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1 **The impact of HIV infection on tuberculosis transmission in a country with**
2 **low tuberculosis incidence: A national retrospective study using molecular**
3 **epidemiology**

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11 **Abstract**

12 **Background**

13 HIV is known to increase the likelihood of reactivation of latent tuberculosis to active TB disease,
14 however its impact on tuberculosis infectiousness and consequent transmission is unclear,
15 particularly in low-incidence settings.

16 **Methods**

17 National surveillance data from England, Wales and Northern Ireland on tuberculosis cases in adults
18 from 2010-2014, strain typed using 24-locus mycobacterial-interspersed-repetitive-units–variable-
19 number-tandem-repeats was used retrospectively to identify clusters of tuberculosis cases,
20 subdivided into ‘first’ and ‘subsequent’ cases.

21 Firstly, we used zero-inflated Poisson regression models to examine the association between HIV
22 status and the number of subsequent clustered cases (a surrogate for tuberculosis infectiousness) in

23 a strain type cluster. Secondly, we used logistic regression to examine the association between HIV
24 status and the likelihood of being a subsequent case in a cluster (a surrogate for recent acquisition of
25 tuberculosis infection) compared to the first case or a non-clustered case (a surrogate for
26 reactivation of latent infection).

27 **Results**

28 We included 18,864 strain-typed cases, 2,238 were the first cases of clusters and 8,471 were
29 subsequent cases. 759 (4%) were HIV-positive.

30 Outcome 1) HIV-positive pulmonary tuberculosis cases who were the first in a cluster had fewer
31 subsequent cases associated with them (mean 0.6, multivariable incidence rate ratio [IRR] 0.75
32 [0.65-0.86]) than those HIV-negative (mean 1.1).

33 Extra-pulmonary tuberculosis (EPTB) cases with HIV were less likely to be the first case in a cluster
34 compared to HIV-negative EPTB cases. EPTB cases who were the first case had a higher mean
35 number of subsequent cases (mean 2.5, IRR (3.62 [3.12-4.19]) than those HIV-negative (mean 0.6).

36 Outcome 2) tuberculosis cases with HIV co-infection were less likely to be a subsequent case in a
37 cluster (odds ratio 0.82 [0.69-0.98]), compared to being the first or a non-clustered case.

38 **Conclusions**

39 Outcome 1) pulmonary tuberculosis-HIV patients were less infectious than those without HIV. EPTB
40 patients with HIV who were the first case in a cluster had a higher number of subsequent cases and
41 thus may be markers of other, undetected cases, discoverable by contact investigations.

42 Outcome 2) tuberculosis in HIV-positive individuals was more likely due to reactivation than recent
43 infection, compared to those who were HIV negative.

44 Keywords: tuberculosis, HIV, co-infection, transmission, MIRU-VNTR

45

46 **Background**

47 HIV infection increases susceptibility to tuberculosis (TB) disease by increasing the rate of
48 progression from latent TB infection (LTBI) to active disease.^{1,2} However, there is also evidence that
49 overall, TB may be less infectious in patients who also have HIV; contact studies have shown lower
50 prevalence of tuberculin skin test (TST) positivity and lower TST conversion rates among contacts of
51 HIV-positive index patients than HIV-negative index patients,³⁻⁵ particularly when index patients with
52 HIV were immunocompromised.⁶ This may be mediated through a shorter duration of infectiousness
53 due to accelerated TB disease progression resulting in earlier diagnosis,^{2,7} earlier TB treatment,⁶
54 lower rates of cavitary^{4,6} or sputum smear-positive^{4,5} TB, or a shorter duration of cough⁴ among HIV-
55 positive index patients.

56 Molecular strain typing data can help identify cases which may be part of the same chain of
57 transmission.⁸ Since 2010, all culture-positive *Mycobacterium tuberculosis* complex (MTBC) isolates
58 in England, Wales and Northern Ireland have been prospectively strain typed using 24-locus
59 mycobacterial interspersed repetitive units-variable number tandem repeats (MIRU-VNTR) typing.
60 58.4% of TB cases in England were part of a strain type cluster with at least one other case between
61 2010 and 2015.^{9,10}

62 Several studies in low-incidence settings which examined whether HIV was a risk factor for being
63 part of a strain type cluster found no association,¹¹⁻¹³ including one meta-analysis,¹⁴ but other more
64 recent studies have reported both positive¹⁵ and negative^{16,17} associations. Weak evidence from
65 studies in low-burden settings (with few HIV-positive TB cases) suggests that HIV positivity among
66 the first cases of a cluster may be associated with increased numbers of secondary cases in clusters
67 (possibly because contacts of HIV-infected TB patients may be more likely to have HIV themselves,
68 and therefore may be more susceptible to TB infection), and that patients with TB arising from
69 recent infection are more likely to be HIV-positive than patients whose TB derives from reactivation
70 of LTBI.¹⁸⁻²⁰ Larger cluster sizes in these studies were also associated with social risk factors such as

71 illicit/intravenous drug use and homelessness, both of which are commonly associated with HIV co-
72 infection.

73 Most risk factors for TB transmission have the same direction of effect on both susceptibility to
74 infection and likelihood of onward transmission. In contrast, HIV may increase susceptibility to
75 infection and is known to increase progression to active TB disease, but may lower infectiousness of
76 TB. The overall impact of HIV on onward transmission of TB is therefore unclear, particularly in low-
77 incidence settings. We utilised a comprehensive national dataset of TB notifications over five years,
78 combined with molecular strain typing data and linked to national HIV surveillance data, to examine
79 two outcomes. Firstly, we examined whether the HIV status of a TB case determined the number of
80 subsequent clustered cases. Secondly, we assessed whether TB is more often due to reactivation of
81 LTBI or recent infection in patients with and without HIV.

82 **Methods**

83 **Study population**

84 This was a retrospective study of culture-confirmed patients with *MTBC* disease in adults (aged ≥ 15
85 years) in England, Wales and Northern Ireland, notified to Public Health England (PHE)'s Enhanced
86 TB Surveillance System (ETS) between 2010 and 2014. We included all notified TB patients whose
87 *MTBC* isolates were strain typed at ≥ 23 loci, using 24-loci MIRU-VNTR genotyping.⁸ Recurrent TB
88 cases were identified by record linkage and excluded if the strain type of recurrent notifications was
89 indistinguishable from that of the first (i.e. plausible instances of relapse of active TB disease).

90 **Defining strain type clusters**

91 PHE defines a strain type cluster as two or more persons with TB caused by indistinguishable MIRU-
92 VNTR strain types.^{8,21} TB cases with unique strain types were considered 'not clustered'.

93 The earliest date of evidence of TB disease for each patient (including symptom onset date, date of
94 presentation to healthcare, earliest specimen date, diagnosis date, treatment start date and case

95 notification date) was used to define the order of cases within clusters. We defined the earliest
96 patient in each cluster as the first case and all later cases as subsequent cases.

97 Cases of TB in children (aged <15 years) were included in the dataset when determining the order of
98 TB cases within a cluster. However, as HIV status could only be determined for adults, we excluded
99 children from our subsequent analyses. As TB is rare in the UK, clusters were not limited by
100 geographical area within England, Wales and Northern Ireland.

101 **Statistical Analysis**

102 Data were analysed in Stata version 13.1. Descriptive analyses of the cohort were undertaken
103 examining the proportion of cases belonging to a strain type cluster and how many of whom were
104 first cases compared to subsequent cases, stratified by HIV status. We also examined the number of
105 subsequent cases following the first case of pulmonary TB in a cluster, stratified by HIV status of the
106 first case in the cluster.

107 To investigate whether HIV was a risk factor for potential transmission of TB, we conducted two
108 analyses, described in detail below.

109 *Outcome 1: Likelihood of transmitting TB, and the number of subsequent TB cases*

110 This analysis aimed to assess whether the HIV status of a TB case affected transmission, determined
111 by the number of subsequent clustered cases. We compared the likelihood of transmission from TB
112 cases with unique strain types versus those who were the first case in a cluster. The number of
113 subsequent cases for the first case of a cluster was calculated as the number of patients in the
114 cluster, minus one. TB cases with unique strain types were classed as having zero subsequent cases.

115 To investigate the impact of HIV on the onward transmission of TB, multivariable zero-inflated
116 Poisson regression²² was used to examine whether the HIV status of the first case of a cluster
117 determined the number of subsequent clustered cases.

118 Zero-inflated Poisson regression is useful for modelling count data with an excess of zeroes, when
119 the underlying theory suggests that the excess zeroes occur due to a separate process, and can
120 therefore be modelled separately. In this study, we suggest that TB patients fall into two groups;
121 those who are not infectious (and therefore cannot transmit TB to anyone else), modelled by a
122 logistic model, and those who are infectious (and may therefore transmit TB to none, one, or more
123 people), modelled by a Poisson model. Zero-inflated Poisson regression models undertake both of
124 these processes and therefore give an output in two parts: an odds ratio (for the odds of
125 transmitting infection to any subsequent patients), and a rate ratio (for the number of subsequent
126 clustered cases, given that there has been transmission of infection). The model was offset by the
127 time since the earliest date of evidence of TB to the end of the study period (31st December 2014).
128 This analysis was subdivided by the site of TB disease of the first case in the cluster (pulmonary
129 disease with or without extra-pulmonary disease, compared to extra-pulmonary disease only), as it
130 is generally accepted that patients with only extra-pulmonary TB (EPTB) are not infectious, and
131 adjusted for other confounding variables.²³

132 As the first identified case of the cluster may not be responsible for transmission within the cluster,
133 we conducted a sensitivity analysis in which we examined the number of subsequent cases for the
134 first pulmonary case in each cluster, regardless of whether the first pulmonary case was the first
135 case in the cluster.

136 *Outcome 2: Likelihood of being a subsequent case in a cluster (a surrogate for recent TB infection)*

137 This analysis investigated whether HIV status influenced whether a patient's TB was more likely to
138 be the result of recent infection or reactivation of LTBI. We used multivariable logistic regression to
139 assess the odds ratio for being a subsequent case in a cluster (a proxy for recent acquisition of TB
140 infection), compared to being the first case or a non-clustered case (representing reactivation cases)
141 in HIV-positive and negative individuals. All TB cases with strain typing data were included in this
142 analysis.

143 As per outcome 1, we also conducted a sensitivity analysis in which we assumed that transmission
144 originated from the first pulmonary case in the cluster, rather than the first case temporally
145 irrespective of the site of disease.

146 **Exposure variables**

147 Our primary exposure variable was HIV status, which was determined through linkage^{24,25} of ETS to
148 the national HIV and AIDS Reporting System.^{26,27} Potential confounders for the relationship between
149 HIV status and the outcomes were identified prospectively,^{23,28} and are shown in Table 1. All
150 potential confounders were included in the multivariable models.

151 **Results**

152 **Descriptive analysis**

153 A flow chart of the cases included is shown in Figure 1. 37,162 cases of TB in adults aged ≥ 15 years
154 were notified to PHE in England, Wales and Northern Ireland between 2010 and 2014. 23,146
155 (62.3%) were culture confirmed, of which 18,913 (81.7%) were strain typed at ≥ 23 loci. We excluded
156 49 cases of recurrent TB with the same strain type as the original infection; 19 recurrent instances of
157 disease with different strain types were included. 18,864 TB cases were included in our analysis,
158 representing 50.8% of TB cases in England, Wales and Northern Ireland from 2010-2014. Of the
159 cases included in the analysis, 10,709 (56.8%) were part of 2,284 strain type clusters. In total, 2,238
160 (20.9%) were the first cases in a cluster (in 46 clusters the first case was aged < 15 years and
161 therefore excluded from the statistical analysis) and 8,471 (79.1%) were subsequent cases.

162 759 TB cases were co-infected with HIV (4.0%); 410/759 (54.0%) were clustered and 99/410 (24.2%)
163 were the first case in a cluster.

164 Of the 8,471 subsequent cases in clusters, 3.7% were HIV-positive. 572/8,471 (6.8%) of subsequent
165 cases had an HIV-positive first case, 7,775 (91.8%) had an HIV-negative first case, and the HIV status

166 of the first case was unknown for 124 (1.5%) patients from clusters in which the first case was a
167 child. Other demographic, socioeconomic and clinical factors are shown in Table 1.

168 The HIV status of the first case of a cluster was positively associated with the HIV status of
169 subsequent cases (χ^2 test $P < 0.001$). The prevalence of HIV among subsequent cases was higher in
170 clusters with an HIV-positive first case (10.7%) than in clusters with an HIV-negative first case (3.2%).
171 6.4% of HIV-negative subsequent cases had an HIV-positive first case, compared to 19.9% of HIV-
172 positive subsequent cases. 1,998/2,284 (87.5%) of clusters consisted of only HIV-negative TB
173 patients, 11 clusters (0.5%) consisted of only HIV-positive TB patients, and 275 (12.0%) clusters were
174 mixed.

175 The mean cluster size in the cohort was 5 (median 3, inter-quartile range 2-4, range 2-198); 5 for
176 clusters where the first patient was HIV-negative, and 7 for clusters with an HIV-positive first case.

177 **Outcome 1: The impact of HIV on the likelihood of transmitting TB, and the number of subsequent**
178 **TB cases**

179 The number of subsequent cases following the first TB case in a cluster differed substantially by HIV
180 status, site of disease and smear status (Table 2).

181 The zero-inflated Poisson model showed that among pulmonary TB cases (with or without extra-
182 pulmonary disease), there was no evidence for an association between HIV co-infection and being
183 the first case of a strain type cluster (compared to not being part of a strain type cluster) in the
184 logistic part of the model (multivariable odds ratio [OR] 1.10 [0.79-1.53], Table 3). However, HIV co-
185 infection was associated with a decreased number of subsequent clustered cases in the Poisson part
186 of the models (multivariable incidence rate ratio [IRR] 0.75 [0.65-0.86], Table 3). This shows where
187 TB cases with HIV were the first case of a cluster, the overall cluster size was smaller.

188 Extra-pulmonary (with no pulmonary disease) TB cases with HIV co-infection were less likely to be
189 the first case of a cluster than those without HIV (multivariable OR for having a unique strain type
190 1.93 [1.12-3.33], Table 4). However, where an EPTB case was the first case in a cluster, HIV co-

191 infection was associated with an increased number of subsequent cases (multivariable IRR 3.62
192 [3.12-4.19]).

193 In a sensitivity analysis, we examined the number of subsequent cases following the first pulmonary
194 case in each cluster, rather than stratifying the analysis by the site of TB disease of the first patient in
195 the cluster. This analysis showed results consistent with the main analysis (Additional file 1: Table
196 S1).

197 **Outcome 2: HIV and the likelihood of being a subsequent case in a cluster (a surrogate for recent** 198 **TB infection)**

199 TB cases with HIV co-infection were less likely to be a subsequent case in a cluster in univariable and
200 multivariable analysis (multivariable OR 0.82 [0.69-0.98], Table 5), indicating that reactivation of LTBI
201 was more likely to have been the source of disease for these individuals. A sensitivity analysis in
202 which we assumed non-clustered cases and the first pulmonary case of each cluster (rather than the
203 first case of the cluster irrespective of disease site) were the result of reactivation of LTBI, and that
204 all other clustered cases were the result of recent transmission showed consistent results (Additional
205 file 1: Table S2).

206 **Discussion**

207 In this retrospective cohort study undertaken in England, Wales and Northern Ireland, we found that
208 pulmonary TB patients with HIV seemed to transmit disease less than individuals without this co-
209 infection i.e. they had fewer subsequent clustered cases than those without HIV. This is consistent
210 with the results of contact studies across high- and low-burden settings, which have found lower
211 risks of LTBI and TB disease among the contacts of HIV-positive patients than HIV-negative TB
212 patients.³⁻⁶ This adds weight to the suggestion that patients with pulmonary TB and HIV may be less
213 infectious than individuals without HIV co-infection. Among EPTB cases, we found a strong
214 association between HIV co-infection and not being the first case of a cluster, again suggesting that
215 patients with HIV are substantially less infectious. However, where HIV-positive EPTB patients were

216 the first case of a cluster, they had substantially more subsequent clustered cases than HIV-negative
217 EPTB patients. As it is generally accepted that patients with only EPTB disease are not infectious, it is
218 unlikely these patients are driving transmission within these larger clusters. Transmission may have
219 occurred from undiagnosed patients or patients without a known strain type, with the HIV-positive
220 EPTB case appearing to be the first case due to more rapid disease progression or earlier
221 presentation to clinical services. Increased cluster size may also be the result of transmission chains
222 within clusters. HIV prevalence was higher among subsequent cases in clusters with an HIV-positive
223 first case than clusters with HIV-negative first cases; it is therefore likely that the increased cluster
224 size is because HIV infection is concentrated within some communities, and so the contacts of the
225 HIV-positive infectious case are more likely to be susceptible to infection and progression to active
226 disease. There may also be other social factors influencing transmission which differ between
227 clusters with respect to HIV status, for example, living conditions, social mixing patterns and health-
228 seeking behaviours, which we were not able to account for in this study.

229 Regardless of whether these HIV-positive cases are the 'true' first case in a cluster or merely the first
230 case in a cluster to develop symptoms or present to care, the first observable patient is still a point
231 at which interventions to diagnose patients earlier or investigate clusters can be targeted. National
232 Institute for Health and Care Excellence guidelines currently suggest contact tracing is unnecessary
233 for EPTB cases, and this is supported by a recent cost-effectiveness study.²⁹ However, our findings
234 demonstrate that whilst EPTB cases may not drive transmission, EPTB cases with HIV can be the first
235 observable case of a substantially larger cluster, which is important for directing cluster
236 investigations. Furthermore, as around 50% of co-infected patients are only diagnosed with HIV at
237 the time of their TB diagnosis,³⁰ targeting HIV screening and LTBI treatment to the contacts of TB
238 patients with HIV could result in earlier diagnosis of HIV infections, providing the opportunity to
239 initiate anti-retroviral therapy and prevent TB disease from occurring.³¹

240 We found a negative association between HIV co-infection and being a subsequent case in a cluster,
241 compared to being the first case or a non-clustered case. This suggests that TB in patients with HIV is
242 more often the result of reactivation of remotely-acquired LTBI than recent infection. These TB cases
243 may be preventable if PLHIV, particularly those born abroad, could be tested and treated for LTBI.
244 This finding contrasts with that of a meta-analysis of the association between HIV and clustering of
245 TB cases in HIV-endemic populations,³² and more recent studies using WGS,^{33,34} which concluded
246 that HIV-associated TB was more often the result of recent infection than reactivation of LTBI. This
247 difference is likely the result of the different settings; the higher incidence of TB in the general
248 population in countries where HIV is endemic will lead to a greater force of infection which may
249 differentially affect immunocompromised PLHIV. In contrast, in the UK (and other low-burden
250 settings) the majority of TB cases are in foreign-born patients and transmission is generally
251 considered to be low.⁹ As there is generally less exposure to TB, HIV contributes more to reactivation
252 of LTBI than to new TB infections.

253 Our study benefits from a large sample of all culture-positive TB cases strain typed at ≥ 23 loci in
254 England, Wales and Northern Ireland over a five-year period, and represents over 80% of culture-
255 confirmed TB cases and over 50% of all TB cases in the country during this time. This coverage was
256 comparable to national studies of a similar size in the Netherlands,^{18,35} and considerably higher than
257 the 31% coverage in a previous study in England which did not include data on HIV co-infection.^{10,36}
258 Studies in Norway and Denmark have achieved higher rates of coverage nationally (67-69% of all TB
259 cases), however these studies had limited or no information on HIV status and much smaller overall
260 sample sizes.^{37,38} The cases included in the analysis did not substantially differ in terms of age, sex,
261 ethnicity, place of birth (UK or abroad), year of TB diagnosis or presence of social risk factors from
262 those not included (data not shown).

263 24-loci MIRU-VNTR is a highly discriminative, high-throughput method of genotyping *MTBC*,^{39,40} and
264 has been widely used in TB cluster investigations. However, analyses using whole-genome

265 sequencing (WGS) have demonstrated that indistinguishable 24-loci MIRU-VNTR profiles do not
266 always have sufficiently high resolution to distinguish between closely related, but distinct,
267 lineages.^{17,41}

268 As of 2014, over 95% of adults (18-64 years) diagnosed with TB, who previously did not know
269 their HIV status, were tested for HIV.⁴² It is possible that a small number of individuals with
270 undiagnosed HIV were mistakenly classified as HIV-negative. We would expect any such
271 misclassification to either be non-differential, or for HIV-positive people to be more likely to
272 be tested. Any misclassification would therefore have biased our results towards the null,
273 making the true effect of HIV infection greater than stated, and so we do not consider this a
274 major limitation of our study.

275 We classed clustered TB cases as being the first case or a subsequent case in clusters according to
276 their earliest date of evidence of TB. Consequently, we may have misclassified the order of patients
277 within clusters, as patients may not develop symptoms or present to care in the order in which they
278 were infected. In particular, TB patients diagnosed with HIV may be diagnosed sooner. If this is the
279 case, we would expect differential misclassification of TB patients with HIV as the first case in a
280 cluster, when in fact they may just be the first patient in that cluster who developed symptoms or
281 presented to care. However, we found that HIV-positive cases typically had fewer subsequent cases
282 and were less likely to be subsequent cases in clusters, and so any misclassification to this effect
283 would have biased our results towards the null and caused underestimation of the impact of HIV.
284 Furthermore, under 50% of TB patients are aware of their HIV infection when diagnosed with TB;³⁰
285 therefore this would not have influenced the time it took them to present to care, although their
286 disease may have progressed more quickly. We also, where possible (Additional file 1: Table S3),
287 used symptom onset date to determine the order of patients in clusters, as much onward
288 transmission will occur before a TB patient is diagnosed.

289 Shared strain types may not represent recent transmission, particularly in patients born abroad who
290 may have been infected with common endemic strain types before entering the UK.⁹ This could have
291 caused us to overestimate the proportion of TB attributable to recent transmission. Conversely,
292 cases which appeared to have a unique strain type could be the result of recent infection acquired
293 outside of England, Wales and Northern Ireland. Whilst our sample size was large, we were only able
294 to include approximately 50% of TB cases nationally in our analysis as strain typing relies on culture
295 of mycobacterial samples. Low sampling fractions result in underestimation of the extent of
296 clustering,^{43,44} as cases can be misclassified as not-clustered if the case they cluster with has not
297 been strain typed. However, it has been shown that a low sampling fraction does not bias
298 estimations of risk factors associated with clustering.^{43,44}

299 We chose not to include data on the CD4 count of HIV-positive individuals. Due to the retrospective
300 nature of our study, which used routinely collected data, it was not possible to determine when TB
301 transmission occurred. We therefore were unable to determine the CD4 count of HIV-positive
302 individuals at the time of transmission, and so were unable to explore any possible association
303 between CD4 count and propensity to transmit TB. We were also unable to include data on other
304 factors that may have been relevant, such as socioeconomic status and diabetes, as these data were
305 not routinely recorded.

306 Data on HIV status was not available for children, and therefore children could not be included in
307 this analysis. Children are also less likely to have sputum samples taken, and therefore less likely to
308 be strain-typed. To limit bias, we included children when determining whether TB cases were
309 clustered and whether a case was the first or a subsequent case in a cluster, and then excluded
310 patients aged <15 years from the risk factor analysis. TB in children living with HIV is relatively rare in
311 the UK,⁴⁵ and children with TB are considered unlikely to transmit TB; therefore the impact of HIV on
312 TB transmission from children is likely to be minimal.

313 **Conclusions**

314 In conclusion, we report that pulmonary TB patients with HIV had fewer subsequent clustered cases
315 than patients without HIV. However, when patients with HIV and EPTB were the first case of a
316 cluster, they had a higher number of subsequent cases. HIV prevalence was higher among the
317 subsequent cases of HIV-positive first cases than the subsequent cases of HIV-negative first cases,
318 suggesting that the higher number of subsequent cases for EPTB patients with HIV could be because
319 their contacts are more susceptible to infection and progression of disease. Similarly, EPTB patients
320 with HIV may be a sentinel marker for other factors driving recent transmission, and contact tracing
321 should not be discounted for these cases. Our findings suggest that screening the contacts of TB
322 patients with HIV for both HIV and LTBI could be considered. Furthermore, TB cases with HIV were
323 less likely to be a subsequent case within a cluster, which suggests that HIV-associated TB is more
324 often due to reactivation of LTBI rather than recent infection. More widespread testing for LTBI and
325 preventive therapy among people living with HIV could decrease the incidence of HIV-associated TB.

326 **Abbreviations**

327 EPTB: extra-pulmonary tuberculosis, ETS: enhanced tuberculosis surveillance, HIV: human
328 immunodeficiency virus, IRR: incidence rate ratio, LTBI: latent tuberculosis infection, MIRU-VNTR:
329 mycobacterial interspersed repetitive units – variable number tandem repeats, *MTBC*:
330 *Mycobacterium tuberculosis complex*, OR: odds ratio, PHE: Public Health England, TB: tuberculosis,
331 TST: tuberculin skin test.

332 **Declarations**

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335 Health England who were involved in linking the two datasets used in this analysis.

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343 design; the collection, analysis and interpretation of the data; the writing of the report or the
344 decision to submit the paper for publication. The corresponding author had full access to all data in
345 the study and had final responsibility to submit the paper for publication.

346 **Availability of data and materials**

347 Aggregate data that support the findings of this study are available on reasonable request from PHE.
348 The individual level data are not publicly available, as the data were collected in adherence with the
349 legal framework governing use of confidential personally identifiable information.

350 **Authors' contributions**

351 JRW conducted the literature search, designed the study, linked the TB and HIV surveillance
352 datasets, conducted the analysis and drafted the paper. JAD, MKL and VD collected the data. All
353 authors contributed to the design of the study, interpretation of the data and critically revised the
354 paper. All authors approved the final version of the paper for publication.

355 **Competing interests**

356 There are no conflicts of interest to declare.

357 **Ethics approval and consent to participate**

358 This analysis was approved by the UCL student Research Ethics Committee (5683/001). PHE has
359 authority under the Health and Social Care Act 2012 to hold and analyse national surveillance data
360 for public health and research purposes.

361 **Consent for publication**

362 Not applicable.

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496 **Figures**497 **Figure 1: Flow chart of included cases**

498 See attached file.

499 **Tables**500 **Table 1: The clustering status of TB cases by risk factor in England, Wales and Northern Ireland,**
501 **2010-2014**

	Total cases	Clustered cases (%)	Subsequent cases (% of clustered cases)	First cases (% of clustered cases)
HIV status				
Negative	18,105	10,299 (56.9)	8,160 (79.2)	2,139 (20.8)
Positive	759	410 (54.0)	311 (75.9)	99 (24.1)
Year of TB notification				
2010	3,174	1,795 (56.6)	874 (48.7)	921 (51.3)
2011	4,296	2,443 (56.9)	1,786 (73.1)	657 (26.9)
2012	4,327	2,525 (58.4)	2,150 (85.1)	375 (14.9)
2013	3,696	2,130 (57.6)	1,940 (91.1)	190 (8.9)
2014	3,371	1,816 (53.9)	1,721 (94.8)	95 (5.2)
Sex				
Female	7,521	4,153 (55.2)	3,272 (78.8)	881 (21.2)
Male	11,323	6,547 (57.8)	5,196 (79.4)	1,351 (20.6)
Missing	20	9 (45.0)	3 (33.3)	6 (66.7)
Age (years)				
15-24	3,238	2,059 (63.6)	1,652 (80.2)	407 (19.8)
25-34	5,632	3,139 (55.7)	2,453 (78.1)	686 (21.9)
35-44	3,578	2,041 (57.0)	1,601 (78.4)	440 (21.6)
45-54	2,388	1,423 (59.6)	1,149 (80.7)	274 (19.3)
55-64	1,488	890 (59.8)	717 (80.6)	173 (19.4)
65+	2,540	1,157 (45.6)	899 (77.7)	258 (22.3)
Ethnicity				
White	3,991	2,442 (61.2)	1,959 (80.2)	483 (19.8)
Black African	3,211	2,031 (63.3)	1,603 (78.9)	428 (21.1)
Black Other	588	458 (77.9)	391 (85.4)	67 (14.6)
Indian sub-continent	8,079	4,198 (52.0)	3,300 (78.6)	898 (21.4)
Mixed/other	2,525	1,330 (52.7)	1,029 (77.4)	301 (22.6)
Missing	470	250 (53.2)	189 (75.6)	61 (24.4)
Time since entry to the UK				
UK born	4,431	3,000 (67.7)	2,495 (83.2)	505 (16.8)
Within 2 years	2,535	1,313 (51.8)	979 (74.6)	334 (25.4)
2-5 years	2,999	1,509 (50.3)	1,154 (76.5)	355 (23.5)

5-10 years	2,743	1,485 (54.1)	1,149 (77.4)	336 (22.6)
More than 10 years	4,115	2,329 (56.6)	1,870 (80.3)	459 (19.7)
Missing	2,041	1,073 (52.6)	824 (76.8)	249 (23.2)
TB lineage				
Beijing	1,041	770 (74.0)	667 (86.6)	103 (13.4)
Euro-American	7,313	4,300 (58.8)	3,352 (78.0)	948 (22.0)
Central Asian Strain	5,280	3,285 (62.2)	2,674 (81.4)	611 (18.6)
East Asian Indian	2,674	1,046 (39.1)	769 (73.5)	277 (26.5)
Other/unknown	2,554	1,306 (51.1)	1,008 (77.2)	298 (22.8)
Missing	2			
IMD decile				
1	3,933	2,360 (60.0)	1,868 (79.2)	492 (20.8)
2	3,645	2,130 (58.4)	1,678 (78.8)	452 (21.2)
3	3,008	1,704 (56.6)	1,334 (78.3)	370 (21.7)
4	2,301	1,314 (57.1)	1,066 (81.1)	248 (18.9)
5	1,655	906 (54.7)	695 (76.7)	211 (23.3)
6	1,183	652 (55.1)	516 (79.1)	136 (20.9)
7	838	453 (54.1)	375 (82.8)	78 (17.2)
8	728	398 (54.7)	302 (75.9)	96 (24.1)
9	610	307 (50.3)	241 (78.5)	66 (21.5)
10	474	243 (51.3)	194 (79.8)	49 (20.2)
Missing	489	242 (49.5)	202 (83.5)	40 (16.5)
Drug misuse				
No	16,536	9,241 (55.9)	7,291 (78.9)	1,950 (21.1)
Yes	702	551 (78.5)	473 (85.8)	78 (14.2)
Missing	1,626	917 (56.4)	707 (77.1)	210 (22.9)
Alcohol misuse				
No	16,260	9,160 (56.3)	7,251 (79.2)	1,909 (20.8)
Yes	776	528 (68.0)	441 (83.5)	87 (16.5)
Missing	1,828	1,021 (55.9)	779 (76.3)	242 (23.7)
Homelessness				
No	16,771	9,480 (56.5)	7,500 (79.1)	1,980 (20.9)
Yes	666	449 (67.4)	372 (82.9)	77 (17.1)
Missing	1,427	780 (54.7)	599 (76.8)	181 (23.2)
Imprisonment				
No	16,210	9,097 (56.1)	7,200 (79.1)	1,897 (20.9)
Yes	649	484 (74.6)	410 (84.7)	74 (15.3)
Missing	2,005	1,128 (56.3)	861 (76.3)	267 (23.7)
Site of TB disease/Smear status†				
Pulmonary, smear positive	4,959	3,137 (63.3)	2,448 (78.0)	689 (22.0)
Pulmonary, smear negative/unknown	6,952	4,084 (58.7)	3,279 (80.3)	805 (19.7)
Extra-pulmonary	6,947	3,486 (50.2)	2,742 (78.7)	744 (21.3)
Missing	6	2 (33.3)	2 (100.0)	0 (0.0)

502 **IMD:** index of multiple deprivation score. IMD score deciles represent relative levels of deprivation of income,
503 employment, health, education, housing and services, crime and living environment for small areas in England

504 and Wales, where 1=most deprived and 10=least deprived.^{46,47} † Patients with both pulmonary and extra-
505 pulmonary disease were classed as having pulmonary disease.
506

507 **Table 2: The mean number of subsequent clustered cases, stratified by the HIV status, site of**
 508 **disease and smear status of the first case**

Site of disease† and smear status	HIV status of first case		
	HIV-negative Mean (SE)	HIV-positive Mean (SE)	Total Mean (SE)
Pulmonary smear positive	1.1 (0.02)	0.6 (0.07)	1.1 (0.02)
Pulmonary smear negative/unknown	0.8 (0.01)	0.9 (0.07)	0.8 (0.01)
Extra-pulmonary disease	0.6 (0.01)	2.5 (0.14)	0.7 (0.01)
Total	0.8 (0.01)	1.3 (0.05)	0.8 (0.01)

509 **Mean:** arithmetic mean. **SE:** standard error of the mean (Poisson distribution). †Patients with both pulmonary
 510 and extra-pulmonary disease were classed as having pulmonary disease.

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514 **Table 3: Univariable and multivariable zero-inflated Poisson regression of factors associated with the likelihood of transmitting TB, and the number of**
 515 **subsequent clustered cases for pulmonary TB cases in England, Wales and Northern Ireland, 2010-2014**

	Total pulmonary cases	Clustered pulmonary cases (%)	First pulmonary cases (% of clustered cases)	Univariable (Number of subsequent cases) IRR (95% CI)	Univariable (Non-clustered case) OR (95% CI)	Multivariable* (Number of subsequent cases) IRR (95% CI)	Multivariable* (Non-clustered case) OR (95% CI)
HIV status							
Negative	11,366	6,910 (60.8)	1,950 (28.2)	1.00	1.00	1.00	1.00
Positive	545	311 (57.1)	106 (34.1)	0.76 (0.68-0.87)	0.94 (0.72-1.23)	0.75 (0.65-0.86)	1.10 (0.79-1.53)
Year of TB diagnosis							
2010	2,028	1,205 (59.4)	716 (59.4)	1.00	1.00	1.00	1.00
2011	2,696	1,638 (60.8)	546 (33.3)	0.63 (0.59-0.66)	1.69 (1.46-1.96)	0.64 (0.60-0.68)	1.52 (1.29-1.80)
2012	2,650	1,670 (63.0)	379 (22.7)	0.39 (0.35-0.43)	1.87 (1.56-2.24)	0.38 (0.34-0.43)	1.53 (1.25-1.88)
2013	2,354	1,456 (61.9)	230 (15.8)	0.42 (0.35-0.49)	2.79 (2.20-3.53)	0.40 (0.34-0.48)	2.38 (1.83-3.11)
2014	2,183	1,252 (57.4)	185 (14.8)	0.64 (0.52-0.79)	4.53 (3.34-6.14)	0.59 (0.47-0.74)	4.04 (2.87-5.69)
Sex							
Female	4,562	2,661 (58.3)	765 (28.7)	1.00	1.00	1.00	1.00
Male	7,333	4,552 (62.1)	1,285 (28.2)	1.04 (0.99-1.09)	0.86 (0.76-0.96)	1.01 (0.95-1.06)	0.81 (0.70-0.93)
Missing	16	8 (50.0)	6 (75.0)				
Age (years)							
15-24	2,254	1,504 (66.7)	405 (26.9)	0.93 (0.87-1.00)	0.78 (0.65-0.92)	0.86 (0.79-0.93)	0.78 (0.63-0.96)
25-34	3,250	1,947 (59.9)	575 (29.5)	1.00	1.00	1.00	1.00
35-44	2,089	1,314 (62.9)	395 (30.1)	1.30 (1.22-1.39)	0.89 (0.75-1.05)	1.33 (1.24-1.43)	0.99 (0.81-1.22)
45-54	1,566	1,000 (63.9)	252 (25.2)	0.90 (0.83-0.99)	0.89 (0.73-1.08)	0.96 (0.87-1.07)	1.08 (0.84-1.38)
55-64	999	633 (63.4)	167 (26.4)	1.19 (1.09-1.30)	1.10 (0.87-1.39)	1.01 (0.91-1.13)	1.36 (1.01-1.82)
65+	1,753	823 (46.9)	262 (31.8)	1.04 (0.96-1.13)	1.61 (1.34-1.94)	1.03 (0.93-1.14)	1.97 (1.53-2.53)

Ethnicity								
White	3,481	2,205 (63.3)	522 (23.7)	1.00	1.00	1.00	1.00	
Black African	1,926	1,270 (65.9)	370 (29.1)	0.96 (0.89-1.02)	0.76 (0.64-0.91)	1.23 (1.12-1.36)	0.91 (0.70-1.19)	
Black Other	406	322 (79.3)	68 (21.1)	0.89 (0.77-1.02)	0.51 (0.35-0.74)	0.86 (0.74-1.01)	0.58 (0.37-0.93)	
Indian sub-continent	4,174	2,354 (56.4)	758 (32.2)	0.94 (0.88-0.99)	1.15 (1.00-1.33)	0.93 (0.85-1.01)	1.19 (0.94-1.51)	
Mixed/other	1,621	894 (55.2)	273 (30.5)	0.60 (0.55-0.66)	1.03 (0.85-1.26)	0.68 (0.60-0.77)	1.10 (0.83-1.46)	
Missing	303	176 (58.1)	65 (36.9)					
Time since entry to the UK								
UK born	3,631	2,526 (69.6)	540 (21.4)	1.00	1.00	1.00	1.00	
Within 2 years	1,536	833 (54.2)	311 (37.3)	0.70 (0.64-0.75)	1.10 (0.91-1.32)	0.65 (0.59-0.71)	1.27 (0.99-1.63)	
2-5 years	1,549	815 (52.6)	267 (32.8)	0.75 (0.69-0.81)	1.24 (1.02-1.50)	0.76 (0.69-0.84)	1.35 (1.05-1.74)	
5-10 years	1,543	897 (58.1)	283 (31.5)	0.82 (0.76-0.89)	1.10 (0.91-1.33)	0.76 (0.69-0.83)	1.25 (0.97-1.60)	
More than 10 years	2,423	1,460 (60.3)	423 (29.0)	0.89 (0.83-0.95)	1.23 (1.04-1.46)	0.84 (0.77-0.91)	1.10 (0.87-1.40)	
Missing	1,229	690 (56.1)	232 (33.6)					
TB lineage								
Beijing	706	525 (74.4)	93 (17.7)	1.00	1.00	1.00	1.00	
Euro-American	5,306	3,233 (60.9)	898 (27.8)	0.51 (0.47-0.56)	1.05 (0.80-1.39)	0.46 (0.41-0.50)	1.11 (0.80-1.54)	
Central Asian								
Strain	2,955	1,948 (65.9)	547 (28.1)	0.72 (0.66-0.79)	1.05 (0.79-1.40)	0.78 (0.70-0.86)	1.01 (0.72-1.43)	
East Asian	1,271	551 (43.4)	235 (42.6)	0.42 (0.37-0.48)	1.59 (1.16-2.17)	0.52 (0.45-0.59)	1.54 (1.06-2.23)	
Indian	1,673	964 (57.6)	283 (29.4)	0.48 (0.43-0.53)	1.17 (0.86-1.59)	0.43 (0.38-0.48)	1.18 (0.82-1.69)	
Other/unknown								
Missing	2							
IMD decile								
1	2,581	1,654 (64.1)	440 (26.6)	-	-	-	-	
2	2,238	1,383 (61.8)	396 (28.6)	-	-	-	-	
3	1,851	1,117 (60.3)	335 (30.0)	-	-	-	-	
4	1,425	873 (61.3)	247 (28.3)	-	-	-	-	
5	1,039	609 (58.6)	191 (31.4)	-	-	-	-	

6	737	437 (59.3)	125 (28.6)	-	-	-	-
7	525	306 (58.3)	80 (26.1)	-	-	-	-
8	486	276 (56.8)	82 (29.7)	-	-	-	-
9	390	224 (57.4)	63 (28.1)	-	-	-	-
10	305	171 (56.1)	54 (31.6)	-	-	-	-
Missing	334	171 (51.2)	43 (25.1)	-	-	-	-
For each decile increase	-	-	-	0.96 (0.95-0.97)	1.02 (0.99-1.04)	0.96 (0.95-0.97)	1.00 (0.97-1.03)
Drug misuse							
No	10,165	6,061 (59.6)	1,768 (29.2)	1.00	1.00	1.00	1.00
Yes	639	507 (79.3)	82 (16.2)	1.14 (1.02-1.27)	0.61 (0.45-0.83)	0.88 (0.76-1.01)	0.84 (0.56-1.28)
Missing	1,107	653 (59.0)	206 (31.5)				
Alcohol misuse							
No	10,039	6,043 (60.2)	1,747 (28.9)	1.00	1.00	1.00	1.00
Yes	670	470 (70.1)	87 (18.5)	1.85 (1.71-2.01)	0.97 (0.74-1.26)	1.69 (1.54-1.86)	1.18 (0.84-1.66)
Missing	1,202	708 (58.9)	222 (31.4)				
Homelessness							
No	10,398	6,277 (60.4)	1,799 (28.7)	1.00	1.00	1.00	1.00
Yes	567	393 (69.3)	85 (21.6)	0.90 (0.80-1.02)	0.74 (0.55-0.99)	0.63 (0.54-0.72)	0.88 (0.59-1.30)
Missing	946	551 (58.2)	172 (31.2)				
Imprisonment							
No	9,990	5,978 (59.8)	1,725 (28.9)	1.00	1.00	1.00	1.00
Yes	553	423 (76.5)	82 (19.4)	1.07 (0.96-1.20)	0.86 (0.76-0.96)	1.10 (0.97-1.26)	0.85 (0.57-1.26)
Missing	1,368	820 (59.9)	249 (30.4)				
Smear status							
Smear positive	4,959	3,137 (63.3)	901 (28.7)	1.00	1.29	1.00	1.00
Smear negative or unknown	6,952	4,084 (58.7)	1,155 (28.3)	0.87 (0.83-0.92)	1.94 (1.78-2.12)	0.83 (0.79-0.88)	1.17 (1.02-1.34)

516 **IRR**: incidence rate ratio (Poisson part) for an increased number of subsequent clustered cases. **OR**: odds ratio (zero-inflated part) for the odds of being a non-clustered case, compared to being the first case of a cluster. Both analyses were restricted to clusters where the first case was pulmonary. **IMD**: index of multiple deprivation score.

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518 IMD score deciles represent relative levels of deprivation of income, employment, health, education, housing and services, crime and living environment for small areas in

519 England and Wales, where 1=most deprived and 10=least deprived.^{46,47} † Adjusted for all variables shown in the table. The multivariable model included 5,694 TB cases
520 after 1,052 were excluded due to missing data on one or more of sex (n=14), ethnicity (n=192), time since entry to the UK (n=771) or IMD score (n=206). ‡Cases missing
521 data were considered not to have these social risk factors.
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Table 4: Univariable and multivariable zero-inflated Poisson regression of factors associated with the likelihood of being the first case of a cluster, and the number of subsequent clustered cases for extra-pulmonary TB cases in England, Wales and Northern Ireland, 2010-2014

	Total extra-pulmonary cases	Clustered cases (%)	First extra-pulmonary cases (% of clustered cases)	Univariable (Number of subsequent cases) IRR (95% CI)	Univariable (Non-clustered case) OR (95% CI)	Multivariable [≠] (Number of subsequent cases) IRR (95% CI)	Multivariable [≠] (Non-clustered case) OR (95% CI)
HIV status							
Negative	6,739	3,389 (50.3)	722 (21.3)	1.00	1.00	1.00	1.00
Positive	214	99 (46.3)	22 (22.2)	4.16 (3.71-4.67)	1.38 (0.86-2.19)	3.62 (3.12-4.19)	1.93 (1.12-3.33)
Year of TB diagnosis							
2010	1,146	590 (51.5)	293 (49.7)	1.00	1.00	1.00	1.00
2011	1,600	805 (50.3)	242 (30.1)	0.77 (0.71-0.84)	1.65 (1.34-2.02)	0.72 (0.66-0.80)	1.45 (1.15-1.84)
2012	1,677	855 (51.0)	122 (14.3)	0.56 (0.48-0.66)	2.84 (2.21-3.64)	0.60 (0.51-0.71)	2.57 (1.93-3.41)
2013	1,342	674 (50.2)	62 (9.2)	0.39 (0.29-0.51)	2.83 (1.94-4.13)	0.45 (0.34-0.61)	2.82 (1.88-4.22)
2014	1,188	564 (47.5)	25 (4.4)	0.90 (0.74-1.10)	6.86 (4.36-10.80)	1.11 (0.82-1.51)	7.82 (4.67-13.11)
Sex							
Female	2,959	1,492 (50.4)	323 (21.6)	1.00	1.00	1.00	1.00
Male	3,990	1,995 (50.0)	421 (21.1)	1.25 (1.15-1.35)	1.11 (0.94-1.31)	1.22 (1.12-1.34)	0.99 (0.81-1.21)
Missing	4	1 (25.0)	(0.0)				
Age (years)							
15-24	984	555 (56.4)	111 (20.0)	2.26 (2.01-2.54)	1.10 (0.85-1.42)	1.66 (1.46-1.89)	1.07 (0.80-1.45)
25-34	2,382	1,192 (50.0)	266 (22.3)	1.00	1.00	1.00	1.00
35-44	1,489	727 (48.8)	156 (21.5)	1.67 (1.49-1.87)	1.27 (1.01-1.60)	1.43 (1.26-1.61)	1.32 (1.00-1.75)
45-54	822	423 (51.5)	83 (19.6)	1.37 (1.19-1.59)	1.19 (0.89-1.58)	1.39 (1.18-1.63)	1.46 (1.02-2.10)
55-64	489	257 (52.6)	52 (20.2)	1.73 (1.48-2.02)	1.18 (0.84-1.66)	1.92 (1.60-2.31)	1.45 (0.94-2.24)
65+	787	334 (42.4)	76 (22.8)	1.08 (0.92-1.26)	1.34 (1.00-1.81)	0.94 (0.78-1.14)	1.40 (0.92-2.12)
Ethnicity							
White	510	237 (46.5)	49 (20.7)	1.00	1.00	1.00	1.00
Black African	1,285	761 (59.2)	150 (19.7)	1.76 (1.45-2.14)	0.74 (0.51-1.08)	0.85 (0.65-1.10)	0.49 (0.27-0.89)

Black Other	182	136 (74.7)	17 (12.5)	3.69 (2.92-4.66)	0.62 (0.32-1.19)	2.84 (2.18-3.70)	0.57 (0.26-1.25)
Indian sub-continent	3,905	1,844 (47.2)	414 (22.5)	1.21 (1.00-1.46)	0.96 (0.68-1.35)	0.64 (0.49-0.83)	0.64 (0.36-1.12)
Mixed/other	904	436 (48.2)	101 (23.2)	0.97 (0.78-1.21)	0.80 (0.54-1.20)	0.58 (0.44-0.78)	0.50 (0.27-0.93)
Missing	167	74 (44.3)	13 (17.6)				
Time since entry to the UK							
UK born	800	474 (59.3)	86 (18.1)	1.00	1.00	1.00	1.00
Within 2 years	999	480 (48.0)	107 (22.3)	1.75 (1.52-2.01)	1.51 (1.09-2.10)	2.06 (1.70-2.50)	2.56 (1.62-4.05)
2-5 years	1,450	694 (47.9)	156 (22.5)	0.72 (0.61-0.84)	1.16 (0.85-1.59)	0.99 (0.81-1.22)	1.72 (1.10-2.70)
5-10 years	1,200	588 (49.0)	134 (22.8)	0.87 (0.74-1.01)	1.20 (0.87-1.65)	1.16 (0.94-1.42)	1.83 (1.15-2.89)
More than 10 years	1,692	869 (51.4)	185 (21.3)	0.95 (0.83-1.09)	1.15 (0.85-1.56)	1.28 (1.04-1.57)	1.42 (0.91-2.23)
Missing	812	383 (47.2)	76 (19.8)				
TB lineage							
Beijing	335	245 (73.1)	34 (13.9)	1.00	1.00	1.00	1.00
Euro-American	2,007	1,067 (53.2)	236 (22.1)	0.46 (0.39-0.54)	1.21 (0.79-1.87)	0.41 (0.34-0.49)	1.16 (0.70-1.93)
Central Asian Strain	2,325	1,337 (57.5)	255 (19.1)	0.75 (0.65-0.87)	1.36 (0.89-2.09)	0.76 (0.64-0.90)	1.37 (0.82-2.26)
East Asian Indian	1,403	495 (35.3)	133 (26.9)	0.48 (0.41-0.58)	2.18 (1.40-3.42)	0.55 (0.45-0.67)	2.07 (1.23-3.48)
Other	881	342 (38.8)	85 (24.9)	0.66 (0.56-0.79)	2.17 (1.36-3.47)	0.62 (0.51-0.75)	1.92 (1.12-3.30)
Missing	2						
IMD decile							
1	1,352	706 (52.2)	160 (22.7)	-	-	-	-
2	1,407	747 (53.1)	156 (20.9)	-	-	-	-
3	1,157	587 (50.7)	136 (23.2)	-	-	-	-
4	876	441 (50.3)	72 (16.3)	-	-	-	-
5	616	297 (48.2)	75 (25.3)	-	-	-	-
6	446	215 (48.2)	45 (20.9)	-	-	-	-
7	313	147 (47.0)	26 (17.7)	-	-	-	-
8	242	122 (50.4)	30 (24.6)	-	-	-	-
9	220	83 (37.7)	17 (20.5)	-	-	-	-
10	169	72 (42.6)	12 (16.7)	-	-	-	-
Missing	155	71 (45.8)	15 (21.1)	-	-	-	-
For each decile increase	-	-	-	0.93 (0.92-0.95)	1.03 (1.00-1.07)	0.97 (0.95-0.99)	1.03 (0.99-1.08)
Drug misuse							
No	6,371	3,180 (49.9)	675 (21.2)	1.00	1.00	1.00	1.00

	Yes	63	44 (69.8)	7 (15.9)	0.41 (0.21-0.82)	0.34 (0.10-1.18)	0.49 (0.27-0.90)	0.31 (0.06-1.66)
	Missing	519	264 (50.9)	62 (23.5)				
Alcohol misuse								
	No	6,221	3,117 (50.1)	654 (21.0)	1.00	1.00	1.00	1.00
	Yes	106	58 (54.7)	13 (22.4)	1.44 (1.13-1.83)	0.89 (0.47-1.66)	1.79 (1.34-2.38)	1.09 (0.47-2.51)
	Missing	626	313 (50.0)	77 (24.6)				
Homelessness								
	No	6,373	3,203 (50.3)	679 (21.2)	1.00	1.00	1.00	1.00
	Yes	99	56 (56.6)	7 (12.5)	0.29 (0.12-0.72)	0.71 (0.21-2.33)	0.23 (0.09-0.58)	0.62 (0.10-3.94)
	Missing	481	229 (47.6)	58 (25.3)				
Imprisonment								
	No	6,220	3,119 (50.1)	657 (21.1)	1.00	1.00	1.00	1.00
	Yes	96	61 (63.5)	8 (13.1)	0.06 (0.03-0.13)	1.11 (0.94-1.31)	0.17 (0.04-0.82)	0.36 (0.01-8.94)
	Missing	637	308 (48.4)	79 (25.6)				

IRR: incidence rate ratio (Poisson part) for an increased number of subsequent clustered cases. **OR:** odds ratio (zero-inflated part) for the odds of being a non-clustered case, compared to being the first extra-pulmonary case of a cluster. **IMD:** index of multiple deprivation score. IMD score deciles represent relative levels of deprivation of income, employment, health, education, housing and services, crime and living environment for small areas in England and Wales, where 1=most deprived and 10=least deprived.^{46,47} † Adjusted for all variables shown in the table. The multivariable model included 3,576 extra-pulmonary TB cases after 633 were excluded due to missing data on one or more of sex (n=3), ethnicity (n=106), time since entry to the UK (n=505), IMD score (n=99) or TB lineage (n=1). †Cases missing data were considered not to have these social risk factors.

Table 5: Univariable and multivariable logistic regression of factors associated with being a subsequent TB case in a cluster (a surrogate for recent infection) compared to being the first case or a non-clustered case, in England, Wales and Northern Ireland from 2010-2014

		Univariable OR (95% CI)	Multivariable[#] OR (95% CI)
HIV status			
	Negative	1.00	1.00
	Positive	0.85 (0.73-0.98)	0.82 (0.69-0.98)
Year of TB notification			
	2010	1.00	1.00
	2011	1.87 (1.70-2.07)	2.06 (1.84-2.31)
	2012	2.60 (2.36-2.87)	3.06 (2.74-3.43)
	2013	2.91 (2.63-3.22)	3.38 (3.02-3.80)
	2014	2.74 (2.48-3.04)	3.17 (2.82-3.56)
Sex			
	Female	1.00	
	Male	1.10 (1.04-1.17)	1.09 (1.02-1.17)
Age (years)			
	15-24	1.35 (1.24-1.47)	1.19 (1.08-1.32)
	25-34	1.00	1.00
	35-44	1.05 (0.96-1.14)	0.92 (0.83-1.02)
	45-54	1.20 (1.09-1.32)	0.90 (0.80-1.01)
	55-64	1.21 (1.07-1.35)	0.96 (0.83-1.10)
	65+	0.71 (0.64-0.78)	0.51 (0.45-0.57)
Ethnicity			
	White	1.00	1.00
	Black African	1.03 (0.94-1.13)	1.51 (1.31-1.73)
	Black Other	2.06 (1.72-2.47)	2.25 (1.82-2.78)
	Indian sub-continent	0.72 (0.66-0.77)	0.92 (0.81-1.04)
	Mixed/other	0.71 (0.65-0.79)	0.98 (0.85-1.13)
Time since entry to the UK			
	UK born	1.00	1.00
	Within 2 years	0.49 (0.44-0.54)	0.41 (0.36-0.47)
	2-5 years	0.49 (0.44-0.53)	0.39 (0.35-0.44)
	5-10 years	0.56 (0.51-0.62)	0.49 (0.43-0.55)
	More than 10 years	0.65 (0.59-0.70)	0.61 (0.54-0.69)
TB lineage			
	Beijing	1.00	1.00
	Euro-American	0.47 (0.41-0.54)	0.38 (0.33-0.45)
	Central Asian Strain	0.58 (0.50-0.66)	0.63 (0.54-0.74)
	East Asian Indian	0.23 (0.19-0.26)	0.23 (0.19-0.28)
	Other	0.37 (0.31-0.42)	0.32 (0.27-0.38)
IMD decile			
	For each decile increase	0.97 (0.96-0.98)	0.98 (0.96-0.99)

Drug misuse	No	1.00	1.00
	Yes	2.62 (2.24-3.08)	1.53 (1.25-1.87)
Alcohol misuse	No	1.00	1.00
	Yes	1.65 (1.43-1.91)	1.21 (1.01-1.45)
Homelessness	No	1.00	1.00
	Yes	1.58 (1.35-1.84)	1.03 (0.85-1.24)
Imprisonment	No	1.00	1.00
	Yes	2.16 (1.84-2.54)	1.26 (1.03-1.54)

OR: odds ratio. **IMD:** index of multiple deprivation score. † Adjusted for all variables shown in the table. The multivariable model included 16,171 TB cases after 2,693 were excluded due to missing data on one or more of sex (n=20), ethnicity (n=470), time since entry to the UK (n=2,041), IMD score (n=489) and/or TB lineage (n=2). ‡ Cases missing data were considered not to have these social risk factors.

Additional file 1 contains Tables S1-S3.