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Review



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Alzheimer's disease-like perturbations in HIV-mediated neuronal dysfunctions: understanding mechanisms and developing therapeutic strategies

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Q1

Excessive exposure to toxic substances or chemicals in the environment, and various pathogens including, viruses and bacteria, is associated with the onset of numerous brain abnormalities. Among them, pathogens, specifically viruses, elicit persistent inflammation that plays a major role in Alzheimer's disease (AD) as well as dementia. AD is the most common brain disorder that affects thought, speech, memory and ability to execute daily routines. It is also manifested by progressive synaptic impairment and neurodegeneration, that eventually leads to dementia following the accumulation of A β and hyperphosphorylated Tau. Numerous factors contribute to the pathogenesis of AD, including pathogen, specifically viruses, associated neuroinflammation. The human immunodeficiency viruses (HIV) are often linked with HIV-associated neurocognitive disorders (HAND) following permeation through the blood-brain barrier (BBB) and induction of persistent neuroinflammation. Further, HIV infections also exhibited the ability to modulate numerous AD-associated factors such as BBB regulators, members of stress-related pathways as well as the amyloid and Tau pathways that lead to the formation of amyloid plaques or neurofibrillary tangles accumulation. Studies regarding the role of HIV in HAND and AD are still in infancy, and potential link or mechanism between both is not yet established. Thus, in the present article, we attempt to discuss various molecular mechanisms that contribute to the basic understanding of the role of HIV-associated neuroinflammation in AD and HAND. Further, using

64 numerous growth factors and drugs, we also present possible
65 therapeutic strategies to curb the neuroinflammatory
66 changes and its associated sequels.
67

70 1. Introduction

71 Alzheimer disease (AD) is the most common neurological
72 complication which mainly manifests progressive synaptic
73 impairment and neurodegeneration, following excessive forma-
74 tion and accumulation of amyloid-beta ($A\beta$) [1,2]. $A\beta$ deposits
75 and hyperphosphorylated Tau (pTau), which interfere with
76 the neuronal organization and their function, play a considerable
77 role in AD progression [3]. $A\beta$ -pathology often involves a variety
78 of signals that interrupt the homeostasis of neurons [4]. Cur-
79 rently, there is no definite data, which can demonstrate a
80 causative relationship between neuronal damage following the
81 human immunodeficiency virus (HIV) infections and the onset
82 of AD. However, available literature indicates that there are
83 some common factors and pathways modulated in HIV⁺ and
84 AD patients, thus suggestive of some similarities in these two
85 pathologies. Among numerous pathways, neuroinflammation
86 is shown closely related to these disorders and is considered
87 a crucial factor in their development and progression. It has
88 been reported that HIV regulatory proteins such as, trans-
89 activator of transcription (Tat), envelope glycoprotein (Gp120),
90 viral protein R (Vpr) and negative factor (Nef) can directly
91 influence the central nervous system (CNS) and activate neuroin-
92 flammatory pathways is ensued by neuronal injury and
93 dysfunction. Additionally, abnormal $A\beta$ deposition, a pathologi-
94 cal hallmark of AD has been reported in the individuals
95 suffering from HIV infection. Though the abnormalities associ-
96 ated with $A\beta$ burden are more frequent in the AD brain than
97 HIV-infected individuals, it has been predominantly observed
98 in younger HIV-infected individuals [5,6].
99

100 Additionally, the blood-brain barrier (BBB) dysfunction
101 associated with HIV-1 infection is considered another cause of
102 neuroinflammation in AD. HIV infiltrates macrophages in the
103 CNS by crossing the BBB. The disrupted BBB in HIV patients
104 has been correlated with toxic $A\beta$ aggregation and other
105 abnormalities resulting from a failure to sort out $A\beta$ peptides
106 [7]. The virus-induced fusion of macrophages causes the forma-
107 tion of the giant cells and activation of astrocytes which
108 eventually causes injuries to different components of the
109 brain. The most affected areas are the subcortical structures
110 along with the limbic structures and basal ganglia and the
111 verotoxins including, HIV proteins Gp41, Gp120, Tat, Vpr
112 and Nef are accountable for such damages. HIV proteins also
113 may cause axonal damage and breakdown of white matter.
114 These injuries cause a decrease in volume of the brain structures
115 such as the caudate nucleus and basal ganglia, resulting into
116 atrophy of the brain volume and decline in cognition [8–10].

117 HIV also leads to HIV-associated neurocognitive disorders
118 (HAND), since it has a propensity to cross BBB and causes
119 neuroinflammation [11–14]. HAND exhibits a spectrum of
120 cognitive deficits and typically affects information processing
121 speed, attention, learning and recall memory among other
122 cognitive functions [15]. HAND also has implications for
123 adherence to antiretrovirals (ARV) since it affects prospective
124 memory [16]. The exact route in which HIV causes HAND is
125 not yet well-known, although, HIV replication (potential
126 mechanisms) in the CNS, principally in the basal ganglia

and the adjacent subcortical white matter where HIV infection
is typically observed [17,18].

Among different cell types in the CNS, neurons have the
minimal susceptibility to the HIV infections; thus the neuronal
impairment is reasonably speculated to result from an infec-
tion of neighbouring cells like microglia and macrophages,
which exert immune functions in the brain. These infected
cells result in the production of viral proteins that have the
ability to affect the synapse where communication between
neurons occurs. Also, the same viral proteins can induce unin-
fected macrophages, astrocytes and microglial cells that results
in the production of neurotoxins and a variety of inflam-
matory molecules, causing further damage to neurons [19].
Further, the inflammatory molecules and neurotoxins trigger
NMDA receptors and may cause additional damage to the
neurons following aggregation of calcium (Ca^{2+}) in the neu-
rons which activate the formation of excessive free radicals
that contribute to oxidative damage. Among other factors,
methamphetamine use or abuse and co-infection with hepa-
titis C virus (HCV) may aggravate damages caused by HIV,
involving activation of macrophages and microglial cells [19].

Like, amyloid plaques, neurofibrillary tangles (NFTs)
consisting of pTau, also occur in people suffering from HIV,
particularly in aged individuals [20]. The elevated levels of
Tau have been reported to occur at earlier ages in the individuals
suffering from HIV than in healthy individuals. In HIV-infected
individuals, tau phosphorylation results from viral proteins and
pro-inflammatory cytokines that may impair amyloidosis and
precede the development of tau tangles [21]. Higher expressions
of pTau in HIV individuals are also correlated with ARV treat-
ment [20]. Many comorbid conditions like chronic substance
abuse independent of the direct consequences of HIV also lead
to HIV transmission, responsiveness and cognitive difficulties
[22]. It is apparent that the linkage and causative mechanisms
between neuroinflammation, HIV-CNS neuroinfections,
HAND and AD is still not completely understood. Therefore,
it is important to understand the fundamental molecular linkage
among these pathologies that may help in understanding patho-
genesis and developing therapeutics targeting the pathogenesis
events along with additional help in diagnosis and prognosis. In
the purview of this, herein, we summarized various underlying
mechanisms which contribute to HIV-associated neuroinflam-
mation in HAND and AD using synoptic tables and schemes.
Additionally, numerous possible therapeutic strategies have
also been presented, which may have the potential to curb
these complications and improve quality of life.

2. Human cells involved in HIV-associated neuronal damage

HIV-1 interacts with different cell types (table 1) in the CNS,
including resident macrophages, neurons and astrocytes that
are reported to be involved in neuronal damage [12,26,27]. In
the CNS, resident macrophages, neurons and astrocytes are
the primary cell targets for HIV infection. In the neurodegenera-
tive processes, the roles of macrophages are crucial due to their
resistance and sustenance against the cytopathic effects of
HIV-1 [19,28–33]. In the CNS, there are four major types of
macrophages that include choroid-plexus macrophages, menin-
geal macrophages, perivascular macrophages and microglia
[23,34]. Out of these, perivascular macrophages and microglia
are believed to play a crucial role in neuronal damage following

Table 1. The role of human cells in HIV-mediated neuronal damage [12,23–25].

s. no.	neuronal cell	associated effects	types of infection
1	neuron	Enhances P53 expression Enhances caspase activation Enhances intracellular Ca ²⁺ release	restricted
2	microglia	Induces viral replication Provokes the release of viral proteins including, gp120, Tat and Vpr Increases neurotoxins production and also induces the expression of inflammatory mediators, such as PDGF and QUIN	productive
3	astrocyte	Enhances the production of neurotoxins Downregulates the glutamate uptake Enhances BBB permeability Enhances intracellular release of glutamate and Ca ²⁺ Evokes the migration of monocytes into the brain	restricted
4	perivascular macrophage	Triggers viral replication Increases neurotoxins production and induces the expression of inflammatory mediators, such as PDGF and QUIN Provokes the release of viral proteins including gp120, Tat and Vpr	productive
5	oligodendrocyte	Enhances cellular apoptosis Enhances intracellular Ca ²⁺ levels Curtails myelin synthesis	restricted

the release inflammatory cytokines [23]. Additionally, viral proteins and neurotoxins also take part in the inflammatory processes and provoke apoptosis, differentiation of astrocytes, and impair normal neurogenesis [12,24,25]. Further, microglial resident cells play a fundamental role in the pathogenesis of HAND, leading to the degenerative changes involving numerous mechanisms. The glial cells upon HIV infection release factors and toxins that aggravate neurons and astrocytes [12,35,36]. Astrocytes are neuroectodermal-derived cells, which support the function and metabolism of neurons, the ionic homeostasis into the CNS, control the state of the neuronal synapses by the uptake of neurotransmitters, and tissue repair. These are the important components of the BBB and also regulate the immune responses in the brain [37–39]. In addition, astrocytes can facilitate the virus to persist in the CNS that aid in maintain low replication of HIV and establish a latent infection [40]. Furthermore, in HIV-infected cells, the viral factors may enhance the release of other chemoattractants that recruit microglia and monocytes, results in aggravation of the neuronal damage. Further, the cellular factors like interleukin-1 β (IL-1 β), interferon gamma (IFN- γ) or tumour necrosis factor alpha (TNF- α) have the potential to activate and reactivate viral replication in latently infected cells [19,41–45].

3. The direct and indirect mechanisms of HIV induced-neuronal injury

3.1. Direct mechanisms

HIV-1 infects CNS involving three different mechanisms (figure 1). In the first mechanism, the virus can directly infect

endothelial cells which express the chemokine receptors (CCR3, CXCR4, DC-SIGN) engaged in HIV-1 entry [40,46]. In the second mechanism, the virus may directly cross the impaired BBB due to increased permeability [45,47]. In the third mechanism, according to ‘Trojan horse’ hypothesis, HIV-1 infected monocytes, perivascular macrophages and leucocytes cross the BBB and release viral particles which infect resident cells like microglia and lead to persistent infection. This one is believed to be the main mechanism for entry of HIV into the brain, similar to those observed with other retroviruses and lentiviruses [40].

Several observations advocate that cells like monocytes are infected before leaving the bone marrow [48]. Particularly, proviral DNA has been observed in these cells with no presence of viral proteins, which facilitated dissemination of the HIV-1 infection [48,49]. An increase in a subset of monocytes, including (CD14^{low}CD16^{high}) plays a significant role in HIV-1 infection [34,50–54]. These cells display intermediate traits between the differentiated cells (dendritic cells and macrophage) and monocytes [51,53]. Owing to the lower activity of the host restriction factors than the CD14^{high}CD16^{low} cells, the cells are more permissive to HIV replication following eased permeation through BBB [49–52,54]. Furthermore, viral proteins released into the CNS are believed to induce BBB impairment by enhancing apoptosis and promoting the invasion of HIV as well as other viruses in the different components of the brain [45,55–57].

3.2. The indirect mechanisms

In addition to direct mechanisms, HIV-associated neurological complications and neuroinflammation also involve indirect

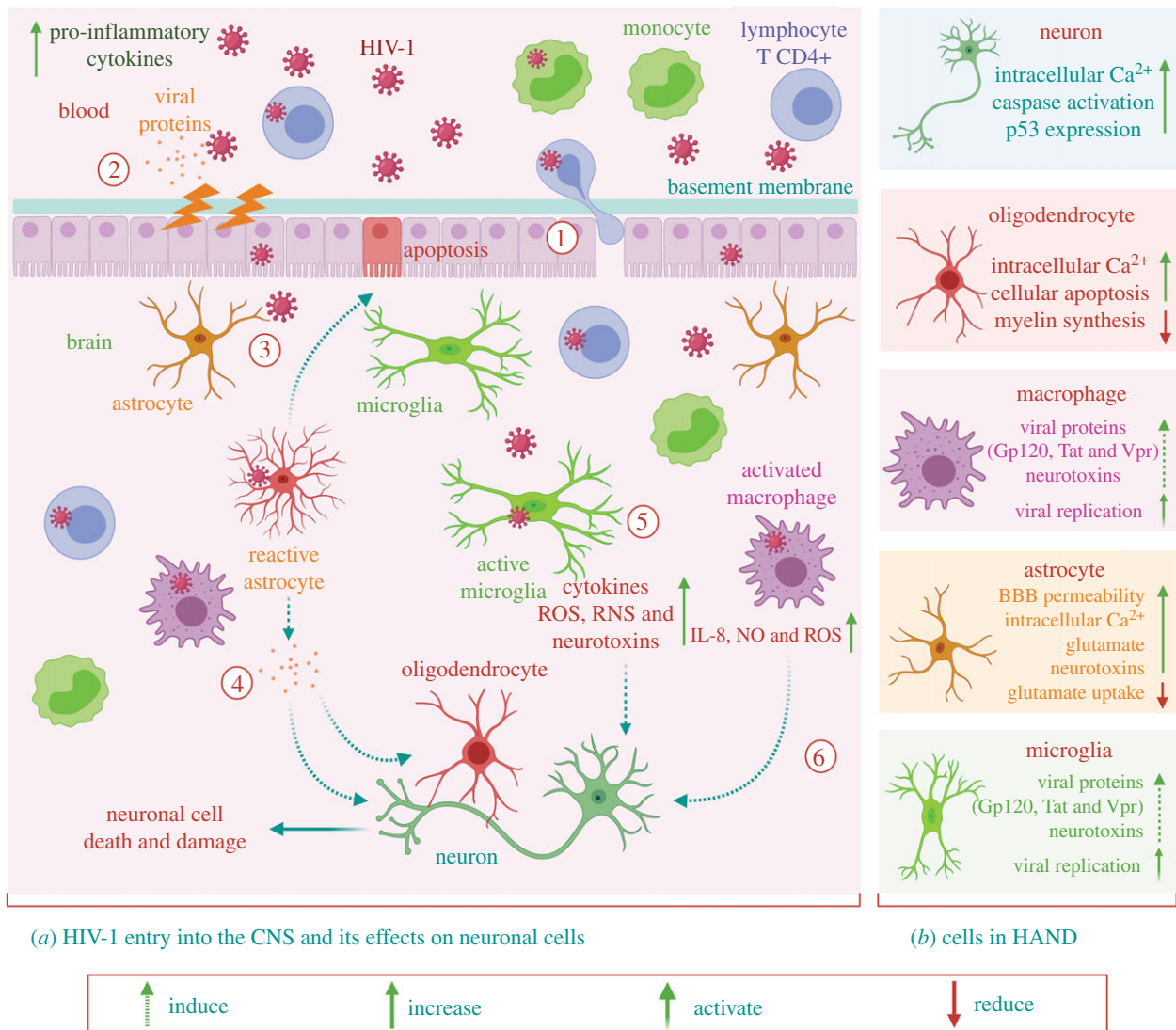


Figure 1. Schematic showing the entry mechanisms of HIV-1 into the CNS and its associated effects on neuronal cells that contribute to neuronal damage and death. (1) HIV-1 can enter through infected T-cells or monocytes that migrate from the bloodstream to the CNS according to 'Trojan horse' hypothesis. (2) The increase in viral proteins and pro-inflammatory cytokines can impair the BBB (epithelial cells) permeability to make virus entry easier. Besides, using infected epithelial cells, virus can reach the other side through transcytosis process. (3) Reactive astrocytes can provoke epithelial cell apoptosis, leading to the modification of BBB permeability through the release of viral proteins such as Tat. (4) The viral protein Tat has a direct effect on neurons and oligodendrocytes, which cause increased damage and neuronal death. Finally, chronic activation of activated (5) microglia and (6) macrophages causes an increase in the levels of neurotoxins, proinflammatory cytokines, RNA and ROS.

mechanisms including, the infiltration of infected monocytes and lymphocytes in the CNS, release of viral and cellular factors from these infected cells and infection of the resident cells caused by viral particles released from infected cells or infiltrating into the CNS [58]. The cells, specifically T-cells and monocytes, infected with HIV, play a crucial role in the release of pro-inflammatory cytokines that stimulate microglia and astrocytes. The activated microglia and astrocytes along with perivascular macrophages are engaged in releasing inflammatory and neurotoxic mediators, including quinolinic acid (QUIN), nitrogen oxide and platelet-derived growth factor (PDGF) that further leads to neuronal dysfunction and death [45,59].

Despite treatment with ARV agents, a previous study has reported that level of cytokines such as CCL3, IL-8, CCL2, IFN- γ , CXCL10 and IL-6 was found to be higher in HIV-1 infected individuals in comparison with the uninfected individuals. The higher expressions of cytokines are indicative of uninterrupted neuroinflammation that is accountable for

promoting HAND-associated encephalopathy [60]. Recently, Vera et al. [61] reported the presence of neuroinflammatory markers in neuro-asymptomatic HIV-infected patients, despite the effective control of viraemia. The translocation of the virus from gut to the bloodstream is believed to cause extensive inflammation and altered integrity of white matter, and this reasonably suggests the role of brain-gut axis in the pathogenesis of HAND [62].

4. Detailed mechanisms of neuroinflammation caused by HIV in the brain

HIV is known to play a key role in depleting cluster of differentiation 4 (CD4) cells, and robustly hampering the immune responses. Subsequently, it may rise to opportunistic infections and cause acquired immunodeficiency syndrome

Table 2. The roles of HIV regulatory proteins on neuronal damage.

s. no	HIV regulatory protein	pathological implications on brain	references
1	Tat	Induces the expression of GAC, GFAP, IL-1 β and MCP-1/CCL2	[78–81]
		Regulates cellular gene expression	[82]
		Enhances the expression of GLUT1 in the hippocampus and cortex. Also, enhances leucocyte infiltration.	[78,82]
		Upregulates the expression of Cx43 human gene	[83]
		Decreases SYN expression. Also reduces GABA in the cortex.	[82,84]
		Interacts with CDK9 and Cyclin T1	[85]
2	Gp120	Activates the release of inflammatory cytokines and toxic substances and accumulation of A β PP	[86,87]
		Decreases the expression of MAP2, LC3 and beclin-1	[88]
3	Vpr	Promotes pro-apoptotic and cell-cycle proteins	[57]
		Induces the release of matrix metalloproteinases (neurotoxins)	[57]
		Provokes the release of IL-1 β , TNF- α and IL-8 in macrophages	[57]
4	Nef	Enhances the apoptosis of MVEC. Also, enhances the sensitivity of astrocytes to H ₂ O ₂	[55,89]
		Provokes astrogliosis and astroglial activation	[90]

(AIDS). HIV is occasionally known as a neurotropic virus, although lacking expression of its main receptor CD4 in neurons; it cannot directly damage the neuronal tissues [63]. Nevertheless, the recent phylogenetic analyses showed that HIV could easily access the CNS during primary infection (within the first two weeks), where it can replicate locally and get compartmentalized [64]. Thereafter, the virus replication ensues neurotoxicity that is correlated with impaired sensory, cognitive and motor function in patients suffering from HIV, and these neuronal abnormalities are collectively termed HAND [11–14]. These conditions are further categorized into three groups, based on the severity of the symptoms, namely, HIV-associated dementia (HAD), mild neurocognitive disorder (MND) and asymptomatic neurocognitive impairment (ANI) [15]. Patients suffering from these complications exhibit an array of clinical symptoms which may range from cognitive and motor impairment to altered mood and behavioural changes to dementia. The asymptomatic, ANI-HIV⁺ patients have been reported to display greater risk to develop cognitive dysfunctions in comparison with normal patients, and these are considered to reflect the primary stages of AD [65,66]. The incidences of HAND have been found to reduce with the successful establishment of combination antiretroviral therapy (cART) [12,67]. However, despite the availability of cART, the occurrence of HAND is drastically increasing nowadays, generally due to the cardiovascular risks factors, the increased life expectancy of patients, exposure to environmental hazards and neuroinflammatory changes. Recently, it has been reported that patients diagnosed with HAND with mild/severe cognitive loss suffers from low quality of life, along with relatively shorter lifespan [68]. Before the introduction of cART, HAD was reported in 15–20% of HIV⁺ patients and was considered a focal risk factor [69,70]. However, following the establishment of this therapy, the total fraction of HAND patients did not show any discrepancy, but the distribution of the classes show alteration with an increase in MND and ANI and a decrease in HAD [12]. Evidence from

recent studies show that neuronal manifestations are becoming more common in the ageing HIV⁺ population [14,71,72]. The data from many clinical trials show poor prediction on the influence of cART on cognitive dysfunction due to BBB restricted lower penetration of the drugs into the CNS. Additionally, some ARV can cause neurotoxicity and are believed to be linked with a poor prediction on the influence of cART on cognitive impairment. Given the available scenario, HAD is also considered as one of the most common forms of dementia in people of less than 40 years of age [14,71,72].

As described previously, HIV uses a mechanism called ‘Trojan horse’ to enter into the CNS, and this mechanism consists of the passage of infected monocytes through the BBB (figure 1) [5,73]. Recently, it has been shown in several clinical studies that CD14⁺CD16⁺ monocytes are competent to easily transmigrate through the BBB, and their high numbers are also reported in HIV-infected patients [5,73]. HIV once it enters the brain can damage many cell types including, perivascular macrophages, microglia and potentially adult neural precursors due to the presence of CD4 receptor on these cells [74,75]. Moreover, HIV replication can also be seen in astrocytes in a restrictive manner [76]. Due to these reasons, the brain is sometimes classified as a sanctuary and may serve as a reservoir for HIV [77]. The direct and indirect influences of HIV infection in the brain cause astrocytes and microglia-induced release of cytokines, chemokines and free radicals that result in neuronal dysfunction [12]. In addition, BBB disruption caused by HIV also contributes to further entry/exit of viral proteins and virions.

Numerous HIV regulatory proteins including, Tat, Gp120, Vpr and Nef can have direct influences on the nervous system, and these viral proteins are accountable for triggering neuroinflammatory pathways that cause neuronal dysfunction (table 2 and figure 2). The main source of these viral proteins can be infected non-neuronal cells, although these also shed from virions [91,92]. Some viral proteins such as Vpr and Tat are consistently found in the cerebrospinal fluid (CSF) [91,93,94]. Further, the envelope protein

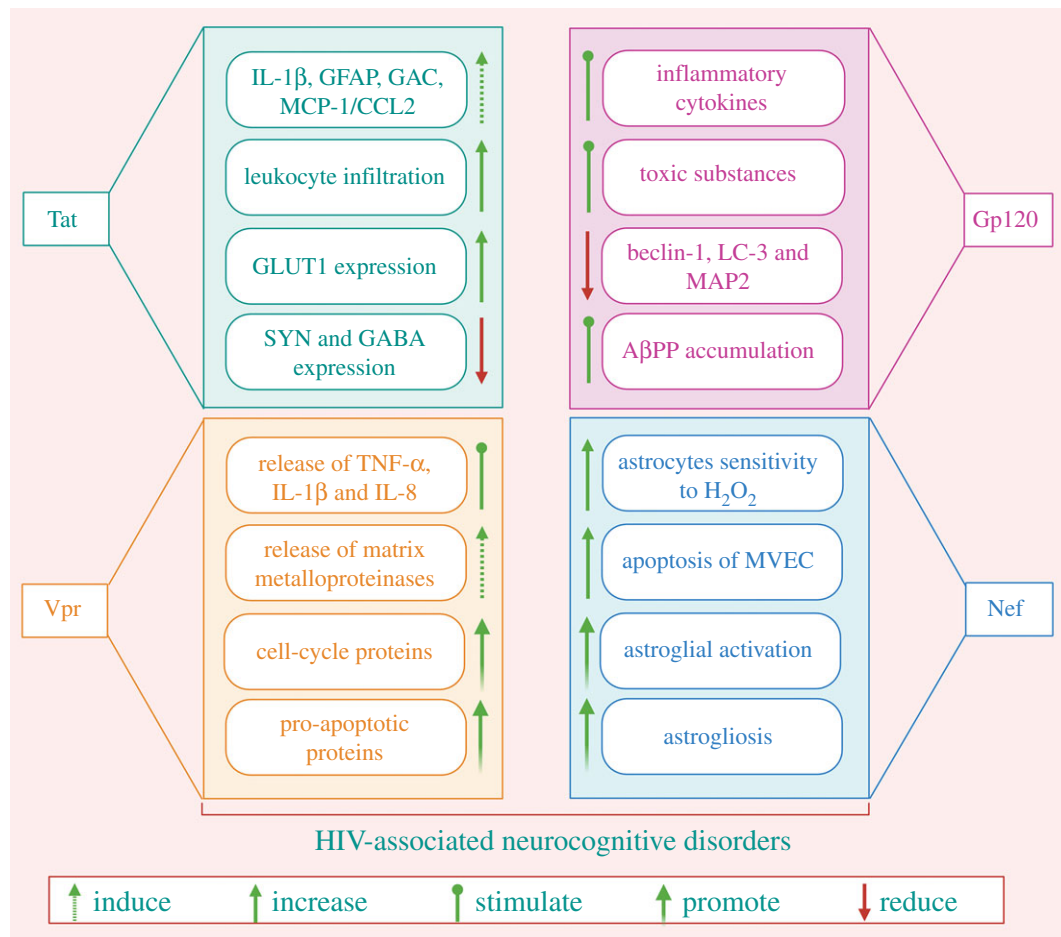


Figure 2. The scheme shows pathological implications of HIV regulatory proteins in neuronal damage. MVEC, microvascular endothelial cells; SYN, Synaptophysin; GABA, gamma-aminobutyric acid; GLUT-1, glucose transporter-1.

Gp120 has been demonstrated to trigger the release of TNF- α and IL-1 β , as well as glutamate, which elicits neuronal apoptosis as evidenced by numerous *ex vivo* and *in vivo* studies [95,96]. Similarly, Tat has been found to potentiate glutamate overactivation of *N*-methyl-D-aspartate receptor (NMDA) receptors and release of cytokines from astrocytes and potentiate neuronal apoptosis as well [97–99]. Interestingly, Tat and Gp120-induced apoptosis also accounts for higher Ca²⁺ levels when coupled with excitotoxicity events and activated by glutamate deposition in the extracellular spaces. Patients suffering from HIV often have increased levels of glutamate in the CSF, and this correlates well with both, the extent of brain atrophy and severity of dementia [100]. Similar to Tat and Gp120, the protein Nef can also trigger cytotoxic effects, though the exact mechanism played by this protein is yet to be investigated [101].

Furthermore, by regulating microtubule stability, the Vpr induced aggregation of neuronal mitochondria and disrupted axonal transport [102]. In the meantime, it is considered that if the viral load is not checked, there will be a high probability of neuronal dysfunction. Interestingly, HIV-associated neurodegeneration cannot be correlated fully with cognitive deficits, as observed during the early phases of AD [103,104]. In recent studies, cognitive impairments in HAD patients had demonstrated a better correlation with synaptic dysfunction than neurodegeneration, which is further accompanied by synaptic loss, degeneration of axons and astrocytosis [105,106]. For a clear, conclusive remark, more studies are required to demonstrate whether neurocognitive

deficits are still observed in patients even when the viral load is well under control. Whereas some cohorts demonstrated that in HIV⁺ viremic subjects, there is still a high occurrence of HAND, while others suggest that cognition is usually not impaired in individuals with no detectable viremia [66,107,108]. This can be possibly explained by some possible mechanisms including (i) toxicity of ARVs, (ii) neuroinflammation, (iii) lack of proper cART penetration across the BBB, (iv) increased longevity of infected peoples and (v) restricted low-noise viral replication [109,110]. Further, constant orchestrated inflammatory events may open up the possibilities to understand the linkage between HIV and AD-associated neurodegenerative conditions.

5. Mechanisms linking HIV-derived neuronal damage in the AD brain

With the introduction of cART, AIDS has become a chronic disease; and a substantial number of HIV⁺ patients with over 50–55 years of age are often prone to age-related diseases [111]. The plaques formed by extracellular A β peptide deposits have been reported in patients, specifically before the cART era. Additionally, accelerated ageing such as immunosenescence is considered as an integral part of the natural history of HIV infection. Specifically, HAND makes an impact on already age compromised organ and facilitates the occurring rate of neurodegenerative conditions. With reference to AD, concerns have been raised on the potential ties between

379 HIV-CNS infection through various findings highlighting the
 380 modulation of amyloid and Tau pathways. Many symptoms
 381 correlated with AD pathomechanisms were observed in
 382 HIV⁺ individuals. Moreover, similar observations reported
 383 in the preclinical models represent neuro-AIDS and mimic
 384 neuronal dysfunction in HIV (table 3) [112–133]. It has been
 385 reported that CSF features of HIV⁺ patients, present in
 386 HAND, resemble the sign and symptoms akin to the early
 387 and late stages of AD. For instance, A β _{1–42} levels were found
 388 considerably altered in the CSF of HAND patients [134]. How-
 389 ever, when comparing CSF with HAND, late-stage AD, and
 390 age-matched controls, reduced A β _{1–42} levels were observed
 391 in HIV⁺ individuals suffering from neuronal complications
 392 [134]. In particular, HIV⁺ patients without neurological mani-
 393 festations may have a similar range of A β _{1–42} levels as reported
 394 in non-dementia controls.

395 Mounting evidence indicates that HIV proteins/particles
 396 exposure to the brain directly or indirectly influences the regu-
 397 lation of amyloid and Tau signalling pathways [113,135–137].
 398 Recently, neurodegeneration has been noted in murine models
 399 of HIV (Gp120 transgenic mice and HIV-1 transgenic rats).
 400 It demonstrates increases in oxidative stress, gliosis, apoptosis,
 401 abnormal A β formation and phosphorylation of Tau. Further,
 402 the viral proteins like Tat affect A β synthesis involving
 403 numerous mechanisms, including an increase in A β synthesis
 404 by deregulating structure and function of endolysosomes
 405 [135]. Similar to Tat, recombinant Gp120 injected primary
 406 hippocampal cells have demonstrated the promotion of
 407 A β _{1–42} secretion [138]. Besides, when Tat derived from a lenti-
 408 viral vector exhibited expression in the hippocampus of
 409 transgenic mice (A β PP/PS1) and demonstrated an increase
 410 in A β _{1–42} formation along with a rise in the volume of amyloid
 411 plaques [124]. On the other hand, it causes a rise in A β
 412 aggregation by inhibiting its mediating degradation enzyme,
 413 Neprilysin. Moreover, it also enhances BACE1 expression
 414 and synthesis of the C99 fragment to accelerate the production
 415 of A β [113,135,139]. The increased expressions of BACE1
 416 (commonly observed with AD) have been reported in HIV⁺
 417 patients [140].

418 Recently, it has been reported that Tat protein in primary
 419 hippocampal neuronal cultures forms complexes with toxic
 420 A β peptides and potentiate damaging effect by the formation
 421 of pores in the membrane [140]. In HIV-1 transgenic rats, the
 422 number and volume of amyloid plaques have been reported
 423 to be considerably elevated in the cerebral cortex due to an
 424 increase in amyloid C-terminal fragment C99 levels (greater
 425 than 5-fold) in the brain of HIV-1 transgenic rats [113]. Like-
 426 wise, HIV-1 infected cells released p17 (HIV-1 matrix protein)
 427 which showed participation in A β -induced neuronal toxicity
 428 ascribed to misfolding and aggregation even when protease
 429 inhibitors (PI) are used [141]. When p17 was injected into
 430 the mouse hippocampus, it was observed to colocalize with
 431 plaques, phosphorylated Tau and fibril-like structures.
 432 In the same study, p17 was further demonstrated to be associ-
 433 ated with increased A β production and impairment of
 434 cognitive function in experimental tests [141]. Recently, the
 435 regulatory effect of Gag polyprotein on A β PP metabolism
 436 has been demonstrated in macrophages and microglia. The
 437 Gag enhances A β load and associated neurotoxicity by trig-
 438 gering the activity of secretases. A β PP, on the other hand,
 439 mediates antiviral actions by sequestering Gag polyprotein
 440 in lipid rafts and limiting the release of HIV-1 [142]. To
 441 understand the balance between these two mechanisms

(envison and restriction), and the impact on toxic A β peptide
 production, further studies are warranted.

The role of Tau protein in HAND pathogenesis is yet
 to be understood well. However, cognitive abnormalities
 accompanied by neuronal death and gliosis as a result of Tau
 hyperphosphorylation has been reported in transgenic mice
 (10-month-old Gp120 transgenic mice) [112]. Over-activation
 of glycogen synthase kinase 3 β (GSK-3 β) is believed to play
 a key role in such impairment as it is the main enzyme
 involved in Tau phosphorylation. Similarly, higher expression
 of cyclin-dependent kinase 5 (Cdk5), another important
 enzyme involved in Tau phosphorylation has also been
 shown in HIV-1 transgenic rats along with raised levels of
 pTau (p-Thr181, p-Thr231 and p-Ser396), particularly in the
 hippocampal components [113]. The observations of exper-
 imental models, therefore, demonstrate the linkage between
 raised pTau and irregular NFTs in HIV⁺ patients with
 HAND [20,112,120].

6. Correlation between BBB, HIV and AD pathogenesis

BBB dysfunction is often associated with the pathogenesis of
 various neurodegenerative conditions, including HAND
 [143,144]. In AD, the micro-vessel disruption has been
 shown to be consistent with the disease onset and progression
 [145–147]. The occurrence of impaired BBB is shown to be
 associated with A β aggregation in several animal models as
 well as in patients suffering from AD [148–150]. The BBB
 impairment arising from HIV-1 infection is most likely accoun-
 table for the transmission of the virions from the vascular
 compartments. Additionally, it also proved to boost recruit-
 ment of immune cells and facilitates CNS infection by many
 opportunistic microbes [131,143,144]. The interaction between
 BBB and HIV-1 may occur in the neurovascular unit (NVU)
 cells by engaging viral proteins. Some studies have shown
 that by dysregulating gap junctions, HIV-infected astrocytes
 showed to damage BBB integrity and impair brain homeosta-
 sis [76]. Numerous viral proteins including, Tat, Gp120, Vpr
 and Nef, have found to be associated with deregulated
 molecular and cellular pathways and impairing the repair
 mechanisms, leading to BBB dysfunction [5]. The direct
 regulatory effect of Tat protein on endothelium has also been
 shown through multiple cellular routes, such as inhibition
 of the Ras pathways, culminating in reduced tight junction
 (TJ) proteins expression and BBB dysfunction [151–153].
 These effects, mainly triggered by toxic A β accumulation in
 the brain, highlight a direct involvement of HIV proteins
 in A β -BBB interaction. Most importantly, Tat also regulates
 the expression of various A β associated receptors and trans-
 porters, which are engaged in the bidirectional movement
 of peptides across BBB. Recently, it has been shown that
 extracellular Tat induces receptor for advanced glycation
 endproducts (RAGE) activity and results in the activation of
 Ras/MAPK signalling cascade and agglomeration of A β
 [7,152]. In addition, it also reduces the clearance of A β across
 the endothelial cells and inhibits the synthesis of low-density
 lipoprotein receptor-related protein-1 (LRP-1) [152]. Similar
 to Tat, Gp120 has shown to alter BBB dynamics by regulating
 protein kinase C (PKC) and JAK/STAT signalling. Gp120 also
 increases monocyte migration, through which it enhances the
 number of HIV-infected monocytes that can cross the BBB to

Table 3. Summary of common neurotoxic mechanisms of AD, observed between experimental models and HIV⁺ patients.

s. no.	pathological hallmarks/ symptoms	observations in <i>in vivo</i> and <i>in vitro</i> HIV models	methods used	observation in HIV ⁺ patients	methods used	references
1	neurodegeneration	Reduction in NeuN Altered neurogenesis	western blotting NA	Loss in cortical grey and white matter of the brain Almost 20–50% neuronal damage in the frontal cortex	Histologic post-mortem study NA	[112–114] [115,116]
2	neuroinflammation	Increased expression of microglial Iba1 and astrocytes GFAP	histology and western blotting	Peripheral macrophages invasion and chemokines release cause massive gliosis	NA	[112,113,117]
3	oxidative stress	Increased expression of HIF-1, CYP2E1, NADPH oxidase, IκB and iNOS	western blotting	Higher ROS production impaired mitochondrial dynamics and glucose metabolism	NA	[113,118]
4	cognitive and learning deficits	Deficits in Learning and cognition	Morris water maze test	Diminished memory performances	NA	[11,119]
5	atypical Tau phosphorylation	Higher expression of p-Ser396, p-Thr181, p-Ser404 and p-Thr231 Increased expression of GSK-3β contents and CDK5	western blotting western blotting	Increase in CSF total and phosphorylated Tau Frontal cortex display increased expression of GSK3b, CDK5 and p35	ELISA NA	[20,112,113,120] [112,113,121]
6	AβPP and Aβ synthesis misprocessing	Higher amyloid plaques generation Increase of C99 fragment Higher expression of Aβ _{1–42}	Congo red staining western blotting ELISA	Higher level of CSF Aβ _{1–42} Existence of amyloid plaques in brain NA	ELISA NA NA	[113,120] [113,122,123] [124]
7	activation of neuronal cell death pathways and apoptosis	Increase of caspase-3, Bax, pJNK/JNK, Erk contents Increased apoptosis	western blotting TUNEL assay	Increased apoptosis Increased JNK/ERK contents and activities	TUNEL assay Kinases assay and western blotting	[99,113,125,126] [99,125]
8	HPA axis deregulation	Higher expression of AVP, CRF mRNA and hypothalamic CRF	NA	Impaired cytokine production, modification of glucocorticoid sensitivity and glucocorticoid resistance	NA	[127,128]
9	blood-brain barrier (BBB)	HIV infection leads to increase leucocytes transmigration through metalloproteinases upregulation and downregulation of TJ proteins	— NA	Adrenal insufficiency, elevated plasma GC HAD patients show increased CSF/plasma albumin ratio	NA NA	[129,130] [76,131]
10	excitotoxicity	Astrocytes cause increase in glutamate release and decrease in glutamate re-uptake	NA	Increased levels of CSF glutamate	ELISA	[100,132,133]

enter into the CNS [5,154,155]. On the contrary, recombinant Gp120 administration showed injury in CNS micro-vessels that reveal that Gp120 may directly alter the function of endothelial cells in the brain and influence BBB dynamics [156]. These mechanisms ultimately lead to the diminished clearance of A β from the interstitial fluid and thus culminate in the A β deposition as well as accumulation in the brain. In this context, it is imperative to reasonably speculate and articulate the intriguing role of the BBB in AD and HAND pathogenesis [150].

7. Pathological hallmarks of AD: possible role of HIV

7.1. Amyloid beta (A β)

Atypical A β build-up is an important trait of AD reported in HIV-infected individuals [120,123]. Abnormalities associated with A β burden are more frequent in the AD brain than HIV, predominantly in the younger HIV-infected individuals. Ageing is considered as a potential risk for A β aggregation in HIV-infected individuals, although recent studies advocate that HIV and ageing both can influence A β aggregation independently, as well as together [136]. It has been shown that in HIV-infected individuals, the plaques are typically dispersed, and accumulation of A β generally occurs in brain somas and extracellular plaques as well as axonal tracks [120,123,157]. However, in AD, the plaques are of neurotic occurrence, predominantly in the extracellular spaces [158]. Some neuropathological findings demonstrate that A β aggregates in HIV cases preferentially in the basal ganglia, frontal lobe and hippocampus [123,159]. Though the site of A β deposition may show a discrepancy in AD brain, it usually tends to arise primarily in neocortical areas [158]. There are numerous studies that highlight the connection between long-term cART usage and aggregation of A β [123,159]. Accumulated A β may also exist without cognitive impairments in older adults; however, it is widespread and ubiquitous in the AD brain, and it is not a central feature of normal cognitive ageing [160]. The A β accumulation develops gradually with reduced neurotoxicity in similar brain areas with healthy ageing as in AD [161]. Though A β is strongly linked with AD, substantial evidence is still limited in context to HAND, where A β assists as a driving force.

7.2. Hyperphosphorylated Tau (pTau)

Tau is a microtubule-associated protein (MAP) that is accountable for maintaining a normal neuronal network. Hyperphosphorylation of Tau leads to its dissociation from microtubules and the dissociated tau forms paired helical filaments (PHFs) that eventually aggregate and generate NFTs. NFTs consisting of pTau are another characteristic trait of AD, specifically in people suffering from HIV [3,162,163]. The elevated level of Tau has been reported to occur at earlier ages in individuals suffering from HIV than in healthy individuals [20]. Even though pTau contents were found to be irrelevant to the viral levels in the brain, but pTau is often correlated with the activation of microglia [21]. In HIV cases, tau phosphorylation may be initiated by viral proteins as well as pro-inflammatory cytokines that cause amyloidosis and precede the growth of tau tangles [11]. Higher expression

of pTau has also been shown to be correlated with ARV treatment [20]. It has been observed that in context to HIV, pTau usually forms in the entorhinal cortex and hippocampus, and later expands to adjacent areas, which represent the phenomenon observed during natural ageing and AD [20,164].

7.3. BBB impairment

The BBB is a biochemical barrier that helps in protecting CNS from potentially damaging substances, including neurotoxins and drugs. It also protects the neural tissues from variations in blood composition and neurotoxins [162]. The permeability of the BBB is altered in HIV infection, which permits effusion or leakage of toxic elements, such as infected macrophages from blood to the brain parenchyma. HIV has been reported to influence neuronal endocytosis, which further serves as a key player in impairing the integrity of BBB associated microvascular endothelial cells [165]. Further, upregulation of adhesion molecules and HIV-induced damage of the tight cell junctions facilitate BBB passage [6]. The disrupted BBB has also been correlated with toxic A β aggregation in HIV-infected individuals as other abnormalities arise from functional failure to sort out the A β peptides [7]. The increased intracellular A β agglomeration in microvascular endothelial cells has also been shown during HIV infection in an *in vitro* study [166]. The disrupted BBB, which is linked with AD pathogenesis, serves both as a reason and mediator of cerebral A β deposition affecting BBB permeability and A β agglomeration involving common pathophysiological mechanism in AD and HIV cases [7,167].

7.4. CSF markers

The phosphorylated Tau and A β concentrations in CSF also correspond with their levels in the brain, though for toxic A β an opposite correlation exists, indicating a problem that is associated with its A β clearance. The higher expression of pTau and reduced A β level have been reported in the CSF of individuals suffering from symptomatic HIV, representing the phenomenon observed in AD. However, this finding lacks consistency principally for total Tau and pTau [120,168]. In a study, reduced CSF A β , but not accelerated pTau was observed in individual suffering with HAND [169]. Conversely, accelerated CSF pTau was also noted in asymptomatic HIV patients as compared to the normal controls [170]. Further, this finding also indicates raised levels of CSF pTau in HIV-infected older people suffering from HAND. In view of this finding, it is seen that similarities exist between HIV⁺ individuals and AD brain with reference to CSF A β and Tau, although larger disturbances have been observed consistently during AD in older people, predominantly in comparison with young adults manifesting neuro-asymptomatic HIV.

8. Risk factors and pathophysiological mechanisms of AD induced by HIV

8.1. Genetic predisposition

The apolipoproteins, in particular, ϵ 4 allele of apolipoprotein-E (ApoE ϵ 4) is known to be one of the major risk factors for AD, which is correlated with elevated A β agglomeration,

diminished neurocognitive activity, decreased brain volumes and enhanced systemic progression of HIV infection [171–173]. The ApoE ϵ 4 susceptibility to HIV infection has been shown to be enhanced in the *in vitro* [173]. The greater expression of ApoE ϵ 4 was shown to be correlated with decreased cognition in HIV cases when compared with age-matched seronegative ApoE ϵ 4+ individuals, though many studies did not find a meaningful correlation between ApoE ϵ 4 and HAND [172,174]. Another isoform, ApoE μ 4 has been shown to display a more stable association with cognitive functioning in AD than in HIV cases, as evidenced by the carriers with two alleles may have up to 85–90% probability of developing AD by the age 80. Many risk factors associated with developing AD have also been reported with the ApoE ϵ 4 risk alleles [171]. Although HIV may influence neurological structure and function, aggravated by pre-existing genetic factors, and then eventually lead to neurodegeneration or cognitive dysfunction following epigenetic changes [175].

8.2. Cerebral metabolism

Emerging evidence shows that HIV infection in individuals causes disturbances in cerebral metabolism, which significantly contributes to the development of brain defects and progression of neurocognitive deficit [6,176,177]. In HIV infection, there is mitochondrial dysfunction ensued by oxidative stress via overproduction of reactive oxygen species (ROS), the release of neuroinflammatory markers, neuroimmune dysfunction, susceptibility to drug toxicities and development of HAND [6,177,178]. ROS is considered as the main cause of brain ageing due to oxidative changes as well as cellular damage that affects the aged brain along with impaired insulin signalling [179,180]. Further, glutamate overproduction, enhanced neuroinflammation and Ca²⁺ overload is associated with mitochondrial dysfunction, and all these contribute to the neurotoxicity [181]. Likewise, perturbations in brain mitochondrial activity, oxygen utilization capacity and carbohydrate metabolism have also been implicated in AD [182,183]. Additionally, the occurrence of oxidative stress at an early stage of AD promotes and facilitates the formation of A β -plaques and tau tangles [182].

8.3. Neuroinflammation

The dispersal of HIV takes place between infected monocytes to uninfected cerebral microglia and astrocytes, where it activates inflammatory immune responses by releasing cytokines, chemokines and ROS. Chronic and sustained neuroinflammation caused by prolonged glial and astrocyte activation has been reported to culminate in neuronal death and exhibit correlation with brain defects associated with HIV infections [6,177,178]. The positron emission tomography (PET) results have also shown functional changes due to regional microglial activation, consistent with autopsy findings that demonstrate frontal cortical aggregation of oxidative damage of macromolecules initiated by ROS in AIDS patients [184,185]. The enhanced glial expression has been observed in asymptomatic neuro cases of HIV with substantial activation of frontal and parietal components among people with HAD. This demonstrates that excessive glial activation and neuroinflammation attribute to cognitive impairment [186]. The PET results also indicated that the systemic stimulation of microglia occurs in AD, often in

conjunction with cognitive impairment [187]. The A β aggregation also contributes to astrocyte activation as well as the onset of inflammatory reactions and related immunological responses. In addition to A β accumulation, NFTs induced neuronal degeneration also provokes neuroinflammation [167].

8.4. Neurotoxicity

An orchestrated reaction of excitotoxicity and apoptosis, which maintains immunological and inflammatory responses to the virus is potentially accountable for HIV-related brain dysfunction [6,177,180]. It has been found that depletion of T-cells and apoptosis are influenced directly by HIV gene expression, whereas indirectly by apoptosis in the uninfected cells. The Tat, Gp120 and complementary proteins (such as Fas) are among the substances that have been implicated in HIV-associated neurotoxicity. Tat and Gp120 disrupt the uptake of glutamate by astrocytes, leading to glutamate excitotoxicity and trigger neuroinflammation and apoptosis. Further, they also result in Ca²⁺ accumulation and have neurotoxic effects of a related kind. Moreover, Tat can promote astrocytosis and neuronal death and associate with A β PP to enhance A β production [124]. Most importantly, viral structures and regulatory proteins also contribute to cerebral mitochondrial damage and BBB dysfunction following overproduction of ROS that causes oxidative injury [178,188].

Neurotoxicity may also result from numerous ARV drugs used to treat HIV cases, such as nucleoside analogue reverse transcriptase inhibitors. Some ARV drugs that penetrate the BBB and enter the brain efficiently than others possess more potential to cope with HIV-associated brain dysfunction [189]. In recent trials, cART-treated HIV patients exhibited a higher concentration of cerebral A β as well as pTau than cART-naive patients [20,123]. There have been contradictory results, but it seems unlikely that cART tends to be the major reason for brain dysfunction in most cases [169,190]. Nevertheless, further studies are required on cART-related neurotoxicity; specifically provided ongoing usage of cART in people of old age suffering from HIV and the probability of emergence of many medications which are under the different stages of clinical development. The inflammation and infection of other organ systems outside of the brain, including liver, gut and vascular systems may also represent indirect neurotoxicity. For instance, HIV causes leaky gut syndrome by damaging and impairing the permeability of the intestinal lining, allowing microbes and toxins to enter the blood and reach systemic circulation, which eventually causes neuroinflammation [191]. Further, in response to HIV, hepatic ceramides were correlated with various components of the metabolic syndrome, apoptosis and neurodegeneration [192].

8.5. Vascular and metabolic comorbidities

Numerous comorbidities like chronic substance abuse, often independent of the direct consequences of HIV, lead to HIV transmission, responsiveness and cognitive difficulties [22]. HCV also aggravates HIV-associated neurocognitive damage following similar mechanisms [193,194]. Further, the vascular and metabolic conditions such as metabolic syndrome, diabetes mellitus, vascular injury and obesity are in parallel rise with chronically HIV-infected people age, and there are indications that HIV permits them to improve and flourish

[195,196]. These conditions can also have an adverse effect on neurocognitive function [197,198]. For instance, impaired glucose metabolism which results in hyperglycemia and hyperinsulinemia provokes ROS production, tau hyperphosphorylation, A β accumulation and brain microangiopathy, and altogether these contribute towards a reduction in A β degradation and clearance [197]. Hence, vascular, neurological dysfunction may be a significant component of HAND caused by HIV, along with the development of vascular comorbidities. However, it is still challenging to identify the specific effect of vascular cognitive dysfunction to HAND. It should also be underlined that vascular risk factors are strongly dominant in aged individuals and there is a strong indication that these risk factors can be correlated with vascular, neurological impairment, even though there are no distinct cerebrovascular events [199]. Further, the epidemiological studies also suggest that these conditions raise the possibility of progression of AD and increase vascular risk in both HIV and AD individuals and are correlated with higher A β burden [198,200–202]. Additionally, the flexible complexity of vascular and metabolic risk factors may essentially represent therapeutic targets in order to prevent or curtail cognitive impairments in HIV-infected individuals.

9. Possible mechanisms linking HAND, synaptic degeneration and AD

As described previously, HIV-1 infection of the CNS initiates from the transmigration of HIV-1-infected peripheral blood monocytic cells/macrophages across the BBB. Subsequently, microglia and astrocytes become infected and reactivated. The immune-activated and HIV-1-infected microglia/macrophages release viral proteins (e.g. gp120, Tat, Nef and Vpr), chemokines (e.g. MCP1, CXCL12), cytokines (e.g. IL-1 β , TNF- α , IL-6) and other neurotoxic factors. In addition, infected/reactivated astrocytes can also release neurotoxic substances and pathogenically increase synaptic activity with increased transmitter release and impaired glutamate reuptake. The released neurotoxins and extracellular glutamate can cause excessive Ca²⁺ influx, perturbations of energy metabolism and ROS production, leading to the disruption of normal neuronal function. Most importantly, the released viral proteins, cytokines, chemokines and free radicals can trigger more glial cells and macrophages. These damaged neurons may mark the abnormal synapses with some kind of ‘eat-me’ signals, which can be recognized and eliminated by microglia and/or astrocytes through phagocytotic pathways such as the MerTK, Megf10 and APOE pathway in astrocytes and the complementary and FKN/CX3CR1 pathways in microglia. Further, all these mechanisms can contribute to AD-like characteristics including, Tau phosphorylation, A β production, oxidative stress and excitotoxicity and also influence neurons integrity and CNS homeostasis. It is also observed that HIV⁺ patients present high glucocorticoids (cortisol) levels, characteristic of a hypothalamic–pituitary–adrenal (HPA) axis deregulation. Glucocorticoids (GC) and their receptors are highly engaged in the etiology of AD. Further, GC and their receptors may modulate/potentiate the development of HAND and potentially AD. The dysregulation of the HPA axis is observed both in HIV⁺ individuals and rodent models. GC overexposure, along with viral proteins or not, is able to induce the enhancement of Tau phosphorylation, A β

production, oxidative stress, excitotoxicity, neuroinflammation and apoptosis. Through these numerous pathways, HIV-1 causes synaptic deficits and neurodegeneration, thus leading to cognitive impairment and behavioural deficits, and could also explain the establishment of HAND in HIV⁺ patients and potentially the onset of AD. All these processes lead to neurodegeneration and synaptic deficits/degeneration and are potentially responsible for cognitive decline observed in HAND patients, all of which could progressively favour to the development of AD (figure 3) [203,204].

10. Therapeutics strategies to combat HIV-mediated neuronal damage

In the above sections, we comprehensively discussed various underlying interconnected mechanisms between HIV, neuroinflammation, HAND and AD. Understanding the underlying mechanisms will help explore various possible therapeutic strategies and agents, which may be able to combat these complications. Unfortunately, there are no medications identified so far, and very few studies are available on therapeutic aspects. Neuroprotective therapies are designed with a targeted approach to ameliorate damage and improve survival as well as the function of neurons. The mechanisms associated with neuroprotection are classically aimed to diminish the extent of neuronal damage in HIV-1-induced neuronal dysfunction. It can be considered that agents, which regulate inflammatory and/or cell death pathways and favourably modulate neurotransmitter function may provide opportunities for pharmacological manipulation during HIV-1 brain infections. Though, previous studies which focused on anti-inflammatory mechanisms have not demonstrated promising results in attenuating endogenous inflammation and considerable neuroprotection. As a result, a number of studies have recently been conducted to reduce neurotoxicity by blocking or modulating the actions of viral proteins, augmenting the protective action of neurotrophins and growth factors, or curtailing neuroinflammation triggered by HIV-1-infected microglia and macrophages (figure 4). For instance, the neuroprotective role of brain-derived neurotrophic factor (BDNF) has recently been observed in HIV-1-mediated neurotoxicity. It appears a potent neurotrophic agent for HIV-1 associated neuronal injury, which confers neuroprotection via inhibiting caspase-3 activation and HIV-1 Gp120 mediated neuronal apoptosis [205]. Moreover, BDNF is also found to reduce the levels of CXC chemokine receptor-4 (CXCR4) and inhibit neuronal apoptosis by blocking the neurotoxic effects of SDF-1 α , a ligand for CXCR4. The SDF-1-mediated apoptosis is quantitatively akin to that provoked by Gp120. CXCR4 activation can contribute to the cell death of a different kind of neuronal population. Consequently, BDNF-mediated neuroprotection occurs by reducing CXCR4 level that ultimately leads to the reduced activation of this receptor during HIV-1 neuropathogenesis [205]. Recently, activation of nuclear factor kappa beta (NF- κ B) mediating nerve growth factor (NGF) and BDNF and rise in Bcl-2 expression has also been reported to promote neuronal survival in HIV-1 associated neurodegeneration [206,207]. Additionally, BDNF has also been reported to prevent glutamate-induced excitotoxicity through modulation of NMDA receptors in HIV-1 patients [208]. Similarly, Erythropoietin (Epo), a neurotrophin can also confer neuroprotection against

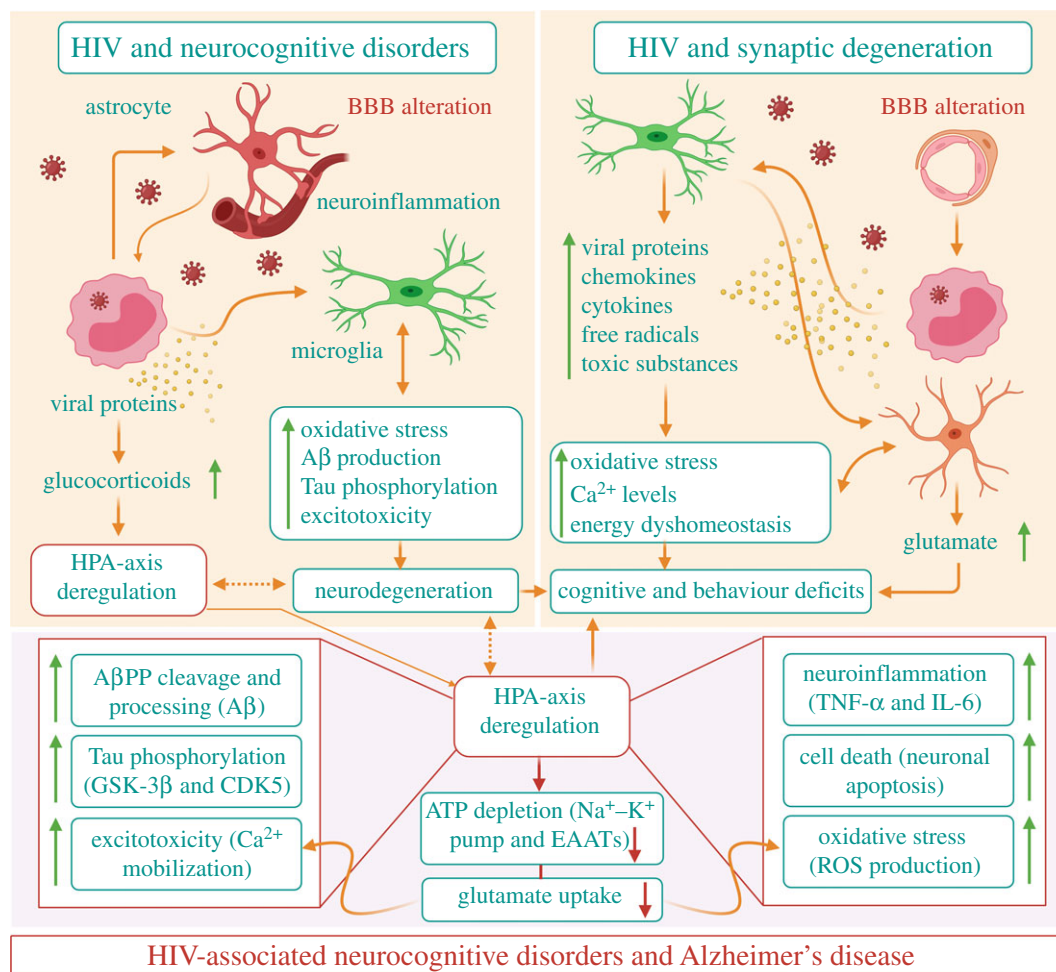


Figure 3. Schematic showing possible linkage between HAND, synaptic degeneration and AD.

HIV [209]. The higher dose of Epo for long-duration showed better neuroprotective effect against HIV-1 transmission from mother-to-infant [210]. It can also protect cortical neurons against apoptosis by targeting HIV-1 Gp120 [211]. These observations suggest that Epo can be considered as a potential therapeutic agent for the treatment of HAD [212]. Recently, the promising role of recombinant human NGF (rhNGF) has shown to improve the symptoms associated with both HIV-related neuropathy and diabetic polyneuropathy. Substantial evidence demonstrates that NGF signalling may also prevent glutamate-induced neurotoxicity caused by ischemic injury. However, in HIV-1-induced neuronal damage, especially in the peripheral nervous system, NGF may have significant therapeutic effects [213–215]. Activation of the insulin-like growth factor I (IGF-I) system is another potential approach to treat HAD, as it exhibited neuroprotective action against neurotoxins [216–218]. Activating IGF-I-stimulated signalling components may offer a potential therapeutic approach to protect susceptible neurons in HAD patients. Earlier, impaired IGF-I responses were reported during the course of HIV infection [216–218]. In HIV-infected patients, reduced levels of serum IGF-I have been observed particularly in children failure to thrive and wasting syndrome individuals [216]. Reduction in the levels of IGF-I in CNS may aggravate neuronal apoptosis in the course of HIV infection [218]. Thus, it can be reasonably argued that activation of the IGF-I system or increased utilization of IGF-I-activated pathways may signify a promising treatment approach to rescue neurons susceptible or vulnerable to injury in HAD patients. Similarly,

higher expression of fibroblast growth factor I FGF-I can also rescue the CNS from the neurotoxic effects of HIV. Altered expression of FGF-I and GSK-3 β in susceptible neurons can be considered crucially important for the pathogenesis of HAD and emergence of therapeutic strategies [219,220].

Furthermore, the Tat and Gp120 mediated neurotoxicity can be fully blocked by memantine, an NMDA antagonist used well in the treatment of dementia [221,222]. It also ameliorates hippocampal synaptic transmission in the SCID mouse model of HIV-1-associated neurologic diseases [223]. Recently, the use of inhibitors of GSK-3 β in the brain suggested that regulation of GSK-3 β activity in neurons may be vital for neuroprotection. Higher expressions of GSK-3 β induced apoptosis and showed association with HIV-1 protein-mediated neurotoxicity [224,225]. As a consequence, pharmacological agents like valproate and lithium identified to inhibit GSK-3 β activity, could be valuable for therapeutic benefits in HAD patients.

The neuroprotective role of monocyte chemoattractant protein 1 (MCP-1) has recently been observed in HIV and HAD patients [226,227]. Activated astrocytes-induced MCP-1 production positively influences neuroprotection through the caspase-1 blockade. On the contrary, MCP-1 associated inflammatory reactions contribute to HIV-1-associated neurological ailments [226,227]. MCP-1 can protect mixed cultures of neurons and astrocytes from Tat or NMDA-induced apoptosis by downregulating the extracellular glutamate expression, along with modulating Tat and NMDAR1 expression [228]. In the case of HAD, MCP-1 may exert a protective as well as

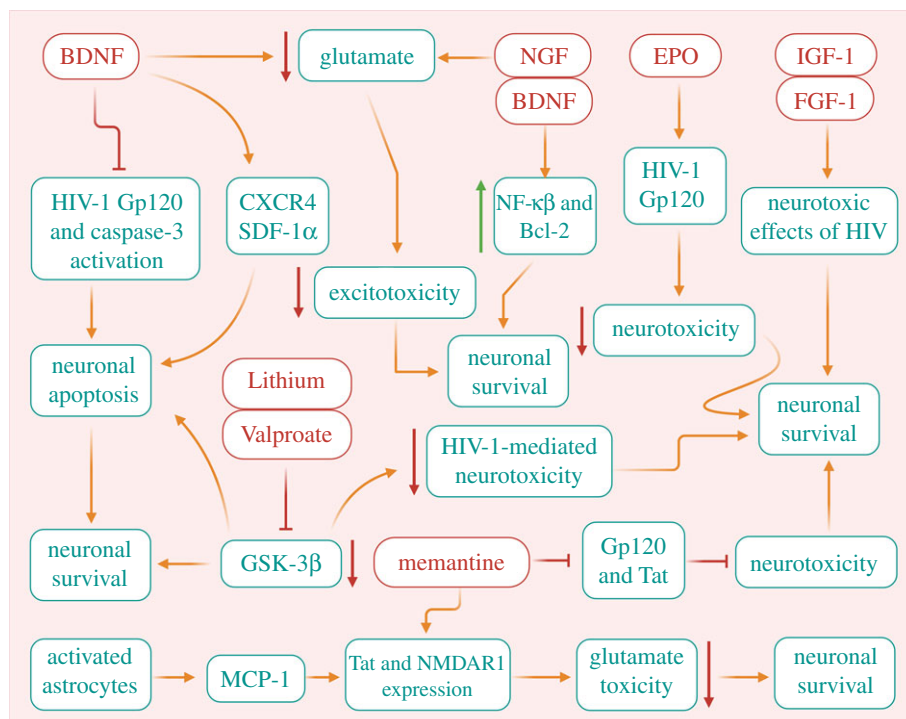


Figure 4. Proposed protective role of neurotrophins, growth factors and drugs in combating HIV-induced neuronal damage. Neurotrophic agent like BDNF confers neuroprotection via inhibiting caspase-3 activation and HIV-1 gp120 mediated neuronal apoptosis. BDNF can also curtail the levels of CXCR4 and also prevent neuronal apoptosis by blocking the neurotoxic effects of SDF-1 α . NGF and BDNF mediated activation of NF- κ B and upregulation of Bcl-2 may act as another way to promote neuronal survival in HIV-1 associated neurodegeneration. In addition, NGF signalling components can also prevent glutamate-induced neurotoxicity induced by ischemic injury. Similarly, Neurotrophin like EPO has potential to protect cortical neurons against apoptosis by targeting HIV-1 gp120. Since, impaired IGF-1 and FGF responses were recorded during the course of HIV infection in several studies, therefore, higher expression of these factors can also rescue the CNS from the neurotoxic effects of HIV. The altered expression of FGF-1 and GSK-3 β in susceptible neurons are now regard as crucial during HAD pathogenesis. Further, drug like Memantine can be used to prevent neurotoxicity induced by Tat and gp120 viral proteins. Similarly, using inhibitors/drugs like (Valproate and Lithium) of GSK-3 β could have therapeutic importance in HAD patients, since, higher expression of GSK-3 β induces apoptosis and it has been found to be associated with HIV-1 protein-mediated neurotoxicity. Finally, activated astrocytes-induced MCP-1 production positively influences neuroprotection through caspase-1 blockade. On the contrary, MCP-1 associated inflammatory reaction contributes to HIV-1- associated neurological illness. MCP-1 can protect human mixed cultures of neurons and astrocytes from Tat or NMDA-induced apoptosis by downregulating the extracellular glutamate expression, and in neurons, by modulating Tat and NMDAR1 expression. These strategies altogether can be helpful in preventing HIV induced neuronal damage.

a degenerative role as it is coupled with monocyte recruitment and inflammation into the CNS [229]. The intricate balance between neuroinflammation and neuroprotection could be vital in triggering the initial as well as the ongoing response of the CNS to injury. Taken together, potential approaches to amplify the biologic effects of these factors or intensify their expression, may support an advantageous role against this type of neurodegeneration.

11. Antiretroviral (ARV) drugs: potential therapeutic agent for the treatment of HIV-induced neuronal damage

More recently, drugs used in highly active antiretroviral therapy (HAART) has shown improvement in cognitive functions, including all cognitive paradigms. The cognitive improvement is also correlated with an increase in CD4 count with a concomitant reduction in viral load [230]. The ability of ARV drug to penetrate CNS supports the basis of its therapeutic success as evident in various reports. In order to reduce viral load, it is important that the drug should achieve a high concentration in the CSF following its ability to cross the BBB. Letendre *et al.* [231] examined the CNS

penetrability of ARV drugs and ranked the ARV drugs for penetration based on scores assigned as 0 (low), 0.5 (intermediate) or 1 (high). This ranking system was based on drug concentrations in CSF, effectiveness in CNS and chemical properties in the clinical studies. The calculation for CNS penetration-effectiveness (CPE) rank was determined by summing the individual penetration ranks for each ARV in the regime. For instance, combinations of efavirenz, zidovudine and lamivudine scored high for CPE [232]. Drugs like abacavir displayed low CPE score and rank; this was correlated well with higher viral load in the CSF [232]. Moreover, a small study involved 37 individuals demonstrated greater cognitive improvement with higher drug penetrability [233]. Similarly, another study looked at both HIV patients with cognitive impairment and patients with cognitive impairment without HIV, and it showed a worsening of cognitive. The ARV drugs with high penetrability can be neurotoxic too; thus, it is advised to suspect ARV drugs neurotoxicity when cognitive improvement is not observed or detected with ARV treatment [234].

In the last few decades, appreciable progress has been made in the area of ARV therapy related to improved neurological clinical outcomes for HIV-1 patients. An immediate first-line treatment regimen for all new diagnosed HIV-1 infected patients is recommended by international guidelines

Table 4. Class, name and CNS penetration of the antiretroviral drugs [239,240].

s. no	class of drug	name of the drug	CNS penetration
1	protease inhibitor	Tipranavir	low
		Fosamprenavir	medium
		Atazanavir	medium
		Saquinavir	low
		Nelfinavir	low
		Lopinavir	medium
		Ritonavir	low
		Darunavir	medium
		Indinavir	medium
2	nucleoside reverse transcriptase inhibitor	Tenofovir	low
		Disoproxil Fumarate	
		Abacavir	medium
		Didanosine	medium
		Emtricitabine	medium
		Stavudine	medium
		Lamivudine	medium
		Zidovudine	high
		3	entry/fusion inhibitors
Enfuvirtide	low		
4	non-nucleoside reverse transcriptase inhibitor	Etravirine	low
		Delavirdine	high
		Nevirapine	high
5	integrase strand transfer inhibitor	Raltegravir	medium
		Elvitegravir	medium

for reducing the neurological complications associated with HIV-1-infected patients [235,236]. Current ARV therapy is highly efficient in controlling HIV-1; still, viral replication can be found in the CSF among some patients. It has been found that ARVs reach different areas of CSF with significant variability due to the different expression profiles of cellular drug transporters and the concentrations of few ARVs do not exceed inhibitory concentration for wild-type HIV replication in CSF [237,238] (table 4). The main limitation to achieve the HIV-1 eradication from the brain is the suboptimal concentrations of ARV within this site. Factors like molecular weight, blood's protein binding and lipophilicity influence the concentration of drug in the brain tissue [231,241–243]. For instance, while entry and integrase inhibitors are able to reach the CNS, the nucleoside/nucleotide reverse transcriptase inhibitors and non-nucleoside reverse transcriptase inhibitors can only partially cross the BBB. Conversely, the majority of PI are characterized by a medium/low permeability to the BBB [5,239,244,245]. Furthermore, some cellular transporters like P-gp, MRP4 and MRP5 have the ability to reduce the intracellular concentration of ARV

drugs which ultimately favours both the emergence of drug-resistant viruses and their productive infections to other cells [46,56,240,246].

New strategies like the usage of a hypertonic solution of urea or mannitol [48,49] are currently used to increase the concentrations of ARV within site. This deed can be achieved by inhibiting the drug efflux transport, while nanoparticles and cell-mediated nanoART may confer other key advantages, such as improved blood half-life and bioavailability, precise delivery and higher aqueous stability [231]. In recent years, different types of nanoparticles that have been identified for improving the concentration of ARV are listed below

1. Lipid nanoparticles have the ability to easily cross the BBB [247,248].
2. Polymeric nanoparticles are able to exploit the interaction with low-density lipoproteins receptors on the surface of endothelial cells [239,249].
3. Inorganic nanoparticles such as small size silica with the addition of polyethylene glycol (PEG) [250].
4. Gold nanoparticles conjugated with cell-penetrating peptides [251].

It has been recently reported that the poly (dl-lactide-co-glycolide) nanoparticles and other nanoparticles increase the peak concentrations of lopinavir, ritonavir and efavirenz (these drugs are characterized by a low penetration into CNS) [239,252]. Recently, a CPE that depends on pharmacokinetics' features of various ARV drugs was proposed to estimate the efficacy of ARV treatment in the CSF [238]. However, some contradictory results of this CPE on clinical outcomes in HIV-1 infected patients have been reported in some of the studies [169,232,234]. These observations reflect that further studies are required to prescribe ART and that the regimens characterized by high CPE scores must be carefully chosen. It has been demonstrated that in the presence of high CPE, there is an acceleration of neurological disorders [253,254]. For instance, PIs are shown to induce oxidative stress in neuronal cells, while the NNRTI efavirenz caused toxicity in the cortical neuronal cultures of fetal rats [253–255]. Still *in vivo* studies are needed to confirm the neurotoxicity profiles of these drugs for potential applications.

Further, various reports highlighted the use of psychiatric medications for mood disorders like depression. Many subtypes of antidepressants, including tricyclic antidepressants, serotonin–norepinephrine re-uptake inhibitors and selective serotonin re-uptake inhibitors have been found useful in providing moderate symptomatic relief [256,257]. Psychostimulants may also believe to be useful for apathy and fatigue [258]. Psychotic and manic symptoms are less reported in the case of HIV⁺ individuals; though, a small-scale study with psychosis demonstrated the higher occurrence of extrapyramidal symptoms [259]. Numerous drugs such as mood stabilizers like lithium may have concurrent neurotoxic effects, and carbamazepine may stimulate the same CYP enzyme system which participates in the metabolism of ARV drugs, therefore, may cause drug–drug interactions [260,261]. Though, on a pharmacological basis, many agents including memantine, nimodipine, selegiline, pentoxifylline and peptide T can be considered neuroprotective. However, among these numerous agents, only selegiline appear to exhibit potential benefits [262].

12. Conclusion

Based on the available literature, it can be concluded that HIV-associated synaptic loss and etiology of AD and HAND is an interconnected and orchestrated consequence of numerous neuropathogenic processes triggered by HIV-1. Interactions between HIV-1 and the host cells are believed to play a vital role in the pathogenesis of these abnormalities. Several viral proteins (Tat, Gp120, Nef and Vpr), which are released from the infected cells in the nervous system may impart induction of synaptic injury and pathogenesis of AD. In addition, these proteins are likely to act in conjunction and causing synaptotoxicity, when released from infected cells in the CNS. Further, AD-associated numerous factors such as BBB regulators, members of the stress-related pathways as well as the amyloid and Tau pathways appear to augment amyloid plaques deposition or NFT accumulation following HIV neuroinfections. Additionally, the HPA axis dysregulation also showed that when associated with HIV infection, it is conducive of generating an environment where BBB disruption, neuroinflammation, oxidative stress, excitotoxicity and A β burden are exacerbated. This, combined with other factors (environmental/genetic) may provide the new insight for understanding the pathogenesis, diagnosis and therapeutics of brain disorders including AD and HAND. The scenario of replication-independent production of HIV-1 protein is apparently counterintuitive, and the underlying molecular mechanism is yet largely remained unexplored. It is, therefore,

imperative to explore more in this field. Considering the need for therapeutics against HIV-neuroinfection, unfortunately, still, there is an urgent need for evidence-based medications to be identified so far, which could be able to combat these complications. Though, many studies have recently shown a reduction in neurotoxicity via modulating the actions of viral proteins, augmenting the protective action of neurotrophins and growth factors, or curtailing neuroinflammation triggered by HIV-1-infected microglia and macrophages. The mechanisms associated with neuroprotection are classically aimed to diminish the extent of neuronal damage in HIV-1-induced synaptic dysfunction. It can be considered that agents, which regulate inflammatory and/or cell death pathways and favourably modulate neurotransmitter function may provide opportunities for pharmacological manipulation during HIV-1 brain infections. Altogether, in the near future, it could be of paramount significance to explore the molecular mechanisms of HIV-neuroinfection and develop therapeutic strategies.

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