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Reductive α -borylation of α , β -unsaturated esters using NHC-BH₃ activated by I₂ as a metal-free route to α -boryl esters.

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Useful α -boryl esters can be synthesized in one step from α,β -unsaturated esters using just a simple to access NHC-BH₃ (NHC = *N*-heterocyclic carbene) and catalytic I₂. The scope of this reductive α -borylation methodology is excellent and includes a range of alkyl, aryl substituted and cyclic and acyclic α,β -unsaturated esters. Mechanistic studies involving reductive borylation of a cyclic α,β -unsaturated ester with NHC-BD₃ / I₂ indicated that concerted hydroboration of the alkene moiety in the α,β -unsaturated ester proceeds instead of a stepwise process involving initial 1,4-hydroboration, this is in contrast to the recently reported reductive α -silylation. The BH₂(NHC) unit can be transformed into electrophilic BX₂(NHC) moieties (X =halide) and the ester moiety can be reduced to the alcohol with the borane unit remaining intact to form β -boryl alcohols. The use of a chiral auxiliary, 8-phenylmenthyl ester, also enables effective stereo-control of the newly formed C-B bond. Combined two step ester reduction / borane oxidation forms diols, including in excellent e.e (97%) for the formation of S-3-phenylpropane-1,2-diol. This work represents a simple transition metal free route to form bench stable α -boryl esters from inexpensive starting materials.

Introduction

Organoboranes are ubiquitous in synthetic chemistry due to the wide range of C-Y (Y = C, N, O, etc.) bond forming reactions that can be carried out using these species. 1,2 To this end, the discovery of new C-B bond forming reactions remains of importance and current topical interest. The ability to form α boryl carbonyl compounds is desirable as they are functionality rich amphoteric molecules that contain nucleophilic organoborane and electrophilic carbonyl moieties. Until recently, these compounds were largely overlooked in synthetic endeavours due to their instability with respect to formation of the O-boron enolate isomer. However, this has started to change in the last decade due to the quaternized at B stabilization approach which has enabled access to stable (with regard to C \rightarrow O boryl migration) α -boryl-carbonyls thereby facilitating a range of subsequent transformations.^{3,4} MIDA-protected (MIDA = N-methyliminodiacetate) α -boryl carbonyls are the most explored to date and are accessed by a hydroboration-oxidation-rearrangement procedure (Scheme 1a).^{3,4} While notable, the multi-step nature and oxidising conditions indicates there is a need for other routes. This is particularly the case if the new α -boryl carbonyls use boron protecting groups complimentary to MIDA (in terms of stability/reagent compatibility).

Electronic Supplementary Information (ESI) available: For full synthetic methods and characterization details (.PDF), computational details (.xyz) and crystallographic data (.cif) see DOI: 10.1039/x0xx00000x

Previous work: a) Hydroboration-oxidation-rearrangment

$$R = \underbrace{\begin{array}{c} \text{i) Hydroboration} \\ \text{ii) + H}_2\text{MIDA} \\ \text{[B] = B(MIDA)} \end{array}}_{\text{[B]}} R \underbrace{\begin{array}{c} \text{[O]} \\ \text{[B]} \end{array}}_{\text{[B]}} R \underbrace{\begin{array}{c} \text{[B]} \\ \text{O} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}} O \text{ or } \underbrace{\begin{array}{c} \text{[B]} \\ \text{[B]} \end{array}}_{\text{[B]}$$

b) B-H bond insertion

$$LB - BH_3 + R" - R' - [Cu]/[Rh] - Q + R' - R'$$

$$LB = amine, phosphine, NHC$$

d) This work: reductive α borylation

$$\begin{array}{c|c} & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

R' = alkyl or aryl, cyclic or acyclic and high d.r with R = chiral auxillary Scheme 1. Previous main routes to quaternized α -boryl carbonyls and this work.

In notable previous work, the use of base-stabilized boranes and α -diazo carbonyls enabled catalysed formation of alternative (to MIDA) quaternized at boron α -boryl carbonyls (Scheme 1b). 5,6 In this area Curran et al., pioneered the use of NHC-BH $_3$ (NHC = N-heterocyclic carbene) compounds to afford α -boryl carbonyls under transition metal or I $_2$ catalysis. 7,8 Base stabilised α -BH $_2$ -carbonyl products are bench stable and stable to strong bases/nucleophiles, $^{5-6}$ thus are complementary to MIDA-boronates which while bench/acid stable are sensitive to strong bases. 9 In comparison, \square -Bpin esters and amides

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(produced via a novel Cu catalyzed 1,4-hydroboration / isomerization process) are not bench stable and are highly sensitive to protodeboronation due to their non-quaternized at B nature. 10 Base stabilised organoboranes, including α-BH₂esters can be utilised in a range of functional group transformations including the Suzuki-Miyaura reaction on appropriate activation. 5,6-11 However, the current synthetic approaches to base stabilised α -BH₂ carbonyls generally require diazo compounds (of which extremely limited examples are commercially available), precluding the formation of quaternary α -boryl carbonyls, while their more hazardous nature provides an additional drawback. Very recently, Zhu and co-workers demonstrated that α -boryl carbonyls also can be accessed by gold-catalysed oxidative coupling of terminal alkynes with Lewis base-borane adducts (Scheme 1c), while notable this is limited to forming primary α -boryl carbonyls. ¹² Therefore the formation of a wide range of bench stable α -boryl-carbonyl derivatives in one-pot from simple starting materials without using transition metal catalysis was an unsolved problem before this work.

No reaction
$$R = alkyl \text{ or aryl}$$
 $E \text{ value} = -9.4$ $E \text{ value} =$

Scheme 2: Previous work on reductive borylation of Michael acceptors using NHC-RH₂

An attractive route to α -boryl carbonyls that is the focus of this work is 3,4-hydroboration of α , θ -unsaturated carbonyls, where the boryl group is selectively added at the 3 position, termed reductive α -borylation (Scheme 1d). While the reductive θ borylation of α,β -unsaturated carbonyl species has been reported, 13-14 we are aware of no previous examples of selective reductive α -borylation of substrates such as cinnamates and crotonates. It should be noted that NHC-BH₃ can reductively borylate strong Michael acceptors (Mayr E values > -18), however, the direct reaction between NHC-BH₃ and α,β -unsaturated carbonyl derivatives that are weak Michael acceptors does not proceed (ethyl cinnamate has an E value of -24.5 for example, Scheme 2). 15 Furthermore, the catalysed hydroboration of α, β -unsaturated carbonyl compounds using common boranes (e.g. CatBH, PinBH) proceeds via 1,4-hydroboration. 16 Recently, the Chang group reported the reductive $\alpha\text{-silylation}$ of $\alpha, \beta\text{-unsaturated}$ carbonyls, using silanes / B(C₆F₅)₃.¹⁷ Previous work from some of us has indicated that on appropriate activation NHCboranes can react in an analogous manner towards π nucleophiles as silanes / $B(C_6F_5)_3$. Therefore we hypothesised that NHC-BH3, upon activation, will react with α , θ -unsaturated esters to generate NHC-stabilized α -boryl esters (Scheme 1d). Herein, we demonstrate that a wide range of α, β -unsaturated esters undergo highly selective reductive α -borylation using a simple NHC-BH₃ compound and catalytic I₂ as activator. This represents a facile, metal free route to bench stable α -boryl-(including esters quanternary organoborane diastereoselective examples) that are amenable to further functionalisation, for example to afford enantiopure diols.

Results and discussion

This work commenced with assessment of the relative stability of the O- and C-boron bound isomers derived from reductive borylation of α,β -unsaturated carbonyls using NHC-BH₃. Notably, previous work calculated that α -boryl esters are thermodynamically favored compared to the O-boron enolate isomer when the boron moiety is B(diol), whereas when it is BMe $_2$ the two isomers are effectively isoenergetic ($\Delta G < 1$ kcalmol⁻¹). The BMe₂ analogue is more Lewis acidic at boron and thus also had a low energy barrier to interconversion between the O and C-boron bound isomers. 19 Reductive borylation will require electrophilic NHC-BH₂Y (Y = I, NTf₂ etc.) species, and will possibly form NHC-BHY(R) on reaction with α,β-unsaturated esters before hydride transfer from NHC-BH₃ occurs. 20,21 Thus it was feasible there would be a low barrier to interconversion between O and C-BH2(NHC) bound isomers mediated by NHC-BHY(R). In this case the relative stability of the two isomers would dramatically affect the product distribution. Calculations therefore were carried out at the M06-2X/6-311G(d,p) level with a CH₂Cl₂ solvation model (polarizable continuum model, PCM). The α,β -unsaturated carbonyls analyzed were butenal, pentenone, 2,2dimethylhexenone, N,N-dimethyl buteneamide and methyl crotonate (Scheme 3). The NHC-borane adduct used was IMe-BH₃ (IMe = 1,3-dimethyl-imidazol-2-ylidene), as it is the smallest and most readily accessed NHC-borane. 12 For the ketones and aldehydes it was found that the O-boron enolates were favored over the α -boryl carbonyl isomers. In contrast, it was found that for the ester and amide substrates, the α -boryl carbonyl products were more stable (by 12.1 and 7.8 kcal mol , respectively). Based upon these findings we investigated the borylation of unsaturated esters and amides using NHC-BH₃/ activator.

O-boron enolate
$$\Delta E$$
 (kcalmol⁻¹) α -boryl carbonyl $Y = H = +5.5$ $Y = ^{1}Bu = +4.7$ $Y = Me = +0.7$ $Y = NMe_2 = -7.8$ $Y = OMe = -12.1$

Scheme 3 Calculated relative energies of O and C-BH₂(IMe) bound isomers.

For reaction optimisation IMe-BH $_3$ / I $_2$ were selected due to their low cost (or simple synthesis), ease of handling (IMe-BH $_3$ is bench stable) and facile reactivity to give electrophilic IMe-BH $_2$ I. The property of the property o

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timeframe (ca. 70 % conversion after 20 hours at room temperature). It should be noted that other electrophilic activators previously used with NHC-BH₃, e.g. HNTf₂ and B(C₆F₅)₃, led to poorer outcomes. Recent work has demonstrated that radical initiators can enable alkyne cyclisation reactions and alkyne *trans*-hydroboration using NHC-BH₃. Therefore the use of tert-butylhydroperoxide (TBHP) was explored under comparable conditions to that reported for *trans*-hydroboration. However, heating a mixture of IMe-BH₃, **1a** and 20 mol % TBHP in benzene for 18 hours led to no reductive α -borylation.

1.2eq. NHC-BH₃

O + 10 mol%
$$I_2$$

CHCI₃, rt

NHC = N NHC 2a - 4a

NHC = N NHC 2a - 4a

(IMe) (IPr) iPr (BenzIMe)

Conversion = 70% 45% 34%

Figure 1. Top formation of α -boryl carbonyls ${\bf 2a-4a}$. Inset left: solid-state structure of ${\bf 2a}$, inset right solid state structure of ${\bf 4a}$, ellipsoids at the 50 % probability level.

In order to probe the effect of varying the NHC, Pr-BH₃ and BenzIMe-BH₃ were explored (Fig. 1). While both of these NHCboranes successfully led to reductive α -borylation of **1a** upon activation with I2, the conversions were lower than when using IMe-BH₃ under identical conditions (70 % versus 43 and 34 %, respectively) thus further reactions used IMe-BH₃. X-ray diffraction studies (Fig 1, inset) further confirmed the formulation of 2a and 4a. A substrate screening study revealed that a wide range of acyclic and cyclic α,β -unsaturated esters gave good-to-moderate yields of the reduced α -boryl ester products **2a-r** (Figure 2). Generally, it was found that α,β unsaturated esters with β-alkyl substituents gave good yields (e.g. 2a, 2b, 2d and 2e). Increasing the substitution at the α or β position was viable (e.g. **2f** and **2g**), with the formation of 2g notable as it contains a quaternary center and thus cannot be accessed via procedures starting from the α -diazo-ester. Cyclic esters were also amenable (2h, 2i, 2j), with the reductive borylation of furanone proceeding with a yield of 61 %, with 2i successfully crystallized with the solid-state structure from Xdiffraction studies demonstrating the expected connectivity. The diastereoselectivity in the formation of 2j was moderate (d.r. = 77 : 23). Cinnamates were also viable substrates and were borylated in moderate yields of 40 - 55 % (2k - 2n). Functionalisation at the para- position of the cinnamate phenyl ring with electron withdrawing groups and electron donating groups (-Cl, -Br, -NO₂, -Me) was possible with comparable yields (2o-2r). It should be noted that attempts with α,β -unsaturated ketones led to no significant α -borylated carbonyl products, in line with our calculations and previous work where C=O hydroboration occurs for similar compounds. Using the optimized conditions the reaction was amenable to scaling to produce 0.9 g of 2a and 2a.

2m R=iPr 52 %a, 2n R=Bn 40 %a 2q X=NO $_2$ 55 %a, 2r X=Me 51%a Figure 2: Substrate scope of the reductive α -borylation reaction. a = 20 mol% I_2 b = diastereomeric ratios by 4H NMR analysis of the reaction mixture. c = Yields from in-situ 4H NMR spectroscopy versus an internal standard. Isolated yields are provided in parenthesis. d = isolated yield of the d_2 isotopomer.

Attempts to extend the optimized procedure to α,β unsaturated amides led to complete amide consumption but formed complex mixtures in which the desired α -boryl amide was only a minor intractable component (with N,N-diethylcrotonamide, 5a, and N,N-dimethylprop-2-enamide, 5b). This is in contrast to reductive α -silylation which proceeds with both α,β unsaturated esters and amides (including **5a**). ¹⁷ Compound 1b which undergoes reductive borylation and 5b have effectively identical E parameters on the Mayr electrophilicity scale (E = -23.59 and -23.54, respectively),²⁶ thus they would be expected to react at similar rates with unhindered nucleophiles, in contrast to what is observed here. Notably, while the E parameters are similar there is a significant difference in the nucleophilicity of esters and amides as Lewis bases towards boron electrophiles, with amides significantly more Lewis basic than esters towards $B(C_6F_5)_3$. Therefore the in-situ NMR spectra were analyzed for any disparities between these esters and amides on addition to IMe-BH₂I / IMe-BH₃ mixtures. On combining a range of α,β -unsaturated esters / IMe-BH₃ / I₂ (at various I₂ loadings) in chloroform IMe-BH₂I is observed (δ_{11B} -31 ppm) as

the only new boron containing species at short reaction times (at longer times the α -boryl esters are also observed with no intermediates observed). Thus these esters do not displace iodide from boron in IMe-BH₂I to any observable extent. In contrast, on combining IMe-BH₃ / I₂ and 5a or 5b minimal IMe-BH₂I is observed (even when using 50 mol% I₂). Instead, a new broad ¹¹B resonance (at -10.6 and -11.4 ppm using **5a** and **5b**, respectively) is observed. These products are assigned as the product from amide coordination to {IMe-BH $_2$ } $^+$, with this δ_{11B} consistent with [IMe-BH₂(L)][†] boronium cations, ¹⁸ NHCBH₂(OR) species.²⁸ Furthermore, the NMR spectra immediately after combination of stoichiometric 5a and IMe- BH_2I revealed that the δ_{11B} -10.6 ppm species forms concomitantly with two new vinylic resonances in the ¹H NMR spectrum indicating the persistence of the α,β -unsaturated moiety and the absence of any observable hydride transfer to form the O-boron enolate (which only has one vinylic proton). After longer reaction times (24 h) this stoichiometric reaction produced the α -boryl amide as only a minor product.

Scheme 4: Reactivity disparity on combining $\alpha,\beta\text{-unsaturated}$ amides and esters with NHCBH21.

The reactivity disparity between amides and esters suggested that coordination of carbonyl moieties to [IMe-BH₂]⁺ does not lead to effective reductive α -borylation, at least for amide derivatives. This suggested that pathway (i) (Scheme 5, top) may not be operating for the reductive α -borylation of esters and that an alternative mechanism needs to be considered. Specifically, we considered a concerted addition of a B-H unit of IMe-BH₂I across the double bond, prior to hydride transfer from another IMe-BH₃ molecule (Scheme 5 bottom) as proposed for the hydroboration of simple alkenes.^{21, 22} Mechanistic insight initially was sought via reductive borylation using a mixture of BenzIMe-BH3 and IMe-BD3, however this was inconclusive as combining IMe-BD3 and BenzIMe-BH₃ in CDCl₃ solution with catalytic I₂ led to rapid H/D exchange. Next the KIE was determined to be approximately 1.0 when using IMe-BD $_{\!\scriptscriptstyle 3}$ and IMeBH $_{\!\scriptscriptstyle 3}$ in the reductive $\alpha\text{--}$ borylation of 1a (initial KIE values ranged from 0.95 to 1.1). However, the absence of a significant KIE does not discriminate between either of the pathways, as a $\boldsymbol{\pi}$ complex (where the alkene has displaced iodide) is potentially an intermediate on the hydroboration pathway using NHC-BH₂I.²¹

Scheme 5: Pathways (i) and (ii) for reductive $\alpha\text{-borylation}$ of $\alpha,\beta\text{-unsaturated}$ esters

Thus iodide displacement (by carbonyl or by the alkene) is potentially the rate limiting step in both pathways. Finally, the reduction of cyclic ester 1i with IMe-BD₃ / catalytic I₂ was used to probe the stereoselectivity of reductive borylation, with a concerted hydroboration mechanism (pathway (ii)) leading to D and IMe-BD₂ addition to the same face while pathway (i) is expected to give a mixture of isomers due to the lack of any facial discrimination during isomerization of the O-boron enolate to the α-boryl ester. The ³J_{HH} coupling constant between H_A and H_B (Scheme 6) for the d₃-isotopomer of 2i (d₃-2i) allowed for assignment as the product with a syn configuration of the IMe-BD₂ and D groups.²⁹⁻³³ Specifically, the ³J_{HH} coupling was 8.2 Hz, in good agreement with the 7.1 Hz (Karplus) and 9.7 Hz (Altona) values predicted for the synconfiguration of IMe-BD₂ and D for 2i (calculated based upon the solid state structure of 2i). The alternative isomer (see inset scheme 6) had a calculated ³J_{HH} of <2 Hz and no **2i**-d₃ product containing this arrangement of D and IMe-BD2 was observed. Based upon these observations we conclude that α borylation of this unsaturated ester using IMe-BH₃ / I₂ occurs via a concerted addition mechanism (pathway (ii)).

Scheme 6. Reductive borylation of 2i with IMe-BD₃.

A series of reactions were carried out to functionalize these α -boryl esters. Curran et al. have shown that NHC-BH₂-aryls can be converted to NHC-BX₂(R) intermediates (X = halide) that are electrophilic at boron. Using the reported conditions IMe-BF₂-ester, **6**, was synthesized (quantitatively by in-situ NMR spectroscopy) and isolated in 46 % yield after purification by column chromatography with X-ray diffraction confirming the

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formulation (scheme 7). The IMe-BCl₂-derivative, 7, was also accessible (again quantitatively by in-situ NMR spectroscopy) through the reaction of 2a with N-chloro-succinimide (NCS). Attempts to transform 2a into the α -BPin-ester derivative by addition of NCS/pinacol under various conditions (with and without H2O/triethylamine, the latter as a base to sequester HCl by-product) led instead protodeboronation with no $\alpha ext{-BPin}$ congener observed. Furthermore, attempts to use 6 or 7 in a range of Suzuki-Miyaura cross coupling protocols were unsuccessful in our hands, including conditions reported by Chiu et al. 10 for the cross coupling of α -BPin esters, instead the protodeborylated product dominated. These observations are consistent with the sensitivity of non-quaternized α -boryl ester derivatives to rapid protodeboronation, 10 in contrast to bench/ column stable 2a-r.-

MeO
$$H_2B$$
 IMe X_2B IMe $X = F = 6$ $X = CI = 7$

Scheme 7. Formation of dihalo derivatives (i) = selectfluor / MeCN (ii) = NCS, toluene. Inset, solid state structure of 6, ellipsoids at 50% probability (hydrogens omitted for clarity).

Seeking transformations that cannot be achieved with α -BPin ester analogues the reduction of 2a to the alcohol was explored next with β -boryl alcohols previously reported and shown to be useful intermediates. Both LiAlH4 and DIBAL were used with 2a to form the IMe-BH2-alcohol, 8 (scheme 8), with no aldehyde observed under a range of conditions/reagent stoichiometry (lower equivalents of DIBAL instead led to a lower conversion to the alcohol and unreacted starting material). A phenyl analogue, 9, can also be accessed, e.g. by addition of DIBAL to 2k. It should be noted that in contrast to 2a (or 2k) organoBPin derivatives undergo transformation at boron with LiAlH4, to form $[RBH_3]^-$ species. Thus the successful conversion of 2a (or 2k) to 8 (or 9) demonstrates the complementarity of the α -BPin and α -BH2NHC ester congeners.

Scheme 8: Reduction of $\alpha\text{-boryl}$ esters and subsequent reaction with NCS / pinacol.

The transformation of the BH₂IMe group in **9** into BPin also was explored briefly. Compound **9** was combined with pinacol in dry toluene, and then NCS was added to form the reactive (towards ROH) -BCl₂(NHC) congener in-situ. ¹¹B NMR spectroscopy displayed a major signal at 34 ppm consistent with an alkyl-BPin, but upon work-up the desired product **10** (Scheme 9) was only a minor component (31%). Two dehydroxylated products, **11** and **12**, were produced alongside **10** (Scheme 9), in a combined yield of 51%. The formation of **11**

and 12 tentatively is attributed to a Bora-Peterson reaction³⁶ between the alcohol moiety and an electrophilic boryl group (e.g. RBCl(H)-IMe) derived from 9 / NCS which would on B-O bond formation eliminate the alkene (allylbenzene) and a reactive B-H species that can effect hydroboration of allyl benzene (subsequent reaction with pinacol would then give 11 and 12). Any acid initiated alcohol dehydration steps to form an alkene (for hydroboration) are disfavored due to comparable outcomes (formation of 10, 11 and 12) being observed in the presence and absence of amine bases (HCl is the expected by-product from addition of pinacol to B-Cl species). Due to the competitive formation of 10, 11 and 12 and the greater step efficiency of using the NHC-BH2R species directly the direct functionalisation of the C-B moiety in 9 was explored. Compound 9 could be oxidized directly to 3phenylpropane-1,2-diol using standard oxidation conditions for organoboranes (H2O2 / NaOH) confirming the utility of these NHC-organoboranes and obviating the need for prior conversion to BPin (or other boron moieties).

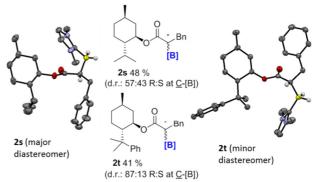


Figure 3: α -boryl esters containing chiral auxiliaries. Left, solid state structure of **2s** (major diastereomer) and right **2t** (minor diastereomer), ellipsoids at 50% probability and most hydrogens omitted for clarity. Values shown are for isolated yields of the diastereomeric mixtures.

With the feasibility of direct oxidation demonstrated this process was extended to enantioenriched products by performing reductive α -borylation on α,β -unsaturated esters containing chiral auxiliaries. The reductive α -borylation of methyl cinnamate with menthyl as the chiral auxiliary proceeded with minimal diastereoselectivity (2s dr = 57:43), however, the two products could be readily separated by column chromatography. Crystals of 2s were grown enabling characterization by X-ray crystallography and unambiguous assignment of stereochemistry for both products of the reaction (Figure 3). The more bulky 8-phenyl menthyl group was next utilized as a chiral auxiliary as it has been successfully used to induce high diastereoselectivity in Diels-Alder reactions using acrylates.³⁷ In this case, two diastereomeric products of 2t were isolated in a 87 : 13 d.r after reductive α borylation at room temperature (again these were readily separable by column chromatography). Confirmation of the major and minor components was achieved by X-ray diffraction. Finally, we investigated the possibility to transform the enantiopure organoborane products into the chiral diol in two steps without isolation of any intermediates. A single diastereomer of 2s was reduced to the alcohol using LiAlH₄ and, after extraction, the crude reaction mixture was oxidized

to yield S-3-phenylpropane-1,2-diol in an overall 65% yield, with no observable reduction in enantiopurity which was > 97 % (by chiral HLPC, Scheme 9).

O LiAlH₄ HO S) Bn
$$H_2O_2$$
, NaOH HO S) Bn H_2B | HO OH MeCN/MeOH, OH 15h, 40°C | ee = 97% |

(S)-2s 65% (over 2 steps)

Scheme 9: Reduction of a single diaster eomer of ${\bf 2s}$ and subsequent oxidation to form S-3-phenyl propane-1,2-diol.

Conclusions

In conclusion, iodine activation of a bench stable borane, IMe-BH₃, enables reductive α -borylation of a range of readily accessible (and in many case commercially available) α,β unsaturated esters. This procedure represents a simple route to bench stable quaternized (at B) α -boryl-esters that does not require transition metal catalysis. It is applicable to a wide range of ester substrates including, cyclic, acyclic, highly substituted derivatives and chiral precursors, the latter enables high diastereoselectivity in the reductive borylation step. Mechanistic studies reveal that concerted hydroboration is proceeding in contrast to the mechanism for reductive α silylation. The α -boryl ester products could be converted readily into the B-X (X = F, Cl) derivatives and β -boryl alcohols and glycols, including an example proceeding with high enantiopurity demonstrating the utility of this process and the products.

Experimental

For general considerations see Supporting Information.

Synthesis of 2a: A Schlenk flask was charged with IMe-BH₃ (1.00 g, 9.1 mmol) and placed under an N₂ atmosphere before CHCl₃ (7.5 mL) was added. Methyl crotonate (0.80 mL, 7.6 mmol) was added to the flask and the resulting reaction solution was cooled to 0 °C. lodine (192 mg, 0.76 mmol) was added to the mixture causing instant effervescence and the reaction was allowed to warm to room temperature. After being left to stir for 24 hours the solvent was removed under vacuum, yielding the crude product as an oily solid. The product was subsequently purified by column chromatography (SiO, 9:1 ethyl acetate:pet. ether) to yield 897 mg of a white crystalline solid (56 % yield). The identity and purity of the title compound was confirmed by ¹H, ¹³C{¹H} and ¹¹B NMR spectroscopy. Crystals suitable for X-ray crystallography were successfully grown by slow evaporation from a chloroform solution confirming the molecular structure.

¹**H NMR** (400 MHz, Chloroform-d) δ 6.82 (s, 2H), 3.74 (d, J = 1 Hz, 6H), 3.44 (d, J = 1 Hz, 3H), 1.72 (m, 2H), 1.38 (t, J = 8 Hz, 1H), 0.87 (t, J = 7 Hz, 3H). ¹³C{¹H} NMR (101 MHz, Chloroform-d) δ 182.9, 120.4, 50.4, 41.6 – 38.7 (m), 36.1, 26.4, 15.1. ¹¹B NMR (128 MHz, Chloroform-d) δ -25.3 (t, J = 90 Hz).

Synthesis of d₃-2i. A Young's ampule was charged with IMe-BD₃ (137 mg, 1.2 mmol) and placed under an N₂ atmosphere. CHCl₃ (1 mL) was added, followed by furanone (71 μL, 1 mmol) before the mixture was cooled to 0 °C. Iodine (25 mg, 0.1 mmol) was added causing rapid effervescence, after which the solution was allowed to warm to room temperature and left to stir for 24 hours. The solvent was then removed under vacuum to yield a crude product as an oily residue. The product was purified by column chromatography (SiO₂, 95:5 DCM:MeOH) to yield the product (78 mg, 40 % yield). The identity of the product was confirmed as that of the title compound by ¹H, ¹³C and ¹¹B NMR spectroscopy. Crystals of compound d₃-2i suitable for X-ray diffraction studies were grown by slow evaporation from a chloroform solution, and the resulting structure was used as an input to determine predicted H-H coupling constants via the Karplus and Altona methods.

¹H NMR (400 MHz, Chloroform-*d*) δ 6.81 (d, J = 2.0 Hz, 2H), 4.46 – 4.35 (m, 1H), 4.28 – 4.15 (m, 1H), 3.70 (s, 6H), 2.31 (s, 1H), 1.77 (s, 1H). ¹³C{¹H} NMR (101 MHz, Chloroform-*d*) δ 187.9, 171.3 – 165.6 (m), 120.6, 67.6, 35.9, 33.5 – 28.2 (m). ¹¹B NMR (128 MHz, Chloroform-*d*) δ -27.4 (br) ²H NMR (61 MHz, Chloroform-*d*) δ 1.87 (s, br), 1.76 – 1.15 (m).

Synthesis of 2k: A Schlenk flask was charged with IMe-BH₃ (1.32 g, 12 mmol) and methyl cinnamate (1.62 g, 10 mmol) before placing under an N₂ atmosphere. CHCl₃ (10 mL) was added and the resulting solution was cooled to 0 °C. Iodine (506 mg, 2 mmol) was added causing instant effervescence and the reaction was allowed to warm to room temperature. The mixture was left to stir for 20 hours, after which time the solvent was removed under vacuum to yield a pale yellow oil as the crude product. The product was purified by column chromatography (SiO₂, 2:1 ethyl acetate:pet. ether), yielding 1.01 g of a clear colourless oil (37 % yield). The identity of the product was confirmed as the title compound by 1 H and 11 B NMR spectroscopic data matching with those previously reported in the literature. 8

Synthesis of 2s: A Young's ampule was charged with menthyl cinnamate (286 mg, 1 mmol) and IMe-BH₃ (132 mg, 1.2 mmol) before placing under an N2 atmosphere. CHCl3 (1 mL) was added and the resulting solution was cooled to 0 °C prior to addition of iodine (51 mg, 0.2 mmol). Effervescence was observed and the solution was allowed to warm to room temperature before being left to stir for 20 hours. The solvent was removed under vacuum giving an oily white residue which was purified by column chromatography (SiO₂, ethyl acetate:pet. ether 1:1 \rightarrow 2:1 varying polarity). Two diastereomeric products were isolated (total yield 191 mg, 48 %. Major: 108 mg, Minor: 83 mg, 57:43 d.r.). The identity of the products as that of the title compounds was confirmed by ¹H, ¹³C{¹H} and ¹¹B NMR spectroscopy. Crystals of the major diastereomer suitable for X-ray diffraction were successfully grown by evaporation of a DCM solution confirming the molecular structure of the compound, and allowing for the assignment of the stereochemistry at the C-B bond as the R configuration.

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2s major diastereomer ^{1}H NMR (400 MHz, Chloroform-d) δ 7.24 – 7.14 (m, 4H), 7.12 – 7.03 (m, 1H), 6.79 (s, 2H), 4.37 (td, J = 11, 4 Hz, 1H), 3.76 (s, 6H), 3.06 (dd, J = 14, 11 Hz, 1H), 2.72 (dd, J = 14, 4 Hz, 1H), 2.22 (s, 1H), 1.61 – 1.26 (m, 6H), 1.10 – 0.98 (m, 1H), 0.97 – 0.84 (m, 1H), 0.78 (d, J = 6 Hz, 3H), 0.69 (d, J = 7 Hz, 3H), 0.51 (d, J = 7 Hz, 3H), 0.36 (q, J = 12 Hz, 1H).

¹³C{¹H} NMR (101 MHz, Chloroform-*d*) δ 181.2, 145.1, 128.7, 127.8, 125.0, 120.4, 71.3, 47.3, 41.2, 39.8, 39.7, 36.4, 34.5, 31.3, 25.7, 23.4, 22.3, 20.9, 16.3.

¹¹B NMR (128 MHz, Chloroform-*d*) δ -25.1 (t, *J* = 70 Hz). 2s minor diastereomer: ¹H NMR (400 MHz, Chloroform-d) δ 7.21 – 7.16 (m, 4H), 7.10 – 7.03 (m, 1H), 6.78 (s, 2H), 4.45 (td, J = 11, 4 Hz, 1H), 3.75 (s, 6H), 3.08 (dd, J = 15, 10 Hz, 1H), 2.71 (dd, J = 15, 5 Hz, 1H), 2.25 (s, 1H), 1.74 – 1.65 (m, 1H), 1.59 – 1.52 (m, 2H), 1.47 – 1.31 (m, 2H), 1.25 – 1.15 (m, 1H), 0.99 – 0.86 (m, 1H), 0.81 (d, J = 7 Hz, 3H), 0.80 – 0.72 (m, 2H), 0.71 (d, J = 7 Hz, 3H), 0.54 (d, J = 7 Hz, 3H).

¹³C{¹H} NMR (101 MHz, Chloroform-d) δ 181.5, 144.6, 128.5, 127.9, 125.1, 120.5, 72.0, 47.1, 41.2, 39.6, 36.4, 34.5, 31.4, 25.2, 23.0, 22.2, 21.3, 15.9.

¹¹**B NMR** (128 MHz, Chloroform-d) δ -25.2 (t, J = 89 Hz).

Synthesis of 2t: A Young's ampule was charged with 8-phenylmenthyl cinnamate (362 mg, 1 mmol) and IMe-BH₃ (132 mg, 1.2 mmol) before placing under an N₂ atmosphere. CHCl₃ (1 mL) was added and the resulting solution was cooled to 0 °C prior to addition of iodine (51 mg, 0.2 mmol). Effervescence was observed and the solution was allowed to warm to room temperature before being left to stir for 20 hours. Subsequently, mesitylene (139 µL, 1 mmol) was added and an aliquot of the reaction was removed and subjected to NMR spectroscopic analysis to determine the degree of substrate consumption. This indicated a combined conversion of 72 %. The sample was returned to the bulk solution before the solvent was removed under vacuum to yield the crude product mixture as an oily white residue. Two diastereomeric products were isolated by column chromatography (SiO₂, ethyl acetate:pet. ether 1:1 \rightarrow 2:1 varying polarity) as 167 mg and 26 mg (41 % total yield, 87:13 d.r.). The identities of the products were confirmed by ¹H, ¹³C{¹H} and ¹¹B NMR spectroscopy. Crystals of the minor diastereomer were successfully crystallised from slow cooling of a hot hexane solution confirming the structure of the product, and allowing for the assignment of the stereochemistry of the C-B bond as the S configuration for the minor component, confirming the major is the R configuration at the C-B

2t major diastereomer 1 H NMR (400 MHz, Chloroform-d) δ 7.25 – 7.03 (m, 8H), 6.99 – 6.93 (m, 2H), 6.74 (s, 2H), 4.41 (td, J = 11, 4 Hz, 1H), 3.59 (s, 6H), 3.07 (dd, J = 14, 10 Hz, 1H), 2.61 (dd, J = 14, 4 Hz, 1H), 1.94 – 1.85 (m, 1H), 1.66 – 1.54 (m, 2H), 1.54 – 1.45 (m, 2H), 1.43 – 1.35 (m, 2H), 0.96 (d, J = 5 Hz, 6H), 0.93 – 0.77 (m, 2H), 0.73 (d, J = 7 Hz, 3H), 0.70 – 0.56 (m, 1H), 0.09 (q, J = 12 Hz, 1H).

¹³C{¹H} NMR (101 MHz, Chloroform-d) δ 180.9, 152.3, 145.3, 129.1, 127.9, 127.8, 125.9, 125.2, 124.7, 120.4, 72.7, 51.0, 41.6, 39.7, 39.6, 36.3, 34.9, 31.0, 27.1, 26.6, 26.0, 22.1.

¹¹**B NMR** (128 MHz, Chloroform-d) δ -25.0 (t, J = 90 Hz).

2t minor diastereomer ¹**H NMR** (400 MHz, Chloroform-d) δ 7.29 – 7.23 (m, 4H), 7.20 – 7.17 (m, 4H), 7.15 – 7.05 (m, 2H), 6.75 (s, 2H), 4.70 (td, J = 10.5, 4.3 Hz, 1H), 3.73 (s, 6H), 2.96 (dd, J = 13.9, 9.0 Hz, 1H), 2.62 (dd, J = 13.9, 6.0 Hz, 1H), 2.22 – 2.14 (m, 1H), 1.78 (ddd, J = 12.1, 10.4, 3.4 Hz, 1H), 1.68 – 1.60 (m, 1H), 1.43 – 1.35 (m, 2H), 1.28 (s, 3H), 1.20 – 1.17 (m, 3H), 1.08 (dq, J = 13.3, 3.3 Hz, 1H), 0.97 – 0.84 (m, 2H), 0.80 (dd, J = 12.6, 3.2 Hz, 1H), 0.74 (d, J = 6.4 Hz, 3H), 0.71 – 0.60 (m, 2H). ¹³C{¹H} NMR (101 MHz, Chloroform-d) δ 181.4, 151.2, 144.2, 128.9, 128.0, 127.8, 125.9, 125.2, 125.1, 120.6, 73.7, 50.6, 42.0, 40.7, 39.7, 36.3, 34.7, 31.3, 31.0, 27.7, 22.4, 21.9.

¹¹**B NMR** (128 MHz, Chloroform-*d*) δ -25.8 (t, J = 87 Hz).

General Catalytic Substrate Screening Protocol: An oven dried J. Young's NMR tube was equipped with a d_6 -benzene filled capillary and charged with IMe-BH₃ (0.6 mmol) before placing under an N2 atmosphere. Chloroform (0.5 mL) was added, followed by the desired α,β -unsaturated ester (0.5 mmol). The resulting starting material solution was analysed by ¹H, ¹¹B and ¹¹B{¹H} NMR spectroscopy to provide a comparison for reaction monitoring. Solid I₂ (0.05 or 0.1 mmol) was added to the reaction mixture causing major effervescence in the tube, and ¹H, ¹¹B and ¹¹B{¹H} NMR spectra were recorded at t=0. Subsequently, the reaction was set to mix for 20 hours, after which time further NMR spectroscopic analysis was undertaken. Mesitylene (0.5 mmol) was added to the sample, and ¹H NMR spectroscopy allowed for the in situ reaction yield to be measured by integration of the product signals relative to mesitylene.

Conflicts of interest

There are no conflicts to declare.

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