

# Gene Therapy for Primary Immunodeficiency

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## Abstract

Over the past 3 decades, there has been significant progress in refining gene therapy technologies and procedures. Transduction of hematopoietic stem cells ex vivo using lentiviral vectors can now create a highly effective therapeutic product, capable of reconstituting many different immune system dysfunctions when reinfused into patients. Here, we review the key developments in the gene therapy landscape for primary immune deficiency, from an experimental therapy where clinical efficacy was marred by adverse events, to a commercialized product with enhanced safety and efficacy. We also discuss progress being made in preclinical studies for challenging disease targets and emerging gene editing technologies that are showing promising results, particularly for conditions where gene regulation is important for efficacy.

## Introduction

Primary immune deficiencies (PIDs) are inherited life-threatening diseases, characterized by susceptibility to infection, increased risk of malignancy, autoimmunity, and inflammation. They arise due to abnormalities in over 300 genes governing the development or function of a range of immune subsets of both the innate and adaptive immune system.<sup>1</sup> Globally they are rare diseases, occurring at a rate of 1:10 000 births,<sup>2</sup> although this can be 20-fold greater in countries with a higher rate of consanguinity,<sup>3</sup> or populations with founder mutations.<sup>4,6</sup>

Symptoms often arise in childhood and historically treatment options have been limited, focused on supportive care with hematopoietic stem cell transplant (HSCT), the only curative approach. This technique has evolved over time and the associated morbidity and mortality have dramatically reduced in some settings. However, success is still largely based on the availability of good human leukocyte antigen (HLA)-matched donor, with reduced survival in the mismatch setting arising from graft-versus-host disease (GvHD), infection, and graft rejection. Autologous gene-corrected stem cell therapy offers an attractive alternative where a suitable HLA-matched donor is unavailable, with the possibility of avoiding GvHD and often the ability to use less toxic and immunosuppressive conditioning regimens.

As the founders of the immune system, hematopoietic stem cells (HSCs) offer a relatively accessible therapeutic target through either direct bone marrow harvest or, more recently, the preferred option of leukapheresis. Following granulocyte-colony stimulating factor (G-CSF) and plerixafor-mediated mobilization from the bone marrow into the periphery, harvesting

through apheresis and CD34+ cell selection, HSC can be manipulated with gene corrective tools ex vivo, before returning to the patient to engraft and restore a fully functioning system. Retroviruses have become the tool of choice, due to their ability to irreversibly recombine their genome into host cell DNA and packaging capacity large enough to carry the complementary DNA (cDNA) of most genes. Primary immune deficiencies have been at the forefront of gene therapy research using viral vectors, and the success that is now being seen in the clinic for many diseases represents the culmination of decades of symbiotic research between clinicians, research scientists, and industrial partners, which has advanced the understanding of disease pathology, stem cell biology, virology, and molecular genetics.

Originally pathogenic viruses of animal and man (eg, Moloney murine leukemia virus [MoLV], human immunodeficiency virus [HIV]), these viruses have undergone a vectorization process to remove the ability to self-replicate, leaving only a single-stranded RNA genome and the proteins required for genome integration, packaged within a capsid, matrix proteins, and a lipid membrane coat (Figure 1A).

Early trials took advantage of the powerful promoter enhancer elements of the gammaretrovirus ( $\gamma$ RV) long terminal repeat (LTR) sequences to drive robust expression of the therapeutic cDNA. However, the propensity of  $\gamma$ RV vectors to integrate around the transcription start site of genes<sup>7</sup> led to LTR-mediated proto-oncogene activation and leukemia transformation events in patients across several trials.<sup>8-10</sup> The vector constructs were modified to a self-inactivating (SIN) configuration by deleting and replacing the wildtype viral sequences that exerted long-range promoter/enhancer effects and instead used internal mammalian promoters to drive transgene expression (Figure 1B).<sup>11-14</sup> Although SIN  $\gamma$ RV vectors have not been associated with severe adverse events, it was later realized that lentiviral vectors (LVs) based on HIV-1 had a safer integration profile, largely integrating within actively transcribed genes, therefore keeping exogenous promoters contained in vectors away from regulatory regions.<sup>15,16</sup> SIN LV vectors are now the most widely used vectors and have excellent safety track record—more than 150 primary immune deficiency patients have been treated over the past decade without developing leukemia or myelodysplasia.<sup>17-19</sup>

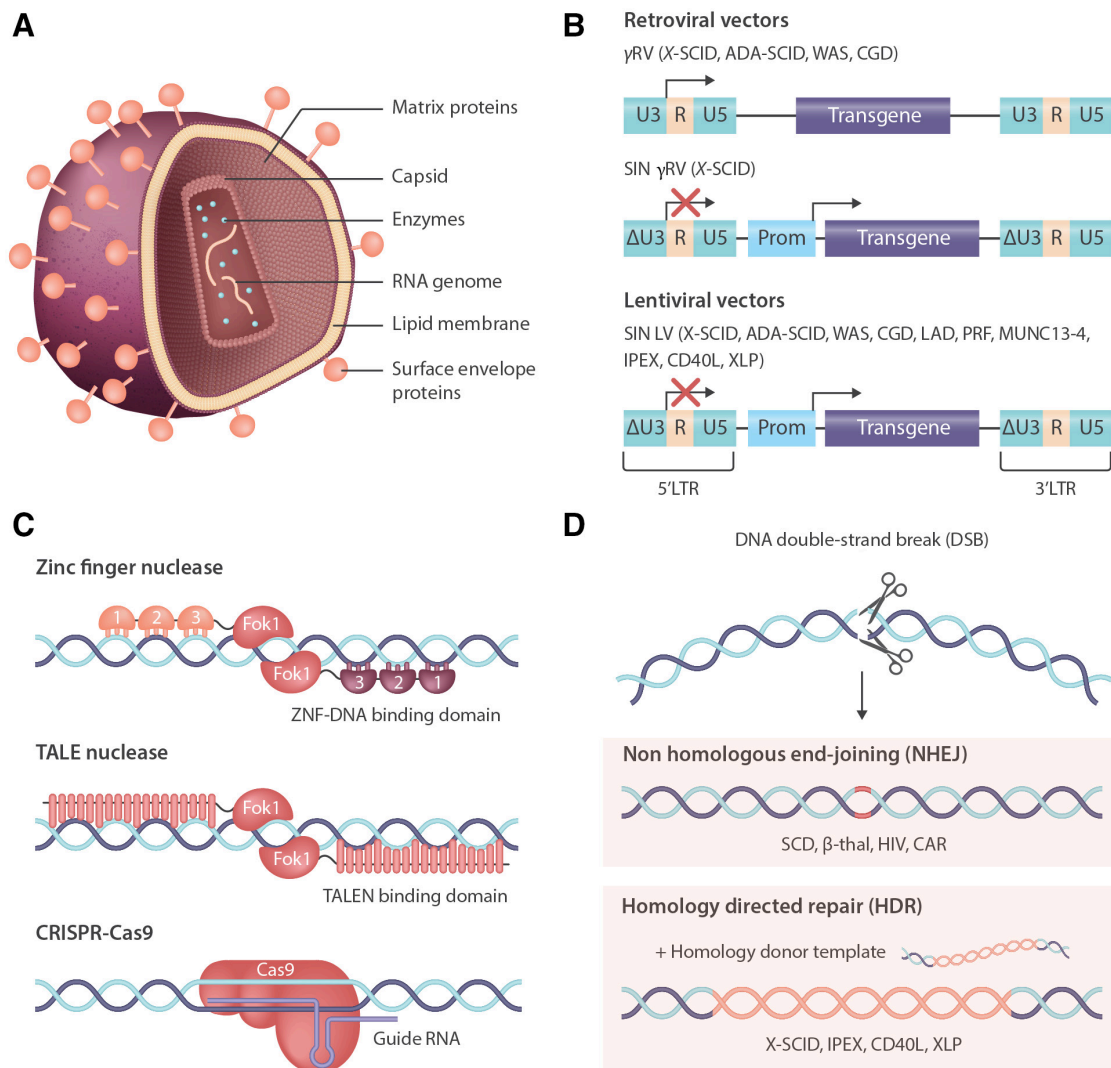
The burgeoning interest in gene and engineered cell therapies using viral vectors has driven the optimization of good manufacturing practice (GMP) compliant large-scale suspension

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**Figure 1. Gene therapy and gene editing technologies for correction of primary immunodeficiency.** (A), Schematic of a retroviral particle, showing the viral protein architecture packaging the RNA genome. (B), Retroviral genomes used in gene therapy clinical trials, progressing in safety from the original wild type LTR-driven  $\gamma$ RV vectors that have been associated with adverse events in several disease settings, to the SIN  $\gamma$ RV and more widely used LVs that use chimeric LTRs and mammalian internal promoters to drive gene expression, providing an enhanced safety profile. (C), Emerging gene technologies are becoming a clinical reality due to highly active site-specific nucleases, most notably ZFNs, TALENs, and CRISPR/Cas9. (D), Nucleases create DNA DSBs that are repaired by several different pathways: NHEJ creates small INDELS, often leading to KO of genes—advantageous for therapies such as CCR5 KO T cells or HSC for HIV, or TCR/checkpoint inhibitor KO for improved CAR T cells—or enhancer elements, such as the erythroid enhancer for BCL11a, to promote  $\gamma$ -globin production for amelioration of SCD and  $\beta$ -thalassemia. In the presence of a repair template, HDR can occur, leading to precise insertion of therapeutic sequences, including whole gene cDNA—this approach is being explored for several immunodeficiencies, including X-SCID, IPEX, CD40L, and XLP. ADA-SCID = adenosine deaminase severe combined immunodeficiency; BCL11a = BAF chromatin remodeling complex subunit; CAR = chimeric antigen receptor; CCR5 = C-C chemokine receptor type 5; CD40L = CD40 ligand; cDNA = complementary DNA; CGD = chronic granulomatous disease; CRISPR/Cas9 = clustered regularly interspaced short palindromic repeats/CRISPR associated protein 9; DSB = double-strand break; HDR = homology-directed repair; HIV = human immunodeficiency virus; HSC = hematopoietic stem cell; INDELS = insertions and deletions; IPEX = immune dysregulation, polyendocrinopathy, enteropathy, X-linked; KO = knock out; LAD = leukocyte adhesion defect; LTR = long terminal repeat; LV = lentiviral vector; MUNC13-4 = protein unc-13 homolog D; NHEJ = nonhomologous end-joining; PRF = perforin; SCD = sickle cell disease; SIN = self-inactivating; TALENs = TALE nucleases; TCR = T cell receptor; WAS = Wiskott-Aldrich syndrome; XLP = X-linked lymphoproliferative; X-SCID = X-linked severe combined immunodeficiency; ZFNs = zinc-finger nucleases;  $\gamma$ RV = gammaretrovirus.

serum-free production systems and packaging cell lines. These processes reduce the amount of handling associated with adherent cell culture and reduce dependency on costly animal-derived products that carry a contamination risk and ethical concerns.<sup>20-22</sup> Furthermore, interest has focused on the development of novel transduction enhancers that reduce the amount of high-cost virus required<sup>23-25</sup> and cell culture media components that aim to retain HSC potency in culture.<sup>26</sup> These technologies will lower the associated cost of gene therapy procedures and improve access, particularly when coupled with automated cell culture devices.<sup>27,28</sup>

## Severe combined immunodeficiencies

Severe combined immunodeficiencies represent the most lethal PIDs and occur in an estimated 1:50 000-100 000 births.<sup>29</sup> They are characterized by genetic faults leading to a block in T cell development programs, combined with deficiencies in numbers or function of natural killer (NK) or B cells resulting in both cellular and humoral immune abnormalities. These conditions often present in infancy with overwhelming infection and require urgent HSCT. Outcome following transplant can be negatively impacted by active infection alongside poorly matched donor status.<sup>30,31</sup> Many countries around the globe have recently introduced

newborn screening programs for severe combined immunodeficiency (SCID) to improve outcome through early diagnosis.<sup>32</sup>

SCID caused by a lack of adenosine deaminase enzyme (ADA-SCID) accounts for 10% of SCID diagnoses. Ubiquitous and highly conserved, ADA is a key enzyme in purine metabolism, responsible for safely converting adenosine to inosine; in its absence, toxic metabolites including adenosine, 2'-deoxyadenosine, and deoxyadenosine triphosphate (dATP) accumulate, leading to profound reduction in the numbers of circulating T, B, and NK cells. Suffering with a severe lack of cellular and humoral immunity, 85% of patients present to clinic in the first year of life due to a failure to thrive and high risk of opportunistic bacterial and fungal infections, alongside systemic abnormalities affecting the lungs and skeletal system. Neurological impairments, including deafness, developmental delay, and behavioral issues, are common.<sup>33</sup> In contrast to the limited treatment options for most types of SCID, ADA deficient patients can receive enzyme replacement therapy (ERT) in the form of polyethylene glycol-conjugated bovine enzyme (PEG-ADA), which can successfully reduce the levels of metabolites and improve lymphocyte numbers. However, this is not curative, and long-term use is associated with reduced efficacy and significant cost. HSCT offers a curative therapy for patients with overall survival (OS) of 86% for those with matched sibling donors (MSD) and 81% in the matched-related donor (MRD) setting in a 106 patient cohort.<sup>34</sup> However, in the mismatched donor setting survival falls to 66% for matched unrelated donors (MUD) and 43% for haploidentical donor transplants.<sup>34,35</sup>

As a monogenic disease, ADA-SCID was an attractive candidate for gene therapy. The first trials began in the 1990s, using  $\gamma$ RV vectors to transduce and infuse T cells,<sup>36-38</sup> umbilical cord blood cells,<sup>39</sup> and bone marrow cells<sup>40</sup> but failed to show long-term efficacy. Patients did not receive a preconditioning regimen, under the rationale that corrected cells would have a significant survival advantage despite continuing to receive PEG-ADA. Subsequent  $\gamma$ RV trials incorporated both myeloreductive conditioning (busulfan, melphalan) and cessation of ERT, observing restoration of lymphocyte number, reduced rates of infection, and 100% survival of over 40 treated patients.<sup>41-45</sup> In 2016, this protocol and vector was licensed as Strimvelis (GSK2696273), the first ex vivo gene therapy product to treat a primary immune deficiency licensed in Europe.<sup>46,47</sup>

To date, there has been no evidence of viral-mediated genotoxicity in this disease, despite evidence of integration sites near proto-oncogenes (*LMO2*, *BCL2*, *CCND2*) that have driven malignancy in other diseases.<sup>48</sup> However, in line with safety improvements in the wider field, SIN LV vector approaches have been pursued, using the mammalian elongation factor 1 $\alpha$  short (EFS) promoter to drive ADA expression. Murine models indicated that this vector was able to restore gene expression and restore immune function comparable to the  $\gamma$ RV vector while demonstrating a significant reduction in transformation potential in vitro.<sup>49</sup> In addition, studies in the same model revealed that while conditioning significantly improved engraftment, withdrawing PEG-ADA was less important, and that it may be preferable to maintain ERT to maintain cellularity in the bone marrow and reduce the period of lymphopenia post-transplant.<sup>50</sup> Current trials now include pharmacokinetic (PK)-adjusted busulfan conditioning, maintained ERT until 30 days after infusion and increasingly the use of cryopreserved products allowing for more extensive testing release criteria to be completed before the product is infused (NCT02999984/NCT01380990/NCT02022696/NCT01852071) (Table 1).

The very promising results seen in trials of gene therapy for ADA-SCID in terms of long-term immune recovery and safety have led to treatment guidelines suggesting the use of gene therapy rather than allogeneic HSCT from a matched unrelated donor (European Society for Blood and Marrow Transplantation Guidelines). Unfortunately, neither gene therapy nor HSCT can

improve the nonimmune related disease manifestations seen in this condition.<sup>73</sup>

X-SCID is one of the more common forms of SCID, accounting for up to 40% of cases in some populations.<sup>74</sup> Mutations in the *IL2RG* gene lead to an absence of the common gamma chain, a vital common component of the receptors for the cytokines interleukin (IL)-2, -4, -7, -9, -15, and -21. Gamma chain deficient lymphocytes are unable to receive the signals needed to develop, leading to an absence of circulating T and NK cells and dysfunctional B cells, resulting in severe immunodeficiency and susceptibility to severe and often opportunistic infection.<sup>75</sup> HSCT was previously the only curative treatment, and while this procedure can be highly successful from a geno-identical donor (OS >90%), the outcome is less favorable for patients with mismatched donors, particularly when active infection is present.<sup>76,77</sup>

Gene therapy for X-SCID entered the clinic using a  $\gamma$ RV vector in 1999. Clear clinical benefit was observed, with patients reconstituting functional T cells and, to a lesser extent, NK cells and reduced infections.<sup>78,79</sup> However, 6 out of 20 patients enrolled developed T acute lymphoblastic leukemia as a result of insertional mutagenesis around proto-oncogenic loci and accumulated genetic abnormalities including deletion of tumor suppressor genes and translocation events.<sup>8,52,55</sup>

To address genotoxicity while retaining clinically efficacy, SIN  $\gamma$ RV vectors were developed again employing the mammalian EFS promoter.<sup>12</sup> T cell gene marking in treated patients was similar to the first trial, yet no severe adverse events relating to insertional mutagenesis have been recorded to date in the 9 surviving patients enrolled; the 1 death occurring due to existing viremia (NCT01410019/NCT01175239/NCT01129544).<sup>56</sup> In both trials, the absence of a conditioning regimen prior to transplant contributed to suboptimal myeloid engraftment and humoral reconstitution, often requiring patients to stay on immunoglobulin therapy despite the survival advantage of corrected cells. More recently, LV vector trials for X-SCID have incorporated low-dose PK-adjusted Busulfan conditioning with the aim of improving efficacy; early results suggesting improved B cell reconstitution and normalization of immunoglobulin responses have recently been reported.<sup>58,59</sup>

SCID can also be caused by mutations in genes encoding proteins responsible for V(D)J rearrangement of T and B cell antigen receptors, such as DNA-dependent protein kinase (DNA-PKcs), catalytic subunit,<sup>80</sup> DNA ligase 4 (LIG4),<sup>81</sup> recombination activating gene 1 and 2 (RAG1/2),<sup>82</sup> and Artemis.<sup>83</sup> The latter three have long been identified as targets for gene therapy but have faced challenges in replicating the endogenous level of gene expression that is crucial for correct function.

While an absence of RAG1 or RAG2 causes a T- B- SCID phenotype, insufficient expression leads to Omenn syndrome, immune dysregulation, and autoimmunity, as seen in some patients with hypomorphic mutations.<sup>84-86</sup> Preclinical gene therapy studies have struggled to obtain sufficiently high levels of gene expression from vectors that are suitable for clinical use,<sup>87-90</sup> however, following successful outcomes in a murine model, an SIN LV vector using an MND promoter construct to drive RAG1 has now been selected for translation and a trial planned for the near future,<sup>91</sup> while a Ubiquitous Chromatin Opening Element (UCOE) promoter has shown promising results for RAG2.<sup>92</sup>

Several groups have generated LV vectors expressing the *DCLRE1C* gene that encodes the Artemis protein,<sup>93,94</sup> however, toxicity was observed when expression levels were too high.<sup>95</sup> A further study utilized the endogenous Artemis promoter and found it gave optimal reconstitution in Artemis knock out (KO) mice.<sup>96</sup> Some preliminary results of a trial of 5 patients (NCT03538899) using this vector have indicated the efficacy of this approach, noting the reappearance of T cell subsets along with stable gene marking in T, B, NK, and myeloid cells, allowing the patients to leave isolation.<sup>60</sup>

**Table 1****Current and Historical Gene Therapy Trials for Primary Immune Deficiencies.**

Disease	Vector	Center	Trial Number	Cryopreservation	Participants	References	
ADA-SCID	$\gamma$ RV	Italy, Madrid	NCT00599781/NCT00598481		22	42,46,51	
		United States	NCT03478670		5	52	
		United States	NCT00018018		10	53	
		United States	NCT00794508		10	44	
		United Kingdom, London	NCT01279720		8	43	
	SIN LV	United Kingdom, London	NCT01380990		20		
		United States, UCLA	NCT01852071		20	54	
		United States, UCLA	NCT02999984	Yes	10	54	
		United Kingdom, London	NCT03765632	Yes	10		
		United States	NCT02022696		1		
X-SCID	$\gamma$ RV	France, Paris	NA		10	8	
		United Kingdom, London	NA		10	55	
	SIN $\gamma$ RV	France/United Kingdom/United States	NCT01410019/NCT01175239/NCT01129544		14	56,57	
	SIN LV	United States, NIH	NCT01306019		5	58	
		United Kingdom, London	NCT03601286	Yes	10 (est recruitment)		
		United States, NIH	NCT03315078		13 (est recruitment)		
		United States, St Jude	NCT01512888	Yes	8 (28 est recruitment)	59	
		United States, Boston	NCT03311503	Yes	10 (est recruitment)		
		China, Shenzhen	NCT03217617		10 (est recruitment)		
	Artemis-SCID	SIN LV	China, Chongqing	NCT04286815		10 (est recruitment)	
United States, UCSF			NCT03538899	Yes	5 (15 est recruitment)	60	
WAS	$\gamma$ RV	Germany, Hannover	DRKS00000330		10	9	
		SIN LV	United States, Boston	NCT01410825		5	61,62
	SIN LV	Italy, Milan	NCT01515462		8	63,64	
		Italy, Milan	NCT03837483	Yes	6		
		United Kingdom, London	NCT01347242		7	65	
CGD	$\gamma$ RV	France, Paris	NCT01347346		5	66	
		Germany, Frankfurt	NCT00564759		2	10,67	
		Switzerland, Zurich	NCT00927134		2	68	
		Korea, Seoul	NCT00778882		2	69	
		United States, NIH	NCT00394316		3	70	
	SIN $\gamma$ RV	Germany, Frankfurt	NCT01906541		5 (est recruitment) (adults)		
		LV	United Kingdom, London/ United States, UCLA	NCT01855685/NCT02234934	Part*	9	71
			France, Paris	NCT02757911		2	52
		SIN LV	China, Shenzhen	NCT03645486		10 (est recruitment)	
			United States, Boston	NCT00023010		2	72
LAD-1	$\gamma$ RV	United States, Boston	NCT00023010		2	72	
		United States, UCLA	NCT03812263	Yes	9 (est recruitment)		
	LV	United Kingdom, London	NCT03812263	Yes	3 (est recruitment)		
Spain, Madrid		NCT03825783	Yes	2 (est recruitment)			

ADA-SCID = adenosine deaminase severe combined immunodeficiency; CGD = chronic granulomatous disease; LAD-1 = leukocyte adhesion defect type 1; LV = lentiviral vector; NA = not available; NIH = National Institutes of Health; SCID = severe combined immunodeficiency; X-SCID = X-linked severe combined immunodeficiency; SIN = self-inactivating; UCLA = University of California, Los Angeles; UCSF = University of California San Francisco; WAS = Wiskott-Aldrich syndrome;  $\gamma$ RV = gammaretrovirus; UCLA = University of California - Los Angeles; UCSF = University of California - San Francisco.

\*Some patients received cryopreserved products.

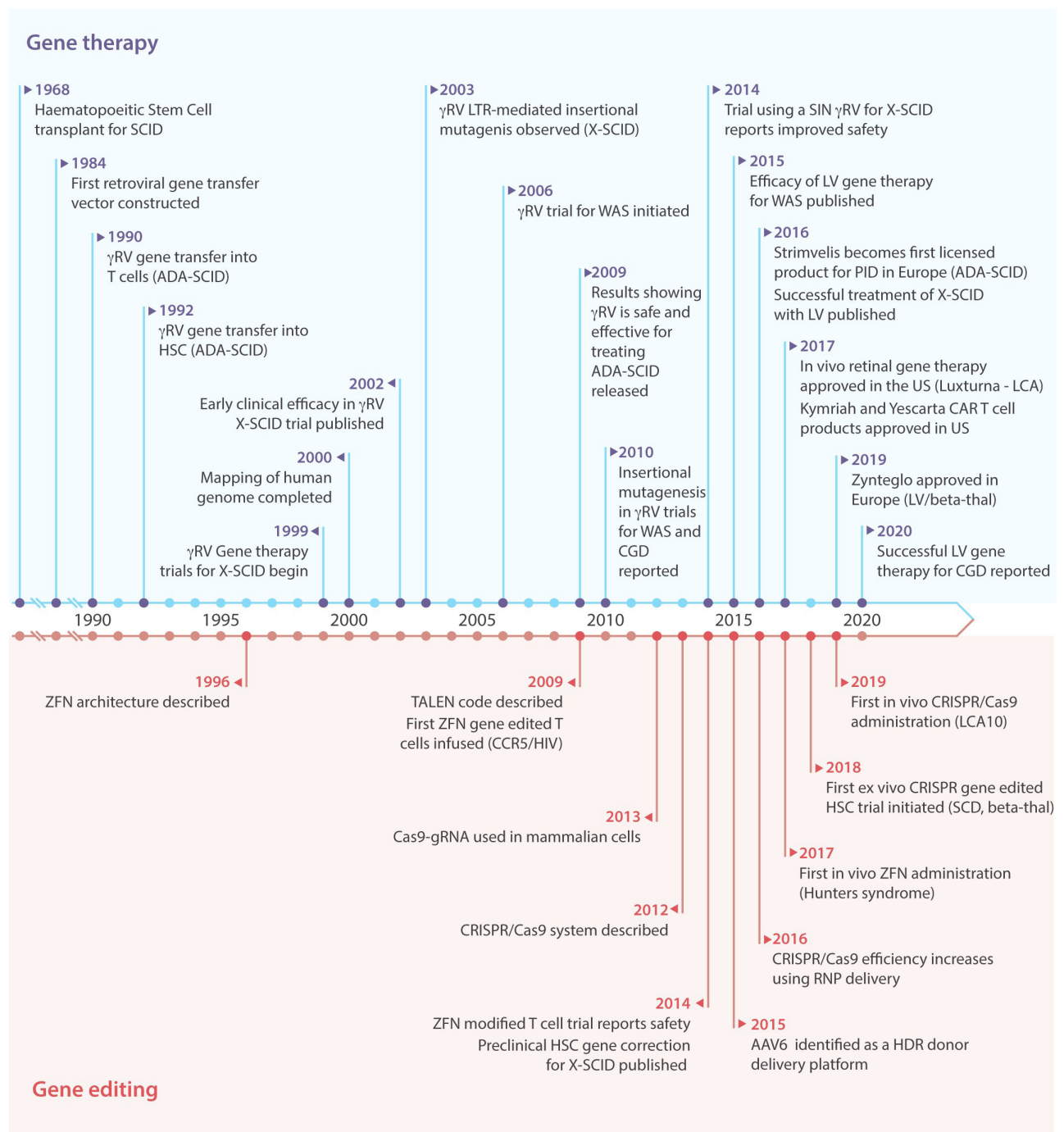
## SCIDs: paradigm for emerging therapies

For both X-SCID and ADA deficiency, the element of survival advantage in corrected lymphocytes makes these diseases attractive models for novel therapies. Techniques being tested include *in vivo* gene therapy, a minimally invasive technique to correct cells by direct infusion of gene transfer vectors. *In vivo* gene therapy has been attempted in ADA deficient mice and nonhuman primates using LV vectors, however, efficacy was limited outside the neonatal setting.<sup>97,98</sup> In a recent study, premobilization of HSC and *in vivo* transduction using foamy virus vectors was corrective in a canine model of X-SCID.<sup>99</sup> Foamy viruses are attractive gene transfer vectors for HSC, as they are resistant to serum inactivation, are able to transduce quiescent cells, and present a favorable integration profile; however, the safety, efficacy, and scalability of this approach remains a challenge for first-in-human studies.<sup>100</sup>

Gene editing offers the potential to provide therapeutic gene expression closest to the endogenous profile by inserting

corrective sequences *in situ*. This technique has become clinically relevant due to the development of a series of highly site-specific nucleases, including zinc-finger nucleases (ZFNs), TALE nucleases (TALENs), and clustered regularly interspaced short palindromic repeats/CRISPR-associated protein systems (CRISPR/Cas) (Figure 1C).<sup>101</sup> The creation of a DNA double-strand break provides a substrate for endogenous DNA repair pathways, which can be harnessed either to KO genes or to seamlessly insert therapeutic DNA by providing a suitable donor containing sequences homologous to the cleaved ends (Figure 1D). This placement conserves many of the native regulatory motifs surrounding a gene, many of which would be too large to fit into a LV vector and are often poorly defined.

All of these technologies have now entered the clinic, although so far, none using homology-directed repair (HDR). In the absence of a homology repair template, nonhomologous end-joining (NHEJ) occurs, creating small insertions and deletions (INDELS) which lead to gene KO. The first-in-man trial, initiated in 2009, used ZFN to create autologous C-C chemokine



**Figure 2. Timeline of major advances in gene therapy and gene editing therapeutics for primary immunodeficiency.** AAV6 = adeno-associated virus serotype 6; ADA-SCID = adenosine deaminase severe combined immunodeficiency; CAR = chimeric antigen receptor; Cas9 = CRISPR associated protein 9; CCR5 = C-C chemokine receptor type 5; CGD = chronic granulomatous disease; CRISPR = clustered regularly interspaced short palindromic repeats; gRNA = guide RNA; HDR = homology-directed repair; HIV = human immunodeficiency virus; HSC = hematopoietic stem cell; LCA = leber congenital amaurosis; LTR = long terminal repeat; LV = lentiviral vector; PID = primary immunodeficiency; RNP = ribonucleoprotein; SCD = sickle cell disease; SCID = severe combined immunodeficiency; SIN = self-inactivating; TALENs = TALE nucleases; US = United States; WAS = Wiskott-Aldrich syndrome; X-SCID = X-linked severe combined immunodeficiency; ZFNs = zinc-finger nucleases;  $\gamma$ RV = gammaretrovirus.

receptor type 5 (CCR5) KO T cell product for patients with HIV.<sup>102</sup> With 1 severe adverse event out of 12 patients, unrelated to the editing procedure, this trial showed that editing tools can be safe, particularly in T cells; TALENs and CRISPR platforms have now been used extensively in immunotherapy products such as CAR T cells.<sup>103,104</sup> In 2018, the first gene-edited HSC trials were announced for patients with sickle cell disease (SCD) and  $\beta$ -thalassemia (NCT03745287/NCT03655678), using CRISPR/

Cas9 to disrupt the erythroid-specific enhancer of the *BCL11A* gene, aiming to increase  $\gamma$ -globin levels and ameliorate the disease (Figure 2).<sup>105</sup>

For several PIDs, gene editing using HDR may offer a safer therapy by avoiding aberrant gene expression from viral vectors, particularly useful for disorders where, for example, signaling molecules are affected and aberrant expression could be detrimental. Again, SCID was the first model in which proof of concept for this

technology was shown. Genovese et al<sup>106</sup> showed the feasibility of this approach; X-SCID HSC were corrected using ZFN and nonintegrating lentiviral vectors, which gave rise to functional lymphoid cells in an *in vivo* mouse model. In recent years, CRISPR/Cas9 and adeno-associated virus serotype 6 (AAV6) homology donors have risen to be the most promising tools, capable of correcting HSC to levels approaching 50% *in vitro*.<sup>107</sup> Trials will determine the efficacy of these approaches in man.

## Non-SCID immunodeficiencies

Following on from the successes in early trials of gene therapy for SCID, the approach was applied to more complex immune disorders where a survival advantage of gene-corrected cells may not have been so prominent.

Wiskott-Aldrich syndrome (WAS) is caused by an absence of WAS protein, a major regulator of the actin cytoskeleton in hematopoietic cells necessary for immune function and platelet production. The disease is associated with a spectrum of clinical presentations including immunodeficiency, thrombocytopenia, eczema, and increased risk of malignancy. Results for HSCT in WAS have improved over the years with the most recent report showing an OS of 90% regardless of donor source, if patients are treated in the first 5 years of life. For older patients, OS drops to 66%, and both acute and chronic GvHD is a significant risk (27%/17%, respectively).<sup>108</sup> A clinical trial initiated in 2006 using  $\gamma$ RV vectors showed clear clinical benefit and restoration of immune function.<sup>109</sup> However, 7 out of the 9 patients that reconstituted immune function developed leukemia and integrations around *LMO2/MDS1/EVI1* proto-oncogenic loci were later identified.<sup>9</sup> To move forward, several centers chose a SIN LV vector that incorporated a 1.6 kb segment of the endogenous WAS promoter to drive WAS protein expression that had shown efficacy in preclinical models.<sup>110,111</sup> A reduced intensity (busulfan/fludarabine) conditioning regimen was also standardized across centers.<sup>61,65,112</sup> These trials are ongoing, but at the most recent published follow-up (up to 5.6 y post-procedure), collective data shows 90% survival and significant clinical improvement with sustained multi-lineage gene expression, correction of immune deficiency and eczema, and ability to stop immunoglobulin replacement therapy (NCT01515462/NCT01347242—1 death/15 treated patients).<sup>63,65</sup> Post-procedure autoimmunity is seen in both HSCT and gene therapy cohorts.<sup>108,113</sup> One major difference between the outcome of HSCT and gene therapy for WAS is the resolution of thrombocytopenia, which is superior following stem cell transplantation, although modest improvement in platelet count following gene therapy does prevent hemorrhagic events. The exact reason behind this is unclear and may relate to the number of gene-corrected cells infused<sup>114</sup> but it is clear that gene-corrected platelets exhibit normal function.<sup>115</sup>

Chronic granulomatous disease (CGD) affects around 1:200 000 births and arises due to defects in the subunits of the nicotinamide adenine dinucleotide phosphate (NADPH) oxidase enzyme complex. Expressed in phagocytic cells, this complex generates reactive oxygen species (including superoxide anion radical, hydrogen peroxide, and hypochlorite) and activates neutrophil proteases that kill the engulfed bacteria or fungi in phagocytic vacuoles. In its absence, severe infections and chronic inflammation result. Again, transplant results have improved with a recent report of 712 patients showing an OS of 85.7%, with reduced OS for adult patients (76%). However, GvHD remains a significant risk responsible for a third of the fatalities in this cohort.<sup>116</sup>

*CYBB* (cytochrome B-245 beta chain) mutations encoding Gp91phox (glycosylated 91-kDa glycoprotein) cause the most common X-linked form (65% cases), which has been the target for all trials to date.<sup>117</sup> Despite a lack of survival advantage of corrected cells in this disease, low numbers of oxidase-positive neutrophils or residual levels of NADPH oxidase expression can

confer a significantly increase survival,<sup>118,119</sup> making X-CGD an appealing candidate for treatment with gene therapy. An early  $\gamma$ RV trial, initiated in the mid-1990s, recruited 5 adult patients and was performed without conditioning. Although there were no severe adverse events, gene marking in the periphery was very low and transient.<sup>120</sup> Subsequent trials in multiple centers incorporated a myeloablative conditioning regimen that increased engraftment and restoration of immune function; however, this effect was also transient, with most of the 12 patients losing NADPH oxidase expression after 3 months.<sup>67,69,121-123</sup> Three patients that did achieve significant gene marking in neutrophils in a trial using a spleen focus forming virus (SFFV)-based LTR  $\gamma$ RV were found to have integration events around proto-oncogenic loci (*PRDM16* and *MDS1/EVI1*) and later developed myelodysplasia.<sup>10</sup> Further studies revealed that LTR promoter elements were being methylated, leading to gene silencing, while the enhancer elements (and therefore mutagenic influence) were unaffected. To improve safety, efficacy, and longevity, SIN LV vectors were developed that aimed to provide preferential expression in myeloid cells and detarget expression from HSC. Studies have investigated myeloid-specific promoters,<sup>124,125</sup> a minimal *CYBB* promoter coupled with myeloid-specific enhancers,<sup>126</sup> and a myeloid-specific promoter used in parallel with HSC-expressed microRNA binding sites.<sup>127</sup> However, the most widely adopted vector was constructed by fusing cathepsin G and c-Fes proximal regulatory sequences, with the aim of driving maximal expression during terminal myeloid differentiation.<sup>128</sup> Murine studies confirmed NADPH oxidase expression from the vector closely mimicked the endogenous expression profile and gene silencing through methylation was not observed. Two trials using this vector have recently reported early findings for the 9 patients enrolled: while 2 patients succumbed to disease-related comorbidities, 6 out of the 7 patients alive had stable copy number and 16%-46% oxidase-positive neutrophils, with no evidence of transgene silencing or untoward clonal dominance, up to 3 years post-procedure (NCT01855685/NCT02234934).<sup>71</sup> A similar approach has been adapted to another form of CGD caused by mutations in the *NCF1* gene leading to abnormal P47phox expression with proof of concept demonstrated in a murine model.<sup>129</sup> Clinical trials of this LV are anticipated to start in the near future.

Leukocyte adhesion defect type 1 (LAD-1) is characterized by severe life-threatening recurrent bacterial infections due to impaired migration of neutrophils to sites of infection arising as a result of defective membrane expression of CD18 integrin subunit encoded by the *ITGB2* gene. Treatment with HSCT is necessary, as mortality rates are between 60% and 75% in infancy for the most severely affected patients.<sup>130,131</sup> However, disease severity tightly correlates with the level of CD18 expression, indicating that even a low level of correction could significantly reduce mortality. An early trial using a  $\gamma$ RV in 2 patients that did not receive a conditioning regimen failed, with no gene marked cells detectable in the periphery after 2 months.<sup>72</sup> Subsequent studies using LV vectors in both murine<sup>132</sup> and canine<sup>133</sup> models have paved the way for a recently opened trial across Europe and the United States, using a busulfan conditioning regimen for patients without access to an HLA-identical sibling donor (NCT03825783, NCT03812263).

## Gene therapy for primary immune deficiencies: future perspectives

Patients suffering from immune dysregulation, polyendocrinopathy, enteropathy, X-linked (IPEX) syndrome exhibit severe autoimmunity due to mutation in the forkhead box P3 (*FOXP3*) gene. This transcription factor is considered a master regulator for successful development and function of regulatory T cells ( $T_{regs}$ ), that are vital for maintaining immune tolerance to self-antigens.<sup>134</sup> Studies have shown that effector T cells can be converted to  $T_{regs}$  by ectopic expression of *FOXP3*,<sup>135</sup> and these

cells exhibit suppressor function in vitro, and in mouse models of GvHD, offering the potential of a T cell therapy.<sup>136,137</sup> However, generating sufficient numbers of Tregs for this purpose will be challenging and the life span of the cells in vivo is unknown.<sup>138</sup> Correction at the level of HSC would provide a longer-lasting therapy, however, studies investigating this approach have noted that constitutive FOXP3 expression in HSC (where it is not usually expressed) had adverse effects on T cell differentiation and hematopoiesis.<sup>139</sup> A recent study aiming to abrogate this effect by replicating the endogenous expression profile by harnessing 3 regulatory elements, the *FOXP3* promoter and the 3'UTR (untranslated region) to regulate transgene expression, has shown promising results in vivo.<sup>140</sup> Another recent study using gene editing tools to place *FOXP3* cDNA under control of its native promoter reported partial correction of FOXP3 expression and suppressive function restored to within the lower range of healthy control cells, indicating this methodology could provide an alternative approach for IPEx patients.<sup>141</sup>

Deficiency of the T cell costimulatory molecule CD40 ligand (CD40L) gives rise to X-linked hyper-immunoglobulin M (hyper-IgM) syndrome (XHIGM). CD40L expression is upregulated after T cell activation and is essential for T cell: B cell interactions that induce immunoglobulin class switching and antibody affinity maturation in B cells.<sup>142,143</sup> The resulting lack of humoral immunity leaves patients vulnerable to bacterial and opportunistic infections and increased risk of malignancy and autoimmunity.<sup>144</sup> HSCT is used to treat patients, but OS after this procedure is suboptimal with latest figures suggesting a 5-year OS of 78%, indicating the need for a better therapy.<sup>145,146</sup> HSC gene correction using  $\gamma$ RV vectors was shown to restore humoral and cellular function in CD40L-deficient mice, however, the mice later developed thymic lymphoproliferation due to an unregulated expression profile.<sup>147,148</sup> A LV vector utilizing a 1.3 kb fragment of the *CD40L* promoter was able to replicate the tissue specificity and activation dependency of *CD40L* transgene in vitro,<sup>149</sup> although endogenous levels of gene expression post-activation were not achieved, potentially due to regulatory mechanisms in the 3'UTR that were not included in the construct. Gene editing has been investigated in both T cells and HSC (TALENs and CRISPR), with homology donors placing cDNA under the full native promoter and including the *CD40L* 3'UTR. Physiologically regulated gene expression and function was restored to T cells, and mice transplanted with gene-edited HSC showed no evidence of proliferation.<sup>150,151</sup>

X-linked lymphoproliferative (XLP) disease is caused by mutations and deletions in the *SH2D1A* gene that encodes the intracellular adapter SLAM-adaptor protein (SAP). SAP is vital for correctly relaying signals in T, NK, and NKT cells, in its absence, the immune system is dysregulated leading to hypogammaglobulinemia, hemophagocytic lymphohistiocytosis (HLH), and lymphoma.<sup>152</sup> SAP has a tightly controlled expression profile, undetectable in HSC, B cells, T<sub>regs</sub>, or myeloid lineage cells, and levels change after T cell stimulation and within T cell subsets.<sup>153-155</sup> While toxicity has not been observed in murine models of gene therapy using a constitutive promoter,<sup>156</sup> concern remains that aberrant expression could lead to further dysregulation. Due to the most severe immune deficits arising due to lack of T cell function, a T cell gene therapy approach was pursued, which corrected many of the disease phenotypes in murine models.<sup>157</sup> However, gene editing could offer therapeutic advantages and is being pursued in both T cells and HSC (B.C. Houghton and C. Booth, unpublished data, November 2020).

Familial hemophagocytic lymphohistiocytosis (FHLH) encompasses a number of genetic conditions with severe immune dysregulation that is fatal if not treated. FHLH types 2-5 occur due to genetic defects in T and NK cell granule-mediated cytotoxicity, rendering these cells unable to effectively kill their targets and creating a hyperinflammatory environment

with uncontrolled proliferation and cytokine release. HSCT can be curative, but outcome is dependent on disease status at the time of transplant.<sup>158</sup>

The mutated gene in FHLH2 is *PRF1*, encoding perforin. In healthy individuals, perforin is released into the immune synapse to form a pore on target cells, thereby allowing entry to granzymes into the cytoplasm and initiating apoptosis. Mixed chimerism experiments in a mouse model had shown that low levels of wild type cells could restore immune regulation, indicating that gene therapy could be a suitable approach.<sup>159</sup> LV vectors were constructed, employing either a constitutive PGK promoter, or a segment of the *PRF1* promoter to drive transgene expression; both vectors were able to restore gene expression and cytotoxicity to NK and T cells in murine models.<sup>160</sup> As an additional therapeutic intervention, a gene-corrected T cell strategy was also investigated, which could offer a bridge-to-transplant therapy for patients.<sup>161</sup>

FHLH3 has also been a target for gene therapy. It is caused by mutations in *UNC13D*, encoding protein unc-13 homolog D (Munc 13-4), which is essential for priming perforin-containing vesicles for exocytosis; cells without functional protein cannot degranulate properly giving rise to the cytotoxic defect. Several groups have investigated gene correction using SIN  $\gamma$ RV,<sup>162</sup> SIN alpharetrovirus,<sup>163</sup> or LV vectors,<sup>164</sup> noting functional restoration of degranulation activity in vitro and in vivo murine models. Gene-corrected T cells have also been investigated as an alternative therapeutic.<sup>165</sup>

X-linked agammaglobulinemia (XLA) is the most common PID and a good candidate for gene therapy due to the low level of corrected HSCs that are required to restore immunoglobulin production that arises due to the arrest of B cell development at the pre-B cell stage in the absence of an intact Bruton's tyrosine kinase (*BTK*) gene.<sup>166</sup>  $\gamma$ RV<sup>167</sup> and LV vectors<sup>168,169</sup> have been developed that have successfully restored B cell differentiation and function in murine models, but these remain at a preclinical stage. Current standard of care for XLA patients does not include HSCT as a curative therapy but relies on supportive care with lifelong immunoglobulin replacement; a significant burden that can impact on quality of life.<sup>170,171</sup> As our collective gene therapy experience grows particularly in terms of safety, in conditions such as XLA where stem cell transplant is considered in very few cases, gene therapy may become a feasible treatment option, offsetting the lifelong complications (and economic cost) of both disease and treatment.

## Conclusion

Autologous HSC gene therapy now offers therapeutic benefit across a range of conditions including immunodeficiencies, hematological, and metabolic disorders. The successes reported to date and increasing safety data generated through numerous clinical trials puts gene therapy back on an upward trajectory following earlier setbacks related to vector associated malignancy in gammaretroviral trials. Although enticing novel gene editing approaches are being investigated and indeed translated through to first-in-human trials, the infrastructure required to deliver lentiviral therapies widely and accessibility to treatment requires further effort. Commercial partners are becoming increasingly involved in gene therapy programs; while this has benefits in terms of driving preclinical studies more rapidly towards patient benefit, the logistic and economic challenges associated with delivering high cost, personalized drug products in rare disease remain. One must also look at the wider therapeutic landscape. Outcomes following HSCT, even in the mismatched and haploidentical donor setting, have improved over the past decade with the advent of more sophisticated graft manipulation techniques. As more long-term efficacy data emerges from gene therapy

clinical trials, it will become easier for physicians to understand which patients may benefit from the different treatment options available.

### Note added after acceptance

Since writing this manuscript, a patient treated with Strimvelis for ADA-SCID has been diagnosed with T cell leukaemia. Causality is under investigation. For more information: <https://ir.orchard-tx.com/news-releases/news-release-details/orchard-statement-strimvelis-gammaretroviral-vector-based-gene>.

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