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**Climate sensitivity of shrub growth across the tundra biome**

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58

## 59 **Abstract**

60 Rapid temperature increases in the tundra biome have been linked to increasing shrub dominance<sup>1-4</sup>.  
61 Shrub expansion can modify climate by altering surface albedo, energy and water balance, and  
62 permafrost<sup>2,5-8</sup>, yet the drivers of shrub growth remain poorly understood. Dendroecological data  
63 consisting of multi-decadal time series of annual shrub growth provide an underused resource to  
64 explore climate-growth relationships. Here we analyse circumpolar data from 37 arctic and alpine sites  
65 in 9 countries, including 25 species, and ~42 000 annual growth records from 1821 individuals. Our  
66 analyses demonstrate that the sensitivity of shrub growth to climate was: 1) heterogeneous, with  
67 European sites showing greater summer temperature sensitivity than North American sites, and 2)  
68 higher at sites with greater soil moisture and for taller shrubs (e.g., alders, willows) growing at their  
69 northern or upper elevational range edges. Across latitude, climate sensitivity of growth was greatest at  
70 the boundary between the low and high Arctic, where permafrost is thawing<sup>4</sup> and the majority of the  
71 global permafrost soil carbon pool is stored<sup>9</sup>. The observed variation in climate-shrub growth  
72 relationships should be incorporated into earth system models to improve future projections of climate  
73 change impacts across the tundra biome.

74

75 The Arctic is warming more rapidly than lower latitudes due to climate amplification involving  
76 temperature, water vapour, albedo and sea ice feedbacks<sup>5,7</sup>. Tundra ecosystems are thus predicted to  
77 respond more rapidly to climate change than other terrestrial ecosystems<sup>4</sup>. The tundra biome spans  
78 arctic and alpine regions that have similar plant species pools and mean climates, yet vary in  
79 topography, seasonality, land-cover and glaciation history. Concurrent with the recent high-latitude  
80 warming trend<sup>7</sup>, repeat photography and vegetation surveys have shown widespread expansion of  
81 shrubs<sup>1-3</sup>, characterised by increased canopy cover, height and abundance. However, climate warming<sup>7</sup>  
82 and shrub increase<sup>2,10</sup> have not occurred at all sites. Models predict that warming of 2-10 °C<sup>11</sup> could  
83 convert as much as half of current tundra to ‘shrubland’ by the end of the 21<sup>st</sup> century<sup>8</sup>, but the  
84 uniformity of the frequently cited relationship between climate change and tundra shrub expansion<sup>5,12-</sup>  
85 <sup>15</sup> has yet to be quantified across the entire tundra biome.

86

87 Shrubs are woody perennial species that live from decades to centuries. In highly seasonal climates,  
88 they form annual growth rings, allowing analysis of radial growth over time. Many shrub species are  
89 widely distributed across the tundra biome and are often dominant, due to their canopy height,  
90 longevity and ability to outcompete low-growing plants. With wide geographic distributions and annual  
91 growth records, shrubs are ideally suited for quantifying tundra vegetation responses to climate  
92 warming. Assembled annual growth records from sites across the tundra biome provide a unique  
93 opportunity to test competing hypotheses of shrub responses to climate change over the past half-  
94 century.

95

96 Previous ecological monitoring and dendroecological studies have identified temperature, growing  
97 season length, summer precipitation and snow cover as important variables explaining spatial and  
98 interspecific variation in shrub growth<sup>1,10,13,14,16-18</sup>. However, there is a lack of consensus regarding  
99 which climate variables best explain growth across all tundra ecosystems. We therefore do not know if

100 climate-growth relationships are consistent in direction and magnitude among species and among sites  
101 where plant composition, climate trends and environmental parameters differ. Currently, most large-  
102 scale vegetation models assume high climate sensitivity and a uniform growth response to warming  
103 among shrub species and populations<sup>8,23</sup>. These models predict pronounced positive climate feedbacks  
104 as a result of tundra vegetation change<sup>5,8</sup>. Yet, if shrub growth responses to climate are constrained,  
105 then changes in shrub dominance should vary regionally, and feedbacks across the tundra biome as a  
106 whole could be weaker than currently predicted.

107

108 We quantified the climate sensitivity of shrub growth – i.e., the strength of relationship between annual  
109 growth and climate variables (including temperature and precipitation, specific calculations described  
110 below) – to test four hypotheses: 1) The greatest climate sensitivity of growth should occur at northern  
111 or high elevation range edges if plant performance is more climate limited in peripheral than central  
112 populations<sup>19-21</sup>. 2) Climate sensitivity of growth should be greatest in the centre of species  
113 distributions if populations growing under more stressful conditions at range edges have evolved  
114 conservative life history strategies limiting their ability to respond when conditions improve<sup>22</sup>. 3)  
115 Climate sensitivity of growth should vary along gradients if the response of species to warming is  
116 limited by other factors, such as soil nutrients, soil moisture or biotic interactions<sup>20</sup>. Alternatively, 4)  
117 climate sensitivity of growth could be uniform.

118

119 We synthesized existing and new time series of shrub growth across the tundra biome. Our dataset  
120 extends beyond previous analyses by including sites across the circumpolar Arctic, comprising dwarf,  
121 low and tall canopy species, and encompassing 60 years of annual-resolution shrub growth. We used  
122 crossdated, radial and axial growth measurements spanning 1950 to 2010, collected at 37 sites, and for  
123 25 shrub species in eight genera. We analysed climate-growth relationships for 46 genus-by-site  
124 combinations using linear mixed models to estimate climate sensitivity, with 33 candidate climate

125 models as predictors of shrub growth increments. All data were normalized at the genus-by-site level  
126 before analysis and model terms included seasonal temperatures and precipitation as fixed effects and  
127 year as a random effect (see Supp. Info.).

128

129 We calculated four complementary indices of climate sensitivity from the mixed model analysis for  
130 each genus-by-site combination: 1) the difference in AIC between the best climate model and a null  
131 model (delta AIC), 2) the  $R^2$  for the best climate model, 3) the absolute value of the slope of the  
132 relationship between growth and summer temperature and 4) the proportion of individuals that had  
133 significant linear relationships between growth and summer temperature (the best predictor from the  
134 overall analysis). We assessed these indices of climate sensitivity across abiotic (wet day frequency,  
135 soil moisture, growing season length) and biotic gradients (distance to range edge and species-level,  
136 maximum canopy height, see Supp. Info.). In Fig. 1, we report both delta AIC and model slopes to  
137 illustrate spatial variation in climate sensitivity (all indices reported in Fig. S12). In Fig. 2 we report the  
138 percentage of models indicating climate (temperature or precipitation) sensitivity in the model  
139 comparison analysis; Fig. 3 shows relationships between all four climate-sensitivity indices across  
140 different gradients.

141

142 Climate-growth relationships were not uniform across the tundra biome (Fig. 1), contrasting with the  
143 common assumption used in arctic vegetation models<sup>23</sup>. Overall climate sensitivity was high: 83%  
144 (38/46) genus-by-site combinations exhibited climate-sensitive growth (Table S5). Summer  
145 temperature variables best explained variation in shrub growth across the 46 genus-by-site  
146 combinations and 33 climate models (Fig. 2), with 46% (21/46) genus-by-site combinations showing  
147 positive growth-summer temperature relationships; 8 showed negative relationships (Fig. 1, Table S5).  
148 Individual-level climate sensitivity of growth varied considerably: 5 – 97% of individuals at each site  
149 and ~36% of all individuals showed significant summer temperature sensitivity (Table S5). A moving

150 window analysis demonstrated the relatively consistent climate sensitivity of shrub growth over time,  
151 despite the increase in sample size in recent years (Fig. S13).

152

153 Climate sensitivity of shrub growth was highly heterogeneous across the tundra biome (Fig. 1). Climate  
154 sensitivity was greatest in the Northwest Russian Arctic and Northern Europe, and more heterogeneous  
155 among sites in North America (Fig. 1), where many sites exhibited weak relationships between growth  
156 and summer temperatures (Table S5). Across gradients, climate sensitivity was greater in wetter sites  
157 relative to drier sites as indicated by the number of days with precipitation and satellite-derived soil  
158 moisture (Fig. 3a and b). We found support for our first hypothesis: shrubs growing near their northern  
159 latitudinal or elevational range limits showed greater climate sensitivity, as did taller (>50cm maximum  
160 canopy height) versus shorter species (<50cm) (Fig. 3c and d). Overall, shrub growth-climate  
161 relationships were not uniform across the tundra biome, but instead varied according to soil moisture,  
162 species canopy height and geographic position within the species ranges.

163

164 Our results highlight the importance of soil moisture and drought as drivers of climate sensitivity of  
165 shrub growth. In tundra environments, soil moisture is influenced by several factors including rainfall  
166 during the summer, snow distribution, duration and melt, permafrost status, soil properties and  
167 topography, making it more challenging to quantify than climate variables<sup>24</sup>. We observed high climate  
168 sensitivity and positive growth-climate relationships at many sites with high soil moisture (Figs. 1 and  
169 3); however, seven sites exhibited negative growth-climate relationships (Fig. 1) and some of these  
170 sites were located in areas with high soil moisture at the landscape scale (Fig. S14). These negative  
171 relationships with summer temperatures could indicate drought limitation of growth in woody species,  
172 which can occur in both wet and dry landscapes<sup>25</sup>, although in sites with increasing soil moisture and  
173 standing water can also experience reduced growth and shrub dieback<sup>6</sup>.

174



175 Previous studies have identified summer temperatures as an important driver of vegetation  
176 change<sup>1,13,14,26</sup>, but the role of soil moisture is less often examined. A recent synthesis of two decades of  
177 ecological monitoring (the International Tundra Experiment Network) showed that increased shrub  
178 abundance was most pronounced at warmer (in summer) and wetter sites<sup>1</sup>. In addition, landscape-level  
179 studies of shrub change in Northern Alaska showed greater increases in wet floodplains relative to  
180 well-drained hill slopes<sup>3,10</sup>. Our study, using a new circumarctic dendroecological dataset consisting of  
181 almost exclusively different sites from those in previous studies, also demonstrates broad geographic  
182 patterns in the climate sensitivity of shrub growth, with higher climate sensitivity at wetter versus drier  
183 sites. Taken together these results suggest that, with continued warming<sup>11</sup>, potentially more variable  
184 precipitation<sup>11</sup> and uncertainty in the future soil moisture regime<sup>11,24</sup>, water availability could play an  
185 increasingly important role in limiting future shrub expansion. However, analyses of plant water  
186 availability in tundra ecosystems are limited by the lack of high-resolution soil moisture data<sup>24</sup>.

187

188 In our study, climate sensitivity of shrub growth was greatest at the northern or elevational range  
189 margins of individual species (Fig. 3). Climate sensitivity of shrub growth was thus greatest at the  
190 transition zone between tall and low shrub tundra (Fig. 1). The greatest ecosystem transitions in shrub  
191 dominance could occur at these mid-arctic latitudes, rather than at the northern limits of the tundra  
192 biome as a whole. The patterns of climate sensitivity of growth in tundra shrub species can be  
193 compared to patterns observed in treeline ecotones. Half of the latitudinal and elevational treelines  
194 studied to date have been advancing poleward or upslope, often associated with warming<sup>27</sup>.  
195 Temperature sensitivity of tree growth is greatest at the upper or northern-most margin of the forest-  
196 tundra transition zone<sup>19,27</sup> and moisture sensitivity is greatest at southern or lower range edges<sup>28</sup>. Our  
197 results suggest that for tundra shrubs, both temperature and soil moisture control growth at range edges,  
198 while further from the range edge other factors such as competition, facilitation, herbivory, and  
199 disease<sup>20</sup> may be more important. Herbivore densities vary spatially and temporally across our study

200 locations<sup>12,29</sup>, and this could be one of the factors explaining variation in climate sensitivity.

201 Relationships between the climatic and biotic factors influencing growth are likely complex and  
202 deserve greater study.

203

204 We find that climate sensitivity of growth is greater for tall shrubs, than for low-statured species (Fig.  
205 3b). This has important implications for earth system models, as changes in tall shrub cover will  
206 contribute more dramatically to ecosystem-climate feedbacks<sup>8</sup>. Increases in canopy height and  
207 abundance of taller species relative to lower-stature dwarf shrub species was a major finding of two  
208 recent syntheses of plot-based ecological monitoring and passive warming experiments, however these  
209 studies did not include taller alder and willow species<sup>1,26</sup>. Tall shrub species may more readily exploit  
210 favourable climate conditions, particularly at the transition zone from tall to low shrub tundra, by  
211 competing for limited light and nutrient resources<sup>30</sup>. In particular, in contrast to this previous work that  
212 has not explicitly tested biogeographic patterns of climate sensitivity<sup>1</sup>, our analysis demonstrates that  
213 the sensitivity to climate of low shrub species was often greater towards their range margins (Fig. 3a).  
214 This results in a pattern of high climate sensitivity for some species growing in the High Arctic (Fig. 1).

215

216 In conclusion, climate sensitivity of shrub growth is generally high at sites across the tundra biome,  
217 which provides strong evidence for the attribution of tundra shrub increases to climate warming<sup>4</sup>.  
218 However, dramatic increases in shrub growth with warming are unlikely to occur in all regions, and the  
219 greatest shrub growth responses are instead likely to occur in the transition-zone between tall- and low-  
220 statured shrub tundra and where soil moisture is not limiting. A pressing open question is whether  
221 temperature-induced increases in shrub growth will continue to occur at current or accelerated rates or  
222 if factors such as water availability, herbivory, pathogen outbreaks, nutrient limitation, or fire will limit  
223 growth in arctic and alpine tundra. Experiments manipulating temperature<sup>26</sup>, moisture regime,  
224 herbivory and atmospheric CO<sub>2</sub> concentration are necessary to predict shrub growth responses under

225 future environmental scenarios. Improved soil moisture records<sup>24</sup> (resulting from e.g., ESA  
226 <http://www.esa-soilmoisture-cci.org/> and NASA <http://smap.jpl.nasa.gov/>) and other locally-influenced  
227 climate and biological variables and expanded networks of *in-situ* tundra vegetation observations<sup>1</sup> will  
228 further improve predictions. Only with a combination of enhanced ecological monitoring,  
229 multifactorial experimentation and additional data synthesis, can we make improved projections of  
230 vegetation feedbacks to future climate change.

231

### 232 **Methods Summary**

233 To examine climate sensitivity of tundra shrub growth, we assembled a database of 37 arctic and alpine  
234 sites encompassing 25 species from eight genera (Tables S1 and S2) for a total of 46 genus-by-site  
235 combinations, 1,821 individual shrubs, and 41,576 yearly growth measurements. Growth  
236 measurements included annual ring widths (35 genus-by-site combinations) and stem increments (11  
237 genus-by-site combinations). Although, the data collection was not coordinated in advance and  
238 includes both published and unpublished data, the resulting dataset represents many of the dominant  
239 and widely distributed tundra shrub species found across the tundra biome.

240

241 To test the correspondence between variation in climate and annual growth, we used monthly Climate  
242 Research Unit (CRU) TS3.21 gridded temperature and precipitation data (0.5° resolution, Table S3).

243 We found high correlations between the CRU TS3.21 and station data for the 19 sites with a  
244 meteorological station in relatively close proximity (Table S4).

245

246 We used linear mixed models (package nlme, R version 2.15.3) and model selection including 33  
247 candidate models of temperature and precipitation variables to relate annual growth to climate (Tables  
248 S5 and S6). We analysed data from 1950 to 2010, the period with the highest climate data quality and  
249 overlap between different individual shrub growth time series.

250

251 We present four different indices of climate sensitivity for each genus-by-site combination (see above  
252 and Supp. Info.). We considered the overall climate sensitivity to be the comparison of the best model  
253 to a null model; summer temperature sensitivity was a comparison of only the models containing a  
254 summer temperature variable to a null model. We then compared the climate sensitivity of growth to  
255 environmental and biotic gradients including wet day frequency, soil moisture, distance to nearest  
256 range edge and the maximum potential canopy height for the sampled species. Detailed methods  
257 describing the data and analyses that were used are included in the supplementary information.

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345

**346 Author contributions**

347 All authors designed the study, collected or processed data and assisted in writing the paper; IMS and  
348 MV took the lead in writing the paper; IMS analysed the data.

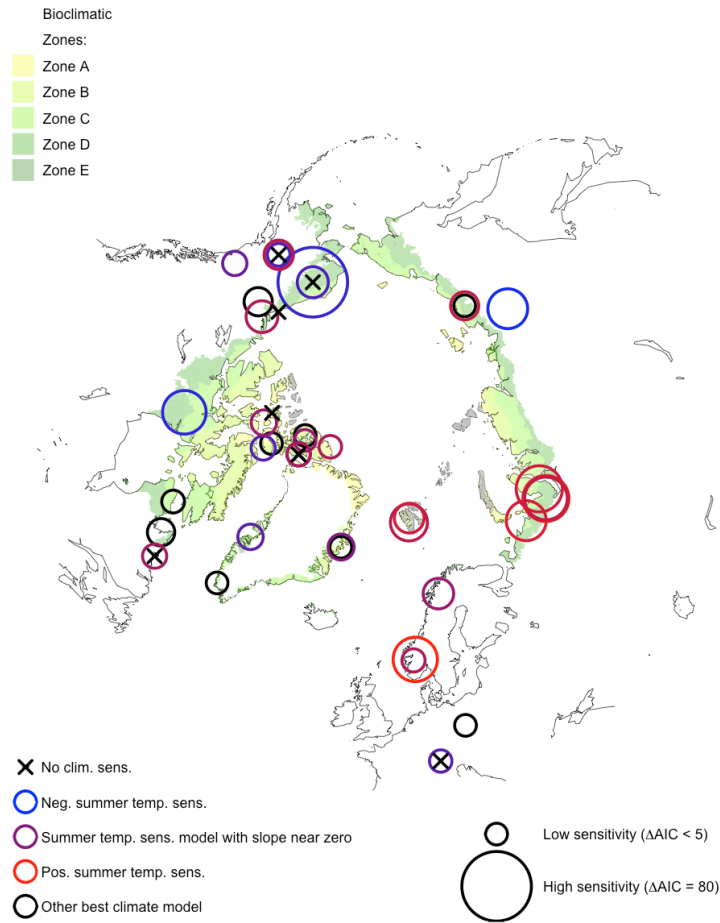
349

350 **Author information**

351 The authors declare no competing financial interests. Data have been archived at the Polar Data  
352 Catalogue (<https://www.polardata.ca/> Ref No 12131). Supplementary information accompanies this  
353 paper. Correspondence and requests for materials should be addressed to IMS ([isla.myers-](mailto:isla.myers-smith@ed.ac.uk)  
354 [smith@ed.ac.uk](mailto:smith@ed.ac.uk)).



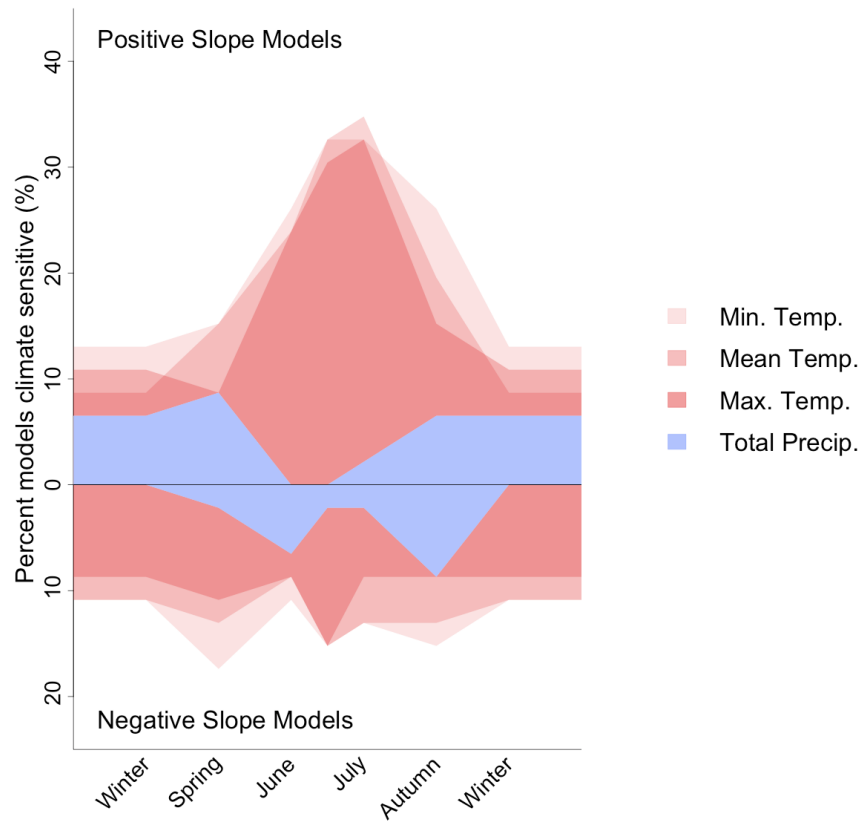
355 **Figures**



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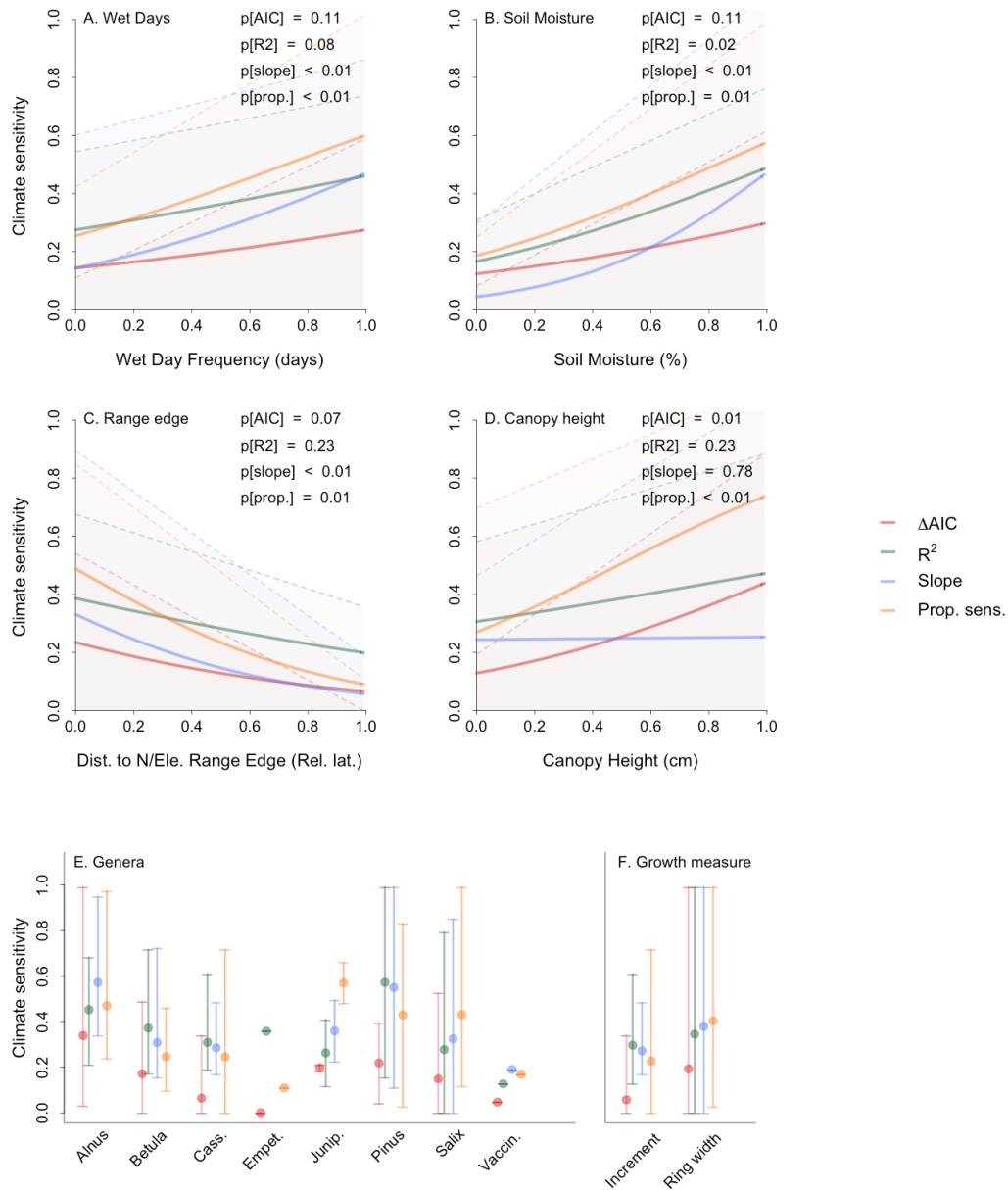
357 Figure 1. Climate sensitivity across the tundra biome. The size of the circle shows the strength of the  
 358 summer temperature sensitivity as indicated by the delta AIC. The colour of the circles indicates the  
 359 direction of the relationship with summer temperature variables, with red circles indicating sites that  
 360 have a positive relationship, blue circles indicating sites with a negative relationship, purple circles  
 361 indicating sites with slopes near zero, black circles indicating sites where the best model was not a  
 362 summer temperature model and crosses indicating genus-by-site combinations where summer  
 363 temperature sensitivity was not indicated by the model comparison analysis. Sites with multiple circles  
 364 indicate study sites where multiple species were sampled. The coloured regions indicate the bioclimatic  
 365 zones of the Circumpolar Arctic Vegetation Map (CAVM. 2003.

366 <http://www.geobotany.uaf.edu/cavm/>).



367

368 Figure 2. Comparison of climate models. Summer temperature models were more frequently climate  
 369 sensitive than other temperature or precipitation models in the model comparison analysis of 46 genus-  
 370 by-site combinations and 33 climate models (Table S4). The shaded colouring indicates the percent of  
 371 models that were considered climate sensitive for each of the four categories of climate variables for  
 372 each of the genus-by-site combinations with a difference in AIC value of greater than 2 between the  
 373 given climate model and the null model for all one parameter models in the model comparison analysis.



374

375 Figure 3. Climate sensitivity across gradients. Greater climate sensitivity was found for shrub species  
 376 growing at sites with a greater number of wet days (A), higher soil moisture (B), closer to  
 377 northern/elevational range limits (C) and for species with higher maximum canopy heights (D).  
 378 Climate sensitivity varied among genera (E) and between the two growth measures of stem increments  
 379 and annual ring widths (F). Climate sensitivity is indicated by four metrics: 1) the difference in AIC  
 380 value between the best climate model and a null model, 2) the R<sup>2</sup> value for the best climate model, 3)  
 381 the absolute value of the slope of the best summer temperature model and 4) the proportion of

382 individuals that had significant linear relationships between growth and summer temperature variables.  
383 The lines and associated p-values indicate beta regression of the different climate sensitivity metrics,  
384 the dashed lines indicate the 90<sup>th</sup> quantile. The distance to the range edge (C) is the distance between  
385 the sampling location and the northern or elevation range edge for each species converted to relative  
386 latitudes (see Supp. Info.). This gives an index of how far a sample population is located from the  
387 maximum extent of the distribution of that species either northward in the Arctic or up slope in alpine  
388 tundra.