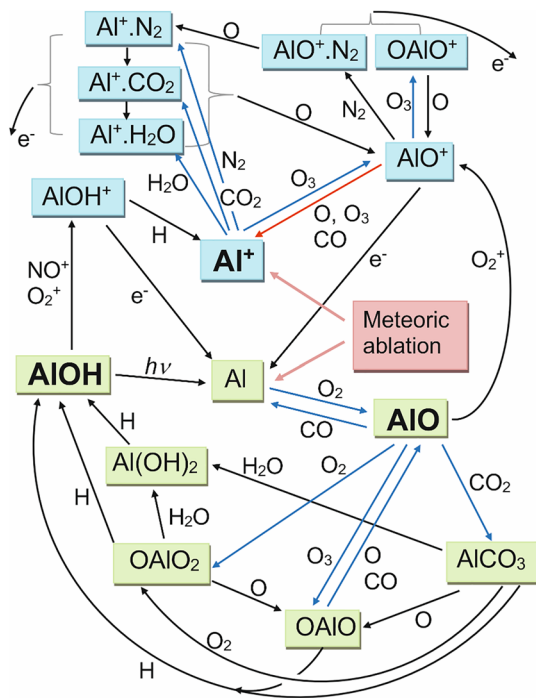
The ablation of cosmic dust particles entering the Earth's atmosphere injects a range of metals into the mesosphere/lower thermosphere (MLT) region between 80 and 120 km, giving rise to layers of metal atoms and ions (Plane et al., 2015). A recent estimate of the global mass input rate of dust is  $28 \pm 16 \text{ t d}^{-1}$  (Carrillo-Sánchez et al., 2020). The relative mass abundance of Al in cosmic dust should be around 1.4%, based on the Carbonaceous Ivuna (CI) chondritic abundance (Asplund et al., 2009) (the CI ratio is regarded as the closest in composition to interplanetary dust [Jessberger et al., 2001]). However, Al is present in the dust as a highly refractory oxide, so that only 14% of the incoming Al ablates, mostly from high speed dust particles which originate from Halley Type Comets (Carrillo-Sánchez et al., 2020).

Ablated Al atoms will then react rapidly with  $\text{O}_2$  to form AIO (Gómez Martín, Daly, et al., 2017):



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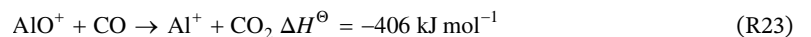


**Figure 1.** Schematic diagram of meteor-ablated Al chemistry in the MLT. Ionized and neutral Al species are contained in blue and green boxes, respectively. Blue arrows indicate reactions measured previously, and the red arrow shows the reaction measured in the present study. MLT, mesosphere/lower thermosphere.

with a time constant of  $\sim 300 \mu\text{s}$  at 85 km. Thus, unlike the major meteoric metals Fe, Mg, and Na which occur as layers of neutral metal atoms between about 80 and 105 km, aluminum is likely to occur predominantly as a layer of AIO. There are two reasons for this conjecture. First, atomic Si is the only other major meteoric species which undergoes a fast bimolecular reaction with  $\text{O}_2$ , and a detailed model of silicon chemistry predicts that this element occurs as a layer of SiO rather than Si in the MLT (Plane et al., 2016). Second, solar-pumped fluorescence from the  $\text{AIO}(\text{B}^2\Sigma^+ - \text{X}^2\Sigma^+)$  band has been observed when tri-methyl aluminum (TMA) is released in the MLT during twilight (Golomb et al., 1967; Johnson, 1965; Rosenberg et al., 1964). Emission from the same AIO band was also observed during entry of the very bright Benešov bolide over the Czech Republic (Borovička & Berezhnoy, 2016).

The only aluminum species which has so far actually been observed in the background atmosphere is the  $^{27}\text{Al}^+$  ion, measured using rocket-borne mass spectrometry (Grebowsky & Aikin, 2002; Kopp, 1997; Krankowsky et al., 1972). The  $\text{Al}^+/\text{Fe}^+$  ratio between 90 and 100 km was found from a series of rocket flights to be  $0.022 \pm 0.005$  (Daly et al., 2019), which is reasonably close to the estimated Al/Fe meteoric ablation ratio of 0.037 (Carrillo-Sánchez et al., 2020). We have recently carried out a study of the kinetics of the pertinent neutral (Gómez Martín, Daly, et al., 2017; Mangan et al., 2020) and ion-molecule (Daly et al., 2019) reactions that aluminum is likely to undergo in the MLT. These studies, along with electronic structure theory calculations to elucidate likely reaction pathways, has enabled the chemical network shown in Figure 1 to be constructed. The reactions that we have measured previously are indicated with blue arrows.

In terms of ion-molecule chemistry,  $\text{Al}^+$  mostly reacts with  $\text{O}_3$  in the MLT (Figure 10 in Daly et al. [2019]) to produce  $\text{AIO}^+$ . In Section 2.1 of the present study, we describe an experimental study to measure the rate coefficients for the reactions of the  $\text{AIO}^+$  ion with O and CO (red arrow in Figure 1):



(note that the reaction numbering follows the complete list of reactions in Table 1). These two highly exothermic reactions (the reaction enthalpies are calculated using the electronic structure method discussed in Section 2.2) control the balance between ionized and neutral aluminum because they reduce  $\text{AIO}^+$  to  $\text{Al}^+$ , which can only undergo slow dielectronic recombination with electrons (see Figure 1).

In terms of neutral chemistry, the measured reaction kinetics indicate that AIO will initially form  $\text{OAlO}_2$  and  $\text{AlCO}_3$  (see Figure 11 in Mangan et al., 2020). However,  $\text{AlCO}_3$  may then react exothermically with  $\text{O}_2$  to form  $\text{OAlO}_2$ , which in turn is likely to react with H to produce AIOH, as shown in Figure 1. Unlike other metal hydroxides such as FeOH (Self & Plane, 2003), NaOH (Gómez Martín, Daly, 2017), and CaOH (Gómez Martín & Plane, 2017), AIOH is stable with respect to reaction with H and O atoms (Mangan et al., 2020) and is therefore likely to be a major Al reservoir. In Section 2.2, we use electronic structure theory calculations to explore these pathways for converting AIO to AIOH.

In fact, it appears that the only process which can recycle AIOH to AIO directly is photolysis. The excited electronic states of AIOH have been studied in some detail by Trabelsi and Francisco (2018) (in order to explain the observed ratio of AIO to AIOH in the interstellar medium). Using high level coupled cluster theory calculations, they showed that the two photolysis channels

**Table 1**  
*Aluminum Chemistry in the Mesosphere/Lower Thermosphere*

No.	Reaction	Rate coefficient <sup>a</sup>
Neutral reactions		
R1	$\text{Al} + \text{O}_2 \rightarrow \text{AlO} + \text{O}$	$k_1 = 1.7 \times 10^{-10} (T/300)^{1/6^b}$
R2	$\text{AlO} + \text{O}_3 \rightarrow \text{OAlO} + \text{O}_2$	$k_2 = 1.3 \times 10^{-10} (T/300)^{1/6^c}$
R3	$\text{OAlO} + \text{O} \rightarrow \text{AlO} + \text{O}_2$	$k_3 = 1.9 \times 10^{-10} (T/300)^{1/6^c}$
R4	$\text{OAlO} + \text{CO} \rightarrow \text{AlO} + \text{CO}_2$	$k_4 = 2.6 \times 10^{-11} (T/300)^{1/6^c}$
R5	$\text{AlO} + \text{CO} \rightarrow \text{Al} + \text{CO}_2$	$k_5 = 2.0 \times 10^{-12} (T/300)^{1/6^c}$
R6	$\text{AlO} + \text{O}_2 (+\text{N}_2) \rightarrow \text{OAlO}_2$	$\log_{10}(k_6) = -35.137 + 6.1052 \log_{10}(T) - 1.4089 (\log_{10}(T))^{2c}$
R7	$\text{AlO} + \text{CO}_2 (+\text{N}_2) \rightarrow \text{AlCO}_3$	$\log_{10}(k_7) = -38.736 + 8.7342 \log_{10}(T) - 2.0202 (\log_{10}(T))^{2c}$
R8	$\text{OAlO}_2 + \text{O} \rightarrow \text{OAlO} + \text{O}_2$	$k_8 = 1.2 \times 10^{-10} (T/300)^{1/6^d}$
R9	$\text{OAlO} + \text{H} \rightarrow \text{AlOH} + \text{O}$	$k_9 = 2 \times 10^{-10} (T/300)^{1/6^d}$
R10	$\text{OAlO}_2 + \text{H} \rightarrow \text{AlOH} + \text{O}_2$	$k_{10} = 2 \times 10^{-10} (T/300)^{1/6^d}$
R11	$\text{OAlO}_2 + \text{H}_2\text{O} \rightarrow \text{Al}(\text{OH})_2 + \text{O}_2$	$k_{11} = 2 \times 10^{-10} (T/300)^{1/6^d}$
R12	$\text{Al}(\text{OH})_2 + \text{H} \rightarrow \text{AlOH} + \text{H}_2\text{O}$	$k_{12} = 5 \times 10^{-11} (T/300)^{1/6^d}$
R13	$\text{AlCO}_3 + \text{O} \rightarrow \text{OAlO} + \text{CO}_2$	$k_{13} = 4 \times 10^{-11} (T/300)^{1/6^d}$
R14	$\text{AlCO}_3 + \text{O}_2 \rightarrow \text{OAlO}_2 + \text{CO}_2$	$k_{14} = 6.6 \times 10^{-11} (T/300)^{1/6^d}$
R15	$\text{AlCO}_3 + \text{H} \rightarrow \text{AlOH} + \text{CO}_2$	$k_{15} = 5 \times 10^{-11} (T/300)^{1/6^d}$
R16	$\text{AlCO}_3 + \text{H}_2\text{O} \rightarrow \text{Al}(\text{OH})_2 + \text{CO}_2$	$k_{16} = 2 \times 10^{-10} (T/300)^{1/6^d}$
R17	$\text{AlOH} + h\nu \rightarrow \text{AlO} + \text{H} \text{ or } \text{Al} + \text{OH}$	$k_{17} = 3.3 \times 10^{-3e}$
Ion-molecule reactions		
R18	$\text{AlO} + \text{O}_2^+ \rightarrow \text{AlO}^+ + \text{O}_2$	$k_{18} = 4.1 \times 10^{-9} (T/300)^{-0.364f}$
R19	$\text{AlOH} + \text{O}_2^+ \rightarrow \text{AlOH}^+ + \text{O}_2$	$k_{19} = 2.3 \times 10^{-9} (T/300)^{-0.165f}$
R20	$\text{AlOH} + \text{NO}^+ \rightarrow \text{AlOH}^+ + \text{NO}$	$k_{20} = 1.7 \times 10^{-9} (T/300)^{-0.22f}$
R21	$\text{Al}^+ + \text{O}_3 \rightarrow \text{AlO}^+ + \text{O}_2$	$k_{21} = 1.4 \times 10^{-9g}$
R22	$\text{AlO}^+ + \text{O} \rightarrow \text{Al}^+ + \text{O}_2$	$k_{22} = 1.7 \times 10^{-10h}$
R23	$\text{AlO}^+ + \text{CO} \rightarrow \text{Al}^+ + \text{CO}_2$	$k_{23} = 3.7 \times 10^{-10h}$
R24a	$\text{AlO}^+ + \text{O}_3 \rightarrow \text{OAlO}^+ + \text{O}_2$	$k_{24a} = 4.1 \times 10^{-10g}$
R24b	$\text{AlO}^+ + \text{O}_3 \rightarrow \text{Al}^+ + 2\text{O}_2$	$k_{24b} = 8.8 \times 10^{-10g}$
R25	$\text{OAlO}^+ + \text{O} \rightarrow \text{AlO}^+ + \text{O}_2$	$k_{25} = 3.5 \times 10^{-10f}$
R26	$\text{AlOH}^+ + \text{H} \rightarrow \text{Al}^+ + \text{H}_2\text{O}$	$k_{26} = 1.7 \times 10^{-10f}$
R27	$\text{Al}^+ + \text{N}_2 (+\text{N}_2) \rightarrow \text{Al}^+.\text{N}_2$	$\log_{10}(k_{27}) = -27.9739 + 0.05036 \log_{10}(T) - 0.60987 (\log_{10}(T))^{2g}$
R28	$\text{Al}^+ + \text{CO}_2 (+\text{N}_2) \rightarrow \text{Al}^+.\text{CO}_2$	$\log_{10}(k_{28}) = -33.6387 + 7.0522 \log_{10}(T) - 2.1467 (\log_{10}(T))^{2g}$
R29	$\text{Al}^+ + \text{H}_2\text{O} (+\text{N}_2) \rightarrow \text{Al}^+.\text{H}_2\text{O}$	$\log_{10}(k_{29}) = -24.7835 + 0.018833 \log_{10}(T) - 0.6436 (\log_{10}(T))^{2g}$
R30	$\text{Al}^+.\text{N}_2 + \text{O} \rightarrow \text{AlO}^+ + \text{N}_2$	$k_{30} = 1.2 \times 10^{-10f}$
R31	$\text{Al}^+.\text{CO}_2 + \text{O} \rightarrow \text{AlO}^+ + \text{CO}_2$	$k_{31} = 1.2 \times 10^{-10f}$
R32	$\text{Al}^+.\text{H}_2\text{O} + \text{O} \rightarrow \text{AlO}^+ + \text{H}_2\text{O}$	$k_{32} = 1.2 \times 10^{-10f}$
R33	$\text{AlO}^+ + \text{N}_2 (+\text{N}_2) \rightarrow \text{AlO}^+.\text{N}_2$	$k_{33} = 2.7 \times 10^{-27} (T/300)^{-2.31i}$
R34	$\text{AlO}^+.\text{N}_2 + \text{O} \rightarrow \text{Al}^+.\text{N}_2 + \text{O}_2$	$k_{34} = 3 \times 10^{-10f}$
R35	$\text{Al}^+.\text{X} + \text{e}^- \rightarrow \text{Al} + \text{X} (\text{X} = \text{O}, \text{N}_2, \text{OH}, \text{O}_2, \text{CO}_2, \text{H}_2\text{O})$	$k_{35} = 3 \times 10^{-7} (T/300)^{-0.5j}$

**Table 1**  
Continued

No.	Reaction	Rate coefficient <sup>a</sup>
Sink polymerization reactions		
R36	$\text{AlX} + \text{AlY} \rightarrow \text{Al}_2\text{XY}$ (X, Y = O, OH, (OH) <sub>2</sub> )	$k_{36} = 5.8 \times 10^{-7k}$

<sup>a</sup>Units: s<sup>-1</sup> for photolysis reactions; cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> for bimolecular reactions; cm<sup>6</sup> molecule<sup>-2</sup> s<sup>-1</sup> for termolecular reactions. <sup>b</sup>Gómez Martín, Daly, et al., 2017. <sup>c</sup>Mangan et al., 2020. <sup>d</sup>set to a collision frequency of  $2 \times 10^{-10} (T/300)^{1/6}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, scaled by a statistical electronic branching factor (see text). <sup>e</sup>Calculation from electronic structure theory (Section 2.3); note that any Al produced by R17a will immediately be oxidized to AlO by reaction R1. <sup>f</sup>Set to the Langevin collision frequency, scaled by a statistical electronic branching factor (see text). <sup>g</sup>Daly et al., 2019. <sup>h</sup>Measured, this study. <sup>i</sup>Rice Ramsperger Markus Kassel calculation (see text). <sup>j</sup>Set to the measured rate coefficient for FeO<sup>+</sup> + e<sup>-</sup> (Bones et al., 2016). <sup>k</sup>See text.



should have almost identical thresholds around 225 nm. Note that any Al produced via channel R17a will immediately be oxidized to AlO via reaction for photolysis reactions; R1. In Section 2.3, the photodissociation rate of AlOH in the MLT is estimated.

In Section 3, we describe a set of lidar observations of the expected AlO layer. The peak absorption cross section of AlO in the B-X band at 484.23 nm was measured in our laboratory to be  $\sigma(298 \text{ K}) = (6.7 \pm 1.6) \times 10^{-15} \text{ cm}^2 \text{ molecule}^{-1}$  (Gómez Martín, Daly, et al., 2017). This cross section is unusually large for a molecular diatomic transition, and is only a factor of 80 smaller than the cross section for atomic Fe at 372 nm used for lidar measurements of the Fe layer in the MLT. It is worth emphasizing that although chemiluminescence from FeO and NiO has been observed in the nightglow spectrum (Evans et al., 2011; Saran et al., 2011), no molecular metallic species has been actively detected by resonance lidar. The lidar results are then compared with an estimate of the AlO peak density determined from the lifetime of the AlO trails produced by TMA releases.

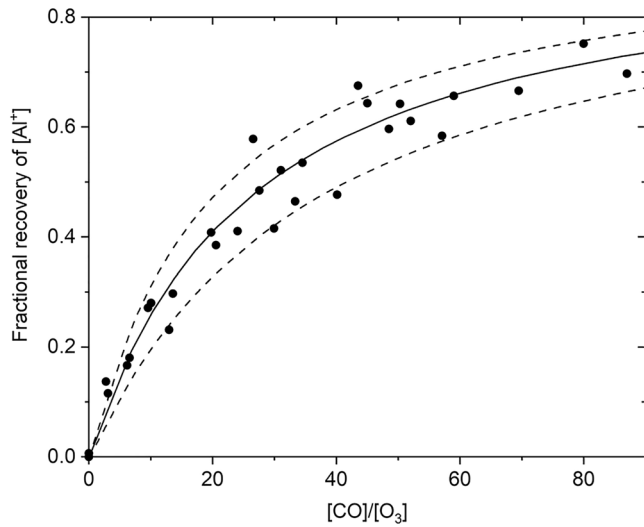
In Section 4, we incorporate into a whole atmosphere chemistry-climate model the aluminum chemistry network shown schematically in Figure 1, together with a meteoric input function for Al (Carrillo-Sánchez et al., 2020). The model simulations are then compared with observations of Al<sup>+</sup> and AlO.

## 2. Underpinning Laboratory and Theoretical Work

### 2.1. Experimental Study of AlO<sup>+</sup> Reaction Kinetics

Reactions R22–R23 were studied in a stainless-steel fast flow tube which has been described in detail previously (Bones et al., 2020; Daly et al., 2019). At the upstream end of the tube, a pulsed Nd:YAG laser (Continuum Surelite) was used to ablate Al<sup>+</sup> ions from a rotating Al rod, which were then entrained in a carrier gas flow of He (mass flow rate ranging from 3.3 to 3.5 standard liters min<sup>-1</sup>). O<sub>3</sub> was added at a fixed injection point 19 cm downstream of the Al rod to produce AlO<sup>+</sup> via reaction R21 (Daly et al., 2019). Atomic O or CO was then added further downstream via a sliding injector. At the downstream end of the flow tube, after a reaction time of several milliseconds, Al<sup>+</sup> ions were detected with a quadrupole mass spectrometer (Hiden Analytical, model HPR60) operating in positive ion mode. A roots blower backed by a rotary pump provided a range of flow velocities from 48 to 76 m s<sup>-1</sup>, at the constant pressure of 1.0 Torr which was used in these experiments. The resulting reaction times after injection of O or CO ranged from 7.5 to 8.0 ms. All experiments were conducted at 294 K.

O<sub>3</sub> was generated by passing O<sub>2</sub> through a high voltage corona discharge in a commercial ozonizer, with its concentration measured spectrophotometrically at 253.7 nm (provided by a Hg pen lamp) in a 19 cm pathlength optical cell. The O<sub>3</sub> absorption cross section used was  $1.16 \times 10^{-17} \text{ cm}^2 \text{ molecule}^{-1}$  (Molina & Molina, 1986). Atomic O was generated through microwave discharge of N<sub>2</sub> (McCarroll cavity, Ophos Instruments Inc.), followed by titration with NO before injection into the flow tube through the sliding injector (Self & Plane, 2003). The concentration of O at the point of injection was measured by using the mass spectrometer in neutral mode to determine the amount of NO required to titrate the O. The (first-order)



**Figure 2.** Fractional recovery of  $[Al^+]$  plotted against the ratio of  $[CO]/[O_3]$  in the flow tube. The solid points are the experimental data and the model fit is the solid black line, with the dashed lines illustrating the  $\pm 1\sigma$  uncertainty in  $k_{23}$ . Conditions: 1 Torr,  $T = 294$  K.

loss rate of O to the walls of the flow tube was measured by observing the relative change in the concentration of O ( $[O]$ ) as the flight time was varied by changing the carrier gas flow rate at constant pressure. Relative  $[O]$  was monitored by adding NO downstream and recording the relative intensity of the chemiluminescence (at  $\lambda > 550$  nm) produced by reaction between NO and O (Self & Plane, 2003).

Materials: carrier gas He (99.995%, BOC gases) was purified through a molecular sieve at 77 K before flow tube entry;  $N_2$  (99.9999%, Air products),  $O_2$  (99.999%, Air products) and CO (99.5% pure, Argo International) were used without further purification; NO (99.95%, Air products) was purified via three freeze-pump-thaw cycles before dilution in He.

### 2.1.1. Reaction of $AlO^+ + CO$

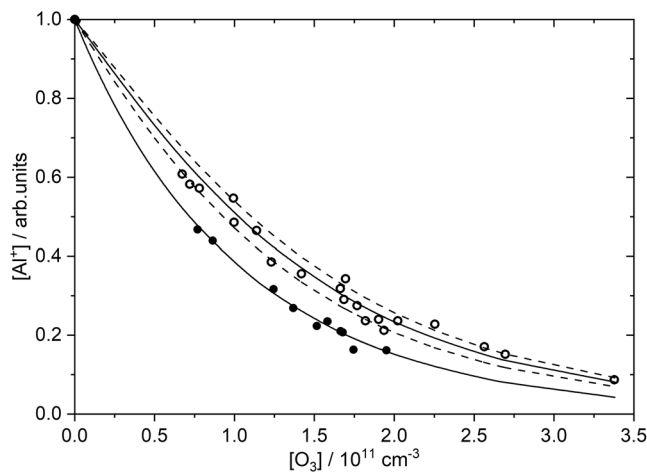
$AlO^+$  was produced by reaction with  $O_3$  by injecting  $[O_3]$  at a fixed point, and CO was then added from a sliding injector 0.5 cm downstream of the  $O_3$  injection point. This gave a 7.5 ms reaction time from the sliding injection point to the mass spectrometer skimmer cone.  $k_{23}$  was measured by varying  $[CO]$  at a fixed  $[O_3]$  of  $2.73 \times 10^{11}$  molecule  $cm^{-3}$ , and recording the fractional recovery of  $[Al^+]$ , where this is defined with respect to the  $[Al^+]$  before  $O_3$  is added. Figure 2 illustrates how this fraction increases as a function of  $[CO]$ , due to R23 converting  $AlO^+$  back to  $Al^+$ .

The flow tube kinetics are complicated by the additional reactions of  $AlO^+$  with  $O_3$  and  $O_2$  (Daly et al., 2019), as well as diffusional loss of the ions to the flow-tube walls. A kinetic model of the flow tube was therefore used to determine the rate coefficient  $k_{23}$ . The model uses a set of ordinary differential equations (ODEs) to describe the time-dependent variation of  $Al^+$ ,  $AlO^+$ , and  $AlO_2^+$  down the length of the flow tube. The model is described in detail elsewhere (Bones et al., 2020). The first-order wall loss rate ( $k_{diff}$ ) for  $Al^+$  was measured to be  $655 \pm 15$   $s^{-1}$  at 294 K and 1 Torr (Daly et al., 2019).  $k_{diff}$  for  $AlO^+$  and  $AlO_2^+$  were calculated to be 650 and 649  $s^{-1}$ , respectively, from the long-range ion-induced dipole forces between these ions and the He bath gas (Bones et al., 2020). The rate coefficients and branching ratios for the reactions of  $Al^+$  and  $AlO^+$  with  $O_2$  and  $O_3$  have been measured previously by Daly et al. (2019), and are listed in Table 1.

A value for  $k_{23}$  was obtained by independently fitting the model to each experimental data point in Figure 2, and then calculating an overall mean value and standard deviation of  $k_{23} = (3.7 \pm 1.1) \times 10^{-10}$   $cm^3$  molecule $^{-1}$   $s^{-1}$  at 294 K. The model run using this result is shown as the solid line in Figure 2 (the dashed lines indicate the uncertainty in  $k_{23}$ ), and clearly provides a satisfactory fit to the experimental data.

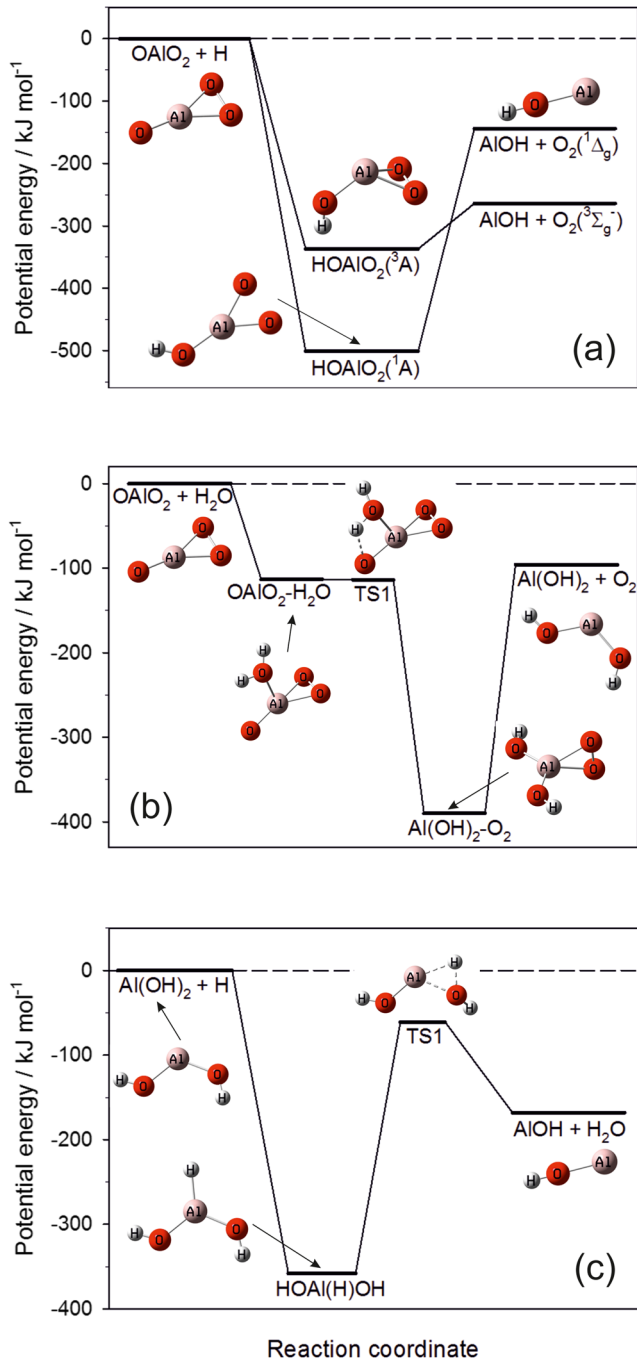
### 2.1.2. Reaction of $AlO^+ + O$

This reaction was studied by again adding  $O_3$  to produce  $AlO^+$ , and then injecting a constant  $[O]$  through the sliding injector. The reason for keeping  $[O]$  constant is that this reactant is more difficult to make, requiring titration of the  $N_2$  discharge with NO and then measuring  $[O]$  at the point of injection (see above). Unlike our previous recent work on  $NiO^+ + O$  (Bones et al., 2020), the reaction of the  $Al^+.N_2$  cluster ion with O did not have to be accounted for in the model (the source of  $N_2$  is the microwave discharge) because the reaction between  $Al^+$  and  $N_2$  is very slow (Daly et al., 2019). Figure 3 shows the  $Al^+$  signal as a function of  $[O_3]$  (varied from  $(0.4$  to  $3.4) \times 10^{11}$  molecule  $cm^{-3}$ ), with  $[O]$  either fixed at  $1.36 \times 10^{13}$  molecule  $cm^{-3}$  (open circles) or turned off (solid circles). The flow tube kinetic model now also requires the wall loss rate for atomic O, which was measured to be  $500 \pm 45$   $s^{-1}$ . The model fit (solid lines) is in good agreement with the experimental data both in the presence and



**Figure 3.**  $[Al^+]$  as a function of  $[O_3]$  in the presence of O (open circles,  $[O] = 1.36 \times 10^{13}$  molecule  $cm^{-3}$ ) and with the O discharge switched off (solid circles). The solid lines are model fits through the experimental data, and the dashed lines denote the  $\pm 1\sigma$  uncertainty in  $k_{22}$ . Conditions: 1 Torr,  $T = 294$  K.



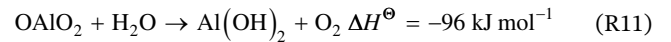


**Figure 4.** Reaction potential energy surfaces calculated at the CBS-QB3 level of theory: (a)  $\text{OAlO}_2 + \text{H}$ ; (b)  $\text{OAlO}_2 + \text{H}_2\text{O}$ ; (c)  $\text{Al}(\text{OH})_2 + \text{H}$ . TS1 is the transition state on each surface (Table S1).

absence of O, yielding  $k_{22}(294\text{ K}) = (1.7 \pm 0.7) \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . The dashed lines illustrate the model fit with  $k_{22}$  set to its upper and lower limits at the  $1\sigma$  uncertainty level.

## 2.2. Neutral Al Chemistry

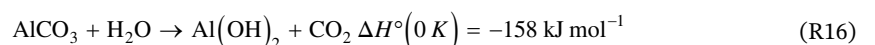
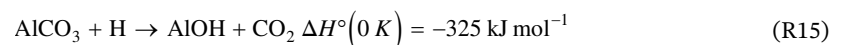
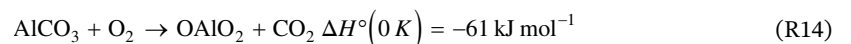
In order to explore the likely balance between AlO and AlOH in the MLT, we examine here the pathways from OAlO<sub>2</sub> and AlCO<sub>3</sub> to AlOH (Figure 1). H and H<sub>2</sub>O have similar concentrations between 80 and 90 km (Plane et al., 2015), and so direct conversion of OAlO<sub>2</sub> to AlOH (R10), and indirect conversion via Al(OH)<sub>2</sub> (R11 + R12), need to be considered:

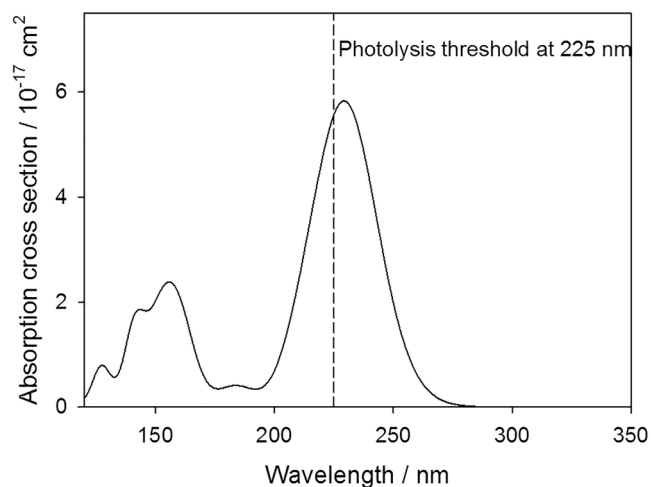


Although these reactions are highly exothermic, it is important to determine whether there are any substantial energy barriers on the potential energy surfaces (PESs) which link the reactants to the products. Electronic structure calculations were used to do this. The geometries of the Al-containing molecules were first optimized at the B3LYP/6-311+g(2d, p) level of theory within the Gaussian 16 suite of programs (Frisch et al., 2016), and then more accurate energies determined using the Complete Basis Set (CBS-QB3) method (Montgomery et al., 2000). The PESs for R10, R11, and R12 are illustrated in Figure 4, which also shows the geometries of the stationary points on each surface. The Cartesian coordinates, rotational constants, vibrational frequencies and heats of formation of the relevant molecules are listed in Table S1.

All three reactions exhibit deep wells on their PESs, corresponding to very stable intermediates. However, there are no barriers above the reactant entrance channel energies. Hence, at the low pressures of the MLT these intermediates will not be stabilized by collisional quenching with air molecules, and the bimolecular products should form with rate coefficients that are close to their collision frequencies and have small temperature dependences. Interestingly, the reaction between OAlO<sub>2</sub> and H can take place on surfaces of either singlet or triplet spin multiplicity. Although the singlet surface has a deeper well corresponding to singlet HOAlO<sub>2</sub>, spin conservation means that this species will dissociate to AlOH(<sup>1</sup>A') and electronically excited O<sub>2</sub>(<sup>1</sup>Δ<sub>g</sub>).

In the case of AlCO<sub>3</sub>, the most likely reaction is with O<sub>2</sub> to form OAlO<sub>2</sub>, although reaction with H to make AlOH directly, or indirectly with H<sub>2</sub>O via Al(OH)<sub>2</sub>, are also exothermic:





**Figure 5.** Absorption cross section of AlOH calculated at the TD-B3LYP//6-311+g(2d, p) level of theory. The dashed line indicates the threshold for photodissociation to Al + OH or AlO + H.

The PESs for these three reactions (Figures S1–S3) show that there are no barriers, so these reactions should also be close to their collision frequencies. The same considerations apply to exothermic reactions R8, R9, and R13. In order to assign rate coefficients to R8–R16, we assume a typical collision frequency of  $2 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1} (T/300)^{1/6} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , and multiply this by a statistical factor if the combination of reactant spins leads to a multiplicity of PESs which exceeds that of the products (Smith, 1980). For example, for R15 the products are both singlets, and the reactants are both doublets, so the statistical factor is  $(1 \times 1)/(2 \times 2) = 0.25$ . These rate coefficients are listed in Table 1.

### 2.3. Photochemistry of AlOH

We have shown previously that the observed growth of Fe on the underside of the mesospheric Fe layer at sunrise is most probably due to the photolysis of the reservoir species FeOH, which has a relatively large photolysis rate in the MLT of  $J(\text{FeOH}) = (6 \pm 3) \times 10^{-3} \text{ s}^{-1}$  (Viehl et al., 2016). Here, we use the quantum chemistry method that we used previously for FeOH (Viehl et al., 2016) and NiOH (Daly et al., 2020) to estimate  $J(\text{AlOH})$ . First, the geometry of AlOH was optimized at the B3LYP/6-311+g(2d, p) level of theory (Frisch et al., 2016). Second, the

vertical excitation energies and transition dipole moments for transitions from the AlOH ground state to the first 50 electronically excited states were calculated using the time-dependent density function theory (TD-DFT) method (Bauernschmitt & Ahlrichs, 1996).

The resulting absorption spectrum is plotted in Figure 5, which shows that the threshold for photodissociation occurs close to the peak of a strong near-UV absorption band peaking at 229 nm. If absorption at wavelengths shorter than 225 nm causes photodissociation to either Al + OH or AlO + H (Trabelsi & Francisco, 2018), then convolving the AlOH cross section up to this threshold with the solar actinic flux from the semi-empirical SOLAR2000 model (Tobiska et al., 2000) (averaged over a solar cycle), yields  $J(\text{AlOH}) = 3.3 \times 10^{-3} \text{ s}^{-1}$  in the MLT.

### 2.4. Al Ion-Molecule Chemistry

The ionization energies of AlO and AlOH are 9.82 eV (Clemmer et al., 1992) and 8.89 eV (Sikorska & Skurski, 2009), respectively. These are both lower than the ionization energy of  $\text{O}_2$  (12.07 eV), which means that both AlO and AlOH should charge transfer with ambient E region  $\text{O}_2^+$  ions (R18 and R19). However, the lower ionization energy of NO (9.26 eV) means that only AlOH will charge transfer with ambient  $\text{NO}^+$  (R20). The rate coefficients for these reactions are set to their Langevin capture rates, increased to account for the significant dipole moments of AlO (4.45 D [Bai & Steimle, 2020]) and AlOH (0.97 D [Sikorska & Skurski, 2009]) using the statistical adiabatic model of Troe (1985). These capture rates are then multiplied by a statistical factor to take account of the spin multiplicities of reactants and products.

$\text{Al}^+$  reacts most rapidly with  $\text{O}_3$  (R21 in Table 1) throughout the MLT (Daly et al., 2019).  $\text{AlO}^+$  is then most likely to react with O and be reduced back to  $\text{Al}^+$  (R22, see Section 2.1). However,  $\text{AlO}^+$  can also recombine with  $\text{N}_2$  (R33 in Table 1). The rate coefficient  $k_{33}$  was calculated using the version of Rice Ramsperger Markus Kassel (RRKM) theory described in Daly et al. (2019). The relevant molecular parameters are listed in Table S4. This reaction is reasonably fast because the  $\text{AlO}^+ \cdot \text{N}_2$  cluster ion is bound by  $106 \text{ kJ mol}^{-1}$ . It is then likely to react with O to form the weakly bound  $\text{Al}^+ \cdot \text{N}_2$  ion, which can ligand switch with  $\text{CO}_2$  and  $\text{H}_2\text{O}$  to form more stable  $\text{Al}^+ \cdot \text{CO}_2$  and  $\text{Al}^+ \cdot \text{H}_2\text{O}$  cluster ions (Daly et al., 2019). Note that all three of these cluster ions can also form directly through the recombination of  $\text{Al}^+$  with  $\text{N}_2$ ,  $\text{CO}_2$  or  $\text{H}_2\text{O}$  (R27–R29), though only the  $\text{Al}^+ + \text{N}_2$  reaction is within two orders of magnitude of reaction with  $\text{O}_3$  (R21) (Daly et al., 2019). The three cluster ions can then be converted to  $\text{AlO}^+$  by reaction with O (R30–R32).

The rate coefficients of all the relevant bimolecular ion-molecule reactions which have not been measured (black arrows in Figure 1) are set to their Langevin capture rates (Smith, 1980). The molecular Al-containing

ions can all undergo dissociative recombination with electrons (R35). These reactions are all set to the rate coefficient measured for  $\text{FeO}^+ + e^-$  (Bones et al., 2016), based on the observation that dissociative recombination reactions of small molecular ions nearly all have rate coefficients within a factor of 2 of  $3 \times 10^{-7} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (Florescu-Mitchell & Mitchell, 2006).

### 2.5. Permanent Removal of Al Species

Reaction R36 in Table 1 is a set of polymerization reactions which account for the permanent loss of the significant neutral Al-containing molecules AlO, AlOH and, to a lesser extent,  $\text{Al}(\text{OH})_2$  (see Section 4) to form meteoric smoke particles (MSPs). We have used this type of reaction in previous models of the Na (Marsh et al., 2013), K (Plane et al., 2014), Fe (Feng et al., 2013), Mg (Langowski et al., 2015), SiO (Plane et al., 2016), Ca (Plane et al., 2018), and Ni (Daly et al., 2020) layers. In this case,  $k_{36}$  is set to  $5.8 \times 10^{-8} \text{ cm}^3 \text{ s}^{-1}$ , which is  $\sim 80$  times larger than a typical dipole-dipole capture rate for these metallic molecules. This factor allows for the Al-containing reservoir species to polymerize with other metal-containing molecules produced by meteoric ablation (e.g., FeOH and  $\text{Mg}(\text{OH})_2$ ), whose concentration will be around 80 times higher because the elemental ablation ratio of Al atoms to the sum of Na + Fe + Mg + Si + Ni + Al atoms is 1/81.2 (Carrillo-Sánchez et al., 2020).

## 3. Observations of AlO in the MLT

### 3.1. Lidar Observations

#### 3.1.1. Lidar and Calibration Cell Setup

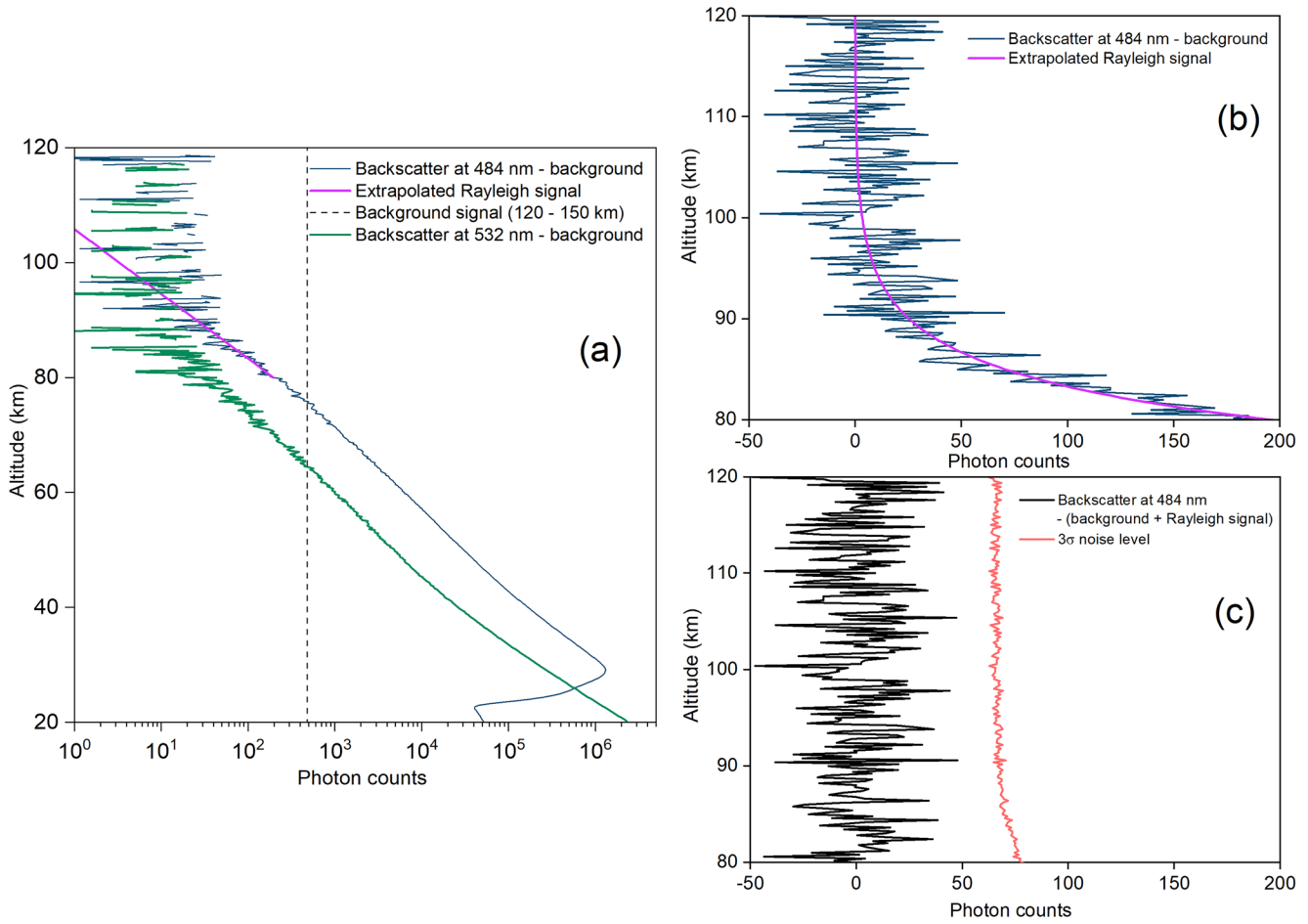
The absolute absorption cross section of AlO at the bandhead of the  $\text{B}^2\Sigma^+(v' = 0) - \text{X}^2\Sigma^+(v'' = 0)$  transition at  $\lambda_{\text{air}} = 484.23 \text{ nm}$  is  $\sigma(298 \text{ K}, 1 \text{ hPa}) = (6.7 \pm 1.6) \times 10^{-15} \text{ cm}^2 \text{ molecule}^{-1}$  (0.003 nm resolution) (Gómez Martín, Daly, et al., 2017). Because this cross section is unusually large for a diatomic molecule, we carried out a lidar campaign to determine if an AlO layer could be detected. Soundings were performed at Kühlungsborn, Germany (54°N, 12°E) for three nights during January 2016 and three nights in April 2017, yielding  $\sim 20 \text{ h}$  of integration time. Details of the lidar system are given by Gerding et al. (2019); the instrument is a modification from an earlier twin dye laser design (Alpers et al., 1996; Gerding et al., 2000). Laser emission at 484.23 nm was produced using a XeCl excimer laser at 308 nm (repetition rate = 30 Hz) to pump a dye laser with Coumarin 102 dye dissolved in methanol, producing laser radiation over the 455–495 nm spectral range.

A small-scale version of the flow tube used by Gómez Martín, Daly et al. (2017) was installed next to the lidar as an AlO calibration cell, both to check the laser wavelength before atmospheric measurements and then to avoid drift away from the AlO bandhead during operation. AlO was produced in the cell by laser ablation of a rotating Al rod, using 532 nm light that was beam-split from a Nd:YAG laser in the co-located Rayleigh-Mie-Raman (RMR) lidar (Gerding et al., 2016). The Al was entrained in a flow of  $\text{N}_2$  (total pressure = 2.1 Torr), and a trace of  $\text{O}_2$  added  $\text{N}_2$  downstream to make AlO via reaction 1. A quartz fiber was used to guide the 484 nm laser light from the AlO lidar to the calibration cell, and laser induced fluorescence detected with a photomultiplier orthogonal to the laser beam. The dye laser was scanned in 1 pm intervals to find the peak of the AlO bandhead. A flip mirror was used to alternately direct the dye laser to the calibration cell or to the optics in the lidar transmitter.

#### 3.1.2. Observations at 484 nm

Figure 6a shows the integrated lidar backscatter profile at 484.23 nm (blue line), summed over the three sounding nights during April 2017. The background noise level, which was determined by averaging the signal from 120 to 150 km (dashed line in Figure 6a), has been subtracted. The RMR lidar (green line in Figure 6), which operated simultaneously alongside the AlO resonance lidar, was used to provide an off-resonance measurement (at 532 nm) since no off-resonance measurements were taken with the resonance lidar (which was set to the AlO bandhead). Both profiles showed a monotonic decay of the Rayleigh scatter into the background noise. The 484 nm Rayleigh scatter was detected well above 80 km where an AlO layer would be expected (Figure 6b), based on the metal atom layers (Gerding et al., 2019; Plane, 2003).



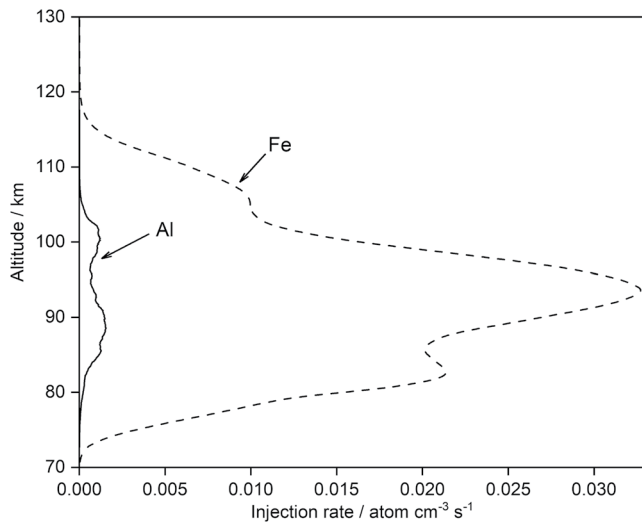


**Figure 6.** Integrated backscatter profile of lidar soundings during April 2017 on a log scale. (a) 484 nm lidar (blue line) and RMR lidar (green), after background subtraction. The dashed line is the 484 nm background signal measured between 120 and 150 km. The purple line is the extrapolated Rayleigh backscatter above 80 km. (b) The 484 nm lidar, background (blue line), and the extrapolated Rayleigh signal (purple line) on a linear scale. (c) Residual signal after the background and extrapolated Rayleigh backscatter are subtracted from the 484 nm signal. The red line is the  $3\sigma$  photon noise level. The altitude resolution is 200 m.

The Rayleigh backscatter was then extrapolated from 80 km to higher altitudes (purple lines in Figures 6a and 6b) and subtracted from the backscatter signal to yield the residual signal (black line in Figure 6c). No obvious resonance layer was detected over the observation period; application of Poisson statistics shows that an AIO resonance signal was not present above the the  $3\sigma$  photon noise threshold (red line in Figure 6c) (Gerrard et al., 2001). Nevertheless, an upper limit for the AIO density can be estimated. A Gaussian profile for the AIO layer was assumed, extending from 85 to 100 km with a peak at 90 km (analogous to other metal layers [Plane, 2003]), and fitted to the residual signal. Adapting the work of Tilgner and von Zahn (1988), the upper limit to the AIO density,  $n_z(\text{AIO})$ , is then given by:

$$n_z(\text{AIO}) = n_{z_r}(\text{air}) \frac{\sigma_{\text{Ray}}(\text{air})}{\sigma_{\text{res}}(\text{AIO})} \frac{z(\text{AIO})^2}{z_r^2} \frac{C(\text{AIO})}{C(\text{air})} \frac{1}{\text{Tr}^2(z_r, z)} \quad (\text{I})$$

where  $n_{z_r}(\text{air})$  is the air density at the reference altitude ( $3.6 \times 10^{17} \text{ cm}^{-3}$ ) from NRLMSISE-00 (Picone et al., 2002);  $\sigma_{\text{Ray}}$  ( $7.6 \times 10^{-27} \text{ cm}^2 \text{ molecule}^{-1}$ ) and  $\sigma_{\text{res}}$  ( $(6.7 \pm 1.6) \times 10^{-15} \text{ cm}^2 \text{ molecule}^{-1}$  [Gómez Martín, Daly, et al., 2017]) are the Rayleigh and effective resonance AIO cross sections (the *backscatter* cross sections are these values divided by  $4\pi$ );  $z(\text{AIO})$  is the assumed altitude for the AIO peak (90 km),  $z_r$  the reference altitude of 30 km;  $C(\text{AIO})$  and  $C(\text{air})$  are the AIO resonance and Rayleigh photon counts after the background noise is subtracted (20 and  $1.3 \times 10^6$  counts, respectively); and  $\text{Tr}(z_r, z)$  is the transmission (assumed to be

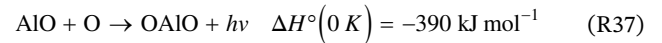


**Figure 7.** Global annual mean injection rates of Al and Fe from meteoric ablation. The injection profiles from Carrillo-Sánchez et al. (2020) have been divided by a factor of 5.0.

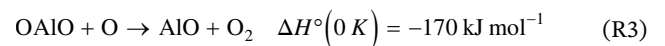
1) of the atmosphere between  $z_r$  and  $z$  at the laser pulse wavelength. This yields an AIO detection limit of  $60 \text{ cm}^{-3}$ .

### 3.2. Al Releases in the MLT

TMA grenade releases from rocket payloads in the MLT generate visible chemiluminescence (Golomb et al., 1967; Roberts & Larsen, 2014), which was proposed to arise from the radiative recombination reaction (Golomb & Brown, 1976; Rosenberg et al., 1964):



R37 is sufficiently exothermic to produce emission at wavelengths longer than 306 nm, as we have shown recently in the laboratory (Mangan et al., 2020). Note that the reaction between Al and  $\text{H}_2\text{O}$  proposed by Gole and Kolb (1981) is not required to explain the chemiluminescence; in any case, any Al will preferentially react with  $\text{O}_2$  (R1). The OAIO product is then recycled to AIO by reaction with O:



which proceeds close to the capture rate (Mangan et al., 2020), so that AIO is in a large excess over OAIO and the intensity of the chemiluminescence is a marker for the AIO concentration. Roberts and Larsen (2014) reported that the chemiluminescence intensity decayed with an e-folding lifetime of around 29 min between 90 and 100 km i.e. the first-order removal for AIO into a long-lived reservoir is  $\sim 6 \times 10^{-4} \text{ s}^{-1}$ . The rate of injection of Al atoms into the MLT has recently been estimated to be  $3 \times 10^{-3} \text{ cm}^{-3} \text{ s}^{-1}$  (Carrillo-Sánchez et al., 2020); since the Al will immediately be oxidized by  $\text{O}_2$  to AIO, this represents the injection rate of fresh AIO. Balancing injection against removal, the steady-state concentration of AIO should then be  $\sim 5 \text{ cm}^{-3}$ . This is 1 order of magnitude lower than the upper limit for AIO determined from the lidar observations in Section 3.1. Note that this estimate of the AIO density is during nighttime, when these rocket release experiments were conducted.

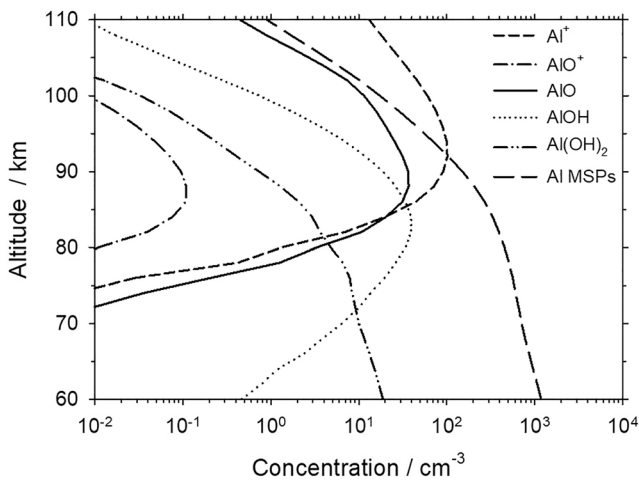
## 4. Model Simulations of Al Chemistry in the MLT

### 4.1. WACCM-Al Set up

The Al reactions in Table 1 were imported into the Whole Atmosphere Community Climate Model (WACCM6), which uses the framework developed from the second iteration of the fully coupled Community Earth System Model (CESM2) (Gettelman et al., 2019). WACCM6 has a vertical extension from the Earth's surface to the lower thermosphere at  $\sim 140$  km. Although the model can be nudged by a reanalysis data set, as we have done with other meteoric metals where measurements are available for comparison (Plane et al., 2015, 2018), for the present study we used a free-running version of WACCM6 with a reduced tropospheric chemical mechanism. The model has a horizontal resolution of  $1.9^\circ$  latitude  $\times$   $2.5^\circ$  longitude, and 70 vertical model layers ( $\sim 3$  km vertical resolution in the MLT region). This version of WACCM6 with Al chemistry is termed WACCM-Al. The full set of Fe reactions in WACCM-Fe (Feng et al., 2013; Viehl et al., 2016) was also included in order to compare model simulations with measurements of  $\text{Al}^+$  and  $\text{Fe}^+$  in the MLT. The model simulations were performed from 1979 to 2014, using the standard WACCM6 initialization conditions file (Danabasoglu et al., 2020). Here we focus on a decade of model output from 2004 to 2014, which is sufficiently long to produce a climatology of the Al species.

### 4.2. Al Meteoric Input Function

The global average injection profiles of Al and Fe are illustrated in Figure 7. These Meteoric Input Function (MIF) were estimated by combining the new version of the Chemical

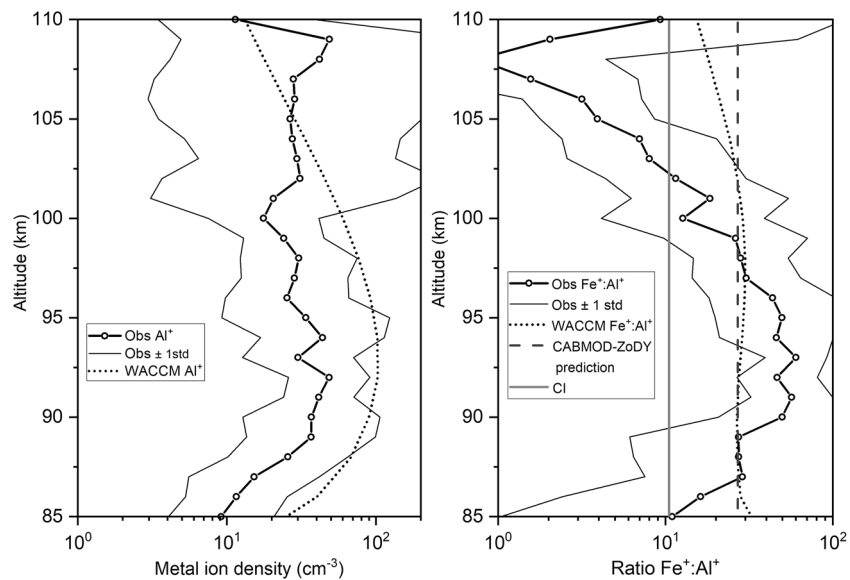


**Figure 8.** Annual average profiles of the major Al-containing species, simulated by WACCM-Al at 54°N between 2004 and 2014. Al, aluminium; MSP, meteoric smoke particle; WACCM, whole atmospheric community climate model.

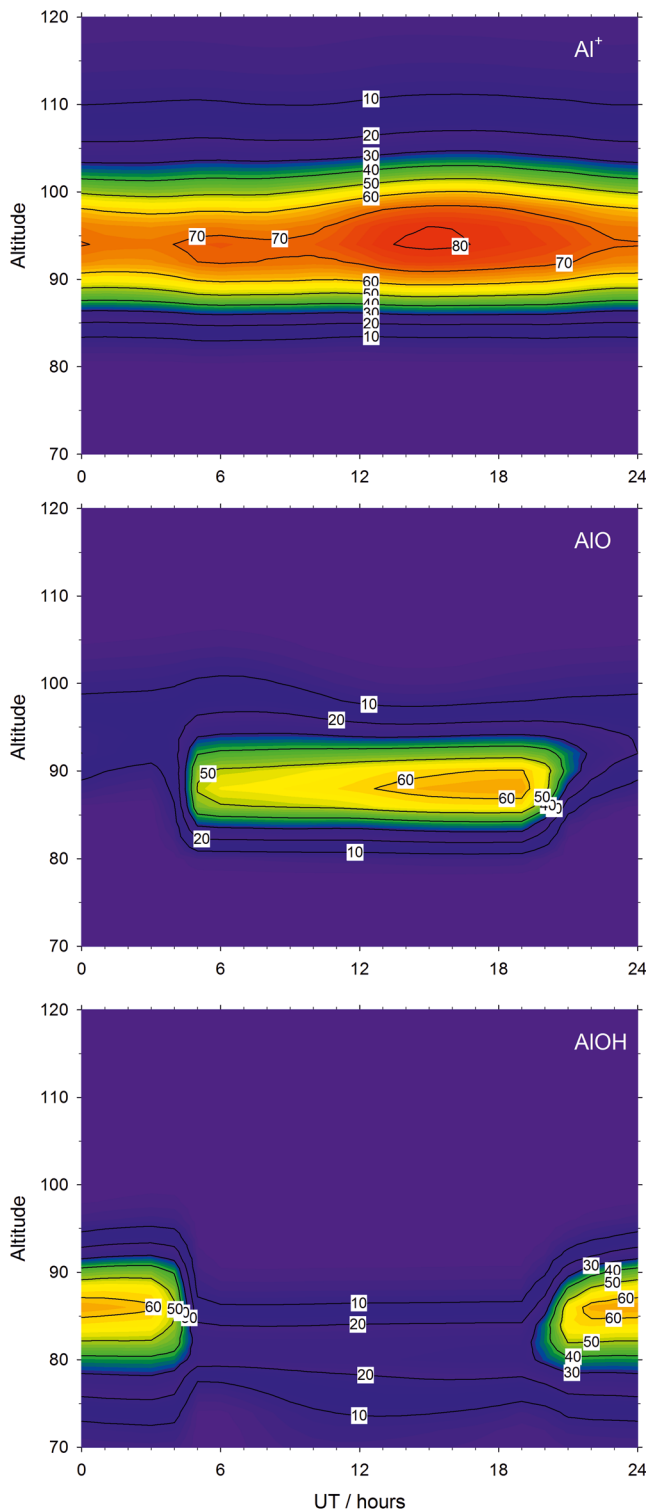
ABLation MODEL (CABMOD-3), which simulates the ablation of the major meteoric elements from an individual dust particle (Carrillo-Sánchez et al., 2020), with the Zodiacal Cloud Model (ZoDY) which provides the mass, velocity and radiant distributions of particles entering Earth’s atmosphere from Jupiter Family Comets, the asteroid belt, and long-period Halley-Type comets (Nesvorný et al., 2011). The contributions from these different sources are weighted using the procedure in Carrillo-Sánchez et al. (2016). The upper peak in the Al injection profile arises from fast meteors (mostly from Halley-Type comets), and the lower peak from slow meteors (mostly from Jupiter Family comets).

Note that both injection profiles in Figure 7 have been reduced by a factor of 5 from the profiles in Carrillo-Sánchez et al. (2020). This accounts for the fact that global models such as WACCM underestimate the vertical transport of minor species in the MLT, because short wavelength gravity waves are not resolved on the current horizontal grid scale of the model (~220 km). These subgrid waves contribute to vertical chemical and dynamical transport of constituents while dissipating, and this can exceed transport driven along mixing ratio gradients by the turbulent eddy diffusion produced once the waves break (Gardner et al., 2017). Because these additional vertical transport mechanisms are underestimated, the MIF of each metal needs to be reduced in order to correctly simulate the

observed absolute metal density (Plane et al., 2018). Note that this 5-fold reduction in the Al MIF was not applied in Section 3.2 when estimating the steady-state AIO concentration using the observed AIO decay rate after a rocket release. This is because the rocket experiments are in the real atmosphere. The Al MIF in WACCM is then set to vary with season and latitude in the same way as the Fe MIF (Feng et al., 2013), that is, an autumnal maximum and vernal minimum, increasing from essentially no variation at the equator to ±30% at the pole, with the same annual average input at all latitudes.



**Figure 9.** Left: annual mean altitude profile of Al<sup>+</sup> at 0 LT, simulated by WACCM-Al for Kùhlungsborn (54°N, 12°E) (dotted line). The solid black line with open circles is the geometric mean profile of Al<sup>+</sup>, with the geometric standard deviation (1σ error limits, thin black lines), for the eight rocket flights listed in Table S5. Right: mean altitude profile of the modeled Fe<sup>+</sup>:Al<sup>+</sup> ratio (dotted line), compared with the measured ratio (solid black line with open circles; geometric 1σ standard deviation shown by thin black lines). The Fe:Al ablation ratio predicted by the CABMOD-ZoDY model and the CI ratio are shown by the vertical lines on the plot.



**Figure 10.** Hourly average vertical profiles of the concentrations ( $\text{cm}^{-3}$ ) of  $\text{Al}^+$  (top panel),  $\text{AIO}$  (middle panel), and  $\text{AIOH}$  (bottom panel) for  $54^\circ\text{N}$  during April (local time is  $\sim 1$  h ahead of Universal Time).

### 4.3. Model Results

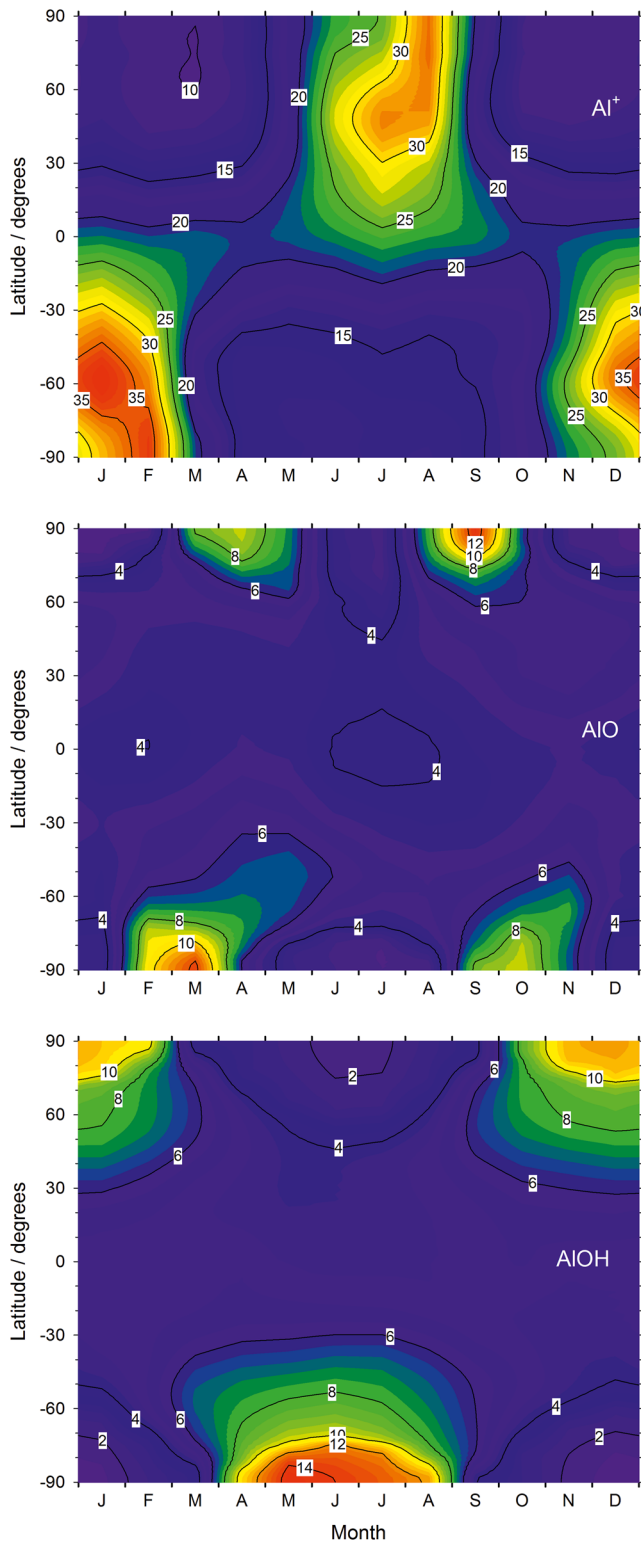
Figure 8 shows the annual average vertical profiles of the major Al species at  $54^\circ\text{N}$ , the latitude of the lidar observations. As expected,  $\text{Al}^+$  is the dominant species above 95 km.  $\text{AIO}$  and  $\text{AIOH}$  then occur in layers that peak around 89 and 86 km, respectively.  $\text{Al}(\text{OH})_2$  is also significant below 80 km once the atomic H concentration decreases significantly (Plane et al., 2015), so that reaction R12 becomes very slow. Below 92 km, most of the Al is tied up as Al-containing polymers, which represent a surrogate for MSPs (see Section 2.5). The uncertainty in the modeled balance between  $\text{AIO}$  and  $\text{Al}^+$  arising from the experimental uncertainties in  $k_{22}$  and  $k_{23}$  (Section 2.1) was estimated using a Monte Carlo analysis. The uncertainty in  $[\text{AIO}]$  increases from 12% to 18% between 87 and 110 km, but that in  $[\text{Al}^+]$  is  $\leq 5\%$  because this is the dominant gas-phase species above 87 km (Figure 8).

Figure 9 (left panel) compares the vertical profile of  $\text{Al}^+$  simulated by WACCM-Al with the geometric mean profiles from a set of eight mid- to high-latitude rocket-borne mass spectrometric measurements by Kopp and co-workers (Kopp, 1997; Kopp et al., 1984, 1985a, 1985b; Meister et al., 1978). Details of these flights are provided in Table S5. The model results are the annual average simulated  $\text{Al}^+$  profile at 0 LT for  $54^\circ\text{N}$ . The observed  $\text{Al}^+$  layer peaks around 92–94 km, with a geometric mean density of  $40 \text{ cm}^{-3}$  and geometric standard deviation from 20 to  $100 \text{ cm}^{-3}$ . The modeled layer peaks at 93 km, with a density close to  $100 \text{ cm}^{-3}$ . Given the paucity of observations, this level of agreement is satisfactory. Because the reaction of  $\text{AIO}^+$  with O (R22) is relatively fast (Section 2.1.2) and O is a major species above 84 km,  $\text{Al}^+$  is the major Al-containing ion by 2–5 orders of magnitude between 85 and 110 km. Figure 9 (right panel) shows that the rocket-measured  $\text{Fe}^+:\text{Al}^+$  ratio is also satisfactorily modeled between 86 and 104 km. The ratio is very close to the CABMOD-Zody estimate of the relative meteoric inputs, which is a factor of 2.8 larger than the CI ratio of the two metals.

Figure 10 shows the diurnal variation of  $\text{Al}^+$ ,  $\text{AIO}$  and  $\text{AIOH}$  as a function of height during April at  $54^\circ\text{N}$ , in order to compare with the lidar measurements described in Section 3.1. The diurnal variation of the vertical column densities of these species is shown in Figure S4. As expected,  $\text{Al}^+$  peaks between 13 and 17 UT because of the daytime increase in the concentrations of the lower E region ions  $\text{NO}^+$  and  $\text{O}_2^+$ , which charge transfer with  $\text{AIO}$  and  $\text{AIOH}$  (R18–R20).

More interesting is the diurnal behavior of the neutral species  $\text{AIO}$  and  $\text{AIOH}$ , which are essentially anticorrelated:  $\text{AIO}$  peaks during daytime, and  $\text{AIOH}$  at night. This behavior is caused by the photolysis of  $\text{AIOH}$  (R17) to produce  $\text{AIO}$  either directly or via Al. The result is that  $\text{AIO}$  varies between 10 and  $20 \text{ cm}^{-3}$  at night, but it increases to over  $60 \text{ cm}^{-3}$  between 13 and 20 UT. The nighttime level is consistent with the upper limit of  $60 \text{ cm}^{-3}$  determined from the lidar observations (Section 3.1), and also with the concentration of  $\sim 5 \text{ cm}^{-3}$  that is inferred from the Al rocket release experiments (Section 3.2).

Figure 11 illustrates the variation with latitude and month of the vertical column densities of  $\text{Al}^+$ ,  $\text{AIO}$ , and  $\text{AIOH}$ .  $\text{Al}^+$  shows little seasonal variation at low latitudes, but a three-fold increase between winter and summer at mid- to high-latitudes, reflecting the change in ambient lower E



**Figure 11.** Seasonal/latitudinal variations of the column abundances of  $\text{Al}^+$ , AIO, and AIOH (units:  $10^7 \text{ cm}^{-2}$ ), averaged from 2004 to 2014.

region ionization. AIOH also demonstrates a strong (though opposite) annual cycle at high latitudes, increasing by a factor of  $\sim 6$  from a mid-summer minimum in the continuously sunlit polar region to a mid-winter maximum in polar night. In contrast, AIO exhibits a semi-annual cycle at mid- to high-latitudes, peaking at the equinoxes. The reason is that after polar night, during which AIO is very low because most of the neutral Al is in the form of AIOH, photolysis causes a spring-time increase in AIO by a factor of  $\sim 3$ . However, moving into summer the AIO is reduced again by increased charge transfer with  $\text{O}_2^+$  (R18), causing  $\text{Al}^+$  to peak. The situation then reverses in the autumn. Note that the AIO is up to a factor of 1.3 times higher at the autumnal compared with the vernal equinox, because of the autumnal peak in the MIF (Feng et al., 2013).

Figure S5 illustrates the seasonal/latitudinal variation of the centroid height and root-mean-square (RMS) width of the AIO layer. Although the layer mostly peaks around 90 km, at high latitudes during polar night the peak increases to 98 km because AIOH is essentially a sink for neutral Al species below this in the absence of sunlight. In contrast, the mid-summer AIO layer at high latitudes still peaks around 90 km because now the removal of AIO is via charge transfer at higher altitudes. The RMS layer width is on average around 5 km, with a mid-summer minimum at polar latitudes of 3.4 km because of the ionization of the top-side of the AIO layer.

## 5. Conclusions

In this study, we describe a comprehensive Al chemistry network, constructed from a set of neutral and ion-molecule reactions measured previously in our laboratory (Daly et al., 2019; Mangan et al., 2020), as well as the reactions of  $\text{AIO}^+$  with O and CO (R22 and R23) reported as part of the present study. Additional reaction rate coefficients are estimated by using electronic structure theory to explore the relevant PESs. The Al reaction network was then incorporated into the WACCM chemistry-climate model, along with a new MIF for Al (Carrillo-Sánchez et al., 2020).

We also report the first attempt, to our knowledge, to directly observe the AIO layer in the MLT. Although the lidar observations did not detect a layer, an upper limit of only  $60 \text{ cm}^{-3}$  for the AIO density was determined. This sets an important benchmark for future observations. A rough estimate for AIO of around  $5 \text{ cm}^{-3}$  was obtained from the rate of decay of AIO chemiluminescence from rocket-borne grenade releases. Both of these types of atmospheric measurements apply to nighttime. However, the WACCM-Al model indicates that AIO should be a factor of  $\sim 6$  times higher during daytime, because of photolysis of AIOH, which is the other major neutral Al-containing molecule. Of course, this result depends on the accuracy of the calculated photolysis rate of AIOH (Section 2.3), and it is essential that this is measured in the future. Lidar measurements during twilight, when photolysis of AIOH in the MLT is still occurring but the solar terminator is above the troposphere so that the amount of scattered sunlight is reduced, would offer the best chance of detecting AIO. This is particularly the case at high latitudes during spring or autumn (e.g., at  $69^\circ\text{N}$ , 3 h of twilight measurements could be made on Julian days 112 and 253), when the AIO density should also be high (Figure 11).



## Data Availability Statement

The version cesm2\_1\_3 model and input data are provided by the National Center for Atmospheric Research ([http://www.cesm.ucar.edu/models/cesm2/release\\_download.html](http://www.cesm.ucar.edu/models/cesm2/release_download.html)). The WACCM-AI and WACCM-Fe models and output are archived in the Petabyte Environmental Tape Archive and Library at the University of Leeds via <https://petal.leeds.ac.uk/>. The data are available at <http://doi.org/10.5281/zenodo.4066748>.

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