1 # Taking the Pulse of Earth's Tropical Forests using Networks of
2 # Highly Distributed Plots

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8

9 **Abstract**

10 Tropical forests are the most diverse and productive ecosystems on Earth. While better
11 understanding of these forests is critical for our collective future, until quite recently efforts to
12 measure and monitor them have been largely disconnected. Networking is essential to discover
13 the answers to questions that transcend borders and the horizons of funding agencies. Here we
14 show how a global community has responded to the challenges of tropical ecosystem research
15 with diverse teams measuring forests tree-by-tree in hundreds of long-term plots. We review
16 the major scientific discoveries of this work and show how this process is changing tropical
17 forest science. Our core approach involves linking long-term grassroots initiatives with
18 standardized protocols and data management to generate robust scaled-up results. By
19 connecting tropical researchers and elevating their status, our Social Research Network model
20 recognises the key role of the data originator in scientific discovery. Conceived in 1999 with
21 RAINFOR (South America), our permanent plot networks have been adapted to Africa
22 (AfriTRON) and Southeast Asia (T-FORCES) and widely emulated worldwide. Now these
23 multiple initiatives are integrated via ForestPlots.net cyber-infrastructure, linking colleagues
24 from 54 countries across 24 plot networks. Collectively these are transforming understanding
25 of tropical forests and their biospheric role. Together we have discovered how, where and why
26 forest carbon and biodiversity are responding to climate change, and how they feedback on it.
27 This long-term pan-tropical collaboration has revealed a large long-term carbon sink and its
28 trends, as well as making clear which drivers are most important, which forest processes are
29 affected, where they are changing, what the lags are, and the likely future responses of tropical
30 forests as the climate continues to change. By leveraging a remarkably old technology, plot
31 networks are sparking a very modern revolution in tropical forest science. In the future,
32 humanity can benefit greatly by nurturing the grassroots communities now collectively capable
33 of generating unique, long-term understanding of Earth's most precious forests.

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Introduction

As the most diverse and productive ecosystems on Earth, tropical forests play essential roles in the carbon and water cycles and maintenance of global biodiversity. Tropical forest lands are also home to more than a billion people and thousands of cultures. Having first provided the environments and germplasm that sustained foragers and farmers since the earliest days of humanity, today they underpin a large fraction of our globalized diet and intense demand for water, food and clean air. They also affect our health in multiple ways, providing rich pharmacopeias to traditional and modern societies, and capable of changing the course of history when pandemic zoonotic pathogens emerge as forests and wildlife are exploited. Tropical forests are also critical to determining the degree and impact of anthropogenic climate change. Because of their extent, carbon density and productivity, they may both slow global heating by absorbing carbon into their biomass and soils, or accelerate it as deforestation and high temperatures damage forests and release carbon to the atmosphere.

Tropical carbon and biodiversity are therefore critical targets for environmental measurement and monitoring. While vital to our past and future, efforts to measure and monitor them have until recently been localised and largely disconnected. Although aspects of their ecology can be sensed remotely on-the-ground, tree-by-tree measurement is essential. Indeed ground measurements are irreplaceable – whether to address a plethora of ecological questions (e.g., Wright, this volume), inform and validate ecosystem models (e.g., Malhi et al., this volume), or assist with interpreting remotely acquired data (e.g., Chave et al. 2019, Duncanson et al. 2019, Phillips et al. 2019). Yet the very features that enhance tropical forests' ecological value, such as remoteness, diversity and high rainfall, make fieldwork challenging. Tropical forest science and scientists from forest-rich countries are often under-resourced and academically marginalised. Often colonized from afar and distant from economic centres, tropical nature and many who explore it remain peripheral to national and global academic and political priorities.

The focus of this paper is specifically about the power of new collaborative networks to transform tropical forest science – *what* we do, *how* we do it, and eventually *who* does it - to understand tropical forest functioning and dynamics over large temporal and spatial scales. Conceived and funded starting in South America in 1999 (RAINFOR, Malhi et al. 2002) and later adapted to Africa (AfriTRON, Lewis et al. 2009) and Southeast Asia (T-FORCES, Qie et al. 2017) our approach encourages international grassroots initiatives and links them with standardized field methods and data management. Now, with ForestPlots.net (Lopez-González et al. 2011, 2015) we support multiple networks with cyber-infrastructure that enables tropical scientists to do together what was previously impossible alone. Providing tools to ensure tropical scientists can manage, share and analyse their data themselves, ForestPlots.net is a global platform where data originators are in control and free to collaborate, support, or lead as much as they like. However, while much has been accomplished the wider challenges still run deep. Our aim of supporting the best possible science within a model of equitable access to data and other resources, remains as much an aspiration as a claim of achievements already made.

Here we first review how the continental networks and ForestPlots.net emerged, in terms of collaborators, institutions, people and plots. Next we focus on key scientific achievements of the combined networks, including a comprehensive understanding of the variation in biomass carbon stock, growth rates, and carbon residence time among continents. We also review multiple discoveries concerning large-scale changes over time, with insights emerging from hundreds of permanent plots that have transformed our understanding of the role that tropical forests play in the biosphere. Finally,

86   we return to the challenges of building and sustaining long-term science networks in the tropics and
87   outline key priorities for the future.
88
89   **1. Network Development**
90   Tropical research plots that tag, measure, identify and follow forests tree-by-tree have existed for
91   decades. They long precede any continental or global network, but no plot survives since before 1939
92   and few predate 1970. The earliest efforts were closely connected to the imperial- and post-imperial
93   projects of European nations. As such, these were largely motivated by questions of timber inventory
94   and wood production, and only later diversity and wider ecological questions. The very first permanent
95   sample plots we are aware of in the tropics were installed in 1857 by the German forester Brandis, who
96   worked for the British in Burma (now Myanmar) and later other parts of India (Dawkins and Philip,
97   1998). **In India** a few extant Forest Department plots date to 1939 (Pomeroy et al. 2003). Important
98   early work in **Southeast Asia** included plots installed by Don Nicholson and J.E.D. Fox in the 1950s
99   through to the 1970s, as well as Peter Ashton since the 1960s and John Proctor since the 1970s. **In
100   Africa**, early permanent plots include those installed by William Eggeling in Uganda in the 1930s.
101   Among plots surviving today are one in Mpanga Forest, Uganda, set up by Alan Hamilton in 1968, and
102   those established by Mike Swaine in Ghana and Hans Woell in Liberia in the 1970s, and later plots by
103   Jan Reistma and Lee White (Gabon), Bonaventure Sonké (Cameroon), Kofi Affum Baffoe (Ghana),
104   and Henri-Félix Maître and colleagues (Gabon, Congo, C.A.R.). **In Australia**, North Queensland saw
105   the first plot sampling, for timber, in the 1930s, with many sites from the 1970s still maintained today
106   by the national science agency (CSIRO). Separately Joe Connell, co-originator of the influential Janzen-
107   Connell hypothesis, installed and expanded long-term ecological plots in 1963.
108
109   **In the tropical Americas,** T.A.W. Davis and Paul Richards installed ecological plots in Guyana in the
110   1930s (Davis and Richards 1933) but these do not survive, while Frank Wadsworth established long-
111   term plots in Puerto Rico's subtropical forests starting in 1943 (e.g. Drew et al. 2009). In Suriname,
112   Schulz and colleagues established silvicultural studies in the 1950s and 60s that were used to design the
113   CELOS Management System (Werger 2011). Neotropical ecological plots that persist today include
114   many in Venezuela by Jean-Pierre Veillon in the 1950s, 60s and 70s (Vilanova et al. 2018) and Rafael
115   Herrera, Ernesto Medina and colleagues in the 1970s, as well as a few in Brazilian Amazonia by João
116   Murça Pires, H. Dobzhansky and G.A. Black and later Ghillean Prance, and several in Costa Rica since
117   1969 by Diana and Milton Lieberman. Elsewhere, Alwyn Gentry, John Terborgh, Terry Erwin, Gary
118   Hartshorn, David Neill and Rodolfo Vásquez set up the first long-term plots in western Amazon in the
119   late 1970s and 80s (Gentry 1988a, Monteagudo et al. 2020). Eastern and central Amazon plots survive
120   established by Ima Vieira and Rafael Salomão in Pará (Salomão 1991, Pires and Salomão 2000), Tom
121   Lovejoy, Niro Higuchi and colleagues near Manaus, Henri-Félix Maître in French Guiana, and by
122   Marcelo Nascimento and colleagues in Roraima. The earliest extant plots in southern Amazonia
123   originate with Tim Killeen, Luzmila Arroyo, Beatriz Marimon and José Roberto Rodrigues. The first
124   long-term tropical large plot was established in Costa Rica (Hubbell 1979), which represented a separate
125   innovation that permitted plot-level analysis of multi-species demography, followed soon after by the
126   first 50-ha plot in Panama (Hubbell and Foster 1983, Wright this volume) and later developments by
127   the Smithsonian Institution and the ForestGEO network (e.g. Anderson-Teixeira et al. 2015).
128
129   RAINFOR (Red Amazónica de Inventarios Forestales) is the first international tropical forest network
130   encompassing hundreds of long-term plots. At root, RAINFOR was inspired by Alwyn Gentry, a
131   virtuoso tropical botanist who established the first globally standardized floristic inventories. In the
132   1970s Gentry developed a 0.1-ha sampling design to rapidly inventory diversity in species-rich tropical
133   forests, capturing all stems $\geq$2.5 cm diameter. He and his colleagues applied it throughout the tropical

134   Americas as well as parts of Africa, India, Southeast Asia, Australasia, and some northern and southern
135   temperate forests. By the time of his untimely death at the age of 48 in 1993, Gentry had completed 226
136   of these samples, comprising an inventory of thousands of tree and liana species including many new
137   to science. His legacy lives on in multiple ways. After studying with Walter Lewis and recruited by
138   Peter Raven in the early 1970's, Gentry was a key figure in the Missouri Botanical Garden's golden age
139   of tropical botany. He collected more than 80,000 plant specimens, approximately half of which are
140   tropical trees and lianas. He pioneered a new approach to the challenge of identifying plants in the
141   world's most diverse forests (Gentry and Vásquez 1993) which has inspired generations of botanists
142   throughout Latin America. Perhaps most importantly, it was Gentry who embodied the ambition of
143   combining efficient ecological sampling with high-quality identifications and replicating these to create
144   highly distributed measurements of the world's forests (e.g. Gentry 1988b, Clinebell et al. 1995, Phillips
145   and Miller 2002, Phillips and Raven 1997). He also established permanent plots (Gentry 1988a) that
146   feature in the first continental and pan-tropical analyses of forest carbon and dynamics (Phillips and
147   Gentry 1994, Phillips et al. 1994, Phillips et al. 1998), which in turn led to the creation of RAINFOR
148   (Malhi et al. 2002, López-Gonzalez and Phillips 2012) and its protocols (e.g. Phillips et al. 2002).
149   Originating in 1999 from a small nucleus of researchers and plots and supported by EU funding to
150   Brazil's LBA initiative and UK scientists, RAINFOR grew to tackle the challenge of analysing
151   Amazonian forests and climate responses tree-by-tree from the ground-up. By bringing different groups
152   together RAINFOR facilitated the development of long-term international collaborations to measure
153   and understand not only forest dynamics and diversity but also biogeochemistry and carbon fluxes.
154
155   While RAINFOR has grown steadily, other plot networks later emerged with complementary foci in
156   South America. Some are daughter initiatives to RAINFOR, others were formed separately, but most
157   share a similar ethos and strongly overlapping protocols. To the extent that they can be combined
158   together these networks represent an impressive Observatory for Neotropical Forests. Below (Table 1)
159   we report key information about many vibrant networks worldwide that specifically contribute to
160   ForestPlots.net, while here we briefly enumerate national and international neotropical networks, the
161   majority of which ForestPlots.net supports. These include (with dates when plots were censused or
162   consolidated as a network) Tropical Ecology Assessment and Monitoring (TEAM, 2002), Amazon Tree
163   Diversity Network (ATDN, 2003), Programa de Pesquisa em Biodiversidade (PPBio, 2004, Brazil),
164   Red Colombiana de Monitoreo de los Bosques (COL-TREE, 2004), Global Ecosystems Monitoring
165   (GEM, 2010; Malhi et al. this volume), Latin American Seasonally Dry Tropical Forest Network
166   (DryFlor, 2012), Red de Investigación y Monitoreo del Bosque Seco Tropical en Colombia (Red BST-
167   Col, 2014), Secondary Forest Network (2ndFOR, 2015), Peru Monitoring Network (MonANPerú,
168   2017), sANDES (Tree Diversity, Composition and Carbon in Andean Montane Forests, 2019), and Red
169   de Bosques Andinos (RBA, 2020), as well as global networks and meta-networks including ForestGEO
170   (Anderson-Teixeira et al. 2015), GFBI (Steidinger er al. 2019), sPlot (Bruelheide et al. 2019), FOS
171   (Schepaschenko et al. 2019) and TmFO in logged forests (Sist et al. 2015). Each of these has notable
172   achievements of their own and at the time of writing this in 2020 almost all have active research
173   programmes.
174
175   In Africa, our early networking focussed on assessing whether there were similar patterns of changes
176   in carbon stocks as observed in South American forests and the causes of such changes. Efforts began
177   in 2001 to recensus many of the earlier plots installed in post-independence Africa (UK funding to O.
178   Phillips, Y. Malhi and S. Lewis), which were later formalised as the African Tropical Rainforest
179   Observation Network (AfriTRON; Lewis et al. 2009), and catalysing a tripling of the African multi-
180   census plot dataset over the last decade (Hubau et al. 2020). These span 12 African countries with moist
181   forests from Sierra Leone in the west to Tanzania in the east. Like RAINFOR in Amazonia, AfriTRON

182 pools expertise and data to tackle long-term, large-scale questions relating to the ecology and
183 biogeochemistry of tropical forests. Networks sharing a similar ethos with programmes in Africa now
184 include TEAM, DynAfFor (Gourlet-Fleury et al. 2013), TmFO and ForestGEO. Recently, the
185 SEOSAW (Ryan et al. in review) and AfriMont networks have been established, extending long-term
186 plots into the extensive southern woodlands and savannas and Africa's distinctive montane forests.

188 Our work in Southeast Asia began in 2001 to assess forest carbon balance and later developed into a
189 network once Lan Qie undertook fieldwork and networking. European Research Council investment
190 (T-FORCES 2012 grant to Phillips, Malhi and Lewis) enabled intensive campaigns to develop long-
191 term plot networking in Borneo (Qie et al. 2017), and supported African recensuses (Hubau et al. 2020).
192 While smaller than its Amazonian and African counterparts the Asian network builds on plots installed
193 by a number of foresters and botanists as long as 60 years ago. Critically, RAINFOR, AfriTRON, T-
194 FORCES and TmFO use the same field and analytical protocols.

196 Insert Table 1 here: **Table 1. Networks contributing to ForestPlots.net (September 2020).**

198 How can we combine the different strengths of these and other initiatives to maximise their impact on
199 science and society? To achieve this requires shared data management tools and horizontal
200 organisational structures that foster leadership by tropical scientists. Our plot data management scheme
201 was originally conceived in 2000 as a desktop database to support the first RAINFOR analyses of spatial
202 variation in wood density, biomass, productivity, and changes in biomass over time (Baker et al. 2004a,
203 b; Malhi et al. 2004). This was expanded to draw together inventory data from more than 100 sites in
204 Amazonia and then African forest plots, including some of the longest running monitoring sites
205 worldwide (Peacock et al. 2007).

207 Since 2009 we have developed a Structured Query Language web application with sophisticated
208 programming, providing a one-stop platform to a growing global community of contributors and users
209 (López-Gonzalez et al. 2011). Now, ForestPlots.net supplies ecological informatics to colleagues in
210 scientist-led networks from 54 countries working across 44 tropical nations (Fig. 1). Key advances in
211 this platform include the ability to manage complex time-series data, to track species linked to high-
212 quality botanical records, and to analyse records with common BiomasaFP R-language protocols
213 (López-Gonzalez et al. 2015). While focussed on species identity, tree growth, mortality and carbon
214 dynamics, ForestPlots.net encompasses many related forest attributes including lianas, soils, and plant
215 traits.

217 At their heart long-term plots are an intensely human enterprise and so we also document the personal
218 contributions to plot establishment and continued monitoring. By tracking who did what, and when, we
219 also honour the inter-generational aspect of plots that allows modern analysts to stand on the shoulders
220 of giants. With ForestPlots.net data contributors retain control and are able to manage, share and analyse
221 their records using a common toolset. If new projects requesting to use their data are proposed they can
222 agree to collaborate, or not, as they wish. Contributors often propose their own multi-site projects.
223 ForestPlots.net can provide DOIs to datasets, further ensuring that contributors are properly
224 acknowledged. Developing this functionality has supported a surge in multi-site and multi-national
225 analyses that are increasingly initiated by scientists from the tropics, gradually supplanting the
226 traditional model where researchers from the Global North lead. In sum, ForestPlots.net enables the
227 level of control and collaboration that individual researchers wish for while underpinning network and
228 multi-network integration. In turn, this is empowering data owners and networks and helping to
229 transform the face of tropical ecological science.

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232   **Figure 1. Current extent of ForestPlots.net**
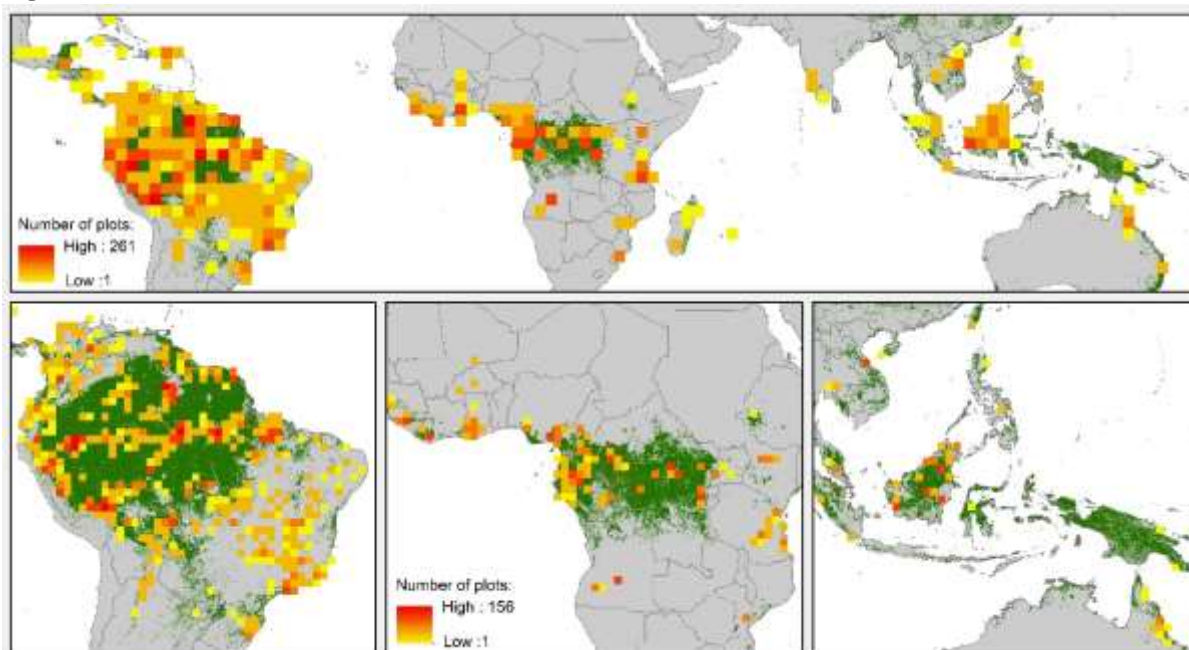
233
234   ***Top:*** Pantropical plot sampling density per 2.5 degree square with the 4.062 multiple- and single-inventory plots
235   hosted at ForestPlots.net. These plots contribute to 24 networks including RAINFOR, AfriTRON, T-FORCES,
236   ATDN, BIOTA, COL-TREE, FATE, GEM, Nordeste, PELD, PPBio, RAS, RBA and SEOSAW. Forest cover
237   based on the Global Land Cover 2000 database (JRC, 2003) with tree cover categories: broad-leaved evergreen;
238   mixed leaf type; and regularly flooded. Our plots also extend into neotropical and African savannas; ***Bottom:*** The
239   same plot sampling but displayed at higher-resolution (1-degree grid cells) for each focal continent, South
240   America, Africa, and Southeast Asia and Australia.

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243   The networks and ForestPlots share a 20-year history, but as already seen the history of plot monitoring
244   is much longer. The first recorded census in ForestPlots.net dates from 1939 in Budongo, Uganda. Forty
245   years later, 676 censuses had been completed from 90 plots, but since 1979 fieldwork has accelerated
246   greatly with more than 10,000 censuses completed across 4,000 plots by 2020 (Fig. 2a). This
247   acceleration is reflected by the growing community of contributors, which by 2020 had reached 2,000
248   individuals (Fig. 2b). ForestPlots.net itself has grown steadily both in terms of censuses uploaded and
249   in outputs (Fig. 3). The neotropics dominate much of this inventory and monitoring effort as well as the
250   growth of ForestPlots.net in particular, but contributions from Africa and other continents are increasing
251   (Figs. 2, 3). Scientific outputs emerging from this collective effort have always spanned local to global
252   scales but now have an increasingly pan-tropical theme (Fig. 3b).

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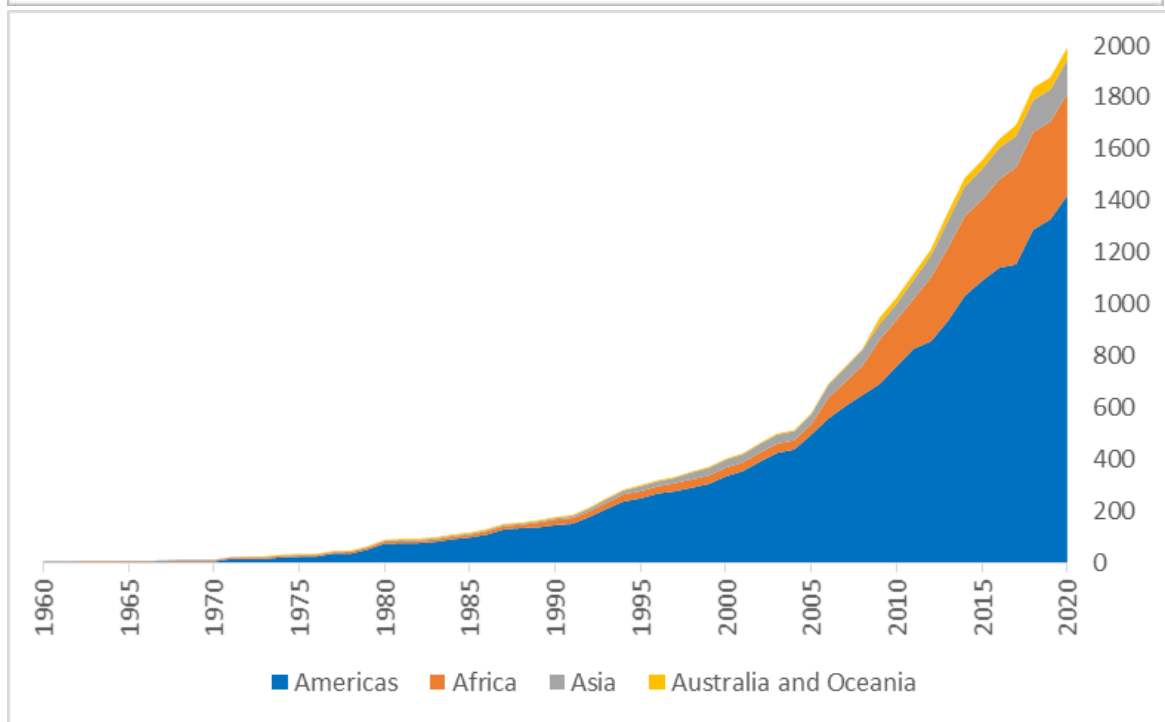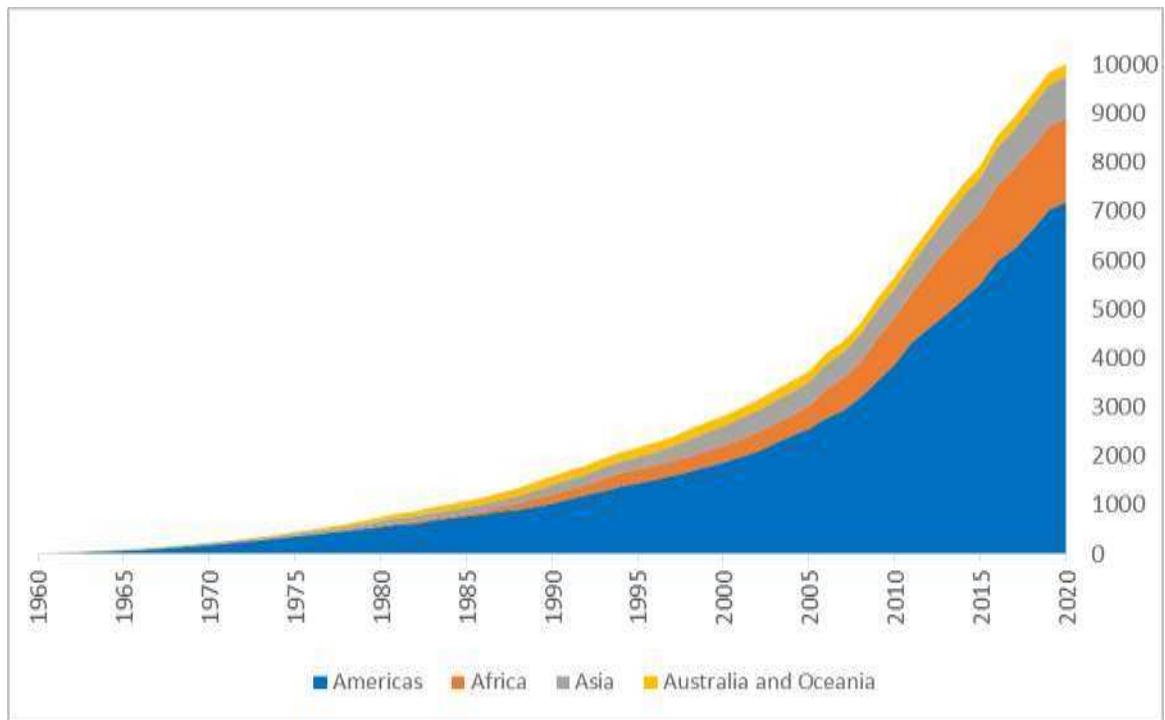
256 **Figure 2. Growth of pan-tropical forest monitoring since the mid-twentieth-century**.

257

258 ***Top***: Plot-censuses curated at ForestPlots.net by date of census;

259 ***Bottom***: Cumulative number of contributors to ForestPlots.net by date of first recorded fieldwork. Growth was

260 slow following the first census in 1939, only reaching 100 censuses by 1969. For early censuses, records of field

261 team personnel and leaders are often sparse or absent. Note that 'contributors' are defined inclusively to reflect

262 members of indigenous communities, protected area guards, parataxonomists, students, and technicians, as well

263 as principal investigators, botanists, and other specialists.

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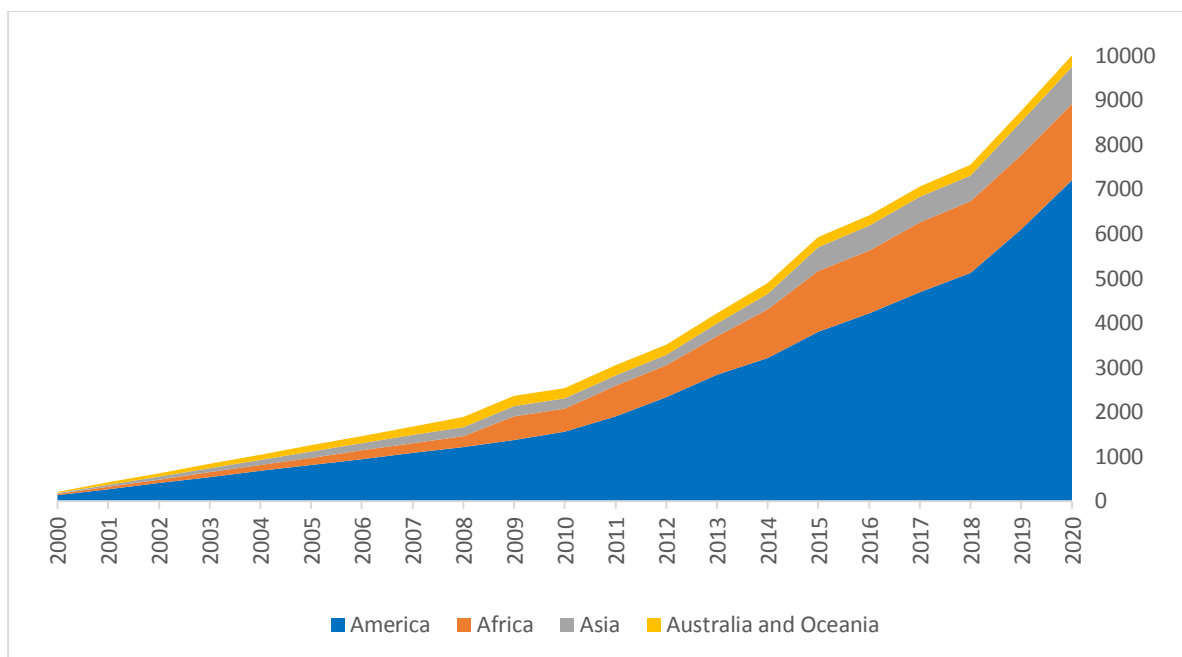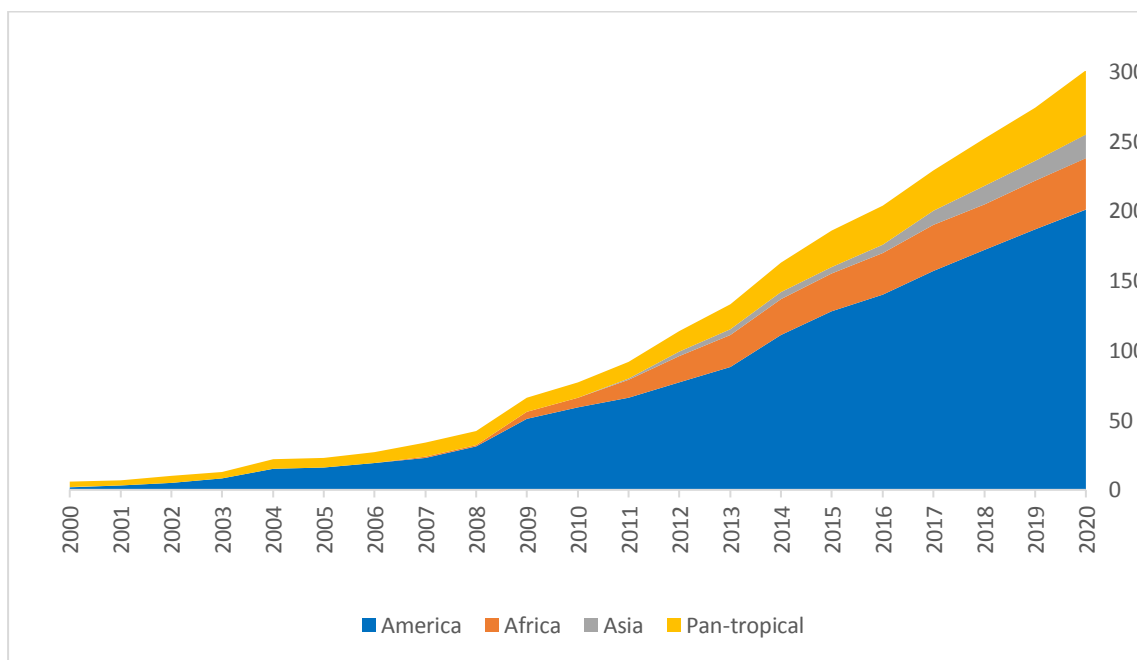
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Figure 3. Growth of ForestPlots.net and its contributing networks since 2000.

Top: Cumulative upload of unique plot censuses to ForestPlots.net by date of upload (pre-2009 uploads to pre-internet versions allocated evenly back to network beginnings);

Bottom: Cumulative peer-reviewed scientific articles based on network plots, excluding research based on single-plot studies.

277   **2. Environmental Representation**
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279   While it is not possible to intensively sample the whole tropical forest extent, in practice RAINFOR,
280   AfriTRON and T-FORCES have managed to cover almost the entire climatic and geographic space
281   across the humid tropics with permanent plots (Fig. 4a) as well as extensively sampling the biome space
282   of the terrestrial tropics except for semi-arid biomes (Fig. 4b). Within each continent coverage has been
283   focused on the moist tropical lowlands with sampling extending into montane and drier forest systems
284   most effectively in South America (Fig 4c). Plots also cover the complex edaphic variation present in
285   Amazonia (Quesada et al. 2012) where they encompass landscape-level variability within old-growth
286   forests (Anderson et al. 2009, 2010). This effective representation of structurally intact moist forests
287   provides good support for large-scale inferences from what is, inevitably, a limited sample of the
288   domain. It is important to note that many tropical countries lack statistical inventories of forests, let
289   alone long-term monitoring or historical baselines, so research plots fill critical gaps in global and
290   national observations.
291
292   Yet significant work remains to be done to increase representativeness, better understand impacts of
293   geological and edaphic variation, and expand sampling in remote areas especially in parts of Amazonia,
294   the central Congo Basin, and New Guinea (c.f. Brearley et al. 2019, Fig 4. below). Fuller environmental
295   coverage can help networks address challenges such as monitoring of protected area effectiveness
296   (Baker et al. 2020) and providing calibration-validation of Earth Observation space-borne sensors
297   (Chave et al. 2019). Beyond the lowland humid tropics, special effort is also needed for long-term,
298   ground-based monitoring in particular environments. Expansion is especially required for: (i) secondary
299   forests and those impacted by disturbance events such as logging, fragmentation, and wildfires (e.g.
300   Chazdon et al. 2016, Elias et al. 2020, Villela et al. 2006); (ii) montane forests, which harbour
301   exceptional concentrations of endemism and are at great risk of biodiversity loss due to deforestation
302   and climate change and therefore represent urgent conservation opportunities (e.g. Malizia et al. 2020);
303   (iii) Asian dry forests, and (iv) the wider extent of tropical dry forest and savanna biomes, which are
304   home to distinctive biotas and significant carbon stocks of their own (DRYFLOR 2016, Pennington et
305   al. 2018). ForestPlots.net partner groups are expanding research and monitoring in such critical areas
306   beyond the structurally intact lowland forests that have been the main focus of RAINFOR and
307   AfriTRON.
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1. Geographic distance (km)
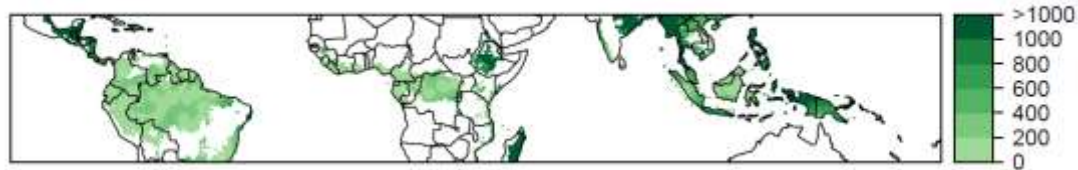
2. Environmental distance (Euclidean distance [SD])

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314   **[Previous Page] Figure 4. Network coverage of geographical and climate space**

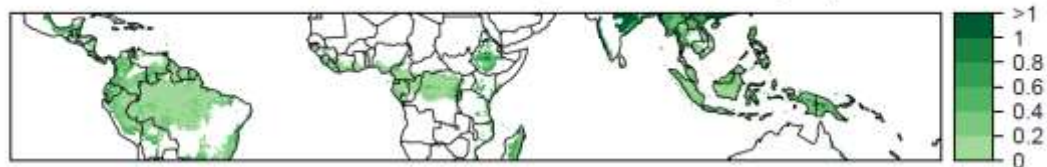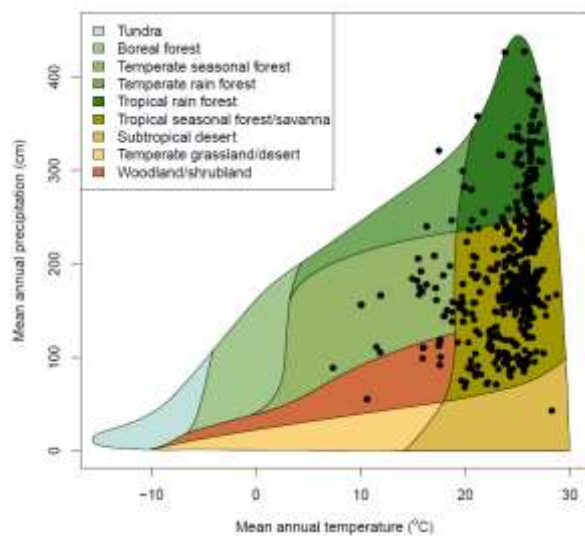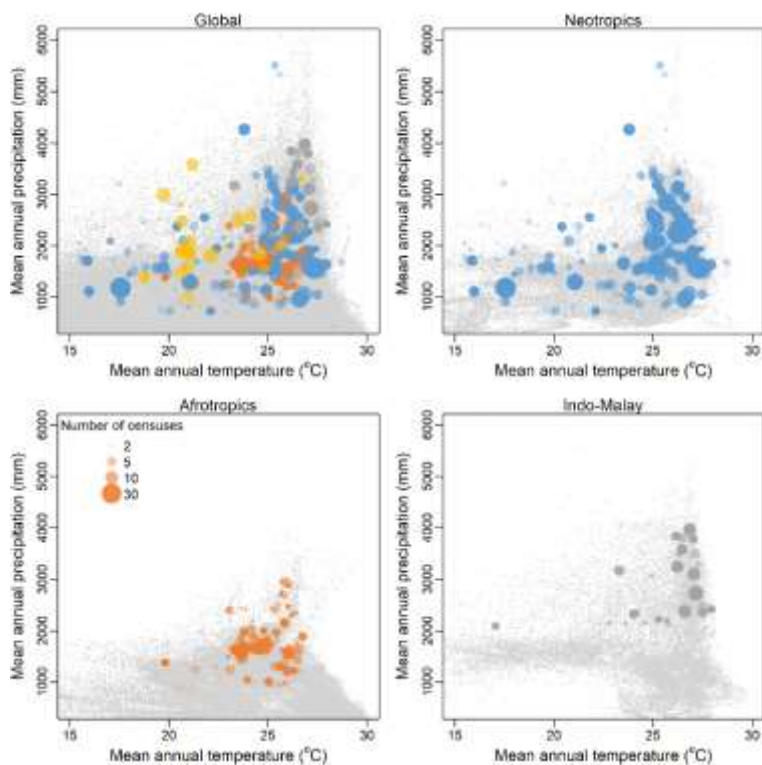315   Analyses include >1500 permanent plots managed at ForestPlots.net. **(a) Top panels**: (1) Geographic distance
316   between multi-census plots across the humid tropical forest biome; and (2) Minimum climate dissimilarity
317   (Euclidean distance on variables scaled by their standard deviation, accounting for mean annual temperature,
318   temperature seasonality, mean annual precipitation and precipitation seasonality), where for each cell
319   environmental distance represents how dissimilar a location is to the most climatically similar plot in the network.
320   Note that some poorly sampled areas are mostly deforested, such as Central America, Madagascar, and much of
321   tropical South and Southeast Asia. The baseline map depicts WWF terrestrial ecoregions (Olson et al. 2001). **(b)**
322   **Middle panel**: Tropical plots displayed in global biome space (Whittaker diagram), showing the main
323   concentration of plots from lowland wet through to moist forests and savanna, with some samples in cooler
324   montane climates. **(c) Lower panels**: Plots displayed within tropical humid and sub-humid climate space, with
325   plots displayed colour-coded by continent and symbol size corresponding to total census effort. Note the important
326   differences in baseline climatic conditions between continents.

327

3. Discovery: Forest Ecology across the Tropical Continents

329   RAINFOR, AfriTRON and T-FORCES plots have generated ecological and biogeographical insights
330   that have only been achievable via large-scale collaboration. RAINFOR has revealed that Amazonian
331   forests differ substantially from one another, even those that share essentially identical climates. For
332   example, basal-area weighted wood density of northeastern forests is 50% greater than that of southern
333   and western forests. This reflects floristic differences (Baker et al. 2004, ter Steege et al. 2006, Honorio
334   Coronado et al. 2009, Patiño et al. 2009), which, in turn, are associated with large differences in forest
335   dynamics. Stem turnover is twice as fast in the west and south as the east (Phillips et al. 2004) due to
336   younger soils with poorer structure providing less rooting support (Quesada et al. 2012, Schietti et al.
337   2016) and in spite of only modest productivity differences (Malhi et al. 2004, 2014). In contrast,
338   biomass in north-eastern Amazonia is higher than elsewhere due to the reduced mortality risk and hence
339   bigger trees and denser wood (Baker et al. 2004, Malhi et al. 2006, Marimon et al. 2014, Pallqui et al.
340   2014, Johnson et al. 2016, Alvarez et al. 2018, Phillips et al. 2019).

341   In Africa, AfriTRON plots also show that species-driven differences in wood density prevail at large
342   scales. In mature forests, soil-related compositional differences cause significant variation in basal-area
343   weighted wood density. Forests on younger and more fertile acrisols and cambisols have 10 and 20%
344   lighter wood than those on arenosols and histosols, respectively (Lewis et al. 2013). Similarly to
345   Amazonia, African forests growing on older, less fertile soils have higher biomass (Lewis et al. 2013).
346   Local and regional variation in soils and forest attributes are important within both continents but the
347   key difference is that only Amazonia has clear continental-scale gradients in wood density, due to the
348   powerful influence of Andean orogeny in the west. This leads to young, geologically dynamic
349   landscapes with fertile, less-developed soils, influencing speciation, immigration and extinction, and
350   contrasts with the ancient, stable Brazilian and Guianan Shields of the east.

351

352   Large-scale analysis thus reveals how soils and species help control the carbon that tropical forests
353   store. This has implications for monitoring carbon stocks using remotely-sensed data. In tropical forests
354   neither soil nor tree composition is easily perceived from space. For example, RAINFOR plots show
355   that LiDAR-derived biomass estimates of Amazonian forests are compromised because they do not
356   perceive the critical large-scale floristic gradients (Mitchard et al. 2014). Accounting for such
357   limitations by relating plot-derived woody density and allometry to LiDAR sampling shows that plots
358   greatly improve biomass maps (Mitchard et al. 2014, Avitabile et al. 2016). Thus the role of soils and

species composition in affecting biomass carbon is a key reason why ground data are essential for mapping forests (Chave et al. 2019). While Earth Observation has great benefits in terms of spatial coverage and ability to update frequently the incorporation of plot-derived compositional data greatly improves our understanding of carbon storage patterns over large scales.

When networks using the same protocols are combined it is also possible to discover and explore variation between continents too. Common protocols have revealed major pan-tropical variation in vertical structure, including tree height and height-diameter allometry (Feldpausch et al. 2011) which have impacts on biomass (Banin et al. 2012; Feldpausch et al. 2012; Sullivan et al. 2018). African forests average one-third higher biomass per unit area than Amazon forests (Lewis et al. 2013), yet have roughly one-third *fewer* stems >10 cm diameter per unit area. This may be driven by systematically lower tree mortality in these forests (Hubau et al. 2020, Sullivan et al. 2020). Similarly, comparing climatically and edaphically similar forests in parts of Borneo with northwest Amazonia reveals that Bornean forests produce much more wood, with trees growing up to 50% more rapidly than those of Amazonia. This suggests that differences in phylogenetic composition of tree communities, especially the dominance of the dipterocarp family in tropical Asia (Corlett and Primack 2011), determine the efficiency with which atmospheric carbon is converted to woody carbon (Banin et al. 2014).

Tree species composition and dominance strongly control forest function within continents too. For example, a recent RAINFOR study discovered that Amazon woody productivity is enhanced in more phylogenetically diverse forests (Coelho de Sousa et al. 2019). Yet while Amazonian forests are very diverse, remarkably few species dominate in terms of stems (ter Steege et al. 2013, research led by the ATDN network), while biomass stocks and woody productivity are dominated by a different set of species (Fauset et al. 2015, RAINFOR network). Evidence also suggests that some of these 'hyperdominants' may have been long favoured by indigenous people as part of wider human influences on old-growth Amazon forests (Levis et al. 2017, Oliveira et al. 2020). These and other studies show that identity matters. Dominant species and their evolutionary history thus affect forest ecology and forest values, whether in terms of storing carbon, converting solar energy into wood or sustaining whole cultures.

These insights show that two of the defining challenges of the twenty-first century, climate change and biodiversity loss, are closely linked. How then do we best devise conservation strategies to achieve the targets of biodiversity protection and climate mitigation and adaptation? Can we rely for example on carbon conservation via schemes like REDD+ to protect tropical diversity too? The answers to these questions depend on the relationship between diversity and carbon storage but assessing this has been challenging due to the scarcity of inventories in which both carbon stocks and species identifications have been reliably quantified. By combining RAINFOR, AfriTRON and T-FORCES plots we found that for tropical trees diversity-carbon storage relationships barely exist at all (Sullivan et al. 2017, Fig. 5). For example, South America, the continent with the richest forests, actually stores the least carbon per hectare, while within continents there is no association. Independent data from the RAS network data support this, showing that strong carbon-biodiversity relationships are only found in disturbed and secondary forests but not old-growth (Ferreira et al. 2018). As mature forests exhibit all possible combinations of tree diversity and carbon stocks it is clear that both need to be explicitly considered to protect the climate and biodiversity. In addition, long-term carbon storage is threatened by defaunation of large-bodied frugivores, often essential for dispersing large-seeded heavy-wooded tree species (Peres et al. 2016). *We cannot simply focus on carbon and achieve biodiversity conservation, and vice versa.*
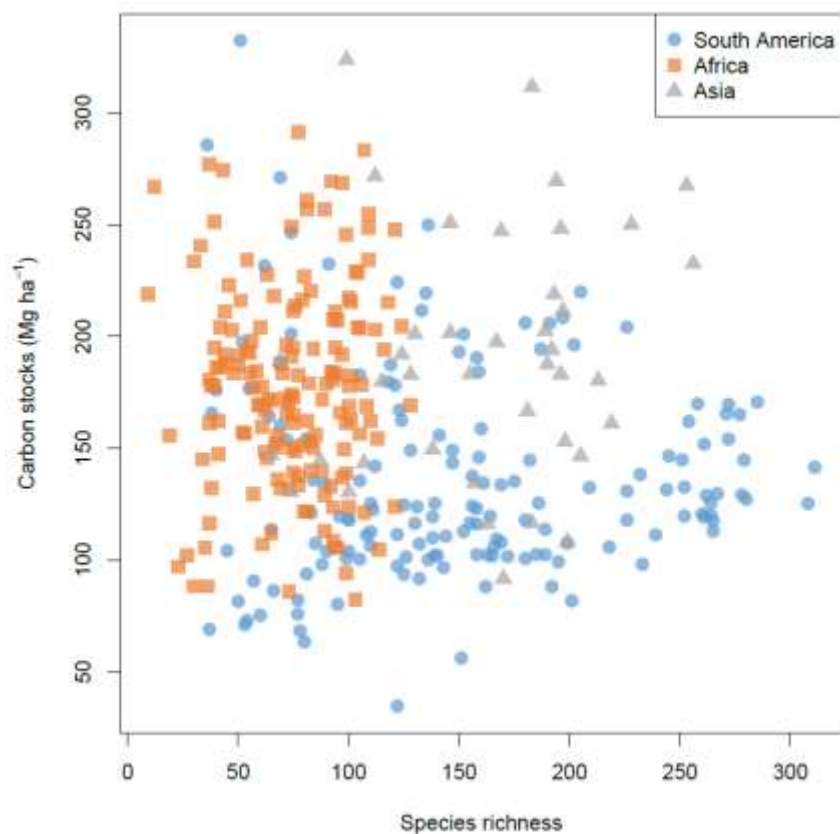
406
407
Figure 5. Pantropical forest carbon storage is independent of species richness.

409
There are no clear within-continent or pantropical relationships between carbon stocks and tree species richness
per hectare in structurally intact old-growth tropical forests. Figure adapted from Sullivan et al. 2017.

412
413
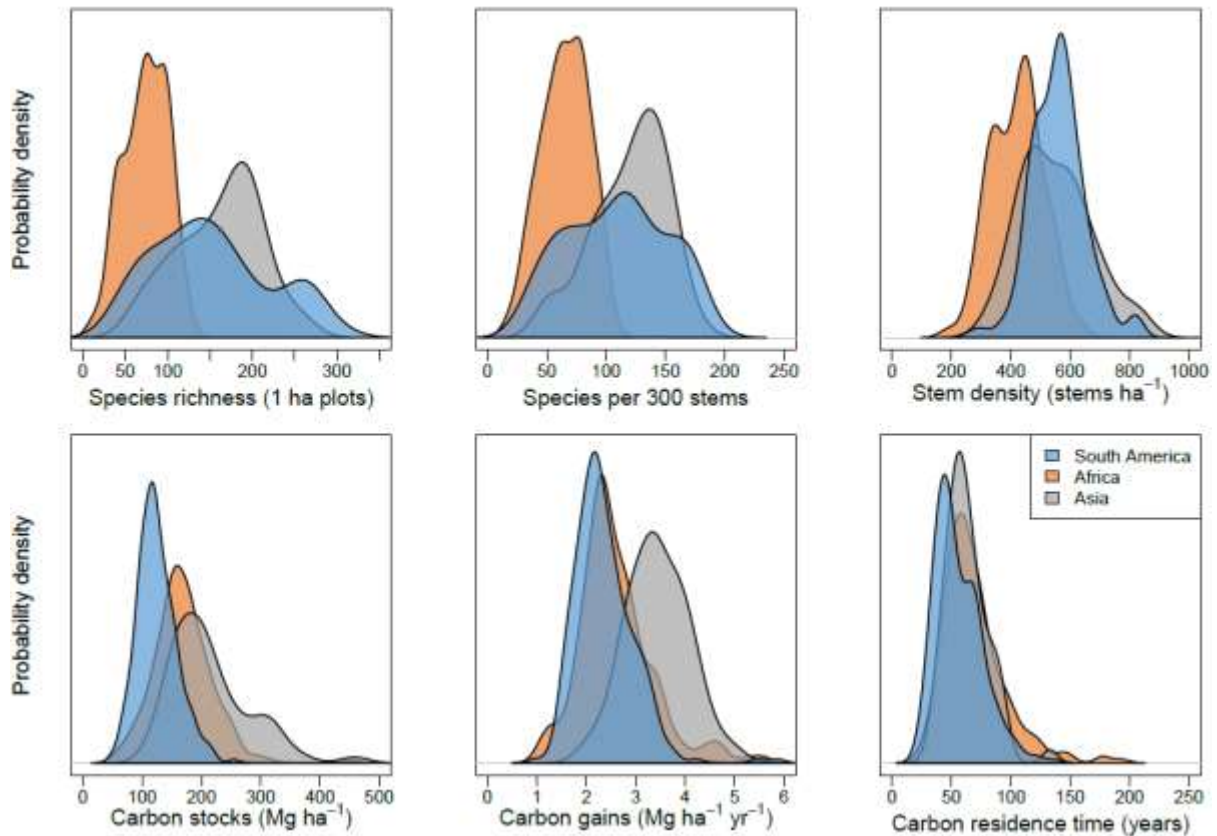
Figure 6. Tropical continental macroecology

Remarkable continental differences in species richness, stem density and carbon stocks emerge among lowland tropical moist forests when densely-sampled plot networks are combined. Graphics depict probability densities such that the whole area for each continent sums to 1. Note that the y-axis scale for each variable thus *itself varies depending on the range of the x-axis*: for continents with larger variation in x, the probability density at any point along the y axis is correspondingly smaller. Analysis adapted from Sullivan et al. 2017 and 2020.

424   When network data are combined surprisingly large and coherent continental-level differences emerge
425   (Fig. 6). African forests are remarkably species-poor at the 1-ha scale whereas South American and
426   Asian forests are more than twice as rich on average, but also vary much more in species-richness and
427   diversity. The very richest forests in the world are located in parts of Western Amazonia, vindicating a
428   claim by Gentry (Gentry 1988a, b) from more than three decades ago. African forests have many fewer
429   stems than their Asian and South American counterparts, but South American forests have considerably
430   less biomass. In terms of carbon gains Borneo's forests are outliers, being up to twice as productive as
431   other forests. Yet it is in South America where woody carbon turns over fastest. Fully half the carbon
432   in neotropical trees has been replaced since 1970.
433
434   Overall these comparisons reveal remarkable differences between the tropical forest continents that are
435   not strongly driven by rainfall, temperature or soil (Sullivan et al. 2020). The implication is that other
436   factors related to the evolutionary and historical happenstance of each continent matter. We draw three
437   higher level conclusions from this. *First, global-scale ecological modelling ignores biological*
438   *composition at its peril. Second, if there was ever any doubt, each continent clearly needs its own robust*
439   *research and monitoring programme. And third, each region likely responds to climate change in its*
440   *own, idiosyncratic way.*
441
442
443   **4. Discovery: Tropical Forest Change**

444   The single most significant scientific impact of these multiple permanent plot networks has been to
445   transform our understanding of how tropical forests function in the Earth system.
446
447   As the most diverse and carbon-rich tropical biome, the fate of humid tropical forests will impact the
448   future of all life on Earth. Until quite recently it was axiomatic that old-growth tropical forests are at
449   equilibrium when considered over sufficiently large scales, and that any changes observed at smaller
450   scales are driven by natural disturbance-recovery processes. However, large-scale imbalances observed
451   in the global carbon balance have cast doubt on this assumption (e.g. Taylor and Lloyd 1992). Over
452   time, network analyses have helped to recast our understanding of contemporary old-growth tropical
453   forests as being non-stationary systems. Their carbon, biodiversity and ecosystem processes are widely
454   recognised as dynamic and continually responsive to multiple anthropogenic drivers (e.g. Lewis et al.
455   2004b, Pan et al. 2011, Malhi et al. 2014, Levis et al. 2017, Mcdowell et al. 2018, Reis et al. 2018). Key
456   discoveries at this intersection between global change science and forest ecology and biodiversity
457   include:
458
459   (1) **A pantropical increase in tree turnover rates, representing the first evidence for a widespread**
460   **impact of global anthropogenic change on old-growth tropical forests** (Phillips and Gentry 1994).
461   The finding that these forests were changing was controversial at the time - let alone the inference that
462   global drivers were responsible - and contradicted established ecological orthodoxy. The debate that
463   ensued helped generate new questions and analyses (e.g. Sheil 1996, Phillips and Sheil 1997) and
464   address potential biases (e.g. Sheil 1995, Condit 1997, Lewis et al. 2004a, Gloor et al. 2009, Espirito-
465   Santo 2014, Kohyama et al. 2019). A quarter of a century of research since then has rejected the notion
466   that 'intact' tropical forests are unaffected by atmospheric changes and reinforced the central concept
467   that all tropical forests are being influenced by a suite of large-scale contemporary anthropogenic
468   drivers.
469

470 (2) **Biomass dynamics have also accelerated in Amazonia**. In parallel with the increases in stem
471 dynamics, as RAINFOR grew it became clear that carbon fluxes via biomass growth and mortality were
472 also increasing. Moreover, the increased gains in stems (recruitment) and biomass (woody productivity)
473 clearly preceded increases in stem and biomass losses (mortality) (Lewis et al. 2004b, Phillips et al.
474 2004, 2008, Brienen et al. 2015, Nogueira et al. 2018). The mechanism underlying this acceleration of
475 forest dynamics must therefore involve stimulated productivity via increased resources for plant growth,
476 rather than direct stimulation of tree mortality such as by drought (Lewis, Phillips and Malhi 2004).

477

478 (3) **The Amazon forest carbon sink**. In conjunction with faster growth and turnover, the biomass
479 density of Amazonian forests has increased (Phillips et al. 1998, Baker et al. 2004, Pan et al. 2011).
480 Old-growth Amazonian forests have absorbed (net) atmospheric carbon for at least three decades now
481 (Brienen et al. 2015), providing a true "subsidy from nature" with flux magnitude matching or
482 exceeding net losses from neotropical deforestation (Aragão et al. 2014, Gatti et al. 2014). Thus,
483 monitoring networks have shown that most Amazonian nations are on balance *not* net emitters of carbon
484 (Espirito-Santo et al. 2014, Phillips and Brienen 2017). The location, magnitude and persistence of this
485 old-growth carbon sink has important implications for guiding approaches to meeting nationally
486 differentiated targets for controlling climate change (Vicuña Miñano et al. 2018).

487

488 (4) **The African forest carbon sink**. The AfriTRON network discovered a long-term net biomass
489 increase similar in magnitude to that of the Amazon in the 1990s and early 2000s (Lewis et al. 2009).
490 The consistency of these results on a second continent supports the idea that global drivers of change
491 can affect even the most remote forests. The fact that biomass is increasing across the entire wood
492 density spectrum of tree species implies that forests are responding to increasing atmospheric CO_2
493 concentrations (Lewis et al. 2009). The long-term increase in carbon stocks of African forests was
494 recently updated and confirmed, with three times as many plots showing continued sink strength (Hubau
495 et al. 2020).

496

497 (5) **The Pan-Tropical forest carbon sink**. Once the T-FORCES network allowed sufficient plot
498 coverage across remaining Bornean forest a similar increase in aboveground biomass over recent
499 decades was revealed (Qie et al. 2017). Thus the three continental networks discovered that old-growth
500 tropical forests as a whole have been a long-term sink. Our ground measurements imply this totalled
501 more than one billion tonnes of carbon each year over the 1990s and early 2000s, i.e. half the terrestrial
502 global carbon sink (Pan et al. 2011), which is sufficient to significantly slow climate change. The fact
503 that the main blocs of remaining tropical forests are *en masse* out-of-equilibrium and undergoing
504 biomass increases of similar magnitude implies a common global driver of growth. Increasing
505 atmospheric CO_2 is the most parsimonious candidate and is consistent with predictions from first
506 principles (e.g., Phillips and Gentry 1994, Huntingford et al. 2013), inference from CO_2 fertilization
507 experiments (Terrer et al. 2019), analyses of the global carbon budget (Ballantyne et al. 2012, Gaubert
508 et al. 2019), observed greening of forests unaffected by land-use change (Piao et al. 2019), and recent
509 plot analyses showing a significant role of CO_2 (Hubau et al. 2020).

510

511 (6) **The Amazon sink is slowing.** After 30 years of monitoring Amazonian forests, the RAINFOR plots
512 show that the rate of increase in forest growth is declining. Tree mortality rates have increased in some
513 regions, leading to a slow decline in the magnitude of the net biomass accumulation (Brienen et al.
514 2015, Phillips and Brienen 2017). The subsidy from nature provided by tropical forests may be time-
515 limited.

516

(7) **Recent droughts in Amazonia have had large impacts**. Long-term plots also monitored immediately before and soon after droughts reveal that these forests can switch rapidly from being a major sink to a source of carbon. Both the 2005 and 2010 Amazon droughts had a net impact on the order of 1 Pg of carbon, driven primarily by drought-induced mortality (Phillips et al. 2009, Lewis et al. 2011; Doughty et al. 2015, Feldpausch et al. 2016). RAINFOR and GEM have quantified the drought sensitivity of the world's biggest rainforest and identified the key process affected: mostly tree mortality rather than growth, and not photosynthesis. The impact on the biomass carbon sink of the 2010 drought and non-drought years match independent inferences from measurements of atmospheric $[CO_2]$ using aircraft (Gatti et al. 2014).

(8) **The African and Amazon sinks have diverged**. Thirty years of monitoring AfriTRON plots show that African forests have continued to function as a carbon sink, although the most intensively monitored plots suggest that the sink may be declining (Hubau et al. 2020). When analysed together with RAINFOR data, within-plot changes over time reveal a common set of drivers that suggest the sinks will decline, with African forests lagging behind Amazonian forests by 15-20 years (Hubau et al. 2020). Changes across both continents are best explained by a combination of the positive effects of increasing CO_2 enhancing productivity and negative effects of higher temperatures and droughts in suppressing growth and accelerating mortality, combined with the intrinsic properties of forests themselves. The time-lag of the African sink saturation is due to longer carbon residence times in African forests, so that mortality catches-up slower than in faster turnover forests. Amazonian forests are often harder hit because they are hotter and can be drought-prone (Hubau et al. 2020). Together, the pan-tropical plot networks have revealed long-term trends in carbon storage and determined which drivers matter, which processes are affected, where they are impacting, and what the lags are.

(9) **The future of the tropical forest carbon sink.** Monitoring the present and the recent past of forest behaviour can also reveal likely future scenarios as the climate continues to change. Our plot networks provide two powerful and independent lines of evidence. First, the *long-term sensitivity to climate* emerges from a space-for-time analysis based on 813 plots across the Earth's tropical forests. This shows how maximum temperature and dry season intensity combine to determine the equilibrium climate controls on forest carbon, acting on productivity and mortality to limit forest carbon storage in *the long-term* (Sullivan et al. 2020). Forests exhibit remarkable thermal resilience under low amounts of warming, but in the hottest forests (>32.2°C max. temp.) biomass carbon drops off rapidly. Most of the biome will exceed this value with one further degree of warming (approximately equivalent to a 2°C increase above pre-industrial levels). Second, analysing recent changes in productivity and mortality as a function of recent climates, and coupling them with future climate scenarios, confirms that the carbon sink is likely to decline (Hubau et al. 2020). A key uncertainty with these latter projections is the extent to which local resilience due to shallow water-tables (Sousa et al. 2020) may mitigate effects, and whether more compositional changes will extend the carbon sink further if species better-adapted to the new conditions compensate for others' losses. The analysis by Sullivan et al. (2020) confirms that lagged species-related resilience is likely as long as forests do not experience substantial warming.

(10) **Tropical forest biodiversity is changing**. RAINFOR data show that an entire group of plants, lianas (woody vines), are increasing in dominance across Amazonia (Phillips et al. 2002). Large lianas in turn contribute to higher tree mortality (Phillips et al. 2005). Tree community composition is changing too. In the Andes, plots of ABERG, RBA and RedSPP show 'thermophilization' – communities becoming more warm-adapted (e.g Fadrique et al. 2018). Climate change is inducing large-scale change in tropical lowland trees too, as wet-adapted taxa in Amazonia face greater mortality

565 risks from drought (Esquivel Muelbert et al. 2017, 2019) while a shift towards drought-deciduous tree
566 species is observed in west African plots experiencing a multi-decadal drought (Fauset et al. 2012,
567 Aguirre-Gutiérrez et al. 2019, 2020). In both continents these community responses to drought
568 coincided with biomass gains. Nonetheless, because of the long generation times of tropical trees the
569 compositional change has not kept pace with the drying of Amazonia (Esquivel-Muelbert et al. 2019).
570 This suggests that further community change is inevitable, even before accounting for losses driven by
571 deforestation and disturbance of remaining forests (Barlow et al. 2016). Current models lack the
572 capacity to account for variation in tropical woody plant biodiversity and demographic processes and
573 their lagged responses to global change drivers.

574

575 In sum, highly distributed, long-term monitoring of the world's richest forests has profoundly increased
576 our understanding of nature's sensitivity to climate change. It has shown that intact forests have been
577 surprisingly resilient, but that many are now reaching the limits of their tolerance to global heating and
578 drying. Looking forward, many of the key uncertainties that remain concern the responses of tropical
579 biodiversity itself. This includes the extent to which the great biocomplexity of tropical forests
580 themselves will provide an effective and timely insurance policy in the face of rapidly changing
581 climates. To understand this, we must continue to monitor.

582

583

584 ## 5. Challenges and the Future of Tropical Forest Monitoring

585

586 Large-scale plot networks have not only made a series of crucial scientific discoveries and advances,
587 but even more profoundly the Social Research Network model pioneered by RAINFOR since 2000 has
588 influenced how the science itself is being done. Tropical ecology has undergone a remarkable shift from
589 a small cadre of researchers working in one or two sites to a more globalised and decentralised process
590 with greatly increased contributions from tropical scientists. This has been made possible by supporting
591 highly-distributed researchers and field sites, establishing mechanisms for shared data management,
592 fostering an equitable concept of data ownership, and embracing groups who are often marginalised in
593 research. Importantly, the network model is nurtured by researchers placing trust in the sharing of hard-
594 won data to answer big questions and recognising the value of developing trusting relationships over
595 time. Finally, the growth of interactive multi-site, multi-cultural science has benefited hugely from
596 standardized field and analytical methods that have been agreed upon, formalised and promoted. The
597 ForestPlots.net experience demonstrates that collaborative, multi-polar structures help ensure breadth
598 and resilience, while supporting and encouraging the leaders of the future.

599

600 The transformative power of this approach has now led to the establishment of multiple plot-centred
601 networks that are reshaping our understanding of tropical ecosystems. However, these networks face a
602 number of key challenges to sustain the achievements made and enact even deeper transformational
603 change, which we set out here.

604

605 *1. How can networks support leadership in the Global South?* Although no single project can reverse
606 the impact of centuries of global inequality, tackling the barriers to a more equitable world is the
607 responsibility of all. Ecology and conservation science remain biased towards temperate ecosystems in
608 terms of funding and topical focus (Di Marco et al. 2017, Reboredo et al. 2020), while tropical ecology
609 is often detached from policy-making processes and with most high-impact papers still led from the
610 North. Together with open data-sharing and long-term collaboration, more leadership of forest science
611 from tropical countries helps to address these disparities and achieve more impact on forest and carbon
612 management (e.g., Vargas et al. 2017, Baker et al. 2020). Supporting tropical students at different levels

613 up to PhD and mentoring beyond the doctoral degree is also important. To help, ForestPlots.net has
614 made shared tools widely available, and especially data management and analytic tools that support
615 data contributors as much as users. To ensure fieldwork is valued and leadership in tropical researchers
616 is fostered, we have developed a Code of Conduct to encourage contributions, support scientists in
617 tropical countries, and promote mentoring of junior scientists. To oversee this we created a diverse
618 steering   committee   that   currently   supports   more   than   30   projects   each   year
619 (http://www.forestplots.net/en/join-forestplots/research-projects). As a result, the proportion of
620 ForestPlots.net research projects and products being led by tropical nationals has greatly increased, with
621 less than 10% of publications as RAINFOR began (2000-2004), rising to 35% in 2009 and 50% by
622 2019. In spite of such gains diversifying leadership is a long-term process. Ultimately, sustained funding
623 in and by tropical countries themselves will ensure they not only have strong training programmes to
624 develop the core field and analytical skills scientists need, but equal opportunities for career
625 development.
626
627 *2. How should we value and recognise collaboration and leadership?* Most of the obvious reward
628 structures in science - job security, income, grant success, peer reputation and public acclaim – can
629 favour a 'me first' approach. Credit accrues to individuals, but true collaboration involves trust, sharing
630 and encouraging others. Collaboration is gratifying, but letting go of our egos can be challenging, and
631 in larger groups there is greater risk that individuals feel their contributions go unnoticed. Likewise, the
632 essential and major effort needed 'backstage' in ForestPlots.net to check data, update and develop data
633 management, and support requests to utilize data, goes unseen. A partial developmental solution to this
634 involves providing network contributors the opportunity to lead analyses with the expectation that *these*
635 *new leaders then support others* with their analyses. Another approach is to reflect the diversity of
636 contributions that underpin the success of networks by using a group author that shares credit amongst
637 all, as in the current paper. These steps can promote the recognition of multiple contributions and
638 development of tomorrow's leaders.
639
640 *3. How do we properly value the long-term?* Project and thesis time-scales last from one to five years,
641 but the lifespans of trees are measured in decades and centuries. What can seem vitally important in a
642 hypothesis-driven research grant or a PhD may, in fact, have little relevance to the longer natural
643 rhythms of nature. What if the dominant processes governing climate responses of forests turn out to
644 involve lifetime accumulated ecophysiological stress, tree demography and species migration? Clearly
645 very long-term research is essential to decode these processes. Meanwhile, maintaining permanent plots
646 is as much an expression of hope in the future as a stake in an immediate scientific outcome, as rewards
647 may accrue to others distant in time and space. Indeed, we have all benefited from researchers installing
648 plots from the 1930s onwards. These pioneers never dreamt that their careful tree measurements and
649 botanical identifications would help reveal the impacts of climate change on tropical forests, but look
650 what they have achieved! *Long-term research programmes are simply irreplaceable, enabling us to*
651 *discover, quantify, identify the causes of, and ultimately tackle environmental change.*
652
653 *4. Can we ensure fieldwork and human skills are valued for what they are?* Technology provides
654 many benefits to the scientific endeavor, but there are risks too, particularly in a field where long-term
655 measurements may be perceived as unfashionable (Ríos-Saldaña et al. 2018). A serious risk is that the
656 tail wags the dog: when technological advance is an end in itself, it is unlikely that scientific and human
657 progress will follow. We should never forget the basic truth that human beings and their skills are
658 essential to measure and identify tropical trees. It is notable that those measuring, climbing and
659 collecting tropical trees in permanent plots are among the least well-paid of all actors in the global
660 scientific endeavour. Yet these true *key workers* are irreplaceable as tree measurement in many locations

is completely dependent on such labour and skill (Fig. 7) and, more broadly, *combinations* of people and technology provide the best results (next section). Moreover, because tropical tree floras usually run into the thousands of species (e.g., >4,700 tree species in Peru, Vásquez et al. 2018), identification depends on the work of highly skilled climbers and botanists to collect material from canopies, make vouchers, and identify and permanently store them in herbaria. Without physical collections and the immense multi-cultural knowledge and skills that produce them, identifications are untestable hypotheses whose quality cannot be evaluated. But with vouchers, we have the names that are essential to test questions about diversity, composition, functional traits, and wood density and biomass.

5. How should we fund proven networks long-term? As the most pressing concern, this question intersects closely with all of the above. *Few organisations have the vision to support long-term endeavours where leadership and credit is shared diffusely, many benefits accrue after decades, and where the most exciting discoveries may be unforeseeable.* We recommend the following, potentially transformational changes to address the challenges and unlock the benefits of ambitious, long-term forest monitoring:

(i) *Science Agencies have the foresight to build long-term research capacity,* and consciously adopt the challenge of international ecosystem monitoring and tropical career development;

(ii) *Space Agencies recognise that tropical fieldwork can measure the things they cannot and validate the attributes that they can*, and contribute to the labour and unique skills of tropical field scientists and help overcome the challenges they face;

(iii) *Development and Conservation Agencies who depend on a robust understanding of the long-term health of forests*, recognise that high quality, long-term, on-the-ground monitoring of trees, and supporting these skills, is vital for their agenda;

(iv) *National and international climate adaptation and mitigation funders recognise that long-term, world-class forest monitoring is essential to assess both the mature forest carbon fluxes and the land-based emissions* which will together impact forest management, nature-based solutions, and nationally determined contributions (NDCs) to reducing greenhouse gases for decades to come.

Every one of these user groups requires successful networks with long-term, research-grade tropical forest plots to discern the status and change of biodiversity and to assess the stocks and flows of carbon.

697

698
699
700 **Figure 7. Accurately measuring and identifying trees in remote tropical forests requires**
701 **dedication, skill and courage**.

702
703 To measure the diameter of this giant *Ceiba* (Malvaceae) tree in Colombia's Chocó, three people each
704 needed to climb more than 10 meters. Such techniques can be the most practical and accurate options
705 for measuring large trees. Here, like many of our sites, there is no electric power, let alone a field station,
706 and chronic insecurity due to political and social conflicts and narcotrafficking means that aircraft and
707 laser-scanners are not deployable. Images: Pauline Kindler.

708
709
710

711 **6. Achievements, Impact and Potential**

712
713 Despite the challenges, tropical forest science has come a very long way. Until recently, tropical ecology
714 suffered from a massive data deficit. We had plenty of theory and conjecture, but few comparable
715 observations over time and space to *deductively* put these ideas to the test or *inductively* generate new
716 ones. Networks such as ForestGEO, RAINFOR, AfriTRON, and the wider ForestPlots community have
717 contributed much to resolving this. *By leveraging a remarkably old technology, forest plot networks*
718 *have sparked a modern revolution in tropical forest science*. They provide the means by which we have
719 quantified the trajectory of tropical forest carbon balance, including its climate sensitivity, and now
720 provide a Pan-Tropical Observatory for tracking these vital indicators of Earth's health going forward.

721
722 Permanent plots are now the prism through which ecologists address a rich suite of ecological questions,
723 but they have also changed the way *others* see forests. For example, well-identified permanent plots
724 have proved fertile ground for botanists to discover new tree species and genera (e.g. Reitsma et al.

725 1988, Baker et al. 2017, Wurdack and Farfan Rios 2017, Vásquez et al. 2018, Gosline et al. 2019,
726 Vásquez & Soto, 2020), ethnoecologists to quantify forest people's values (Phillips and Gentry 1993,
727 Lawrence et al. 2005), atmospheric scientists to explore organic volatiles production (Harley et al.
728 2004), ecophysiologists to assess why trees die (Rowland et al. 2015, Mcdowell et al. 2018) and how
729 necromass accumulates and decays (Chao et al. 2009), modelers to verify ecosystem simulations
730 (Johnson et al. 2016), and foresters to predict and manage wood production and its impacts (Berry et
731 al. 2008, Gourlet-Fleury et al. 2013). They provide critical infrastructure for whole-biodiversity and
732 cross-taxa inventory, including exploration of cryptic canopy and soil faunal and microbial biodiversity
733 (e.g., Nakamura et al. 2017). The impacts of these networks on policy are also growing. In Peru for
734 example, ForestPlots.net, MonANPe and RAINFOR contribute to estimating National Forest Reference
735 Emission Levels (NREF) since 2016, and our permanent plots are now being used to validate national
736 contributions to the Paris Climate Accord via forest carbon sequestration (Vicuña et al 2018, Baker et
737 al. 2020). In Ghana, plots were needed to quantify historical and current carbon stocks, helping to
738 establish baseline forest reference levels for the flagship Cocoa Forest REDD+ Programme (FCPF,
739 2017). In Gabon stratified-random sampling of high-quality AfriTRON plots is now used for the
740 National Forest Inventory (Poulsen et al. in press. Ecol. Apps). Internationally, RAINFOR, AfriTRON,
741 T-FORCES and 2ndFor provide the new IPCC default values on old-growth and secondary forest
742 carbon sequestration to assist countries develop their nationally determined contributions as part of the
743 UNFCCC process (Requena Suarez et al. 2019).

744

745 What of the future? As new technologies for probing forests become available, the hundreds of
746 standardised long-term plots and networks of skilled tropical researchers represent critical infrastructure
747 to enhance and calibrate new insights as they arise. The benefits of working within established plots go
748 beyond simply having confidence in species identifications and hence biomass. By leveraging their
749 labour and insights, we can increase the scientific value of new technology. For example, the ability to
750 match individual trees from laser-scanning surveys to tagged, censused individuals provides critical
751 information on growth and identity (Disney et al. 2018). Integrating long-term botanical and ecological
752 records of plots with terrestrial and airborne laser-scanning in designated *super-sites* (Chave et al. 2019)
753 can help overcome limitations of different approaches, providing greater certainty to biomass estimates
754 (e.g., Schepaschenko et al. 2019). Hence forest networks can help unlock the value of space-based
755 efforts to monitor forests. Just as the constellation of Earth-observing environmental satellites is a public
756 good, the plot constellation provides highly complementary, critical global infrastructure. And last, but
757 not least, as intact tropical ecosystems continue to shrink, burn and fray at the edges, permanent plots
758 provide the indispensable baseline for understanding biodiversity and ecosystem processes too. They
759 should be our shining North Star for guiding sorely needed restoration efforts throughout this century.

760

761 So far this effort has relied on the goodwill of hundreds of colleagues and dozens of grants from many
762 sources (see Acknowledgments). Only long-term funding will ensure that the vital public benefits of
763 plot networks continue to flow. Such support is surprisingly difficult to obtain (see Box 1). Yet twenty
764 years of hard-won scientific results show that reliable and highly distributed monitoring is irreplaceable.
765 They underscore the importance of welcoming all contributors to this effort, and of valuing the diverse
766 skills needed to understand tropical biodiversity and its dynamics. Ultimately, we will understand the
767 nature of tropical forests best when the science is global, local skills are fairly valued, and when the
768 development of tropical scientists is at its heart. Indeed, we know of no other model capable of achieving
769 this.

770

771 *[Main Body of Text Ends]*

772   *[Insert Box]*

773   _____

774   **Box 1. What Does It Take?**

775

776   Clearly long-term ground-based monitoring of tropical forests requires a sustained global team effort.
777   But just how much does it take to deliver tropical forest plot data in practice? It requires both skilled
778   people and their labour, and funds. So here we address this question in terms of *the human effort made*
779   *thus far* and the *financial investment needed to monitor across continents.*

780

781   **(a) The Human Contribution:** Network efforts include not only in-country field campaigns but much
782   besides. To deliver from conception to product, high-quality data collected over many years and in
783   dozens of countries requires multiple teams that are well-led and consistently trained in the proper
784   protocols, quality control, and data management. In RAINFOR and AfriTRON this includes national
785   or local field-team members to establish and remeasure plots, others to collect and identify plants and
786   collect and analyse soils, colleagues to organize and manage the data, and others to sustain and lead the
787   process nationally and globally – not to mention those who support these processes with essential
788   administration, herbarium assistance, database development, analytical packages, information
789   technology support, technical training and so on. Naturally some individuals contribute in several ways
790   and roles change over time as lives change. All these local, national and global efforts ultimately depend
791   on funding.

792

793   The average effort *in the field, herbarium, and lab* to install a typically remote and diverse 1-ha tropical
794   forest plot and analyse its species and soil sums to 98 person-days, with an additional effort of 38
795   person-days *to support and sustain these teams and data management*. Together a total of *136 person-*
796   *days is needed on average to deliver high-quality data from a new plot.*

797

798   Recensusing a plot is usually less demanding (for example soil collection is not repeated and there are
799   fewer plants to identify) but still considerable: 45 person-days in the field and herbarium, and 31 person-
800   days to support and sustain. Therefore, *76 person-days are required to deliver high quality data from a*
801   *recensused plot.* These represent long-term averages. These estimates are based on remeasuring plots
802   within five years or less between each census, and assume the plot was installed using standard
803   protocols. Naturally circumstances can vary from site-to-site and country-to-country.

804

805   Thus far our teams have established 4,062 plots in tropical forests of which 1,816 are recensused, from
806   as little as once up to as many as 40 times each. Of the 4,062 plots the modal size is between 0.9 and
807   1.1 ha but there are smaller plots too (1,844 are >0.9 ha, and 2,216 are <0.9 ha). The recensused plots
808   tend to be larger: of the 1,816 recensused plots, 62% are >0.9 ha (1,131) and 38% are <0.9 ha (675).

809

810   If we conservatively assume that plots >0.9 ha (average size = 1.2 ha) require 136 days to install and
811   76 days to recensus, and those <0.9ha require half this effort (also likely to be conservative due to fixed
812   costs for even the smallest plots), then the total effort to install these plots has been 196,248 person-
813   days, and recensusing them has taken 357,940 person-days. In total this comes to 1,518 years.

814

815   As if one remarkably talented and tireless individual had been working continuously since AD 502.

816

817

(b) Cost of Sustained Continental Monitoring: *How much does it cost to monitor Earth's remaining old-growth tropical forests with ground networks?* This is a critical question given the exceptional ecological value of these systems, the threats they are under, and the role they have and can play in modifying the rate of global climate change.

At first sight this question appears difficult to answer, or to even agree upon the terms of reference. Scientists would ask and likely argue: Monitoring what? For whom? With what precision, level of confidence, or spatial and temporal resolution? Recognising such difficulties we take a pragmatic approach and reframe the question. Instead we posit, *How much will it cost to monitor tropical forests using all the permanent plots that we have already remeasured?*

This question is tractable *practically* (these plots represent a known quantity: we know exactly *where* they are, *what* most of the species are, and to a large extent *who* can actually do the work – *each of which is critical*), it makes sense *scientifically* (the plots already have a baseline monitoring period against which we can assess any change, *which is essential*), and it is justifiable *quantitatively* (using somewhat smaller datasets than this we have already detected long-term changes in carbon balance, productivity and tree mortality on each continent, reported short-term changes in response to El Niño droughts and other climate anomalies, and attributed changes in carbon and biodiversity to climate drivers, *all of which establish proof-of-concept*). So here goes:

* There are 1,105 remeasured ForestPlots.net plots in tropical forest South America (422<0.9ha + 683>0.9ha), 462 in tropical forest Africa (109 + 353), 192 in tropical forest Asia (106 + 86) and 32 in tropical forest Australasia (22 + 10). With all 1,791 plots monitored on a four-year cycle this requires revisiting 448 plots annually, of which 165 are $\leq$0.9 ha and 283 are $\geq$0.9 ha.

* Recensus costs can vary from site-to-site. Botanical identification is especially challenging in most of South America due to the extraordinary diversity, while some African forests are exceptionally remote. Employment, social security and health costs vary but are rising almost everywhere. On average, considering all *the direct and indirect human effort required* (above) and *additional direct costs* (including consumables, equipment, travel, subsistence, insurance, visas, permits, shipping, training, IT), the current cost to deliver a high-quality tropical recensus is $\approx$ 18,000 USD for plots $\geq$0.9 ha, and at least half this for plots that are <0.9 ha. That's about 30 USD per tree.

[Similarly, to install plots is a significant operation but it requires more expert time to collect and identify hundreds of trees. The total cost to properly install a high-quality tropical forest plot is $\approx$ 27,000 USD for a 1 ha plot. When forests are recensused this start-up investment is leveraged as a contribution: this enables the subsequent monitoring of forest dynamics but it's not new spend.]

Thus, the annual delivery cost for a pantropical, practical ground-based *recensus programme* capable of tracking and attributing forest change to published standards is estimated as:

(283*18,000 + 165*9,000) $\approx$ 6.6 million US dollars.

This *annual investment is sufficient to ensure that ground-measurements track the biome-wide and continent-specific biomass carbon balance of the remaining intact tropical moist forests, as well as their climate sensitivity.* It also provides ground calibration and validation for remote estimates of biomass. It further enables us to detect whether the tropical sink is now disappearing as predicted, and where and why, and what the consequences for biodiversity are, and to determine how much intact

866 ecosystems can contribute to countries' nationally determined contributions (NDCs) to climate
867 mitigation.
868
869 While $6.6 million is a significant sum it is instructive to compare it to funding required for other large-
870 scale science initiatives. The United States alone spends $80 million annually (i.e*., twelve times as*
871 *much*) on its national forest inventory (Castillo and Alvarez, 2020). Space Agencies invest from ca. $80
872 million to 500 million Euros for a single mission to estimate biomass from space for a few years (i.e,
873 *one to two orders of magnitude more*). And as we have seen, ground networks ultimately not only
874 transcend the short-term time windows of such missions but add huge value to them.
875
876 In conclusion, the ongoing cost of monitoring Earth's remaining tropical forests on the ground is
877 extraordinarily small compared to the great scientific and practical benefits it provides. Meanwhile,
878 tropical forests themselves are in greater trouble than ever before, even while still providing tremendous
879 and irreplaceable benefits to the people of the world. Now that the capacity to monitor tropical forests
880 is established and proven it is surely incumbent on all of us to ensure this collective effort continues
881 and grows.
882 _____
883
884

941   **Author Contributions**
942
943   All authors have contributed to ForestPlots.net-associated networks by leading, or collecting or
944   supporting field data acquisition, or implementing and funding network development, data
945   management, analyses and outputs. O.L.P. wrote the manuscript with contributions from most co-
946   authors, M.J.S. contributed new analyses and M.J.S., G.L.P. and A.L.L. helped prepare the figures.
947   O.L.P., T.R.B., G.L.-G. and S.L.L. conceived ForestPlots.net. R.B., T.F., D.G., E.G., E.H., W.H., A.E.-
948   M., A.L., K.M., Y.M., G.C.P., B.S-M., L.Q., and M.J.P.S have additionally contributed tools, funding
949   or management to its development since.
950
951
952   **Literature Cited**
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1512   Taking the Pulse of Earth's Tropical Forests using Networks of Highly Distributed Plots

1513   Author: ForestPlots.net (Cecilia Blundo, Julieta Carilla, Ricardo Grau, ………….. Hieu Dang Tran)

1514   Corresponding author: Oliver L. Phillips

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1516   Table 1 of Contributing Networks

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1518   Table of Contributing Authors

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1521   **Table 1. Networks contributing to ForestPlots.net**

1522   We report the 24 international, national, and regional plot networks contributing to and supported by ForestPlots.net in 2020, in order of date of affiliation. Note
1523   that some plots contribute to more than one network, in some cases the plots managed at ForestPlots.net are fewer than the total number of plots of the network,
1524   while others are not 'networked' but managed by individual researchers. Hence, cross-network totals do not correspond precisely to the number of plots
1525   managed. We include 20 tropical networks with multi-census plots plus four large-scale floristic-focussed networks (ATDN, CAO, sANDES, RedGentry) that
1526   work exclusively with single-census data. As an open collaborative project ForestPlots.net welcomes all contributors with carefully-managed plots.

Network[1]	Geography	Main Purposes[2]	Joined ForestPlots.net	Initiated [e.g. plots censused as a network]	First census in ForestPlots.net	n (plots in ForestPlots.net)	n (plots recensued)	Modal plot	Mean size (ha)	Mean (maximum) years monitored
RAINFOR	South America: tropical forests	B,D,F,M,T,V	2000	2000	1961	593	427	1-ha, >10cm d	0.8	15 (56)
DBTV	Venezuela: tropical forests	B,D,M,T	2004	1956	1961	48	48	0.25-ha, >10cm d	0.25	30 (55)
COL-TREE	Colombia	B,D,F,H,M,R,V	2004	2004	1992	61	55	1-ha, >10cm d	0.8	9 (25)
TROBIT	Pantropical: forest-savanna transition	B,D,F,H,R,T	2006	2006	2006	58	49	1-ha, >10cm d	1	12
AfriTRON	Africa: tropical forests	B,D,F,M	2009	2009	1939	575	407	1-ha, >10cm d	0.9	11 (69)
ABERG	Peru Andes: Kosñipata Valley	B,D,F,M,P,T	2011	2011	2003	23	23	1-ha, >10cm d	1	12 (16)
T-FORCES	Southeast Asia: tropical forests	B,D,F,H,M	2012	2012	1958	95	71	1-ha, >10cm d	1.3	22 (56)
GEM	Worldwide	D,H,M,P,R,T	2012	2010	2010	53	45	1-ha, >10cm d	0.8	5 (16)
PELD-TRAN	Brazil: Amazon-Cerrado transition	B,D,F,H,M,R,T,V	2012	2010	1996	48	45	1-ha, >10cm d	1	9 (22)
DRYFLOR	Latin America and Caribbean dry forests	B,D,F,H,M,R,T,V	2013	2012	2007	39	8	0.5-ha, >5cm d	0.3	7 (8)
ATDN	Amazonia: tropical forests	F,V	2014	2003	1974	413	N/A	1-ha, >10cm d	1	N/A
PPBio	Brazil: forests and savanna	B,D,F,H,M,T,V	2015	2004	2000	277	205	1-ha, >10cm d[3]	0.9	7 (17)
BIOTA	Brazil: São Paulo state, Atlantic forests	B,D,F,H,M,P,R,T,V	2016	2005	2005	20	18	1-ha, >10cm d	0.9	11 (14)

FATE	Brazil: Amazon fire-impacted	B,D,H,M,R,S,T	2016	2014	2009	57	38	0.25-ha, >10cm d[3]	0.3	4 (10)
RAS	Brazil: Para state	B,D,F,H,M,P,R,T,U,V	2016	2009	1999	256	59	0.25-ha, >10cm d[3]	0.26	6 (20)
MonANPeru	Peru	B,D,F,H,M,R,U,V	2017	2017	1974	128	103	1-ha, >10cm d	1	15 (43)
Nordeste	Brazil: Caatinga biome	B,D,F,H,M,R,T	2017	2017	2017	33	3	0.5-ha, >10cm d	0.5	3
SEOSAW	Southern Africa woodlands	B,D,F,H,M,R,S,T,U,V	2018	2018	2006	113	98	1-ha, >5cm d	0.5	9 (15)
Red BST-Col	Colombia: dry forests	B,D,F,H,M,R,U,V	2018	2014	2014	11	1	1-ha, >2.5cm d	1	3 (3)
CAO	Peru Amazon-Andes	B,F,S,T,V	2019	2009	2009	276	N/A	0.28-ha, >5cm d	0.28	N/A
RedSPP	Argentina: subtropical	B,D,F,H,M,R,V	2019	2019	1992	16	7	1-ha, >10cm d	1.4	10 (25)
RBA	South America: Andean forests	B,D,F,H,M,R,V	2020	2012	1992	46	34	1-ha, >10cm d	1	11 (25)
sANDES	South America: Andean forests	B,F,V	2020	2019	2003	191	N/A	0.1-ha, >2.5cm d	0.4	N/A
AfriMont	Africa: tropical montane forests	B,H,M,U,V	2020	2020	1939	105	N/A	1-ha, >10cm d	0.6	10 (69)
RedGentry	South America: Amazon forests	F,V	2020	2020	1983	350	N/A	0.1-ha, >2.5cm d	0.2	N/A

1527

1528   **Footnotes**

1529   **1 Full Network Names:**
1530   Red Amazónica de Inventarios Forestales (RAINFOR)
1531   Dinámica y crecimiento del Bosque Tropical Venezolano (DBTV)
1532   Tropical Biomes in Transition (TROBIT)
1533   African Tropical Rainforest Observation Network (AfriTRON)
1534   Andes Biodiversity and Ecosystem Research Group (ABERG)
1535   Tropical Forests in the Changing Earth System (T-FORCES)
1536   Red Colombiana de Monitoreo de los Bosques (COL-TREE)
1537   Global Ecosystems Monitoring (GEM)
1538   Programa Ecológico de Longa Duração (PELD-TRAN)
1539   Amazon Tree Diversity Network (ATDN)
1540   Programa de Pesquisa em Biodiversidade (PPBio)

1541   Programa de Pesquisas em Caracterização, Conservação e Uso Sustentável da Biodiversidade (BIOTA)
1542   Fire-Associated Transient Emissions (FATE)
1543   Rede Amazônia Sustentável (RAS)
1544   Monitoreo de las Areas Naturales Protegidos del Peru (MonANPeru)
1545   Projeto Nordeste (Nordeste)
1546   A Socio-Ecological Observatory for Southern African Woodlands (SEOSAW)
1547   Red de Investigación y Monitoreo del Bosque Seco Tropical en Colombia (Red BST-Col)
1548   Carnegie Airborne Observatory (CAO)
1549   Red Subtropical de Parcelas Permanentes (RedSPP)
1550   Red de Bosques Andinos (RBA)
1551   Tree Diversity, Composition and Carbon in Andean Montane Forests (sANDES)
1552   African tropical Montane forest network (AfriMont)
1553   Red de parcelas Gentry (RedGentry)
1554

1555   **2 Purpose**: **B**iomass; **D**ynamics (mortality, recruitment, growth); **F**loristic composition; **H**uman-impacts (fire, logging, fragmentation); **M**onitoring carbon storage, sink,
1556   change; **P**roductivity and carbon-cycle; **R**ecovery and restoration, Remote-**S**ensing calibration/validation; **T**raits; Sustainable **U**se; Di**V**ersity

1557   **3** = with nested sub-plots for smaller stems

1558

Taking the Pulse of Earth's Tropical Forests using Networks of Highly Distributed Plots

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